



# Vidutolimod in Combination With Atezolizumab With and Without Radiation Therapy in Patients With Programmed Cell Death Protein 1 or Programmed Death-Ligand 1 Blockade-Resistant Advanced NSCLC

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## ABSTRACT

**Introduction:** Vidutolimod, a CpG-A TLR9 agonist, was investigated in a phase 1b study (CMP-001-003; [ClinicalTrials.gov](https://clinicaltrials.gov/ct2/show/study/NCT03438318), NCT03438318) in combination with atezolizumab with and without radiation therapy (RT) in patients with advanced NSCLC.

**Methods:** Patients with progressive disease after anti-programmed cell death protein 1 or programmed death-ligand 1 therapy received either vidutolimod and atezolizumab (part A) or vidutolimod, atezolizumab, and RT (part B). The primary objective was to evaluate the safety of vidutolimod and atezolizumab with and without RT. Key secondary end point was best objective response rate per Response Evaluation Criteria in Solid Tumors, version 1.1.

**Results:** Between March 28, 2018, and July 25, 2019, a total of 29 patients were enrolled and received at least one dose of vidutolimod (part A, n = 13; part B, n = 16). Intratumoral injections of vidutolimod were administered successfully, including injection of visceral lesions. The most common treatment-related adverse events ( $\geq 30\%$ ) were flu-like symptoms and hypotension. No objective responses were observed; 23.1% and 50.0% of the patients in parts A and B, respectively, had stable disease as best response. In parts A and B, 15.4% and 25.0% of the patients, respectively, had tumor shrinkage ( $< 30\%$  decrease in tumor size, nonirradiated). Enrollment was stopped owing to lack of objective responses. In the two patients with initial tumor shrinkage in part A, a strong serum induction of C-X-C motif chemokine ligand 10 was observed.

**Conclusions:** Vidutolimod and atezolizumab with and without RT had a manageable safety profile, with minimal clinical activity in heavily pretreated patients with programmed cell death protein 1 or programmed death-ligand 1 blockade-resistant NSCLC.

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**Keywords:** Vidutolimod; Atezolizumab; Radiation; TLR9 agonist; NSCLC

## Introduction

Immune checkpoint inhibition has revolutionized the treatment paradigm for patients with metastatic NSCLC

and is yielding unprecedented benefit.<sup>1,2</sup> Nevertheless, a substantial portion of patients do not respond, and many who do respond eventually experience disease progression owing to acquired resistance to programmed cell death protein 1 (PD-1) or programmed death-ligand 1 (PD-L1) blockade.<sup>2</sup> The absence of preexisting interferon (IFN) gamma-secreting CD8<sup>+</sup> T cells at the tumor margin or within the tumor is one of several postulated mechanisms of resistance to anti-PD-1 or PD-L1 therapies,<sup>3-6</sup> and thus, combination therapies targeting multiple cancer immune evasion pathways may be necessary for an effective antitumor immune response.<sup>7</sup>

Vidutolimod (previously known as CMP-001) is a CpG-A TLR9 agonist packaged within an immunogenic virus-like particle that induces the production of anti-virus-like particle antibodies, thereby stimulating plasmacytoid dendritic cells (pDCs), resulting in IFN  $\alpha$  induction and increased tumor regression in preclinical models compared with treatment with naked CpG-A oligonucleotides.<sup>8</sup> The activation of pDCs is enhanced by co-stimulation of TLR9 and Fc $\gamma$  receptor IIA (CD32).<sup>9,10</sup> In preclinical studies, pDC activation by CpG oligonucleotides led to cross-priming of antitumor CD8<sup>+</sup> T cells, mediated by the transfer of tumor antigens from pDCs to conventional DCs.<sup>11</sup> In a phase 1b study in advanced melanoma, intratumoral injection of vidutolimod plus pembrolizumab resulted in reversal of PD-1 blockade resistance, with durable responses and an acceptable safety profile in patients who previously progressed on anti-PD-1 therapy.<sup>12</sup>

Atezolizumab, a PD-L1 blocking antibody, is approved by the U.S. Food and Drug Administration in multiple indications, including the first-line treatment of adult patients with metastatic NSCLC whose tumors have high PD-L1 expression (PD-L1  $\geq 50\%$  of tumor cells) and no *EGFR* or *ALK* genomic tumor aberrations; atezolizumab is also used in combination with chemotherapy for the first-line treatment of patients with metastatic NSCLC without *EGFR* or *ALK* genomic tumor aberrations.<sup>13-15</sup> Radiation therapy (RT) has been found to act as an immunostimulant and immunosuppressive.<sup>16-18</sup> Its immunomodulatory effects are driven by multiple mechanisms, including DNA fragmentation-mediated induction of IFN-stimulated genes, induction of inflammatory cytokines, and induction of immunogenic cell death, resulting in increased antigen presentation.<sup>17,18</sup> TLR9 agonists have previously been reported to synergize with RT and improve responses in mouse tumor

models<sup>19,20</sup> and in humans.<sup>21</sup> In lung and colon cancer mouse models, RT resulted in recruitment of pDCs into tumors, whereas intratumoral injection of vidutolimod induced CD4+ and CD8+ T-cell responses in tumors, with local and abscopal antitumor effects.<sup>22</sup>

On the basis of the complementary immune-activating effects of a TLR9 agonist, PD-1 or PD-L1 inhibitor, and RT, we hypothesized that a combination approach may enhance antitumor immune responses and bring clinical benefit to patients with resistance to PD-1 or PD-L1 blockade.<sup>23</sup> Here, we report the results of the phase 1b study, CMP-001-003, evaluating vidutolimod and atezolizumab with and without RT in patients with NSCLC who progressed on previous PD-1 or PD-L1 blockade therapy.

