Domain-adaptive neural networks improve supervised machine learning based on simulated population genetic data

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7 Abstract

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Investigators have recently introduced powerful methods for population genetic 8 9 inference that rely on supervised machine learning from simulated data. Despite their performance advantages, these methods can fail when the simulated training data does 10 11 not adequately resemble data from the real world. Here, we show that this "simulation mis-specification" problem can be framed as a "domain adaptation" problem, where a 12 13 model learned from one data distribution is applied to a dataset drawn from a different 14 distribution. By applying an established domain-adaptation technique based on a gradient 15 reversal layer (GRL), originally introduced for image classification, we show that the effects of simulation mis-specification can be substantially mitigated. We focus our 16 17 analysis on two state-of-the-art deep-learning population genetic methods-SIA, which infers positive selection from features of the ancestral recombination graph (ARG), and 18 19 ReLERNN, which infers recombination rates from genotype matrices. In the case of SIA, the domain adaptive framework also compensates for ARG inference error. Using the 20 21 domain-adaptive SIA (dadaSIA) model, we estimate improved selection coefficients at 22 selected loci in the 1000 Genomes CEU population. We anticipate that domain adaptation will prove to be widely applicable in the growing use of supervised machine learning in 23 24 population genetics.

25 Introduction

26 Advances in genome sequencing have allowed population genetic analyses to be applied to many thousands of individual genome sequences (Auton et al. 2015: Sudlow 27 et al. 2015; Karczewski et al. 2020). Given adequately rigorous and scalable 28 29 computational tools for analysis, these rich catalogs of genetic variation provide 30 opportunities for addressing many important questions in areas such as human evolution, plant genetics, and the ecology of non-model organisms. Deep-learning methods, already 31 well-established in other application areas (LeCun et al. 2015), have proven to be good 32 matches for these analytical tasks and have recently been successfully applied to many 33 problems in population genetics (Sheehan and Song 2016; Kern and Schrider 2018; 34 Schrider and Kern 2018; Flagel et al. 2019; Torada et al. 2019; Adrion et al. 2020; Caldas 35 et al. 2022; Hejase et al. 2022; Korfmann et al. 2023). 36

37 The key to the success of deep learning in population genetics has been the use of large amounts of simulated data for training. Under simplifying, yet largely realistic, 38 assumptions, evolution plays by relatively straightforward rules. By exploiting these rules 39 and advances in computing power, a new generation of computational simulators has 40 41 made it possible to efficiently produce extremely large (virtually unlimited) quantities of 42 perfectly labeled synthetic data across a wide range of evolutionary scenarios (Haller et al. 2019; Haller and Messer 2019; Baumdicker et al. 2022). This synthetic training data 43 44 serves as the foundation of the new simulate-and-train paradigm of supervised machine 45 learning for population genetics inference (Fig. 1A, Schrider and Kern 2018; Korfmann et 46 al. 2023).

At the same time, this paradigm is highly dependent on well-specified models for simulation (Korfmann et al. 2023). If the simulation assumptions do not match the underlying generative process of the real data—that is, in the presence of *simulation misspecification*—the trained deep-learning model may reflect the biases in the simulated data and perform poorly on real data. Indeed, previous studies have shown that, despite being robust to mild to moderate levels of mis-specification, performance inevitably degrades when the mismatch becomes severe (Adrion et al. 2020; Hejase et al. 2022).

54 In a typical workflow, key simulation parameters such as the mutation rate, recombination rate, and parameters of the demographic model are either estimated from 55 the data or obtained from the literature (e.g. Tennessen et al. 2012) (Fig. 1A). Sometimes 56 these parameters are allowed to vary during simulation, and sometimes investigators 57 evaluate the sensitivity of predictions to departures from the assumed range, but there is 58 59 typically no way to ensure that the ranges considered are adequately large. Moreover, these benchmarks do not usually account for under-parameterization of the demographic 60 61 model. Particularly in the case of non-model organisms, the quality of the estimates can be further limited by the availability of data. Overall, some degree of mis-specification in 62 63 the simulated training data is impossible to avoid.

64 One way to mitigate the effects of simulation mis-specification would be to 65 engineer a simulator to force the simulated data to be compatible with real data. For 66 example, one could simulate from an overdispersed distribution of parameters followed 67 by a rejection sampling step (based on summary statistics) as in Approximate Bayesian 68 Computation (ABC) methods, or one could use a Generative Adversarial Network (GAN) (Wang et al. 2021) to mimic the real data. These methods tend to be costly, however. For 69 70 example, ABC methods scale poorly with the number of summary statistics, and GANs 71 are notoriously hard to train.

