



The role of brain radiotherapy for EGFR- and ALK-positive non-small-cell lung cancer with brain metastases: a review

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Received: 19 July 2022 / Accepted: 24 January 2023 / Published online: 14 February 2023
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Abstract

Non-small cell lung cancer (NSCLC) is frequently complicated by central nervous system (CNS) metastases affecting patients' life expectancy and quality. At the present clinical trials including neurosurgery, radiotherapy (RT) and systemic treatments alone or in combination have provided controversial results. CNS involvement is even more frequent in NSCLC patients with EGFR activating mutations or ALK rearrangement suggesting a role of target therapy in the upfront treatment in place of loco-regionals treatments (i.e. RT and/or surgery). So far clinical research has not explored the potential role of accurate brain imaging (i.e. MRI instead of the routine total-body contrast CT and/or PET/CT staging) to identify patients that could benefit of local therapies. Moreover, for patients who require concomitant RT there are no clear guidelines on the timing of intervention with respect to innovative precision medicine approaches with Tyrosine Kinase Inhibitors, ALK-inhibitors and/or immuno-oncological therapies. On this basis the present review describes the therapeutic strategies integrating medical and radiation oncology in patients with metastatic NSCLC (mNSCLC) adenocarcinoma with CNS involvement and EGFR activating mutations or ALK rearrangement.

Keywords Non-small cell lung cancer (NSCLC) · Brain metastases (BM) · Central nervous system (CNS) · EGFR driver mutation · ALK rearrangement · Tyrosine kinase inhibitors (TKI) · ALK inhibitors · Radiotherapy

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Background

Non-small cell lung cancer (NSCLC) is the first and second most frequent cause of death from cancer in men and women, respectively.

Adenocarcinoma is the most represented histology with increasing incidence in western countries (> 50%) [1]. Patients diagnosed in advanced or metastatic stage (mNSCLC) have poor prognosis with less than 5% of them surviving more than 5 years [2, 3]. The increased incidence of brain metastases (BMs) is likely resulting from longer patient survival due to more effective systemic therapies for the primary cancer and increased use of neuroimaging in neurologically asymptomatic patients that has allowed prompter treatments of this subset of patients [4, 5].

Before molecular targeted therapy and immune-checkpoint inhibitors monoclonal Antibodies (ICI mAbs), standard treatment was chemotherapy doublet with platinum (either cisplatin or carboplatin) and a second

chemotherapeutic drug arbitrarily chosen among gemcitabine, paclitaxel, vinorelbine or pemetrexed eventually combined with anti VEGF mAbs (bevacizumab) (the latter two options restricted to non-squamous histology) [6–9].

Thanks to the detection of EGFR gene alterations and ALK-rearrangements (10–30 and 3–7% of patients, respectively) and other driver mutations critical for lung cancer tumorigenesis and promotion, we have entered a new era of personalized therapy in the treatment of lung cancer patients driven by genotyping [10–12].

Despite these breakthroughs in the treatment of advanced mNSCLC, several points still remain open, in particular for patients who present “ab initio” or develop late BMs [13]. It is noteworthy the BMs are detected in 24.4% in EGFR-mutation patients and 23.8% in ALK-rearrangements patients at the time of diagnosis and respectively 46.7% and 58.4% within 3 years from the diagnosis [14].

Therefore, the present review aims to describe the multidisciplinary strategies in patients with mNSCLC adenocarcinoma with CNS involvement and EGFR activating mutations or ALK rearrangement.

The medical oncologist point of view

Frequency of BMs in EGFR/ALK mutant NSCLC

The detection of synchronous BM during the staging of NSCLC is a challenging event for the clinical management of these patients. A recent epidemiological study conducted by Suresh K. et al. suggests a greater incidence of synchronous BM in NSCLC patients bearing *EGFR/ALK driver mutation/translocations* compared to other patients’ subsets (62% vs 57%, respectively; $P < 0.05$) with median survival not exceeding 14.6 months. EGFR-activating mutation mainly occurs in younger women [15] and never-smokers [16, 17] with adenocarcinoma histology. These patients have a 50–70% high risk of BMs and about one third of them develops CNS progression during the course of treatment [18]. Additionally, the risk of CNS relapse appears to be higher in patients bearing the L858R point mutations [19]. Interestingly, it seems that the type of EGFR mutation is more related to specific patterns of BMs as suggested by a recent retrospective radiologic analysis of 57 NSCLC patients that recorded a multi-nodular BM pattern in patients bearing an exon 19 deletion [20].

On the other hand, ALK rearrangement is rare and it is detected approximately in 3–7% of patients with the diagnosis of NSCLC [21]. Likewise to EGFR mutations, ALK rearrangement is recorded in young, non-smoking men with non-squamous histology, who are susceptible of treatment with crizotinib, an ATP-competitive, orally bioavailable

ALK inhibitor, firstly employed for the treatment of *eml4-alk* positive NSCLC [22].

