

RESEARCH PAPER



Genome-Scale CRISPR screen identifies LPTM5 driving lenvatinib resistance in hepatocellular carcinoma

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ABSTRACT

Drug resistance has greatly limited the clinical efficacy of lenvatinib in hepatocellular carcinoma (HCC). However, the underlying molecular mechanisms of lenvatinib resistance remain largely undetermined. Further in-depth exploration of mechanisms underlying lenvatinib resistance is still required for the majority of HCC patients. In this study, an integrated unbiased whole-genome CRISPR-Cas9 screen with database analysis indicated LPTM5 (lysosomal protein transmembrane 5) as the critical contributor to lenvatinib resistance in HCC. We revealed that LPTM5 could promote intrinsic macroautophagic/autophagic flux by facilitating autolysosome formation to drive lenvatinib resistance. The upregulation of LPTM5 in HCC was induced by both DNA hypomethylation and driver mutations like *TP53*. Inhibition of autolysosome formation by either hydroxychloroquine (HCQ) or LPTM5 abrogation worked synergistically with lenvatinib to inhibit tumor growth. In HCC cell lines, patient-derived primary cell lines and organoids, as well as human HCC xenografts and immunocompetent mouse HCC model, the close association between LPTM5 and sensitivity to lenvatinib was consistently verified. Importantly, in clinical HCC samples, where lenvatinib was used as the first line or adjuvant therapy, LPTM5 expression negatively correlated with lenvatinib sensitivity, implying it as a biomarker to predict patient response to lenvatinib. In conclusion, the combinational therapy targeting autophagy represented a promising strategy to overcome lenvatinib resistance in HCC, and LPTM5 expression could provide potential guidance for clinical interference.

Abbreviations: cld-CASP3: cleaved caspase 3; cld-PARP: cleaved PARP; DTP: drug tolerant persister; GO: Gene Ontology; GTEX: The Genotype-Tissue Expression; HCC: hepatocellular carcinoma; HCQ: hydroxychloroquine; IC50: half maximal inhibitory concentration value; KEGG: Kyoto Encyclopedia of Genes and Genomes; LPTM5: lysosomal protein transmembrane 5; NT: non-targeting; PDC: patient-derived primary cell lines; PDO: patient-derived primary organoid; TCGA: The Cancer Genome Atlas.

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Introduction

Hepatocellular carcinoma (HCC), accounting for nearly 80% of all primary liver malignancies, ranks the fourth leading cause of cancer-associated death worldwide [1,2]. Surgical resection and transplantation remain the only curative treatments for HCC patients. However, the majority of HCC patients are usually diagnosed at advanced stages and not eligible for curative surgery [3,4]. Despite encouraging achievements in advanced HCC management such as the development of sorafenib, lenvatinib and regorafenib during the last decade, these multi-kinase inhibitors only prolonged lifespan of HCC patients by 2 to 3 months [5–8]. The overall survival of advanced HCC patients remains extremely poor with the median survival less than one year [9].

Lenvatinib, an oral multi-kinase inhibitor that targets FLT1/VEGFR1, KDR/VEGFR2, and FLT4/VEGFR3, FGFR1 to FGFR4, PDGFRA/PDGFR α , RET, and KIT, has been verified to achieve comparable survival benefit with sorafenib and recommended as the first-line therapy for advanced HCC patients since 2018 [7,10,11]. However, drug resistance remarkably reduced its clinical efficacy. Therefore, exploring mechanisms underlying lenvatinib resistance and searching potential targets for rational combinational therapy is urgent to overcome lenvatinib resistance and improve HCC patient prognosis. Recently, ADAMTSL5 (a secreted glycoprotein) knockdown was found to sensitize HCC to lenvatinib treatment among those with a hypermethylated ADAMTSL5 [12]. Co-treatment of EGFR (epidermal growth factor receptor) inhibitor gefitinib has also been proved to effectively improve

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the anti-cancer effect of lenvatinib only in patients with high EGFR expression [13]. However, these studies can only define lenvatinib resistance in a minority of HCC patients, and the generalized mechanisms underlying lenvatinib resistance are complicated and remain largely undetermined. Further in-depth exploration of mechanisms underlying lenvatinib resistance is still required for the majority of HCC patients.

CRISPR-Cas9 system has provided us a novel tool to efficiently achieve complete protein depletion [14,15]. Moreover, genome-wide screening using CRISPR-Cas9 system has allowed us to systematically perform large-scale screen with high efficiency in an unbiased way [16]. Compared to RNAi-based screen which reduced target mRNA expression instead of completely eliminating it, CRISPR-Cas9 library screen exhibited lower noise, minimal off-target effects and higher data reproducibility [17,18]. Herein, we performed unbiased whole-genome CRISPR-Cas9 knockout screen in HCC cell line to explore potential targets driving lenvatinib resistance. Combined with database exploration, we identified *LAPTM5* (lysosomal protein transmembrane 5) as the crucial gene conferring HCC lenvatinib resistance, which was verified by *in vitro* and *in vivo* experiments as well as clinical data. Mechanistically, *LAPTM5* contributed to intrinsic autophagic flux by promoting the formation of autolysosomes to reduce HCC sensitivity to lenvatinib. Thereby, combination therapy of *LAPTM5* inhibition or hydroxychloroquine (HCQ), an inhibitor of autophagy by preventing autophagosome-lysosome fusion, with lenvatinib may serve as a promising strategy to overcome lenvatinib resistance in HCC.

Results

Integrated whole-genome CRISPR-Cas9 screen with database analysis to reveal critical contributors to lenvatinib resistance

Huh7, a commonly utilized HCC cell line, was well known to be sensitive to lenvatinib ($IC_{50} = 2.85 \mu M$) (Figure 1A). However, we found that even with high dose of lenvatinib (20 μM and above), a proportion of cancer cells could still survive (Figure 1A). Colony formation assay further confirmed the existence of a small fraction of viable cells, referred to as “drug tolerant persister” (DTP) cells (Figure 1B) [19,20]. This phenomenon imitated clinical observations that HCC patients exhibited incomplete response and/or innate resistance toward lenvatinib treatment. Thereafter, we applied whole-genome CRISPR-Cas9 knockout library screen on Huh7 to explore potential contributors to lenvatinib resistance. Human GeCKOv2 CRISPR library that contains 122,411 unique sgRNAs targeting 19,052 protein-coding genes and 1,864 microRNAs, was utilized to generate a mutant cell pool (Figure 1C). To allow the survival and proliferation of DTP cells and development of drug resistance in the long-term, we intentionally applied relatively high dose of lenvatinib (20 μM) to treat mutant cell pool for consistent 21 days. Cells were then harvested and subjected to next-generation sequencing (NGS) to analyze the differential sgRNA representation between the two groups. Negative

(Figure 1D) and positive (Figure S1A) screen analysis was both performed based on MAGeCK algorithm [21]. In the presence of lenvatinib, tumor cells carrying sgRNAs targeting genes conferring lenvatinib resistance will be eliminated in the mutant cell pool and their corresponding sgRNAs will also be depleted, which could be determined by high-throughput sequencing. Therefore, negative selection was applied to identify potential driver genes leading to lenvatinib resistance (Figure 1D).

To systematically understand the molecular events associated with HCC lenvatinib resistance, Gene Ontology (GO) and Kyoto Encyclopedia of Genes and Genomes (KEGG) analysis were first performed on the top 250 genes mostly related to lenvatinib resistance. GO analysis showed that multiple biological processes participated in lenvatinib resistance including DNA repair, tumor immunity, and tumor metabolism (Figure 1E). In parallel, KEGG analysis also demonstrated that complex signaling pathways contributed to lenvatinib resistance such as DNA repair, intestinal immune network for IgA production and cellular metabolism (Figure 1F). These results were consistent to previous observations that lenvatinib response was intimately linked to tumor immunity [22], confirming the good performance of our CRISPR screen. Meanwhile, CCLE database (Cancer Cell Line Encyclopedia, <https://sites.broadinstitute.org/ccle>) was analyzed to investigate mechanisms underlying lenvatinib resistance [23]. HCC cell lines were divided into lenvatinib-resistant group ($n = 5$) and -sensitive group ($n = 5$) according to their IC_{50} against lenvatinib (Figure 1G). A total of 1,624 differentially expressed genes (DEGs) were detected based on the criteria of $|\log_2(\text{fold-change})| > 1$ and $P < 0.05$ (Figure 1H). Subsequently, by an integrated analysis of our whole-genome CRISPR-Cas9 screen and database results, two potential hits, i.e., *LAPTM5* and *TNXXB* (tenascin XB) were identified (Figure 1I). Both the expression of *LAPTM5* and *TNXXB* significantly and positively correlated with IC_{50} toward lenvatinib at transcriptomic level (*LAPTM5*: $r = 0.716$, $P = 0.020$; *TNXXB*: $r = 0.784$, $P = 0.007$) (Figure 1J). Taken together, integrated whole-genome screen with database analysis identified *LAPTM5* and *TNXXB* as potential contributors to lenvatinib resistance in HCC.