Here we consider the alternative approach of adopting a deep-learning model that is explicitly designed to account for and mitigate the mismatch between simulated and real data (**Fig. 1A**). As it happens, the task of building well-performing models for a target dataset that has a different distribution from the training dataset is a well-studied problem known as "domain adaptation" in the machine-learning literature (Csurka 2017; Wilson and Cook 2020). A typical setting of interest for domain adaptation is image classification
(Fig. 1B). For example, suppose a digit recognition model is needed for the Street View
House Numbers (SVHN) dataset (the "target domain"), but abundant labeled training data
is only available from the MNIST dataset of handwritten digits (the "source domain"). In
this case, a method needs to train on one data set and perform well on another, despite
systematic differences between the two data distributions.

A variety of strategies for domain adaptation have been introduced. Early methods 83 focused on reweighting training instances (Shimodaira 2000; Dai et al. 2007) or explicitly 84 85 manipulating a feature space through augmentation (Daumé III 2009), alignment 86 (Fernando et al. 2013; Sun et al. 2016) or transformation (Pan et al. 2011). Alternatively, 87 domain adaptation can be incorporated directly into the process of training a neural network (deep domain adaptation). Most recent methods of this kind share the common 88 goal of learning a "domain-invariant" representation of the data through a feature extractor 89 90 neural network, for example, by minimizing domain divergence (Rozantsev et al. 2019), by adversarial training (Ganin and Lempitsky 2014; Liu and Tuzel 2016) or through an 91 auxiliary reconstruction task (Ghifary et al. 2016). Domain adaptation so far has been 92 most widely applied in the fields of computer vision (e.g., using stock photos for semantic 93 94 segmentation of real photos) and natural language processing (e.g., using Amazon product reviews for sentiment analysis of movies and TV shows) where large, 95 heterogeneous datasets are common but producing labeled training examples can be 96 97 labor intensive (Wilson and Cook 2020). More recently, deep domain adaptation has been used in regulatory genomics to enable cross-species transcription-factor-binding-site 98 99 prediction (Cochran et al. 2022).

In this work, we reframe the simulation mis-specification problem in population genetics as an unsupervised domain adaptation problem (unsupervised in the sense that data from the target domain is not labeled) (**Fig. 1B**). In particular, we use populationgenetic simulations to obtain large amounts of perfectly labeled training data in the source domain. We then seek to apply the trained model to unlabeled real data in the target domain. We use domain adaptation techniques to explicitly account for the mismatch between these two domains when training the model.

107 To demonstrate the feasibility of this approach, we incorporated domain-adaptive 108 neural network architecture into two published deep learning models for population 109 genetic inference: 1) SIA (Hejase et al. 2022), which identifies selective sweeps based 110 on the Ancestral Recombination Graph (ARG), and 2) ReLERNN (Adrion et al. 2020), 111 which infers recombination rates from raw genotypic data. Through extensive simulation 112 studies, we demonstrated that the domain adaptive versions of the models significantly 113 outperformed the standard versions under realistic scenarios of simulation mis-114 specification. Our domain-adaptive framework for utilizing mis-specified synthetic data for 115 supervised learning opens the door to many more robust deep learning models for 116 population genetic inference.

117 **Results**

118 Experimental Design

119 We created domain-adaptive versions of the SIA and ReLERNN models, each of 120 which employed a gradient reversal layer (GRL) (Ganin and Lempitsky 2014) 121 (Fig. 2A&B). As noted, the goal of domain adaptation is to establish a "domain-invariant" 122 representation of the data (Fig. 1A). Our neural networks consist of two components: the 123 original networks (in green and blue in Fig. 2A&B), which are applied to labeled examples 124 from the "source" (simulated) domain; and alternative branches (in yellow in Fig. 2A&B), 125 which use the same feature-extraction portions of the first networks but have the distinct 126 goal of distinguishing data from the "source" (simulated) and "target" (real) domains (they 127 are applied to both). By reversing the gradient for the second branch, the GRL 128 systematically undermines this secondary goal of distinguishing the two domains (Fig. 2, 129 see **Methods** for details), and therefore promotes domain invariance in feature extraction. 130 We designed two sets of benchmark experiments to assess the performance of the domain-adaptive models relative to the standard models. In both cases, we tested the 131 methods using "real" data in the target domain that was actually generated by simulation, 132 but included features not considered by the simpler simulator used for the source domain. 133 134 In the first set of experiments, background selection was present in the target domain but not the source domain. In the second set of experiments, the demographic model used 135 136 for the source domain was estimated from "real" data generated under a more complex 137 demographic model and was therefore somewhat mis-specified (see Methods and Fig. 138 **S1A** for details). Below we refer to these as the "background selection" and "demography" 139 mis-specification" experiments.

