

# Pulmonary Arterial Hypertension Patients Have a Proinflammatory Gut Microbiome and Altered Circulating Microbial Metabolites

Daphne M. Moutsoglou<sup>1</sup>, Jasmine Tatak<sup>2</sup>, Sasha Z. Prisco<sup>2</sup>, Kurt W. Prins<sup>2</sup>, Christopher Staley<sup>3</sup>, Sharon Lopez<sup>1</sup>, Madelyn Blake<sup>2</sup>, Levi Teigen<sup>1</sup>, Felipe Kazmirczak<sup>2</sup>, E. Kenneth Weir<sup>2</sup>, Amanda J. Kabage<sup>1</sup>, Weihua Guan<sup>4</sup>, Alexander Khoruts<sup>1</sup>, and Thenappan Thenappan<sup>2</sup>

<sup>1</sup>Division of Gastroenterology, Hepatology, and Nutrition, <sup>2</sup>Division of Cardiovascular Medicine, Department of Medicine, <sup>3</sup>Division of Basic and Translational Research, Department of Surgery, and <sup>4</sup>Division of Biostatistics, School of Public Health, University of Minnesota, Minneapolis, Minnesota

ORCID IDs: 0000-0002-4071-3756 (D.M.M.); 0000-0001-6414-2049 (J.T.); 0000-0002-9059-0635 (S.Z.P.); 0000-0002-0364-6742 (K.W.P.); 0000-0002-2309-0083 (C.S.); 0000-0002-1744-4447 (S.L.); 0000-0002-6802-9470 (M.B.); 0000-0002-6409-0485 (L.T.); 0000-0001-8998-7259 (F.K.); 0000-0002-6538-4143 (E.K.W.); 0000-0003-3519-7416 (A.J.K.); 0000-0002-0956-9821 (W.G.); 0000-0002-3205-3188 (A.K.); 0000-0002-6210-0467 (T.T.).

## Abstract

**Rationale:** Inflammation drives pulmonary arterial hypertension (PAH). Gut dysbiosis causes immune dysregulation and systemic inflammation by altering circulating microbial metabolites; however, little is known about gut dysbiosis and microbial metabolites in PAH.

**Objectives:** To characterize the gut microbiome and microbial metabolites in patients with PAH.

**Methods:** We performed 16S ribosomal RNA gene and shotgun metagenomics sequencing on stool from patients with PAH, family control subjects, and healthy control subjects. We measured markers of inflammation, gut permeability, and microbial metabolites in plasma from patients with PAH, family control subjects, and healthy control subjects.

**Measurements and Main Results:** The gut microbiome was less diverse in patients with PAH. Shannon diversity index correlated with measures of pulmonary vascular disease but not with right ventricular function. Patients with PAH had a distinct

gut microbial signature at the phylogenetic level, with fewer copies of gut microbial genes that produce antiinflammatory short-chain fatty acids (SCFAs) and secondary bile acids and lower relative abundances of species encoding these genes. Consistent with the gut microbial changes, patients with PAH had relatively lower plasma concentrations of SCFAs and secondary bile acids. Patients with PAH also had enrichment of species with the microbial genes that encoded the proinflammatory microbial metabolite trimethylamine. The changes in the gut microbiome and circulating microbial metabolites between patients with PAH and family control subjects were not as substantial as the differences between patients with PAH and healthy control subjects.

**Conclusions:** Patients with PAH have proinflammatory gut dysbiosis, in which lower circulating SCFAs and secondary bile acids may facilitate pulmonary vascular disease. These findings support investigating modulation of the gut microbiome as a potential treatment for PAH.

**Keywords:** pulmonary arterial hypertension; microbiome; dysbiosis; metabolites

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Correspondence and requests for reprints should be addressed to Thenappan Thenappan, M.D., Section of Advanced Heart Failure and Pulmonary Hypertension, Cardiovascular Division, University of Minnesota, Minneapolis, MN 55347. E-mail: tthenapp@umn.edu.

This article has a related editorial.

This article has an online supplement, which is accessible from this issue's table of contents at [www.atsjournals.org](http://www.atsjournals.org).

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## At a Glance Commentary

### Scientific Knowledge on the

**Subject:** There is gut dysbiosis in pulmonary arterial hypertension.

### What This Study Adds to the

**Field:** This study provides a mechanistic link between gut dysbiosis, systemic inflammation, and pulmonary arterial hypertension (PAH). Using complementary 16S rRNA and shotgun metagenomic sequencing of stool samples, this study shows that PAH patients have reduced relative abundances of gut bacteria that contain encoding genes for the production of anti-inflammatory metabolites (short-chain fatty acids and secondary bile acids) and increased relative abundances of gut bacteria that contain encoding genes for the production of trimethylamine, a proinflammatory metabolite. Consistent with these gut microbial changes, using targeted metabolomics, this study reveals that PAH patients also have reduced plasma levels of anti-inflammatory short-chain fatty acids and secondary bile acids, which may promote pulmonary vascular disease.

Perivascular inflammation plays a critical role in driving pulmonary vascular remodeling that leads to pulmonary arterial hypertension (PAH) (1). However, the mechanisms that initiate and perpetuate immune dysregulation and perivascular inflammation in PAH remain unclear.

One potential driver of systemic inflammation and a trigger of PAH is an altered gut microbiome, known as gut dysbiosis (2). Failure of the gut microbiota to produce antiinflammatory metabolites, such as short-chain fatty acids (SCFAs), or excessive production of potential toxins, such as hydrogen sulfide, can contribute to a weakened gut barrier and increased circulating concentrations of microbial ligands for microbe-associated molecular patterns (3). In addition, altered composition of products of gut microbiota metabolism, such as secondary bile acids and trimethylamine, can drive systemic immune dysregulation (2, 3). Experimental models of PAH exhibit an altered intestinal microbial

community structure (4), while antibiotic treatment and transfer of fecal matter in rats prevents pulmonary vascular remodeling (5, 6). However, little is known about the composition or metabolic output of gut microbiota in patients with PAH (7).

We sought to characterize the gut microbiome, measure systemic concentrations of cytokines and biomarkers of gut barrier function, and perform targeted metabolomics focusing on circulating microbial metabolites associated with immune regulation in deeply phenotyped patients with PAH, their family members, and healthy control subjects. Some of the results of these studies have been previously reported in the form of an abstract (8).

## Methods

### Study Participants and Procedures

We studied patients with PAH treated at the University of Minnesota Pulmonary Hypertension program between February 2019 and March 2021. PAH was defined as a mean pulmonary arterial pressure (mPAP) >20 mm Hg with a pulmonary arterial wedge pressure ≤15 mm Hg and a pulmonary vascular resistance (PVR) ≥3 Wood units. Subjects with pulmonary hypertension due to left heart disease, chronic lung disease, chronic thromboembolic disease, and other miscellaneous causes were excluded, as previously described (9). All patients gave informed consent to participate, and the study protocol was approved by the University of Minnesota Institutional Review Board.

During this study period, we included stool samples from 72 patients with PAH, 15 individuals residing with patients with PAH in the same household (family control subjects), and 39 healthy control stool donors from the University of Minnesota Microbiota Therapeutics Program (10). For plasma analysis, we included up to 83 patients with PAH, 7 family control subjects, and 24 age- and sex-matched healthy volunteers with no significant past medical problems who donated blood samples.

We collected clinical metadata, performed 16S ribosomal RNA (rRNA) gene sequencing and shotgun metagenomic sequencing on stool samples, and measured plasma markers of gut permeability, inflammation, and microbial metabolites in patients with PAH, family control subjects, and healthy control subjects.

See the online supplement for detailed methods.

### Statistical Analysis

We report categorical variables as frequency and percentage and continuous variables as mean and SD if normally distributed and as median and interquartile range if not. To compare categorical variables, we used chi-square or Fisher exact tests. We compared continuous variables with equal variance using an unpaired *t* test (for two groups) or one-way ANOVA with Tukey *post hoc* analysis to correct for multiple comparisons (for more than two groups). We used the Wilcoxon-Mann-Whitney test (for two groups) or Kruskal-Wallis test with Dunn's multiple-comparisons test (for more than two groups) for continuous data that were not normally distributed. To compare community composition between samples ( $\beta$  diversity), analysis of similarity (11) was used with Bray-Curtis dissimilarity matrices. We compared the relative abundance of taxa that were differentially abundant among the study groups on the basis of linear discriminant analysis of effect size (LEfSe) (12). To assess the independent association of PAH with gut dysbiosis, we performed multivariable regression to adjust for age, sex, body mass index (BMI), recent antibiotic use, type of diet, and smoking status (model 1) and Charlson comorbidity index (CCI; model 2). We determined the association between gut microbiome diversity indices with measures of pulmonary vascular disease and right ventricular (RV) function using simple linear regression analysis. We performed sparse partial least square discriminant analysis of relative abundance data at the genus level of 16S rRNA data using MetaboAnalyst software (13). Hierarchical cluster analysis was conducted to compare the targeted metabolomics, gut microbial gene copies, and relative abundances of species that encode microbial genes among the study groups using MetaboAnalyst software. We used Prism 9.2.0 (GraphPad) and Stata software version 15 (StataCorp LP). A two-sided *P* value of <0.05 was considered to indicate statistical significance.

