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## The Effect of Long-term Exposure to Air Pollution and Seasonal Temperature on Hospital Admissions with Cardiovascular and Respiratory Disease in the United States: a Difference-in-Differences Analysis

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### Abstract

**Background**—Few studies have simultaneously examined the effect of long-term exposure to air pollution and ambient temperature on the rate of hospital admissions with cardiovascular and respiratory disease using causal inference methods.

**Methods**—We used a variation of a difference-in-difference (DID) approach to assess the effects of long-term exposure to warm-season temperature, cold-season temperature, NO<sub>2</sub>, O<sub>3</sub>, and PM<sub>2.5</sub> on the rate of hospital admissions for cardiovascular disease (CVD), myocardial infarction (MI), ischemic stroke, and respiratory diseases from 2001 to 2016 among Medicare beneficiaries who use fee-for-service programs. We computed the rate of admissions by zip code and year. Covariates included demographic and socioeconomic variables which were obtained from the decennial Census, the American Community Survey, the Behavioral Risk Factor Surveillance System, and the Dartmouth Health Atlas. As a secondary analysis, we restricted the analysis to zip code-years that had exposure to low concentrations of our pollutants.

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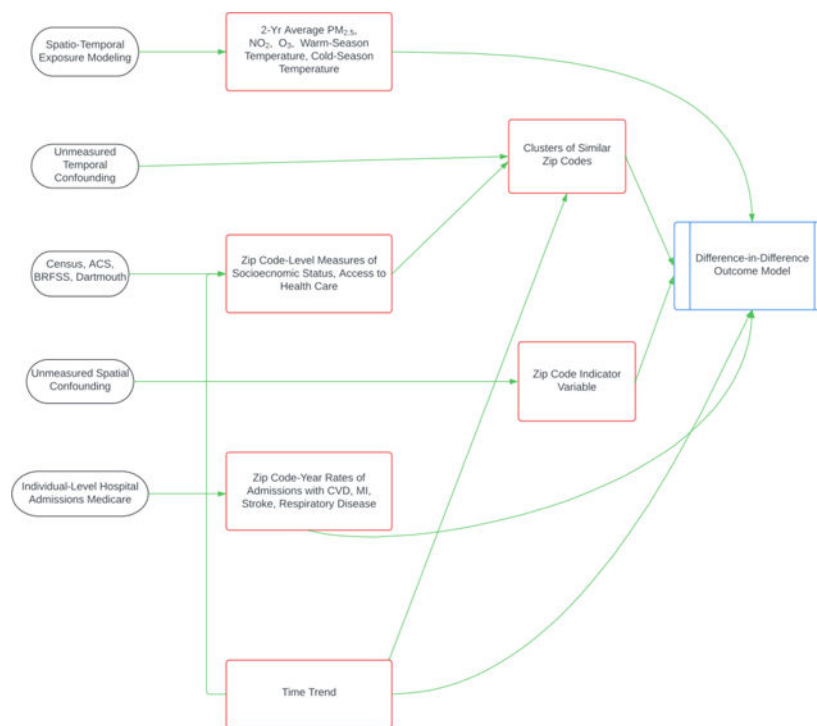
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**Results**—PM<sub>2.5</sub> was associated with a significant increase in the absolute rate of annual admissions with cardiovascular disease by 47.71 admissions (95% CI: 41.25–56.05) per 100,000 person-years, myocardial infarction by 7.44 admissions (95% CI: 5.53–9.63) per 100,000 person-years, and 18.58 respiratory admissions (95% CI: 12.42–23.72) for each one µg/m<sup>3</sup> increase in two-year average levels. O<sub>3</sub> significantly increased the rates of all the studied outcomes. NO<sub>2</sub> was associated with a decreased rate of admissions with MI by 0.83 admissions (95% CI: 0.10–1.55) per 100,000 person-years but increased rate of admissions for respiratory disease by 3.16 admissions (95% CI: 1.34–5.24) per 100,000 person-years. Warmer cold-season temperature was associated with a decreased admissions rate for all outcomes.

**Conclusion**—Air pollutants, particularly PM<sub>2.5</sub> and O<sub>3</sub>, increased the rate of hospital admissions with cardiovascular and respiratory disease among the elderly, while higher cold-season temperatures decreased the rate of admissions with these conditions.