## Methods

### Study Design and Oversight

CMP-001-003 (NCT03438318) was a multicenter, open-label, two-part, phase 1b study of vidutolimod and atezolizumab with and without RT in patients with advanced NSCLC. The study was conducted in accordance with the Declaration of Helsinki and Good Clinical Practice guidelines. All relevant institutional review boards approved this study, and all patients provided written informed consent.

Patients received vidutolimod and atezolizumab in part A and vidutolimod, atezolizumab, and RT in part B. Each part included a five-patient safety run-in. After a 30-day dose-limiting toxicity (DLT) monitoring period that included the first five doses of vidutolimod, a safety review committee determined whether accrual should continue. Accrual to part B was sequential to part A and contingent on an acceptable safety profile in part A.

### Patients

Patients aged 18 years or older with histologically confirmed NSCLC with recurrent or metastatic disease, measurable disease per Response Evaluation Criteria in Solid Tumors, version 1.1 (RECIST v1.1), and Eastern Cooperative Oncology Group performance status of 0 or 1 were eligible. Progressive disease (PD) on prior PD-1 or PD-L1 blockade was required. Patients with *EGFR*-activating mutations or *ALK* gene rearrangements must have received prior standard-of-care treatment and have evidence of PD. At least one extra-central nervous system (CNS), non-bone tumor lesion of at least 1.5 cm amenable to intratumoral injection that was not near or encasing critical structures, such as the major blood vessels, trachea, or nerve bundles, was required. Patients with CNS metastases were eligible for the trial if the metastases had been treated by use of surgery or RT, the patient did not require corticosteroids of greater than 10

mg/d prednisone or the equivalent, the patient was neurologically stable for at least 2 weeks, and the brain magnetic resonance imaging performed within 6 weeks of screening did not reveal progression of CNS disease.

### Treatment

The TLR9 agonist vidutolimod was administered subcutaneously once weekly at 5 mg (1 mg/mL) in weeks 1 and 2, intratumorally at 5 mg (1 mg/mL) or 10 mg (2 mg/mL) in weeks 3 to 5, and every 3 weeks (subcutaneously or intratumorally at the investigator's discretion) thereafter. At week 2 (1 wk after the first vidutolimod injection), PD-L1 blockade with intravenous atezolizumab 1200 mg was administered every 3 weeks. The RT consisted of 20 grays (photons or protons) delivered in five fractions for 5 days beginning more than or equal to 2 days before starting vidutolimod in part B; a vidutolimod injection into the irradiated lesion was required. Treatment continued until PD, unacceptable toxicity, or consent withdrawal. Patients with documented PD in part A had the option of receiving radiation add-on treatment.

The route of administration of vidutolimod (i.e., subcutaneous or intratumoral) beyond week 5 was at the investigator's discretion. Owing to results from a study evaluating vidutolimod and pembrolizumab in patients with PD-1 blockade-resistant metastatic melanoma which revealed a similar safety profile for the vidutolimod 10-mg intratumoral dose and doses less than 10 mg, previously enrolled patients receiving vidutolimod 5 mg intratumoral injections were dose escalated to 10 mg intratumorally.<sup>12</sup> Subsequently enrolled patients also received vidutolimod 10 mg intratumorally. Subcutaneous administration could be performed at any site, but areas of lymphatic drainage of metastatic disease were preferred. Vidutolimod intratumoral injections could be administered to target or to nontarget lesions, although the target lesions were preferred. The target lesions were identified per RECIST v1.1. The irradiated lesions could not be used as the target lesions for response assessment. If the total dose of vidutolimod was to be split across multiple lesions, a minimum of approximately 3 mg should have been injected into each lesion. For the patients in part 2, vidutolimod injection into the irradiated lesion was required.

To reduce symptoms associated with vidutolimod-induced cytokine release, intravenous fluids, nonsteroidal anti-inflammatory drugs, and antiemetics were recommended. For patients who experienced a vidutolimod-related adverse event (AE) of at least grade 3, steroid prophylaxis was recommended for subsequent vidutolimod doses. For the first five vidutolimod dosing

visits, patient vital signs were collected before vidutolimod dosing and at 30-minute intervals ( $\pm 15$  min) for 4 hours after dosing; observation periods could be reduced to 1 hour for patients with mild to no AEs at the investigator's discretion. An internal gross tumor volume plus 5- to 8-mm margin (based on the investigator's discretion) was used to define the planning target volume for RT. No clinical target volume was specified for RT. The prescribed dose of RT was administered to the planning target volume, with 95% coverage whenever possible. In part A, patients who received RT at the time of PD underwent a 10-day vidutolimod washout period. Methods on DLT assessments are provided in the [Supplementary Methods](#).

### **Objectives and Assessments**

The primary objective was to evaluate the safety of vidutolimod and atezolizumab with and without RT. Treatment-emergent AEs (TEAEs) were coded using the Medical Dictionary for Regulatory Activities, version 20.0, and the severity of TEAEs was classified using the National Cancer Institute Common Terminology Criteria for Adverse Events, version 5.0. The investigator assessed the relationship of TEAEs to the combination study treatment (treatment-related AEs [TRAEs]) and not to the individual treatment components.

