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Project Harmony: A Meta-Analysis With Individual Patient Data on Behavioral and Pharmacologic Trials for Comorbid Posttraumatic Stress and Alcohol or Other Drug Use Disorders

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Abstract

Objective: Treatment efficacy for co-occurring posttraumatic stress disorder (PTSD) and substance use disorders is well established, yet direct evidence for comparative effectiveness across treatments is lacking. The present study compared the effectiveness of several behavioral and pharmacological therapies for adults with co-occurring PTSD and alcohol or other drug use disorders.

Methods: A systematic search of PsycINFO, MEDLINE, and [ClinicalTrials.gov](https://www.clinicaltrials.gov) was conducted through December 2020 for trials targeting PTSD, alcohol or other drug use disorders, or both disorders (36 studies, N=4,046). Primary outcomes were severity scores for PTSD, alcohol use, and drug use, estimated via moderated nonlinear factor analysis. Propensity score weight-adjusted multilevel models were used. Model-predicted effect sizes were estimated for each treatment, and comparative effect sizes for each active arm against treatment as usual, at end of treatment and at 12-month follow-up.

Results: Compared with treatment as usual, combining trauma-focused therapy and pharmacotherapy for substance use disorders showed the largest comparative effect sizes for PTSD severity ($d=-0.92$, 95% CI=-1.57, -0.30) and alcohol use severity ($d=-1.10$, 95% CI=-1.54, -0.68) at end of treatment. Other treatments with large comparative effect sizes included pharmacotherapies for alcohol or other drug use disorders, trauma-focused integrated therapies, and trauma-focused nonintegrated therapies. Reductions in outcomes for PTSD symptoms and alcohol use were observed for nearly all treatments.

Conclusions: The findings provide support for treating comorbid PTSD and substance use disorders using a variety of approaches, with alcohol-targeted pharmacotherapies and trauma-focused behavioral therapies as a combination of treatments that lead to early and sustained improvements in PTSD and alcohol use severity. Further treatment development is indicated for combining behavioral and pharmacological treatments for synergized impact and understanding the mechanisms of action and conditions under which each treatment type is optimized.

Comorbid posttraumatic stress disorder (PTSD) and substance use disorder is globally prevalent and costly. PTSD affects between 1.3% and 8.8% of the global population, depending on locale (1). Rates of substance use disorder are similarly high, with approximately 269 million people using drugs per year worldwide—a number that has increased by ~30% over 10 years—and some 35 million people suffering from a drug use disorder (2). Nearly three decades of published research has documented the devastating impact of comorbid PTSD and substance use disorder: longer hospital stays, lower treatment adherence, poorer treatment outcomes than either disorder alone, worse functioning, and higher suicide risk (3), all of which are linked to increased mortality (4–6).

The efficacy of various pharmacotherapies and behavioral treatments in addressing the complex comorbidity of PTSD and substance use disorder has increasingly been established, but these treatments vary in their approaches. The behavioral treatments in particular vary in the extent to which they focus on a single disorder (e.g., relapse prevention for substance

use disorder [7] and prolonged exposure for PTSD [8]) or integrate PTSD and substance use treatment foci (e.g., Concurrent Treatment of PTSD and Substance Use Disorders Using Prolonged Exposure [COPE] [9]). Within PTSD-focused and integrated PTSD and substance use treatments, interventions vary with respect to whether they focus on trauma-related memories and content (trauma-focused treatments; e.g., COPE) or do not (non-trauma-focused treatment; e.g., Seeking Safety therapy). While behavioral treatments have been shown to be effective for comorbid PTSD and substance use disorders, pharmacotherapies have typically targeted either alcohol use or PTSD, although there are some medications (such as sertraline) that may target both. Indeed, evidence from basic science research points to several neurobiological abnormalities that are common to both sets of disorders, including disruptions in dopamine, norepinephrine, and serotonin systems (10, 11).

The fact that most of these treatment approaches have rarely been compared with each other (see reference 12 for an exception) has made it difficult to determine best practices in treating comorbid PTSD and alcohol or other drug use disorders. Furthermore, data syntheses, such as systematic reviews and meta-analyses, are marked by small sample sizes, high attrition, and lack of demographic diversity (6, 13–20; D.A. Hien et al., 2021, unpublished). Hence, researchers and policy makers have been unable to make recommendations for practitioners about comparative effectiveness across treatment modalities or their combinations.

Control Condition	Number in Control Group	Outcome Measure for PTSD	Outcome Measure for Substance Use	Treatment Completion Rate (%)
Placebo medication	14	CAPS, PCL	VAS	70
RP	27	CAPS, PCL	TLFB	61
TAU	49	CAPS, IES	ASI, CRI	93
Community care	34	CAPS	SUI	76
SS	37	CAPS	ASI, SUI	58
Women's health	177	CAPS	TLFB	56
Community care	28	CAPS, MPSS	ASI, SUI, SCID	50
Feedback only	33	CAPS	TLFB, AUDIT, DrInC	NR
Individual addiction counseling	21	CAPS, PCL	TLFB, ASI	49
TAU	95	CAPS, PCL	TLFB, ASI	NR
TAU	48	CAPS	TLFB, CIDI, OTI	13
Twelve-step	18	CAPS	TLFB	45
SS	56	CAPS	TLFB	100
Integrated CBT	63	PCL	TLFB	NR
Placebo medication	46	CAPS	TLFB	78
CPT	6	SCID, CAPS, PCL	TLFB, OCDS	70
Placebo medication	23	CAPS, MINI	TLFB	68
CBT for alcohol support	29	CAPS	TLFB	73
PE	30	TLEQ, MPSS-R	UDS, ASI	19