140 Performance of Domain-Adaptive SIA Model

141 We compared the performance of the domain-adaptive SIA (dadaSIA) model to 142 that of the standard SIA model on held-out "real" data, considering both a classification 143 (distinguishing selective sweeps from neutrality) and a regression (inferring selection 144 coefficients) task. In all cases, we focused on a comparison of the domain-adaptive model 145 to the standard case where a model is simply trained on data from the source domain 146 and then applied to the target domain ("standard model"; **Fig. 1C**). For additional context, 147 we also considered the two cases where the training and testing domains matched 148 (source-matched or target-matched; Fig. 1C)—although we note that these cases are not 149 achievable with real data and provide only hypothetical upper bounds on performance.

In both the background selection and demography mis-specification experiments, and in both the classification and regression tasks, the domain-adaptive SIA model substantially improved on the standard model (**Fig. 3**). Indeed, in all cases, the domainadaptive model (turquoise lines in **Fig. 3A&C**) nearly achieved the upper bound of the hypothetical true model (dashed gray lines) and clearly outperformed the standard model
(gold lines), suggesting that domain adaptation had largely "rescued" SIA from the effects
of simulation mis-specification (see also Fig. S2C&D). The standard model performed
particularly poorly on the regression task (Fig. 3B&D), but the domain-adaptive model
substantially improved on it, reducing both the absolute error as well as the upward bias
of the estimation (Fig. S2C&D).

160 The comparisons with the simulation benchmark and hypothetical true model were 161 also informative in other ways. Notice that performance in the simulation benchmark case 162 was considerably better than that in all other cases, including the hypothetical true model. In our experiments, the ARG is "known" (fixed in simulation) in this case, whereas in the 163 hypothetical true model it must be inferred. Thus, the difference between these two cases 164 165 represents a rough measure of the importance of ARG inference error (see **Discussion**). 166 In addition, note that in many studies, benchmarking of population-genetic models is 167 performed using the same, or similar, simulations as those used for training, as in with 168 our hypothetical true model. Thus, the difference between the hypothetical true model 169 and the standard model is representative of the degree to which benchmarks of this kind 170 may be overly optimistic about performance, depending on the degree to which the 171 simulations are mis-specified.

172 We further investigated the effect of imbalanced training data from the target 173 domain on the performance of the domain-adaptive model in the context of sweep 174 classification. Despite the ability to simulate perfectly class-balanced labeled data in the source domain, in practice we have no control over whether real data are balanced. Using 175 176 simulations for the background selection mis-specification experiments, we tested the 177 performance of the domain adaptive SIA model classifying sweeps when trained with 178 unlabeled "real" data under different proportions of sweep vs. neutral examples. While a balanced dataset yielded the best performance, significantly skewed datasets (20% or 179 180 80% sweep examples) still provided the domain adaptive model with reasonable 181 improvement upon the standard model (Fig. S3).

182 Performance of Domain-Adaptive ReLERNN Model

183 We performed a parallel set of experiments with a domain-adaptive version of ReLERNN. In this case, the background selection experiment was essentially the same 184 as for SIA, but we used a simpler design for the demography mis-specification 185 experiment, following Adrion et al. (2020). Briefly, the "real" (target domain) data was 186 187 generated according to the out-of-Africa European demographic model estimated by 188 Tennessen et al. (2012). By contrast, the simulated data for the source domain simply assumed a constant-sized panmictic population at equilibrium with $N_e = \frac{\hat{\theta}_W}{4W}$, where $\hat{\theta}_W$ is 189 the Watterson estimator obtained from the "real" data (see Methods for details). 190

191 Similar to our results for SIA, the domain-adaptive ReLERNN model both reduced 192 the mean absolute error (MAE) and corrected for the downward bias in recombinationrate estimates compared to the standard model (Fig. 4, Fig. S4). In the background-193 194 selection experiment, the standard ReLERNN model performed quite well (Fig. 4A, S4A, 195 MAE = 5.60×10^{-9}), but the domain-adaptive ReLERNN model nonetheless further reduced the MAE to 4.41×10^{-9} (Fig. S4C, Welch's *t*-test: n = 25,000, t = 31.0, p < 100196 197 10^{-208}). The advantage of the domain-adaptive model was more apparent in the 198 demography-mis-specification experiment (Fig. 4B, S4B), where it reduced the MAE from 8.06×10^{-9} to 5.45×10^{-9} (Fig. S4D, Welch's t-test, $n = 25,000, t = 72.4, p < 10^{-323}$). 199 Notably, our results for the standard model in the demography-mis-specification 200 experiment were highly similar to those reported by Adrion et al. (2020), including the 201 202 approximate mean and range of the raw error (compare Fig. 4A from Adrion et al. 2020) 203 and Fig. S4D), as well as the downward bias.

