



# Inflammatory Cell Infiltration Into Islets Without PD-L1 Expression Is Associated With the Development of Immune Checkpoint Inhibitor–Related Type 1 Diabetes in Genetically Susceptible Patients

Satoshi Kawata,<sup>1</sup> Junji Kozawa,<sup>1,2</sup> Sho Yoneda,<sup>1,3</sup> Yukari Fujita,<sup>1,4</sup> Risa Kashiwagi-Takayama,<sup>1</sup> Takekazu Kimura,<sup>1</sup> Yoshiya Hosokawa,<sup>1</sup> Megu Y. Baden,<sup>1,5</sup> Sae Uno,<sup>6</sup> Rikako Uenaka,<sup>6</sup> Kazuyuki Namai,<sup>7</sup> Yoko Koh,<sup>8</sup> Yoshito Tomimaru,<sup>9</sup> Haruhiko Hirata,<sup>10</sup> Motohide Uemura,<sup>8</sup> Satoshi Nojima,<sup>11</sup> Eiichi Morii,<sup>11</sup> Hidetoshi Eguchi,<sup>9</sup> Akihisa Imagawa,<sup>12</sup> and Iichiro Shimomura<sup>1</sup>

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**Immune checkpoint inhibitors (ICIs) could cause type 1 diabetes (T1D). However, the underlying mechanism remains unclear. We immunohistochemically analyzed pancreatic specimens from three individuals with ICI-related T1D, and their histopathological data were compared those from three patients who had received ICI therapy but did not develop T1D (non-T1D) and seven normal glucose-tolerant subjects as control subjects. All ICI-related T1D patients had susceptible HLA haplotypes. In ICI-related T1D, the  $\beta$ -cell area decreased and the  $\alpha$ -cell area increased compared with non-T1D and control subjects. The number of CD3-positive cells around islets increased in ICI-related T1D and non-T1D compared with control subjects, while the number of CD68-positive cells around islets increased in ICI-related T1D compared with non-T1D and control subjects. The expression ratios of programmed death-ligand 1 (PD-L1) on islets decreased in non-T1D and almost completely disappeared in ICI-related T1D, while PD-L1 expression was observed in most cells of pancreatic islets in control subjects. This study, therefore, indicates that ICI therapy itself could reduce PD-L1 expression on islets in all subjects, which may be related to  $\beta$ -cell vulnerability. In addition, we showed that absence of PD-L1 expression on**

## ARTICLE HIGHLIGHTS

- Immune checkpoint inhibitors (ICIs) could cause type 1 diabetes (T1D). However, the underlying mechanism remains unclear.
- Pancreatic histological findings of ICI-related T1D patients were compared with those of patients who had received ICI therapy but did not develop T1D (non-T1D) and control subjects.
- Programmed death-ligand 1 expression on islets decreased in non-T1D and almost completely disappeared in ICI-related T1D, while it was observed in control subjects. Patients with ICI-related T1D had T1D-susceptible HLA haplotypes and islet inflammation.
- ICIs may decrease programmed death-ligand 1 expression on islets, and its absence and additional islet inflammation in genetically susceptible patients can lead to development of T1D.

**$\beta$ -cells, genetic susceptibility, and infiltration of macrophages as well as T lymphocytes around islets might be responsible for T1D onset.**

<sup>1</sup>Department of Metabolic Medicine, Graduate School of Medicine, Osaka University, Suita, Japan

<sup>2</sup>Department of Diabetes Care Medicine, Graduate School of Medicine, Osaka University, Suita, Japan

<sup>3</sup>Yoneda Clinic, Osaka, Japan

<sup>4</sup>Department of Community Medicine, Graduate School of Medicine, Osaka University, Suita, Japan

<sup>5</sup>Department of Lifestyle Medicine, Graduate School of Medicine, Osaka University, Suita, Japan

<sup>6</sup>Division of Endocrinology and Metabolism, Otemae Hospital, Osaka, Japan

<sup>7</sup>Department of Diabetes & Endocrinology, Saitama Red Cross Hospital, Saitama, Japan

<sup>8</sup>Department of Urology, Graduate School of Medicine, Osaka University, Suita, Japan

<sup>9</sup>Department of Gastroenterological Surgery, Graduate School of Medicine, Osaka University, Suita, Japan

<sup>10</sup>Department of Respiratory Medicine and Clinical Immunology, Graduate School of Medicine, Osaka University, Suita, Japan

<sup>11</sup>Department of Pathology, Graduate School of Medicine, Osaka University, Suita, Japan

Immune checkpoint proteins, such as cytotoxic T lymphocyte antigen 4 (CTLA-4) and programmed death-ligand 1 (PDL-1), negatively regulate T-cell activation and maintain self-tolerance (1). Inhibition of these pathways leads to activation of the immune system and can stimulate immune responses against tumor cells (2,3). However, immune checkpoint inhibitors (ICIs) can also cause immune-related adverse events in some patients, including hypophysitis, thyroiditis, and type 1 diabetes (T1D) (4).

