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Original Article

Persistent SARS-CoV-2–specific immune defects in kidney transplant recipients following third mRNA vaccine dose

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Abbreviations: %ACE2i, percent ACE2 inhibition; anti-RBD, anti-receptor binding domain antibody; anti-RBD^{NEG}, negative anti-receptor binding domain antibody after 2 vaccine doses; anti-RBD^{LO}, lowtiter anti-receptor binding domain antibody after 2 vaccine doses; AUC, area under the curve; CPAT, COVID-19 Protection After Transplant; HC, healthy control; IQR, interquartile range; KTR, kidney transplant recipient; mAb, monoclonal antibody; MHC, major histocompatibility complex; MIRA, multiplex identification of antigen-specific T cell receptors; MMF, mycophenolate; nAb, neutralizing antibody; NT₅₀, nAb titer; PBMC, peripheral blood mononuclear cell; RVE, robust variance estimator; TCR, T cell receptor

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kidney transplant vaccination immunogenicity antibody clinical trial

We administered third monovalent mRNA vaccines to $n = 81$ KTRs with negative or lowtiter anti-receptor binding domain (RBD) antibody (n = 39 anti-RBD^{NEG}; n = 42 anti- RBD^{LO}), compared with healthy controls (HCs, $n = 19$), measuring anti-RBD, Omicron neutralization, spike-specific $CD8^{+}\%$, and SARS-CoV-2–reactive T cell receptor (TCR) repertoires. By day 30, 44% anti-RBD^{NEG} remained seronegative; 5% KTRs developed BA.5 neutralization (vs 68% HCs, $P < 0.001$). Day 30 spike-specific CD8⁺% was negative in 91% KTRs (vs 20% HCs; $P = .07$), without correlation to anti-RBD ($r_s = 0.17$). Day 30. SARS-CoV-2–reactive TCR repertoires were detected in 52% KTRs vs 74% HCs ($P = .11$). Spike-specific CD4⁺ TCR expansion was similar between KTRs and HCs, yet KTR CD8⁺ TCR depth was 7.6-fold lower ($P = .001$). Global negative response was seen in 7% KTRs, associated with high-dose MMF ($P = 0.037$); 44% showed global positive response. Of the KTRs, 16% experienced breakthrough infections, with 2 hospitalizations; prebreakthrough variant neutralization was poor. Absent neutralizing and $CDB⁺$ responses in KTRs indicate vulnerability to COVID-19 despite 3-dose mRNA vaccination. Lack of neutralization despite $CD4^+$ expansion suggests B cell dysfunction and/or ineffective T cell help. Development of more effective KTR vaccine strategies is critical. (NCT04969263)

1. Introduction

Kidney transplant recipients (KTRs) demonstrate poorer hu-moral^{[1](#page-14-0)} and cellular immunogenicity^{[2](#page-14-1)[,3](#page-14-2)} following primary mRNA SARS-CoV-2 vaccination and endure higher rates of vaccine breakthrough.^{[4](#page-14-3)} Neutralizing antibody (nAb) is the best available correlate of protection against SARS-CoV-2 infection,^{[5](#page-14-4)} approximated by the clinically accessible anti-receptor binding domain (anti-RBD) antibody biomarker.^{[6](#page-14-5)} High levels of nAb, however, are required for KTRs to neutralize Omicron subvariants.^{7,[8](#page-14-7)} Associations with anti-RBD response in KTRs are well defined, including the negative impact of immunosuppressive regimens containing MMF.^{[9-11](#page-14-8)} Anti-RBD level has also emerged as a powerful predictor of response to additional vaccine doses, $12-14$ with the potential to identify subgroups at higher risk for COVID-19 breakthrough¹⁵⁻¹⁷ and the need for immunoprophylactic interventions.

The determinants and clinical impact of T cell responses induced by SARS-CoV-2 vaccines are less well delineated, in part owing to use of varying assays and metrics across studies. Additionally, discordance between antibody and T cell response has been reported in 0% to 50% of transplant recipients.^{[18-21](#page-15-2)} These patterns of humoral and/or cellular anti-SARS-CoV-2 immune responses and their underlying mechanistic drivers remain incompletely characterized. It is therefore uncertain whether immunoprotection against COVID-19 is achieved among KTRs following full (ie, 3-dose) vaccination, particularly among vulnerable KTRs who do not develop high-level anti-RBD.

Given these knowledge gaps, we enrolled a homogenous KTR cohort with poor anti-RBD response following 2-dose mRNA vaccination in a clinical trial to determine the effects of third vaccination on (1) anti-RBD and variant neutralization, (2) SARS-CoV-2–specific T cell expansion using 2 complementary assays, and (3) global patterns of immune responses as compared with

healthy controls (HCs). Clinical and immunological associations with vaccine breakthroughs were recorded.