Interestingly, Adrion et al. (2020) observed that ReLERNN was sometimes more strongly influenced by demographic mis-specification than unsupervised methods such as LDhelmet, even though it still performed better in terms of absolute error. The addition of domain adaptation appears to considerably mitigate this susceptibility to demographic mis-specification, making an excellent method even stronger.

209 Application of Domain-Adaptive SIA to Real Data

In applications to real data, the true selection coefficient is not known, so it is impossible to perform a definitive comparison of methods. Nevertheless, it can be informative to evaluate the degree to which alternative methods are concordant, especially with consideration of their relative performance in simulation studies.

214 Toward this end, we re-applied our domain-adaptive SIA model (dadaSIA) to 215 several loci in the human genome that we previously analyzed with SIA (Hejase et al. 216 2022), using whole-genome sequence data from the 1000 Genomes CEU population 217 (Auton et al. 2015; see **Methods**). The putative causal loci analyzed included single 218 nucleotide polymorphisms (SNPs) at the LCT gene (Bersaglieri et al. 2004), one of the 219 best-studied cases of selective sweeps in the human genome; at the disease-associated genes TCF7L2 (Lyssenko et al. 2007), ANKK1 (Spellicy et al. 2014) and FTO (Frayling 220 et al. 2007); at the pigmentation genes KITLG (Sulem et al. 2007), ASIP (Eriksson et al. 221 2010), TYR (Sulem et al. 2007; Eriksson et al. 2010), OCA2 (Han et al. 2008; Sturm et 222 al. 2008), TYRP1 (Kenny et al. 2012) and TTC3 (Liu et al. 2010), which were also 223 224 analyzed by Stern et al. (2019); and at the genes MC1R (Sulem et al. 2007; Han et al. 225 2008) and ABCC11 (Yoshiura et al. 2006), where SIA reported novel signals of selection. 226 We found that dadaSIA generally made similar predictions to SIA at these SNPs,

but there were some notable differences. The seven loci predicted by SIA at these SNPs, were also predicted by dadaSIA to be sweeps (**Table 1**), although dadaSIA always reported higher confidence in these predictions (with probability of neutrality, $P_{neu} < 10^{-2}$ 230 in all cases) than did SIA (P_{neu} up to 0.384 for TYR). The five loci predicted by SIA not to be sweeps were also predicted by dadaSIA not to be sweeps ($P_{\rm neu} > 0.5$). At LCT, the 231 strongest sweep considered, the selection coefficient (s) estimated by dadaSIA remained 232 233 very close to SIA's previous estimate of s = 0.01 and also close to several prior estimates 234 (Bersaglieri et al. 2004; Mathieson and Mathieson 2018; Mathieson 2020). In all other 235 cases, the estimate from SIA was somewhat revised by dadaSIA, generally by factors of about 2–3. Interestingly, in all of these cases except MC1R (a novel prediction by SIA), 236 237 the revision was in the direction of at least some estimates previously reported in the 238 literature, suggesting that simulation mis-specification may have contributed to 239 discrepancies between SIA and previous methods. Nevertheless, the estimates from 240 dadaSIA generally remained closer to those from SIA than to previous estimates. 241 Together, these observations suggest that the addition of domain adaptation does not 242 radically alter SIA's predictions for real data but may in some cases improve them.

243 Discussion

244 Standard approaches to supervised machine learning rest on the assumption that 245 the data they are used to analyze follow essentially the same distribution as the data used 246 for training. In applications in population genetics, the training data are typically generated 247 by simulation, leading to concerns about potential biases from simulation mis-248 specification when supervised machine-learning methods are used in place of more 249 traditional summary-statistic- or model-based methods (Caldas et al. 2022; Korfmann et 250 al. 2023). In this article, we have shown that techniques from the "domain adaptation" 251 literature can effectively be used to address this problem. In particular, we showed that 252 the addition of a gradient reversal layer (GRL) to two recently developed deep-learning 253 methods for population genetic analysis—SIA and ReLERNN—led to clear improvements in performance on "real" data that differed in subtle but important ways from the data used 254 255 to train the models. These improvements were observed both when the demographic 256 models were mis-specified and when background selection was included in the 257 simulations of "real" data but ignored in the training data.