There have been many clinical case reports and case-based systematic reviews of ICI-related T1D (5,6). However, there has been little information about the pancreatic histopathology of ICI-related T1D. We first in the world immunohistochemically analyzed the pancreas of a patient who developed T1D after administration of anti-CTLA-4 and anti-programmed death (PD)-1 antibodies (7) and found  $\beta$ -cell depletion, infiltration of T cells into islets, negative expression of PD-L1 on  $\beta$ -cells, and low expression levels of PD-L1 on  $\alpha$ -cells. However, there have been few reports in the literature on the pancreas tissue of ICI-related T1D, and whether these histological findings are characteristic of ICI-related T1D remains unclear. The purpose of this study was to clarify, by newly examining pancreatic tissues of those who did not develop T1D after receiving ICI (non-T1D patients), the differences as well as the similarities in histological findings between ICI-related T1D and non-T1D patients.

## RESEARCH DESIGNS AND METHODS

### Subjects

We enrolled three ICI-related T1D patients, three non-T1D patients, and seven control subjects. Two autopsy case patients with ICI-related T1D were entered into our research (cases 1 and 2), and their pancreases were immunohistochemically analyzed in addition to the previously reported surgical case patient with T1D (case 3) (7). One of the non-T1D case patients was a case of surgical resection (case 4), and two of the non-T1D case patients were autopsy cases (cases 5 and 6). The clinical course of case 6 was previously reported (8). Control subjects were individuals who were diagnosed with normal glucose tolerance preoperatively and had undergone pancreatic resection between 2008 and 2013 in Osaka University Hospital. All control samples were included in our previous study (9). The case presentations as well as the clinical characteristics and laboratory data of the ICI-related T1D patients are shown in Supplementary Materials and Table 1. The clinical characteristics and laboratory data of the non-T1D

patients and the control subjects are also shown in Supplementary Tables 1 and 2, respectively.

### Pancreatic Tissue Processing

Normal pancreatic tissues obtained from surgery and autopsy were fixed immediately in formaldehyde, embedded in paraffin for subsequent analysis, and then cut into 5- $\mu$ m-thick sections. The tissues examined in this study were confirmed to be noncancerous lesions and not adjacent to the tumor by hematoxylin and eosin staining.

### Immunohistochemistry

The primary and secondary antibodies and chromogenic substrates used in the current study are listed in Supplementary Table 3.

As a surrogate for  $\alpha$ -cell mass and  $\beta$ -cell mass, we evaluated relative  $\alpha$ -cell area and relative  $\beta$ -cell area, which was determined by the proportion of glucagon-positive cell area or insulin-positive cell area in the entire pancreatic section, using the indirect immunoperoxidase technique. We used anti-glucagon and anti-insulin Igs primary antibodies and biotinylated Igs secondary antibodies. The reactions were developed with an avidin-biotin complex and a 3,3'-diaminobenzidine tetrahydrochloride substrate, followed by methyl green counterstaining.

To evaluate the PD-L1 expression and the infiltration of inflammatory cells in pancreatic section tissue, we performed double immunofluorescence staining with glucagon or insulin and PD-L1 or cell surface markers. We identified CD3-positive cells as T lymphocytes, CD68-positive cells as macrophages, CD4-positive cells as helper T lymphocytes, and CD8-positive cells as cytotoxic T lymphocytes. Pancreatic sections were incubated with anti-PD-L1, CD3, CD68, CD4, or CD8 Igs as primary antibodies and biotinylated Igs as secondary antibodies, followed by streptavidin Alexa Fluor 488 conjugate. Sections were then incubated with anti-glucagon or anti-insulin, followed by Alexa Fluor 546- or 555-conjugated Igs. We observed the pancreatic tissues using an optical microscope and a fluorescence microscope (BX53; Olympus, Tokyo, Japan).

### Morphometric Analysis

We basically analyzed one section per block, and one to three sections were analyzed in each case patient, depending on the number of blocks that we could obtain (cases 1–4: 3 blocks, case 5: 2 blocks, case 6: 1 block).

The relative  $\beta$ -cell or  $\alpha$ -cell area was quantified digitally using the WinROOF software program (10).