Unfortunately, nearly one third of the patients bearing an ALK rearrangement and receiving crizotinib develop CNS metastases within one year of therapy sometimes as the only extra-thoracic site of tumor progression. In this context, the development of second- and third-generation ALK inhibitors such as alectinib in the front line and lorlatinib in treatment lines following the first has encountered greater effectiveness in terms of intracranial response and better outcomes for these patients, overcoming the mechanisms of resistance to crizotinib [23, 24].

Role of TKI in mNSCLC patients with EGFR mutations and BMs

The management of BM with systemic anticancer drugs presents great limitations due to the presence of a functional Blood–Brain Barrier (BBB) while loco-regional interventions (surgery and radiation therapy) can also damage the adjacent healthy tissue.

Treatments with the first- and second-generation EGFR-TKI, including erlotinib/gefitinib and afatinib top the response rate, PFS and survival obtained with doublet chemotherapy. More recently, osimertinib has emerged as an active third-generation EGFR TKI in the front-line setting as well as in patients with T790M mutation responsible for acquired resistance to the other EGFR-TKIs or with CNS lesions [25, 26].

Selected studies reported very promising activity of EGFR-TKIs use in fit patients with BMs, (intracranial response rate of 75%–88%, and median intracranial PFS and OS of 6.6–14.5 and 15.9–21.8 months, respectively) [27–29].

The progressive better understanding of EGFR mutations in mNSCLC has allowed to set up the Lung Cancer Molecular Markers Graded Prognostic Assessment (Lung-mol-GPA based on EGFR status as the main target combined with other clinical parameters (25) to help clinical decisions on newly diagnosed with BM (see Table 1).

It is noteworthy that the efficacy of EGFR TKIs in patients with BM is not clearly as curtailed in patients with symptomatic or uncontrolled BM because this patients’ subset was mostly excluded from pivotal, randomized controlled trials. The data concerning a potential efficacy of EGFR-TKI therapy in patients with mNSCLC, mutated EGFR and BMs have been mostly assumed from retrospective studies or indirect evidence.

First-generation TKIs (gefitinib, erlotinib) reversibly blocks EGFR receptor and achieves a mean survival time of 33.1 months. This implies more likely onset of CNS disease, cutting life expectancy to 5.1 months from the diagnosis

Table 1 – Lung-molGPA (Lung Cancer Molecular Graded Prognostic Assessment)

| Prognostic factor | Age (years) | KPS | Extracranial metastases | Number of BM | Gene status |
|-------------------|-------------|--------|-------------------------|--------------|------------------------------|
| 0 | ≥ 70 | < 70 | Present | > 4 | EGFR Neg/ukn and ALK neg/ukn |
| 0.05 | < 70 | 70–80 | – | 1–4 | NA |
| 1 | – | 90–100 | Absent | NA | EGFR-pos or ALK-pos |

KPS Karnofsky performance status, *NA* not applicable, *neg/ukn* negative or unknown, *pos* positive, *BM* brain metastases, *EGFR* epidermal growth factor receptor, *ALK* anaplastic lymphoma kinase

of BM. Despite their low molecular weight, the incomplete penetration through the BBB is responsible of the low CNS concentration and worse prognosis of gefitinib and erlotinib in these patients [19]. Afatinib is a second-generation TKI that irreversibly binds to the EGFR receptor with higher affinity compared to first-generation TKIs. Two studies Lux-Lung 3 and Lux-Lung 6 [30, 31] have demonstrated the superiority of afatinib over platinum-based doublets also in patients with asymptomatic BMs. The Lux-Lung 7 trial compared gefitinib to afatinib including patients with BMs [32]. Despite its promise as second-generation irreversible EGFR targeted agent, afatinib showed no superiority over the first-generation agents (except in some of the less common EGFR mutations) and less manageable toxicity profile.

Osimertinib is a further EGFR TKI resulted very active in mNSCLC/EGFR mut patients who developed the EGFR T790M mutation [33] known to be the most common mechanism of resistance to first- and second-generation TKI in 50–60% of patients who show progression [17]. Osimertinib efficacy also showed superiority over chemotherapy in this subset of patients with BMs [34–36]. The efficacy of osimertinib in EGFRmut mNSCLC was demonstrated by the results of the AURA 3 trial [37] and subsequently confirmed in the FLAURA trial where it resulted also superior to first-generation EGFR TKI in term of PFS and OS [35, 36, 38, 39].

In particular the mean response time in the CNS reported in the AURA 3 trial was 8.9 months with Osimertinib versus 5.7 months with chemotherapy [34, 40]. Moreover, FLAURA clinical trial similarly showed the efficacy of osimertinib in patients with CNS metastases [36, 41]. Interestingly, within this trial it was shown that the presence of the uncommon C797S/EGFR mutation was strongly predictive of resistance to osimertinib [41, 42] opening for the research of further drugs able to overcome this mechanism of resistance. Nevertheless, the antitumor effects of osimertinib single agent on CNS metastasis are unclear because these studies included patients treated with RT whose effects can be tardive.

The OCEAN study was a two-cohort trial showing the efficacy of osimertinib in achieving BM response rate (BMRR) in RT-naïve patients with T790M EGFR mutated NSCLC especially in the presence of exon 19 deletion [43].