## Results

### Study Subjects

Table 1 lists the demographics and clinical metadata. Table E1 in the online supplement lists the relationship between family control

**Table 1.** Baseline Demographics and Clinical Characteristics of the Study Participants

Characteristic	Healthy Control Subjects (n = 39)	Family Control Subjects (n = 15)	Patients with PAH (n = 73)	P Value*
Age, y	33 ± 9 <sup>†‡</sup>	67 ± 13	61 ± 14	<0.0001
Female, n (%)	20 (51)	4 (27)	59 (81)	<0.0001
Body mass index, kg/m <sup>2</sup>	23 ± 3 <sup>†‡</sup>	29 ± 5	29 ± 8	<0.0001
Smoking status, n (%)				0.003
Current	0 (0)	1 (7)	1 (1)	—
Past smoker	5 (13)	3 (20)	30 (41)	—
Never-smoker	34 (87)	11 (73)	42 (58)	—
Diet, n (%)				0.255
Omnivore	34 (87)	15 (100)	70 (96)	—
Pescatarian	0 (0)	0 (0)	1 (2.6)	—
Vegetarian	0 (0)	0 (0)	2 (5.1)	—
Vegan	0 (0)	0 (0)	1 (2.6)	—
Other	3 (4.1)	0 (0)	1 (2.6)	—
Frequent infections <sup>§</sup> , n (%)	3 (8)	2 (13)	6 (8)	0.805
Antibiotic use in past 6 mo, n (%)	2 (5)	2 (13)	30 (41)	0.0001
History of food allergy, n (%)	5 (15)	1 (7)	14 (19)	0.595
Charlson comorbidity index, median (IQR)	0 (0 to 0) <sup>†‡</sup>	0 (0 to 1) <sup>  </sup>	2 (1 to 3)	0.0001
Etiology of PAH, n (%)				
Idiopathic	—	—	20 (27)	—
Connective tissue disease	—	—	35 (48)	—
Congenital heart disease	—	—	6 (8)	—
Portopulmonary hypertension	—	—	5 (7)	—
Drug induced	—	—	4 (6)	—
HIV	—	—	2 (3)	—
Pulmonary venoocclusive disease	—	—	1 (1)	—
Epidemiology, n (%)				
Prevalent	—	—	71 (97)	—
Incident	—	—	2 (3)	—
NYHA functional class, n (%)				
I	—	—	6 (8)	—
II	—	—	36 (49)	—
III	—	—	29 (40)	—
IV	—	—	2 (3)	—
6-min-walk distance, m (n = 67)	—	—	353 ± 121	—
NT-pro-BNP, pg/dl, median (IQR) (n = 73)	—	—	321 (115 to 747)	—
Right ventricular function by echocardiography				
Right ventricular fractional area change, % (n = 68)	—	—	32 ± 12	—
Right ventricular end diastolic area, mm <sup>2</sup> (n = 68)	—	—	27.5 ± 10.2	—
Right ventricular end systolic area, mm <sup>2</sup> (n = 68)	—	—	19.1 ± 9.3	—
Right ventricular global longitudinal strain, % median (IQR) (n = 62)	—	—	−21.5 (−23.6 to −18.5)	—
Right ventricular free wall strain, % median (IQR) (n = 62)	—	—	−25.9 (−28.2 to −21.2)	—
Hemodynamics				
Mean right atrial pressure, mm Hg (n = 73)	—	—	6.0 ± 4	—
Pulmonary arterial systolic pressure, mm Hg (n = 73)	—	—	61 ± 18	—
Pulmonary arterial diastolic pressure, mm Hg (n = 73)	—	—	25 ± 7	—
Mean pulmonary arterial pressure, mm Hg (n = 73)	—	—	38 ± 10	—
Pulmonary arterial wedge pressure, mm Hg (n = 73)	—	—	11 ± 5	—
Cardiac output, L/min (n = 72)	—	—	5.1 ± 1.5	—
Pulmonary vascular resistance, Wood units (n = 72)	—	—	5.3 ± 2.8	—
Pulmonary arterial compliance, ml/mm Hg (n = 72)	—	—	2.5 ± 1.2	—
REVEAL 2.0 score	—	—	6.6 ± 3.6	—
REVEAL 2.0 score category, n (%)				
High	—	—	21 (29)	—
Intermediate	—	—	13 (18)	—
Low	—	—	39 (53)	—

*Definition of abbreviations:* IQR = interquartile range; NT-proBNP = N-terminal pro-brain natriuretic peptide; NYHA = New York Heart Association; PAH = pulmonary arterial hypertension; REVEAL = Registry to Evaluate Early and Long-Term PAH Disease Management.

All continuous variables are presented as mean ± SD unless otherwise noted.

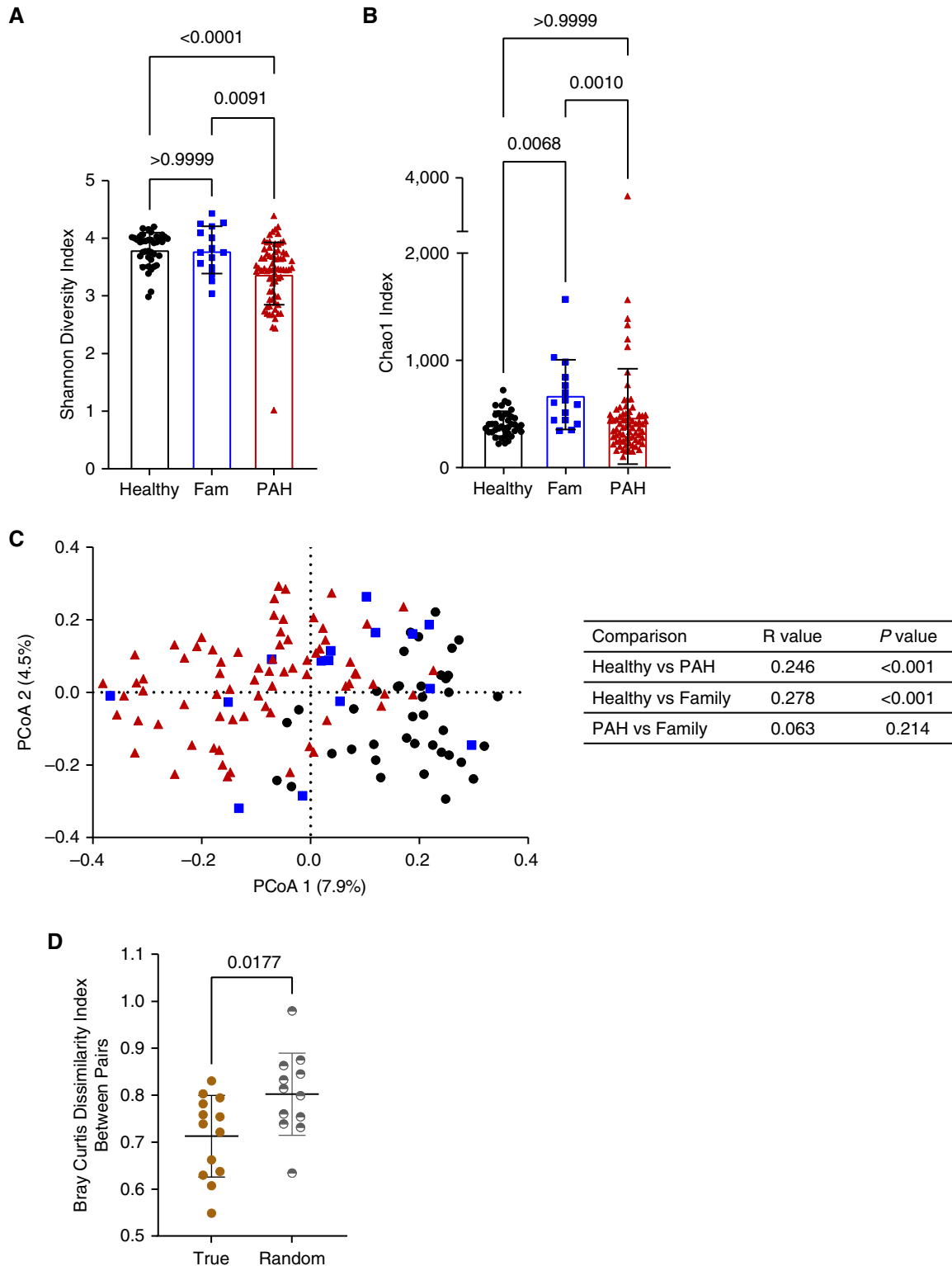
\*P value from the ANOVA/Kruskal-Wallis test comparing all three groups for continuous variables and chi-square test or Fisher exact test comparing all three groups for categorical variables.

<sup>†</sup>P < 0.05 for healthy control subjects versus patients with PAH.

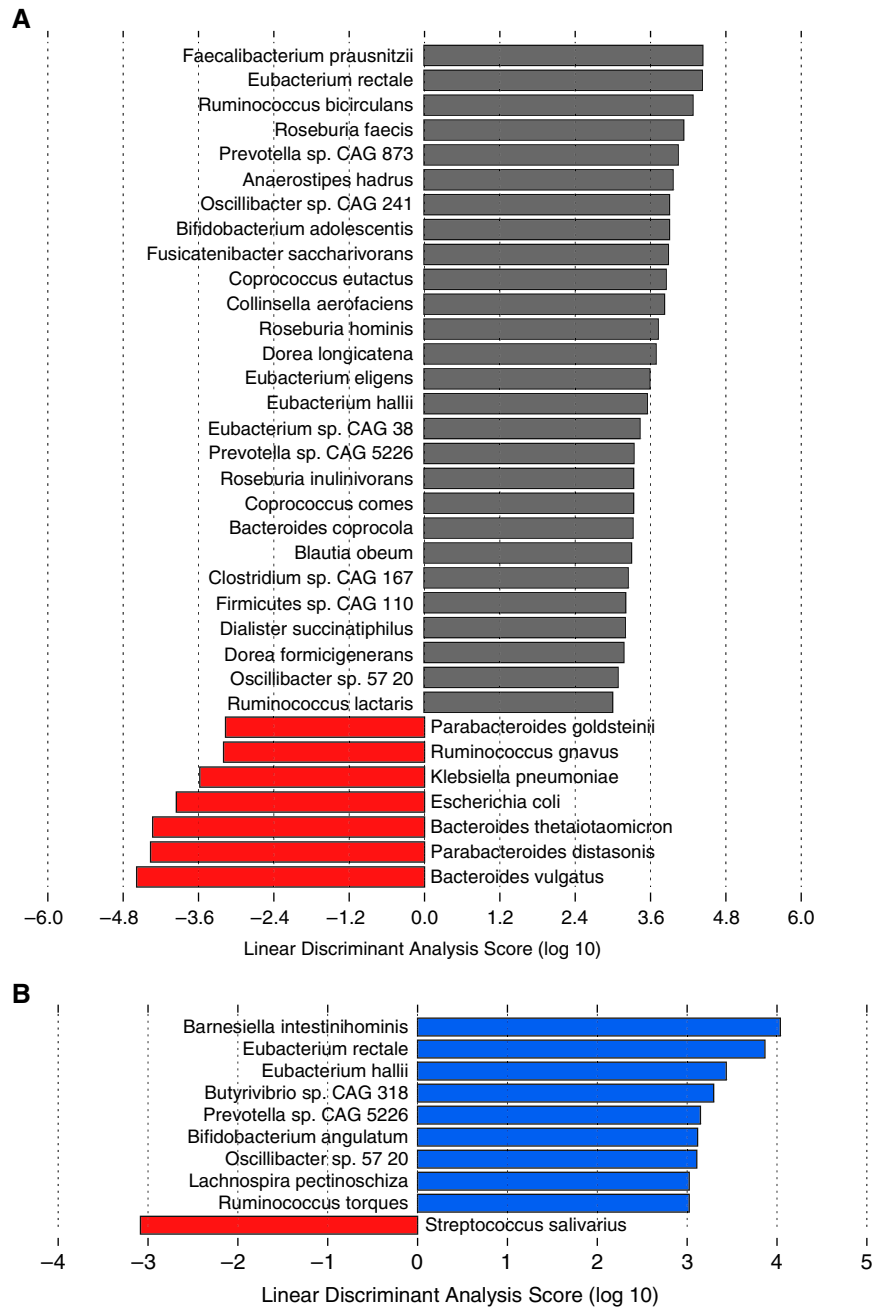
<sup>‡</sup>P < 0.05 for healthy control subjects versus family control subjects.