**Graphical Abstract**



**Keywords**

Air pollution; Temperature; Cardiovascular Disease; Respiratory Disease; Epidemiology

**1. Introduction**

There have been two major recent trends in air pollution epidemiology research: the first is the use of multi-pollutant models to look at the effect of long-term exposure to a pollutant while simultaneously adjusting for confounding by others (Beelen et al., 2014; Crouse et al., 2015; Klompmaker et al., 2021) and the second is the use of causal methodology to look at exposure-outcome relationships (Abu Awad et al., 2019; Schwartz et al., 2021;

Wang et al., 2017, 2016; Wei et al., 2021; Wu et al., 2020; Yitshak-Sade et al., 2019). These developments address concerns common to all epidemiological studies, namely, potential unmeasured confounding. The use of multi-pollutants models and the use of causal methodology ameliorates these concerns and lends weight to the results obtained from large longitudinal cohort studies. Such advances are necessary in order to convince policymakers of the importance of air pollution and climate research and the need for urgent action to address these exposures.

While some progress has been made, there have been fewer studies looking at the effects of long-term exposure to air pollution and hospitalizations with cardiovascular and respiratory outcomes using causal methods and controlling for multiple pollutants (Danesh Yazdi et al., 2021, 2019; Klompmaker et al., 2021). This leaves an incomplete picture of the full effects of air pollution on human health.

On the other hand, the relationship between temperature and cardiovascular disease is also of great interest given global climate change. It is important, however, to examine this relationship in the context of air pollution as these variables are related to one another. Previous studies have found that the effect of air pollution on cardiovascular disease may be modified by temperature (Klompmaker et al., 2021). However, the effect of air pollution on health might also be confounded by temperature. Some of the negative impacts of temperature on health may be mediated through air pollution and it is important to account for this.

We conducted a study examining the relationship between long-term exposure to air pollution and temperature and hospital admission rates with cardiovascular and respiratory disease. We used a causal difference-in-difference multi-pollutant analysis which would account for both measured and unmeasured between-zip code confounding in the method itself. This strategy reduces the risk of unmeasured confounding causing bias in our effect estimates. We also used an additive model to ease the interpretation of our results.

## 2. Materials and Methods

### 2.1 Study Population

We used the Medicare denominator file and hospital admissions data from the Medicare Provider Analysis and Review (MEDPAR) files to construct a dataset of rates of hospital admissions for fee-for-service Medicare beneficiaries aged 65 years and over by zip code and year from 2000 to 2016 in the contiguous United States. MEDPAR data were available on a ZIP code spatial resolution.

### 2.2 Exposure Assessment

Air pollution levels for PM<sub>2.5</sub>, NO<sub>2</sub>, and O<sub>3</sub>, were estimated for each zip code-year using predictions from previously published models. Each pollutant was predicted from an ensemble spatio-temporal model incorporating three machine learning algorithms (Di et al., 2019a, 2019b; Requia et al., 2020). Input variables included land use terms, meteorological data, satellite data, and chemical transport models. These variables were used to generate predicted pollutant levels using a random forest (RF), a gradient boosting

machine (GBM), and a neural network (NN). These predictions were in turn used as independent variables in a geographically-weighted generalized additive model (GAM) against monitored values obtained from the Environmental Protection Agency (US EPA) and other monitors (IMPROVE, SEARCH, CASTNET, etc.) to estimate the final pollutant levels. These estimations were on a 1 km<sup>2</sup> spatial scale and a daily temporal scale. All models demonstrated strong performance, with ten-fold cross-validation R<sup>2</sup> values of 0.89 for PM<sub>2.5</sub>, 0.84 for NO<sub>2</sub>, and 0.86 for O<sub>3</sub> for annual averages (Di et al., 2019a, 2019b; Requia et al., 2020). We then aggregated these annual levels to a zip code spatial scale and a two-year calendar average temporal scale for our analysis.

For ozone, we used the average warm-season O<sub>3</sub> levels (i.e., levels averaged from April 1<sup>st</sup> through September 30<sup>th</sup>) as our exposure of interest, because O<sub>3</sub> is more readily formed in the warm season, and most monitoring occurs then. In this analysis, any future reference to “ozone” or “O<sub>3</sub>” refers to the two-year warm-season average.

Our temperature data was derived from the gridMET dataset, which estimated levels of various meteorological parameters on a 4 km by 4 km scale (Abatzoglou, 2013). We aggregated these levels to zip code and calculated warm-season averages (April through the end of September) and cold-season averages (January through the end of March and October through the end of December). We averaged these values over two calendar years to create the exposures in our study.