While we observed performance improvements in all of our experiments, they were 258 especially pronounced in the case where SIA was used to predict specific selection 259 coefficients, rather than simply to identify sweeps. The standard model (with training on 260 261 simulated data and testing on "real" data) performed particularly poorly in this regression 262 setting and domain adaptation produced striking improvements (Fig. 3B&D). This 263 selection-coefficient inference problem appears to be a harder task than either sweep 264 classification or recombination-rate inference, and the performance in this case proves to 265 be more sensitive to simulation mis-specification (cf. Fig. 3A&C). In general, we 266 anticipate considerable differences across population-genetic applications in the value of 267 domain adaptation, with some applications being more sensitive to simulation misspecification and therefore more apt to benefit from domain adaptation, and others beingless so.

270 We also observed some interesting differences in the ways SIA and ReLERNN 271 responded to domain adaptation. For example, the performance gap between the 272 "simulation benchmark" (trained and tested on simulated data) and "hypothetical true" 273 (trained and tested on real data) models was considerably greater for SIA than for ReLERNN (Figs. S2C&D, S4C&D). This difference appears to be driven by ARG 274 275 inference, which is required by SIA in the hypothetical true case but not the simulation 276 benchmark case, and for which no analog exists for ReLERNN. For SIA, the uncertainty 277 about genealogies given sequence data makes the prediction task fundamentally harder 278 in the real world (target domain) than in simulation (source domain) (Fig. 1B). By contrast, 279 ReLERNN does not depend on a similar inference task, and therefore the target and 280 source domains are more or less symmetric. This same factor contributed to the much 281 more dramatic drop in performance for SIA than ReLERNN under the "standard model," where the model is trained on simulated data and naively applied to "real" data (Figs. 282 **3B&D**, **4**). At the same time, this property means that there is more potential for 283 284 improvement from domain adaptation with SIA than with ReLERNN, as indeed we do 285 observe (Figs. 3, 4, S2, S4). In effect, in the case of SIA, domain adaptation not only 286 mitigates simulation mis-specification but also compensates for ARG inference error. 287 More broadly, we expect domain adaptation to be especially effective in applications that 288 depend not only on the simulated data itself but also on nontrivial inferences of latent 289 quantities that are known for simulated but not real data.

290 We used the domain-adaptive SIA model (dadaSIA) to re-analyze several loci in the human genome that we and others had previously studied. Overall, we found that 291 292 dadaSIA made similar predictions to SIA at these loci, but it tended to exhibit higher 293 confidence in its predictions, and, in some cases, it reported selection coefficients in 294 better agreement with previous reports. In particular, at KITLG, ASIP, TYR and OCA2, 295 dadaSIA estimated higher selection coefficients than SIA. Given that previously reported 296 estimates of s at these loci were also higher than the original SIA estimates, it seems 297 likely that the original model was under-estimating s due, at least in part, to simulation 298 mis-specification, and that dadaSIA has improved the estimates (Table 1).

299 Although our experiments were limited to background selection and demographic 300 mis-specification, we expect that the domain adaptation framework would also be 301 effective in addressing many other forms of simulation mis-specification, involving factors 302 such as mutation or recombination rates, or the presence of gene conversion. Another 303 interesting application may be to use domain adaptation to accommodate admixed 304 populations. Each ancestry component could be modeled as a distinct target domain 305 using a multi-target domain adaptation technique (Isobe et al. 2021; Nguyen-Meidine et 306 al. 2021; Roy et al. 2021). It is also worth noting that our experiments considered only 307 one, rather simple, strategy for domain adaptation. Since the GRL was proposed, several 308 other architectures for deep domain adaptation have achieved even better empirical 309 performance on computer vision tasks (see: Papers with Code). Overall, there is rich 310 potential for new work on domain adaptation to address a wide variety of model mis-

311 specification challenges in population genetic inference.

