1 Title: Intragenic DNA inversions expand bacterial coding capacity

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Abstract: Bacterial populations that originate from a single bacterium are not strictly clonal. 12 13 Often, they contain subgroups with distinct phenotypes. Bacteria can generate heterogeneity through phase variation: a preprogrammed, reversible mechanism that alters gene expression 14 15 levels across a population. One well studied type of phase variation involves enzyme-mediated inversion of specific intergenic regions of genomic DNA. Frequently, these DNA inversions flip 16 17 the orientation of promoters, turning ON or OFF adjacent coding regions within otherwise isogenic populations. Through this mechanism, inversion can affect fitness, survival, or group 18 19 dynamics. Here, we develop and apply bioinformatic approaches to discover thousands of previously undescribed phase-variable regions in prokaryotes using long-read datasets. We 20 21 identify 'intragenic invertons', a surprising new class of invertible elements found entirely within genes, in bacteria and archaea. To date, inversions within single genes have not been described. 22 Intragenic invertons allow a gene to encode two or more versions of a protein by flipping a DNA 23 sequence within the coding region, thereby increasing coding capacity without increasing 24 genome size. We experimentally characterize specific intragenic invertons in the gut commensal 25 Bacteroides thetaiotaomicron, presenting a 'roadmap' for investigating this new gene-26 diversifying phenomenon. 27

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One-Sentence Summary: Intragenic DNA inversions, identified using long-read sequencing
 datasets, are found in many phyla across the prokaryotic tree of life.

31 Introduction

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Adaptation is a cornerstone of survival for any species. In the complex gut 33 34 microenvironment, bacteria experience many stressors including nutritional and niche competition, oxidative and nitrosative stress, and antibiotics. To overcome these challenges, 35 bacteria may activate specific response programs which alter transcriptional or translational 36 profiles promoting survival during these conditions. Additionally, bacterial daughter cells may 37 38 acquire mutations, such as single nucleotide variations or small insertions or deletions, within genes. These gene alterations can then promote survival in the right circumstances. For example, 39 40 mutations in drug targets, efflux pumps, or their regulators can provide increased resistance to antibiotics ^{1,2}. While many of these gene-varying mutations in bacteria are semi-reproducible, 41 meaning that nucleotide alterations will occur in the same region of a genome under a similar 42 environmental stressor, most are not reversible and may be costly when the stimulus is removed 43 3. 44

Beyond mutations and small insertions and deletions, there are only a few known 45 mechanisms for introducing gene variation in bacteria. These mechanisms include: alternative 46 47 translational start sites or terminators, which enable the encoding of two or more different gene products from a single mRNA ^{4,5}; slipped-strand mispairing, which introduces replicative or 48 translational changes that can alter bacterial gene sequence length ⁶; and diversity generating 49 retroelements⁷, which can diversify a gene during reverse transcription and recombine in a novel 50 gene variant. Outside of these rare gene-varying events, the typical prokaryotic 'one gene, one 51 gene product' rule generally holds and is in stark contrast to nearly all Eukaryotes, in which a 52 large proportion of transcribed genes can undergo alternative splicing to generate multiple 53 protein isoforms from one gene. 54

55 One fairly prevalent mechanism of reversible adaptation in bacteria is phase variation. 56 This is a preprogrammed and reversible mechanism that generates phenotypic diversity in a 57 clonal population ⁸. Phase variation can promote cooperativity by sharing resources between 58 subgroups in a metabolically efficient way or through bet hedging by diversifying a population to 59 protect from complete elimination in future selective events. One type of preprogrammed 50 variation occurs through DNA inversion. Site-specific recombinases recognize a pair of inverted 51 repeats in genomic DNA and invert the intervening DNA sequence ⁹. In the first described

example, DNA inversion of a promoter sequence resulted in the switching of expression from one flagellar antigen (H1) to another (H2) in *Salmonella enterica* serovar Typhimurium. The change in antigen expression determined whether the bacterium was bound by antiserum, and thus was termed a 'phase-determining event'^{10–12}. This DNA inversion, and the many others that have since been discovered, play critical adaptive roles in both commensal and pathogenic bacteria.

For decades, these invertible loci were identified individually. Then, computational 68 69 approaches enabled higher-throughput discovery of these 'invertons' across the genomes of a small subset of specific bacterial species ^{13,14}. In 2019, Jiang et al. developed an elegant method 70 that facilitated broad scale identification of 4,686 intergenic invertons (i.e., invertons between 71 genes) through a search of 54,875 bacterial reference genomes ¹⁵, utilizing short-read mapping as 72 evidence: however, short-reads cannot span entire invertons, which can range in lengths of up to 73 multiple kbps ¹⁵. The long length of many of these invertons causes short-read based inverton 74 detection methods to be lower in sensitivity, as methods to detect invertons rely on reads that 75 span one boundary of a given inverton. This means that only a small proportion of reads provide 76 77 usable evidence for inverton detection. Similarly to Jiang et al., in early 2023, Milman et al. used 78 a computational model to predict over 11,000 potential invertons that partially overlap with genes (partial intergenic) in >35,000 bacterial species. Partial intergenic invertons are sometimes 79 referred to as shufflon systems; they function by flipping out homologous domains of enzymes, 80 which can change their specificity ^{16,17}. Once Milman *et al.* predicted the candidate invertons, 81 they then manually inspected publicly available long-read datasets, which led to the validation of 82 22 of the >11,000 predicted invertons ¹⁸. Taken together, these two studies demonstrate that 83 computational approaches can be a powerful method to identify invertible elements within many 84 phyla. Furthermore, the ubiquity of both intergenic and shufflon-type invertons in bacterial 85 genomes highlights their likely importance in affecting bacterial gene regulation and phenotypes. 86 While previous work has demonstrated the presence of intergenic and shufflon-type 87

invertons, there are no reports to our knowledge of invertons that occur entirely within genes.
Such invertons would represent a novel mechanism of preprogrammed gene variation in bacteria.
Furthermore, with the advent of long-read sequencing technologies, and improvements in their
accuracy, developing a long-read inverton finding workflow would be expected to improve
sensitivity in inverton discovery and detection. Building off these concepts, here we find that the

same mechanisms that underlie intergenic and partially intergenic invertons can occur entirely 93 94 within a gene. These intragenic invertons expand bacterial coding capacity by either recoding protein sequences within the inverted region or introducing premature stop codons. In both cases, 95 96 intragenic invertons result in a single gene being able to produce two or more different protein products. We develop PhaVa, a long-read based tool to identify intragenic, intergenic, and partial 97 intergenic invertons. By applying PhaVa to long read sequencing data for ~30,000 bacterial 98 isolates from ~4,000 unique species, we find that intragenic invertons occur in many phyla 99 100 across the prokaryotic tree of life. In particular, we focus on Bacteroides thetaiotaomicron, a model enteric commensal, and validate 10 intragenic invertons experimentally with particular 101 102 focus on the inverton contained within the thiamine biosynthesis protein *thiC*. Finally, we make both the PhaVa software package and all of the identified invertons (intragenic, intergenic, and 103 partial intergenic) publicly available. 104

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106 **Results**

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Most knowledge regarding bacterial genes and their regulation is based on bacteria that 108 109 are studied in laboratory conditions. Because of this, invertons that provide a fitness advantage in vivo but may not be advantageous to fitness in vitro have likely been overlooked ^{19–21}. We 110 therefore hypothesized that there are currently unknown gut-relevant invertons. To test our 111 hypothesis, we endeavored to identify invertons in metagenomic sequencing data from 112 longitudinally collected human stool samples from 149 adult and 21 pediatric patients 113 undergoing hematopoietic cell transplantation ^{22,23} (Fig. 1A). These samples were selected given 114 the varying and complex environments enteric bacteria would encounter over time, with many 115 different stressors present such as chemotherapy, antibiotic treatment, variation in food intake, 116 and inflammation. We hypothesized that these factors might induce inverton flipping. 117