Another interesting drug in this setting is represented by AZD3759, a miscellaneous oral EGFR TKI designed for CNS penetration that caused tumor regression in leptomeningeal and BM mouse models [44]. Preliminary results of the phase I BLOOM study of 38 EGFR-mutant NSCLC with BM or leptomeningeal metastasis (LM) treated with AZD3759 showed an intracranial ORR of 63% [44]. Table 2 summarizes the prospective trials of three generations of EGFR TKI in EGFR-mutant NSCLC with BM.

Role of the newest molecular target therapies in mNSCLC patients with ALK rearrangements and BM

The EML4/ALK fusion gene is a rare mutation occurring in 3–7% of mNSCLC that induces the constitutive activation of the ALK tyrosine kinase and downstream pathways [45]. This subset of patients with CNS involvement results highly responsive to the frontline treatment with ALK-TKI.

Crizotinib was the first ALK-TKI approved in these patients based on the successful results of the phase 3 Profile 1014 study [22, 46]. Not with standing CNS relapse resulted approximately 30% more frequent with crizotinib than with chemotherapy within the first year of treatment [47].

In the ALEX phase 3 clinical trial alectinib, a second-generation ALK-TKI was compared to crizotinib in first-line treatment of metastatic ALK-positive NSCLC showing a longer PFS and brain control [24]. During the first 12 months incidence of CNS progression with alectinib or crizotinib treatment was, respectively, 9.4% versus 41.4%. Alectinib showed a better intracerebral disease control with an average PFS of 25.7 months than that of 10.4 months recorded for crizotinib [24]. Further studies detected multiple resistance mutations responsible for the treatment failure with ALK-TKI including the I117N which confers tumor resistance to alectinib. This resistance, however, may be overcome by the use of ceritinib [48, 49]. When evaluated in the phase 3 clinical trial ASCEND-4 vs doublet chemotherapy as a frontline therapy in patients with BMs bearing ALK rearrangement, ceritinib achieved a better reduction of measurable CNS lesions (72.7% vs. 27.3%) [50]. Additionally, the ASCEND-1 trial in patients with ALK rearrangement

Table 2 Prospective studies in EGFR mutant NSCLC patients with BM

| Study | TKI | EGFR mutant NSCLC patients with BM | RR (%) | Survival (months) | CNS ORR (%) Duration of CNS control (months) |
|--|------------------------|------------------------------------|------------------|--|---|
| Park 2012, phase II | Erlotinib or Gefitinib | 28 | PR = 83; SD = 11 | PFS = 6,6; OS = 15,9 | Not assessed |
| Yu 2017, phase I | Pulsatile Erlotinib | 34 (only 32% had BM) | CR = 2, PR = 70 | PFS = 9,9 | No patient had progression of an untreated CNS metastasis or developed a new CNS lesion while on study (0%, 95% CI 0–13%) |
| Iuchi 2013, Phase II | Gefitinib | 41 | ORR = 87.8 | PFS = 14,5; OS = 12,9 | Response of BM (%) CR 31.7% PR 56.1% CR + PR 87.8% SD 9.8% PD (2.4%) The CNS RR of tumors with exon 19 deletion was superior to those with L858R (100% vs 80%) 14.5 mo |
| Yang 2017 (BRAIN), Phase III | Icotinib | 85 | – | Intracranial PFS = 10 | HR for intracranial disease progression or death 0.56, 95% CI 0.36–0.90; $p = 0.014$ |
| Schuler 2016 (LUX-Lung 3/6), Phase III | Afatinib | 35/46 | – | PFS = 11,1–8,2 | CNS ORR 23 of 28 (82.1%) and 12 of 20 (60.0%) in those with Del19 or L858R mutations, respectively Additionally, in patients with uncommon EGFR mutations and brain metastases, ORR was observed in 3 of 9 patients (33.3%) |
| Park 2016 (Lux-Lung 7), Phase II | Afatinib | 26 | – | ORR 70 CR 1 PR 69 SD 21 PD 6 DC 91 8,4 | Not assessed |
| Mok 2017 (AURA 3), Phase II | Osimertinib | 144 (T790M) | – | PFS = 10,1 | Mean response time for CNS metastases: 8.9 months |
| Goss 2017 (AURA, AURA2), Phase II | Osimertinib | 50 (T790M) | CNS ORR = 54 | – | 54 Median CNS duration of response (22% maturity) was not reached (range, 1–15 months); at 9 months, 75% (95% CI 53–88) of patients were estimated to remain in response. Median follow-up for CNS PFS was 11 months; median CNS PFS was not reached (95% CI, 7, not calculable) |
| Yang 2017 (BLOOM), Phase I | Osimertinib | 32 (LM, 11 T790M) | ORR = 43 | – | LM ORR 63% LM DoR 15.2 mo |