<sup>§</sup>Sinusitis, pharyngitis, urinary tract infection, and others, requiring three or more courses of antibiotics per year. Antibiotic use and allergy status were reported by patients and did not require a confirmed clinical diagnosis of food allergy.

<sup>||</sup>P < 0.05 for family control subjects versus patients with PAH.



**Figure 1.** Gut microbiome diversity is reduced and distinct in patients with pulmonary arterial hypertension (PAH) compared with control subjects. (A and B) Shannon diversity (A) and Chao1 (B) indices in healthy and family (Fam) control subjects and patients with PAH. (C) Bray-Curtis pairwise dissimilarity indices are shown in a PCoA plot for healthy control subjects, Fam control subjects, and patients with PAH. Analysis was performed of similarity statistics of Bray-Curtis pairwise dissimilarity indices, comparing all groups (table shows *R* and *P* values). (D) Bray-Curtis dissimilarity between PAH-Fam pairs that cohoused (true) was significantly less than the difference between randomly generated PAH-Fam pairs (random). Circles denote healthy control subjects, squares denote Fam control subjects, and triangles denote patients with PAH. PCoA = principal coordinate analysis.



**Figure 2.** Patients with pulmonary arterial hypertension (PAH) have a distinct gut microbiome signature at the phylogenetic level compared with healthy and family control subjects. (A and B) Linear discriminant analysis of effect size of shotgun metagenomic data between (A) healthy control subjects and patients with PAH and (B) family control subjects and patients with PAH. Gray bars denote healthy control subjects, blue bars denote family control subjects, and red bars denote patients with PAH. CAG = coabundance gene.

subjects and the cohousing patient with PAH. Compared with healthy control subjects, patients with PAH were older, were more often female, had higher BMI and CCI, and had more significant smoking histories and antibiotic use. Compared with family control subjects, patients with PAH had higher CCI, but there was no significant

difference in other demographics. PAH etiologies included the following: 27% idiopathic PAH (IPAH), 48% connective tissue disease-associated PAH (CTD-PAH), 8% congenital heart disease, 7% portopulmonary hypertension, 6% drug-induced PAH, 3% HIV infection, and one patient with pulmonary venoocclusive disease.

The majority were prevalent patients on PAH-specific therapies. Patients had moderate PAH, with a mPAP of  $38 \pm 10$  mm Hg, a PVR of  $5.3 \pm 2.8$  Wood units, a mean pulmonary arterial compliance of  $2.5 \pm 1.2$  ml/mm Hg, and a mean cardiac output of  $5.5 \pm 1.5$  L/min. RV function was reduced, with a mean RV fractional area



**Table 2.** Multivariable Analysis of Gut Microbial Diversity Indices in Patients with Pulmonary Arterial Hypertension

Characteristic	$\beta$ Coefficient (95% CI)	P Value
Shannon diversity index		
Model 1 (PAH: referent group)		
Family control subjects	0.33 (0.05 to 0.61)	0.021
Healthy control subjects	0.51 (0.25 to 0.78)	<0.001
Model 2 (PAH: referent group)		
Family control subjects	0.32 (0.04 to 0.60)	0.025
Healthy control subjects	0.58 (0.31 to 0.86)	<0.001
Model 3 (PAH: referent group)		
Family control subjects	0.32 (0.04 to 0.61)	0.027
Healthy control subjects	0.59 (0.30 to 0.87)	<0.001
Model 4 (PAH: referent group)		
Family control subjects	0.35 (0.06 to 0.64)	0.020
Healthy control subjects	0.61 (0.31 to 0.90)	<0.001
Model 5 (PAH: referent group)		
Family control subjects	0.36 (0.07 to 0.65)	0.015
Healthy control subjects	0.39 (0.18 to 0.62)	<0.001
Chao1 index		
Model 1 (PAH: referent group)		
Family control subjects	179.3 (−42.4 to 401.0)	0.112
Healthy control subjects	−85.7 (−296.5 to 125.2)	0.423
Model 2 (PAH: referent group)		
Family control subjects	176.7 (−46.05 to 399.4)	0.119
Healthy control subjects	−71.1 (−290.9 to 148.8)	0.524
Model 3 (PAH: referent group)		
Family control subjects	189.9 (−37.9 to 417.9)	0.102
Healthy control subjects	−51.6 (−281.9 to 178.7)	0.658
Model 4 (PAH: referent group)		
Family control subjects	180.9 (−53.1 to 415.0)	0.128
Healthy control subjects	−72.9 (−307.6 to 161.7)	0.539
Model 5 (PAH: referent group)		
Family control subjects	184.8 (−41.5 to 411.2)	0.109
Healthy control subjects	−89.0 (−259.9 to 81.9)	0.305

*Definition of abbreviations:* CI = confidence interval; PAH = pulmonary arterial hypertension. Model 1 was adjusted for age and sex. Model 2 was adjusted for model 1 plus body mass index. Model 3 was adjusted for model 2 plus smoking status. Model 4 was adjusted for model 3 plus antibiotic use. Model 5 was adjusted for Charlson comorbidity index.

change of  $32 \pm 12\%$ . The mean REVEAL (Registry to Evaluate Early and Long-Term PAH Disease Management) score was  $6.6 \pm 3.6$ , with 53%, 29%, and 18% of patients in the low-, intermediate-, and high-risk categories, respectively.

### Gut Microbiome Diversity Is Reduced and Distinct in Patients with PAH

Compared with healthy control subjects, patients with PAH had significantly reduced bacterial diversity, as measured by the Shannon diversity index (Figure 1A;  $P < 0.0001$ ), but no difference in community richness, reflected by the Chao1 index (Figure 1B). On the basis of analysis of similarity using the Bray-Curtis dissimilarity index, the gut microbiome in patients with PAH was distinct from that in healthy control subjects (Figure 1C;  $P < 0.001$ ;  $R = 0.246$ ). Compared with family control subjects, patients with PAH also had significantly

lower Shannon and Chao1 diversity (Figures 1A and 1B;  $P = 0.009$  and  $P < 0.001$ , respectively). However microbial communities from patients with PAH overlapped with those from family control subjects (Figure 1C;  $P = 0.214$ ;  $R = 0.063$ ).

One possible explanation for the greater compositional similarity between gut microbiomes of patients with PAH and family control subjects was sharing of gut microbes in their local environment (14). To test this hypothesis, we calculated the difference in Bray-Curtis dissimilarity between PAH–family pairs that cohoused (true) and randomly generated PAH–family pairs (random). The difference between true pairs was significantly less than the difference between random pairs (Figure 1D;  $P = 0.017$ ), meaning that the similarity between PAH–family pairs is likely due to cohabitation.

We obtained similar results when we compared the gut microbiomes in patients

with CTD-PAH and those with IPAH relative to healthy and family control subjects (see Figures E1A–E1C). Importantly, there were no differences in Shannon diversity, Chao1, and Bray-Curtis dissimilarity indices between patients with IPAH and those with CTD-PAH (see Figures E1A–E1C). These results suggest that patients with PAH have reduced gut microbial diversity and composition compared with healthy control subjects, with some distinct differences from family control subjects, especially those who are not cohabitating.

### Patients with PAH Have a Distinct Gut Microbiome Signature at the Phylogenetic Level

LEfSe analysis identified multiple taxonomic differences between patients with PAH and healthy control subjects. Patients with PAH had greater relative abundances of members of the phyla Bacteroidetes and Proteobacteria (see Figures E2A and E3A) and lower relative abundances of members of the phyla Firmicutes and Actinobacteria (see Figures E2A and E3A). The same pattern of greater relative abundance of Bacteroidetes was seen in the gut microbiomes of patients with PAH compared with family members (see Figures E2B and E3B).

To evaluate whether the enrichment of Bacteroidetes in patients with PAH is driven by an uneven expansion of its members, we performed a limited oligotype analysis on the basis of SNPs (15). Despite an increased relative abundance of the family Bacteroidaceae and genus *Bacteroides* in patients with PAH (see Figures E3A and E3B), the number of distinct oligotypes within these taxa was significantly reduced (see Figures E4A and E4B;  $P < 0.01$  and  $P < 0.001$ ). The number of distinct oligotypes was also significantly reduced among the Firmicutes families Ruminococcaceae and Lachnospiraceae (see Figures E4C and E4D;  $P < 0.001$  and  $P < 0.001$ ), mirroring the reduced relative abundances of these families in patients with PAH (see Figure E3A).