In our efforts to comprehensively annotate invertons from this metagenomic data set, in which there are many different organisms represented in each sample, we first decided to examine invertons in organisms within the taxon Bacteroidetes. Bacteroidetes species are prevalent and typically highly abundant in the human gut, and many organisms within this taxon have known intergenic invertons ¹⁵. To orthogonally confirm sequencing-based observations in subsequent microbiological and genetic experiments, we focused our analysis on *B*.

thetaiotaomicron, a genetically tractable species suitable for downstream experimental 124 manipulation. To identify invertons in *B. thetaiotaomicron*, we used PhaseFinder¹⁵, a short-read, 125 reference-based inverton detection pipeline with B. thetaiotaomicron VPI-5482 (BTh) as the 126 127 reference genome, and with relaxed filters to increase sensitivity (see methods, Fig. 1A). As an internal control to assess whether PhaseFinder could sensitively detect BTh invertons in our 128 129 metagenomic samples, we examined BTh's capsular polysaccharide (CPS) genes, a known set of invertible loci. BTh has 8 loci that encode different CPS, 5 of which are controlled by invertible 130 promoters ^{24–26}. CPS are important mediators of phage susceptibility ²⁷ and can modulate the host 131 immune system ^{28–30}. Using PhaseFinder on the patient sample datasets, we found read evidence 132 of all 5 CPS invertons in both the reference and inverted (flipped) orientations (fig. S1), 133 demonstrating that PhaseFinder is able to detect known invertons in these metagenomic samples 134 and that these samples have enough Bacteroides sequencing depth to identify invertons. Of note, 135 in the reference BTh genome, the invertible promoter for each of these 5 loci is in the 'OFF' 136 state by virtue of it being oriented in the opposite direction of the CPS genes. Similarly, in vitro 137 transcriptional analyses support the finding that the majority of invertible CPS loci are in the 138 OFF orientation ³¹, suggesting that in laboratory conditions, these loci are not transcriptionally 139 active. Finding read evidence of inversion for all invertible CPS loci suggests that the *in vivo* 140 patient datasets are an ideal environment to detect invertible events that are rare in laboratory-141 grown bacteria but may be prevalent in bacteria living in more 'natural' ecological settings. 142

In addition to known intergenic invertons such as those in the CPS loci, we also found 143 read evidence of intragenic invertons in BTh across 132 short-read metagenomic samples (Fig. 144 1B). We use the term 'intragenic inverton' to describe invertible regions found entirely within 145 single genes. To date, the only description of invertible DNA sequences entirely within a gene 146 are in isolated cases of very short (7 bp) flips within mitochondrial DNA in certain pathogenic 147 states ³². These 7 bp mitochondrial DNA flips are postulated to be the consequence of an 148 enzyme-independent event, and thus are different from what we predict here to be an invertase-149 mediated, preprogrammed inversion. In the intragenic invertons that we observed, there were 150 two predicted consequences. In some cases, the intragenic invertor resulted in a portion of the 151 protein being "re-coded" (Fig. 1C). For example, we observed a 57 bp inversion in BT0375, the 152 invertase that is believed to flip the adjacent CPS1 invertible promoter. This intragenic inversion 153 154 changes the amino acid sequence of the 'flipped' region, and might alter the binding specificity

of the invertase, possibly changing the invertible repeats (IRs) that it targets for flipping or its 155 156 binding affinity for its cognate IRs. In other cases, the intragenic inverton resulted in the introduction of a 'premature' stop codon, affecting the prediction of protein coding open reading 157 158 frames (ORFs). Often, inversion resulted in two predicted ORFs (called with Prodigal ³³). For example, the inverton in the hybrid two-component system BT3786 occurs between two 159 predicted protein folding domains, and thus might untether the "sensing" and "response" 160 elements (Fig. 1D) of this signaling protein. However, we also observed intragenic inversions 161 162 that resulted in zero, one, three, or more ORFs. Taken together, we describe the discovery of intragenic invertons and identify two types of invertons - those that are 'recoding' and those that 163 cause a 'premature stop'. 164

To validate these predicted intragenic invertons, we analyzed the DNA sequences in 165 these gene regions in vitro. We extracted DNA from wild-type BTh grown in either rich or 166 defined media and designed PCR primer sets that enabled us to amplify either the reference or 167 the inverted version (fig. S2). We tested 59 of the 63 predicted intragenic invertons and 168 confirmed that 10 of them had DNA molecules in both the reference and inverted orientation in 169 our laboratory-grown population of BTh (Fig. 1B, Table 1, Data S1). The 49 unconfirmed 170 171 intragenic invertons may be due to the absence of cues in the growth conditions required to flip the locus to the inverted orientation and/or false positives from the metagenomic read-based 172 173 evidence.

As genomic structural variation often involves highly repetitive or low complexity 174 regions, short-reads are often not long enough to resolve these sequences ³⁴, and thus short-read 175 based approaches would be predicted to have limited sensitivity. We, therefore, developed a 176 long-read based inverton predictor, PhaVa. PhaVa maps long-reads against both a forward 177 (identical to reference) and reverse orientation version of potential invertons (Fig. 2A). PhaVa's 178 179 accuracy is high because it requires long-reads that span the entire length of a given inverton in order to make a 'call' about its orientation. To ensure accurate performance of PhaVa, we 180 181 optimized read mapping parameters by simulating long-read datasets from ten bacterial genomes at various sequencing depths (Fig. 2B-C). The reads were generated from a reference genome, 182 183 and thus no invertons are expected and any detected would be false positives. In general, the false positive rate was very low (mean false positive count per simulated sample of <0.1 in 9/10184 185 species), with the exception of reads simulated from the *Bordetella pertussis* genome (Fig. 2D).

Further investigation revealed the false positives detected in *B. pertussis* were due to a single putative inverton with inverted repeats longer than 750 bps, of which only a smaller portion of the total length were detected by 'einverted', the computational tool used to detected inverted repeats (fig. S3). In summary, our long-read based inverton predictor, PhaVa, demonstrates high accuracy in resolving complex genomic structural variations, with only rare instances of false positives observed.

To find invertons across prokaryotic genomes, we ran PhaVa on ~30,000 prokaryotic 192 193 isolate long-read datasets deposited on SRA. We limited our analysis to readsets belonging to Bacteria or Archaea and with 50 Mbp or more of total sequencing, which resulted in our final 194 195 analysis containing results from ~4,000 unique species (fig. S4). The vast majority of these datasets represented bacteria, with only 42 archaeal long-read sequencing datasets. In total, we 196 197 identified 4622 unique invertons, 3,468 of which are intergenic. Of note, compared to Jiang et al. ¹⁵, we find invertons at a higher rate per sequencing dataset (0.15 vs 0.07) and per individual 198 isolate (1.15 v 0.09) highlighting the increased sensitivity of long-reads for detecting this type of 199 structural variation. Like Jiang et al.¹⁵, we found that Bacteroidetes have a relatively large 200 number of intergenic invertons (673, Fig. 3A) and intergenic invertons per genome (2.26, Fig. 201 202 3B). Fusobacteria, Gammaproteobacteria, and Verrucomicrobia also have high numbers of intergenic invertons per genome (Fig. 3B), with Verrucomicrobia having the highest number per 203 genome overall at 5.55 intergenic invertons per genome. In our dataset, Verrucomicrobia is 204 composed of only Akkermansia strains. As increases in Akkermansia abundance correlate with 205 protection against metabolic disease ^{35,36}, there is interest in its use as a probiotic. However, 206 Akkermansia strains exhibit broad phenotypic diversity and differential gut colonization ability 207 ³⁷, which may be attributable, in part, to the orientation of these varied intergenic invertons. In 208 addition to the intergenic invertons, we also identified 733 partial intergenic invertons (Fig. 3A). 209 Many of these partial intergenic invertons may form shufflon systems, and thus, as expected, 210 these invertons are significantly longer than intergenic or intragenic invertons (Fig. 3C, p=7.1e-211 293 and p=7.6e-67 with a t-test, respectively). This finding of 733 partial intergenic invertons 212 adds to the 22 long-read-validated intergenic invertons recently reported by Milman *et al.* Thus, 213 our analysis of ~30,000 prokaryotic isolate long-read datasets from diverse species uncovered 214 both known and novel intergenic and partial intergenic invertors, shedding light on the 215

216 remarkable structural variability within prokaryotic genomes and emphasizing the heightened
217 sensitivity of long-read sequencing in this context.