LAPTM5 loss is synthetic lethal with lenvatinib in HCC

To validate the effects of *TNXXB* and *LAPTM5* on HCC resistance to lenvatinib, we first compared their expression levels between HCC tissues and normal liver tissues (NLTs) by analyzing TCGA and GTEx databases. *LAPTM5* was significantly upregulated in HCC tissues compared to NLTs (Figure S1B) while *TNXXB* was comparatively reduced (Figure S1C), implying that *TNXXB* might not be an ideal target as therapy targeting *TNXXB* potentially induced adverse effects. Furthermore, we knockdown *TNXXB* in lenvatinib-resistant HCC cell lines (SNU-449 and HCC-LM3) and verified by qRT-PCR analysis (Figure S1D and E). Minimal influence on HCC sensitivity to lenvatinib was observed by *TNXXB* silencing in either SNU-449 or HCC-LM3 (Figure S1F and G). Thereby, *TNXXB* was eliminated in our further analysis.

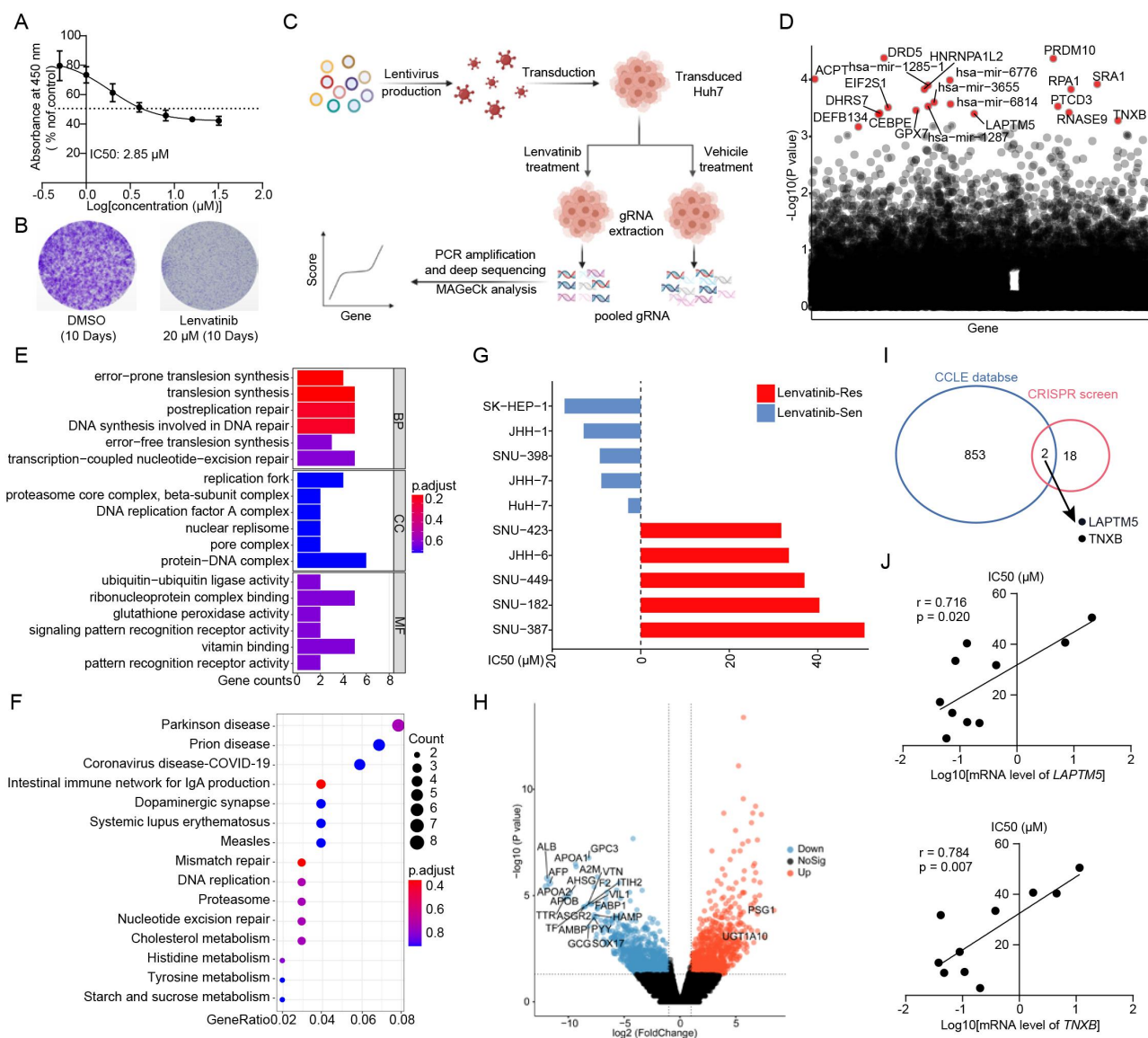


Figure 1. Integrated whole-genome CRISPR-Cas9 screen with database analysis to identify genes driving lenvatinib resistance. (A) *In vitro* drug sensitivity assessment of Huh7 treated with serial dilutions of lenvatinib for 48 h. (B) Crystal violet staining colony formation assay of Huh7 treated with DMSO or 20 μ M lenvatinib for indicated days. (C) Schematic outline of the whole-genome CRISPR-Cas9 screen workflow in Huh7. (D) Scatterplot depicting results for lenvatinib negatively selected hits in the CRISPR-Cas9 screen. The top 20 hits were shown in red. (E) GO analysis of the top 250 lenvatinib negatively selected hits in the CRISPR-Cas9 screen. (F) KEGG analysis the top 250 lenvatinib negatively selected hits in the CRISPR-Cas9 screen. (G) IC50 against lenvatinib of indicated HCC cell lines. (H) Volcanic map exhibiting the DEGs between lenvatinib-resistant and -sensitive HCC cell lines. (I) Venn diagram depicting the intersection between whole-genome CRISPR-Cas9 screen and CCLE database analysis. (J) the connection between *LAPT5*, *TNXB* mRNA levels and IC50 against lenvatinib in HCC cell lines.

Next, we examined the *LAPT5* expression level in 11 HCC cell lines as referred to normal liver epithelial cell-line L-02. HCC cell lines generally expressed higher levels of *LAPT5* compared to L-02, among which HCC-LM3 and SNU-449 exhibited the highest levels of *LAPT5* (Figure 2A). In parallel, we explored the mechanisms underlying *LAPT5* upregulation in HCC and discovered that *LAPT5* DNA methylation level was markedly reduced in HCC tissues compared to NLTs by analyzing TCGA HCC cohort (Figure S2A). Meanwhile, tumor with lower *LAPT5* DNA methylation level also exhibited higher *LAPT5* level (Figure S2B). Furthermore, inhibiting DNA methylation by 5-Azacytidine obviously upregulated *LAPT5* expression in Huh7 in a time-dependent manner (Figure S2C), confirming that *LAPT5* expression was regulated by DNA methylation.

We also noticed that tumor with *TP53* mutation expressed higher level of *LAPT5*, indicating that *LAPT5* expression was also likely influenced by *TP53* mutation (Figure S2D). Thereby, we transfected plasmid containing mutated *TP53* (R249S) into HepG2 that harboring wild-type *TP53* only, finding that *LAPT5* expression was significantly increased upon *TP53* mutation (Figure S2E). Moreover, we analyzed *TP53* associated public chip-sequence database (<http://cis-trome.org/>) and found that *TP53* could specifically bind to the promoter region of *LAPT5* (Figure S2F).

Simultaneously, we observed that a strong positive correlation existed between higher endogenous *LAPT5* protein levels and higher IC50 against lenvatinib in 11 HCC cell lines ($r = 0.642$, $P = 0.024$) (Figure 2B), implying that *LAPT5* could contribute to lenvatinib resistance in HCC.