The secondary objectives were to assess the anti-tumor activity of the combination treatment and its pharmacodynamic effects on C-X-C motif chemokine ligand 10 (CXCL10). Antitumor activity was measured using best objective response rate, time to response, and duration of response per investigator-assessed RECIST v1.1. Tumor imaging was performed less than or equal to 3 weeks before the first vidutolimod injection, every 9 ( $\pm 1$ ) weeks from the first vidutolimod injection, and at the end of the treatment.

Exploratory objectives included the systemic pharmacodynamic profile of intratumoral vidutolimod injection and characterization of biomarkers in tumor biopsy specimens and the peripheral blood. Methods on safety parameters assessed are provided in the [Supplementary Methods](#).

### **Pharmacodynamic and Biomarker Analyses**

A magnetic 25-Plex Luminex Assay (catalog #LHC0009M; ThermoFisher Scientific, Waltham, MA) was used to quantitate cytokine and chemokine levels; 4- $\mu$ m-thick serial sections generated from formalin-fixed paraffin-embedded tumor biopsy tissue were immunostained for CD8 (cytotoxic T cells; catalog #M7103; Dako Agilent, Santa Clara, CA), PD-L1 (catalog #13684; Cell Signaling Technology, Danvers, MA), and corresponding matching isotype controls. Full-scan analysis was

performed using Flagship Bioscience's (Broomfield, CO) proprietary computational tissue analysis imaging software system. The assay was performed by QPS (Newark, DE) according to the manufacturer's specification, with samples analyzed in triplicate and read on a Bio-Plex 200 (Bio-Rad Laboratories, Hercules, CA).

Concentrations for each biomarker were back-calculated against the corresponding standard curve using five-parameter logistic regression. Staining and analysis were performed by Flagship Biosciences. Slides were stained in a Leica Bond RX Autostainer and scanned on Aperio's AT Turbo and CS bright-field slide scanning systems (Leica Biosystems, Buffalo Grove, IL). The computational tissue analysis and image analysis platform identified nuclei based on hematoxylin staining and then quantified the intensity of staining for each identified cell. To identify the positive cells, staining-intensity thresholds were set using biomarker-specific algorithms for CD8 and PD-L1. For PD-L1, multiple thresholds of scoring were set (negative, +1, +2, and +3), consistent with manual scoring approaches. All annotations and image analysis markups were assessed by a pathologist to verify performance and accuracy. Stained cell counts and the percentage of positive cells were quantified. PD-L1 expression was quantified from multiple intensity thresholds using the following algorithm that calculated the histology score (H-score). Digital H-scores ranging from 0 to 300 were calculated using the following standard formula:  $[3 \times \% \text{ cells} + 3 \text{ intensity}] + [2 \times \% \text{ cells} + 2 \text{ intensity}] + [1 \times \% \text{ cells} + 1 \text{ intensity}]$ .

### **Whole Exome Sequencing**

DNA was extracted by GeneWiz according to the company's standard protocols. Sample FASTQ files were first assessed by FASTQC version 0.11.7 and in aggregate by MultiQC as previously described.<sup>24,25</sup> Sample FASTQs were aligned by Burrows-Wheeler Alignment tool (version 0.7.17) using Hg38 reference assembly as previously described.<sup>26</sup> Reads were sorted and duplicates marked using the bundled Picard tools with GATK version 4.1.8.1.<sup>27</sup> Base quality score recalibration was applied<sup>27</sup> to the sorted, duplicate-marked alignments. Recalibrated alignments were processed by MuTect<sup>28</sup> for single-sample calling; owing to the lack of adjacent normal samples, the germline resource from gnomAD<sup>29</sup> was applied, along with the Panel of Normals provided by the Broad Institute (Cambridge, MA), to identify germline variants and sequencing artifacts, respectively. Orientation bias data were emitted and trained by the Learn Orientation Bias module of MuTect to help identify putative artifacts. The emitted MuTect variants and processed orientation bias were integrated into a final callset and were annotated by SnpEff version 5.0.<sup>30</sup>



Table 1. Patient Demographics and Baseline Characteristics

Demographic or Characteristics	Part A Vidutolimod + Atezolizumab n = 13	Part B Vidutolimod + Atezolizumab + RT n = 16
Median age, y (range)	65 (48-75)	57 (44-76)
Male   female, n (%)	6 (46.2)   7 (53.8)	10 (62.5)   6 (37.5)
ECOG PS 0   1, n (%)	4 (30.8)   9 (69.2)	3 (18.8)   13 (81.3)
Baseline disease location(s), <sup>a</sup> n (%)		
Any lung	11 (84.6)	16 (100)
Lung only	3 (23.1)	1 (6.3)
Lung and lymph nodes only	3 (23.1)	4 (25.0)
Any visceral disease	5 (38.5)	4 (25.0)
Any CNS disease	0	1 (6.3)
Any bone disease	5 (38.5)	8 (50.0)
Any liver disease	2 (15.4)	1 (6.3)
PD-L1 status, n (%)		
Negative	5 (38.5)	4 (25.0)
Positive	5 (38.5)	6 (37.5)
Unknown	3 (23.1)	6 (37.5)
Median number of prior systemic cancer treatment regimens, n (range) <sup>b</sup>	3 (1-5)	3 (1-6)
1 Prior therapy, n (%)	1 (7.7)	3 (18.8)
2-3 Prior therapies, n (%)	7 (53.8)	9 (56.3)
≥4 Prior therapies, n (%)	5 (38.5)	4 (25.0)
Prior anti-PD-1 or PD-L1 treatment, n (%)	13 (100)	16 (100)
Monotherapy, n (%)	11 (84.6)	6 (37.5)
Combination therapy, n (%)	2 (15.4)	12 (75.0)
Prior anti-PD-1/PD-L1 best response, n (%)		
PR	1 (7.7)	1 (6.3)
Stable disease	3 (23.1)	11 (68.8)
PD	9 (69.2)	4 (25.0)

<sup>a</sup>Based on screening RECIST v1.1 target and nontarget lesions. Patients with more than one baseline disease location are included in multiple categories. "Any" indicates that patients may have had lesions in other areas.