Beyond intergenic and partial intergenic invertons, we also found evidence of intragenic 218 219 invertons across multiple phyla, including the major gut microbiome-related phyla, Proteobacteria, Firmicutes and Bacteroidetes (Fig. 3A-B). We found the largest number of 220 221 intragenic invertons, 118, in Gammaproteobacteria, including from organisms such as Escherichia coli and Salmonella; this is largely due to the abundance of samples for these 222 223 organisms in SRA and our dataset (~4,000 E. coli samples (fig. S4)), given that Gammaproteobacteria have a relatively small number of intragenic invertons detected per 224 genome (0.09, Fig. 3B). Few long-read datasets for Archaea were available with 36 and 6 for 225 Euryarchaeota and Crenarchaeota, respectively. Despite this, 12 putative archaeal invertons were 226 identified; ten intergenic, one partial intergenic, and an intragenic inverton that introduces an 227 early stop in a adenylosuccinate synthase gene in Salarchaeum sp. JOR-1 (42 total archaeal 228 genomes searched, Fig. 3A-B, Data S2). Chromosomal invertons have only been minimally 229 investigated in Archaea. However, our study and a recent computational analysis of phase 230 variable Type 1 restriction modification systems by Atack et al.¹⁷ suggest that inverton-mediated 231 232 phase variation may be an important, yet understudied, regulatory mechanism in this domain. The mean number of intragenic invertons per genome varied greatly between different phyla 233 (Fig. 3B) with Tenericutes, Betaproteobacteria, and Actinobacteria having a relatively high 234 number of intragenic invertons detected per genome, at 0.19, 0.32, and 0.21, respectively. The 235 distribution of inversion proportions of individual intragenic invertons was different from that of 236 intergenic invertons (Fig. 3D); intergenic inversions typically appeared to be in either an "ON" or 237 "OFF" state in a given sample - suggesting that all of the organisms within that population 238 shared the same biological 'state' of that inverton. By contrast, intragenic invertons more 239 commonly had inversion proportions somewhere between 0 and 1 (Fig. 3D), indicating presence 240 of both the forward and reverse orientations within a given 'clonal' sample. Invertons with a 241 100% or near 100% proportion in the 'reverse' orientation may also represent those that can no 242 longer be flipped, either due to mutations in the IR or loss of the invertase that flips the invertor. 243 Having cataloged these intragenic invertons, we next investigated whether specific gene 244 types or functions were enriched for the presence of intragenic invertons by doing a clade-245 resolved enrichment analysis. We calculated gene set enrichments (using Pfam clan definitions 246

as gene sets) per genome, species, and genus, combining the genes from all genomes in a
specific clade (Fig. 3E, S5). We found six Pfam clans enriched across several genera with the
strongest and most consistent enrichments for the Pfam clans CL0123 (Helix-turn-Helix) and
CL0219 (RNase-H-like) (fig. S5). This indicates that intragenic invertons occur more frequently
than would be expected by chance in genes that have DNA binding or DNA/RNA modifying
activity.

As noted previously, we postulate that inverton orientation likely relates to the 253 254 environment of a bacterium, and that invertons are more likely to be in the non-reference orientation in organisms that are living in their 'natural' ecological settings. Therefore, we also 255 ran PhaVa on 210 *de novo* assembled long-read metagenomes from the human gut ^{38,39}, mapping 256 sequencing reads back to their respective metagenomic assemblies. This enabled us to detect 257 invertons that may be absent in isolated bacteria grown in laboratory cultures, but present in vivo. 258 Doing so, we identified over 3,500 putative invertons, largely from contigs assigned to the phyla 259 Bacteroidetes and Firmicutes (fig. S6A). In keeping with our model that invertons are more 260 likely to be 'active' in vivo than in vitro, significantly more invertons were identified per species 261 in the metagenomic samples than in the isolate sequencing samples (fig. S6B). We hypothesize 262 this is because bacteria grown as isolates in laboratory settings do not experience the wide range 263 of diverse environmental conditions that they do in their natural, polymicrobial habitats. Our 264 analysis of the metagenomic data with PhaVa suggests that bioinformatic analysis of isolate 265 genomes grown in laboratory conditions likely underestimates the number and range of invertons 266 that exist in microbes. Therefore, the invertons called from the isolate datasets can be thought of 267 as a 'minimal set', as isolate conditions may not be the ideal setting to uncover phase variable 268 regions relative to metagenomic samples or co-cultures. 269

Both short-read and long-read based analyses of metagenomic datasets revealed that 270 intragenic invertons exist. However, the biological consequences of inversion of these invertons 271 to the non-reference orientation is not known. Thus, to evaluate the phenotypic consequences of 272 a particularly prevalent inverton, we focused on an intragenic inverton that introduces a 273 premature-stop codon in the BTh BT0650 gene (thiamine biosynthesis protein ThiC) (Fig. 4). 274 275 Thiamine is an essential cofactor in many cellular biochemical processes and is essential for nearly all organisms. Some organisms, such as humans and certain gut microbes, are fully reliant 276 277 on dietary, host, or other microbial sources for vitamins or their building blocks; others, like

many gut microbes, including BTh, have the capacity to biosynthesize thiamine, albeit at a large 278 energetic cost ^{40,41}. Thus, thiamine availability has been hypothesized to strongly influence 279 microbial community composition 42 . We chose to characterize the intragenic inverton in *thiC* as 280 this gene has a defined function in thiamine biosynthesis 43,44 . Specifically, the *thiC* gene 281 product, which encodes the enzyme 2-methyl-4-amino-5-hydroxymethylpyrimidine phosphate 282 (HMP-P) kinase, catalyzes the conversion of aminoimidazole ribotide (AIR) to 4-amino-5-283 hydroxymethyl-2-methylpyrimidine (HMP) and forms a key wing in thiamine biosynthesis. In 284 285 addition to having a defined role, we detected intragenic inversion in both DNA and RNA in our laboratory grown BTh strain (Fig. 4B). We predicted that the non-reference orientation of the 286 inverton introduces a premature stop codon in the *thiC* mRNA, which would result in a truncated 287 protein containing only the N-terminal "thiC associated domain" of the protein (Fig. 4A). The 288 exact function of this domain of the protein is not known, but it is required for enzyme function. 289 As noted previously, BTh can grow in the absence of exogenous thiamine as it can synthesize 290 thiamine *de novo*, however, strains that lack ThiC lose this ability. We hypothesized that 291 inversion of the invertible locus in *thiC* would interfere with thiamine biosynthesis, and would 292 phenocopy the ThiC null mutant. 293

To test the biological consequences of inversion, we generated 'locked' versions of the 294 *thiC* inverton that prevent inversion from occurring within the gene. Traditionally, locking 295 elements in a specific orientation is accomplished by mutating the nucleotides in the inverted 296 repeat regions required for inversion or by deleting the inverted sequences entirely. 297 Unfortunately, for intragenic invertons, deletion of these sequences or complete mutations would 298 alter the corresponding amino acid sequences and confound interpretation. We therefore 299 exploited the wobble position of the codon to maximize mismatches between the inverted 300 repeats. By mutating these residues, we introduced mismatches in 6 out of 11 positions of the 301 inverted repeat (fig. S7A). Using this method, we created a locked forward (reference 302 orientation) and a locked reverse (flipped intragenic inverton) thiC strain. We also generated a 303 *thiC* clean deletion strain. 304

Next, we grew wild-type BTh, locked forward, locked reverse, and the *thiC* knockout strain in various concentrations of thiamine (Fig. 4C). The locked forward strain phenocopied the wild-type strain, as it was able to grow to the same optical density regardless of whether thiamine was added to the media. By contrast, the locked reverse strain mirrored the *thiC* knock-

out strain and was only able to grow to wild-type levels when $0.1 \,\mu\text{M}$ or greater thiamine was added to the media. This finding confirms our expectation that the reverse version of the intragenic inverton interferes with ThiC function.