LEfSe analysis of shotgun metagenomic data identified multiple taxonomic differences at the species level among patients with PAH and healthy and family control subjects. The gut microbiomes of patients with PAH had greater relative abundances of several species that are generally proinflammatory (*Bacteroides thetaiotaomicron*, *Parabacteroides distasonis*, and *B. vulgatus*) (16, 17) and lower relative

**Table 3.** Comparison of the Relative Abundance of Bacterial Species Differentially Abundant in Patients with Pulmonary Arterial Hypertension Compared with Healthy Control Subjects on Linear Discriminant Analysis of Effect Size

Species Name	PAH Relative Abundance (SD)	Healthy Relative Abundance (SD)	Model 1*		Model 2†	
			β Coefficient (95% CI)	P Value	β Coefficient (95% CI)	P Value
Bacterial species enriched in patients with PAH vs. healthy control subjects						
<i>B. vulgatus</i>	10.8 (11.9)	2.9 (3.7)	7.8 (1.3 to 14.4)	0.019	9.8 (1.3 to 14.1)	<0.001
<i>P. distasonis</i>	5.3 (6.9)	0.9 (1.1)	3.9 (0.3 to 7.5)	0.035	4.4 (1.7 to 7.2)	0.002
<i>B. thetaiotaomicron</i>	4.6 (8.1)	0.6 (0.4)	2.7 (−1.4 to 6.8)	0.199	3.3 (0.2 to 6.5)	0.037
<i>E. coli</i>	2.3 (6.1)	0.1 (0.5)	0.1 (−2.9 to 3.3)	0.923	1.3 (−1.1 to 3.7)	0.299
<i>K. pneumoniae</i>	0.7 (2.8)	0 (0)	1.3 (−0.6 to 3.1)	0.178	0.8 (−0.6 to 2.1)	0.274
<i>R. gnavus</i>	0.6 (1.4)	0.4 (1.7)	−0.1 (−1.0 to 0.8)	0.806	−0.1 (−0.7 to 0.6)	0.848
<i>P. goldsteinii</i>	0.3 (1.3)	0 (0)	0.4 (−0.2 to 1.1)	0.203	0.03 (−0.5 to 0.5)	0.888
Bacterial species with reduced abundance in patients with PAH vs. healthy control subjects						
<i>F. prausnitzii</i>	1.8 (2.3)	7.3 (5.6)	−3.8 (−6.6 to −1.5)	0.002	−4.9 (−6.7 to −3.1)	<0.001
<i>E. rectale</i>	0.6 (1.2)	6.6 (8.7)	−6.2 (−9.4 to −3.0)	<0.001	−5.9 (−8.4 to −3.4)	<0.001
<i>R. bicirculans</i>	0.1 (0.5)	3.4 (7.7)	−3.1 (−5.8 to −0.4)	0.023	−3.2 (−5.2 to −1.1)	0.002
<i>R. faecis</i>	0.5 (1.4)	3.2 (4.9)	−2.4 (−4.4 to −0.5)	0.015	−2.6 (−4.0 to −1.1)	0.001
<i>Prevotella</i> sp. CAG 873	0 (0)	1.7 (9.8)	−4.8 (−8.0 to −1.6)	0.004	−1.7 (−4.3 to 0.8)	0.190
<i>R. inulinivorans</i>	0.3 (0.6)	0.7 (0.8)	−0.4 (−0.9 to −0.1)	0.067	−0.4 (−0.7 to −0.1)	0.034
<i>C. comes</i>	0.1 (0.1)	0.6 (1.1)	−0.5 (−0.9 to −0.1)	0.014	−0.6 (−0.9 to −0.3)	<0.001
<i>B. coprocola</i>	0 (0)	0.3 (1.2)	−0.3 (−0.7 to 0.2)	0.235	−0.3 (−0.6 to 0.0)	0.050
<i>B. obeum</i>	0.2 (0.2)	0.6 (0.9)	−0.5 (−0.8 to −0.1)	0.005	−0.5 (−0.7 to −0.2)	<0.001
<i>Clostridium</i> sp. CAG 167	0.1 (0.3)	0.4 (0.8)	−0.2 (−0.5 to 0.1)	0.275	−0.3 (−0.6 to −0.1)	0.009
<i>Firmicutes</i> sp. CAG 110	0.0 (0.1)	0.3 (1.3)	−0.4 (−0.9 to 0.1)	0.114	−0.3 (−0.7 to 0.1)	0.073
<i>D. succinatiphilus</i>	0 (0)	0.1 (0.5)	−0.1 (−0.3 to 0.1)	0.379	−0.1 (−0.2 to 0.1)	0.177
<i>D. formicigenerans</i>	0.1 (0.1)	0.4 (0.4)	−0.3 (−0.5 to −0.1)	<0.001	−0.3 (−0.4 to −0.2)	<0.001
<i>Oscillibacter</i> sp. 57 20	0.2 (0.6)	0.4 (0.5)	−0.3 (−0.7 to 0.1)	0.136	−0.1 (−0.4 to 0.1)	0.316
<i>R. lactaris</i>	0.1 (0.3)	0.4 (0.9)	−0.1 (−0.5 to 0.2)	0.412	−0.3 (−0.5 to 0.0)	0.060

Definition of abbreviations: *B. coprocola* = *Bacteroides coprocola*; *B. obeum* = *Blautia obeum*; *B. thetaiotaomicron* = *Bacteroides thetaiotaomicron*; *B. vulgatus* = *Bacteroides vulgatus*; CAG = coabundance gene; *C. comes* = *Coprococcus comes*; CI = confidence interval; *D. formicigenerans* = *Dorea formicigenerans*; *D. succinatiphilus* = *Dialister succinatiphilus*; *E. coli* = *Escherichia coli*; *E. rectale* = *Eubacterium rectale*; *F. prausnitzii* = *Faecalibacterium prausnitzii*; *K. pneumoniae* = *Klebsiella pneumoniae*; PAH = pulmonary arterial hypertension; *P. distasonis* = *Parabacteroides distasonis*; *P. goldsteinii* = *Parabacteroides goldsteinii*; *R. bicirculans* = *Ruminococcus bicirculans*; *R. faecis* = *Roseburia faecis*; *R. gnavus* = *Ruminococcus gnavus*; *R. inulinivorans* = *Roseburia inulinivorans*; *R. lactaris* = *Ruminococcus lactaris*.

\*Adjusted for age, sex, body mass index, smoking status, and recent antibiotic use.

†Adjusted for the Charlson comorbidity index.

abundances of species that are considered anti-inflammatory (*Faecalibacterium prausnitzii*, *Eubacterium rectale*, *Ruminococcus bicirculans*, *Roseburia* sp., and *Bifidobacterium adolescentis*) (18–22) compared with healthy control subjects (Figure 2A). Similarly, compared with family control subjects, the gut microbiome of patients with PAH had lower relative abundances of several anti-inflammatory species (*E. rectale*, *Butyrivibrio* sp., *B. angulatum*, *Lachnospira pectinoschiza*, and *R. torques*) (18, 19, 21) (Figure 2B).

### Multivariate Analysis for Microbiota Signatures Identified in PAH

To evaluate the independent strength of the associations between PAH patients and the microbiome signatures of control subjects

identified above, we performed multivariate linear regression analysis. Patients with PAH had a lower Shannon diversity index compared with healthy and family control subjects, independent of age, sex, BMI, smoking history, antibiotic use, and CCI (Table 2). However, differences between groups for the Chao1 index were no longer significant after these adjustments (Table 2).

Likewise, the relative abundances of most taxa that were differentially abundant in patients with PAH compared with healthy control subjects remained significant after adjusting for these covariates (Table 3). The differences in relative abundances of taxa that were differentially abundant between patients with PAH and family control subjects were no longer significant after adjusting for these covariates except for

*Butyrivibrio* sp. coabundance gene 318 and *B. angulatum* (Table 4).

### Gut Dysbiosis Is Associated with the Severity of Pulmonary Vascular Disease but Not with RV Function

Next, to determine whether there is an association between the loss of microbial diversity in the gut and severity of PAH, we performed linear regression analysis of hemodynamic measures of pulmonary vascular disease with the Shannon diversity index. The Shannon diversity index had a significantly negative linear correlation with mPAP (Figure 3A;  $P = 0.015$ ) and PVR (Figure 3B;  $P < 0.0001$ ) and a significantly positive linear correlation with pulmonary arterial compliance (Figure 3C;  $P = 0.035$ ). These results indicate that reduced gut

**Table 4.** Comparison of the Relative Abundance of Bacterial Species Differentially Abundant in Patients with Pulmonary Arterial Hypertension Compared with Family Control Subjects on Linear Discriminant Analysis of Effect Size

Species Name	PAH Relative Abundance (SD)	Family Relative Abundance (SD)	Model 1*		Model 2†	
			β Coefficient (95% CI)	P Value	β Coefficient (95% CI)	P Value
Bacterial species with reduced relative abundance in patients with PAH vs. family control subjects						
<i>B. intestinihominis</i>	1.3 (2.9)	1.7 (2.2)	-0.6 (-2.2 to 0.9)	0.415	-0.8 (-2.3 to 0.8)	0.325
<i>E. rectale</i>	0.6 (1.2)	6.6 (8.7)	-2.7 (-5.8 to -0.4)	0.084	-0.9 (-3.9 to 2.0)	0.539
<i>E. hallii</i>	0.2 (0.7)	0.2 (0.2)	0.1 (-0.3 to 0.5)	0.614	0.1 (-0.4 to 0.5)	0.810
<i>Butyrivibrio</i> sp. CAG 318	0 (0)	0.2 (0.8)	-0.3 (-0.5 to -0.1)	0.004	$1.6 \times 10^{-16}$ (-0.1 to 0.1)	1.000
<i>Prevotella</i> sp. CAG 5226	0 (0)	0.2 (0.6)	-0.2 (-0.7 to 0.3)	0.516	$1.7 \times 10^{-16}$ (-0.5 to 0.5)	1.000
<i>B. angulatum</i>	0 (0)	0.1 (0.4)	-0.1 (-0.2 to -0.1)	0.004	$7.2 \times 10^{-16}$ (-0.1 to 0.1)	1.000
<i>Oscillibacter</i> sp. 57 20	0.2 (0.6)	0.4 (0.5)	-0.4 (-0.7 to 0.1)	0.057	-0.2 (-0.5 to 0.2)	0.294
<i>L. pectinoschiza</i>	0.2 (0.5)	0.2 (0.4)	-0.1 (-0.6 to 0.4)	0.743	-0.1 (-0.6 to 0.4)	0.817
<i>R. torques</i>	0.3 (0.5)	0.5 (0.9)	-0.2 (-0.6 to 0.2)	0.356	-0.1 (-0.5 to 0.3)	0.737
Bacterial species with increased relative abundance in patients with PAH vs. family control subjects						
<i>S. salivarius</i>	0.3 (0.6)	0.2 (0.5)	0.1 (-0.2 to 0.4)	0.584	0.1 (-0.3 to 0.4)	0.817

Definition of abbreviations: *B. angulatum* = *Bifidobacterium angulatum*; *B. intestinihominis* = *Barnesiella intestinihominis*; CAG = coabundance gene; CI = confidence interval; *E. hallii* = *Eubacterium hallii*; *E. rectale* = *Eubacterium rectale*; *L. pectinoschiza* = *Lachnospira pectinoschiza*; *R. torques* = *Ruminococcus torques*; *S. salivarius* = *Streptococcus salivarius*.

\*Adjusted for age, sex, body mass index, smoking status, recent antibiotic use, and type of diet.