312 Methods

313 Methodological summary of unsupervised domain adaptation

314 To build domain-adaptive versions of SIA and ReLERNN, we added a gradient 315 reversal layer (GRL) to the neural network architecture for each model (Ganin and 316 Lempitsky 2014). The GRL-containing networks consist of three components – a label 317 predictor branch, a domain classifier branch and a feature extractor common to both 318 branches (Fig. 2A&B). During the feedforward step, when data is fed to the neural 319 network to obtain a prediction output, the GRL is inactive; it simply passes along any input 320 to the next layer. However, during backpropagation, when the gradient of the loss function 321 with respect to the weights of the network is calculated iteratively backward from the 322 output layer, the GRL inverts the sign of any incoming gradient before passing it back to 323 the previous layer. This operation has the effect of driving the feature extractor away from 324 distinguishing the source and target domains, and consequently encourages it to extract "domain-invariant" features of the data. We implemented the GRLs in TensorFlow (v2.4.1) 325 326 using the 'tf.custom gradient' decorator. On top of each custom GRL, the rest of the 327 model was built using the 'tf.keras' functional API (see the GitHub repository for details).

328 All models were trained with the Adam optimizer using a batch size of 64. For the 329 domain-adaptive models, training consisted of both (1) feeding labeled data from the source domain through the label predictor and obtaining a label prediction loss; and (2) 330 331 feeding a mixture of unlabeled data from both the source and target domains through the 332 domain classifier, obtaining a domain classification loss (Fig. 2C). Training was 333 accomplished using a custom data generator implemented with 'tf.keras.utils.Sequence'. In this study, we simply assigned equal weights to the label-prediction and domain-334 335 classification loss functions (following Ganin and Lempitsky 2014).

336

337 Background selection experiment with SIA

338 To assess the robustness of domain-adaptive SIA (dadaSIA) to background 339 selection, we simulated labeled examples (250,000 neutral and 250,000 sweep) in the 340 source domain under demographic equilibrium with $N_e = 10,000$ and $\mu = \rho = 1.25 \times$ 341 10^{-8} /bp/gen. The sweep simulations consisted of 100kb chromosomal segments with a 342 hard sweep at the central nucleotide having selection coefficient $s \in [0.002, 0.01]$. The 343 unlabeled data in the target domain (with the exception of held-out test dataset with labels 344 retained) were simulated in a similar fashion, albeit with a 10kb segment ("gene") under 345 purifying selection at the center of each 100kb chromosomal segment. All mutations in 346 the central 10kb segment that arose during the forward stage of the simulations (in SLiM)

followed a DFE parameterized by a gamma distribution with a mean $\bar{s} = -0.03$, a shape parameter $\alpha = 0.2$ and had dominance coefficient h = 0.25 (Boyko et al. 2008). Simulations were performed in SLiM 3 (Haller et al. 2019; Haller and Messer 2019) followed by recapitation with msprime (Baumdicker et al. 2022).

351

352 Demography mis-specification experiment with SIA

353 In a second set of simulations, we gauged whether domain adaptation also protects SIA against demographic mis-specification. In this case, instead of specifying the 354 355 degree of mis-specification a priori, we designed an end-to-end workflow that 356 recapitulated how demographic mis-specification arises in a realistic population genetic 357 analysis (Fig. S1A). First, we simulated "real" data (in the target domain) using an 358 assumed demography (Fig. S1A, loosely based on the three-population model in 359 Campagna et al. 2022). Similar to what one would do with actual sequence data, we then 360 used the "real" samples to infer a demography with G-PhoCS (Gronau et al. 2011), 361 pretending that the true demography and genealogies were unknown. As shown in Fig. S1A, the inferred demography was consequently somewhat mis-specified. This mis-362 363 specified demographic model was then used to simulate labeled training data (in the source domain) for SIA. 364

365 With the goal of using SIA to infer selection in population B, we simulated a soft sweep site at the center of a 100kb chromosomal segment with selection coefficient $s \in$ 366 367 [0.003, 0.02] and initial sweep frequency $f_{init} \in [0.01, 0.1]$, under positive selection only in population B. To improve computational efficiency, simulations were performed with a 368 hybrid approach where the neutral demographic processes were simulated first with 369 msprime (Baumdicker et al. 2022), followed by positive selection simulated with SLiM 3 370 371 (Haller et al. 2019; Haller and Messer 2019). We produced 200,000 balanced (between neutral and sweep) simulations of "peudo-real" data, 10,000 of which were randomly held 372 out as ground-truth test data for benchmarking with their labels preserved (Fig. S1A). The 373 374 rest remained unlabeled. We preserved only the sequences and used Relate (Speidel et al. 2019) to infer the ARG of population B from the "real" data. For demographic inference, 375 376 we randomly downsampled 10.000 5kb loci and analyzed them with G-PhoCS, keeping 377 4 (diploid) individuals from population A and 16 (diploid) individuals each from populations 378 B and C. We took the median of 90,000 MCMC samples (after 10,000 burn-in iterations) 379 as the inferred demography (shown in Fig. S1A). The control file used to run G-PhoCS is 380 available in the GitHub repository. We then simulated true genealogies of population B 381 using the inferred demography, yielding 200,000 balanced samples with neutral/sweep and selection coefficient labels. All SIA models in this study used 64 diploid samples (128 382 383 taxa).