<sup>b</sup>Combination treatments, including those administered on the same day, are counted as a single prior treatment regimen.

CNS, central nervous system; ECOG PS, Eastern Cooperative Oncology Group performance status; PD, progressive disease; PD-1, programmed cell death protein 1; PD-L1, programmed death-ligand 1; PR, partial response; RECIST v1.1, Response Evaluation in Solid Tumors, version 1.1; RT, radiation therapy.

## Statistical Analysis

The sample size for each part of the study was based on a Simon's two-stage optimal design by enrolling 12 patients in stage 1 and continuing with enrollment of 23 additional patients in stage 2 only if there were at least two of 12 responders based on RECIST v1.1. The assumptions for the study were if the null hypothesis  $H_0: p \leq 10\%$  was true, there would be a 10% chance (i.e.,  $\alpha = 0.10$ ) of concluding that the study regimens were promising and should be studied further; if the alternative hypothesis  $H_1: p \geq 30\%$  was true, there would be a 10% chance (i.e.,  $\beta = 0.10$ ) of rejecting the study regimens for further study. This was assessed separately for part A and part B.

If the DLT rate exceeded 33% in the first five patients enrolled in each part, further accrual in that part would be halted. If safety run-in established an acceptable safety profile of the treatment regimen in the first five patients, each part would enroll an additional seven patients in stage 1; if an acceptable safety profile was established and more than or equal to two of 12

assessable patients had a RECIST response, each part would enroll an additional 23 patients in stage 2.

All patients who received at least one dose of vidutolimod were included in the analysis. Statistical analyses were performed using SAS software version 9.4 (SAS Institute, Cary, NC).

## Results

### Patients

Between March 28, 2018, and July 25, 2019, 29 patients with recurrent or metastatic NSCLC were enrolled in the study (part A, 13; part B, 16) ([Supplementary Fig. 1](#)). Patient characteristics and prior treatments are summarized in [Table 1](#) and [Supplementary Table 1](#). In parts A and B, the median number of prior lines of therapy was three (range, 1–6), and all patients received prior anti-PD-1 or PD-L1 therapy. Across both parts, the best response to prior anti-PD-1 or PD-L1 treatment was PD in 44.8% of the patients, stable disease in 48.3% of the patients, and PR in 6.9% of the patients.

**Table 2.** Treatment-Related Adverse Events

Incidence, n (%)	Part A Vidutolimod + Atezolizumab n = 13			Part B Vidutolimod + Atezolizumab + RT n = 16		
	Any Grade	Grade 3	Grade 4	Any Grade	Grade 3	Grade 4
Patients with $\geq 1$ TRAE	13 (100.0)	4 (30.8)	2 (15.4)	14 (87.5)	7 (43.8)	1 (6.3)
TRAEs with $\geq 15\%$ incidence in any part <sup>a</sup>						
Pyrexia	6 (46.2)	0	0	9 (56.3)	1 (6.3)	0
Hypotension	5 (38.5)	1 (7.7)	0	9 (56.3)	4 (25.0)	0
Chills	4 (30.8)	0	0	6 (37.5)	1 (6.3)	0
Fatigue	3 (23.1)	1 (7.7)	0	3 (18.8)	0	0
Anemia	1 (7.7)	0	0	5 (31.3)	2 (12.5)	0
Headache	4 (30.8)	0	0	1 (6.3)	0	0
Hypophosphatemia	2 (15.4)	1 (7.7)	0	3 (18.8)	0	0
Injection site pain	2 (15.4)	0	0	2 (12.5)	0	0
Injection site reaction	2 (15.4)	0	0	2 (12.5)	0	0
Platelet count decreased	1 (7.7)	0	0	3 (18.8)	0	0
Tachycardia	2 (15.4)	0	0	2 (12.5)	1 (6.3)	0
Hypokalemia	1 (7.7)	0	0	2 (12.5)	1 (6.3)	1 (6.3)
Back pain	2 (15.4)	1 (7.7)	0	0	0	0
Dyspnea	2 (15.4)	2 (15.4)	0	0	0	0
Injection site rash	2 (15.4)	0	0	0	0	0
Pneumonitis	2 (15.4)	1 (7.7)	0	0	0	0

Note: No treatment-related deaths were reported.

<sup>a</sup>Or  $\geq 2$  patients of grade  $\geq 3$  in any part.

RT, radiation therapy; TRAE, treatment-related adverse event.

Furthermore, 31% of the patients had PD-L1–negative tumors, 37.9% of the patients had PD-L1–positive tumors, and the status was unknown in 31.0% of the patients.

All patients received at least one dose of vidutolimod subcutaneously, and the median number of subcutaneous vidutolimod injection visits was two (range, part A: 2–12; part B: 1–5). The median number of vidutolimod intratumoral injection visits was three (range, part A: 1–12; part B: 1–6). The most common sites of vidutolimod-injected lesions were the lung or pleura (part A, 38.5%; part B, 31.3%) or the lymph nodes (part A, 30.8%; part B, 31.3%). Only one patient in part B did not receive intratumoral vidutolimod injection owing to early PD. All patients in part B received RT, and RT was administered to one patient in part A after progression as allowed per the protocol. Details on the administered study treatments are summarized in [Supplementary Table 2](#).