Control Condition	Number in Control Group	Outcome Measure for PTSD	Outcome Measure for Substance Use	Treatment Completion Rate (%)
RP	115	PDS, CTQ, SAEs	SCID, ASI-Lite	37
Placebo medication	12	CAPS, DTS, TOP-8	TLFB	44
Residential TAU	10	CAPS	ASI	83
Residential TAU	22	CAPS	ASI, TLFB	92
CBT for substance use disorder	32	CAPS, PCL	UDS, TLFB	
TAU	43	PSSI	TLFB, PACS	64
Waiting list	196	PCL	QDS, AUDIT	36
CBT for substance use disorder	15	PDS, SCID	TLFB, InDUC	53
PE	19	CAPS	TLFB	53
Placebo medication	16	CAPS, PCL	TLFB, OCDS	93
Placebo medication	45	CAPS, SCID	TLFB, OCDS, ASI	NR
Trauma-sensitive TAU	72	CAPS	GAIN	27
Desipramine + placebo or paroxetine + placebo	24,20	CAPS	TLFB	70
TAU	6	PCL	ASI	NR
TAU	20	SCID, PSSI, PCL	TLFB	NR
Placebo medication	15	PSSI, PCL	TLFB, PACS	NR
Phased MET + PE	95	SCID, PSS, PCL	TLFB	NR

To provide definitive guidance on the most effective treatment strategies for comorbid PTSD and substance use disorder, we integrated raw individual patient data from 36 representative randomized controlled trials using a “virtual clinical trial” (13) model combining integrative data analysis, propensity score weighting, and meta-analysis of individual patient data frameworks to accomplish what no single, multisite, or traditional meta-analysis could accomplish given the real-world clinical challenges of studying this common, yet underserved population. In our focal analysis of data for the Project Harmony Virtual Clinical Trials study, we conducted a comparative effectiveness analysis of each of eight active treatment classifications and one pharmacotherapy treatment classification, compared with behavioral treatment as usual on outcomes for PTSD and alcohol or other drug use at end of treatment and at an estimated 12-month follow-up.

METHODS

The methods are described in detail in our study protocol (13).

Study Design, Study Selection, and Participants

The analysis was conducted in compliance with the Preferred Reporting Items for Systematic Reviews and Meta-ANALYSIS (PRISMA) Individual Patient Data Statement (21). Criteria for study inclusion were 1) randomized clinical trial of a psychological and/or pharmacological intervention targeting either PTSD symptoms, alcohol or other drug use disorder symptoms, or both; 2) pre- and posttreatment collection of PTSD and substance

use outcomes; and 3) an adult sample (age 18 and above) with a current diagnosis of full or subthreshold PTSD according to DSM-IV or DSM-5 criteria (subthreshold PTSD was defined as meeting PTSD criteria A, B, E, F, and either C or D [22]) and a current substance use disorder diagnosis (alcohol or other drug abuse or dependence in DSM-IV, and substance use disorder in DSM-5). Upon confirmation of study eligibility, data were requested from authors of eligible studies (i.e., the participating principal investigators). The present study was reviewed and approved by the institutional review boards of RTI International and Rutgers University. Permission to use data from 49 studies was requested, and we acquired data from 36 trials (N=4,046; see Table 1, the PRISMA chart in Figure 1, and the online supplement).

Coding of treatment classifications.—Treatment conditions, based on groupings of each within-study treatment arm, were defined and coded according to whether the treatment was 1) trauma focused, 2) an integrated PTSD and alcohol or other drug treatment, 3) a pharmacotherapy that targeted PTSD (e.g., sertraline, paroxetine), 4) a pharmacotherapy that targeted alcohol or other drug use (e.g., methadone, naltrexone), 5) solely a behavioral treatment for alcohol or other drug use disorder, or 6) a nonmanualized, community-based treatment (i.e., treatment as usual). These groupings were not mutually exclusive.

Individual-level covariates.—The following variables were examined as covariates: gender, age, race/ethnicity, education level, population type (civilian, veteran, incarcerated), treatment dosage (proportion of available sessions attended or study medication doses taken), baseline diagnosis of current major depressive disorder, and concomitant non-study psychotropic medication use at baseline. Because treatments were grouped *across* studies, the original within-study randomization structure no longer holds, leading to possible relations between covariates and the “new” treatment classifications, which required mitigation using propensity score weighting (13, 23).

Primary Outcomes of Interest

Latent PTSD severity.—A total of 42 PTSD indicators (21 symptoms from a clinical interview and 21 self-report symptoms) were harmonized across the studies that had item-level PTSD data and were used to formulate the indicators of a 42-item latent PTSD construct estimated under the moderated nonlinear factor analysis (MNLFA) framework (24, 25) (considerable detail on MNLFA scale score estimation and item parameters is provided in the online supplement). The 42 symptoms include a mix of the 16 PTSD symptoms that are common to both the DSM-IV and DSM-5 diagnostic criteria, the one symptom that is unique to DSM-IV (sense of foreshortened future), and the four symptoms that are unique to DSM-5.

Latent substance use severity.—Latent substance use severity scores were also estimated under MNLFA. Binary indicators of any past-30-day use of the following substances were used to support a six-indicator latent substance use variable: cocaine, heroin, opioids (excluding heroin), sedatives, other stimulants (excluding cocaine), and hallucinogens.

Latent alcohol use.—A latent alcohol use variable was estimated under MNLFA using two indicators: number of days of alcohol use in the past 30 days and any alcohol use to intoxication in the past 30 days.