312 Having found that the locked reverse strain of the *thiC* intragenic inverton phenocopies the null mutant, we wondered whether there may be physiological circumstances that favor this 313 mutant over the wild-type or locked forward strain. A classical approach to assess the relative 314 fitness of two bacterial strains is to perform a competitive growth experiment. Thus, to test 315 316 whether the inverted form of the *thiC* inverton provides a fitness advantage in different conditions, we competed the locked forward strain against the locked reverse strain in an equal 317 proportion in varying concentrations of thiamine. Each strain was chromosomally marked with a 318 different antibiotic resistance cassette. Then we determined the competitive index (CI), which is 319 the ratio of recovered locked forward bacteria to recovered locked reverse bacteria (Fig. 4D). To 320 account for any fitness advantages conferred by the antibiotic resistance cassettes, we repeated 321 the competition with the cassette swapped between the two strains. While results varied slightly 322 between these two complementary versions of the experiment, they were generally concordant. 323 Specifically, we found that as thiamine concentration increases in the media the advantage 324 conferred by the locked forward version of *thiC* was first diminished and then abolished at 325 concentrations above 0.01 μ M. In one version, the locked reverse significantly outcompeted the 326 locked forward strain at 1 and 10 μ M, whereas in the other the version the reverse significantly 327 outcompeted the locked forward at 0.01, 0.1, and 10 μ M (fig. S7 B-C). Notably, at 328 physiologically relevant thiamine concentrations, in the human intestine 0.02-2uM⁴⁵, the locked 329 reverse strain was more fit than the locked forward strain. This finding complements previous 330 work showing that auxotrophs have a fitness advantage in conditions containing a low level of 331 exogenous metabolites when competing against prototrophic strains ⁴⁶. The reversible nature of 332 invertons would allow a subgroup to switch between phenotypes, whereas a simple loss of 333 function mutation would not. Taken together, we find evidence of a physiologically relevant 334 condition in which an intragenic inversion within the *thiC* gene may provide an energetic or 335 other form of competitive advantage, and thus might be adaptive. 336

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338 Discussion

Bacterial genomes densely encode functional genetic programs as well as multiple layers 340 of bioregulation. These layers of programming can be accessed by varying transcription, 341 translation, or through genomic restructuring. One mechanism of genome restructuring and 342 343 resultant 'genomic plasticity' is through enzyme-mediated DNA inversions. Such inversions can regulate transcription of specific genetic loci through the flipping of promoter orientation 344 ^{10,15,47,48}. Furthermore, DNA inversions can also regulate shufflon systems that recombine 345 modular domains of bacterial protein-encoding genes to alter enzyme specificity ^{18,49,50}. To date, 346 347 entirely within-gene DNA inversions have not been described in prokaryotes. While not present in every genome, the identification of enzyme-mediated intragenic inversions is important as it 348 represents another mechanism of genetic variation, and a way in which a single genetic locus can 349 encode multiple genes. 350

Here, we used short-read metagenomic data and a database of publicly available isolate 351 long-read sequencing to identify intragenic invertons in prokaryotes. In addition to using an 352 existing short-read inverton calling program, we developed a long-read inverton finding pipeline, 353 PhaVa, to more sensitively enumerate invertons. We identified intragenic invertons across the 354 prokaryotic tree of life, in both bacteria and the small number of archaea that we evaluated. 355 356 Using BTh as a model organism, we experimentally validated 10 intragenic invertons identified from our short-read metagenomic analysis. We further assessed the consequence of inversion by 357 characterizing the phenotypic effects of an intragenic inverton found in the BTh thiamine 358 biosynthesis gene *thiC*. Thiamine is an essential cofactor for many central metabolic processes 359 and is bio-energetically costly to produce. Many microbes encode salvage, transport, and 360 biosynthetic pathways ⁵¹. In BTh, thiamine acquisition and biosynthesis is highly regulated at 361 both the transcriptional and translational level ^{43,52}. Here, we find that thiamine biosynthesis also 362 appears to be regulated at the genomic structural level. The *thiC* intragenic inverton induces a 363 premature stop codon and we found that the truncated 'reverse' isoform has impaired growth in 364 thiamine-limited conditions. However, we also found that at physiological concentrations of 365 thiamine found in the human intestinal lumen, organisms encoding a locked 'reverse' isoform of 366 *thiC* have a competitive growth advantage over the locked 'forward' isoform. This supports the 367 presence of a novel mechanism of thiamine biosynthesis regulation and suggests a possible 368 ecological explanation for the existence of a 'toggle-able' switch of isoforms. 369

While the advantages of each identified intragenic inverton will differ depending on the 370 coding region affected, there are three general biological consequences of phase variation. One 371 reason an organism may have preprogrammed heterogeneity is to enable division of labor. By 372 373 generating subgroups within a population, members of the community may produce public goods at a potential cost to their own fitness but for the benefit of the group as a whole ^{53–56}. While 374 altruism in bacterial interspecies relationships is often unstable, as cheaters will take advantage 375 of the public goods and outcompete, intraspecies altruism could bypass this as the losers in this 376 scenario can be repopulated by the winners ⁵⁷. The second type of heterogeneity producing 377 behavior is via a bet hedging strategy ⁵⁸. Diverse subgroups are generated allowing for survival 378 379 in future selection events. One classic example of this is the CPS switching that occurs in many gut bacteria. As different CPS have varying susceptibility to phage predation, a diversified 380 population allows the species to persist in the presence of phage ²⁷. Third, bacteria of a given 381 species and strain may exist in various biogeographic 'niches', where neighboring bacteria, host 382 cells, nutrient access, and stressors might vary - thus, within a given ecological system such as 383 the intestinal lumen, different subcommunities of bacteria may benefit from employing different 384 bioregulatory programs. Future mechanistic work is needed to determine the advantages and 385 386 community structure implications of each intragenic inverton.

Although we validated the presence of the intragenic invertons in BTth, we have not 387 identified which invertases are responsible for each of the validated intragenic invertons that we 388 described. We suspect that an underlying "molecular grammar" exists and that certain invertases 389 recognize and flip specific sequences; specificity of invertases for a given sequence likely lie in 390 the inverted repeats, but might also lie within the inverted regions. In terms of how the 391 expression of these invertases is controlled and regulated in bacteria, phenotypic diversity is 392 often generated via two different mechanisms; random ⁵⁷ or coordinated specialization ⁵⁹. It is 393 394 possible that invertases function at a basal level and therefore there is a baseline, low level of inversion that occurs in a small proportion of the population. Alternatively, invertases may be 395 expressed in response to specific cues or signals. As BTh encodes 56 invertases, future work is 396 needed to identify which invertase flips these invertons and under which conditions. 397 Understanding how these elements are regulated and the consequences of inversion could 398 advance the field of synthetic biology and create new therapeutic targets. 399

Intragenic invertons that cause recoding mutations present an exciting opportunity to 400 401 rethink gene variation. In BTh, we molecularly confirmed 2 recoding intragenic invertons (Table 1). Of note, these recoding mutations may help regulate the outer membrane of a bacterial cell 402 403 potentially altering interactions with the host or other microbes. The first is BT0375, the putative CPS1 invertase. Cross regulation of CPS loci has been well described ^{60,61}. Changes to the 404 405 invertase structure could change its binding specificity and alter which regions it flips or its kinetics. Future studies are needed to elucidate how this inversion may add another layer of 406 407 regulation to which CPS loci are expressed and when they are expressed. The second is nagA which encodes an enzyme that catalyzes the deacetylation of N-acetylglucosamine-6-phosphate 408 (GlcNAc-6-P) to glucosamine-6-phosphate (GlcN-6-P). NagA is important for cell wall 409 recycling and can supply GlcN-6-P for glycolysis ⁶². As NagA can be allosterically regulated ⁶³, 410 intragenic inversion could alter the binding of its allosteric regulator, altering this process; this 411 might result in changing the metabolism of the cell or the outer membrane structure. Bacterial 412 outer membranes play crucial roles in microbial interactions, niche establishment, and immune 413 modulation. Intragenic invertons may add another layer of regulation and future studies are 414 needed to study their effects. 415