<sup>12</sup>Department of Internal Medicine (I), Faculty of Medicine, Osaka Medical and Pharmaceutical University, Takatsuki, Japan

Corresponding author: Junji Kozawa, [kjunji@endmet.med.osaka-u.ac.jp](mailto:kjunji@endmet.med.osaka-u.ac.jp)

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**Table 1—Clinical characteristics and laboratory data in ICI-related T1D patients**

	Case 1	Case 2	Case 3
Age at onset of T1D (years)	84	55	55
Sex	Male	Male	Male
Cancer of primary origin	Urothelial carcinoma	Hodgkin lymphoma	Renal cell carcinoma
ICI	Pembrolizumab	Nivolumab	Ipilimumab/nivolumab
Doses, <i>n</i>	2	5	4 / 9
Duration from first administration to T1D onset (month)	1	6	5
Plasma glucose (mmol/L)	62.4	18.8	36.5
Hemoglobin A <sub>1c</sub> (mmol/mol)	49	87	71
Glycoalbumin (%)	18.7	29.8	33.5
Serum C-peptide (nmol/L)	<0.02	0.04	0.60—<0.03
Urine C-peptide (μg/day)	<0.3	1.40	5.6
Atrial blood pH	6.827	NA	7.163
Acetoacetic acid (μmol/L)	2,144	NA	3,060
3-Hydroxybutyrate (μmol/L)	5,281	NA	7,930
Amylase (IU/L)*	1,109 (44–132)	53 (44–132)	108 (44–132)
Lipase (IU/L)*	368 (11–59)	45 (11–53)	118 (11–53)
Elastase-1 (ng/dL)*	1,280 (0–300)	187 (0–300)	NA (0–300)
Anti-GAD (units/mL)	>2,000	6.8 → —	—
Anti-IA2 (units/mL)	1.3	—	—
Anti-IAA antibodies (units/mL)	NA	—	—
HLA	DRB1-DQB1*04:05- *04:01/*08:02-*03:02	A24, A26 B39, B51 DR4, DR14	DRB1-DQB1*11:01-*03:01/ *09:01-*03:03
Other immune-related adverse events	—	Destructive thyroiditis	—
Duration from T1D onset to death or pancreatectomy (month)	1	12	24
Extraction method	Autopsy	Autopsy	Total pancreatectomy
Collected parts of pancreas ( <i>n</i> blocks)	Head (1)/body (1)/tail (1)	Tail (3)	Head (1)/body (1)/tail (1)
Pancreatic weight (g)	NA	NA	NA

IAA, insulin autoantibody; NA, not available. \*Values in parentheses indicate standard values in each facility.

To evaluate the infiltration of inflammatory cells into islets, islets stained with glucagon and >100 μm in diameter were examined, and we regarded inflammatory cells found in the islet periphery and throughout the islet parenchyma as “infiltrating” (11).

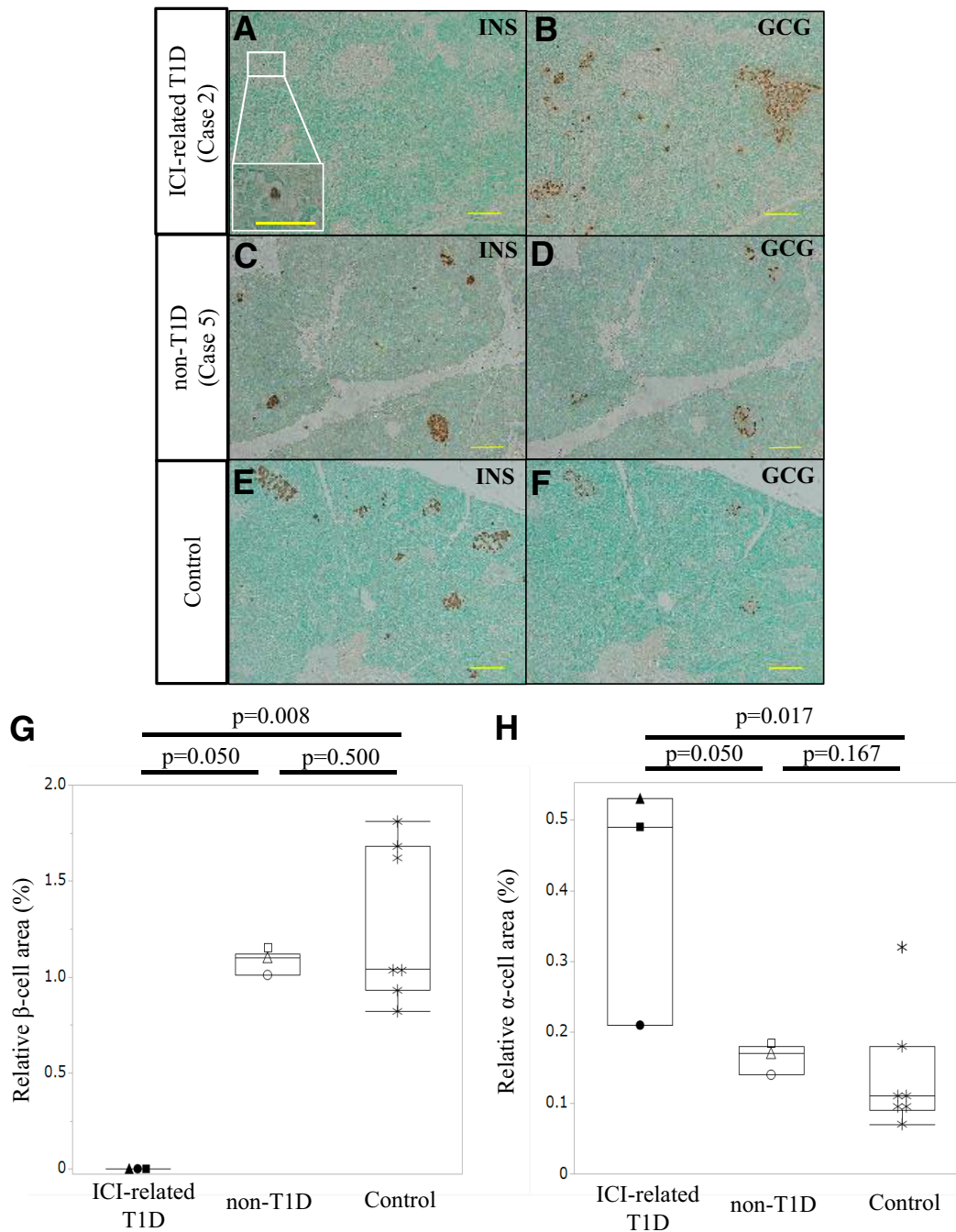
To assess the immunofluorescent intensity of PD-L1 on islets, islets >100 μm in diameter were examined. We observed pancreatic tissues in seven sections of four paraffin blocks for β-cells in each ICI-related T1D patient. We randomly selected up to 20 islets for α-cells in each ICI-related T1D patient and for β-cells and α-cells in each control subject or non-T1D patient. We judged PD-L1 was positive when the immunofluorescent intensity of β-cells or α-cells was increased compared with that of the exocrine region. Numbers of the cells coexpressing PD-L1 and insulin or glucagon were divided by total numbers of insulin- or glucagon-positive cells and were presented as a percentage.