RESULTS

Sample Characteristics

The mean age in the sample was 39.0 years (SD=11.2); 53% of participants were male, 65% were White, 25% were African American, and 7% were Hispanic. Additional descriptive statistics (overall and treatment class-specific) are provided in the online supplement. To ensure raw data integrity, descriptive statistics for each study were checked for comparability against published descriptive data from the original trials. An analysis comparing descriptive data from the trials that were included here with the published descriptive data for the trials whose raw data we were unable to acquire revealed no statistically significant differences (all p values >0.17), suggesting that the data we acquired generalize to the universe of eligible randomized controlled trials.

Mitigation of Covariate Imbalance via Propensity Score Weighting

Propensity scores were estimated using a multinomial logit model with all covariates and study-level fixed effects using SAS Proc GLIMMIX. Covariate balance across treatment classes was achieved for all covariates after propensity score weighting, with all postweighting balance checks below a d value of |0.10| (see the online supplement). Thus, the inverse probability treatment weightings were of sufficient quality for use in primary outcomes analysis.

Outcomes Models

The primary analysis model was an inverse probability treatment weighting, three-level linear mixed model (using Proc MIXED in SAS) to account for 1) clustering of repeated observations within participants and 2) participants clustered within studies (i.e., one-stage meta-analysis of individual patient data). A study-level random effect was specified for the intercept, and participant-level random effects for the intercept and slopes were also included. Model results for all fixed-effect parameter estimates and standard errors, including two-way interaction effects with treatment phase and posttreatment phase time variables (i.e., single intervention treatment effects) and three-way interaction effects (i.e., treatment effects of combinations of interventions), were combined across the 20 multiply imputed, synthetic data sets using Proc MIANALYZE in SAS. Model estimates were then converted into model-based Cohen's d effect sizes by using the methods of conversion outlined in reference 26.

PTSD Severity Outcomes

End-of-treatment data.—For the treatment-as-usual primary comparator condition, reductions in PTSD symptom severity by end of treatment corresponded to a d value of -0.61 (95% CI=-0.72, -0.52). Comparative effect sizes against treatment as usual (see Table 2 and the online supplement) that were both statistically significant and reached at least Cohen's convention for small comparative effect sizes (d>|0.20|) were, in ascending

order, placebo medication ($d=-0.32$, 95% CI= $-0.53, -0.12$), pharmacotherapy for alcohol or other drug use ($d=-0.41$, 95% CI= $-0.77, -0.08$), integrated trauma-focused therapies ($d=-0.47$, 95% CI= $-0.94, -0.01$), and behavioral therapies for alcohol or other drug use ($d=-0.60$, 95% CI= $-0.80, -0.38$). The largest comparative effect size overall was for the combination of trauma-focused therapies and pharmacotherapy for alcohol or other drug use ($d=-0.92$, 95% CI= $-1.57, -0.30$). Comparative effect sizes that exceeded a d value of $|0.20|$ but were not statistically significant were observed for nonintegrated trauma-focused therapies ($d=-0.24$, 95% CI= $-0.50, 0.01$) and pharmacotherapies for PTSD ($d=-0.41$, 95% CI= $-0.79, 0.24$). The study-level intraclass correlation in this model was significant ($\tau^2=0.116$, $z=3.54$, $p<0.001$), suggesting significant heterogeneity in latent PTSD severity across studies. (Comparisons between pharmacotherapies and combination behavioral and pharmacotherapy interventions against placebo medication were also conducted; see Table S12 in the online supplement.)

12-month follow-up.—For the treatment-as-usual primary comparator condition, reductions in PTSD symptom severity at 12-month follow-up corresponded to a d value of -1.16 (95% CI= $-1.40, -0.92$). The following treatments or treatment combinations were statistically superior to treatment as usual, with meaningful comparative effect sizes, in ascending order: nonintegrated trauma-focused therapies ($d=-0.46$, 95% CI= $-0.93, -0.04$), placebo medication ($d=-0.57$, 95% CI= $-1.08, -0.01$), pharmacotherapy for alcohol or other drug use ($d=-1.37$, 95% CI= $-2.15, -0.63$), and combined trauma-focused therapy and pharmacotherapy for alcohol or other drug use ($d=-2.00$, 95% CI= $-3.38, -0.68$). Integrated therapies showed meaningful effect size differences compared with treatment as usual but were nonsignificant ($d=-0.22$, 95% CI= $-0.54, 0.09$).

Alcohol Severity Outcomes

End-of-treatment data.—For the treatment-as-usual primary comparator condition, reductions in alcohol severity at end of treatment corresponded to a d value of -0.37 (95% CI= $-0.44, -0.30$). Comparative effect sizes against treatment as usual that were statistically significant and reached Cohen's convention for a small comparative effect size ($d>|0.20|$) were (in ascending order) trauma-focused integrated behavioral treatments ($d=-0.42$, 95% CI= $-0.74, -0.10$), trauma-focused nonintegrated behavioral treatments ($d=-0.45$, 95% CI= $-0.64, -0.26$), placebo medication ($d=-0.47$, 95% CI= $-0.64, -0.31$), and pharmacotherapy for alcohol or other drug use ($d=-0.83$, 95% CI= $-1.07, -0.60$). Again, the largest comparative effect size overall was for the combination of trauma-focused therapies and pharmacotherapy for alcohol or other drug use ($d=-1.10$, 95% CI= $-1.54, -0.68$). The study-level intraclass correlation in this model was significant ($\tau^2=0.067$, $z=4.02$, $p<0.001$), suggesting significant heterogeneity in latent alcohol use severity across studies.