2. Methods

2.1. Participants and design

2.1.1. Study background and design

The COVID-19 Protection After Transplant (CPAT) trials were funded by the National Institutes of Health to investigate the safety and immunogenicity of SARS-CoV-2 vaccination strategies in solid organ transplant recipients. The single-arm, openlabel trial described herein began August 10, 2021 to test immune responses to additional (third) homologous mRNA vaccination in KTRs who failed to respond to 2 prior mRNA vaccinations. "Failure to respond" was defined as negative (<0.8 U/mL, anti-RBD^{NEG}) or low-titer (0.8 to 50 U/mL, anti-RBD^{LO}) on the Roche Elecsys anti-SARS-CoV-2 S assay; this threshold was chosen given the minimal probability of neutralizing ancestral SARS-CoV-2^{22,[23](#page-15-4)} (Supplement).

Participants included adult, kidney-only recipients on stable calcineurin inhibitor-based immunosuppression, without major graft dysfunction or organ rejection within 6 months; full criteria are listed at ClinicalTrials.gov (NCT04969263), and the study flow diagram is presented in Supplementary Figure S1. The primary immunogenicity outcome was day 30 anti-RBD, stratified by day 0 serostatus (anti-RBD^{NEG}/anti-RBD^{LO}), given anticipated differential responses.[14](#page-15-5),[24](#page-15-6) Secondary outcomes included SARS-CoV-2 variant neutralization and cellular responses. Safety outcomes included reactogenicity and alloimmune events. Serial monitoring for SARS-CoV-2 infection occurred via polymerase chain reaction testing of nasal swabs and anti-nucleocapsid antibody testing at days 30, 90, 180, and 365; symptom screening occurred at each visit, and continuous for-cause testing was performed via clinical teams. This trial was approved by the Johns Hopkins University IRB (IRB00288774); participants provided written informed consent.

2.1.2. Healthy control (HC) cohort

In a separate, single-center prospective cohort of adult health care workers undergoing mRNA vaccination, samples were collected on day 0 and day 30 following third mRNA vaccine doses (Emory Vaccine Center, IRB#00002061). Third vaccines were administered October 2021 to November 2021, overlapping the CPAT study period; participants provided informed consent.

2.2. Antibody and neutralization assays

2.2.1. Anti-RBD antibody

Anti-RBD was measured using the semiquantitative Roche Elecsys anti-SARS-CoV-2 S pan-immunoglobulin electrochemiluminesence immunoassay. Anti-RBD in U/mL correlates ~1:1 with World Health Organization binding antibody units. Per manufacturer, <0.8 U/mL was reported as negative (lower limit of quantification 0.4 U/mL). All samples were up-front diluted 1:50 to avoid prozone ("hook") effects and then serially diluted until 2 equivalent signals $(\pm 10\%)$ were obtained, with the first value utilized.

2.2.2. ACE2 inhibition assays (surrogate neutralization)

Neutralization was measured using the Meso Scale Discovery, which quantifies plasma inhibition of ACE2 binding to the fulllength SARS-CoV-2 spike protein. ACE2 Meso Scale Discovery V-PLEX SARS-CoV-2 Panels 23/25/27/29/32 pre-coated with spike expressing mutations corresponding to SARS-CoV-2 variants were incubated with participant plasma; human ACE2 protein conjugated with light-emitting label was then added. If the plasma fully bound spike and blocked ACE2 binding, no light was emitted during the stimulation phase (100% inhibition; full neutralization). However, if there was no binding of spike by plasma, ACE2 was fully bound and illuminated during plate activation (0% inhibition; no neutralization). In vaccinated solid organ transplant recipients, \geq 20% to 25% ACE2 inhibition (% ACE2i) on this high-throughput assay is associated with live virus nAb, including vs Omicron subvariants.^{[25](#page-15-7),[26](#page-15-8)}

2.2.3. Live virus neutralization

Live ancestral, Delta, and Omicron BA.1 nAb was assessed in a subset of KTRs (Supplementary Section 2.2, Fig. S2). VeroE6- TMPRSS2 cells were cultured and incubated in transport media from SARS-CoV-2–infected patients 27 for RNA extraction and sequencing. The viral titer of VeroE6-TMPRSS2 cells was determined using 50% tissue culture infectious dose assays. 28 nAb levels were determined using 2-fold plasma dilutions^{[29](#page-15-11)} with the addition of 1 \times 10^{[4](#page-14-3)} tissue culture infectious dose/mL virus. Samples were incubated at 37C for 2 days (or until complete cytopathic effect); cells were then fixed, incubated, and stained. nAb titer (NT₅₀) was calculated as the highest serum dilution that eliminated the cytopathic effect in 50% wells; area under the curve (AUC) was calculated using GraphPad Prism to provide a continuous measure of nAb. $(+)$ nAb was defined as $>1:20$ NT₅₀ and high-level nAb as $>1:160$ NT₅₀.

2.3. Cellular analyses and methodology

2.3.1. SARS-CoV-2 spike-specific $CDB⁺$ memory T cell response

Peripheral blood mononuclear cells (PBMCs) from HLA- $A*02:01⁺$ KTRs (n = 33) were isolated and analyzed by flow cytometry for SARS-CoV-2 spike-specific $CD8⁺$ T cell responses using HLA-peptide pentamers (Supplement, Section 2.3). Cells were washed and stained with 4 biotinylated MHC class I pentamers corresponding to immunodominant SARS-CoV-2 spike protein epitopes (FIAGLIAIV, LITGRLQSL, YLQPRTFLL, RLQSLQTYV). $30,31$ $30,31$ Spike-specific CD8⁺ T cell frequency (staining for $>$ 1 spike-specific epitope) was evaluated out of total memory CDB^+ T cells (gated on $CD3^+CD4^-CD8^+$ cells, excluding naïve $CCR7+CD45RA^+$ T cells). Positive spike-specific CD8⁺ T cell response threshold was $>0.03\%$ (above HLA-A*02-negative HC staining background).