### Statistical Analysis

Data for the same patient were considered to be normally distributed and summarized by the mean ± SD. When comparing between groups, the mean value of each patient was used as the representative value, and the representative value was regarded as a nonnormal distribution and summarized by the median and interquartile range. All tests were deemed to be exploratory. No adjustment for multiplicity was performed. Group comparisons were done by the exact Wilcoxon test. Differences were considered statistically significant when  $P \leq 0.05$ . All statistical analyses were performed with JMP Pro 15 software (SAS Institute, Cary, NC).

### Study Approval

The study protocol was approved by the Osaka University Ethics Committee and was conducted according to the



**Figure 1**—Residual  $\alpha$ -cells and  $\beta$ -cells. Representative images of chromogenic staining for insulin (INS) or glucagon (GCG) shows immunohistochemical analyses in an ICI-related T1D patient (case 2) (A and B), a non-T1D patient (case 5) (C and D), and a control subject (E and F). Chromogenic staining for insulin (A, C, and E) and glucagon (B, D, and F) in serial sections (case 2: A and B, case 5: C and D, control: E and F). Scale bars: 100  $\mu$ m (A and B), 50  $\mu$ m (A, inset bar), and 200  $\mu$ m (C–F). Comparison of the relative  $\beta$ -cell area (G) and relative  $\alpha$ -cell area (H) among the three groups. Asterisks (\*), control subjects; closed circle (●), case 1; closed triangle (▲), case 2; closed square (■), case 3; open circles (○), case 4; open triangle (△), case 5; open square (□), case 6.

principles of the Declaration of Helsinki. All patients provided informed consent before their participation (approval number 13279-7, 17459-4).

**Data and Resource Availability**

All data generated or analyzed during this study are included in the published article and its online supplementary files.

No applicable resources were generated or analyzed during the current study.

**RESULTS**

**Residual  $\beta$ -Cells and  $\alpha$ -Cells**

We calculated the relative  $\beta$ -cell area and relative  $\alpha$ -cell area in the three groups (Supplementary Table 4). Figure 1A–F

shows representative images of insulin and glucagon staining in an ICI-related T1D patient (case 2), a non-T1D patient (case 5), and a control subject. Only a few single  $\beta$ -cells were present in case 2 (Fig. 1A) compared with case 5 (Fig. 1C) and the control subject (Fig. 1E), while  $\alpha$ -cells were observed throughout the pancreatic section in case 2 (Fig. 1B), case 5 (Fig. 1D), and the control subject (Fig. 1F). The relative  $\beta$ -cell area in the ICI-related T1D patients was significantly lower than that in the non-T1D patients ( $P = 0.050$ ) and the control subjects ( $P = 0.008$ ), while there was no difference between that in the non-T1D patients and control subjects ( $P = 0.500$ ) (Fig. 1G). The relative  $\alpha$ -cell area in the ICI-related T1D patients was significantly higher than that in the non-T1D patients ( $P = 0.050$ ) and the control subjects ( $P = 0.017$ ), while there was no difference between that in the non-T1D patients and control subjects ( $P = 0.167$ ) (Fig. 1H).