12-month follow-up data.—For the treatment-as-usual primary comparator condition, reductions in alcohol use severity by 12-month follow-up corresponded to a d value of -0.36 (95% CI= $-0.50, -0.22$). The following treatments or treatment combinations were statistically superior to treatment as usual, with meaningful comparative effect sizes: pharmacotherapy for alcohol or other drug use alone ($d=-0.84$, 95% CI= $-1.30, -0.41$) and the combination of trauma-focused therapy and pharmacotherapy for alcohol or other

drug use ($d=-1.24$, 95% CI= $-2.03, -0.40$). Placebo medication ($d=-0.29$, 95% CI= $-0.65, -0.09$) and nonintegrated trauma-focused therapies ($d=-0.24$, 95% CI= $-0.51, 0.03$) showed meaningful effect size differences compared with treatment as usual but were nonsignificant.

Drug Use Severity Outcomes

End-of-treatment data.—For the treatment-as-usual primary comparator condition, reductions in drug use severity by end of treatment corresponded to d value of -0.53 (95% CI= $-0.61, -0.47$). There were no comparative effect sizes that were statistically significant or larger than Cohen's convention for a small comparative effect size ($d>|0.20|$). The study-level intraclass correlation in this model was significant ($\tau^2=0.246$, $z=3.99$, $p<0.001$), suggesting significant heterogeneity in latent drug use severity across studies.

12-month follow-up data.—For the treatment-as-usual primary comparator condition, reductions in drug use severity by 12-month follow-up corresponded to a d value of -0.63 (95% CI= $-0.78, -0.47$). None of the treatment combinations was statistically superior to treatment as usual, but pharmacotherapy for drug use ($d=-0.31$, 95% CI= $-0.79, 0.11$) and the combination of trauma-focused therapy and pharmacotherapy for drug use ($d=-0.27$, 95% CI= $-1.07, 0.53$) had small comparative effect sizes, respectively, compared with treatment as usual. Pharmacotherapy for PTSD ($d=0.82$, 95% CI= $-1.20, 2.99$) had a comparative effect size that suggested worse drug use outcomes compared with treatment as usual.

Alcohol Use				Drug Use			
End of Treatment		12 Months Posttreatment		End of Treatment		12 Months Posttreatment	
d	95% CI	d	95% CI	d	95% CI	d	95% CI
-1.10^b	$-1.54, -0.68$	-1.24^b	$-2.03, -0.40$	n.s.	n.s.	-0.27^c	$-1.07, 0.53$
-0.45^b	$-0.64, -0.26$	-0.24^c	$-0.51, 0.03$	n.s.	n.s.	n.s.	n.s.
-0.42^b	$-0.74, -0.10$	n.s.	n.s.	n.s.	n.s.	n.s.	n.s.
-0.83^b	$-1.07, -0.60$	-0.84^b	$-1.30, -0.41$	n.s.	n.s.	-0.31^c	$-0.79, 0.11$
-0.47^b	$-0.64, -0.31$	-0.29^c	$-0.65, -0.09$	n.s.	n.s.	n.s.	n.s.
n.s.	n.s.	n.s.	n.s.	n.s.	n.s.	n.s.	n.s.
n.s.	n.s.	n.s.	n.s.	n.s.	n.s.	n.s.	n.s.
n.s.	n.s.	n.s.	n.s.	n.s.	n.s.	0.82^d	$-1.20, 2.99$
-0.37	$-0.44, -0.30$	-0.36	$-0.50, -0.22$	-0.53	$-0.61, -0.47$	-0.63	$-0.78, -0.47$

Risk of bias analysis.—Studies were assessed for risk of bias based on the Cochrane risk-of-bias assessment tool, version 2 (27, 28), with two independent raters per study. A reanalysis of all outcome models was conducted, with six of the 36 studies removed from the analysis; three were judged as having a high risk of bias (29–31), one was judged as having an unclear risk of bias because the report on primary outcomes has yet to be published (32), and two were unpublished (M. Saladin, unpublished; S. Sonne, unpublished). A comparison of comparative effect sizes from the full data set versus the data set with studies at high risk of bias excluded showed no differences in effect sizes greater

than |0.06| except for one effect: the PTSD medication comparative effect size on PTSD symptom severity at 12-month follow-up was -1.01 when restricted to the studies at low to moderate risk of bias (it was -0.04 with the full data set).

DISCUSSION

To date, systematic reviews and conventional meta-analyses in the area of treating comorbid PTSD and substance use disorders have left unanswered questions regarding the comparative effectiveness of behavioral and pharmacological treatments because, among other limitations (6, 33; D.A. Hien et al., unpublished), these methodologies have not allowed for direct head-to-head comparisons. The present study used a “virtual clinical trial” framework (13) to compare multiple sets of behavioral and pharmacological treatments for comorbid PTSD and alcohol or other drug use disorders using a single-stage individual-patient meta-analysis framework. The findings revealed that two broad groups of treatments—pharmacotherapy for alcohol use, and trauma-focused behavioral interventions—were significantly and consistently more likely to lead to improvements by end of treatment, which were sustained at 12-month follow-up for PTSD and alcohol use symptom severity. Notably, the strongest comparative effects appeared to favor the combination of trauma-focused treatments and alcohol-targeted pharmacotherapy when compared with behavioral treatment as usual and with placebo medication (see the online supplement). Indeed, this was the only treatment class that was superior to both treatment as usual and placebo medication.