2.3.2. Immunosequencing of SARS-CoV-2–associated T cell repertoires

SARS-CoV-2–associated T cell repertoires were assessed via TCR sequencing in $n = 65$ KTRs and $n = 19$ HCs using the Adaptive Biotechnologies immunoSEQ Assay platform. [32](#page-15-14)[,33](#page-15-15) PBMCs were isolated on days 0 and 30, frozen, and sent to Adaptive for high-resolution immunosequencing. The abundance of each unique TCRβ CDR3 sequence was quantified (defining the overall TCR clonal repertoire) before and after vaccination. The set of detected TCR clones was then compared against a library of ~5,000 "high-confidence" public clones recognizing epitopes across the SARS-CoV-2 genome found to be enriched in COVID-19 convalescent patient samples (vs prepandemic controls) using multiplex identification of antigen-specific TCRs^{[32](#page-15-14)} to reduce potential cross-reactive TCRs. 33 The same machine-learning algorithm as the clinically available FDA T-Detect COVID Test [\(https://www.fda.gov/media/146481](https://www.fda.gov/media/146481/download) [/download](https://www.fda.gov/media/146481/download)) was applied to provide a binary classifier (T-MAP COVID), reporting whether TCR repertoires were SAR-S-CoV-2–reactive: ie, T-MAP "positive," "negative," or "indeterminate" (insufficient TCR sequences to permit classification).

TCR repertoire components were then individually evaluated: (1) breadth, the proportion of unique clones reacting to SARS-CoV-2 out of all unique TCRs (ie, diversity of SARS-CoV-²–reactive clones) and (2) depth, the proportion of all productive TCR templates that react to SARS-CoV-2 of all detected TCRs (ie, total number of SARS-CoV-2–reactive clones). These metrics were reported for $CD4^+$ and $CD8^+$ compartments against both spike-specific and nonspike cognate regions (eg, nucleocapsid) identified via multiplex identification of antigen-specific TCRs.

2.4. Statistical analysis

KTR characteristics were compared between (1) anti-RBD^{NEG} and anti-RBD^{LO} and (2) anti-RBD^{NEG} KTRs who remained seronegative and anti-RBD^{NEG} KTRs who seroconverted on day 30, using Fisher exact and Wilcoxon rank-sum testing (categorical and continuous variables, respectively). Day 30 anti-RBD, neutralization, and T cell responses were compared between KTRs and HCs using Wilcoxon rank-sum testing. Anti-RBD halflife for KTRs with day 30 anti-RBD >500 was estimated via exponential decay modeling. Participants who developed incident COVID-19 (for all outcomes; triangles, [Fig. 1](#page-4-0)A) or received monoclonal antibody (mAb) (for humoral outcomes; open circles, [Fig. 1](#page-4-0)A) were excluded from immunogenicity analyses but included in data visualization.

Associations with day 30 anti-RBD were assessed using (1) Poisson regression with robust variance estimator (RVE) for anti-RBD >2500 U/mL (potential threshold for Omicron BA.1 neutralization^{[7](#page-14-6),[34](#page-15-16)}) and (2) negative binomial regression with RVE for continuous anti-RBD. Based on published literature.^{[1](#page-14-0)} multivariable models included high-dose mycophenolate (MMF; >1000 mg mycophenolate mofetil or >720 mg mycophenolic acid, daily), transplant vintage, and post hoc inclusion of day 30 $CD4⁺$ TCR breadth given mechanistic plausibility and exploratory data analysis.

The proportions of participants with SARS-CoV-2–reactive repertoires ($[I+]T-MAP$) on day 0 and day 30 was compared used

Figure 1. (A) Anti-receptor binding domain (anti-RBD) titers in KTRs following a third mRNA vaccine dose, stratified by day 0 anti-RBD level. Blue trajectories represent anti- RBD^{NEG} (n = 39) and yellow trajectories represent anti-RBD^{LO} low-titer ($n = 42$). Anti-RBD titers are represented in units/mL on the logarithmic scale. Triangles represent participants who developed incident COVID-19 (n $= 4$), and circles represent participants receiving monoclonal antibody (mAb) $(n = 1)$. Squares represent participants with a history of COVID-19 prior to third vaccination. (B) Comparison of anti-receptor binding domain (anti-RBD) titers between KTRs and HCs before and 30 days after a third mRNA vaccine dose. Anti-RBD titers are represented in units/mL on the logarithmic scale. Triangles represent participants who developed incident COVID-19 ($n = 1$), and circles represent participants receiving monoclonal antibody (mAb) ($n = 1$) before day 30. Squares ($n = 6$) represent participants with a history of COVID-19 prior to third vaccination. HC, healthy control; KTR, kidney transplant recipient; RBD, receptor binding domain.