### 2.3 Outcome Definition

We looked at four outcomes in this study: annual rates of hospital admissions with cardiovascular disease (CVD), myocardial infarction (MI), ischemic stroke, and respiratory disease. We used ICD-9 and ICD-10 codes in the primary discharge code positions to identify our outcomes. CVD was defined as ICD-9 codes 390–459 and ICD-10 codes beginning with “I”. Myocardial infarction was defined as ICD-9 codes 410.X0 and 410.X1 and ICD 10-code: I21. Ischemic stroke was defined as ICD-9 codes: 433.X1, 434.X1, 436, and ICD-10 code: I63. Finally, respiratory disease was defined as ICD-9 codes 460–519 and ICD-10 codes beginning with “J”. To calculate annual rates, we divided the number of cases of admissions with these four outcomes by the number of Medicare beneficiaries enrolled in fee-for-service programs that year in that ZIP code.

### 2.4 Covariate Assessment

We included demographic information for each zip code-year based on data in the Medicare denominator file. We estimated the proportion of the study population who were female, identified as black, identified as race other than black or white, were Medicaid-eligible, and by age group (65–74, 75–84).

We obtained zip code-level socioeconomic variables from survey data generated by the US Decennial Census and the American Community Survey (ACS) in 2000, 2010, 2011, 2012, 2013, 2014, 2015, and 2016. The variables we included in our models for each zip code and year were: population density, percent of the population over 65 living below the poverty line, percentage of the housing occupied by the owners, median value of housing occupied by owners, median household income, and percentage of the elderly population who did

not graduate from high school. We further derived information on the percentage of the population who have ever smoked and the mean body mass index (BMI) at a county level from the respondents to the Behavioral Risk Factor Surveillance System (BRFSS). We used the Dartmouth Health Atlas to obtain information on: proportion of Medicare beneficiaries with at least one Hgb A1c test in a year, the proportion of diabetic beneficiaries over 65 years of age who had a lipid panel test in a year, the proportion of beneficiaries who had an eye examination in a year, proportion beneficiaries with at least one ambulatory doctor's visit in a year, proportion of female beneficiaries who had a mammogram over a two-year period. We took these variables as indicative of access to care. We filled in the values in years not measured using linear interpolation and extrapolation. Any remaining missingness was assumed to be at random, and those observations were excluded from further study. We further calculated the distance to the nearest hospital using the distance from the centroid of the postal code to the nearest facility based on data from ESRI in 2010 (ESRI Data and Maps; United States Geological Survey, 2010).

## 2.5 Statistical Analysis

We used a variation of a difference-in-difference analysis, adapted from Schwartz, et al. 2021. In this model, we looked at year-to-year within-zip code changes in the rate of hospital admissions with each of our outcomes, given our exposures of interest and the covariates. By conditioning on zip code, we removed any confounding by between-zip code variables including slowly changing individual characteristics, measured or unmeasured. Time trends in outcomes due to time-varying common factors (improvement in medical care, etc.) are captured using a natural spline for time. The main limitation in using this modeling approach is the parallel trends assumption, which states that each zip code would have had the same change in the outcome rate over time, except those differences due to changes in exposure (i.e. pollution level). This cannot be verified directly. To address this concern, first, we added time-varying socioeconomic and demographic variables in the model to account for any differences in time trends in outcome that may differ among zip codes due to different trends in those variables. Second, to further relax the parallel trends assumption, we classified each zip code into one of five clusters whose long-term trends might differ, using Ward's Hierarchical Cluster Analysis and average values for the following socioeconomic and demographic variables: percent of the population who identify as black, percent of the population who identify as Hispanic, median household income, median house value, the proportion with at least one ambulatory doctor's visit in a year, percent of the population over 65 living below the poverty line, percentage of the elderly population who did not graduate from high school, smoking rate, population density, and distance of zip code to the nearest hospital. Five clusters were chosen based on an optimization of Euclidean distance and thirty indices in the "NbClust" package (Charrad et al., 2014). The characteristics of the clusters can be seen in Supplemental Table 1. Cluster 1 seems to represent fairly white, rural, middle-income zip codes with a relatively low poverty rate and good access to health care. Cluster 2 seems to represent slightly more diverse, probably suburban, high-income zip codes with a low poverty rate. Clusters 3, 4, and 5 represent zip codes with larger minority populations. Clusters 3 and 4 are lower income and have higher poverty rates. Cluster 5 seems to indicate densely populated cities with wide disparities (i.e. high median house values but also high poverty and lower rates of high school graduation).