While we find fairly extensive evidence of intragenic invertors using sequencing based 416 approaches and explore some of them in detail, this work has limitations. First, our analysis of 417 invertons across the prokaryotic tree of life was performed on previously sequenced isolates. 418 While growth conditions for most of these samples are not readily available, we presume that 419 most of these isolates were grown in rich laboratory media; these nutrient- and micronutrient-420 replete conditions may not recapitulate physiological conditions in which invertases are active or 421 reverse orientations are favorable. However, there are currently limited long-read datasets 422 available from physiological conditions for a wide range of prokaryotic organisms. We therefore 423 may have only identified a minimal set of invertons in this study and we estimate the full 424 "invertome" likely includes a larger number of elements. Second, if the invertons we identified 425 426 are not representative of the true capacity for inversion, our gene set enrichment analysis may also not identify the types of genes hit most frequently in physiological conditions. Third, both 427 428 PhaVa and PhaseFinder are reliant upon a reference genome or *de novo* assembly for read mapping and selection of a particular sequence for read mapping can affect inverton discovery. 429 430 Detection of invertons is thus restricted to the genomic sequence common between the input

sequenced strain and the reference. Finally, PhaVa uses relatively strict mapping parameters and
if the selected reference is distantly related to the sequenced strain, read mapping quality will
decrease and reduce the discovery rate. However, using a *de novo* assembly instead may result in
missing 'fully inverted' invertons relative to reference strains, which may be of interest.

Despite these limitations, intragenic invertons are an exciting new mechanism for genetic 435 variation and adaptation in bacteria. In this manuscript, we present a 'roadmap' for more in depth 436 investigation of a specific invertible intragenic locus. Our initial analysis of long-read isolate 437 438 data provides a minimal set of invertons, including intragenic, intergenic, and partial intergenic invertons. We expect future niche-specific investigation of inverton-containing organisms to 439 identify additional invertons. Additionally, we anticipate that future studies of intragenic 440 inverton will uncover new layers of bioregulation in prokaryotes, and more thoroughly 441 demonstrate the many hidden genetic programs that exist within highly plastic bacterial 442 genomes. More thorough characterization of invertons and other reversible and preprogrammed 443 types of genomic variation will likely substantially impact several fields of research ranging 444

from synthetic biology, to microbe-microbe interactions, to microbial physiology, and beyond.

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449 **References**

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639 Fig. 1. Short-read metagenomic datasets reveal intragenic invertons in *Bacteroides*

thetaiotaomicron (BTh). (A) An overview of the analysis pipeline for identifying putative 640 invertons in short-read datasets. (B) A heatmap of the inversion proportion of intragenic 641 invertons in BTh. Samples with no intragenic invertons were removed. Rows labeled with a gene 642 name represent intragenic invertons with PCR and Sanger sequencing evidence of inversion. (C-643 **D**) Genome diagrams for confirmed intragenic invertons in BTh. Grav bars indicate putative 644 invertons without sequencing support. Red bars indicate invertons with sequencing evidence. (C) 645 Left - Genome diagram of the region surrounding the BT0375 recoding intragenic inverton, and 646 a domain diagram of the BT0375 gene with the location of the inverton IRs indicated. Right -647 AlphaFold overlay of the BT0375 forward (blue) pLDDT 89.91 and reverse (green) pLDDT 648 85.24. The region that is recoded is circled in red. (D) Genome diagram of the region 649 surrounding the BT3786 premature stop codon intragenic inverton, and a domain diagram of the 650 gene. The consequence of the inversion and resulting two predicted ORFs are indicated in the 651 domain diagram. 652

654 655 656

Gene	Annotation	Consequence
BT0375	integrase	recoding
BT0579	putative transcription regulator	premature stop codon
BT0629	Mn2+ and Fe2+ transport protein	premature stop codon
BT0650	thiamine biosynthesis protein ThiC	premature stop codon
BT0675	N-acetylglucosamine-6-phosphate deacetylase NagA	recoding
BT1600	BexA, membrane protein	premature stop codon
BT1620	SusD homolog	premature stop codon
BT3385	putative helicase	premature stop codon
BT3786	two-component system sensor histidine kinase/response regulator, hybrid (one-component system)	premature stop codon
BT3938	ATP-dependent DNA helicase RecQ	premature stop codon

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Table 1. Confirmed intragenic invertons BTh. Intragenic invertons confirmed *in vitro* in BTh

are listed. Invertons from short-read datasets were called with PhaseFinder on metagenomic

samples (see Fig.1). The predicted consequence of inversion is also listed.





662 Fig. 2. Developing and optimizing PhaVa, a long-read based, accurate inverton caller. (A)

Schematic of PhaVa's workflow. Putative invertons are identified, and long-reads are mapped to 663 both a forward (highlighted by the black dashed lines) and reverse orientation (highlighted by the 664 665 gray dashed lines) version of the inverton and surrounding genomic sequence, similar to PhaseFinder. Reads that do not map across the entire inverton and into the flanking sequence on 666 either side, or have poor mapping characteristics are removed. See methods for details. (B-C) 667 Optimizing cutoffs for the minimum number of reverse reads as both a raw number and 668 percentage of all reads, to reduce false positive inverton calls with simulated reads. Cell color 669 and number represent (B) the false positive rate per simulated readset and (C) the total number of 670 671 unique false positives across all simulated datasets. (D) False positives in simulated data plotted per species. All measurements were made with a minimum of three reverse reads cutoff and 672

varying the percentage of minimum reverse reads cutoff. Dashed line indicates the minimum

reverse reads percent cutoff used for isolate and metagenomic datasets.

675



677 Fig. 3. PhaVa analysis of isolate long-read sequencing data reveals intragenic inversions are

678 prevalent across the bacterial tree of life. (A) The total number of invertons found within

- various bacterial phyla from 29,989 publicly available long-read isolate sequencing datasets.
- 680 Green bars refer to intergenic invertons. Orange bars refer to intragenic invertons. Blue bars refer
- to partial intergenic invertons. Asterisks denote phyla within Archaea. Inset corresponds to the
- 682 portion of the bar graph outlined in dotted lines. (**B**) The mean number of invertons found per
- genome within a phylum, of genomes that had at least one inverton. Asterisks denote phyla
 within Archaea. (C) The distribution of lengths of identified invertons, grouped by inverton type
- 684 within Archaea. (C) The distribution of lengths of identified invertons, grouped by inverton type 685 (intergenic, partial intergenic - denoted 'partial', and intragenic). Median value is indicated by
- 685 (intergenic, partial intergenic denoted 'partial', and intragenic). Median value is indicated by 686 gray dots. Partial length distribution was found to be significantly different from intergenic
- (p=0.0) and intragenic (p=4.5e-146) with a t-test (**D**) The distribution of inversion rates of
- identified invertors, defined as the percentage of reads mapped in the reverse orientation.
- 689 Median value is indicated by gray dots. (E) Pfam clan enrichment across several genera. Dot size
- and fill color is proportional to the mean log-odds ratio, an effect size measure for the
- 691 enrichment, and the length of the line indicates the fraction of included genera in which an
- 692 enrichment score for the specific clan could be calculated.