### Infiltration of Inflammatory Cells

Next, we evaluated the infiltration of inflammatory cells in pancreatic tissues (Supplementary Table 5). Figure 2A–N shows representative images depicting inflammatory cell infiltration into islets in an ICI-related T1D patient (case 1), a non-T1D patient (case 4), and a control subject. In case 1, many CD3-positive cells (mainly CD8-positive cells) were observed to and around islets (Fig. 2A, C, and D), and a few CD68-positive cells were present around islets (arrow) (Fig. 2B), compared with control subjects (Fig. 2K–N). Several CD3-positive cells infiltrated around single  $\beta$ -cells (Fig. 2E and F). The lobular distribution of insulinitis, which had been reported in recent-onset T1D (12), was not observed, and the insulinitis was observed throughout the pancreas. In case 4, many CD3-positive cells (mainly CD8-positive cells) were observed to and around islets (Fig. 2G, I, and J), but almost no CD68-positive cells were present (Fig. 2H). The numbers of infiltrating CD3-positive cells in the ICI-related T1D and the non-T1D patients were significantly higher than those in the control subjects ( $P = 0.008$ ,  $P = 0.008$ ), while there was no difference between those in the ICI-related T1D and non-T1D patients ( $P = 0.350$ ) (Fig. 2O). The numbers of infiltrating CD68-positive cells in the ICI-related T1D and the non-T1D patients were significantly higher than those in the control subjects ( $P = 0.008$ ,  $P = 0.025$ ), and those in the ICI-related T1D patients were significantly higher than those in the non-T1D patients ( $P = 0.050$ ) (Fig. 2P). The number of infiltrating CD8-positive cells to and around each islet was higher than the number of infiltrating CD4-positive cells both in the ICI-related T1D and the non-T1D patients (Supplementary Table 5).

### Expression of PD-L1 on $\beta$ -Cells and $\alpha$ -Cells

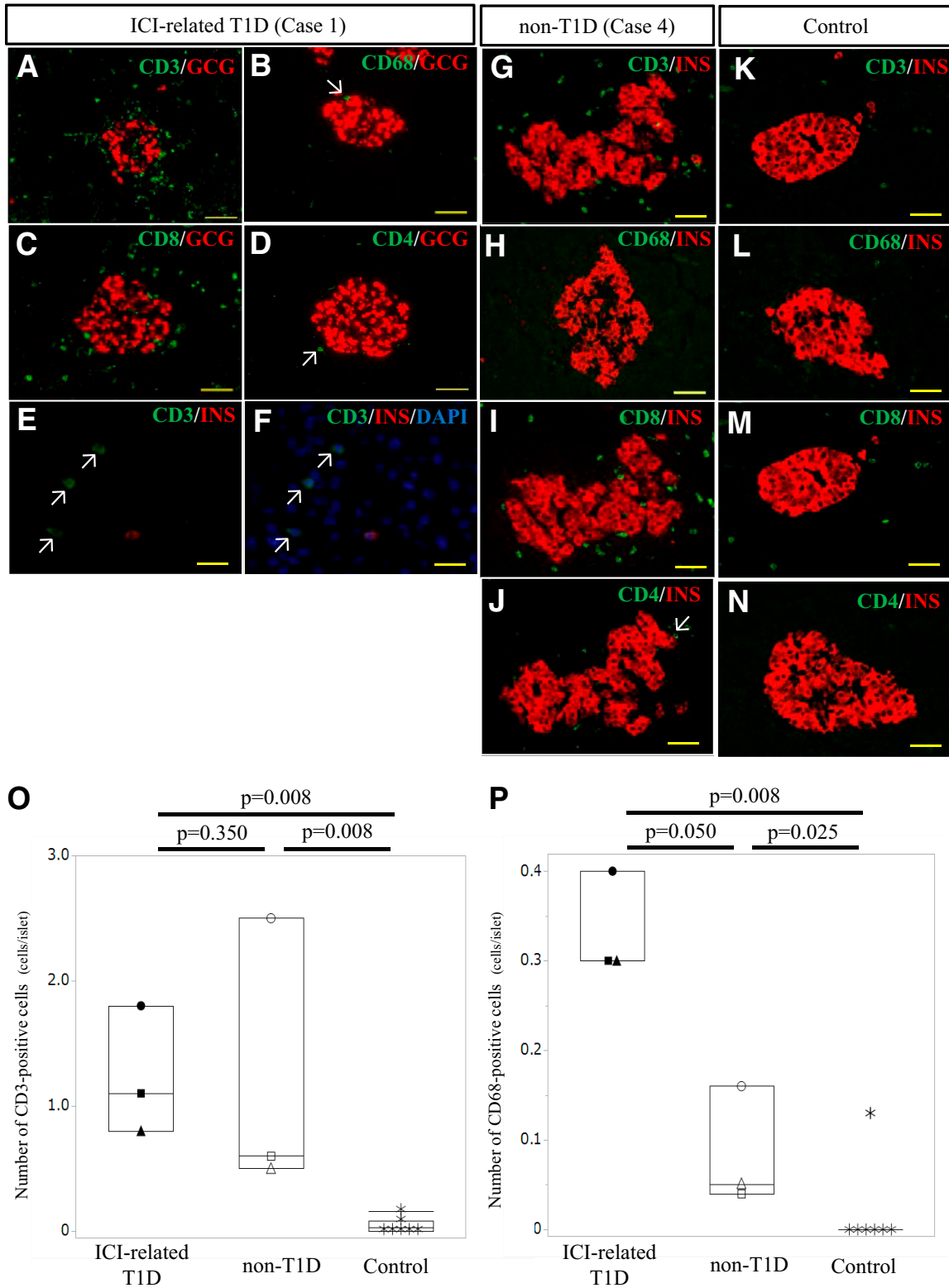
Finally, we examined the PD-L1 immunofluorescent intensity on  $\beta$ -cells and  $\alpha$ -cells (Supplementary Table 6). Figure 3A–R shows representative images depicting PD-L1 immunofluorescent intensity on islets in an ICI-related T1D patient (case 1), a non-T1D patient (case 6), and a