Table 2 (continued)

| Study | TKI | EGFR mutant NSCLC patients with BM | RR (%) | Survival (months) | CNS ORR (%) Duration of CNS control (months) |
|----------------------------------|-------------|------------------------------------|-----------------------|---------------------|---|
| Soria 2018(FLAURA), Phase III | Osimertinib | 53 | ORR=75; CNS PD=6 | CNS PFS=15,2 | Not assessed |
| Yamaguchi 2021 (OCEAN), Phase II | Osimertinib | 66 | ORR=40.5% BMRR=70% | PFS=25.2 OS=19.8 | BMRR 66.7 median BMs PFS 25.2 mo |

PR partial response, SD stable disease, CR complete response, PFS progression free survival, OS overall survival, ORR objective response rate, CNS central nervous system, BMRR brain metastases response rate, LM leptomeningeal

recorded a total intracerebral ORR of 63% in naïve patients and 36% in mNSCLC who had received ceritinib as a salvage therapy after previous treatment lines with other ALK TKIs [51]. These results were mostly confirmed in the ASCEND-2 trial where the use of ceritinib resulted in an intracerebral ORR of 85% in chemo-naïve patients and 40% in those who had received previous ALK-TKI lines [52]. AG1202R is another well-known ALK mutation, conferring resistance to either first- or second-generation ALK-TKIs and potentially overcome using the newest TKIs brigatinib and lorlatinib. Both drugs have in fact been designed for their ability to penetrate the BBB and to overcome the resistance to TKIs approved for frontline treatment. Naito T. and colleagues have recently reviewed the substantial activity of brigatinib in controlling CNS metastases, in crizotinib-treated (ALTA trial) patients and crizotinib-naïve (ALTA-1L trial) patients with ALK rearrangement with or without specific resistance mutations. They also reported an analogue activity of lorlatinib in NSCLC patients with intracranial lesions bearing ALK, or c-ros oncogene 1 (ROS1)-positive rearrangements/mutations [53].

Thanks to its activity against ALK-G1202R mutation (responsible for resistance to first- and second- generation ALK inhibitors) lorlatinib is a valid therapeutic option. Updated results from the Phase 3 CROWN trial, which evaluated lorlatinib versus crizotinib in people with previously untreated (ALK)-positive advanced NSCLC, reported that after a median follow-up of three years lorlatinib continues to demonstrate meaningful improvement in PFS compared to crizotinib (HR, 0.27; 95% CI, 0.18–0.39), corresponding to a 73% reduction in the rate of progression or death. Moreover, lorlatinib treatment resulted in a 92% reduction in the rate of intracranial progression (HR, 0.08; 95% CI, 0.04–0.17). The intracranial objective response rate (IC-ORR) for people with measurable BM at baseline was 83% (95% CI, 59–96, $n=15$) with lorlatinib and 23% (95% CI, 5–54, $n=3$) with crizotinib, with an intracranial complete response rate of 72% and 8%, respectively. In people without BMs at baseline, lorlatinib

demonstrated a 98% reduction in the rate of intracranial progression (HR 0.02; 95% CI, 0.002–0.136). Finally, the long-term results from the CROWN trial confirm lorlatinib compelling safety and efficacy profile in the first-line setting and sustained benefit for up to three years for this patient population [3, 14, 54].

Table 3 summarizes the prospective trials of three generations of ALK inhibitors in ALK-rearranged NSCLC with BMs.

The radiation oncologist point of view

The use of radiation therapy/radiosurgery and/or surgery remains the backbone of BM management in mNSCLC patients due to the low permeability of BBB to most of the conventional anticancer drugs. Nevertheless this statement has been partially challenged for patients with oncogene-driven NSCLC.

Currently whole brain radiotherapy (WBRT) and the focal radiotherapy are integrated with either surgery or systemic therapies within a multimodal approach.

WBRT has been the standard approach to treatment of BMs from NSCLC thanks to an improvement of symptoms and distant BM control, in 70–93% and 60–80% of patients, respectively [55–57].

The neurocognitive toxicity, and the lack of impact on the survival of mNSCLC with BMs has determined a progressive decline of WBRT in favor of less invasive strategies including stereotactic radiosurgery (SRS).

In a phase III study WBRT and SRS equally affected OS, but SRS caused less decline in neurocognitive function (WBRT plus SRS 53% vs. 20% SRS alone), and an increased risk of further intracranial relapse [56]. This risk, however, could be theoretically counterbalanced by a strict follow-up and new salvage SRS on recurrent BMs. Furthermore, appropriate systemic therapy may delay further intracranial progression, as more recently observed in patients with