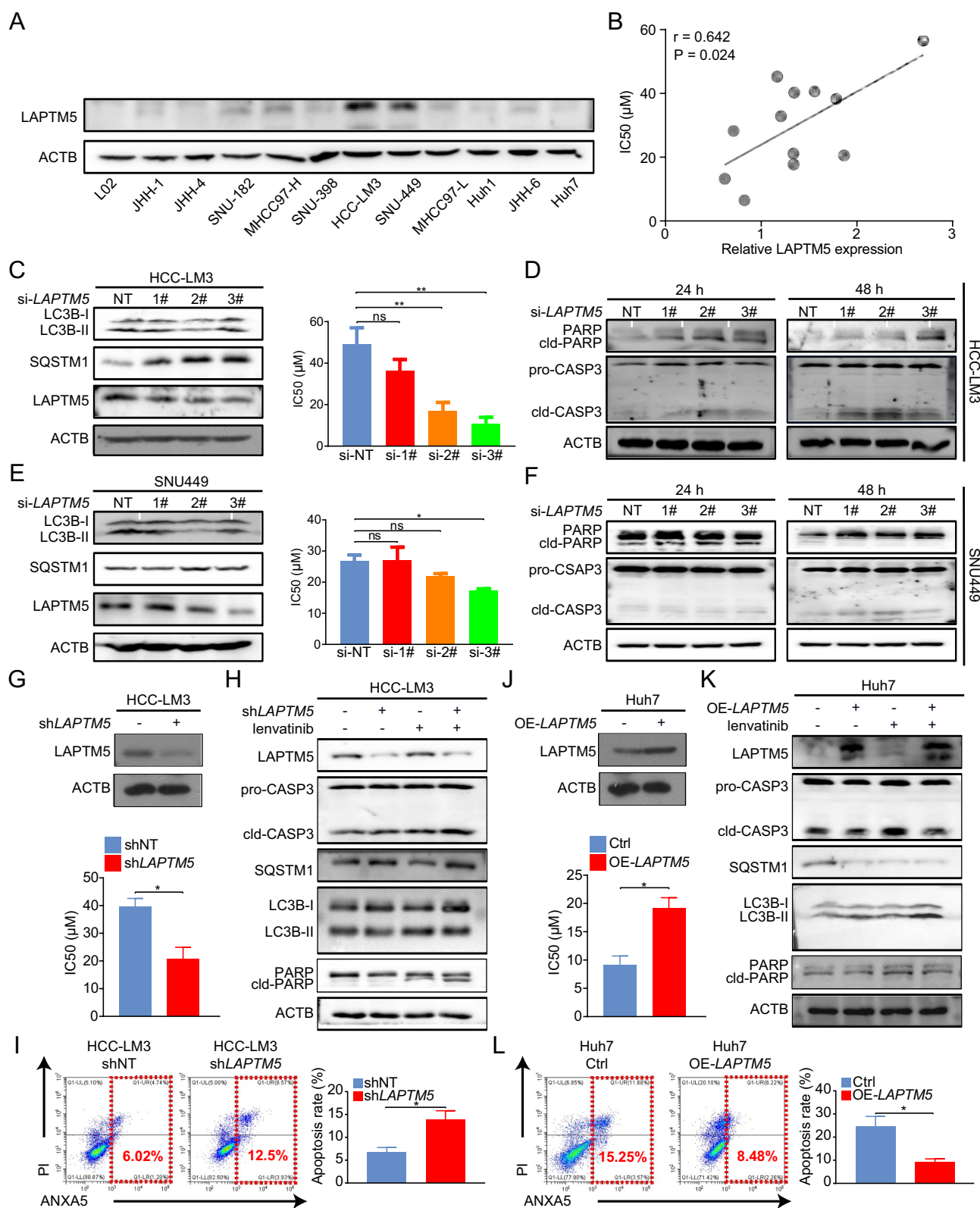


Figure 2. LAPTMS contributes to *in vitro* lenvatinib resistance in HCC. **(A)** LAPTMS levels in HCC cell lines and L-02 were evaluated by western blot. **(B)** The connection between LAPTMS protein levels and IC50 against lenvatinib in HCC cell lines. **(C)** Western blot analysis of LAPTMS, LC3B and SQSTM1 levels in HCC-LM3 transfected with siRNA targeting *LAPTMS* or si-NT (Left). Histogram exhibited IC50 against lenvatinib of HCC-LM3 transfected with siRNA targeting *LAPTMS* or si-NT (Right). NT, non-targeting. **(D)** Essential-apoptosis proteins levels were evaluated by western blot in HCC-LM3 transfected with siRNA targeting *LAPTMS* or si-NT in the presence of lenvatinib. cld-PARP, cleaved PARP; cld-CASP3, cleaved caspase 3. **(E)** Western blot analysis of LAPTMS, LC3B and SQSTM1 levels in SNU-449 transfected with siRNA targeting *LAPTMS* or si-NT (Left). Histogram exhibited IC50 of SNU-449 transfected with siRNA targeting *LAPTMS* or si-NT (Right). **(F)** Essential-apoptosis proteins levels were evaluated by western blot in SNU-449 transfected with siRNA targeting *LAPTMS* or si-NT in the presence of lenvatinib. **(G)** *LAPTMS* knockdown HCC-LM3 stable cell line was generated and validated by western blot (Upper); Histogram exhibited the effect of *LAPTMS* knockdown on IC50 against lenvatinib in HCC-LM3 (Lower). **(H)** Essential-apoptosis proteins, LC3B and SQSTM1 levels were evaluated by western blot in indicated cells in the presence of lenvatinib or not. **(I)** Knockdown of *LAPTMS* induced apoptosis significantly upon lenvatinib treatment in HCC-LM3 evaluated by flow cytometry analysis. **(J)** *LAPTMS* overexpression Huh7 stable cell line was generated and validated by western blot (Upper); Histogram exhibited the effect of *LAPTMS* upregulation on IC50 against lenvatinib in Huh7 (Lower). **(K)** Essential-apoptosis proteins, LC3B and SQSTM1 levels were evaluated by western blot in indicated cells in the presence of lenvatinib or not. **(L)** Overexpression of *LAPTMS* reduced apoptosis significantly upon lenvatinib treatment in Huh7 evaluated by flow cytometry analysis. Data were presented as the means \pm S.D. of values obtained in 3 independent experiments. *, $P < 0.05$; **, $P < 0.01$; ns, not significant.

Then, *LAPTM5* knockdown by siRNAs was performed in lenvatinib-resistant cell-line HCC-LM3 and verified by western blot (Figure 2C). As expected, *LAPTM5* silencing significantly sensitized HCC-LM3 to lenvatinib as it remarkably reduced its IC₅₀ against lenvatinib (Figure 2C) and induced apoptosis in the presence of lenvatinib (Figure 2D). Similar phenomenon was also observed in SNU-449 (Figure 2 E and F). Meanwhile, we noticed that siRNAs that showed minimal *LAPTM5* knockdown efficiency also exhibited a limited influence on HCC sensitivity to lenvatinib (Figure 2 C–F), minimizing the possibility of off-target effect. Also, *LAPTM5* showed limited effect on HCC *in vitro* proliferation and apoptosis without the presence of lenvatinib (Figure S3A–C), indicating that the influence induced by *LAPTM5* was lenvatinib dependent.

To further consolidate our observation that *LAPTM5* was a key contributor to lenvatinib resistance, *LAPTM5* knockdown HCC-LM3 stable cell line and its control (HCC-LM3-sh*LAPTM5* and HCC-LM3-shNT) were generated and validated via western blot (Figure 2G). Consistently, HCC-LM3-sh*LAPTM5* showed drastically reduced IC₅₀ against lenvatinib (0.44-fold, *P* = 0.035) and increased lenvatinib-induced apoptosis as compared to the control (2.03-fold, *P* = 0.011), symbolizing increased sensitivity to lenvatinib (Figure 2 G–I). Conversely, *LAPTM5* upregulation in Huh7 significantly reduced its sensitivity to lenvatinib (IC₅₀: 2.41-fold, *P* = 0.034; Apoptosis: 0.36-fold, *P* = 0.013) (Figure 2 J–L). Then, we inoculated HCC-LM3-sh*LAPTM5* and HCC-LM3-shNT to the flanks of nude mice, respectively. Mice were treated with either lenvatinib or vehicle control for 4 weeks (5 Days per week) since tumors became palpable (Day 14) (Figure 3A). While tumor raised from HCC-LM3-shNT grew steadily, lenvatinib remarkably retarded tumor growth of HCC-LM3-sh*LAPTM5* (Figure 3 B and C). IHC analyses also revealed a decrease in the proliferation marker (MKI67/Ki-67) and an increase in the apoptotic marker (cleaved CASP3 [caspase 3]) in the *LAPTM5* knockdown group (Figure 3 D and E). Conversely, *LAPTM5* upregulation in Huh7 exhibited opposite trend (Figure 3 F–I). Taken together, integrated *in vitro* with *in vivo* experiments confirmed the critical role of *LAPTM5* in driving lenvatinib resistance in HCC.

***LAPTM5* regulates autophagic flux to reduce HCC sensitivity to lenvatinib**

Autophagy, which has been proved to contribute to drug resistance in multiple types of cancer, is tightly connected with lysosome [24–27]. Coincidentally, *LAPTM5* is a multi-spanning trans-membrane protein that located in the late endosome/lysosome, raising the possibility that *LAPTM5* might contribute to lenvatinib resistance by manipulating cellular autophagic flux. As expected, we discovered that *LAPTM5* knockdown by siRNA significantly inhibited the autophagy flux, as indicated by the attenuated LC3-II:LC3-I ratio and increased SQSTM1/p62 protein level in HCC-LM3 and SNU-449 (Figure 2 C and E). Meanwhile, no observable difference was found in LC3-II:LC3-I ratio and SQSTM1 level by siRNA that exhibited limited efficiency on *LAPTM5* knockdown, ruling out the possibility of off-target effect

(Figure 2 C and E). Consistently, HCC-LM3-sh*LAPTM5* stable cell line exhibited a reduced autophagic flux as indicated by decreased LC3-II:LC3-I ratio and increased SQSTM1 level (Figure 4A). Transmission electron microscopy (TEM) analysis further indicated that *LAPTM5* silencing significantly reduced cellular number of autolysosomes, indicative of reduced autophagic flux (0.30-fold, *P* = 0.002) (Figure 4B). On the contrary, *LAPTM5* overexpression in Huh7 markedly promoted autophagic flux as evidenced by increased LC3-II:LC3-I ratio, reduced SQSTM1 level, and elevated intrinsic autolysosome number (3-fold, *P* = 0.043) (Figure 4 C and D). In parallel, we designed four sgRNA targeting *LAPTM5* to knockout *LAPTM5* in Cas9-expressing HCC-LM3 and verified by western blot (Figure S2G). SgRNA with the best efficacy was selected for subsequent analysis. In addition, a rescue assay was performed by transfecting *LAPTM5* knock-out cell line with a plasmid harboring *LAPTM5* (Figure S2H). Consistently, *LAPTM5* knockout markedly reduced autophagic flux while *LAPTM5* re-expression exhibited opposite effect (Figure S2G and H). Likewise, *LAPTM5* knockout significantly sensitized the HCC toward lenvatinib treatment while re-expression of *LAPTM5* reduced HCC sensitivity to lenvatinib (Figure S2I). These results collectively indicated that *LAPTM5* could strengthen cellular autophagic flux by manipulating cellular formation of autolysosome.