control subject. Almost all  $\beta$ -cells and  $\alpha$ -cells expressed PD-L1 in the control subject (Fig. 3M–O and P–R), but no residual  $\beta$ -cells and only a few  $\alpha$ -cells expressed PD-L1 in the ICI-related T1D patient (Fig. 3A–C and D–F), while a fraction of  $\beta$ -cells and  $\alpha$ -cells faintly expressed PD-L1 in the non-T1D patient (Fig. 3G–I and J–L). The ratios of PD-L1 immunofluorescent intensity on  $\beta$ -cells, both in the ICI-related T1D and the non-T1D patients, were significantly lower than those in the control subjects ( $P = 0.008$ ,  $P = 0.008$ ), and those in the ICI-related T1D patients were significantly lower than those in the non-T1D patients ( $P = 0.050$ ) (Fig. 3S). The ratios of PD-L1 immunofluorescent intensity on  $\alpha$ -cells, both in the ICI-related T1D and the non-T1D patients, were significantly lower than those in the control subjects ( $P = 0.008$ ,  $P = 0.008$ ), and those in the ICI-related T1D patients were significantly lower than those in the non-T1D patients ( $P = 0.050$ ) (Fig. 3T).

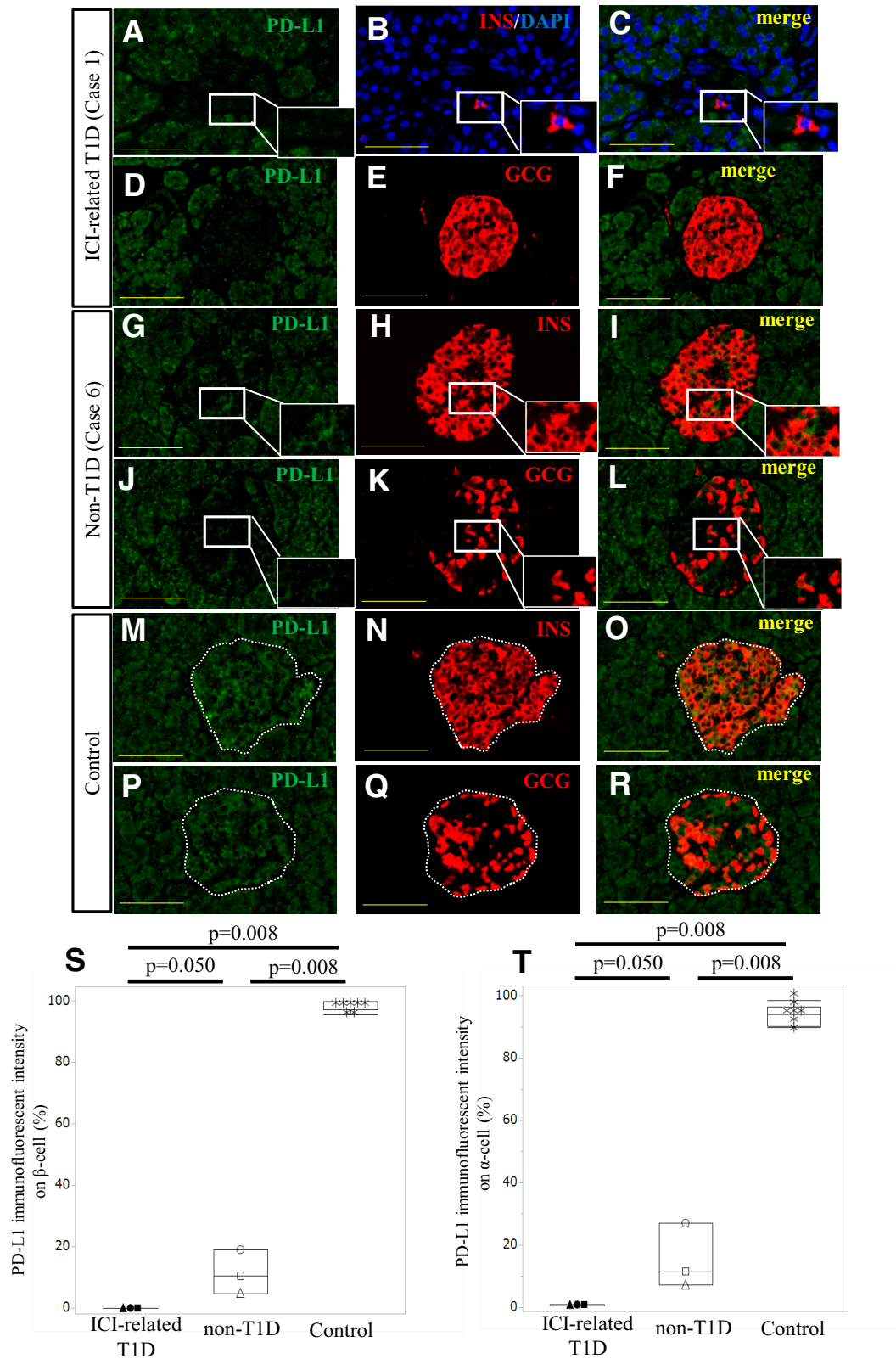
### DISCUSSION

We confirmed the depletion of  $\beta$ -cell area, the increase of  $\alpha$ -cell area, and the infiltration of macrophages as well as T lymphocytes to and around the islets in the ICI-related T1D patients. We also revealed the absence of PD-L1 expression on residual  $\beta$ - and  $\alpha$ -cells in these patients, which is consistent with the results of our previous report (7) and another report (13). In addition, surprisingly, we revealed that the PD-L1 expression levels on  $\beta$ - and  $\alpha$ -cells were also reduced in the non-T1D patients compared with subjects without diabetes without ICI therapy whose PD-L1 immunostaining was positive in this study and was also similar to our previous report (7), although another study showed that it was weakly positive (14). This is the first finding in the world and suggests that ICI therapy itself could affect PD-L1 expression levels on normal islet cells, although the mechanism remains to be elucidated. PD-L1-deficient NOD mice presented early onset of diabetes (15,16). Furthermore, PD-L1 expression was upregulated in residual  $\beta$ -cells under autoimmune responses in NOD mice (17). Hence, PD-L1 may exhibit a protective role against  $\beta$ -cells exposed to islet inflammation. In humans, residual  $\beta$ -cells also exhibited higher PD-L1 expression in T1D patients compared with that in control subjects (14), which was different from the result of ICI-related T1D patients in the current study. The complete absence of PD-L1 expression on  $\beta$ -cells in the ICI-related T1D patients might be partly responsible for rapid  $\beta$ -cell destruction. In addition, despite the absence of PD-L1 expression also in  $\alpha$ -cells in ICI-related T1D patients,  $\alpha$ -cell volume was preserved. This may indicate that infiltrating macrophages and lymphocytes selectively target  $\beta$ -cells.

In the ICI-related T1D patients, both T lymphocytes, especially CD8-positive T lymphocytes, and macrophages infiltrated to and around islets. T lymphocytes predominantly infiltrated to and around islets rather than macrophages; however, this T-lymphocyte infiltration was also



**Figure 2**—Inflammatory cell infiltration to and around islets and a single β-cell. Representative images of immunofluorescence staining for cell surface markers show immunohistochemical analyses in an ICI-related T1D patient (case 1) (A–F), a non-T1D patient (case 4) (G–J), and a control subject (K–N). Double immunofluorescence staining for glucagon (GCG, red) (A–D) or insulin (INS, red) (E–N), and CD3 (green) (A, E–G, and K), CD68 (green) (B, H, and L), CD8 (green) (C, I, and M), or CD4 (green) (D, J, and N) with DAPI (blue) in case 1 (A–F), case 4 (G–J), and control (K–N). Scale bars: 50 μm (A–D and G–N) and 15 μm (E and F). The arrows point to inflammatory cells around islets and a single β-cell. Comparison of the number of infiltrating CD3-positive cells (O) and CD68-positive cells (P) around each islet among the three groups. Asterisks (\*), control subjects; closed circle (●), case 1; closed triangle (▲), case 2; closed square (■), case 3; open circles (○), case 4; open triangle (△), case 5; and open square (□), case 6.