Anti-RBD Ig Before and After a Third mRNA Vaccine Dose in KTRs versus HCs

Table 1

Demographic and transplant characteristics of KTRs, by day 0 anti-RBD level.

(continued on next page)

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Table 1 (continued)

ALC, absolute lymphocyte count; BMI, body mass index; CMV, cytomegalovirus; DSA, donor-specific antibody; FSGS, focal segmental glomerulosclerosis; GFR, glomerular filtration rate; IgG, immunoglobulin G; HCV, hepatitis C virus; IQR, interquartile range; IS, immunosuppressant. Continuous outcomes compared by Wilcoxon rank-sum testing and categorical variables were compared by Fisher exact testing.

^a Donor HLA typing unavailable for $n = 1$ participant and day 0 recipient DSA screening missing for $n = 1$ participant.

^b Any combination of 3 immunosuppressants at day 0 (calcineurin inhibitor, antimetabolite, corticosteroid).

^c By positive prior molecular testing or reactive anti-nucleocapsid antibody at enrollment.

 d T cell subtyping performed on $n = 34$ KTRs (16 anti-RBD^{NEG}, 18anti-RBD^{LO})

McNemar's and Fisher exact testing. Associations of baseline characteristics with day 30 $(+)$ T-MAP were assessed using Poisson regression with RVE; indeterminate repertoires were excluded from comparative analyses. Differences in TCR breadth and depth from day 0 to day 30 were analyzed by Wilcoxon rank-sum and matched-pairs signed-rank test as appropriate.

Associations between day 30 spike-specific TCR expansion and anti-RBD were assessed by linear fit and Spearman rank. Participants with undetectable SARS-CoV-2 TCRs were assigned values of 1 \times 10⁻⁶ for analytical and visualization purposes and excluded on sensitivity analysis. Among KTRs, day 30 response patterns were assessed across 2 binary dimensions, $(+)$ /(-)anti-RBD and $(+)$ /(-)T-MAP, with comparison of participant characteristics.

Modeling outputs are presented in the style of Louis and Zeger^{[35](#page-15-17)}, lower 95% CIPoint Estimate_{upper} 95% CI. Two-sided α < 0.05 denotes statistical significance. Analyses were performed using Stata/SE_17.0.

3. Results

3.1. Study population

After screening, $n = 81$ KTRs ($n = 39$ anti-RBD^{NEG}, $n = 42$) anti-RBD^{LO}) were administered a third homologous vaccine dose (22 Moderna mRNA-1273, 59 Pfizer-BNT162b2) at median (interquartile range [IQR]) 167 (149-177) days after dose 2.

Demographics, laboratory, and transplant factors were similar between anti-RBD^{NEG} and anti-RBD^{LO} [\(Table 1](#page-5-0)).

The HC cohort included $n = 19$ persons, median (IQR) age 29 (28-35) years, all of whom received third homologous monovalent BNT162b2 vaccination median (IQR) 269 (261-277) days after dose 2 (Supplement). Two showed evidence of prior COVID-19 by day 0 anti-nucleocapsid testing.

3.2. Antibody and neutralization

3.2.1. Binding antibody responses

Among 79 KTRs (excluding $n = 2$ who developed COVID-19 or received mAb), median (IQR) day 30 anti-RBD titer was 561 (8.9-2567.5) U/mL ([Fig. 1](#page-4-0)A), as compared with 13 170 (9915-28 755) U/mL in HCs (23-fold lower, $P < .001$; [Fig. 1B](#page-4-0)). Day 30 median (IQR) anti-RBD was $>$ 270-fold higher in anti-RBD^{LO} vs anti-RBD^{NEG} KTRs: 2438.5 (740.3-5352.5)U/mL vs 9.0 (<0.4-147)U/mL (P <.001), respectively. In KTRs, anti-RBD decreased 38% by day 90, with an estimated half-life of 71 days.

Among anti-RBD^{NEG} KTRs, 17/39 (44%) remained seronegative on day 30 (vs 0 HCs). Demographic, immunosuppressant, and vaccination factors were similar among KTRs who did vs did not seroconvert (Supplementary Table S1). Persistently seronegative KTRs demonstrated lower median (IQR) IgG levels (779 [714-881] vs 965 [846-1128] mg/dL, $P = .012$) and absolute lymphocyte counts (0.70 [0.59-1.36] vs 1.16 [0.93-1.57] K/mm³, $P =$ 035), with a trend toward lower $CD4^+$ T cell counts (120 [98-146] vs 223 [147-258] cells/ μ L, $P = .07$) (Supplementary Table S1).

Table 2

Associations between clinical factors and day 30 anti-RBD level.

Crude univariable associations are presented for the outcomes of high-titer anti-RBD response (>2500 U/mL) and continuous anti-RBD level at day 30. An adjusted multivariable model for continuous anti-RBD response is also presented (n = 63). Bolded values represent statistical significance at the $P < .05$ level. Note: All analyses excluded $n = 1$ participant with incident COVID-19 and $n = 1$ participant who received monoclonal antibody; the mycophenolate univariable analysis excluded $n = 1$ additional participant with inconsistent medication use during follow-up (was not prescribed high-dose mycophenolate). Sample sizes for all other

univariable models are indicated next to the variable name.