Table 3 Prospective studies in ALK-rearranged NSCLC patients with BMs

| Study | ALK inhibitor | Number of patients with BMs | RR (%) | Survival (months) | CNS ORR (%) Duration of CNS control (months) |
|---|---------------|-----------------------------|--|--|---|
| PROFILE 1014, Solomon 2014, phase III | Crizotinib | 92 | ORR 74% | PFS 10.9 mo (HR=0.45) OS Not reached HR=0.82 | CNS PFS HR 0.57 |
| ASCEND 1, Kim 2016, phase I | Ceritinib | 124 | ORR 72.3% for ALKI-naïve and 56.4% for ALKI-pretreated | PFS 18.4 mo in ALKI-naïve and 6.9 mo in ALKI-pretreated | Median intracranial DOR 6.9 mo CNS ORR 78.9% in ALKI-naïve and 65.3% in ALKI-pre treated |
| ASCEND 2, Mok 2015, phase II | Ceritinib | 100 | 54% | BM PFS 5.4 mo | IC ORR 85% (naïve) and 40% DOR 9.2 mo |
| ASCEND 4, Soria 2017, phase III | Ceritinib | 121 | | 16.6 mo | CNS PFS 10.7 mo IC ORR 72.7% vs 27.3% |
| ALTA-1-L, Ross 2020, phase III | Brigatinib | 47 | ORR 74% | PFS 24 mo | CNS ORR 66% (78% in measurable BMs) DOR 24 mo |
| J-ALEX, Hida, 2017, phase III | Alectinib | 29 | ORR 92% | PFS NR | CNS PFS HR 0.16 |
| ALEX, Peters 2017, phase III | Alectinib | 58 | 26% | PFS 25.7 mo | 26% CNS cumulative events (progression) 9.4% vs 41.4% 3.6 months |
| ALESIA, Zhou 2019, phase III | Alectinib | 44 | ORR 91% | PFS NR | CNS ORR 73% CNS PFS HR 0.14 |
| CROWN, Shaw 2020, phase III (Updated results) | Lorlatinib | 38 | 76% | PFSHR 0.27 HR for intracranial progression 0.07 HR for OS 0.72 | IC-ORR in patients with measurable BM 83% (CR 72%); IC-ORR in patients without BM at baseline 98%) |

PFS progression free survival, OS overall survival, HR hazard ratio, IC intracranial, ORR objective response rate, CR complete response, DOR duration of response, mo months, CNS central nervous system, BM brain metastases, NR not reached

mNSCLC receiving multimodal treatment with SRS and immunotherapy [58].

Therefore, mNSCLC patients with BM should be evaluated within a competent multidisciplinary team. Surgery may be offered for patients with solitary large brain metastases to counteract the expanding mass effect in the CNS whereas (despite the impact of multiple significant co-variables) in patients with a single BM SRS and surgery are equally effective on LR and OS [61].

It is noteworthy that patients with BMs require supportive care to prevent and treat the frequent complications (i.e. cerebral edema, epilepsy, pain, etc.) and this should drive the decision making prior to combining ablative therapy and EGFR-TKI.

A major argument against the use of brain RT encourages the use of the newest anticancer drugs in mNSCLC that, on one hand, overcome the BBB with no damage of healthy CNS (i.e. radio-necrosis) and on the other hand obtain satisfactory intracranial disease control [59]. However, it cannot

be ruled out that upfront BM treatment with locoregional treatment could prevent in selected patients on TKI with expected long survival.

Treatment strategies based on BM numbers and dimension

Brain oligometastatic disease is a common scenario in which the number of brain lesions becomes a “moving target” whose management is still far to be established. Patients with a single metastatic brain lesion experience significantly longer survival with minimal cognitive impairment and CNS symptoms (other than seizures) compared to patients with multiple metastases. Moreover, it has been shown that post-operative radiotherapy may significantly reduce the risk of local recurrence, whereas combined use of the two locoregional treatments improves the neurologic control of disease and the survival of these patients [60, 61]. Although WBRT has been long recognized as the standard adjuvant

procedure after BM resection, a large phase III trial revealed a longer cognitive-deterioration-free survival of patients on SRS compared to WBRT with comparable effects of the two treatments in term of OS [62]. A further study compared the effects of SRS focused on the surgical cavity in patients with radical resection of 1–3 BMs and revealed that the prophylactic radiotherapy reduced the local recurrence rate at 12 months with no effects on OS [60]. The results of the two trials prompted the adoption of SRS as the new standard after surgical resection of BMs [63]. The BM scenario is still more complex in mNSCLC patients with specific oncogene addiction. The results of recent studies in mNSCLC in fact suggest a significant heterogeneity in the expression (about 20%) of EGFR mutations with great discordance recorded between primary tumor and brain lesions [64, 65]. Therefore a further brain biopsy to confirm the presence EGFR mutations also in the brain lesions should be recommended to define a personalized treatment strategy including SRS.

A rising number of recent studies focus on the comparison of WBRT vs. SRS and indicate that SRS is an important alternative to WBRT in fit patients. Japanese researchers reported the results of the prospective JLGK0901 trial indicating that SRS is still relevant in the presence of more than three CNS lesions [66]. The use of SRS was associated to a median OS of 13.9 months (455 cases) 10.8 months (531 cases) and 10.8 months (208 cases) in patients with single BM, 2–4 treated BMs, and of 5–10 treated BMs, respectively.

However, a retrospective study conducted by Balasubramanian et al. [67] showed that the use of target therapy along with surgery and/or radiation may improve the OS on EGFR mut mNSCLC patients regardless the number of BMs.