To further verify our observation, HCC cell lines were transfected with mRFP-GFP-LC3B lentivirus. *LAPTM5* knockdown remarkably decreased RFP⁺-GFP⁻ signal (autolysosomes) rather than RFP⁺-GFP⁺ signal (autophagosomes) in HCC-LM3, while *LAPTM5* over-expression in Huh7 exhibited opposite trend, suggesting an increase in autolysosomes formation and autophagic flux (HCC-LM3: 0.29-fold, *P* = 0.006; Huh7: 1.8-fold, *P* = 0.024) (Figure 4 E and F). Subsequently, we aimed to verify whether *LAPTM5* could define HCC sensitivity to lenvatinib by mediating autolysosome formation. HCQ impaired lysosomal activities and disrupted the final stage of the autophagy pathway, specifically by impairing autophagosome-lysosome fusion [28]. Accordingly, HCQ was applied to analyze the association among *LAPTM5*, autophagy, and lenvatinib resistance. We observed that HCQ significantly increased sensitivity to lenvatinib in HCC-LM3 and SNU-449, as evidenced by increased apoptosis upon lenvatinib treatment (HCC-LM3: 2.27-fold, *P* = 0.046; SNU-449: 2.52-fold, *P* = 0.026) (Figure 4 G and H) and reduced IC₅₀ against lenvatinib (Figure 4I). In parallel, HCQ did not work synergistically with *LAPTM5* knockdown to sensitize HCC to lenvatinib, indicating that *LAPTM5* knockdown mainly manipulated cellular autolysosome formation to influence HCC sensitivity to lenvatinib (Figure 4I). Furthermore, HCQ also worked synergistically with lenvatinib to inhibit *in vivo* tumor growth in HCC-LM3, as indicated by retarded tumor growth (0.47-fold, *P* = 0.004), reduced proliferation marker (MKI67/Ki-67) and increased apoptotic marker (cleaved CASP3 [caspase 3]) (Figure S4A–C).

Since lenvatinib was closely linked with anti-tumor immunity, we then determined whether HCQ could also work synergistically with lenvatinib to inhibit tumor progression in immunocompetent mouse C57BL/6. We

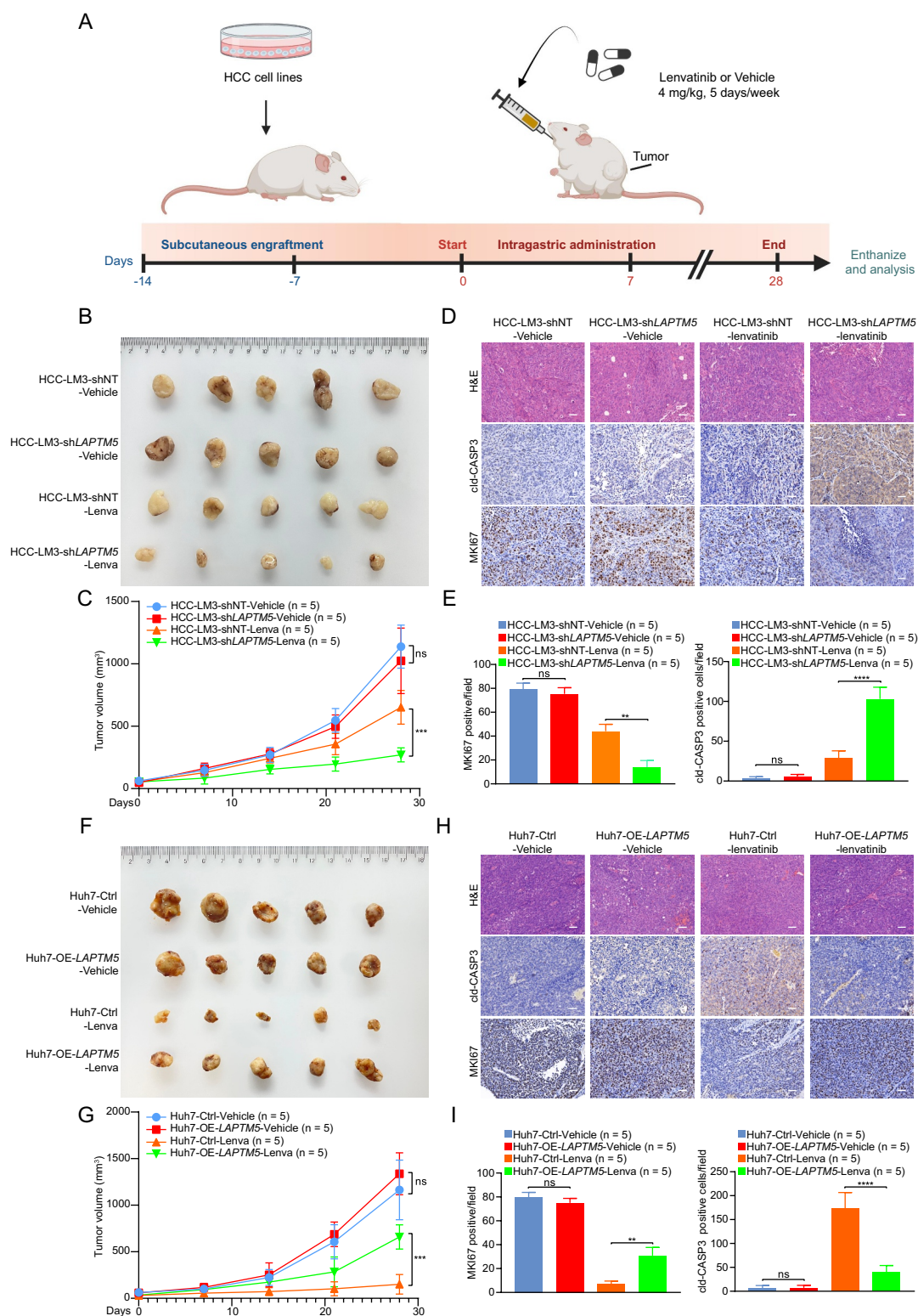


Figure 3. LAPTMS contributed to *in vivo* lenvatinib resistance in HCC. **(A)** Workflow of *in vivo* drug sensitivity assay. **(B and C)** Knockdown of *LAPTMS* inhibited HCC-LM3 growth in a lenvatinib dependent manner in nude mice. Lenva, lenvatinib. **(D and E)** Representative images of hematoxylin and eosin (H&E), MKI67/Ki67, cleaved CASP3 (cld-CASP3) in HCC-LM3 xenografts. Histogram compared the expression levels of MKI67 and cld-CASP3 between HCC-LM3-shNT and HCC-LM3-sh *LAPTMS*. Scale bars: 50 μ m. **(F and G)** Overexpression of *LAPTMS* promoted Huh7 growth in a lenvatinib dependent manner in nude mice. **(H and I)** Representative images of H&E, MKI67, cld-CASP3 in Huh7 xenografts. Histogram compared the expression levels of MKI67 and cld-CASP3 between Huh7-Ctrl and Huh7-OE-*LAPTMS*. Scale bars: 50 μ m. Data were presented as the means \pm S.D. **, $P < 0.01$; ***, $P < 0.001$; ****, $P < 0.0001$; ns, not significant.