## Safety

No DLTs were reported during the safety run-in period in stage 1 for either part of the study. In part A, all 13 patients (100.0%) had one or more TRAE and six patients (46.2%) had grade 3 or 4 TRAEs. The most common any-grade TRAEs were pyrexia (46.2%), hypotension (38.5%), chills (30.8%), headache (30.8%), and fatigue (23.1%). Dyspnea was the most frequent grade 3 or 4 TRAE (two of 13 patients; 15.4%); the two incidences occurred after the second intratumoral dose

(n = 1) and third intratumoral dose (n = 1) of vidutolimod, respectively. One patient (7.7%) experienced treatment-related pneumonitis of at least grade 3, which was the only TRAE that resulted in study discontinuation. Pneumonitis developed after the first intratumoral injection to the anterior mediastinal prevascular lymph node.

In part B, 14 patients (87.5%) had at least one any-grade TRAE and eight patients (50.0%) had grade 3 or 4 TRAEs. The most common TRAEs were hypotension (56.3%), pyrexia (56.3%), chills (37.5%), and anemia (31.3%), and the most common grade 3 or 4 TRAEs were hypotension (25.0%), anemia (12.5%), and hypokalemia (12.5%). No patient discontinued the study treatment owing to TRAEs in part B.

Across both parts, TRAEs of grade 3 hypotension occurred in five patients after the second intratumoral dose (n = 4) or after the third intratumoral dose (n = 1) of vidutolimod. Four of these patients were hospitalized for 24 to 48 hours, depending on comorbidities and other clinical events. Hypotension occurred once in two patients, twice in one patient, and three times in one patient; each patient was hospitalized for hypotension once. Of the four patients hospitalized, one was in part A and the other three were in part B; tumor sites injected were the right pleural mass (part A), the left lung, the upper lobe of the right lung, and the left inguinal lymph node (part B). One patient with refractory grade 3 hypotension was treated with tocilizumab and high-dose

**Table 3.** Antitumor Activity of Vidutolimod and Atezolizumab With and Without RT

Antitumor Activity Measure	Part A Vidutolimod + Atezolizumab n = 13	Part B Vidutolimod + Atezolizumab + RT n = 16
Best ORR by RECIST v1.1, INV-assessed, % (95% CI)	0 (0-24.7)	0 (0-20.6)
CR, n (%)	0	0
PR, n (%)	0	0
Stable disease, n (%)	3 (23.1)	8 (50.0)
PD, n (%)	8 (61.5)	5 (31.3)
NE, <sup>a</sup> n (%)	2 (15.4)	3 (18.8)
Median PFS INV-assessed, mo (95% CI)	1.8 (1.1-2.9)	1.7 (1.3-2.2)

<sup>a</sup>Two patients in part A and two patients in part B discontinued before follow-up imaging was performed; one patient in part B had incomplete postbaseline imaging.

CI, confidence interval; CR, complete response; INV, investigator; NE, not evaluable; ORR, objective response rate; PD, progressive disease; PFS, progression-free survival; PR, partial response; RECIST v1.1, Response Evaluation in Solid Tumors, version 1.1; RT, radiation therapy.

steroids per institutional protocol. All grade 3 hypotension events resolved, and the patients recovered. All patients had received the recommended prophylaxis regimen (e.g., intravenous fluids, nonsteroidal anti-inflammatory drugs, antiemetics) before the vidutolimod injection, and two patients had received steroids. No treatment-related deaths were observed in either part. The TRAEs are summarized in [Table 2](#), and the overall safety summary is presented in [Supplementary Table 3](#).

The most common cause of treatment discontinuation was PD, determined by either RECIST v1.1 (part A, nine of 13 [69.2%]; part B, seven of 16 [43.8%]) or the treating physician clinically (part A, two of 13 [15.4%]; part B, seven of 16 [43.8%]). Three patients withdrew consent; one patient had radiologically confirmed PD on a prior scan (part A), one patient withdrew consent and died 11 days after withdrawing, and one patient withdrew for unknown reasons before undergoing post-baseline imaging.

In 12 patients, 65 doses of vidutolimod were injected into one or more visceral lesions (lung [n = 8], pleura [n = 4], kidney [n = 1], and liver [n = 1]) ([Supplementary Table 2](#)); TEAEs on the day of injection were reported in five of these patients. The TEAEs reported in patients after a lung lesion injection (n = 3) were mild injection site pain; mild intermittent fever and chills, injection site pain, and moderate constipation; and mild tachycardia, moderate chills, hypotension, fatigue, and anorexia. In the patient with TEAEs after kidney lesion injection (n = 1), mild chills, nasal congestion, fatigue, and lower extremity edema were reported. In the patient with TEAEs after liver lesion injection (n = 1), mild hot flushes, chills, tachycardia, diaphoresis, fever, injection site pain, back pain, abdominal pain, and moderate hypotension were reported. Except for injection site pain, these AEs were likely treatment related and not procedure related. There were no hospitalizations owing to visceral injections, but three patients