SRS and more conservative strategies are gaining further field of application also in large brain metastases with a diameter of > 2 cm. Patients with large BMs, commonly present severe neurologic invalidating symptoms and/or significant vasogenic edema or mass effect requiring fast upfront surgical resection when feasible. The subsequent post-operative SRS (median dose 15 Gy) after GTR, with average volume of 8.7 e 9.6 mL, can improve both LC and OS [68, 69]. Drawbacks to this treatment are always possible as neurological complication because of extensive resection and risk of symptomatic radionecrosis associated to ample planning target volume margin size (> 1.0 mm) for SRS [70, 71]. Jhaveri et al. carried out a multivariate analysis in mNSCLC, whose results showed that a GTV > 15 cc is the main risk factor predictive of local recurrence [70]. Additionally, volumes of healthy brain tissue larger than > 10 mL receiving 12 Gy (V12 Gy) are directly correlated with radionecrosis (between 15 and 55%) [72–74]; hence the use of fractionated SRS (fSRS: i.e. V12 > 8.5 ml (30 Gy/5 fx; 27 Gy/3 fx) is advised in order to reduce this risk still maintaining an improvement of LC especially when the BM lesions are

located in or near eloquent areas [73]. At this purpose, the A071801 phase III trial aimed to evaluate the efficacy of SRS compared with fSRS for resected BMs in mNSCLC patients is ongoing [NCT04114981] with results expected by the end of 2022.

Radiotherapy techniques

SRS for < 10 mm BM is a high-precision treatment that requires a high level of technology. SRS can be delivered using different machines, with invasive contention or frameless, photons X or gamma. Several decades ago, in 1968, the Gamma Knife (GK) was introduced as the new treatment modality for SRS. The GK is a frame-based SRS that uses ⁶⁰Co sources for irradiating a tumor volume with a diameter of approximately 4, 8, or 14 mm [75]. GK is mainly characterized by non-homogeneous dose distributions within the target due to the effect of overlapping shots. The Cyberknife (CK) was invented at Stanford Health Care and first debuted in 1994. CK is an image-guided frameless robotic technology designed to deliver non-isocenter non-coplanar beam, and the entire treatment procedure is completely non-invasive [76]. Despite the differences in treatment planning and dose delivery significant differences were not found in the quality of clinical outcome between GK and CK after SRS [77, 78].

Linear accelerator (LINAC)-based radiosurgery was developed as an alternative to GK SRS, using a standard LINAC modified for stereotactic purposes. Recent technical advances have made LINAC-based SRS (using multiple non-coplanar intersecting arc) a patient friendly technique, non-invasive, allowing for accurate patient positioning and a short treatment time [79, 80]. Following the technical improvements in treatment planning systems, LINAC-based SRS was marketed as having acceptably similar precision, accuracy, and mechanical stability for the treatment of numerous and small BM. Accordingly, LINAC-based SRS has been rapidly disseminating in the community in the last decades [81] and despite the lack of systematic comparisons with GK-SRS, clinical results appear to be similar [82].

LINAC-based SRS is considered a changing practice pattern in the treatment of BM NSCLC [83], considering also the benefit in the cost-effectiveness analysis compared to GK o CK SRS.

Treatment strategies for critical areas

Additional comments are needed for BM in critical CNS areas including the brainstem and optic pathway. Brainstem lesions are rare (3–5% of all BM [84]) and surgery is not amenable for high-risk mortality or further functional impairment. Brainstem metastases come with a poor

prognosis and estimated survival without treatment is dramatically poor (from one to six months) [85]. SRS is recommended for the treatment of brainstem metastases with a median dosage of 16 Gy (range 11–39) and median fractions 1 (1–13) [86]. In a recent large metanalysis including 15,900 brainstem metastases treated with SRS the 1-year LC was 86% with an objective response rate of 59% and symptoms improvement of 55%. The grade 3–5 toxicity was 2.4% and deaths from progression after SRS are rare [86].

Isolated optic nerve metastases are similarly rare but result in a unilateral or bilateral loss of the visual field. Thanks to the experience on gliomas and perioptic tumors [90, 91] prompt fractionation or multi-session radiosurgery is an option for treating this subset of patients with the risk of 1–2% of visual complication [87]. These favorable results suggest the feasibility of a local treatment in patients with NSCLC critical areas metastases regardless molecular status and systemic therapy.

Combined treatment strategies and choice of the optimal timing

The combination of RT and TKI for BMs is still controversial. Results of the perspective study by Jiang et al. showed no advantage of early WBRT to TKI over TKI alone [88]. The results of a recent retrospective study showed a trend to significant advantage (although no difference in OS) of RT and TKI combo vs. TKI alone in terms of median intracranial PFS (27.6 vs. 16.1 months; $p=0.053$) [89]. A large meta-analysis including 1,041 unselected NSCLC with BMs from 9 retrospective studies and 1 randomized controlled trial and aimed to investigate the combination of WBRT with EGFR TKI vs. WBRT alone or EGFR TKI therapy alone showed the best hazard ratios for intracranial PFS in patients who received EGFR-TKI alone [95].