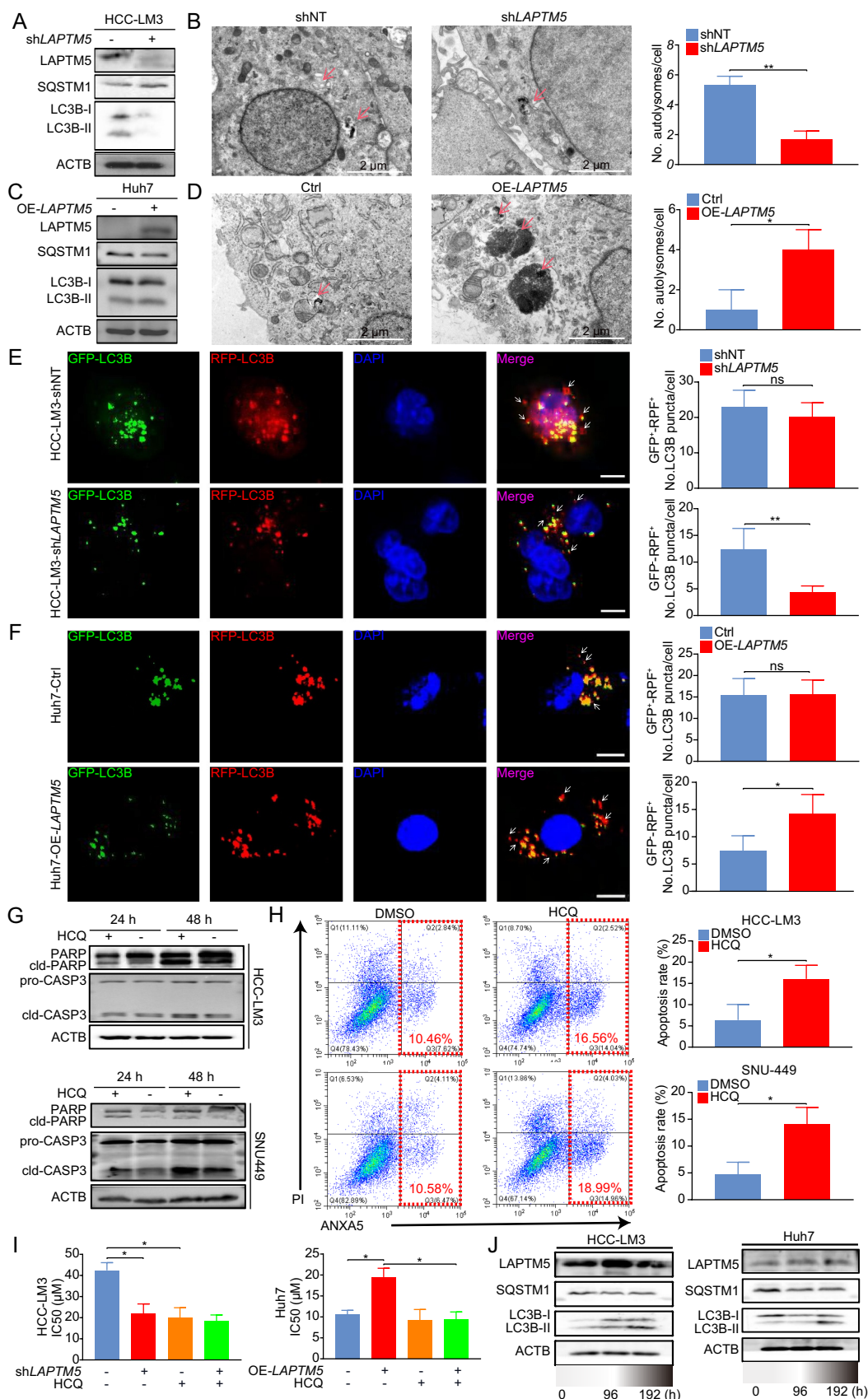


Figure 4. LAPTMS enhanced autophagic flux to lower HCC sensitivity to lenvatinib. **(A)** Western blot analyzed the effect of *LAPTMS* knockdown on LC3B and SQSTM1 protein levels in HCC-LM3. **(B)** Autophagy was evaluated using TEM in HCC-LM3-shNT and HCC-LM3-sh*LAPTMS*. **(C)** Western blot analyzed the effect of *LAPTMS* overexpression on LC3B and SQSTM1 protein levels in Huh7. **(D)** Autophagy was evaluated using TEM in Huh7-Ctrl and Huh7-OE-*LAPTMS*. **(E and F)** Immunofluorescence analysis in indicated cells transfected with mRFP-GFP-tagged LC3B. Histogram compared the quantification of RFP⁺-GFP⁺ (autolysosome) and RFP⁺-GFP⁻ (autophagosome) between HCC-LM3-shNT and HCC-LM3-sh*LAPTMS* **(E)**, Huh7-Ctrl and Huh7-OE-*LAPTMS* **(F)**. White arrows indicate GFP-RFP⁺ puncta. Scale bars: 10 μ m. **(G)** Essential-apoptosis proteins were evaluated by western blot in HCC-LM3 and SNU-449 treated with HCQ or not in the presence of lenvatinib. **(H)** HCQ enhanced apoptosis significantly upon lenvatinib treatment in HCC-LM3 and SNU-449 evaluated by flow cytometry analysis. **(I)** The effect of HCQ and *LAPTMS* on cellular IC₅₀ against lenvatinib in indicated cell lines. **(J)** Lenvatinib upregulated LC3B-II: LC3B-I ratio, *LAPTMS* level and downregulated SQSTM1 level in a time-dependent manner in HCC-LM3 and Huh7. Data were presented as the means \pm S.D. of values obtained in 3 independent experiments. *, $P < 0.05$; **, $P < 0.01$; ns, not significant.

discovered that HCQ considerably inhibited *in vivo* tumor growth of Hepa1-6 (0.38-fold, $P = 0.007$) (Figure S4D and E). IHC analysis also revealed decreased proliferation marker (MKI67) and increased apoptotic marker (cleaved CASP3) in the group treated with additional HCQ (Figure S4F). In parallel, we knocked down several essential autophagy genes including *ATG5*, *ATG7* and *RB1CC1/FIP200* in HCC-LM3 and certified by qRT-PCR (Figure S4G). Similarly, suppression of those core autophagy genes could significantly sensitized HCC to lenvatinib (Figure S4H), further highlighting the critical role of autophagy in lenvatinib response. In addition, we detected the dynamic change of cellular autophagic flux and LAPT5 levels under successive stimulation of lenvatinib. We found that constant stimulation of lenvatinib could slightly but observably increase cellular autophagic flux and LAPT5 level (Figure 4J, Figure S5A and B), implying that LAPT5 and autophagy also contribute to the acquired resistance to lenvatinib.

Collectively, LAPT5 contributed to lenvatinib resistance in HCC via manipulation of cellular autophagic flux.

PDC and PDO models certify the critical role of LAPT5 in lenvatinib resistance

Considering that HCC was a heterogeneous tumor with different genetic background, we then investigated LAPT5 effect on lenvatinib resistance by PDC and PDO models. We evaluated LAPT5 expression in 10 PDCs with different genetic background such *TP53* mutation and *CTNNB1* mutation [29] (Figure 5A). Among them, CLC16, CLC25, and CLC34 harbored *TP53* mutation, CLC13, CLC40, and CLC41 harbored both *TP53* and *CTNNB1* mutations, and the rest exhibited no such mutation background. PDC with the highest LAPT5 protein levels (CLC13 and CLC50) and those with the lowest LAPT5 levels (CLC34 and CLC25) were selected to establish *LAPT5*-knockdown and -overexpression stable cell lines, respectively, which was validated by western blot (Figure 5B). Identically, *LAPT5* silencing in CLC13 and CLC50 strikingly sensitized them to lenvatinib, as indicated by reduced IC₅₀ and increased apoptosis in the presence of lenvatinib (CLC13: 0.59-fold, $P = 0.028$; CLC50: 0.61-fold, $P = 0.042$) (Figure 5C). Conversely, over-expression of *LAPT5* in CLC25 and CLC34 drastically reduced their sensitivity to Lenvatinib (CLC25: 1.76-fold, $P = 0.011$; CLC34: 1.90-fold, $P = 0.026$) (Figure 5D). Furthermore, we evaluated LAPT5 levels in four PDOs with different degrees of sensitivity to lenvatinib. Among them, two lenvatinib-sensitive and one lenvatinib-resistant PDOs harbored *TP53* mutation while the rest showed no such mutation. PDOs that were resistant to lenvatinib (IC₅₀ > 20 μ M) both exhibited significantly higher LAPT5 levels compared to those with lower IC₅₀ (IC₅₀ < 20 μ M) (Figure 5E and F). These above-mentioned results together consolidated our conclusion that LAPT5 was a critical contributor to lenvatinib resistance in HCC irrespective of genetic background.

LAPT5 associates with clinical response to lenvatinib

To further validate the clinical significance of LAPT5, we then evaluated the association between LAPT5 and lenvatinib resistance by analyzing clinical samples. A total of 12 HCC patients who accepted either lenvatinib alone or lenvatinib plus PDCD1/PD-1 treatments between March 1, 2019 and August 31, 2019 were recruited and their biopsy samples before the treatment were collected. Their clinical responses were defined according to mRECIST [30]. Only patients who received a single lenvatinib treatment that defined as stable disease (SD), partial regression (PR) or complete regression (CR) were classified as lenvatinib-sensitive. Patients defined as progressive disease (PD) were divided into lenvatinib-resistant group irrespective of whether they adopted single lenvatinib or lenvatinib plus anti-PDCD1/PD-1 therapies. MRI and IHC analysis were performed to explore the link between LAPT5 expression and clinical response to lenvatinib (Figure 6A and B). Generally, patients of lenvatinib-sensitive group tended to express drastically lower LAPT5 as compared to those who were resistant to lenvatinib (1.98-fold, $P < 0.001$) (Figure 6C). Moreover, we included 29 patients who diagnosed with intermediate or advanced HCC and adopted consistent single lenvatinib therapy after surgery for 1 year in a phase II clinical trial [31]. Patients were classified into two groups, i.e., recurrent group ($n = 12$) and non-recurrent group ($n = 17$), based on whether the recurrence happened or not within the period of follow-up. We found that patients with recurrence expressed significantly higher levels of LAPT5 than those with no recurrence (1.87-fold, $P < 0.001$) (Figure 6D). This observation implied that LAPT5 upregulation led to lenvatinib resistance as early recurrence after lenvatinib treatment might also be regarded as a symbol of drug resistance. Alternatively, LAPT5 could be a reliable biomarker to predict patient response to lenvatinib so as to provide precise guidance for clinical interference.