Our findings regarding trauma-focused behavioral PTSD treatments provide support for some of the more tentative conclusions regarding efficacy previously drawn from systematic reviews and traditional meta-analyses (6, 13–17, 19, 20, 33). The present study extends our knowledge substantially by demonstrating direct and significant impacts of treatment interventions on alcohol use severity for the first time, and underscores the efficacy of pharmacotherapy targeting alcohol use disorders that are comorbid with PTSD. In contrast, none of the treatment groups were superior to treatment as usual for drug use severity at either time point, although there were two pharmacotherapy effect sizes (for alcohol pharmacotherapy and for trauma-focused and alcohol pharmacotherapy combined) for drug use severity at 12 months posttreatment that were clinically meaningful. We have posited that for drug use outcomes, the mechanisms for improvement may differ from those for alcohol use, and involve more downstream impacts where changes in PTSD symptoms mediate changes in drug use (e.g., references 34–36). Interestingly, pharmacotherapies for PTSD appeared to lead to clinical worsening across both drug use and alcohol use outcomes by 12-month follow-up; further study is needed to determine conditions for efficacy (such as moderator and mediator analyses).

Many single-site randomized controlled trials and conventional meta-analyses of treatments addressing comorbid PTSD and substance use disorders have shown robust clinical impacts on PTSD outcomes (6, 14) but have not shown consistent superiority on alcohol or drug use. The direct impacts of treatments for comorbid PTSD and substance use disorders on alcohol and other drug outcomes have been modest, if any, providing practitioners with far less clarity on the best research-informed practices to address alcohol and drug use disorders. In contrast, the present study is the first analysis to show consistent and direct

effects of interventions for comorbid PTSD and alcohol or other drug use disorders—and specifically PTSD interventions with pharmacotherapies for substance use disorders—on alcohol severity outcomes above and beyond treatment as usual.

While in line with anecdotal clinical practice and knowledge (37), support for a particular intervention combination—trauma-focused behavioral treatment paired with an alcohol-targeting medication—may advance the field significantly (37, 38), although it should be noted that this treatment class was based on only two trials (cognitive processing therapy and zonisamide [39], and prolonged exposure and naltrexone [38]) and thus should be replicated for confirmation. To date, the number of pharmacotherapy trials for comorbid PTSD and substance use disorders remains small (20, 40) and formal meta-analytic study is lacking, so pharmacotherapy trial results have been unable to provide a strong signal in any particular direction. In contrast, the methods in the present study integrated 36 trials to 1) enlarge sample size, 2) address measurement variation, 3) increase population diversity, and 4) boost statistical power, and the results have illuminated previously unknown synergies between trauma-focused behavioral treatment and alcohol-targeted pharmacotherapy, suggesting that their combination may amplify their respective effects. Notably, even when offered alone or as a placebo, pharmacotherapies for alcohol use disorder (*N*-acetylcysteine, naltrexone, paroxetine, prazosin, sertraline, topiramate, and zonisamide) exerted medium to large effects on PTSD and alcohol use outcomes. However, the underrepresentation of studies combining pharmacotherapy with behavioral treatments (i.e., trauma-focused integrated treatments and PTSD-targeted pharmacotherapies combined with a behavioral platform) in the present study underscores the need for investments in novel combined behavioral and pharmacotherapy trials.

Integrated non-trauma-focused approaches—the most widely disseminated treatments for comorbid PTSD and substance use disorders provided in community-based settings, due to feasibility and acceptability—had small to medium effects on PTSD outcomes. They showed lower impacts on drug use outcomes but, notably, were similar to treatment as usual and behavioral approaches in outcomes for alcohol and other drug use across the end-of-treatment and 12-month time frames. Integrated non-trauma-focused treatments typically provide psychoeducation on the relationship between PTSD and alcohol or drug use. In contrast to trauma-focused treatments, these behavioral approaches largely avoid explicit trauma processing and focus on addressing trauma's current impact (e.g., identifying triggers for relapse, setting boundaries in relationships, distinguishing between safe and unsafe situations, and managing intense emotional responses). Although we see that these models do have benefits (and do not lead to symptom worsening across the board), the effect sizes are much smaller relative to treatment as usual and do not reach statistical significance, in contrast to the trauma-focused integrated and trauma-focused nonintegrated models overall.

Eight of the nine treatments evaluated for comorbid PTSD and alcohol or other drug use disorders, including the treatment-as-usual and placebo comparators, had positive effects on PTSD, alcohol, and drug use severity outcomes at end of treatment. This was observed regardless of type, category, or target of approach (effect sizes ranging from small to large), except for PTSD pharmacotherapy at 12 months posttreatment on drug

use outcomes. Also, regardless of whether or not the substance use disorder or PTSD is directly targeted, our analysis indicates that many approaches have some benefits—benefits that may extend beyond the targeted outcomes of the individual type of approach. For example, naltrexone, which is typically used to reduce alcohol cravings and other symptoms of alcohol dependence, also has effects on reducing PTSD symptom severity. Likewise, prolonged exposure, typically used to address PTSD symptoms, also has effects on both alcohol and drug use outcomes.

This analysis focused primarily on the question regarding overall comparative effectiveness across treatment platforms for comorbid PTSD and substance use disorders. Although additional analyses that are specific to optimizing matches between treatment platforms and patient characteristics (i.e., moderation) and the extent to which alcohol and other drug effects across treatment platforms are transmitted through PTSD (i.e., mediation) are planned (13), these issues are beyond the scope of this report. Therefore, we underscore that the findings presented are limited to the overall sample; we caution practitioners away from drawing conclusions about comparative effectiveness for subsamples (such as women only or veterans only). Our comparative effectiveness analysis focused on treatment effects in comparison with behavioral treatment as usual and did not aim to address direct head-to-head comparisons between the eight active classifications; however, the information needed to calculate specific comparative effect sizes between any two treatments not involving treatment as usual as the comparator is available in the online supplement. Instead, we highlight the importance of having many different treatment options for individuals with comorbid PTSD and substance use disorder. This may be one reason that the findings for significant pharmacotherapy effects were spotlighted, given that the typical pharmacotherapy trial compares active pharmacotherapies with placebo and not treatment as usual.