We included an interaction term between the assigned cluster and a smooth year term to allow for differences in outcome rate over time by cluster that previously may not have been controlled. If the assumption of parallel trends in admissions rates now holds for the zip codes within each cluster, this would be considered a causal model. The equation for the final model was:

$$E(AR_{ij}) = \beta_0 + \beta_1 \text{exposure} + \beta_2 \text{Covariates} + ns(\text{year}, 3) + ns(\text{year}, 3) * \text{as.factor}(\text{cluster}) + \delta_i + \epsilon_{ij}$$

where  $AR_{ij}$  is the admission rate for zip code  $i$  in year  $j$ ,  $ns$  is the natural spline of the year term (with three degrees of freedom) and  $\delta_j$  is an indicator term for each zip code and  $\epsilon_{ij}$  is the error term. Linear rate models give unbiased estimates, but because rates do not have constant variance, may have biased confidence intervals. Consequently, to calculate empirical confidence intervals, we used 1000 bootstraps. We chose a linear model to assess our outcome as the residuals from the model were fairly normally distributed (Figure 1). Furthermore, a linear model is an additive model which eases the interpretation of the results. Our effect estimates would represent the absolute change in the average rate of hospital admissions with our outcomes given a one unit increase in the exposure within a zip code, after adjusting for the covariates.

Our primary model was a multi-pollutant model, which included all five exposures of interest to adjust for confounding between exposures. We restricted the data to zip code-years that had at least 100 individuals enrolled in Medicare to have enough population to give rise to cases. We further conducted subgroup analyses looking at zip code-years where the two-year levels of  $PM_{2.5}$  were  $10 \mu\text{g}/\text{m}^3$ , the levels of  $NO_2$  were 53 ppb, and levels of  $O_3$  were 50 ppb. Due to the high correlation between warm-season temperature and cold-season temperature (Figure S1), we also conducted a sensitivity analysis where we looked at multi-pollutant models with only warm-season temperature but not cold-season temperature and vice versa. We also ran single pollutant analyses and looked at effect measure modification by region and cluster using interaction terms for our temperature exposures and these variables. Since our models used a two-year average of exposures and our data began in 2000, our statistical analysis was based on data from 2001 through 2016. All data cleaning and statistical analyses were conducted in R Statistical Software Version 3.5.1. In particular the “NBClust” package and “gnm” package were used (Charrad et al., 2014; Turner and Firth, 2020).

### 3. Results

We analyzed a dataset of 436,684 zip code-years which included 28,992 unique zip codes. The demographic characteristics of the population can be seen in Table 1. The proportion of women in each zip code-year was on average 55.2%, most observations were for individuals between 65–74 years of age (55.7%), and on average, 13.1% were also eligible for Medicaid. Blacks and other minorities constituted about 12% of the individuals in each zip code-year (Table 1). The lower exposure analyses had largely similar demographic characteristics and can be seen in Supplemental Table S2. The zip code-years with lower values of  $PM_{2.5}$  tended to have a lower proportion of individuals who identified as black



which indicates that a larger proportion of those who identified as black lived in zip code-years which have higher PM<sub>2.5</sub> values.

The average temperature was 20.26 °C for the warm-season and 6.28 °C for the cold-season. Average levels of air pollution were 16.22 ppb for NO<sub>2</sub>, 45.29 ppb for O<sub>3</sub>, and 9.77 µg/m<sup>3</sup> for PM<sub>2.5</sub> (Table 2) over a two-year period. These air pollutants had relatively low concentrations across the contiguous US.

The main results of our study can be seen in Table 3. An increase in two-year warm-season temperature of 1°C was associated with an increase in the overall absolute rate of respiratory disease (rate difference: 62.13 admissions (95% CI: 51.68–73.02) per 100,000 person-years) but was not associated with a significant change in the rate of CVD, MI, or stroke. An increase in two-year cold-season temperature was associated with a decreased rate of all studied outcomes. An increase of two-year average NO<sub>2</sub> by one ppb was associated with a decreased rate of MI and an increased rate of respiratory disease. O<sub>3</sub> increased the rate of all our outcomes, with an increase of 9.08 admissions (95% CI: 4.78–13.19) per 100,000 person-years in the rate for CVD, 2.23 admissions (95% CI: 1.12–3.37) per 100,000 person-years in the rate for MI, 1.18 admissions (95% CI: 0.24–2.13) per 100,000 person-years in the rate for stroke, 9.51 admissions (95% CI: 6.59–12.13) per 100,000 person-years in the rate for respiratory disease for each ppb increase in pollution levels over two calendar years. PM<sub>2.5</sub> increased the rate of hospitalizations with cardiovascular diseases in general (rate difference: 47.71 admissions (95% CI: 41.25–56.05) per 100,000 person-years) and MIs in particular (rate difference: 7.44 admissions (95% CI: 5.53–9.63) per 100,000 person-years) as well as respiratory disease (rate difference: 18.58 admissions (95% CI: 12.42–23.72) per 100,000 person-years). The comparison of single-pollutant and multi-pollutant models showed a slightly lower effect estimate in the multi-pollutant model (Table S3). This is expected as in the multi-pollutant model the air pollutants adjust for one another.