Discussion

Despite the impressive clinical benefit from lenvatinib, therapeutic resistance inevitably develops following a period of either disease stabilization or regression, some patients even exhibited intrinsic resistance to lenvatinib, extremely limiting its clinical efficacy [7]. Therefore, clarifying molecular events underlying lenvatinib resistance is urgent to develop new therapeutic strategies to maximize its clinical benefit. Herein, we integrated whole-genome CRISPR screen with database analysis to identify potential driver genes causing lenvatinib resistance, and identified LAPT5 as a key contributor to lenvatinib resistance in HCC. *LAPT5* was significantly upregulated in HCC tissues compared to NLTs, and its expression was manipulated by both genetic and epigenetic factors such as DNA methylation and *TP53* mutation. We demonstrated that LAPT5 increased cellular autophagic flux to lower HCC sensitivity to lenvatinib, and blocking intrinsic autophagic flux by either *LAPT5* silencing or HCQ acted synergistically with lenvatinib to inhibit HCC growth. Also, we

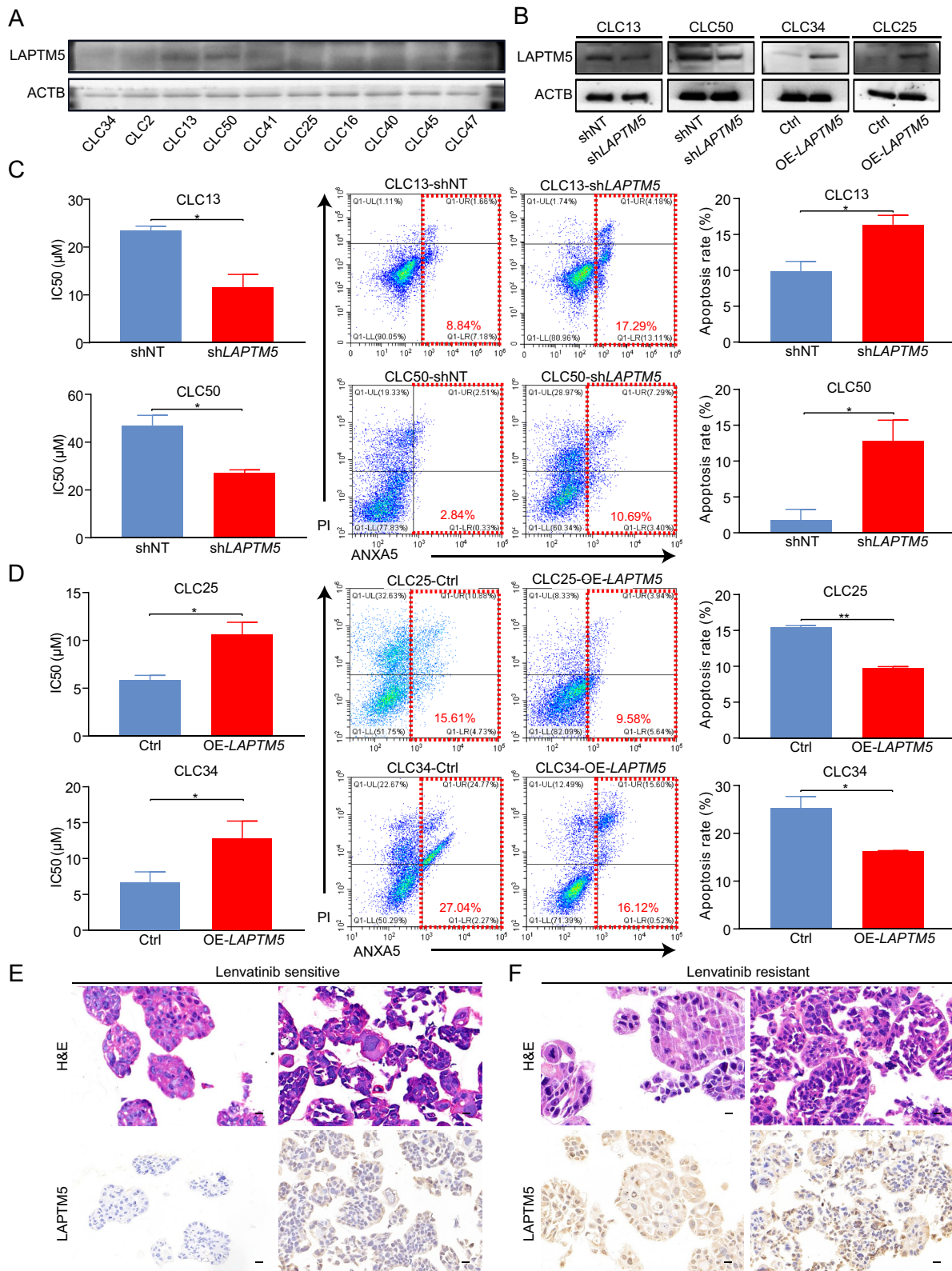


Figure 5. PDC and PDO models analyzed the association between LAPTMS and HCC sensitivity to lenvatinib. (A) LAPTMS protein levels in PDCs were evaluated by western blot. (B) *LAPTMS* knockdown and upregulation stable cell lines were generated in indicated PDCs and validated by western blot. (C) *LAPTMS* knockdown significantly sensitized CLC13 and CLC50 to lenvatinib as evidenced by reduced IC₅₀ against lenvatinib (Left) and increased cell apoptosis upon lenvatinib treatment (Middle and Right). (D) *LAPTMS* upregulation significantly lowered CLC25 and CLC34 sensitivity to lenvatinib as evidenced by increased IC₅₀ against lenvatinib (Left) and reduced cell apoptosis upon lenvatinib treatment (Middle and Right). (E and F) Images of H&E and LAPTMS of lenvatinib-sensitive and -resistant PDOs. Scale bar: 20 μ m. Data were presented as the means \pm S.D. of values obtained in 3 independent experiments. *, $P < 0.05$; **, $P < 0.01$.

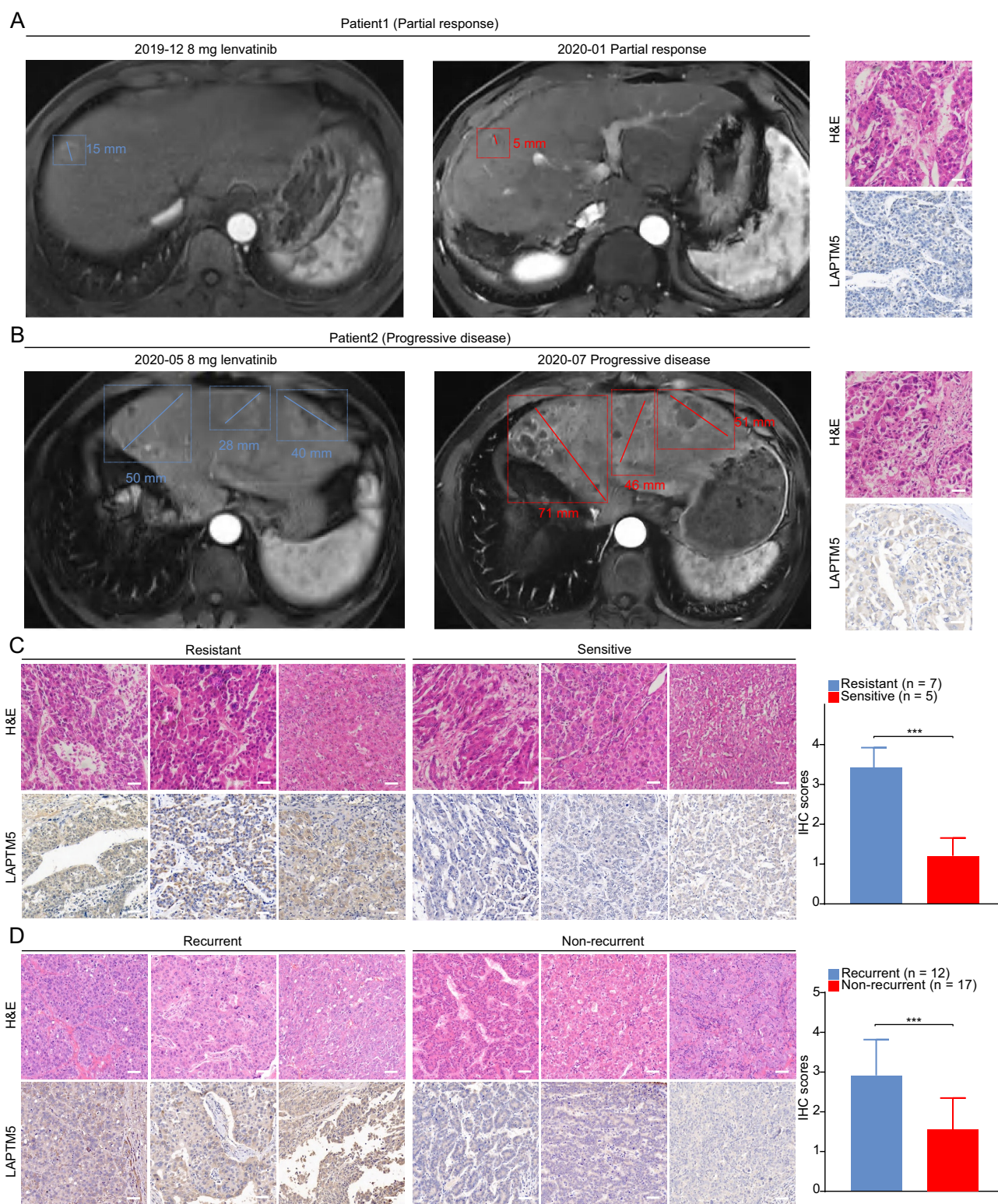


Figure 6. The association between LAPTMS and response to lenvatinib evaluated by clinical samples. **(A)** Representative images of MRI, H&E and LAPTMS of patients with PR. Scale bars: 50 μ m. **(B)** Representative images of MRI, H&E and LAPTMS of patients with PD. Scale bars: 50 μ m. **(C)** IHC analysis indicated patients sensitive to lenvatinib exhibited lower LAPTMS levels compared to those resistant to lenvatinib. Scale bars: 50 μ m. **(D)** IHC analysis indicated patients with tumor recurrence exhibited higher levels of LAPTMS compared to those without recurrence. Scale bars: 50 μ m. Data were presented as the means \pm S.D. ***, $P < 0.001$.

confirmed that LAPTMS could be a reliable biomarker to predict patient response to lenvatinib and guide clinical interference.