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showing the location of the *thiC* intragenic inverton (red bar). Inverton flipping results in a

697 premature stop codon located between two protein-folding domains in ThiC. Black arrows 698 indicate the binding location of primers used to determine the orientation of inverton. **(B)** PCR

indicate the binding location of primers used to determine the orientation of inverton. (B) PCR
 confirmation of the *thiC* intragenic inverton in both genomic DNA and reverse transcribed RNA

(cDNA). PCR products of the expected size were extracted and confirmed with Sanger

sequencing. (C) BTh strains were grown in defined media with the indicated concentrations of

thiamine. The maximum optical density of each strain reached was recorded. Each point

- represents the average of six replicates conducted across two separate experiments. Mean and
- standard deviation are shown. Locked forward (blue line), locked reverse (gray line), *thiC*
- ⁷⁰⁵ knockout (purple line), and wild-type (black line) are presented. (**D**) Locked strains were
- competed against each other in thiamine-containing media. The competitive growth experiment
- was performed in two different ways with the antibiotic resistance marker cassettes flipped
- ⁷⁰⁸ between the two versions. Black bars indicate the locked forward strain marked with
- rog erythromycin resistance and locked reverse strain marked with tetracycline resistance. White
- bars indicate the locked forward strain marked with tetracycline resistance and locked reverse
- strain marked with erythromycin resistance. The competitive index was determined. Geometric
- mean and geometric standard deviation are shown for 8-12 replicates across 4-6 independent
- 713 experiments.
- 714

715 Materials and Methods

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717 Strains and media

718

The bacterial strains used in this study are listed in Table S1. E. coli strains were routinely grown 719 in LB Miller media (Fisher) at 37 °C. When necessary, carbenicillin was added at 100 µg/mL. 720 BTh was grown anaerobically (90% Nitrogen, 5% carbon dioxide, 5% hydrogen) in an anaerobic 721 chamber (Sheldon Manufacturing) in hemin (5 µg/mL) and L-cysteine (1 mg/mL) supplemented 722 Brain Heart Infusion (Sigma) media (BHIS) or Varel-Bryant broth (VB)⁶⁴. When necessary, the 723 antibiotics tetracycline (2.5 µg/mL), erythromycin (25 µg/mL), or gentamicin (200 µg/mL) were 724 725 added to the media. Thiamine HCL (Sigma) was added at the specified concentrations. All media used to grow BTh was preincubated in the anaerobic chamber overnight. 726 727 728 Construction of *thiC* clean deletion and locked strains 729 The *thiC* clean deletion and locked strains were generated via allelic exchange as previously 730 described ⁶⁵. For $\Delta thiC$, 600 - 700 base pair flanking regions of the coding region were amplified 731 using Q5 high fidelity polymerase (New England Biolabs). Recombinant DNA used in this study 732 is listed in Table S2. For locked strains, plasmid overhangs, flanking regions, locked repeats and 733 intervening forward or inverted sequences were synthesized (Twist Biosciences) (Data S8). 734 Regions were assembled into pExchange-tdk using the HiFi DNA Assembly Kit (New England 735 Biolab). Plasmid inserts were verified using Sanger sequencing (ElimBio). Sequence confirmed 736 plasmids were propagated in *E. coli* DH5 α λpir . *E. coli* S17-1 λpir was used as a donor strain for 737 conjugation into BTh $\Delta t dk$. Exconjugants with chromosomal integration of plasmids were 738 recovered on BHIS plates containing gentamicin and erythromycin. Second crossover events 739 were selected by using BHIS FudR (200 µg/mL 5-fluoro-2-deoxy-uridine). Deletion and locked 740 versions were confirmed by PCR. 741 742

To generate differentially resistant *thiC* locked strains, the suicide vectors pNBU2_tet and pNBU2 erm were used. *E. coli* S17-1 λpir harboring the plasmids were used as donor strains for conjugation. Single crossover events were selected by plating on gentamicin plates containingeither erythromycin or tetracycline respectively.

747 Validating inversion in DNA

Intragenic inverton confirmation primers were designed using NCBI Primer Blast under default settings with the addition of adding in a GC clamp. PCR product size was targeted to be between 300 and 600 base pairs. The common and reverse primer were oriented on the same strand of the reference genome and the forward primer was located on the complementary strand. The common primer was located in between the two inverted repeats (fig. S2, primers listed in Data S6). Four of the predicted invertons were not experimentally tested, as target-specific primers could not be generated within the above constraints.

DNA was isolated from wild-type BTh cultures grown for 18 hours in either BHIS or VB media. 755 DNA was isolated using a chemical and enzymatic lysis. Glass beads (0.1 mm) were added to 756 bacterial pellets along with 700 µl of extraction buffer (50 mM Tris pH 7.5, 1 mM EDTA, 100 757 mM NaCl, 1% (w/v) SDS) and 25 µl of Proteinase K (10 mg/mL). Pellets were vortexed for 20 758 seconds and incubated at 55°C for 60 min. 700 µl of phenol:chloroform:isoamyl alcohol (25:24:1 759 by volume) was added to the mixture prior to incubating at room temperature for 5 minutes. 760 Phases were separated by centrifuge at 10,000 rpm for 5 minutes. The aqueous upper layer was 761 collected and transferred to a new tube. 5 µl of RNAse A (10 mg/mL) was added and incubated 762 763 at 37°C for 15 minutes. An equal volume of phenol:chloroform:isoamyl alcohol was added, 764 mixed, and incubated at room temperature for 5 minutes. Phases were separated as above and the aqueous phase was added to a new tube containing an equal volume of chloroform: isoamvl 765 alcohol (24:1 by volume). Tubes were mixed and incubated at room temperature for 5 minutes 766 prior to phase separation via centrifugation. The aqueous phase was added to a new tube along 767 with 45 µl of 3M sodium acetate and 1 mL cold 100% ethanol. DNA was precipitated overnight 768 at -20°C . Pellets were washed twice with 1mL of cold 70% ethanol. Dried pellets were 769 770 resuspended in water.

PCR reactions were performed using Q5 high fidelity polymerase (68 °C annealing temp, 10

second annealing time, and 30 second extension time). PCR reactions were run on an 1.2%

agarose gel. If multiple bands were visible, bands of the expected size were gel purified using the

774 Qiagen Gel Extraction kit. If a single band of the expected size was observed, the PCR reaction

vas purified using the Monarch PCR Cleanup Kit (New England Biolabs). DNA was sent for

⁷⁷⁶ Sanger sequencing. Sequencing was compared to the *in silico* prediction.

777 Validating thiC inversion in RNA

RNA was isolated from wild-type BTh cultures grown for 18 hours in BHIS media. 5mL cultures 778 were quenched using 500 µL phenol/ethanol solution (90% [vol/vol] ethanol and 10% [vol/vol] 779 780 saturated phenol pH 4-5). Pellets were spun down and stored at -80 °C until extraction. Pellets were lysed in 250 µL PBS and 10 µL of lysozyme (10 mg/mL) at 37 °C for 30 minutes. 30 µl 781 782 20% SDS was added prior to an additional 30 minute incubation. 1.5 mL Trizol was added to the mixture and incubated at room temperature for 10 minutes. Chloroform (0.5 mL) was added to 783 784 each sample and inverted vigorously for 15 seconds. The aqueous phase was taken from centrifuged samples and an equal volume of 100% ethanol was added. RNA was purified using 785 the Zymo RNA clean kit. DNA was removed using Ambion Turbo DNAse. cDNA was made 786 using Taqman Reverse Transcription reagents (Invitrogen) according to the manual. A no reverse 787 788 transcriptase control was performed to ensure that all DNA was removed. PCR was performed to determine orientation of inverton as above. Correctly sized bands were sent for Sanger 789 sequencing. 790

791 BTh growth in thiamine concentrations

BTh wild-type, *thiC* locked forward, *thiC* locked reverse, and *thiC* knockout strains were grown
overnight in BHIS media. Aliquots of each were then washed twice in preincubated PBS
containing cysteine (1 mg/mL). Strains were inoculated at an OD600 of 0.05 in VB media
containing the indicated concentration of Thiamine in a 96-well flat bottom plate. Readings were
taken in a Stratus plate reader (Cerillo) every ten minutes. Non-inoculated VB media from each
time point was used as a blank. The maximum OD600 value achieved per well was determined.