High-level anti-RBD ($>$ 2500 U/mL, n = 20 [25%] KTRs vs n = 19 [100%] HCs) was associated with older transplant vintage (Ratio = $_{1.17}$ 1.35_{1.55} [per 5 years], $P < .001$) ([Table 2\)](#page-7-0) in KTRs, but not participant age or mRNA-1273 (vs BNT162b2). Anti-RBD was lower among KTRs taking high-dose MMF (Ratio $=$ $_{0.04}$ 0.24_{1.70}, P = .15), without reaching statistical significance.

3.2.2. Neutralization

Among 79 KTRs, the proportion demonstrating ancestral strain neutralization ($>$ 25% ACE2i) increased from 0% (n = 0) to 34% by day 30 (n = 27; 24 anti-RBD^{LO} vs 3 anti-RBD^{NEG}) (McNemar's $P < .001$). No KTR showed Omicron subvariant neutralization on day 0 and a minimal increase by day 30: 2 (3%), 0 (0%), 0 (0%), 4 (5%) neutralized BA.1, BA.2, BA.2.75, and BA.5 spike, respectively (all anti-RBD^{LO}; McNemar's $P > .05$ all subvariants; [Fig. 2](#page-8-0)). Of the KTRs showing BA.5 neutralization at day 30, 0/4 and 2/4 showed BQ.1.1 and XBB.1 neutralization, respectively. Confirmatory live virus testing of KTR samples on day 30 detected ancestral $nAb > 1:20$ in 33 (42%, 29 anti-RBD^{LO}, median NT₅₀ 1:80), and BA.1 nAb > 1:20 in 6 (8%, all anti- RBD^{LO} , median NT₅₀ 1:40 [low-level]) (Supplementary Fig. S2).

Among $n = 19$ HCs, the proportion demonstrating ancestral strain neutralization increased from 16% (n = 3) to 100% (n = 19) by day 30 (McNemar's $P < .001$). No HC demonstrated Omicron subvariant neutralization on day 0, with a significant increase by day 30: 8 (42%), 9 (47%), and 13 (68%) neutralized BA.2,

BA.2.75, and BA.5 spike by day 30 (McNemar's $P < .01$, all subvariants). Of HCs with BA.5 neutralization on day 30, 11/13 and 12/13 showed BQ.1.1 and XBB.1 neutralization, respectively.

For each variant tested, median %ACE2i and proportion $>$ 25% were significantly higher on day 30 in HCs than in KTRs (P) < .01 by rank-sum and Fisher exact testing, respectively, except for median BA.2 %ACE2i $[P=.45]$). History of prior COVID-19 did not appear associated with augmented neutralization in either group on day 30. Interestingly, the highest Omicron sublineage neutralization was observed in a KTR with breakthrough infection (see Breakthrough infections, Section [3.5\)](#page-9-0).

3.3. Cellular analyses

3.3.1. SARS-CoV-2 spike-specific CDB^+ T cell response (pentamer staining)

Among HLA-A*02 KTRs, 0/33 (0%) showed spike-specific $CD8⁺$ T cell response on day 0, increasing to 3/32 (9%) by day 30 (n = 2 anti-RBD^{LO}) (McNemar's $P = .25$, [Fig. 3\)](#page-8-1). In contrast, 7/ 9 (78%) HCs showed spike-specific CD8⁺ response on both day 0 and day 30. Median (IQR) $CD8^{+}\%$ was 4.5-fold lower in KTRs than HCs on day 0 (0.0082% [0.0046-0.0098] vs 0.037% [0.036- 0.072], P <.001) and 9.7-fold lower on day 30 (0.0079% [0.0031- 0.014] vs 0.077% [0.031-0.22], $P < .001$). The change in CD8⁺% among KTRs from day 0 to day 30 was not significant ($P = .28$),

Figure 2. Neutralizing capacity against SARS-CoV-2 variants before and 30 days after a third mRNA dose in KTRs and HCs. The Y axis represents percent ACE2 inhibition, ranging 0% to 100% with >25% consistent with neutralizing inhibition (dashed orange line). Triangles denote participants with incident COVID-19 ($n = 4$) and open circles denote participants receiving mAb ($n = 1$). Squares indicate participants with a prior history of COVID-19 (n $=$ 4 KTRs, n $=$ 2 HCs). HC, healthy control; KTR, kidney transplant recipient.

Figure 3. SARS-CoV-2 spike-specific $CDB⁺$ memory T cell responses before and after a third mRNA vaccine dose in KTRs and HCs. Flow cytometric data (epitope staining) are presented for HLA-A*02 participants. The dashed orange line represents background staining threshold (<0.03%). Triangles denote participants who developed COVID-19 $(n = 1)$ and squares indicate participants with prior history of COVID-19 ($n = 3$). HC, healthy control; KTR, kidney transplant recipient.

although it trended toward an increase in HCs $(P = .07)$. Day 30 $CD8⁺$ T cell response did not correlate well with anti-RBD level (KTR $r_s = +0.17$, HC $r_s = -0.23$, Supplementary Fig. S4), although all KTRs with positive $CD8⁺$ % had positive anti-RBD (2) measurements >2500 U/mL). Both HCs with prior COVID-19 showed positive $CDB⁺$ response at day 0 and day 30, whereas the 1 KTR with prior COVID-19 showed a negative response at both time points.