In the subgroup analysis, we restricted our dataset to lower exposure values. For zip code-years with two-year PM<sub>2.5</sub> levels < 10 µg/m<sup>3</sup>, we found that PM<sub>2.5</sub> had a larger effect on hospital admission rates for CVD (53.89 vs. 47.71 admissions per 100,000 person-years) and MI (17.66 vs. 7.44 admissions per 100,000 person-years), but not respiratory disease (15.21 vs. 18.58 admissions per 100,000 person-years), as compared to the full analysis. In the low NO<sub>2</sub> dataset, NO<sub>2</sub> was slightly less harmful for respiratory disease (2.97 vs. 3.16 admissions per 100,000 person-years). In the low ozone dataset, ozone was less harmful for CVD (7.35 vs. 9.08 admissions per 100,000 person-years), stroke (0.49 vs. 1.18 admissions per person-years), and MI (1.97 vs. 2.23 admissions per 100,000 person-years), but more harmful for respiratory disease (11.18 vs. 9.51 admissions per 100,000 person-years) though the effects for stroke were no longer significant in the low exposure dataset (Figure 2 and Table S4a–4c).

Since there was a high correlation between warm-season temperature and cold-season temperature ( $\rho=0.85$ ), we ran multi-pollutant models with only one of these variables as a sensitivity analysis. We found that increased two-year warm-season temperature was associated with a lower rate of admissions with CVD, MI, and stroke but a higher rate of admissions with respiratory disease. In the main analyses, the relationship between

warm-season temperature and CVD, MI, and stroke was non-significant. An increase in two-year cold-season temperature was still significantly associated with a decrease in the rate of hospital admissions with all outcomes (Figure 3 and Table S5a–5b). In the analyses with interaction terms between seasonal temperature and region, we find that though the main effect of higher cold-season temperatures is generally protective, it is less protective in the Western region, where temperatures remain mild during the cold season. For clusters, it seems that warmer cold-season temperatures are most protective for Cluster 4 as compared to the other clusters, which tended to have lower socioeconomic characteristics, particularly in terms of admissions for respiratory diseases (Figure S3 & Figure S4).

#### 4. Discussion

This study looked at the relationship between long-term exposures to  $PM_{2.5}$ ,  $NO_2$ ,  $O_3$ , warm-season temperature, and cold-season temperature and annual hospital admission rates with cardiovascular disease, myocardial infarction, ischemic stroke, and respiratory disease using a variation of the difference-in-difference approach. We found that among the air pollutants,  $PM_{2.5}$  increased the rate of CVD, MI, and respiratory disease and  $O_3$  was harmful for all our outcomes.  $NO_2$  was protective for MI but harmful for respiratory disease. However, when we restricted to lower levels of  $NO_2$ , the protective effect on MI became non-significant and harmful (Supplemental Figure S2). An increase in warm-season temperature was found to be harmful for overall admission rates with respiratory disease, and a decrease in cold-season temperature was found to increase admission rates for all our outcomes. For some of our significantly harmful air pollution effects, we found more substantial effects at lower concentration exposures, consistent with previous studies (Danesh Yazdi et al., 2021, 2019; Di et al., 2017). Our study design adds additive effect estimates and considerable assurance by controlling for all unmeasured confounders that differ spatially, that differ temporally due to temporal changes in socio-demographic variables and captures unmeasured temporal confounders whose trends differ by clusters based on socioeconomic and racial factors. It provides a causal interpretation if the assumptions of the model are met.

Our results reinforce conclusions from other literature that air pollution, even at low concentrations, below national standards, results in adverse health outcomes. This is particularly true of  $PM_{2.5}$  and  $O_3$  which consistently showed harmful effects across outcomes. The US Environmental Protection Agency (EPA) does not currently have any guidelines for long-term exposure to  $O_3$  and is re-assessing  $PM_{2.5}$  standards. Our study points to the need for stricter air pollution regulations.