†Adjusted for the Charlson comorbidity index.

microbial diversity is associated with increasing severity of pulmonary vascular disease. Linear regression analysis revealed no correlation between Shannon diversity index and echocardiographic (RV fractional area change) and hemodynamic (mean right atrial pressure and cardiac output) measures of RV function (Figures 3D–3F). There was also no relationship between Shannon diversity index and serum N-terminal pro-brain natriuretic peptide, RV global longitudinal strain, or RV free wall strain (see Figures E5A–E5C). On sparse partial least squares discriminant analysis, the genus-level data closely clustered together within each REVEAL risk group (see Figure E6), indicating differences in the gut microbial signature at the genus level among patients with PAH on the basis of disease severity.

#### Patients with PAH Have Increased Circulating Markers of Gut Permeability and Inflammation

To assess whether patients with PAH have increased gut permeability and systemic inflammation, we measured plasma claudin-3

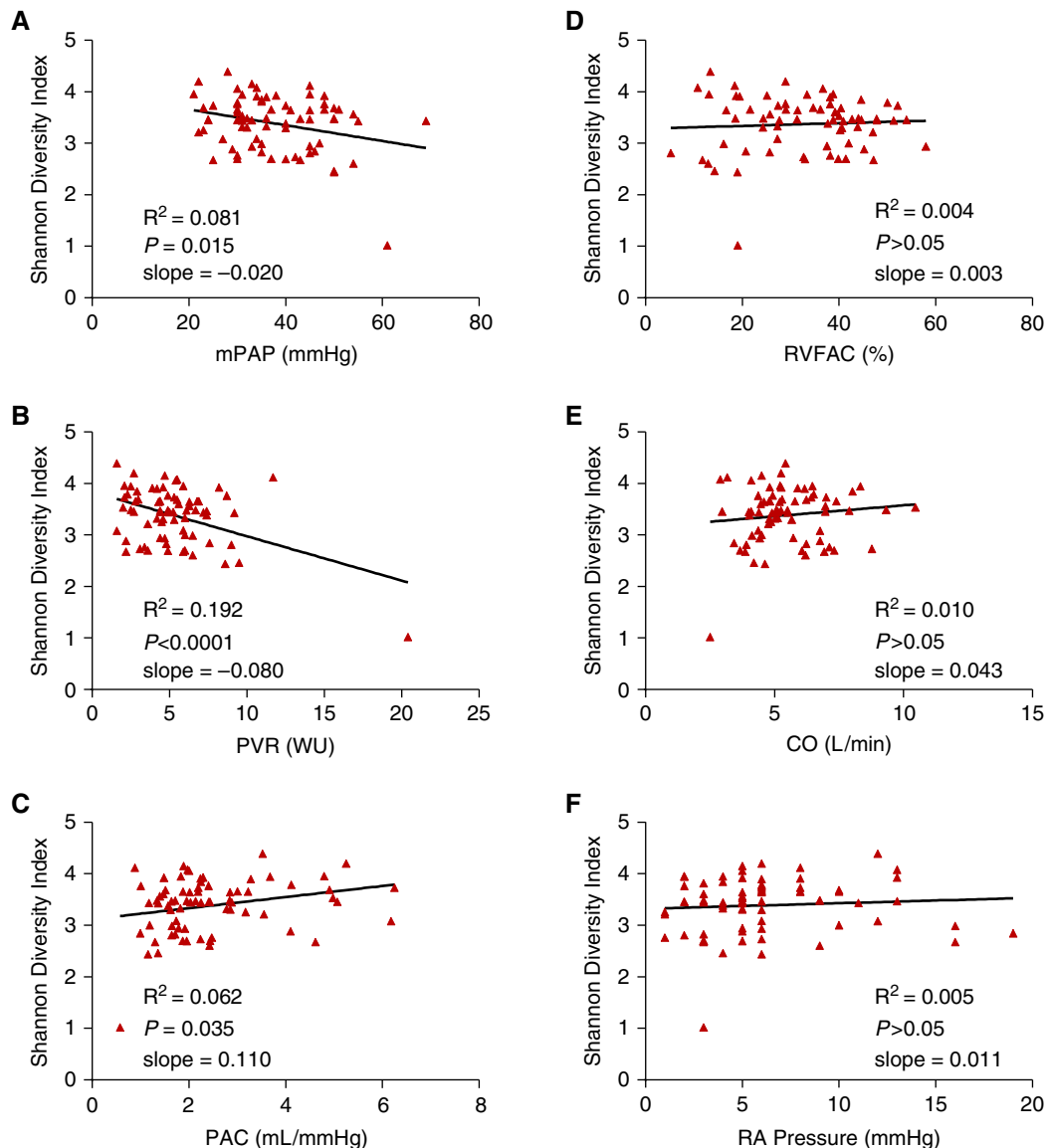
and IL-6 concentrations, respectively. Claudins are transmembrane proteins that participate in the formation of tight junctions, and increased circulating concentrations of claudin-3 indicate increased gut permeability (23). Patients with PAH had higher plasma claudin-3 concentrations compared with family control subjects (see Figure E7A;  $P < 0.002$ ), but there was no significant difference compared with healthy control subjects (see Figure E7A). Patients with PAH had significantly higher plasma IL-6 concentrations compared with healthy control subjects (see Figure E7B;  $P < 0.0002$ ) but not compared with family control subjects. Plasma soluble CD14 (cluster of differentiation 14) (sCD14) and endotoxin concentrations did not differ significantly between patients with PAH and healthy control subjects (see Figures E7C and E7D, respectively).

#### Patients with PAH Have Altered Circulating Gut Microbial Metabolites

To determine whether patients with PAH have altered circulating concentrations of microbial metabolites known to affect inflammation, we

next measured plasma concentrations of trimethylamine *N*-oxide (TMAO), SCFAs, and bile acids. Hierarchical cluster analysis showed a clear separation of patients with PAH from healthy and family control subjects, with reductions in circulating SCFAs and bile acids (Figure 4A). When we compared the individual microbial metabolite plasma concentrations among the study groups, patients with PAH had significantly lower concentrations of glycocholic ( $P = 0.0153$ ), valeric ( $P = 0.0261$ ), taurodeoxycholic ( $P = 0.0016$ ), and glycolithocholic ( $P = 0.0089$ ) acids compared with healthy control subjects and significantly lower concentrations of butyric ( $P < 0.0001$ ) and lithocholic ( $P = 0.0026$ ) acids compared with family control subjects (Figure 4A). There was no significant difference in plasma concentrations of the proinflammatory metabolite TMAO between patients with PAH and healthy control subjects (Figure 4B); however, when patients with PAH were stratified on the basis of REVEAL risk score, high-risk patients had significantly higher TMAO concentrations compared with healthy control subjects ( $P = 0.0017$ ; see Figure E8).





**Figure 3.** Shannon diversity index correlates with the severity of pulmonary vascular disease but not with right ventricular function in patients with pulmonary arterial hypertension. (A–C) There are significant negative linear correlations between Shannon diversity index and (A) mPAP, (B) PVR, and (C) PAC. (D–F) There are no significant linear correlations between Shannon diversity index and (D) RVFAC, (E) CO, and (F) RA pressure. CO = cardiac output; mPAP = mean pulmonary arterial pressure; PAC = pulmonary arterial compliance; PVR = pulmonary vascular resistance;  $R^2$  = coefficient of determination; RA = right atrial; RVFAC = right ventricular fractional area change; WU = Wood units.

### Patients with PAH Have Fewer Copies of Some Gut Microbial Genes Encoding the Synthesis of Antiinflammatory Metabolites

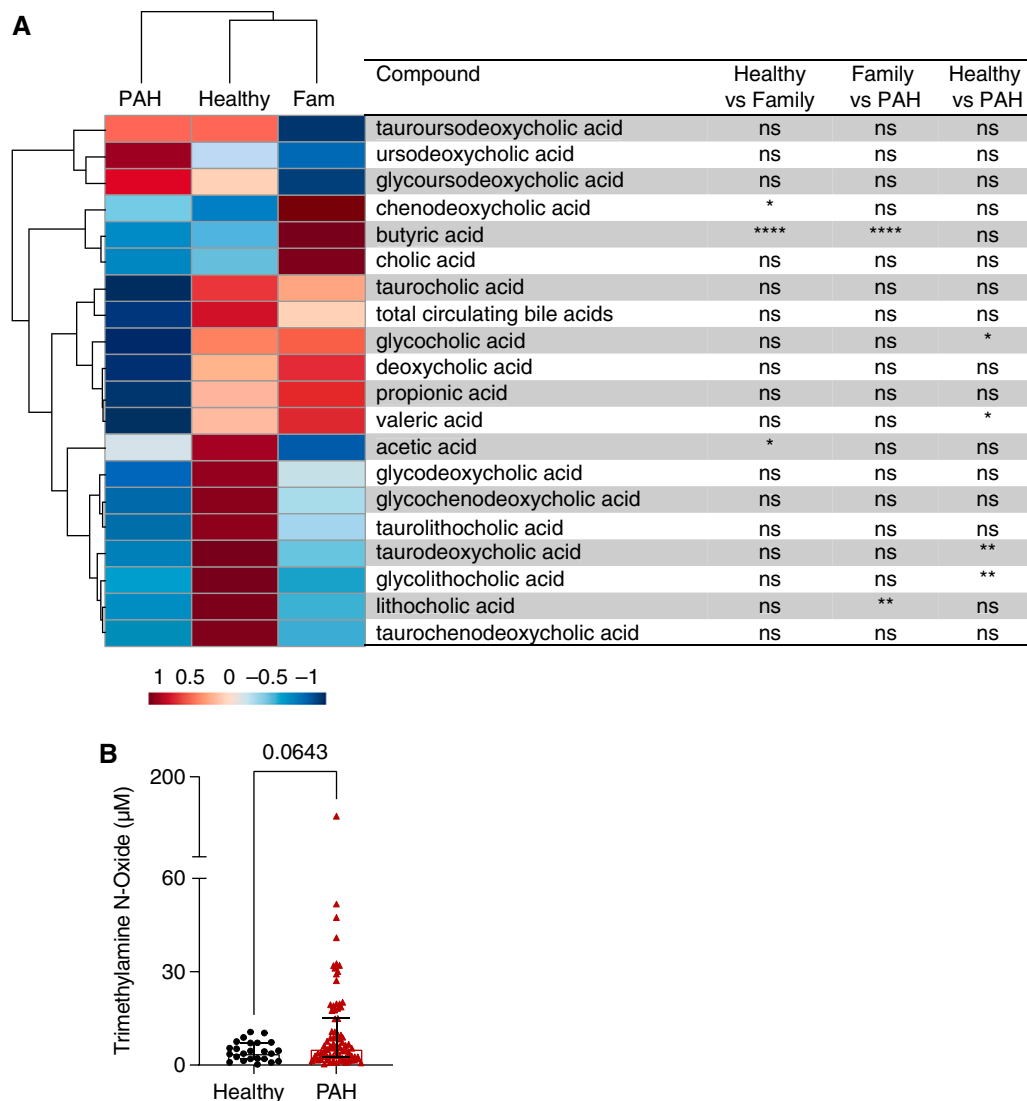
Metagenomic analysis of the relative number of gene copies per million revealed significantly fewer copies of gut microbial genes involved in the synthesis of SCFAs (amidase for propionic and valeric acids [ $P < 0.001$ ], propionate coenzyme A transferase for acetic acid [ $P < 0.0001$ ], and phosphate butyryl transferase for butyric acid [ $P < 0.0005$ ]) and deconjugation of bile acids