More recently, a retrospective analysis aimed to compare SRS + TKI vs. WBRT + TKI vs. TKI alone reported a significant advantage in term of iPFS and OS in the first group (23 vs. 24 vs. 17 months, respectively; $p=0.025$) (46 vs. 30 vs. 25 months, respectively; $p=0.001$) [90]. A retrospective cohort of patients harboring EGFR-activating mutation treated with consolidative local ablative treatment yielded improved OS after first-line TKI. Interestingly, the BM site significantly affected the improved survival achieved with additional local treatment vs patients receiving exclusive systemic treatment (38.2 versus 29.2 months, HR = 0.48, 95% CI 0.30–0.76, $p=0.002$) [91].

Another meta-analysis provided the evidence that early RT in these patients offers a significant iPFS and OS advantage that is strictly correlated with the number of BMs, being the best results achieved in those with less than three brain lesions. On the contrary, no advantage was recorded in the other patients and those showing massive disease [92].

On these bases no conclusive therapeutic statements may be defined and early radiotherapy continues to have a fundamental role in the treatment of NSCLC patients with BMs harboring EGFR activating mutations.

As for the possible prognostic advantage of an upfront RT treatment followed by TKI therapy, [90] out of a multicentric series of 351 patients with BM from EGFR mutated NSCLC, 100 patients were treated with SRS followed by TKI therapy achieved the best therapeutic results (median survival, respectively, 46, 30, and 25 months; $p<0.001$), compared to 120 with WBRT followed by TKI, and 131 with TKI followed by SRS or WBRT at progression.

At multivariate analysis, prognostic features didn't significantly differ between the upfront SRS and EGFR-TKI cohorts, whereas the WBRT cohort was more likely to have a less favorable prognosis ($p=0.001$). Despite the risk of selection biases because SRS is usually adopted for a limited number of BMs, this study shows the safety and effectiveness of elective RT procedure within a multidisciplinary therapeutic approach and warrants further investigation.

The efficacy of concurrent radiotherapy and EGFR TKIs is still unclear. The results of a retrospective study involving 44 EGFR-mutant NSCLC who received concurrent radiotherapy and TKI [93], recorded frequent and severe AEs with two patients that had to discontinue the treatment due to grade ≥ 3 cutaneous toxicity [93]. Additionally, they also reported radiation-related AEs including included hydrocephalus (2 patients), pneumonitis (3 patients, one grade ≥ 3), myocarditis (1 patient), radiodermatitis (3 patients), laryngo-pharyngitis (2 patients), esophagitis (2 patients), and enteritis (1 patient) [93].

Preliminary reports suggested improved survival of NSCLC-patients bearing ALK-rearrangement and treated with radiotherapy for BM. The introduction of targeted treatment has improved the response of these patients although intrinsic radiosensitivity of ALK-rearranged cells seems to play a prevalent role [94]. Johung et al. suggested a median life expectancy of 49.5 months in BM patients receiving both ALK-targeted therapy and radiotherapy [95]. Adjunction of radiotherapy to first-generation ALK-TKI crizotinib significantly improved response rate and progression-free survival in patients with BMs in multiple studies [96, 97].

However, the therapeutic landscape is rapidly changing following the development of new generations of ALK-TKIs with enhanced capability to diffuse thorough the BBB. Although a benefit of radiotherapy in association with 2nd generation drugs as ceritinib or alectinib or 3rd generation drugs as lorlatinib (as upfront therapy or following progression after crizotinib) has not been shown, it should be pointed out that because of the small study populations and heterogeneous treatments with SRS and/or WBRT, these studies were not conclusive [98] and did not underpin the deferral of local treatment.

Radiotherapy and lorlatinib may act cooperatively by targeting different intracranial compartments [99, 100], and case reports suggest that lorlatinib might be effective in intracranial sites that are traditionally considered unfit for radiotherapy such as symptomatic leptomeningeal dissemination, leading to impressive disease response (“Lazarus Effect”) [101].