It is difficult to compare our results to other studies as most other literature assesses these relationships on a multiplicative scale or with adjustment for different sets of co-exposures if any at all. A previous study looking at long-term air pollution and cardiovascular and respiratory outcomes using a doubly robust additive model in the same population found  $PM_{2.5}$  to be harmful for MI, stroke, and pneumonia. In this study, the  $PM_{2.5}$  effects on stroke while not significant, were positive. The lack of significance may reflect the lower power in this study where all difference in pollution between zip codes are controlled away by the indicator variables for every zip code. In the prior study, ozone was harmful for pneumonia admissions and protective in MI and stroke.  $NO_2$  had a protective effect for pneumonia and



MI and was harmful for stroke. However, those models did not adjust for temperature and also looked at ozone as annual average ozone and not warm-season average ozone (Danesh Yazdi et al., 2021). In another study, also conducted among Medicare participants across the US from 2000–2016, the researchers found that PM<sub>2.5</sub> and NO<sub>2</sub> increased the risk of cardiovascular admissions (PM<sub>2.5</sub>: HR 1.041 (95% CI: 1.038–1.045) and NO<sub>2</sub>: HR 1.033 (95% CI: 1.028–1.037) per IQR increase) and cerebrovascular admissions (PM<sub>2.5</sub>: HR 1.405 (1.380– 1.430) and NO<sub>2</sub>: HR 1.237 (1.215–1.259) per IQR increase) but ozone had a protective effect. All the pollutants were harmful at lower concentrations (Klompaker et al., 2021). We also found PM<sub>2.5</sub> and ozone to be harmful for cardiovascular disease, though in our study we found non-significant effects for NO<sub>2</sub>. It is important to note that in that study, a non-causal multiplicative approach was used as well as other covariates such as greenness and oxidant capacity. Another study in the Medicare population in the southeast region using a marginal structural model approach found significantly harmful effects for both PM<sub>2.5</sub> and ozone on myocardial infarction and stroke, though those models did not adjust for NO<sub>2</sub> or temperature (Danesh Yazdi et al., 2019). A meta-analysis looking at the effect of long-term exposure to PM<sub>2.5</sub> on cardiovascular events and mortality found a relative risk of 1.09 (95% CI: 0.99–1.20) for each 10 µg/m<sup>3</sup> increase in pollution levels for incident myocardial infarction and a relative risk of 1.13 (95% CI: 1.11–1.15) for incident stroke, which is consistent with the direction of effects in our results, though the effect for MI was significant and the effect for stroke was non-significant in our study (Alexeeff et al., 2021). Another meta-analysis looking at long-term exposure to PM<sub>2.5</sub> and MI found a pooled relative risk of 1.18 (95% CI: 1.11–1.26) for each 10 µg/m<sup>3</sup> increase in pollution levels (Zou et al., 2021). A systemic review of literature on ambient temperature and cardiopulmonary outcomes found higher winter temperatures to be associated with lower mortality from cardiovascular and respiratory disease which is consistent with what we found for our outcomes as well (Zafeiratou et al., 2021). Methodologically speaking, other difference-in-difference papers have looked at mortality as the outcome of interest as opposed to hospital admission. The most directly comparable study which looked at long-term exposure to PM<sub>2.5</sub> and mortality found a harmful effect, an increase in the mortality rate of  $3.85 \times 10^{-4}$  for each one µg/m<sup>3</sup> increase in PM<sub>2.5</sub> levels. This is similar our results which found a  $4.77 \times 10^{-4}$  increase in rate of CVD admissions for each unit increase in two-year PM<sub>2.5</sub> levels (Schwartz et al., 2021).

Air pollution is generally believed to cause harm to the cardiovascular and respiratory systems by penetrating into lung tissue and the systemic circulation and causing increased levels of inflammation and oxidative stress (Hajat et al., 2015; Viehmann et al., 2015). There is also evidence that air pollution can accelerate biological aging (Ward-Caviness et al., 2016). It is further believed that exposure to particulate matter can lead to vasoconstriction, hypertension, and plaque destabilization, all of which may increase the risk of cardiovascular disease (Fiordelisi et al., 2017). Ozone has also been linked to increased vasoconstriction, peripheral blood pressure, as well as autonomic dysregulation (Srebot et al., 2009). Lower temperatures have also been associated with an increase in inflammatory biomarkers such C-reactive protein (CRP) and interleukin-6 (IL-6) which is consistent with the protective effects we saw with higher cold-season temperatures (Halonen et al., 2010;

Schneider et al., 2008). Lower temperatures have also been associated with higher blood pressure which is also a risk factor for cardiovascular disease(Halonen et al., 2011).

Our study had several strengths. Firstly, we used a very large national cohort to look at the exposure-outcome relationship. Secondly, we looked at multiple exposures simultaneously, which better reflects real-life exposure to air pollutants. Thirdly, our model was on an additive scale, which means that our effect estimates can be directly interpreted as the change in rate. Moreover, we used a causal modeling approach with an indicator term for zip code which would have eliminated confounding by any variables that may vary between zip codes, both measured and unmeasured, leaving only potential confounding within a zip code over time. Finally, we adjusted for several measures of socioeconomic status on a time-varying basis, which would be the main confounders of concern in the air pollution and hospital admissions relationship. This likely accounted for a large portion of the within-zip code confounding. We also included an interaction term between a smooth term for year and zip code cluster to account for time trends by unmeasured confounders whose trends were similar within clusters defined by socioeconomic characteristics. This should also account for confounding by these characteristics and relax the parallel trends assumption of the model.