(cholylglycine hydrolase;  $P = 0.0009$ ) in patients with PAH compared with healthy control subjects (Figure 5). We did not see higher numbers of gene copies that encode production of trimethylamine in patients with PAH compared with healthy control subjects (Figure 5). No significant differences were seen in the relative number of gene copies for any of the metabolites for patients with PAH versus family control subjects (Figure 5). Hierarchical cluster analysis of microbial gene copies showed a clear separation of patients with PAH from

healthy control subjects, with family control subjects in the middle (Figure 5).

### Relative Abundances of Species with Encoding Genes for Circulating Microbial Metabolites Significantly Differ among Groups

We examined the significant differences in the relative abundances of species with the encoding genes associated with microbial metabolites among all three experimental groups. Compared with healthy control subjects, patients with PAH had lower



**Figure 4.** Targeted metabolomic analysis of patients with pulmonary arterial hypertension (PAH) and family (Fam) and healthy control subjects. (A) Hierarchical cluster analysis of targeted metabolomics of circulating concentrations of short-chain fatty acids and bile acids in healthy control subjects, Fam control subjects, and patients with PAH shows a clear separation of patients with PAH from healthy and Fam control subjects. The heatmap displays the group averages of the microbial metabolites for each group. The  $P$  values were generated by comparing the individual microbial metabolite plasma concentrations among the study groups using one-way ANOVA with a Tukey *post hoc* analysis to correct for multiple comparisons or a Kruskal-Wallis test with Dunn's multiple-comparisons test. (B) There was no significant difference in plasma trimethylamine *N*-oxide (TMAO) concentrations between healthy control subjects and patients with PAH. Data are not available for TMAO concentrations in Fam controls. \* $P < 0.05$ , \*\* $P \leq 0.01$ , and \*\*\*\* $P \leq 0.0001$ . ns = not significant ( $P \geq 0.05$ ).

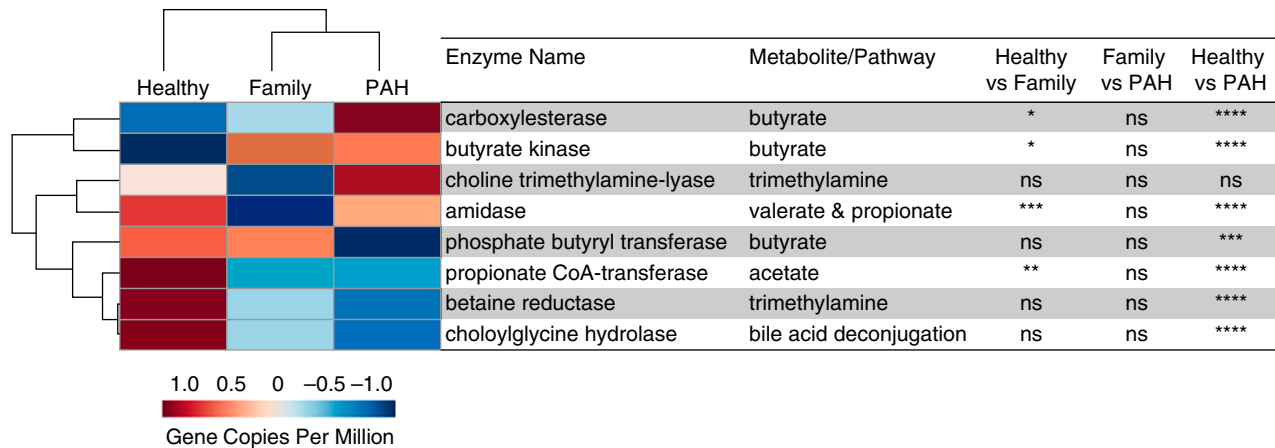
relative abundances of several taxa with encoding genes that produce SCFAs (24–28) (*E. ramulus*, *Firmicutes* sp. coabundance gene 110, *Coprococcus comes*, *Dorea longicatena*, *B. adolescentis*, *Gemmiger formicilis*, *Fusicatenibacter saccharivorans*, *E. hallii*, *Anaerostipes hadrus*, *Gordonibacter pamelaeeae*, *R. torques*, *C. catus*, *C. eutactus*, and *Blautia obeum*; Figures 6 and 7). Compared with healthy control subjects, patients with PAH had a higher relative abundance of several taxa that harbor genes

that encode trimethylamine production (29, 30) (*Clostridium bolteae*, *Escherichia coli*, and *Klebsiella pneumoniae*; Figures 8A and 8B) and a lower relative abundance of several taxa that possess the gene that encodes deconjugation of bile acids (31) (*Collinsella aerofaciens*, *C. eutactus*, *A. hadrus*, *E. ramulus*, *B. obeum*, *E. hallii*, *R. bicirculans*, *R. torques*, *E. eligens*, *F. saccharivorans*, *R. faecis*, *D. longicatena*, *C. catus*, and *R. hominis*; Figure 8C). Likewise, compared with family control subjects, patients with

PAH had a higher relative abundance of *C. bolteae* (Figure 8A), which encodes TMAO (29), and a lower relative abundance of *C. aerofaciens* (Figure 8C), which possesses the gene that deconjugates bile acids (31).

## Discussion

Kim and colleagues performed shotgun metagenomics sequencing on fecal samples from 18 patients with PAH and 13 healthy



**Figure 5.** Hierarchical cluster analysis of encoding genes for enzymes that produce short-chain fatty acids, bile acid deconjugation, and trimethylamine from shotgun metagenomic data of the gut microbiomes showed clear separation of patients with PAH from healthy control subjects, with family control subjects in the middle. The heatmap displays the group averages of relative gene copies per million for each group. The *P* values were generated by comparing the relative number of gene copies per million among the study groups using one-way ANOVA with a Tukey *post hoc* analysis to correct for multiple comparisons or a Kruskal-Wallis test with Dunn's multiple-comparisons test. \**P* < 0.05, \*\**P* ≤ 0.01, \*\*\**P* ≤ 0.001, and \*\*\*\**P* ≤ 0.0001. CoA = coenzyme A; ns = not significant (*P* ≥ 0.05); PAH = pulmonary arterial hypertension.

control subjects and showed a lower abundance of bacteria previously reported in the literature to be butyrate and acetate producers and an enrichment of bacteria previously shown in the literature to be TMAO producers (7). Our study adds several novel findings to that study by including a substantially larger cohort of well-characterized patients with PAH. We show that patients with PAH have a distinct gut microbiome, compared not only with healthy control subjects but also with family members, who are a better comparator group, as they are more likely to share some gut microbiota components (32). We included both 16S rRNA and shotgun metagenomics sequencing, which complement each other, given the extra sequencing coverage with 16S rRNA analysis and the direct evaluation of the functional potential of the gut microbiome with shotgun metagenomics. We demonstrate that reduced gut diversity correlates with the severity of pulmonary vascular disease, which further strengthens the association between PAH and gut dysbiosis. Using shotgun functional metagenomics, we show that patients with PAH have a proinflammatory gut microbiome with a lower relative abundance of taxa with gut microbial genes that produce antiinflammatory microbial metabolites and an enrichment of taxa that harbor microbial genes that produce proinflammatory microbial metabolites. Finally, consistent with the proinflammatory gut microbiome,

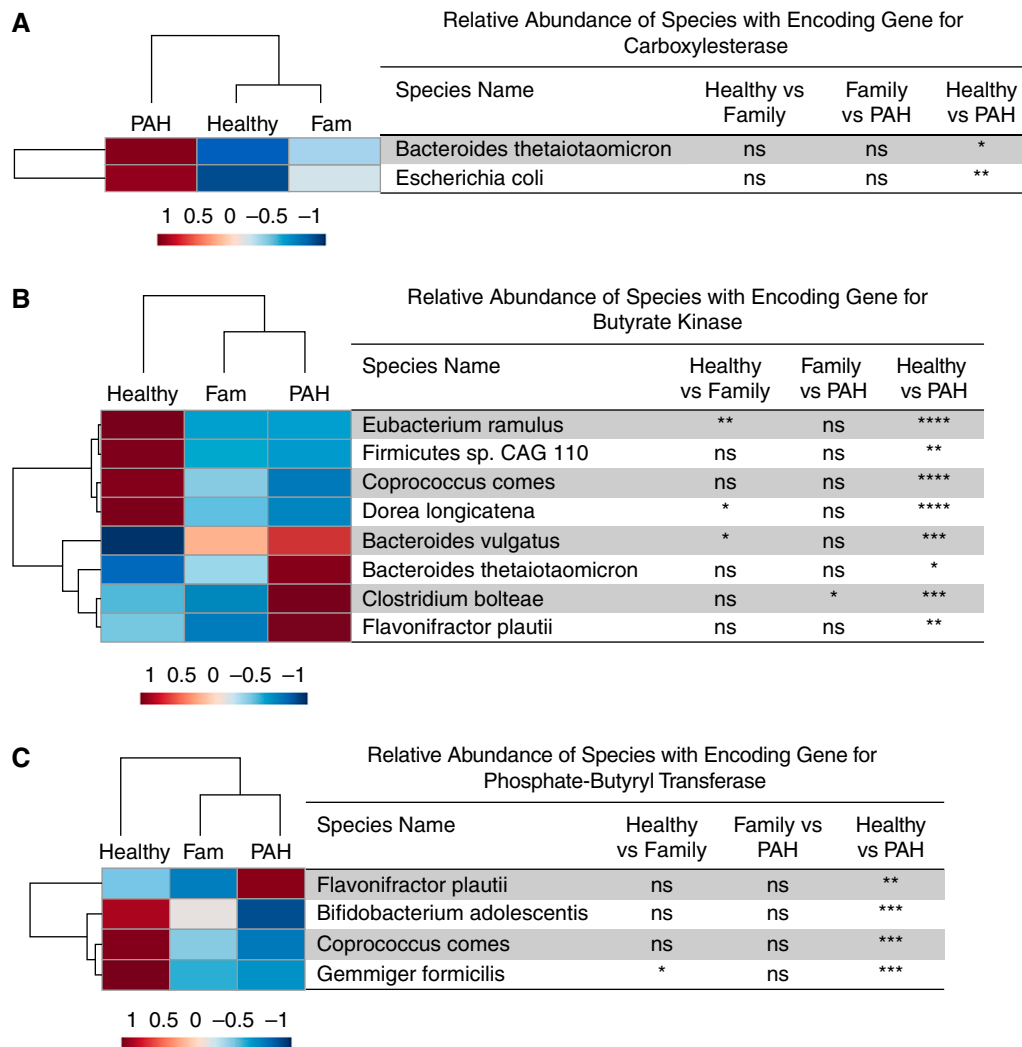
we show that patients with PAH have altered circulating microbial-derived metabolites that favor inflammation. Together these observations provide potential mechanisms by which gut dysbiosis can contribute to the pathogenesis of PAH.