798 <u>Competitive growth assay</u>

799 Marked BT0650 locked strains were grown overnight in BHIS with appropriate antibiotics.

800 Strains were washed twice with preincubated PBS containing cysteine (1 mg/mL). A glass

dilution tube containing 3 mL of VB with indicated concentrations of thiamine was inoculated

with 1×10^3 CFU/mL of each strain. After 40 hrs of growth at 37 °C in the anaerobic chamber,

803 CFU/mL was determined by plating on selective agar. A competitive index was calculated by

dividing the recovered CFU/mL of the locked forward by the CFU/mL of the locked reverse

strain corrected by the inoculum.

806 Identifying invertons in BTh with PhaseFinder

807 Two short-read datasets were used for identifying invertons in BTh, 416 samples from 149 adult HMT patients (²³, BioProject PRJNA707487) and 142 samples from 21 pediatric HMT patients 808 (²², BioProject PRJNA787952). Each individual short-read dataset was analyzed with 809 PhaseFinder ¹⁵ with the VPI-5482 reference genome and default parameters to identify putative 810 invertons in BTh. Invertons were included in further analysis if they had at least 5 reads mapping 811 to the reverse orientation of the inverton, and had reads mapping to the reverse orientation in at 812 least three different samples. Inverton-gene overlaps and partial overlaps were found using a 813 custom script, now incorporated in PhaVa in the 'Create' step, and the gene annotations from the 814 VPI-5482 genbank file (.gbff). 815

816 <u>The PhaVa algorithm</u>

817 Inverted repeats are identified with einverted, part of the EMBOSS suite ⁶⁶. For each putative inverton, two sequences are then created: one where the sequence between identified inverted 818 repeat pairs is inverted (reverse) and one where it is not (forward), along with flanking sequence 819 on either side, similar to PhaseFinder. Long-reads are mapped against the created sequence with 820 821 minimap2⁶⁷ and must pass several filters to be included as evidence of inversion. 1) reads must have a MAPQ score of ≥ 2 to eliminate multimapping reads. 2) Reads must span the entire length 822 of the inverton and at least 30 bps into the flanking sequence on either side. 3) The mismatch rate 823 along the length of a read must be below a maximum mismatch rate. The mismatch rate is 824 considered separately over the length of an inverton and over flanking sequence, to avoid reads 825 that map well to only one region or the other. An adjustable mismatch rate is used instead of a 826 flat mismatch cutoff to account for both the variable length of long-reads and the high 827 sequencing error rate of current long-read sequencing technologies relative to short-read 828 sequencing. After mapping, reads mapped to the inverted and non-inverted sequences are tallied 829 and optional post-mapping filters are applied. 1) A minimum number of total reads mapped to 830

the 'reverse' sequence and 2) a minimum proportion of total mapped reads mapped to the
'reverse' sequence.

833 Simulating long-read datasets for optimizing PhaVa

For benchmarking, ten bacterial species were selected, in part based on the relevance in the 834 human microbiome. For each species, a reference genome and reference long-read dataset were 835 obtained from NCBI (File S3). Long-reads were mapped against their respective reference 836 genome with minimap2 and the mappings were used as input for the 'characterization stage' of 837 NanoSim ⁶⁸ in genome mode. The resulting NanoSim models were used to generate simulated 838 long-read datasets in the 'simulation stage' in genome mode. Reads were generated from the 839 840 unmodified reference genome, and so no evidence of inversion for any inverton is expected, and any invertons identified by PhaVa would be false positives. For each species, five coverage 841 levels and six replicates of each coverage level were generated (Data S7) totaling in 300 842 simulated long-read datasets. Streptomyces eurocidicus and Enterococcus faecalis simulated 843 readsets were generated at relatively deeper coverages due to poor read mapping characteristics 844 from the selected reference long-read datasets resulting in a smaller proportion of reads passing 845 PhaVa read mapping filters. Simulated readsets were then analyzed with PhaVa and used to 846 estimate false positive rates and optimize post-mapping filters. 847

848 Identifying putative invertons from public long-read sequencing data with PhaVa

Candidate isolate long-read sequencing datasets were identified on NCBI with the following 849 search criteria: "(Bacteria[Organism] OR Archaea[Organism]) AND ("pacbio smrt"[Platform] 850 OR "oxford nanopore" [Platform]) AND genomic [Source]". Datasets were further filtered by 851 removing datasets with the "amplicon" flag, and removing datasets with less than 50 Mbp of 852 sequencing in total (Data S5). Individual read datasets were downloaded with fastq-dump, a part 853 of the sratoolkit (https://trace.ncbi.nlm.nih.gov/Traces/sra/sra.cgi?view=software). Nanostat ⁶⁹ 854 was run on each remaining readset to measure dataset characteristics. For each unique taxid 855 represented in the resulting readsets, a reference genome and paired genbank file (.gbff) were 856 selected by identifying a genome with the highest level of completion for that species, and the 857 least number of contigs. In the case of reference genomes with equal quality based on these 858 parameters, the first identified was selected. Long-read datasets were then analyzed with PhaVa 859

with default parameters. Gene overlaps and partial gene overlaps were identified by comparing
coordinates of genbank file annotations with inverton coordinates, a function available for use in
PhaVa (fig. S8).

863 AlphaFold prediction

864 Structural predictions of the amino acid sequences for the forward and reverse orientations of the

- ⁸⁶⁵ intragenic inverton within BT0375 were generated using AlphaFold ⁷⁰ v2.2.0. The required
- databases were downloaded on March 3rd, 2022 and the max template date was set to 2020-05-

14. The top ranked structures were then visualized and aligned in PyMOL.

868 Gene set enrichment analysis

In order to assess which functional groups were enriched for genes harboring intragenic 869 invertons, we performed a clade-resolved gene set enrichment analysis. We first annotated genes 870 with KEGG KOs using the kofamKOALA tool ⁷¹ and with Pfam domains by running HMMER3 871 ⁷² with the Pfam domain database. KEGG pathways and modules were filtered for those that 872 were present in bacterial genomes and Pfam clan definitions were downloaded from the Interpro 873 874 website ⁷³. We then calculated enrichments per genome and additionally per species and per 875 genus, for those combining the genes from all genomes in a specific clade. At each level, we filtered out groups with fewer than 5 intragenic invertons (fig. S5), resulting in 10 genomes, 12 876 species, and 19 genera being included for downstream analysis. Alternatively, we also considered 877 878 genes with both intragenic or partial intergenic invertons, resulting in 47 genomes, 52 species, and 54 genera being tested. In each group, we tested for each pathway if the genes annotated with this 879 pathway were enriched for those carrying invertons by using a one-sided Fisher test. Pathways, 880 the genes of which did not harbor any invertons in a specific group, were skipped for the 881 enrichment analysis for a given group. Multiple testing correction was performed with the 882 Benjamini-Hochberg procedure ⁷⁴. 883

884 Identifying putative invertons from long-read metagenomes.

885 200 hybrid short-read and long-read human stool metagenomic datasets were accessed from

886 BioProject PRJNA820119³⁸. Each hybrid dataset was assembled using SPAdes ⁷⁵ with the '-

meta' flag and long-reads provided with the '--nanopore' option. An additional ten nanopore

- 888 long-read human stool microbiome metagenomic datasets from BioProject PRJNA940499³⁹
- were assembled with Flye 76 . Assembled contigs will be deposited at
- 890 <u>https://doi.org/10.5281/zenodo.7662825</u> after publication. Gene annotations for assemblies were
- 891 obtained with Prodigal ³³ using the '-meta' flag. Contig taxonomic classifications were obtained
- with Kraken2⁷⁷. Each long-read dataset was then analyzed with PhaVa with default parameters,
- using its respective de novo assembly as its reference assembly. Resulting inverton calls were
- dereplicated by clustering the inverton with 1000 bp flanking sequence upstream and
- downstream at 99% average nucleotide identity with CD-HIT ⁷⁸.

896

898 Supplemental Figures

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900



- **Fig. S1. Inversion proportion of CPS loci invertons in BTh.** Inversion proportions of CPS loci invertons in HCT metagenomic samples measured with PhaseFinder. Samples with no inversions
- in the five CPS invertons were removed.
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- 906
- 907
- 908

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911 Fig. S2. Inverton confirmation PCR primer design. A Forward and Reverse primer bind to

912 regions of the genome upstream and downstream of the inverton on opposite strands. The

913 Common primer binds the DNA inside of the inverton, between the inverted repeats. When the

914 DNA is in the forward orientation, the Common and Forward primer will generate a PCR

915 product. When the inverton flips, the Common and Reverse primer will generate a PCR product.