Our approach also had some limitations. We assigned exposure based on spatio-temporal models derived from machine learning estimations. While these exposures had strong validation measures, there is still some residual measurement error that may influence the results. Furthermore, we used an administrative dataset and billing codes to identify our outcomes which is subject to potential misclassification, though we would expect this to be non-differential with regards to pollution exposure. Further, in a linear model, the misclassification error tends to be absorbed into the residual error and produce no bias, which is another advantage of the linear rate model. Finally, our causal approach relies on the parallel trends assumption, which we cannot verify. However, we did attempt to address it by including numerous time-varying socioeconomic variables in the model and by including an interaction term between time and zip code socioeconomic cluster.

## 5. Conclusion

Among the elderly population in the United States, air pollution generally increased the rate of hospital admissions with CVD, MI, stroke, and respiratory disease.  $PM_{2.5}$  and ozone, in particular, were found to increase the risk of admissions across several health comes. Increasing cold-season temperature reduced the rate of admissions with CVD, MI, stroke, and respiratory disease. These results strengthen the need for stricter air pollution guidelines in order to protect human health.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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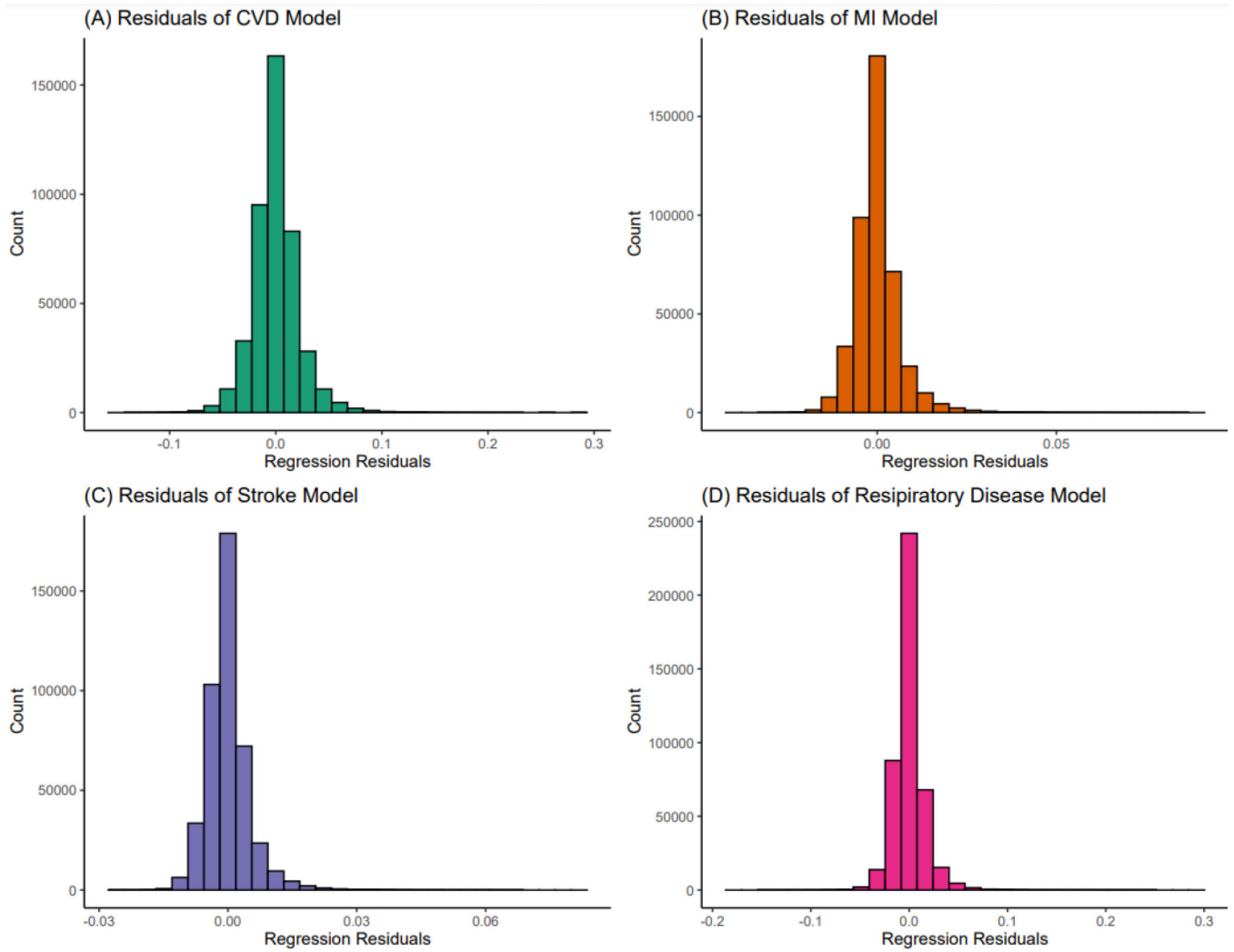
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**Highlights**