**Figure 3**—Expression of PD-L1 on islets. Representative images of immunofluorescence staining for PD-L1 show immunohistochemical analyses in an ICI-related T1D patient (case 1) (A–F), a non-T1D patient (case 6) (G–L), and a control subject (M–R). Double immunofluorescence staining for PD-L1 (green) (A, C, D, F, G, I, J, L, M, O, P, and R) and insulin (INS; red) (B, C, H, I, N, and O), glucagon (GCG; red) (E, F, K, L, Q, and R), with DAPI (blue) in case 1 (A–F), case 6 (G–L), and a control subject (M–R). Scale bars: 50  $\mu$ m (A–R). Comparison of PD-L1 immunofluorescent intensity on  $\beta$ -cells (S) and on  $\alpha$ -cells (T) among the three groups. Asterisks (\*), control subjects; closed circle (●), case 1; closed triangle (▲), case 2; closed square (■), case 3; open circles (○), case 4; open triangle (△), case 5; open square (□), case 6.

similarly observed in non-T1D patients. This reason is unknown, and more detailed studies will be needed to assess T-cell clonality and T-cell phenotype. On the other hand, the number of infiltrating macrophages was higher in the ICI-related T1D patients than in the non-T1D patients. Macrophage infiltration was reported to be associated with the development of T1D after administration of anti-PD-L1 or anti-PD-1 antibodies in a NOD mouse model (18,19), suggesting that macrophages might also play an important role in the development of ICI-related T1D in humans.

In the current study, we revealed the marked reduction of  $\beta$ -cell area and the increase of  $\alpha$ -cell area in the ICI-related T1D patients. The degree of  $\beta$ -cell destruction in ICI-related T1D patients was similar to that in fulminant T1D patients (20). However, the increase of  $\alpha$ -cell area has not been observed in established T1D patients (20,21). In addition to the pancreatic atrophy after ICI-related T1D onset (22), the unchanged Ki67-positive labeling index of  $\alpha$ -cells (data not shown) suggests that the increase in  $\alpha$ -cell area might be a relative increase rather than a true increase.

Furthermore, susceptible HLA may also have a significant impact on the development of ICI-related T1D. In this study, all three patients with ICI-related T1D had T1D-susceptible HLA (case 1: DRB1\*04:05-DQB1\*04:01 [23], case 2: DR4 [23], case 3: DRB1\*09:01-DQB1\*03:03 [23]) (Table 1). On the other hand, case 4 (non-T1D), who is still alive and has not developed T1D for >2 years, has one susceptible HLA (DRB1\*09:01-DQB1\*03:03 [23]) and one resistant HLA (DRB1\*08:03-DQB1\*06:01 [23]) (Supplementary Table 1). Resistant HLA is more dominant than susceptible factors (24). Therefore, having only susceptible HLA could elicit an immune response to  $\beta$ -cells.

There were some limitations in this study. First, this study included surgical cases and autopsy cases. Pancreatic tissues of autopsy cases may be less stained than those of surgical cases because of pancreatic autolysis due to the postmortem interval (25), and the results should be interpreted with caution. However, there was no clear difference in staining under these two conditions in this study.

Second, the effect of ICI on PD-L1 expression on islets needs to be carefully discussed because there was no comparison of the PD-L1 immunofluorescent intensity between pre- and postadministration of ICI in this study. However, our previous report showed that PD-L1 expression was strongly decreased in islets of NOD mice receiving ICI, while the expression was observed in islets of NOD mice not receiving ICI (19). This may support our hypothesis that ICI therapy itself could decrease PD-L1 expression levels on normal islet cells.

Finally, we could not analyze monoclonality of T-lymphocytes infiltrating into the pancreas in both ICI-related T1D patients and non-T1D patients. All of our samples were formalin fixed and paraffin blocked; therefore, all samples had fragmented RNA and low RNA yield. More detailed studies,

including T-cell repertoire analysis, would be needed to assess T-lymphocyte clonality and phenotype.

In conclusion, we revealed the reduced expression of PD-L1 in pancreatic islets in response to ICI therapy, which is one of the novel findings of this study. In addition, the absence of PD-L1 expression on  $\beta$ -cells, infiltration of macrophages as well as T lymphocytes to and around the islets, and possession of T1D-susceptible HLA may lead to the development of ICI-related T1D.

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**Duality of Interest.** No potential conflicts of interest relevant to this article were reported.

**Author Contributions.** S.K. researched the data and wrote the manuscript. J.K., S.Y., and Y.F. contributed to the discussion and reviewed and edited the manuscript. R.K.-T. researched the data of  $\alpha$ -cell area. T.K., Y.H., and M.Y.B. contributed to the discussion. S.U. and R.U. provided the dissected pancreatic tissues of case 2. K.N. provided the dissected pancreatic tissues of case 1. Y.K. and M.U. contributed to immunohistochemistry of PD-L1 staining. Y.T. and H.E. provided the resected pancreatic tissues of case 3, case 4, and the control subjects. H.H., S.N., and E.M. provided the dissected pancreatic tissues of case 6. A.I. contributed to the discussion and provided the dissected pancreatic tissues of case 5. I.S. contributed to the discussion and reviewed the manuscript. J.K. is the guarantor of this work and, as such, had full access to all the data in the study and takes responsibility for the integrity of the data and the accuracy of the data analysis.

**Prior Presentation.** Parts of this study were presented in abstract form at the 65th Annual Meeting of the Japan Diabetes Society, Kobe, Japan, 12–14 May 2022.

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