Our 36 studies included trials of 10 different medications (or combinations of medications) and multiple trauma-focused therapies, with at least three trials of the COPE intervention and eight trials of interventions based on Seeking Safety therapy. This represents many active treatment classifications, extracted from the 36 studies for which we were able to obtain data. We present the review findings based on categorization that we reference from the literature (6) and a recent publication from our team (41) that focuses on how interventions impacted outcomes (PTSD only, alcohol or other drug use disorder only, or comorbid PTSD and alcohol or other drug use disorder), and have reorganized the intervention types along several different vectors of classification, including target of the intervention (integrated vs. nonintegrated), disorder(s) of focus (PTSD only, alcohol or other drug use disorder only, or comorbid PTSD and alcohol or other drug use disorder), treatment modality (behavioral or pharmacologic), and whether the behavioral intervention is trauma focused or non–trauma focused.

All individual patient data meta-analyses are limited by what trials are available, and in the case of comorbid PTSD and alcohol and other drug use disorders, the studies are quite diverse, and therefore our findings still need replication, and further analyses focusing on individual differences and other comparators are needed to determine treatment-matching

goals (13). Yet, these findings can serve as guideposts for which promising combinations of treatments and understudied treatments warrant further examination in future trials.

Limitations

While our combination of integrative data analysis and “one-stage” meta-analysis of individual patient data constitutes a more robust and precise method for estimating cross-study variation in measurement and treatment outcomes compared with other approaches (e.g., “two-stage” meta-analysis of individual patient data, conventional meta-analysis) (42), this study has some limitations. First, as with all treatment outcomes for randomized controlled trials, the findings of this study can only be generalized to individuals who volunteer to participate in randomized clinical studies. Future analysis of the Project Harmony data set could explore estimation of treatment effects that could generalize to the larger population of treatment seekers (43). Second, the results from the study suggested statistically significant study-level heterogeneity for all three outcomes. The more immediate cause of study-level heterogeneity in outcomes is the differences in inclusion criteria across studies (e.g., full PTSD vs. subthreshold PTSD), which both directly and indirectly impact variation in the study-level mean values of baseline severity measures of PTSD and substance use disorders at baseline. As structured, the covariates in the propensity score weighting model and the treatment class variables vary within the trial, and are thus between-individual predictors, which do not reduce unexplained variability at the study level. Inclusion of study-aggregated and (study-centered) individual-level moderators will reduce unexplained variability at the study level in continuing analysis of the Project Harmony data set (13).

Although the included trials aimed to be diverse with regard to inclusion, quasi-experimental designs and single-group studies were excluded; however, selection biases that may be created by “mixing and matching” treatment classes across randomized controlled trials in meta-analyses of individual patient data (13, 44, 45) were mitigated by propensity score weighting specifically for those types of designs (46, 47). Further, our risk-of-bias analyses, in which studies at high risk of bias were excluded, were robust to risk of bias across all comparative effect sizes except one, suggesting that our findings are robust to variation in trial design quality. Finally, our study generalizability analysis suggested that the studies we were able to include were representative of the larger universe of randomized controlled trials that we identified for potential inclusion in the study.

CONCLUSIONS

The comparative effectiveness results from this meta-analysis of individual patient data extend and offer greater precision than other forms of evidence synthesis as to which behavioral and pharmacological treatments, or their combination, are most effective in addressing PTSD and substance use disorder in individuals with both disorders, when holding a number of critical individual characteristics constant. The findings show that there are several effective options and that trauma-focused therapies combined with pharmacotherapy for alcohol use disorder significantly and consistently led to early and sustained improvements on both PTSD and alcohol use outcomes.

The indication of benefits of trauma-focused and combined alcohol pharmacotherapy may be an important beacon for service providers and users. This is particularly salient given the earlier adoption by the substance use treatment community of non-trauma-focused treatments (48), largely due to clinician and/or client fears that trauma processing may worsen PTSD or substance use. The present study findings demonstrate not only that individuals with comorbid PTSD and alcohol use disorders derive direct benefits in their drinking outcomes from trauma processing therapies, but also that they are able to tolerate the trauma-focused approaches, with PTSD effect sizes similar to those observed in individuals without substance use disorders (15). The findings show that this was the case whether or not treatments are offered in an integrated fashion (i.e., the behavioral treatment includes some content that addresses the relationship between PTSD and substance use across the majority of treatment sessions); study participants did not relapse in the process. On a policy level, our findings support the contention that there are “no wrong doors” (17) regarding the delivery of substance use intervention services that integrate PTSD treatment alongside them, as opposed to isolating approaches to substance use and PTSD in distinct services. However, as the findings provide clearer support for trauma-focused interventions, alcohol-targeted pharmacotherapy, and their combination, it does appear that “some doors are better than others.”