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917

Fig. S3. Very long (>750bp), near perfect, inverted repeats can lead to false positives. (A) 920 Alignment of inverton NZ CP025371.1:2124719-2124870-2125316-2125467, with its invertible 921 sequence inverted, against the *B. pertussis* genome leads to perfect alignment of flanking and IR 922 regions as expected. 'Reference genome' refers to the *B. pertussis* reference genome sequence. 923 924 'Inverton reversed' refers to the putative inverton sequence and flanking sequence, with the invertible sequence inverted. Red dashed lines indicate boundaries of the invertible sequence. 925 926 black dashed lines indicate boundaries of the inverted repeats as detected by einverted, and purple dashed lines indicate the true boundary of inverted repeats. (B) Alignment of the reverse 927 928 complement of the entire inverton NZ CP025371.1:2124719-2124870-2125316-2125467 with its invertible sequence inverted and flanking sequence, against the *B. pertussis* genome leads to 929 930 near perfect alignment (6 mismatches) spanning far into the flanking sequence to the true boundary of the inverted repeats, allowing for reads to map regardless of inverton orientation. 931 (C) Example with toy nucleotide sequences. Red nucleotides indicate mismatches. 932 933



935

936 Fig. S4. Overview of SRA long-read isolate sequencing samples analyzed with PhaVa. (A)

⁹³⁷ The number of unique species represented in the dataset, grouped by phylum. (**B**) The raw

number of sequencing samples, grouped by phylum. (C) Histogram of sequencing samples per

species. Species with particularly large numbers of samples are labeled. (**D**) A histogram of

sequencing depths for all long-read isolate sequencing samples.

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Fig. S5. Intragenic invertons are rare across genomes yet consistently enriched in some

Pfam clans. (A) Histograms showing the number of clades (genomes, species, or genera) at 946 various numbers of invertons indicate that invertons are rare, as only one to three invertons can 947 be detected in the majority of clades. Only clades with at least five invertons (red line; number of 948 clades is indicated in the top-right corner of each subplot) were included for the subsequent 949 enrichment analysis. (B) KEGG pathways and Pfam clans were tested for enrichment of 950 intragenic (or partial intergenic) invertons in included clades, using a one-sided Fisher's exact 951 test per clade (see Methods). Enrichment was only calculated for sets with at least five invertons 952 953 associated with genes in the set. Histograms show the number of sets with enrichment score at 954 the number of included clades, showing that most enrichments could be calculated for single

clades only. For example, all KEGG pathways associated with enough intragenic invertons for

an enrichment analysis on genome-level were specific for each genome. Sets with enrichment

scores across at least five clades (red line) are labeled with their corresponding identifiers. (C)
 Heatmap showing the log-odds ratio (effect size for the enrichment of intragenic invertons)

Heatmap showing the log-odds ratio (effect size for the enrichment of intragenic invertons)
 across included clades for the six Pfam clans that have enrichment scores on genus-level (see

panel B). Stars indicate significance of the enrichment as calculated by Fisher's exact test and

961 corrected for multiple hypothesis testing using the Benjamini-Hochberg procedure.



Fig. S6. PhaVa analysis of 210 long-read metagenomes from human stool. (A) Counts of 964 965 invertons identified with PhaVa in 210 stool samples, grouped by phylum and the type of inverton. (B) Comparisons of the number of invertons (per genome) found in metagenomic 966 datasets vs. SRA isolate sequencing samples. Total refers to all invertons identified, regardless of 967 taxonomic classification. The distribution of inverton counts per species were found to be 968 significantly different between metagenomes and isolate samples in both the Total and 969 Firmicutes comparisons (p=3.35e-05 and p=0.005 respectively) with a Kolmogorov–Smirnov 970 test. Other individual phyla were not compared due to small species counts with invertons in 971 metagenomic samples. 972 973

Α



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- 994 Figure S8: Inputs and outputs of a variation_wf PhaVa run. Output tables of particular
- interest are labeled and shown below the diagram with example output.

Strain name	Source	Identifier
Bacteroides thetaiotaomicron VPI-5482 Δtdk	79	WT
Bacteroides thetaiotaomicron VPI-5482 $\Delta tdk \Delta BT0650$	this study	RC131
Bacteroides thetaiotaomicron VPI-5482 Δtdk BT0650 locked RV	this study	RC149
Bacteroides thetaiotaomicron VPI-5482 Δtdk BT0650 locked FW	this study	RC134
Bacteroides thetaiotaomicron VPI-5482 Δtdk BT0650 locked FW NBU2::NBU2_tet	this study	RC165
Bacteroides thetaiotaomicron VPI-5482 Δtdk BT0650 locked FW NBU2::NBU2_erm	this study	RC 166
Bacteroides thetaiotaomicron VPI-5482 Δtdk BT0650 locked RV NBU2::NBU2_erm	this study	RC164
Bacteroides thetaiotaomicron VPI-5482 Δtdk BT0650 locked RV NBU2::NBU2_tet	this study	RC163
<i>E. coli</i> S17-1 λ <i>pir</i> ; <i>zxx</i> ::RP4 2-(Tetr::Mu) (Kanr::Tn7) λ <i>pir</i>	80	S17-1 λpir
E. coli DH5a λpir ; F- endA1 hsdR17 (r-m+) supE44 thi-1 recA1 gyrA relA1 $\Delta(lacZYA-argF)U189 \phi 80lacZ\Delta M15 \lambda pir$	81	DH5α λpir

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997 Table S1. Strains used in this study

Recombinant DNA	Identifier	Source
pKNOCK-bla-ermGb::tdk	pExchange	79
pExchange BT0650 KO	pRBC20	this study
pExchange BT0650 locked FW	pRBC21	this study
pExchange BT0650 locked RV	pRBC22	this study
pNBU2_tet	tetR	24
pNBU2_erm	ermR	24

999

1000 Table S2. Recombinant DNA used in this study

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- 1015 Conceptualization: RBC, PTW, ASB
- Methodology: RBC, PTW, JW 1016
- 1017 Investigation: RBC, PTW, JW, RMP, GZMG, ASH, MOG, EFB, AMM
- 1018 Visualization: RBC, PTW, JW, RMP
- Funding acquisition: ASB 1019
- 1020 Project administration: RBC, PTW, ASB
- Supervision: RBC, PTW, ASB 1021
- 1022 Writing – original draft: RBC, PTW, ASB
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- 1024
- 1025

1026 Competing Interests: Authors declare that they have no competing interests.

1027 **Data and materials availability:** PhaVa is available at (https://github.com/patrickwest/PhaVa).

1028 Short-read adult HCT stool sequencing data was previously published and is available at (NCBI

- 1029 BioProject ID PRJNA707487). Short-read pediatric HCT stool sequencing data was previously
- published and is available at (NCBI BioProject ID PRJNA787952). Long-read metagenomic 1030
- sequencing data was previously published and is available at BioProject PRJNA820119 and 1031
- 1032 BioProject PRJNA940499. Assembled metagenomic contigs will be made available after

- 1033 publication at https://doi.org/10.5281/zenodo.7662825. A list of accession numbers for long-read
- 1034 isolate sequencing data is available in supplementary file Data S5.

Supplementary Information is available for this paper.

Extended Data Tables

Data S1. *B. theta* intragenic invertons identified from short-read metagenomic sequencing samples.

Data S2. Archaeal invertons identified from long-read isolate sequencing samples.

Data S3. Invertons identified from long-read isolate sequencing samples.

Data S4. Dereplicated invertons identified from long-read metagenomic sequencing samples.

Data S5. List of accession numbers and associated metadata for long-read isolate sequencing samples.

Data S6. Primers used in this study.

Data S7. Simulated read datasets.

Data S8. Additional sequences.

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