3.3.2. SARS-CoV-2 T cell repertoire analysis (TCR sequencing)

SARS-CoV-2–reactive TCR repertoires ([+]T-MAP) were detected in 10/52 (19%) KTRs on day 0, increasing to 27/52 (52%) by day 30 (McNemar's $P < .001$), after excluding participants with indeterminate repertoires ($n = 12$). Day 30 reactive repertoires were ~2-fold more frequent in anti-RBD^{LO} 18/28 (64%) vs anti-RBD^{NEG} 9/24 (38%), $P = .09$. In contrast, among HCs, 6/19 (32%) and 14/19 (74%) had $(+)$ T-MAP on day 0 and day 30, respectively (McNemar's $P < .001$, Fisher exact $P = .11$ vs day 30 KTR%).

Among KTRs, demographics factors were similar between $(+)$ and (-)T-MAP on day 30, apart from older transplant vintage in $(+)$ T-MAP (median [IQR] 8.1 [4.9-13.3] vs 4.9 [2.2-8.8] years, $P =$.04, Supplementary Table S4). No demographic or transplant factors were significantly associated with $(+)$ T-MAP on day 30, apart from anti-RBD^{NEG} status (Ratio = $_{0.30}$ 0.55_{1.00}; P = .048) (Supplementary Table S3).

Among KTRs, total spike-specific TCR breadth ("unique clones") increased 2-fold from 1.90 \times 10⁻⁵ to 3.90 \times 10⁻⁵ (P $<$.001) and depth ("total clones") 2.9-fold from 6.9×10^{-6} to 1.99 \times 10⁻⁵ (P < .001) between day 0 and day 30 (Supplementary Table S5, Supplementary Fig. S6); these measures were highly correlated ($r > 0.9$, Supplementary Fig. S5). TCR response was more prominent in the $CD4^+$ compartment; spike-specific CD4⁺ breadth increased 1.47 \times 10⁻⁵ to 2.62 \times 10⁻⁵; P < 001, whereas spike-specific $CD8⁺$ breadth expansion was more limited (<1.0 \times 10⁻⁶ to 1.89 \times 10⁻⁶; P = .002). Notably, all dimensions of the spike-specific TCR repertoire at day 30 were 2- to 5-fold greater in anti-RBD^{LO} vs anti-RBD^{NEG} participants, eg, spike-specific CD4⁺ breadth of 3.67 \times 10⁻⁵ vs 1.39 \times 10⁻⁵ $(P = .026)$. As expected, there was no significant increase in nonspike TCRs between day 0 and day 30 (median breadth 2.12×10^{-5} to 2.17 \times 10⁻⁵, $P = 0.37$; median depth 9.68 \times 10⁻⁶ to 9.55×10^{-6} , $P = 25$; [Supplementary Table S5, Supplementary Fig. S6]).

Similar repertoire changes were observed in HCs between day 0 and day 30, with significant expansion of spike-specific TCRs, particularly CD4⁺ ($P < .001$ for breadth, depth), without significant nonspike TCR expansion $(P > .05$ for breadth, depth). Interestingly, there was no difference in day 30 spike-specific $CD4^+$ measures between HCs and KTRs (CD4⁺ breadth 2.52 \times 10⁻⁵ vs 2.62 \times 10⁻⁵, P = .63; Supplementary Table S5). Spikespecific $CD8⁺$ measures, however, were all significantly greater in HCs vs KTRs, particularly $CDB⁺$ depth (7.6-fold higher on day 30, $P = .001$).

Day 30 spike-specific CD8⁺ TCR breadth (r_s = 0.44, P = 01) and depth ($r_s = 0.53$, $P = .001$) positively correlated with spikespecific $CD8^{+}\%$ by MHC-pentamer staining, though this relationship was primarily driven by HCs (Supplementary Fig. S7).

Multivariable modeling of day 30 anti-RBD level in KTRs incorporating TCR measures revealed a positive association of day 30 spike-specific CD4⁺ T cell breadth (aRatio = $_{1.05}$ 2.05_{4.02}) [per 1 log], $P = .037$) and a highly significant negative association of high-dose MMF (aRatio= $_{0.02}$ 0.06 $_{0.18}$, P < .001) after accounting for transplant vintage. On sensitivity analysis excluding participants with 0 TCR breadth, the point estimate for high-dose MMF was similar (aRatio $=$ 0.030.070.16, P < .001), whereas CD4⁺ breadth point estimate increased (aRatio = $_{5.31}11.51_{24.92}$) [per 1 log], $P < .001$).