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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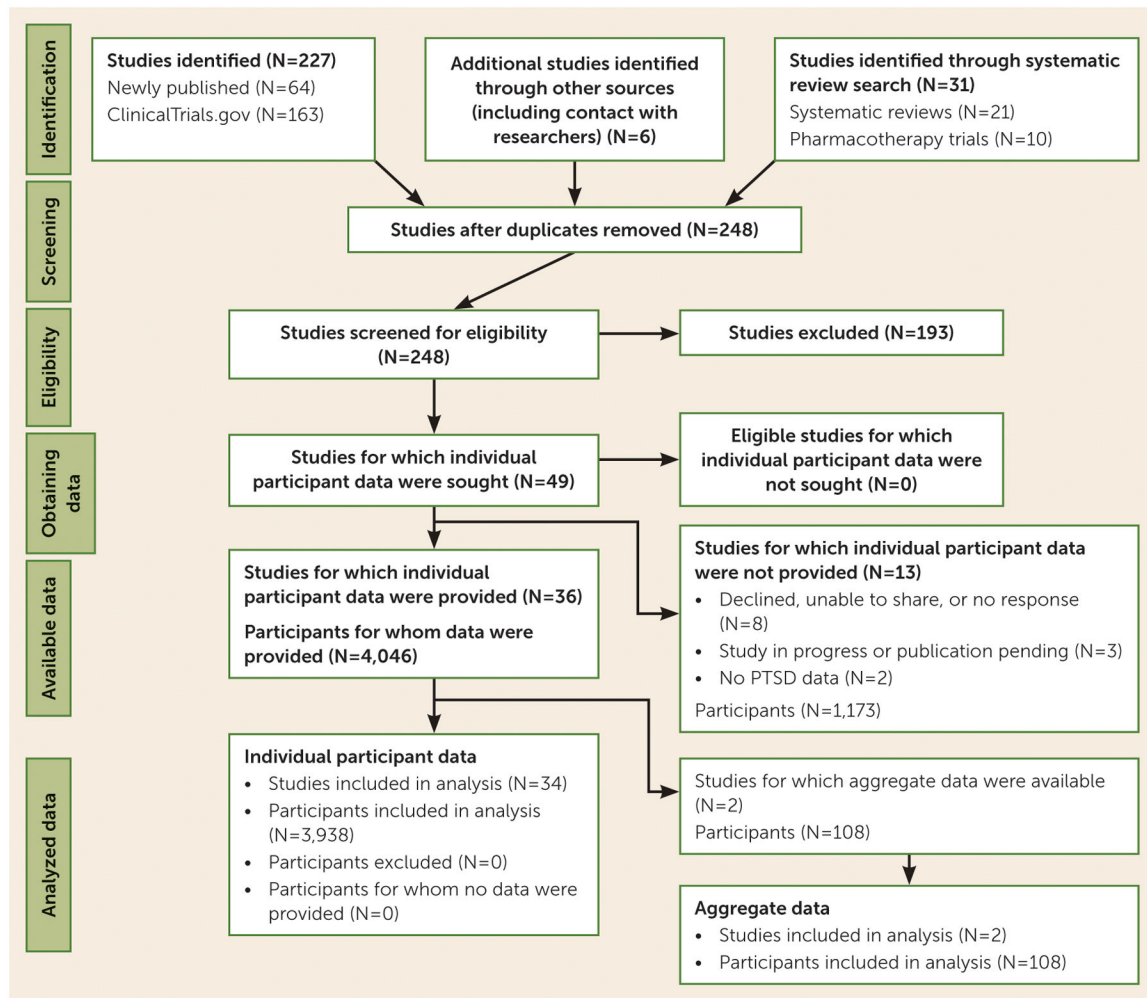


FIGURE 1. PRISMA study selection flow diagram for a meta-analysis of comorbid PTSD and substance use disorders^a

^a For inclusion criteria, see the Methods section in the text.

TABLE 1.

Characteristics of the 36 studies on treatment of comorbid PTSD and substance use disorders that were included in the analysis (N=4,046)^a

Study and Reference	Population	Intervention(s)	Number of Sessions	Number Receiving Intervention(s)
Back et al. (49)	Veteran	N-Acetylcysteine	8	13
Back et al. (50)	Veteran	COPE	12	54
Boden et al. (51)	Veteran	SS	24	49
Hien et al. (52)	Civilian	SS, RP	24	45, 47
Hien et al. (11)	Civilian	SS + sertraline	12	32
Hien et al. (53)	Civilian	SS	12	176
Ruglass et al. (54)	Civilian	COPE,RP	12	37, 42
McDevitt-Murphy et al. (55)	Veteran	MI	2	35
McGovern et al. (29)	Civilian	I-CBT	8-12	32
McGovern et al. (56)	Civilian	I-CBT	8-12	94
Mills et al. (57)	Civilian	COPE	13	55
Myers et al. (58)	Civilian	SS	25	22
Norman et al. (12)	Veteran	COPE	Unknown	63
Haller et al. (59)	Veteran	Modified CPT	12	63
Petrakis et al. (60)	Civilian	Prazosin	13	50
Petrakis et al. (39)	Veteran	Zonisamide	Unknown	18
Saladin (unpublished)	Civilian	Propranolol	1	21
Sannibale et al. (61)	Civilian	I-CBT	12	33
Schacht et al. (62)	Civilian	PE + contingency management	14	28
Schäfer et al. (63)	Civilian	SS	16	111
Sonne (unpublished)	Civilian	Paroxetine	12	13
Zlotnick et al. (31)	Prison	SS	12	10
Zlotnick et al. (64)	Prison	SS	20	27
Vujanovic et al. (32)	Civilian	TIPSS (I-CBT)	12	21
Foa et al. (38)	Veteran and civilian	PE + naltrexone, PE, naltrexone	24	40, 40, 42
Brief et al. (65)	Veteran	VetChange	8	404
van Dam et al. (66)	Civilian	CBT + SWT	15	19
Rosenthal (67)	Veteran	PE + VR	10	19