Follow-up of the patients

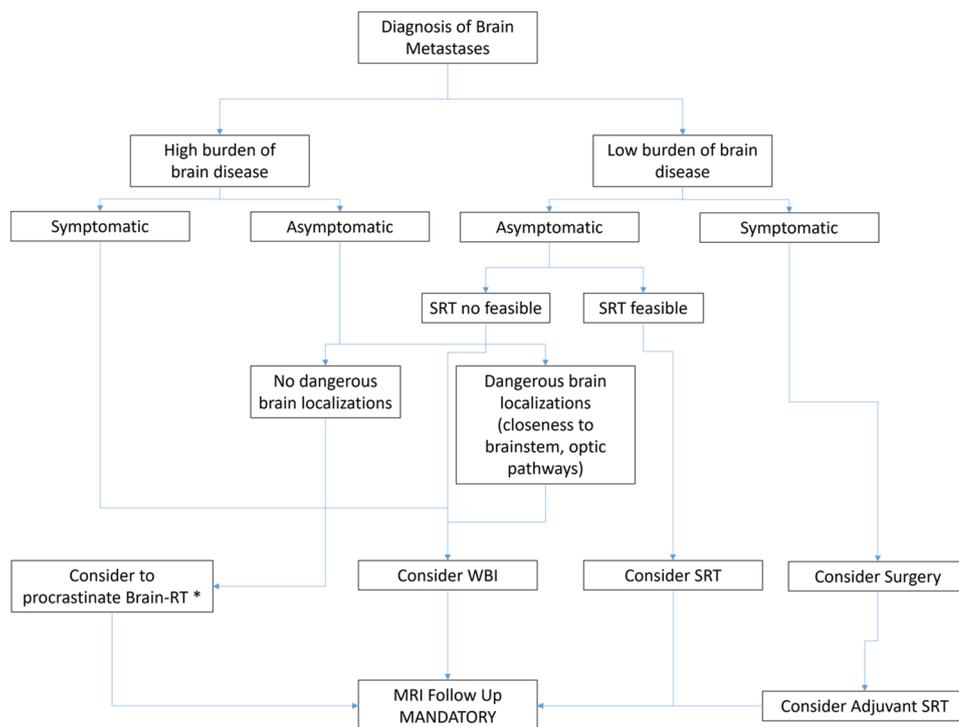
Three-six months after radiotherapy and/or systemic therapy BMs were crucially followed up with MRI and assessed by applying the response evaluation criteria in solid tumors (RECIST) [102]. For naïve patients, according to ASCO guidelines for stage I-III NSCLC, brain MRI for routine surveillance should not be used in patients who have undergone curative-intent treatment [103]. Indeed, for patients with clinical stage III-IV disease, surveillance brain MRI performed 12 months after initial evaluation may be warranted [4]. The same recommendation is extended to EGFR mutation-positive NSCLC that had a higher incidence of BMs than in those with *EGFR* mutation-negative adenocarcinoma [4]. A retrospective study on BMs after SRS showed that lesion less than 100 mm³ in volume or 6 mm in diameter reaches a 100% LC, thus routine surveillance with brain imaging to diagnose new out of field lesions should be considered as part of the standard care in all stage lung cancer [104]. The Ontario Cancer Registry demonstrated that patients with NSCLC and higher socioeconomic status

showed an improved 5-year OS because underwent greater MRI, lung resection, adjuvant or intravenous chemotherapy and palliative radiotherapy [105]. On the other hand, Vernon et al. created a model of comprehensive clinical staging in resectable lung cancer and evaluated the role of brain MRI: additional staging information were found in only four of 274 cases (1.5%). The results of comprehensive clinical staging with and without MRI were identical 98.8% of the cases and if brain MRI were removed from the staging algorithm, the total cost of staging in this population would have been 31.9% cheaper [106].

Conclusions and take-home message

In the light of what reviewed here, the treatment of BMs in patients with mNSCLC with or without druggable drivers’ mutations requires a personalized workflow and the presence of multiple professionals with proven experience. Figure 1 summarizes the proposed workflow of clinical management of BMs in patients with druggable mutation-driven mNSCLC. The current tumultuous development in this field disallows reaching guidelines set in stone. The large amounts of scientific information and the definition of specific clinical objectives must be discussed case by case by a multidisciplinary team including the pathologist, neurosurgeon, neurologist, radiotherapist, oncologist and palliative care taking in full consideration that in the majority of cases the quality of life must be the main target of the treatment strategy.

Fig. 1 proposed workflow of clinical management of brain metastases in mNSCLC with druggable drivers’ mutations. *SRT* stereotactic radiotherapy, *WBI* whole brain irradiation, *MRI* magnetic resonance imaging; Systemic therapy with TKI should be considered for all the patients with brain metastases. *In this case it is mandatory the use of highly effect new generation TKI



Not with standing, in the modern era of precision medicine, the opinion of all the authors is that brain MRI is fundamental: (a) for clinical staging in advanced or systemic metastatic NCSCL (b) for all patients with EGFR driver mutation that had a higher risk of developing BMs; (c) to estimate the intracranial progression to assess the need of tempestive treatment for new BMs after the local treatment (surgery and/or radiotherapy); (d) to follow up and control all the patients with BMs and driver mutation in which is considered safe and feasible to procrastinate a local treatment (i.e. surgery and/or RT).

Author contributions All authors contributed to the study conception and design. Material preparation, data collection and analysis were performed by VN, CC and EDI. The first draft of the manuscript was written by VN, CC, EDI, LM, LP and PC and all authors commented on previous versions of the manuscript. All authors read and approved the final manuscript.

Funding Open access funding provided by Università degli Studi della Campania Luigi Vanvitelli within the CRUI-CARE Agreement. The authors declare that no funds, grants, or other support were received during the preparation of this manuscript.

Declarations

Conflict of interest The authors have no relevant financial or non-financial interests to disclose.

Human and animal rights The study does not include human participants and/or animals.

Informed consent The study does not include human participants and/or animals.

Ethical standards This article does not contain any studies with human participants or animals performed by any of the authors.

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