We show that patients with PAH have a greater relative abundance of the phylum Bacteroidetes and its members, including the family Bacteroidaceae and genus *Bacteroides*, that have been associated with gut inflammation and decreased gut barrier function in genetically susceptible hosts (16, 17). TMAO is a proinflammatory metabolite and causes pulmonary vascular smooth muscle proliferation and remodeling in preclinical models of PAH through macrophage activation (33). Huang and colleagues recently reported higher concentrations of TMAO in intermediate and high-risk PAH patients (33). Although we did not find a difference in plasma TMAO concentrations between all patients with PAH and healthy control subjects, we noted higher plasma concentrations of TMAO in high-risk patients with PAH. In addition, several species that contain genes for trimethylamine production have increased relative abundances in patients with PAH compared with healthy control subjects.

On hierarchical cluster analysis, patients with PAH are distinct from healthy and family control subjects, with relatively lower circulating concentrations of some SCFAs, which have antiinflammatory effects. There

are several findings in the literature suggesting potential mechanistic roles for SCFAs in modulating PAH disease. Propionic acid increases regulatory T-cell populations (34), which are protective against the development of PAH (35). Valeric and butyric acids are potent histone deacetylase inhibitors (36, 37). In PAH, expression of histone deacetylase 6 is increased in pulmonary artery smooth muscle cells, and it increases survival and proliferation of these cells (38). In addition, butyrate treatment prevents as well as attenuates the hypoxia-induced increase in RV systolic pressure, RV hypertrophy, and pulmonary vascular remodeling in a Sprague-Dawley rat model of hypoxic pulmonary hypertension (39). Mechanistically, butyrate reduces lung inflammation and modulates cytokine concentrations in the hypoxic rat lung (39). Moreover, the butyrate derivative 4-phenyl butyric acid also prevents as well as reverses pulmonary vascular remodeling in a monocrotaline model of PAH through attenuation of endoplasmic reticulum stress in pulmonary vascular smooth cells (40).

Thus, it is possible that reduced SCFAs promote an environment favorable for pulmonary artery smooth muscle cell proliferation and fibrosis, thereby initiating or worsening PAH disease. Although there is no significant difference in circulating propionic acid concentrations, valeric and butyric acid concentrations are significantly reduced in patients with PAH. Supporting



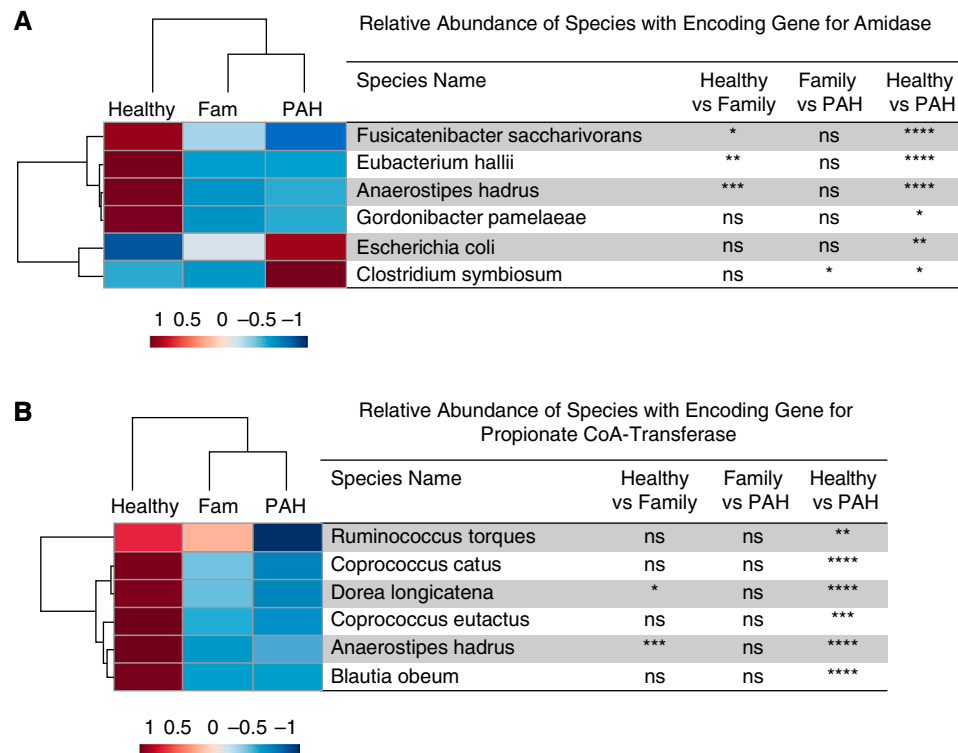
**Figure 6.** (A–C) Hierarchical cluster analysis of the relative abundance of species with the encoding genes for the enzyme involved in the synthesis of butyrate, including (A) carboxylesterase, (B) butyrate kinase, and (C) phosphate butyryl transferase. The heatmap displays the group averages of relative abundances of species for each group. The *P* values were generated by comparing the relative abundances of the species among the groups using one-way ANOVA with a Tukey *post hoc* analysis to correct for multiple comparisons or a Kruskal-Wallis test with Dunn's multiple comparisons test. \* $P < 0.05$ , \*\* $P \leq 0.01$ , \*\*\* $P \leq 0.001$ , and \*\*\*\* $P \leq 0.0001$ . CAG = coabundance gene; Fam = family; ns = not significant ( $P \geq 0.05$ ); PAH = pulmonary arterial hypertension.

this finding, patients with PAH have fewer copies of the genes encoding the enzyme amidase that produces valerate and propionate (27), together with lower relative abundances of several species that harbor these genes. In addition, metagenomic analysis found that the gene encoding one (phosphate butyryl transferase) out of three enzymes for butyrate production (24–26) is reduced in patients with PAH. We found that the relative abundances for roughly half of the species that have these genes are reduced in patients with PAH. Although the antiinflammatory SCFA acetate (41) is not significantly lower in patients with PAH, the

number of gene copies that encode for the enzyme propionate coenzyme A transferase, which produces acetate (28), is significantly reduced in patients with PAH, and all species that encoded this enzyme also have reduced relative abundances. The potential disconnect seen among the numbers of gene copies, relative abundances, and blood concentrations can be due to changes in the host environment and energy use of SCFAs by gut microbiota (42).

Bile acid homeostasis is maintained as a delicate balance between the host and intestinal microbiota metabolism, with the host producing primary bile acids and the

microbiome using these to produce secondary bile acids. Secondary bile acids are antiinflammatory, especially lithocholic and taurolithocholic acids, which are potent agonists of TGR5 (Takeda G protein-coupled receptor 5), which can inhibit LPS-induced proinflammatory cytokine production and induce vasodilatory nitric oxide synthesis in endothelial cells (43–45). Likewise, glycodeoxycholic acid reduces vascular responsiveness to norepinephrine in the mesenteric vascular bed in a hypertensive rat model (46). Taurocholic and taurodeoxycholic acids also cause arterial dilation (47). On hierarchical



**Figure 7.** (A and B) Hierarchical cluster analysis of the relative abundance of species with the encoding genes for (A) amidase (an enzyme involved in the production of valerate and propionic acid) and (B) propionate CoA transferase (an enzyme involved in acetate production). The heatmap displays the group averages of relative abundances of species for each group. The *P* values were generated by comparing the relative abundances of the species among the groups using one-way ANOVA with a Tukey *post hoc* analysis to correct for multiple comparisons or a Kruskal-Wallis test with Dunn's multiple-comparisons test. \**P* < 0.05, \*\**P* ≤ 0.01, \*\*\**P* ≤ 0.001, and \*\*\*\**P* ≤ 0.0001. CoA = coenzyme A; Fam = family; ns = not significant (*P* ≥ 0.05); PAH = pulmonary arterial hypertension.

cluster analysis, patients with PAH are distinct, with relatively lower plasma concentrations of bile acids. In addition, patients with PAH have fewer copies of the gene that encodes cholyglycine hydrolase that deconjugates bile acids (31) and lower relative abundances of several species with the gene encoding this enzyme. Multiple prior preclinical studies suggest a potential mechanistic role for bile acids in modulating PAH disease. The receptor for bile acids (farnesoid X receptor) is expressed in pulmonary arterial endothelial cells (45). Ligand activation of this receptor causes downregulation of endothelin-1 and upregulation of endothelial nitric oxide synthase in pulmonary artery endothelial cells (45, 48). In the monocrotaline rat model of PAH, obeticholic acid (a farnesoid X receptor agonist) improves pulmonary function, normalizes treadmill endurance, normalizes lung IL-6 mRNA expression, and reduces RV hypertrophy and pulmonary vascular remodeling (49). These data together suggest that the reduction in microbial-derived bile acids with