- PM<sub>2.5</sub> and O<sub>3</sub> increased the rate of hospital admissions.
- Warmer cold-season temperature reduces the rates of hospital admissions.
- Effects were seen on an additive scale.
- Harmful effects were seen at low air pollution concentrations as well.





**Figure 1.** Distribution of residuals for each outcome in main model



**Figure 2.**  
Hospital Admission Rates in Low Exposure Analyses



**Figure 3.** Hospital Admission Rates and Exposure to Air Pollution and Temperature. A) All exposures included in multipollutant model. B) Cold-season temperature excluded from multipollutant model. C) Warm-season temperature excluded from multipollutant model.

**Table 1.**

Demographic Characteristics of Data (2001–2016)

<b>Total Observations (N)</b>	436,684
<b>Zip Codes</b>	28,992
<b>Demographic Characteristic</b>	<b>Average Percentage Across Zip Code-Years</b>
<b>Sex: Female</b>	55.2%
<b>Race: Black</b>	7.5%
<b>Race: Other/Unknown</b>	4.4%
<b>Medicaid-Eligible</b>	13.1%
<b>Age Group: 65 Age &lt; 75</b>	55.7%
<b>Age Group: 75 Age &lt; 85</b>	32.0%

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**Table 2.**

Distribution of Exposures Over a Two-year Period (2001–2016)

Exposure	Minimum	10 <sup>th</sup> Percentile	25 <sup>th</sup> Percentile	Mean	Median	75 <sup>th</sup> Percentile	90 <sup>th</sup> Percentile	Maximum
Warm-Season Temperature (°C)	6.49	15.67	17.49	20.26	19.92	22.98	25.61	31.92
Cold-Season Temperature (°C)	-9.73	-0.58	1.94	6.28	5.38	10.55	14.69	24.01
NO <sub>2</sub> (ppb)	0.03	7.15	9.63	16.22	13.86	20.63	29.14	98.59
O <sub>3</sub> (ppb)	19.75	38.76	42.29	45.29	45.19	48.56	51.66	80.44
PM <sub>2.5</sub> (µg/m <sup>3</sup> )	0.79	5.78	7.82	9.77	9.73	11.76	13.71	28.85

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**Table 3.**

Main Results-Difference-in-Difference Analysis of Air Pollution and Temperature and Hospital Admissions

	Cardiovascular Disease <sup>1,2</sup>			Myocardial Infarction <sup>1,2</sup>			Stroke <sup>1,2</sup>			Respiratory Disease <sup>1,2</sup>		
	Rate Difference	Lower 95% CI	Upper 95% CI	Rate Difference	Lower 95% CI	Upper 95% CI	Rate Difference	Lower 95% CI	Upper 95% CI	Rate Difference	Lower 95% CI	Upper 95% CI
<b>Warm Temp</b>	3.79	-12.18	16.77	-3.76	-7.92	0.31	0.74	-2.86	4.09	62.13	51.68	73.02
<b>Cold Temp</b>	-50.48	-60.18	-40.20	-5.01	-7.67	-2.18	-9.66	-11.95	-7.45	-66.42	-73.73	-59.93
<b>NO<sub>2</sub></b>	-0.84	-3.79	1.61	-0.83	-1.55	-0.10	0.49	-0.12	1.11	3.16	1.34	5.24
<b>O<sub>3</sub></b>	9.08	4.78	13.19	2.23	1.12	3.37	1.18	0.24	2.13	9.51	6.59	12.13
<b>PM<sub>2.5</sub></b>	47.71	41.25	56.05	7.44	5.53	9.63	1.75	-0.10	3.60	18.58	12.42	23.72

<sup>1</sup>All results are presented per 100,000 person-years<sup>2</sup>Results reflect the effect for each one unit increase in annual exposure levels. For warm and cold-season temperature the units are degrees Celsius. For NO<sub>2</sub> and O<sub>3</sub>, the units are parts per billion (ppb) and for PM<sub>2.5</sub> the units are µg/m<sup>3</sup>