To date, several studies have elucidated associated mechanisms contributing to lenvatinib resistance in HCC [12,13,32].

Nonetheless, most of them mainly focus on subsets of genes, ignoring other potential molecular events contributing to lenvatinib resistance [12,32]. Jin et al. conducted a CRISPR-Cas9 library targeting the human kinome on intrinsic lenvatinib-resistant cell-line SNU-449 and found that the inhibition

of EGFR worked synthetic lethal with lenvatinib in HCC [13]. This study, however, mainly explored mechanisms associated with intrinsic lenvatinib resistance, neglecting the common clinical phenomenon of acquired resistance to lenvatinib. Lu et al. performed whole-genome CRISPR-Cas9 screen on Huh7, finding that loss of NF1 (neurofibromin 1) and DUSP9 (dual-specificity phosphatase 9) may participate in lenvatinib resistance [33]. This study used 1000 nM lenvatinib to mimic resistance, while 1000 nM lenvatinib could not efficiently kill sensitive cells and potentially induce the loss of targets. Moreover, the positively selected hits in this study could be more likely connected to proliferation arrest instead of lenvatinib resistance. Thereafter, in our study, Huh7 with high sensitivity to lenvatinib was also applied. We consistently stimulated Huh7 with clinically relevant concentration of lenvatinib to mimic the clinical observation of incomplete response and/or innate resistance to lenvatinib. In parallel, we also analyzed the different expression profiles between intrinsic lenvatinib-resistant and -sensitive cell lines. These results together suggested that LAPTM5 potentially participated in both intrinsic and acquired resistance to lenvatinib. Therapy targeting LAPTM5 might work synergistically with lenvatinib on a larger scope of patients compared to EGFR inhibition which mainly benefited patients with high EGFR expression. In addition, by examining the association between LAPTM5 level and sensitivity to lenvatinib in multiple models including HCC cell lines, PDO and HCC patient samples, we also substantiated that LAPTM5 could be a reliable marker to predict the response to lenvatinib and provide dependable guidance for clinical interference.

LAPTM5 located on the membrane of lysosome, which raised the possibility that LAPTM5 might regulate autophagy to induce lenvatinib resistance. Chen et al. has previously reported the pro-tumor effect of LAPTM5 by regulating cell cycle arrest in bladder cancer [34]. However, its effect on tumor drug resistance has not been reported yet. Autophagy, regarded as an adaption mechanism for tumor cells to survival under nutrients deprivation [35], has been certified to play a vital role in inducing drug resistance in multiple types of cancer [24–27]. In our study, we discovered that LAPTM5 could drastically promote autophagic flux by facilitating autophagosome-lysosome fusion to reduce HCC sensitivity to lenvatinib. Previously, HGF/c-MET axis activation has been reported to contribute to the lenvatinib resistance in HCC [32]. Meanwhile, Hou et al. confirmed that HGF/c-MET axis significantly increased the cellular autophagy flux [36]. These results further confirmed the reliability of our results. However, the exact mechanisms explaining how LAPTM5 contributes to the formation of autolysosome and how increased autophagic flux induces lenvatinib resistance needs further exploration.

In summary, the new knowledge generated from this study contributes to a better understanding of lenvatinib resistance in HCC irrespective of genetic background (Figure 7). Combination therapy of autophagy inhibition by either LAPTM5 knockdown or HCQ with lenvatinib is promising to overcome resistance and improve patient survival. Still more, LAPTM5 could also serve as a biomarker to predict

patient response to lenvatinib, providing important information for personalized treatment.

Materials and methods

Cell lines and reagents

HCC cell lines HCC-LM3, MHCC97-H, and MHCC97-L were established in our institute [37]. Hepa1–6 (CBP60574), Huh7 (CBP60202) and HepG2 (CBP60199) were purchased from COBIOER. Huh1, SK-HEP-1, SNU-182, SNU-387, SNU-423, SNU-398, JHH-1, JHH-4, JHH-6, JHH-7 and SNU-449 were granted by Daming Gao's lab of Chinese Academy of Sciences. Normal liver epithelial cell-line L-02 (FH0109) was from Fuheng biology. HCC PDCs (CLC2 [BRICS0002]; CLC13 [BRICS0008]; CLC16 [BRICS0009]; CLC25 [BRICS00048]; CLC34 [BRICS00025]; CLC40 [BRICS00031]; CLC41 [BRICS00030]; CLC45 [BRICS00033]; CLC47 [BRICS00035]; CLC50 [BRICS00037]) were from Bio-Research Innovation Center Suzhou affiliated with Shanghai Institute of Biochemistry and Cell Biology and cultured according to the instructions [29]. HCC PDOs were in-house established and cultured according to previous methods [38]. All cell lines and PDO were and maintained at 37°C in a humidified atmosphere of 5% CO₂.

Anti-LAPTM5 antibody (AP10077a) was from Abcepta. Anti-ACTB (AC026), anti-SQSTM1/p62 (A19700), anti-CASP3 (A19654), and anti-LC3B (A7198) antibodies were from Abclonal. HCQ (HY-17589A) was from MedChemExpress.

Whole-genome CRISPR-Cas9 knockout library screen

The Human GeCKO v2 CRISPR knockout pooled library that was provided by Feng Zhang's lab [39] was applied to screen genes driving lenvatinib resistance in HCC cells. Briefly, we transduced Huh7 with GeCKO v2 library which contains 122,411 unique sgRNA sequences targeting 19,052 human genes and 1,864 miRNAs (6 sgRNAs per gene, 4 sgRNAs per miRNA, and 1000 non-targeting controls) at a low MOI (0.3). Then, the transduced cells were cultured with medium containing 2 µg/ml of puromycin for 7 days to generate a mutant cell pool. Subsequently, the mutant cell pool was treated with either vehicle (DMSO) and lenvatinib (20 µM; MedChemExpress, HY -10,981) for 21 days, respectively. At least 3×10^7 cells were collected for genomic DNA extraction to ensure over 400× coverage of GeCKO v2 library. The sgRNA sequences were amplified using NEBNext® High-Fidelity 2X PCR Master Mix (NEB, M0541) and subjected to massive parallel amplicon sequencing carried out by Novogene Technology (Beijing, China). The sgRNA read count and hits calling were analyzed by MAGeCK v0.5.7 algorithm [21].

Patients and specimens

Patients accepted either lenvatinib or lenvatinib plus anti-PDCD1/PD-1 treatments after the diagnosis of HCC between March 1, 2019 and August 31, 2019 at Zhongshan Hospital of

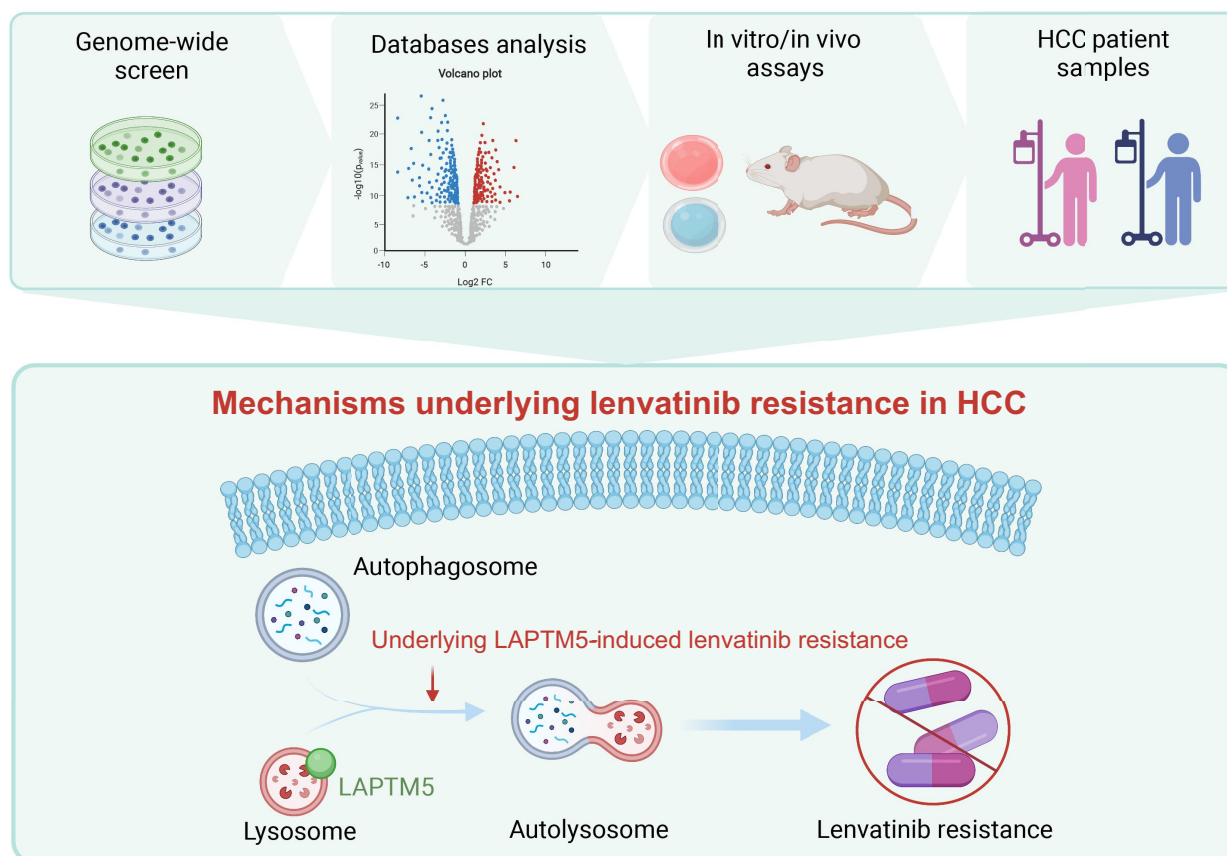


Figure 7. The proposed model elaborating the mechanisms underlying LAPT5 induced lenvatinib resistance in HCC. The proposed model elucidated that LAPT5 enhanced autophagic flux to contribute to lenvatinib resistance.