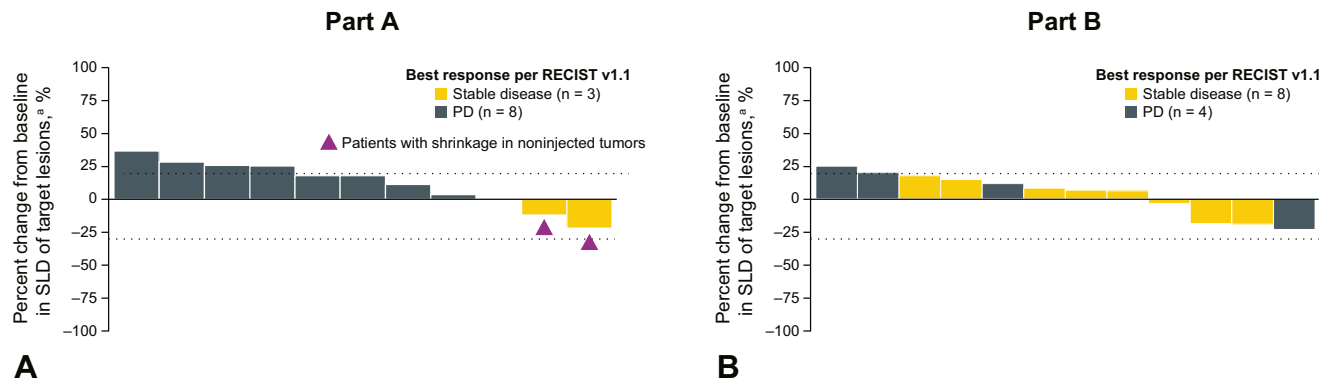
experienced serious AEs on the day of the visceral injection that were considered treatment related (any component) but not injection procedure related.

### Antitumor Activity

At the time of database lock (April 15, 2020), all patients had discontinued the study treatment. No partial or complete responses were observed in either part ([Table 3](#)). In part A (vidutolimod + atezolizumab), three patients (23.1%) had stable disease and eight patients (61.5%) had PD. Two of the patients with stable disease as best response with vidutolimod plus atezolizumab had tumor shrinkage (two of 13 [15.4%]) ([Figs. 1A and B](#), and [2A and B](#)). Two patients were not assessable for response; one had pneumonitis that required treatment discontinuation and one died owing to clinical PD before the follow-up imaging. One patient with PD in part A received RT after progression but had further progression on a subsequent scan (<2 mo after RT) and discontinued the study treatment. In part B (vidutolimod + atezolizumab + RT), 50.0% of the patients (eight of 16) had stable disease as best response and 31.3% (five of 16) had PD. Four patients (three with stable disease and one with PD) had tumor shrinkage in part B (four of 16; 25.0%) ([Figs. 1A and B](#), and [2A and B](#)). Three patients were not assessable for response; one discontinued treatment owing to clinical deterioration before having a postbaseline scan, one withdrew consent, and one had incomplete postbaseline imaging. Median progression-free survival (PFS) per investigator-assessed RECIST v1.1 was 1.8 months (95% confidence interval, 1.1–2.9) and 1.7 months (95% confidence interval, 1.3–2.2) in parts A and B, respectively.

### Pharmacodynamics

Levels of CXCL10 were used to detect the intended biological effect of TLR9 activation in tumor-associated pDCs leading to their secretion of type I IFN within 24



**Figure 1.** Investigator-assessed antitumor activity of vidutolimod and atezolizumab with and without RT in NSCLC. Maximum percent change in SLD of target lesions from baseline in patients treated in part A (A) and part B (B). <sup>a</sup>Two patients in part A and four in part B had missing scans or incomplete postbaseline imaging and were excluded from this assessment. PD, progressive disease; RECIST v1.1, Response Evaluation Criteria in Solid Tumors version 1.1; RT, radiation therapy; SLD, sum of longest diameter.

hours after intratumoral vidutolimod injection (Fig. 3A and B). CXCL10 was induced in most patients; however, the two patients in part A (vidutolimod + atezolizumab) with tumor shrinkage displayed a higher induction of CXCL10 than other patients in both parts. The change in CXCL10 in these two patients was also larger than the median fold change of CXCL10 in responders from a previous study (NCT02680184) evaluating vidutolimod plus pembrolizumab in patients with PD-1 blockade-resistant advanced melanoma.

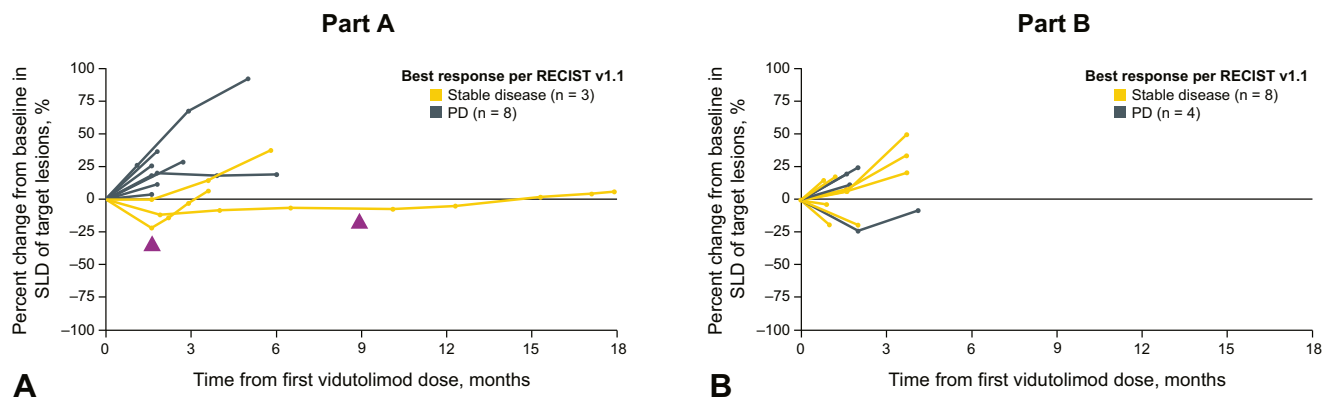
### Exploratory Biomarker Analyses

PD-L1 expression, CD303+ cells, and CD8+ T cells were assessed before and after treatment with vidutolimod and atezolizumab with and without RT (Supplementary Fig. 2A-C). No consistent increase in PD-L1 expression, CD303+ cells, and CD8+ T-cell infiltration was observed.