384

385 Genealogical features for the SIA model

386 For this study, we adopted a richer encoding of genealogies than the one used previously for SIA. Instead of simply counting the lineages remaining in the genealogy at 387 discrete time points (Hejase et al. 2022), we fully encoded the topology and branch 388 lengths of the tree using the scheme introduced by (Kim et al. 2020). Under this scheme, 389 390 a genealogy with n taxa is uniquely encoded by an $(n-1) \times (n-1)$ lower-triangular matrix F and a weight matrix W of the same shape. Each cell (i, j) of F records the lineage count 391 between coalescent times t_{n-i} and t_{n-1-i} , whereas each cell (*i*, *j*) of W records the 392 corresponding interval between coalescent times, $t_{n-i} - t_{n-1-i}$ (see **Fig. S1B** and Kim et 393 al. 2020 for details). In addition, we used a third matrix R to identify the subtree carrying 394 395 the derived alleles at the site of interest, following the same logic as F (see Fig. S1B for 396 an example). The F, W and R matrices have the same shape and therefore can easily be 397 stacked as input to a convolutional layer with three channels (Fig. 2A, 128 taxa yield a 398 127 x 127 x 3 input tensor).

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400

0 Simulation study of recombination rate inference with ReLERNN

401 We conducted two sets of simulation experiments to test the same two types of 402 mis-specification as previously described for SIA. Each simulation consisted of 32 haploid samples of 300kb genomic segment with uniformly sampled mutation rate $\mu \sim$ 403 $U[1.875 \times 10^{-8}, 3.125 \times 10^{-8}]$ and recombination rate $\rho \sim U[0.6.25 \times 10^{-8}]$. To test the 404 effect of background selection, the labeled source domain data (with true values of ρ) 405 406 were simulated under demographic equilibrium with $N_{e} = 10,000$, whereas the unlabeled target domain data were simulated under the same demography, but with the central 407 408 100kb region under purifying selection, as with SIA. To test the effect of demographic 409 mis-specification, we conducted simulations similar to those of Adrion et al. (2020) where labeled source domain data were generated under demographic equilibrium (with N_e = 410

411 6,000, calculated approximately by $\frac{\hat{\theta}_W}{4\mu}$ where $\hat{\theta}_W$ was estimated from the target domain

data) and unlabeled target domain data were generated under a European demography
(Tennessen et al. 2012). For each domain, 500,000 simulations were generated with
SLiM 3 (background selection experiment) or msprime (demography experiment), and
partitioned following an 88%:2%:10% train-validation-test composition. We modified the
ReLERNN model to be domain-adaptive (Fig. 2B) and used the simulated data to
benchmark its performance against the original version of the model.

418

419 Application of domain-adaptive SIA model to 1000 Genomes CEU population

Labeled training data (source domain) for SIA were simulated with discoal (Kern and Schrider 2016) under the Tennessen et al. (2012) European demographic model. Following Hejase et al. (2022), we simulated 500,000 100-kb regions of 198 haploid sequences. The per-base per-generation mutation rate (μ) and recombination rate (ρ) of each simulation were sampled uniformly from the interval $[1.25 \times 10^{-8}, 2.5 \times 10^{-8}]$; the segregating frequency of the beneficial allele (*f*) was sampled uniformly from [0.05, 0.95]; the selection coefficient (s) was sampled from an equal mixture of a uniform and a loguniform distribution with the support $[1 \times 10^{-4}, 2 \times 10^{-2}]$. An additional 500,000 neutral regions were simulated to train the classification model, under the identical setup sans the positively selected site.

430 We curated target domain data from the 1000 Genomes CEU population to train 431 the domain-adaptive SIA model (dadaSIA). The genome was first divided into 2Mb 432 windows 1,111 of which passed three data-quality filters: 1) contained at least 5,000 433 variants, 2) at least 80% of these variants had ancestral allele information, and 3) at least 434 60% of nucleotide sites in the window passed both the 1000 Genomes strict accessibility 435 mask (Auton et al. 2015) and the deCODE recombination hotspot mask (standardized recombination rate > 10, Kong et al. 2010). We randomly sampled 1,000 variants from 436 437 each of these 1,111 windows and extracted genealogical features at those variants from Relate-inferred ARGs (Speidel et al. 2019), vielding around 1 million samples that 438 439 constituted the unlabeled target domain data. Finally, domain-adaptive SIA models for 440 classifying sweeps and inferring selection coefficients were trained as described 441 previously and applied to a collection of loci of interest (**Table 1**).