Fudan University were examined. Magnetic Resonance Imaging (MRI) was applied to monitor the tumor status 4 or 8 weeks after treatment. After elimination of patients who interrupted treatment or dropped out during the period of follow-up, a total of 12 patients were finally recruited and their biopsy samples before the treatment were collected for subsequent analysis.

Another independent cohort of patients (a phase II clinical trial in Zhongshan Hospital of Fudan University) who adopted single lenvatinib treatment after surgery during 2020 were analyzed ($n = 29$) [31]. Their corresponding HCC samples were also obtained for subsequent analysis.

This study was approved by Zhongshan Hospital Research Ethics Committee (B2021-155R), and patients provided their informed consents.

Quantitative reverse transcription PCR (qRT-PCR)

Total RNA was extracted with TRIzol reagent (Invitrogen, 15596026) and cDNA synthesis was performed using a reverse transcription kit (Promega, A1702) according to the manufacturer's instructions as previously described [40]. Target mRNA levels were measured using the SYBR Green PCR Master Mix (Applied Biosystems, A25780) and the Applied Biosystems 7900HT sequence detection system (Applied Biosystems, MA,

USA). The relative mRNA levels were calculated by $2^{-\Delta\Delta Ct}$ method. Primer sequences were as follows:

ACTB:

Forward: AGAGCTACGAGCTGCCTGAC

Reverse: AGCACTGTGTTGGCGTACA

TNXB:

Forward: GCCCTGCTCACTTGGACTG

Reverse: GGAGCCGTGCATTGTAGGAG

RB1CC1/FIP200:

Forward: ATCGAAGAGTGTGTACCTACAGT

Reverse: GCAGGTGGACGATCACATAAGAT

ATG5:

Forward: AAAGATGTGCTTCGAGATGTGT

Reverse: CACTTTGTCAGTTACCAACGTCA

ATG7:

Forward: TGTGCCTCACCAGGTTCTTG

Reverse: TGGTCTCATCATCGCTCATGTC

Western blot analysis

Western blot was performed according to previously published methods [41]. Briefly, cells were lysed in EBC buffer (50 mM Tris HCl, pH 8.0, 120 mM NaCl, 0.5% Nonidet P-40 [Solarbio, N8030]) with protease inhibitors (Roche, 04693116001) and phosphatase inhibitors (Millipore,

524265). 20 µg protein was loaded onto a 10% or 15% sodium dodecyl sulfate polyacrylamide gel, transferred onto 0.2 µm PVDF membranes (Millipore, ISEQ00010), blocked with 1 × TBST buffer (TBS/Tween Buffer) containing 5% bovine serum albumin (EpiZyme Biotech, PS113) and then incubated with the indicated primary antibodies. Results were obtained by Minichemi 610 chemiluminescent imager (Sagecreation, Beijing, China).

Immunohistochemistry (IHC)

HCC tissues blocks were cut into 4 µm in thickness and mounted onto 3-aminopropyltriethoxysilane-coated slides, dewaxed and blocked in 3% hydrogen peroxide-methanol solution. Antigen retrieval was performed by 0.08% citrate buffer followed by anti-LAPTM5 antibody (Abcepta, AP10077a) incubation. Staining results were visualized by sequential incubation of tissues with the components of the Envision-plus detection system (EnVision+/HRP/Mo; Dako, K400611-2) and 3,3'-diaminobenzidine (Sangon Biotech, A690009).

IHC staining was assessed by two independent pathologists with no prior knowledge of patient characteristics. Discrepancies were resolved by consensus. The staining extent score was on a scale of 1 to 4, corresponding to the percentage of immunoreactive tumor cells and the staining intensity. IHC score was computed as the sum of 4 × (%4⁺ cell) + 3 × (%3⁺ cell) + 2 × (% 2⁺ cell) + 1 × (% 1⁺ cell) [42].

LAPTM5 Interference

The siRNAs were synthesized by Genomeditech (Shanghai, China). All siRNA transfection was performed with X-tremeGENE siRNA Transfection Reagent (Roche, 57276900) at 50 nM final concentration according to the manufacturer's protocol. The siRNA transfected cells were harvested for western blot assay 48 h after transfection. The oligonucleotide sequences are as following:

siLAPTM5-1# Sense: CUGUGUCAUUGCUUGUGUAtt,
Antisense: UACACAAGCAAUGACACAGtt.
siLAPTM5-2# Sense: CCUCAUAACCAGUUCAUCAtt,
Antisense: UGAUGAACUGGUUAUGAGGtt.
siLAPTM5-3# Sense: CCACCUAUCUCAACUUAAtt,
Antisense: UUGAAGUUGAGAUAGGUGGtt.

Construction of stable cell lines

PGMLV-hU6-MCS-CMV-Puro (Genomeditech, PGMLV-SB3) and PGMLV-CMV-MCS-3×Flag-PGK-Puro (Genomeditech, GM-18844) were chosen for lentivirus packaging for LAPTM5 knockdown referred to the sequence of siLAPTM5-3# and LAPTM5 overexpression, respectively. Subsequent generation of stable cell lines by infection was performed according to the protocol previously describe [43].

Transmission electron microscopy (TEM)

TEM analysis was utilized to observe autophagic vesicles. Briefly, indicated cells were fixed in 0.1% glutaraldehyde.

After dehydration, ultra-thin sections were prepared using a Sorvall MT5000 microtome. Subsequently, lead citrate and 3% uranyl acetate were applied to stain the samples. Images were acquired using a CM-120 electron microscope (PHILIPS, the Netherlands).

In vitro drug sensitivity assay and apoptosis assay

The cells in each condition were seeded at 4×10^3 cells per well (As for PDC, 2×10^4 cells per well) in a 96-well plate in six replicates. The culture medium containing different concentrations of lenvatinib or vehicle (DMSO) was added to each well. Forty-eight h post cultivation, culture medium was discarded, and 100 µl 10% CCK-8 (ZETA LIFE, K009) solution was added to each well and incubated for 2 h while blocking exposure to light. The absorbance was measured by scanning with a microplate reader (MRX; Dynex Technologies, West Sussex, United Kingdom) at 450 nm. Then, the half maximal inhibitory concentration value (IC50) for each cell line was calculated.

For the apoptosis assay, cells were seeded at 1×10^6 cells/well into a six-well plate in triplicate. Subsequently, cells were subjected to either lenvatinib or vehicle for 48 h and then followed by flow cytometry analysis. The cell apoptosis assay was determined by FITC Annexin V Apoptosis Detection Kit (BD Biosciences, 556547) according to the instruction. Data were analyzed by FlowJo software.

Mouse xenografts

All mice were treated according to protocols approved by the Shanghai Medical Experimental Animal Care Commission and Shanghai Cancer Institute. HCC-LM3 and Huh7 cells (5×10^6 cells per mouse) were injected subcutaneously into the right flanks of 5-week-old BALB/c nude mice (male, 5 mice per group). As for the immunocompetent mouse model, hepa1-6 (5×10^6 cells per mouse) was injected subcutaneously into the right flanks of 5-week-old C56BL/6 mice (male, 6 mice per group).

Tumor volume based on caliper measurements was calculated using the modified ellipsoidal formula: tumor volume = $\frac{1}{2} \times \text{length} \times \text{width}^2$. After tumor establishment (Day 14), mice were randomly assigned to 5 days per week treatment with vehicle, lenvatinib (4 mg/kg, oral gavage) or lenvatinib plus HCQ (60 mg/kg, oral gavage) for four weeks. Then, all mice were sacrificed and the tumors were photographed and analyzed.

Generation of HCC-LM3 LAPTM5 knockout cell line

Cas9-expression HCC-LM3 was established by lenti-virus transfection and screened by blasticidin S (Yeasen, 60218ES10). Then, four sgRNA targeting LAPTM5 were designed to transfect Cas9-expressing HCC-LM3 and screened by puromycin. All screened cell lines were harvested for western blot assay.

Statistical analysis

All *in vitro* data are presented as the means \pm S.D. of at least three technical replicates, as indicated in the figure legends. All *in vivo* data are presented as means \pm S.D. Statistical tests including but not limited to Wilcoxon rank sum test, Student's t-test, Chi-square test, Fisher's exact test which were performed by GraphPad Prism (version 8.3.0). For continuous variables, Spearman correlation was performed. $P < 0.05$ (two-side) was considered to be statistically significant.

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Data availability statement

The datasets used and/or analyzed during the current study are available from the corresponding author on reasonable request.

Disclosure statement

No potential conflict of interest was reported by the author(s).

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