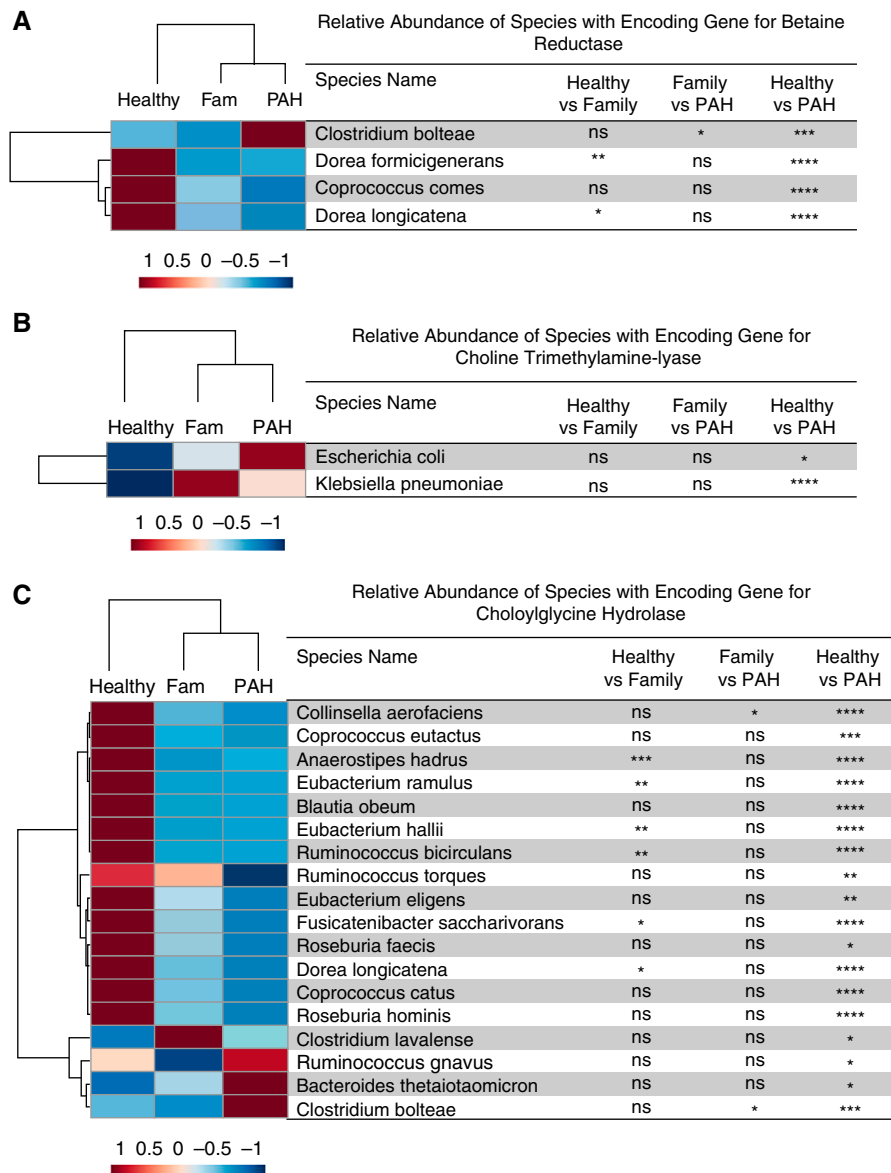
antiinflammatory and vasodilatory activity can contribute to pathogenesis of PAH.

Although there is preclinical evidence linking SCFAs and secondary bile acids to the pathogenesis of PAH, this has not been studied in patients with PAH. We now show that the circulating concentrations of SCFAs and secondary bile acids are indeed decreased in patients with PAH compared with healthy and family control subjects. More important, with our functional metagenomics data, we link an altered gut microbiome as a potential mechanism for the lower circulating concentrations of SCFAs and secondary bile acids in patients with PAH. In support of this, we demonstrate that the gut microbiome of patients with PAH has fewer copies of microbial genes that produce SCFAs and secondary bile acids and lower relative abundances of species encoding these genes compared with healthy and family control subjects.

Although patients with PAH have a less diverse gut microbiome compared with both healthy and family control subjects, the differences at the phylogenetic level between

patients with PAH and family control subjects are not as substantial as the differences between patients with PAH and healthy control subjects. This is likely due to cohabitation, which is associated with a greater resemblance of the gut microbiome (32). In support of this, we saw high gut microbial compositional similarity between patients and cohabitants compared with random family control subjects who are not cohabitating with the patients. Also, family control subjects are less healthy, as indicated by a significantly higher CCI compared with healthy control subjects. There are two possible explanations for the lack of a PAH phenotype in family control subjects despite sharing a large part of the gut microbiome with patients with PAH. Although there is similarity in the gut microbiome between patients with PAH and family control subjects, nevertheless, compared with family control subjects, patients with PAH remain distinct, with an increased relative abundance of the phylum Bacteroidetes and several members of this phylum, for which enrichment of these is associated with gut





**Figure 8.** (A–C) Hierarchical cluster analysis of the relative abundance of species with the encoding genes for (A) betaine reductase and (B) choline trimethylamine-lyase (both enzymes involved in the synthesis of trimethylamine) and (C) cholyglycine hydrolase (an enzyme involved in bile acid conjugation). The heatmap provides the group averages of relative abundances of species for each group. The *P* values were generated by comparing the relative abundances of the species between the groups using one-way ANOVA with a Tukey *post hoc* analysis to correct for multiple comparisons or a Kruskal-Wallis test with Dunn's multiple-comparisons test. \**P* < 0.05, \*\**P* ≤ 0.01, \*\*\**P* ≤ 0.001, and \*\*\*\**P* ≤ 0.0001. Fam = family; ns = not significant (*P* ≥ 0.05); PAH = pulmonary arterial hypertension.

inflammation and a reduced relative abundance of several antiinflammatory taxa (16, 17). On targeted metabolomic analysis, patients with PAH have significantly lower circulating concentrations of antiinflammatory metabolites (butyrate and lithocholic acids) compared with family control subjects. These differences between patients with PAH and family control subjects may be critical to driving PAH pathogenesis. Alternatively, it is possible that gut dysbiosis acts as a second

trigger by inducing inflammation in individuals with a genetic predisposition (i.e., Bmpr2 [bone morphogenetic protein receptor type 2] mutant carriers). Consistent with this, chronic LPS administration causes pulmonary hypertension in *Bmpr2*<sup>+/-</sup> mice but not in wild-type littermates (50).

It is conceivable that chronic RV failure from PAH can lead to increased intestinal congestion, reduced bowel perfusion, increased intestinal permeability, and gut

dysbiosis. However, there is no relationship between Shannon diversity index and various measures of RV function. Conversely, the Shannon diversity index correlates only with the measures of pulmonary vascular disease. These data indicate that gut dysbiosis in PAH is less likely to be due to RV failure. Although our data do not prove a direct cause-and-effect relationship between PAH and gut dysbiosis, preclinical data suggest a mechanistic role for

gut dysbiosis in PAH. Gut microbiota modification with antibiotic treatment significantly suppresses pulmonary vascular remodeling and RV hypertrophy in the Sugen-hypoxia model in rats, suggesting a causative role for gut dysbiosis in PAH (5). Moreover, several lines of circumstantial evidence suggest a potential mechanistic link between PAH and gut dysbiosis. First, multiple risk factors for PAH, including HIV infection, *Schistosoma* spp. infection, and methamphetamine use, have been known to cause gut dysbiosis (51). Second, gut dysbiosis leads to increased gut permeability, allowing translocation of gut bacteria and/or bacterial products, such as endotoxin. Although we did not see differences in plasma claudin-3, endotoxin, and sCD14 concentrations between patients with PAH and healthy control subjects, Ranchoux and colleagues reported higher serum endotoxin and sCD14 concentrations in patients with IPAH and heritable PAH compared with healthy control subjects (52). Patients with PAH in our cohort, however, had higher gut permeability compared with family control subjects. Thus, on the basis of all these observations, it is possible that gut dysbiosis either initiates PAH or facilitates progression of already established PAH by calibrating immune responses.

Our findings have clinical implications. Both our work and the work by Kim and colleagues (7) suggest that patients with PAH have a distinct gut microbiome that favors systemic inflammation. Preclinical models suggest a cause-and-effect relationship between gut dysbiosis and PAH (5, 53).

Moreover, alteration of gut microbiome with intermittent fasting improves RV function in the monocrotaline rat model of PAH (54). Thus, modulation of the gut microbiome may not only reduce pulmonary vascular remodeling but also improve RV function, possibly dependent on the timing of intervention. Collectively, these data support further investigations to test whether microbiota transplantation therapy can be used to treat PAH.

There are several limitations to our analyses. Healthy control subjects for microbiome analysis are not age and sex matched to patients with PAH; when we adjusted for these differences in the multivariate modeling, patients with PAH still had a significantly reduced Shannon diversity index, and the relative abundance of majority of the taxa that were differentially abundant in patients with PAH compared with healthy control subjects remained significant. We studied predominantly prevalent patients who were on PAH-specific therapies. It is possible that changes in the gut microbiome may be secondary to these therapies. Future studies should focus on evaluating the gut microbiome in patients with incident, treatment-naïve PAH. We studied patients with different PAH etiologies. However, on subgroup analysis, we found no difference in gut microbiome diversity indices between patients with IPAH and those with CTD-PAH, the two most common etiologies of PAH. In addition, we performed only targeted metabolomics. Untargeted metabolomics and proteomics may provide a deeper understanding of the

changes in all the circulating microbial products. Although this is the largest study of gut microbiome in PAH this far, it is still relatively small. Therefore, our study is not powered sufficiently to test the correlation among circulating microbial metabolites and disease severity. Finally, we studied fecal microbiota, but the gut microbiome exists within a complex ecological space, and it is possible that small bowel and mucosa-adherent microbiota may be more relevant to PAH pathogenesis.

## Conclusions

In this study, we show that PAH is characterized by gut dysbiosis, with enrichment of taxa associated with inflammatory states and lower abundances of beneficial taxa, and that the severity of pulmonary vascular disease is associated with a less diverse gut microbiome. We also demonstrate that patients with PAH have altered circulating microbial metabolites with biological effects that could explain the pathophysiology of PAH. Despite recent advances in determining PAH pathogenesis, diagnosis, and treatment (55–58), our findings provide a compelling rationale to investigate strategies such as microbiota transplantation therapy to target the gut microbiome to treat PAH (59). ■

**Author disclosures** are available with the text of this article at [www.atsjournals.org](http://www.atsjournals.org).

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