Whole exome sequencing was performed for patients with available tumor biopsy samples (n = 11) to determine genetic alterations in *EGFR*, *KEAP1*, and *STK11* (Supplementary Table 4). Pathogenic mutations as identified using SnpEff version 5.0 were detected in posttreatment biopsies from three patients; two patients with PD from part A had an *STK11* mutation (p.Glu199\*; n = 1) or frameshift mutation in *KEAP1* (p.Ala510fs; n = 1) and one patient with stable disease from part B also had an *STK11* mutation (p.Lys84\*), with a PFS of 3.7 months.

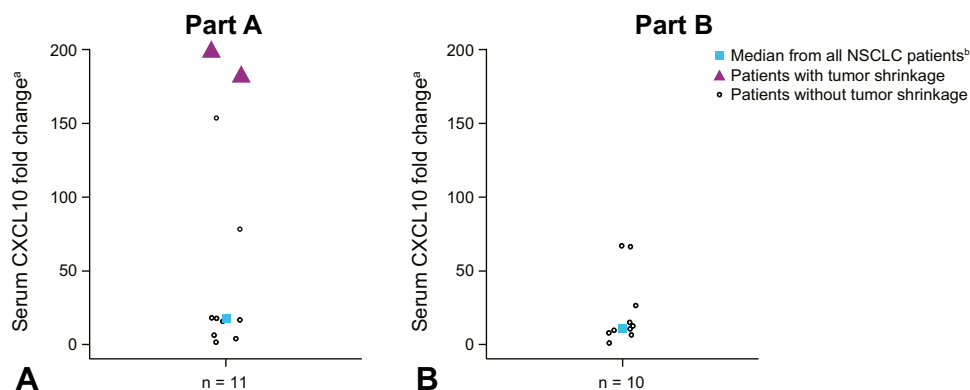
### Discussion

Immunotherapy alone or in combination with chemotherapy is widely used as a first-line treatment for advanced NSCLC in patients without a targetable oncogene driver alteration,<sup>13,31–35</sup> but efficacious second-line treatment options after progression on PD-1 or PD-L1



**Figure 2.** Antitumor activity of vidutolimod and atezolizumab with and without RT in NSCLC over time. Percent change from baseline in SLD of target lesions over time in part A (A) and part B (B). In parts A and B, two patients and four patients were excluded from the plot owing to missing scans or incomplete postbaseline imaging, respectively. PD, progressive disease; RECIST v1.1, Response Evaluation Criteria in Solid Tumors version 1.1; RT, radiation therapy; SLD, sum of longest diameter.





**Figure 3.** CXCL10 fold change with vidutolimod and atezolizumab with and without RT in NSCLC. CXCL10 fold change was assessed in the serum samples collected after week 3 and week 8 vidutolimod injections in parts A (A) and B (B). <sup>a</sup>Values capped at 200 for plotting purposes. <sup>b</sup>Medians calculated based on maximal fold change from baseline after either week 3 or week 8 vidutolimod injections. CXCL10, C-X-C motif chemokine ligand 10; RT, radiation therapy.

blockade remain limited to chemotherapy.<sup>1</sup> CMP-001-003 is the first phase 1b study assessing vidutolimod and atezolizumab with and without RT in patients with PD-1 or PD-L1 blockade-resistant NSCLC. Consistent with findings in advanced melanoma,<sup>12</sup> vidutolimod and atezolizumab with and without RT had a manageable safety profile. Intratumoral injections of vidutolimod into the visceral lesions were safely performed. In contrast with findings of the clinical activity of vidutolimod plus pembrolizumab in metastatic melanoma,<sup>12</sup> vidutolimod and atezolizumab with and without RT had modest pharmacodynamic and clinical activity, with no objective responses observed and a median PFS of less than 2.0 months in this heavily pretreated NSCLC patient population.

The limited activity observed was potentially owing to the patient population studied and the patients' molecular makeup. Most patients were heavily pretreated, receiving a median of three prior systemic therapies; furthermore, most patients had a best response of PD (44.8%) or stable disease (48.3%) to prior anti-PD-1 or PD-L1. These factors are consistent with primary resistance to PD-1 or PD-L1 blockade. The PD-L1 status or expression level was unknown in 31.0% of the patients in this study. The differences in disease biology of NSCLC and the mutational status of the tumor may also affect the response to immunotherapy.<sup>36,37</sup> Worse survival outcomes have been reported for patients with *STK11* and *KEAP1* mutations in NSCLC.<sup>38-41</sup>

RT was not able to overcome resistance to immunotherapy in this study population. In a previous phase 2 study in NSCLC, RT plus pembrolizumab had a greater clinical benefit than pembrolizumab alone in a subgroup analysis of 43 patients with PD-L1-negative tumors who were naive to PD-1 or PD-L1 blockade.<sup>42</sup> In the current study, RT was unable to restore antitumor immunity and

induce responses outside the radiation field in patients (part B, N = 16) with PD-1 or PD-L1 blockade-resistant NSCLC. These findings suggest that the immunosuppressive role of RT may have negatively affected vidutolimod activity. In addition, irradiated lesions were not included in the RECIST antitumor assessment, and the RT regimen under investigation had not been standardized.<sup>43</sup> Furthermore, RT was administered using 20-gray doses in five fractions more than 1 week before PD-1 blockade therapy; however, an alternative dose, frequency, or timing of RT administration may improve clinical activity.<sup>42-44</sup> In Welsh et al.,<sup>44</sup> stereotactic body RT was more effective than conventional RT in patients with advanced NSCLC.