Study and Reference	Population	Intervention(s)	Number of Sessions	Number Receiving Intervention(s)
Batki et al. (68)	Veteran	Topiramate	12	14
Brady et al. (69)	Civilian	Sertraline	12	49
Frisman et al. (70)	Civilian	TARGET	8-9	141
Petrakis et al. (71)	Veteran and civilian	Desipramine + naltrexone or paroxetine + naltrexone	12	22, 22
Perez-Dandieu and Tapia (30)	Civilian	EMDR	8	6
Stappenbeck et al. (72)	Veteran and civilian	Cognitive restructuring or experiential acceptance	4	31, 29
Simpson et al. (73)	Veteran	Prazosin	6	15
Kehle-Forbes et al. (74)	Veteran	Integrated MET + PE	16	88

^a ASI=Addiction Severity Index; ASI-Lite=Addiction Severity Index, Lite version; AUDIT=Alcohol Use Disorders Identification Test; CAPS=Clinician-Administered PTSD Scale; CBT=cognitive-behavioral therapy; CIDI=Composite International Diagnostic Interview; COPE=Concurrent Treatment of PTSD and Substance Use Disorders Using Prolonged Exposure; CPT=cognitive processing therapy; CRI=Coping Responses Inventory; CTQ=Childhood Trauma Questionnaire; DTS=Davidson Trauma Scale; EMDR=eye-movement desensitization and reprocessing; GAIN=Global Appraisal of Individual Needs; I-CBT=integrated cognitive-behavioral therapy; IES=Impact of Events Scale; InDUC=Inventory of Drug Use Consequences; MET=motivational enhancement therapy; MI=motivational interviewing; MINI=Mini International Neuropsychiatric Interview; MPSS=Modified PTSD Symptom Scale; MPSS-R=Modified PTSD Symptom Scale-Revised; NR=not reported; OGD=Obsessive Compulsive Drinking Scale; OTI=Opiate Treatment Index; PACS=Penn Alcohol Craving Scale; PCL=PTSD Checklist; PDS=Posttraumatic Diagnostic Scale; PE=prolonged exposure; PSS=Perceived Stress Scale; PSSI=Posttraumatic Symptom Scale-Interview; PTSD=posttraumatic stress disorder; QDS=Quick Drinking Screen; RP=relapse prevention; SAEs=serious adverse events; SCID=Structured Clinical Interview for DSM-IV Axis I Disorders; SS=Seeking Safety therapy; SUJ=Substance Use Inventory; SWT=structured writing therapy; TARGET=Trauma Adaptive Recovery Group Education and Therapy; TAU=treatment as usual; TIPSS=treatment of Integrated Posttraumatic Stress and Substance Use; TLEQ=Traumatic Life Events Questionnaire; TLPB=timeline followback; TOP-8=Treatment Outcome PTSD Scale; UDS=urine drug screen; VAS=visual analogue scale; VetChange=a self-management web intervention; VR=virtual reality.

Comparative effect sizes for eight treatment classifications (with treatment as usual as the comparator) on PTSD and severity of alcohol or drug use outcomes at end of treatment and 12 months posttreatment^a

Treatment	PTSD			
	End of Treatment d	95% CI	12 Months Posttreatment d	95% CI
Trauma-focused therapy and pharmacotherapy for alcohol or other drug use	-0.92 ^b	-1.57, -0.30	-2.00 ^b	-3.38, -0.68
Trauma-focused nonintegrated behavioral therapy	-0.24 ^c	-0.50, 0.01	-0.46 ^b	-0.93, -0.04
Trauma-focused integrated behavioral therapy	-0.47 ^b	-0.94, -0.01	n.s.	n.s.
Pharmacotherapy for alcohol or other drug use	-0.41 ^b	-0.77, -0.08	-1.37 ^b	-2.15, -0.63
Placebo medication	-0.32 ^b	-0.53, -0.12	-0.57 ^b	-1.08, -0.01
Behavioral intervention for alcohol or other drug use	-0.60 ^b	-0.80, -0.38	n.s.	n.s.
Non-trauma-focused integrated behavioral therapy	n.s.	n.s.	-0.22 ^c	-0.54, 0.09
PTSD pharmacotherapy	-0.41 ^c	-0.79, 0.24	n.s.	n.s.
Treatment as usual ^e	-0.61	-0.72, -0.52	-1.16	-1.40, -0.92

^aThe interventions under each category were as follows: trauma-focused therapy and pharmacotherapy for alcohol or other drug use: Concurrent Treatment of PTSD and Substance Use Disorders Using Prolonged Exposure (COPE), cognitive processing therapy + zonisamide, prolonged exposure + naltrexone, eye-movement desensitization reprocessing + SSRI; trauma-focused nonintegrated behavioral therapy: prolonged exposure, cognitive processing therapy; trauma-focused integrated behavioral therapy: COPE, modified cognitive processing therapy, prolonged exposure + virtual reality, craving reduction intervention, integrated motivational enhancement therapy and prolonged exposure; pharmacotherapy for alcohol or other drug use: *N*-acetylcysteine, naltrexone, paroxetine, prazosin, sertraline, topiramate, zonisamide; placebo medication; behavioral intervention for alcohol or other drug use: relapse prevention, individual addiction counseling, cognitive-behavioral therapy (CBT) for alcohol support, CBT for substance use disorder; non-trauma-focused integrated behavioral therapy: Seeking Safety therapy, integrated CBT, VetChange; PTSD pharmacotherapy: prazosin, topiramate; and treatment as usual: community treatment, waiting list control, non-evidence-based behavioral treatment. n.s.=not statistically significant; PTSD=posttraumatic stress disorder; SSRI=selective serotonin reuptake inhibitor.

^bBest performing; meaningful effect size and statistical significance.

^cModerately performing; meaningful effect size, but not statistically significant.

^dWorsening.

^eAll focal treatment comparative effect sizes represent the difference between the focal treatment and treatment as usual.