3.4. Response patterns after full vaccination: humoral and cellular correlations

3.4.1. Categorization of anti-RBD and T cell responses

Response patterns on day 30 were characterized using dichotomous categories of $(+)/(-)$ anti-RBD and $(+)/(-)$ T-MAP (n $=$ 55 KTRs; excluding n $=$ 8 with indeterminate T-MAP, n $=$ 1 with incident COVID-19, and $n = 1$ with incident mAb). Global negative response (-)anti-RBD/(-)T-MAP was seen in 4 (7%) participants vs global positive response $(+)$ anti-RBD/ $(+)$ T-MAP in 22 (40%). Discordant responses were seen in 29 (53%) participants: 24 (44%) with $(+)$ anti-RBD/ $(-)$ T-MAP and 5 (9%) with $(-)$ anti-RBD/(+)T-MAP (Supplementary Table S6). High-dose MMF was used in 3/4 (75%) with global negative responses, as compared with 20% (range 13% to 27%) of participants with other patterns (Supplementary Table S6, Fisher exact $P = .037$). Age and other demographic features were similar across response patterns apart from oldest transplant vintage in persons with (-)anti-RBD/ (+)T-MAP ($P = .046$). As all HCs showed (+)anti-RBD at day 30 (74% global positive response).

3.4.2. Association of TCR repertoire expansion and anti-RBD response

Among KTRs with $(+)$ anti-RBD on day 30, there was a positive correlation between spike-specific $CD4^+$ TCR breadth and anti-RBD on day 30 ([Fig. 4](#page-10-0), $r_s = 0.34$, $P = .02$); a similar association was observed with spike-specific $CD4^+$ T cell depth [\(Fig. 4,](#page-10-0) $r_s = 0.34$, $P = .02$). Correlations with CD4⁺ breadth ($r_s =$ 0.41, $P = .007$) and depth ($r_s = 0.41$, $P = .008$) were similar after excluding KTRs with negative TCR values. In contrast, among KTRs with (-)anti-RBD on day 30, spike-specific $CD4^+$ TCR responses varied widely. Additionally, spike-specific $CD4^+$ TCR breadth on day 30 was similar between anti-RBD^{NEG} KTRs who did vs those who did not seroconvert ($P = .11$, data not shown). Correlations between day 30 anti-RBD level and $CDB⁺ TCR$ breadth ($P = .06$) or depth ($P = .05$) were not statistically significant ([Fig. 4](#page-10-0)).

KTRs with global positive responses at day 30 had median (IQR) anti-RBD 1499 (118-5225) U/mL, including 10 (45%) with anti-RBD >2500 U/mL, and 2 (9%) demonstrated Omicron BA.5 neutralization. In contrast, KTRs with $(+)$ anti-RBD/(-)T-MAP (discordant pattern) demonstrated median (IQR) anti-RBD 441 (23-1124) U/mL ($P = .03$ vs global positive), including only 3 (10%) with anti-RBD >2500U/mL (0 showed BA.5 neutralization). Overall, anti-RBD $>$ 2500U/mL was achieved in 37% $(+)$ T-MAP vs 11% (-)T-MAP KTRs $(P=.029)$.

In contrast, among HCs, there was no significant correlation between CD4⁺ TCR measures and anti-RBD (breadth $r_s = 0.24$ $[P = .3]$; depth $r_s = 0.20$ $[P = .4]$). Additionally, there was no significant difference in anti-RBD level if $(+)$ T-MAP vs $(-)$ T-MAP (median 13 976 U/mL vs 12 885 U/mL, $P = .7$).

3.5. Breakthrough infections

There were 13 SARS-CoV-2 infections among KTRs (16%) at median 99 days (range 13-141) after third vaccination ([Table 3](#page-11-0)). Four KTRs were infected before day 90, during the Delta wave,

A: Kidney transplant recipients

B: Healthy controls

Figure 4. Response patterns following third mRNA vaccine doses: correlation of SARS-CoV-2 antibody and T cell responses. Scatterplot of antireceptor binding domain (RBD) level and dimensions of SARS-CoV-2 T cell receptor expansion (spike-specific CD4+ and CD8+ breadth and depth) on the logarithmic scale at day 30 post vaccination among kidney transplant recipients (A, $n = 55$) and healthy controls (B, $n = 19$). Data points are colorized by response pattern, $(+)$ /(-) anti- RBD and $(+)$ /(-)T-MAP (SARS-CoV-2-reactive T cell repertoire). Trend lines visualize correlation between vaccine responses in participants with detectable signatures (i.e., (+)anti-RBD and categorizable T cell receptor repertoire).

Table 3

Clinical and immunological characteristics of 13 breakthrough SARS-CoV-2 infections.

(continued on next page)

Note: Breakthrough infections identified via positive SARS-CoV-2 test or anti-nucleocapsid antibody seroconversion. Preinfection measures (anti-RBD, surrogate neutralization [%ACE2 inhibition, ≥25% consistent with neutralizing capacity], T cell reactivity [T-MAPTM TCRseq classifier and/or spike-specific CD8%]) represent last available timepoint before confirmed infection. Postinfection measures represent first timepoint following breakthrough. COVID-19 treatment was at the discretion of the primary transplant team.

^a Date of PCR confirmation available for 12 participants, date of symptom onset used for remaining 1 participant.