442 Code Availability

The code for this study is available in a GitHub repository at <u>github.com/ziyimo/popgen-</u> 444 <u>dom-adapt</u>.

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621 Figures



622 Figure 1. Unsupervised domain adaptation in the context of population genetic

623 inference. A) A high-level overview of the supervised machine-learning approach for

624 population genetic inference and how domain adaptation fits into the paradigm. B)

625 Example formulations of the unsupervised domain adaptation problem with application to

626 computer vision and population genetics. **C)** Four benchmarking scenarios considered in

627 this study. Gold squares represent source domain data, blue circles represent target

628 domain data and crosses (**x**) represent labels.



Figure 2. Neural network architecture for domain adaptation. The model 629 architectures incorporating gradient reversal layers (GRLs) for A) SIA and B) ReLERNN. 630 631 C) When training the networks, each minibatch of training data consists of two components: (1) labeled data from the source domain fed through the feature extractor 632 and the label predictor; and (2) a mixture of unlabeled data from both the source and 633 634 target domains fed through the feature extractor and the domain classifier. The first 635 component trains the model to perform its designated task. However, the GRL inverts the loss function for the second component, discouraging the model from differentiating 636 the two domains and leading to the extraction of "domain-invariant" features. 637



638 Figure 3. Performance of domain-adaptive SIA models. Results are shown from (A, B) the background-selection and (C, D) the demography-mis-specification experiments. 639 640 (A, C) Precision-recall curves for sweep classification. (B, D) Contour plots summarizing 641 true (horizontal axis) vs. inferred (vertical axis) selection coefficients (s) for the standard (gold) and domain adaptive (turquoise) models as evaluated on the held-out test dataset. 642 The ridge along the horizontal axis of each contour is traced by a dashed line, 643 representing the mode of the inferred value for each true value of s. Raw data underlying 644 the contour plots are presented in Fig. S2. See Fig. 1C for definition of the model labels. 645



Figure 4. Performance of domain-adaptive ReLERNN models. Results are shown from (A) the background-selection and (B) the demography-mis-specification experiments. Each contour plot summarizes true (horizontal axis) vs. inferred (vertical axis) recombination rates (ρ) for the standard (gold) and domain adaptive (turquoise) models as evaluated on the held-out test dataset. The ridge along the horizontal axis of each contour is traced by a dashed line, representing the mode of the inferred value for each true value of ρ . Raw data underlying the contour plots are presented in **Fig. S4**.

653 Tables

Table 1. Selection coefficients in the European population estimated by domain adaptive SIA compared to previous estimates

		Estima	tes of selection coefficient	
Gene	SNP	Domain-adaptive SIA	Standard SIA*	Previous estimates
KITLG	rs12821256	0.0035	0.0019	0.0161 [†]
ASIP	rs619865	0.0057	0.0019	0.0974 [†]
TYR	rs1393350	0.0028	0.0011	0.0112 [†]
OCA2	rs12913832	0.0093	0.0056	0.002†; 0.036‡
MC1R	rs1805007	0.0027	0.0037	No selection§
ABCC11	rs17822931	0.0020	0.00035	~ 0.01 in East Asian [∥]
LCT	rs4988235	0.0097	0.010	~ 0.01 [¶]
TYRP1	rs13289810	<i>P</i> _{neu} > 0.5	<i>P</i> _{neu} > 0.5	No selection [†]
ТТСЗ	rs1003719	<i>P</i> _{neu} > 0.5	<i>P</i> _{neu} > 0.5	No selection [†]
TCF7L2	rs7903146	<i>P</i> _{neu} > 0.5	<i>P</i> _{neu} > 0.5	N/A
ANKK1	rs1800497	<i>P</i> _{neu} > 0.5	<i>P</i> _{neu} > 0.5	N/A
FTO	rs9939609	<i>P</i> _{neu} > 0.5	<i>P</i> _{neu} > 0.5	N/A

656 * Hejase et al. 2022

657 [†] Stern et al. 2019

⁶⁵⁸ [‡] Wilde et al. 2014

659 § Harding et al. 2000

660 || Ohashi et al. 2011

⁶⁶¹ [¶] Bersaglieri et al. 2004; Mathieson and Mathieson 2018; Mathieson 2020