These clinical activity findings are consistent with those of previously reported clinical trials of TLR9 agonists in combination with other therapies in patients with advanced NSCLC who had PD after chemotherapy. A phase 2 study of PF-3512676 plus erlotinib revealed a median PFS of 1.6 months compared with 1.7 months with erlotinib alone in patients with advanced NSCLC, and study enrollment was halted at the interim analysis owing to lack of efficacy.<sup>45</sup> The IMO-2055 was studied in combination with erlotinib and bevacizumab in a phase 1b dose-escalation trial in patients with advanced NSCLC; only 15% of the patients achieved a partial response, likely owing to the presence of an activating *EGFR* mutation in their tumors<sup>46</sup>; thus, the IMO-2055 is no longer in development for NSCLC. Key differences between these studies and CMP-001-003 include that vidutolimod was primarily administered intratumorally after the initial subcutaneous dosing whereas the others were administered subcutaneously throughout the study; in addition, patients in these studies were not administered PD-1 or PD-L1 inhibitors.<sup>45,46</sup> The rationale for intratumoral delivery was to augment immune cell infiltration and activation in the tumor

microenvironment. In CMP-001-003, we observed evidence of systemic immune cell activation by induction of CXCL10, but this did not translate into antitumor efficacy. Therefore, it is likely that the lack of antitumor activity of vidutolimod and atezolizumab was related to biological characteristics of PD-1 blockade-refractory NSCLC.

Additional investigation into vidutolimod-treated patients with NSCLC and patients with melanoma may provide insight into the difference in clinical response rates between these two populations. Given the strong induction of CXCL10 observed in a subset of patients with tumor shrinkage ( $n = 2$ ) in this study, immune activation may be achievable in PD-1 or PD-L1 blockade-resistant advanced NSCLC but may require a novel combination approach. In a previous study in patients with advanced melanoma receiving vidutolimod and pembrolizumab, a trend toward higher serum levels of CXCL10 was observed in patients with complete response, partial response, or stable disease compared with those patients with PD.<sup>47</sup>

In conclusion, vidutolimod and atezolizumab with and without RT were found to have modest pharmacodynamic and clinical activities in this heavily pretreated patient population with PD-1 or PD-L1 blockade-resistant NSCLC and a manageable safety profile consistent with studies in melanoma. This study reveals that intratumoral injections of vidutolimod, including injections of the visceral lesions, are safe, supporting further development and evaluation of vidutolimod plus PD-1 or PD-L1 blockade in other tumor types. Studies in melanoma (NCT04698187 and NCT04695977), head and neck squamous cell carcinoma (NCT04633278), cutaneous squamous cell carcinoma, Merkel cell carcinoma, and triple-negative breast cancer (NCT04916002) are ongoing.

## CRediT Authorship Contribution Statement

**Marcelo Vailati Negrao:** Conceptualization, Resources, Data curation, Formal analysis, Supervision, Validation, Investigation, Visualization, Methodology, Writing—original draft, Project administration, Writing—review and editing.

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**Andrew C. Price:** Investigation and Writing—review and editing.

**Alda L. Tam:** Investigation, Methodology, and Writing—review and editing.

**Muhammad Furqan:** Conceptualization, Formal analysis, Investigation, Methodology, Writing—review and editing.

**Sandeep T. Laroia:** Writing—review and editing.

**Erminia Massarelli:** Conceptualization and Writing—review and editing.

**Jose Pacheco:** Investigation and Writing—review and editing.

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**Lalit Vora:** Writing—review and editing.

**David Mauro:** Writing—review and editing.

**Heather Kelley:** Conceptualization, Formal analysis, and Writing—review and editing.

**James E. Wooldridge:** Resources, Formal analysis, Investigation, Writing—original draft, Writing—review and editing.

**Arthur M. Krieg:** Conceptualization, Resources, Data curation, Formal analysis, Supervision, Funding acquisition, Investigation, Visualization, Methodology, Writing—original draft, Project administration, Writing—review and editing.

**Jiaxin Niu:** Conceptualization, Resources, Data curation, Formal analysis, Supervision, Validation, Investigation, Methodology, Writing—review and editing.

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## Data Availability

Qualified researchers may request access to the study documents (including the clinical study report, study protocol with any amendments, blank case report form, statistical analysis plan) that support the methods and findings reported in this manuscript. Individual anonymized participant data will be considered for sharing once the product and indication have been approved by major health authorities (e.g., Food and Drug Administration, European Medicines Agency, Pharmaceuticals and Medical Devices Agency), if there is legal authority to share the data and there is not a reasonable likelihood of participant reidentification. Submit requests to <https://vivli.org/>.

## Supplementary Data

Note: To access the supplementary material accompanying this article, visit the online version of the *JTO Clinical and Research Reports* at [www.jtocrr.org](http://www.jtocrr.org) and at <https://doi.org/10.1016/j.jtocrr.2022.100423>.

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