^b Delta wave defined as August 1 to December 1, 2021. Omicron wave (BA.1) defined as December 24, 2021 to February 1, 2022; there were no infections during period of Delta and Omicron co-circulation December 1 to December 23, 2021). Confirmatory sequencing was not performed.

 \textdegree Received prior casirivimab/imdevimab on day 16 post vaccination (active against the Delta variant).

^d Received fourth vaccine dose (monovalent mRNA booster) before postinfection sampling.

Table 3 (continued)

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whereas most (88%) late infections occurred during the Omicron BA.1 wave. Nearly all cases (92%) were symptomatic; 2 (15%) required hospitalization without intensive care. Median (IQR) anti-RBD level preinfection was 91 (16-429) U/mL, including 3 (23%) with negative titers; none displayed preinfection BA.1 neutralization, although 2 showed Delta neutralization (1 had received mAb).

Postinfection anti-RBD and neutralization were augmented in 4 KTRs infected before day 90 (triangles, [Figs. 1 and 2](#page-4-0)), above nearly all other participants (2 also received mAb). Two participants with breakthrough were the only KTRs to demonstrate high-level BA.1 nAb on day 90 (Supplementary Fig. 2), including 1 with high-level neutralization against all Omicron subvariants including BQ.1.1 and XBB.1 (did not receive mAb). Neutralizing capacity after BA.1 infections was variable, including 3/8 KTRs showing ACE2i <25% after infection (all received mAb). Of 10 participants with preinfection SARS-CoV-2 T cell data, 6/6 (100%) had negative $CD8^+$ response by MHC-pentamer staining and $3/7$ (43%) had (-)T-MAP; 1 participant with $(+)$ T-MAP preinfection required hospitalization.

4. Discussion

In this trial designed to systematically characterize immunogenicity of third mRNA vaccines in poor anti-RBD responders, we demonstrated substantial SARS-CoV-2–specific immune deficits despite full vaccination in KTRs. The findings confirm the major impact of preceding anti-RBD serostatus on subsequent responses, with nearly half anti-RBD^{NEG} failing to seroconvert. Although some participants with anti-RBD LO attained high anti-RBD titers, only 5% showed Omicron BA.5 neutralization (none neutralized BQ.1.1). SARS-CoV-2–specific $CD4^+$ responses measured by TCR sequencing improved with vaccination, dovetailing with highest-level anti-RBD, to define a global positive response pattern in 40% KTRs. Yet, even in these participants, SARS-CoV-2–specific $CD8⁺$ responses by MHC-pentamer staining and TCR sequencing were limited; <10% KTRs showed spike-specific $CD8^+$ staining, and $CD8^+$ TCR depth was >7-fold lower vs HCs. Breakthrough infections were common, predominately occurring among KTRs with lower anti-RBD and poor neutralizing capacity, without clear relation to measures of T cell reactivity.

This trial further supports the negative association of highdose MMF with humoral vaccine response, $6,10,11$ $6,10,11$ $6,10,11$ which strengthened after accounting for $CD4^+$ TCR breadth and transplant vintage, suggesting heavy lymphocyte impairments. Given suboptimal immune responses and neutralization in many KTRs following repeated mRNA vaccination, $8,13,36$ $8,13,36$ $8,13,36$ exploring perivaccination MMF reduction among low alloimmune risk KTRs is of great interest, having shown safety and potentially augmented immunogenicity in small observational studies 37 and a clinical trial^{[38](#page-15-23)}; a multicenter CPAT trial (NCT05077254) is currently underway.

Although persistent anti-RBD seronegativity was common, there was no clear association with standard clinical or transplant characteristics, and many showed equivalent $CD4^+$ expansion

as anti-RBD responders. Remarkably, $CD4^+$ expansion was similar between KTRs and HCs, despite striking differences in anti-RBD and neutralization. This suggests spike-specific $CD4^+$ T cell reactivity as necessary but not sufficient for high-level anti-RBD responses in KTRs. Coupled with findings of lower lymphocyte counts and IgG levels in persistently seronegative participants, these investigations suggest B cell dysfunction and/ or qualitative T cell defect as contributors to poor antibody response. 3 This may include metabolic dysfunction related to $MMF₁³⁹$ $MMF₁³⁹$ $MMF₁³⁹$ ineffective T follicular helper cell production, and/or costimulation. $6,19$ $6,19$ Investigating the state and interactions of B and T cells in KTRs with poor humoral response despite T cell reactivity is a potential avenue to delineate mechanisms of vaccine nonresponse and target augmentation strategies.

Breakthrough infections were common, predominantly among those with poor plasma neutralizing capacity. In the era of active mAbs, there was no critical illness, yet with loss of activity against newer Omicron sublineages, outcomes may not be as favorable. Delta variant infection elicited impressive humoral responses, including 1 KTR with cross-variant neutralization against BQ.1.1 and XBB.1, whereas immunogenicity following BA.1 infection was more variable, potentially related to high antigenic distance from the vaccine strain.^{[40](#page-15-26)} Notably, several participants showed SARS-CoV-2 T cell reactivity prior to infection, including 1 participant who required hospitalization, suggesting cellular markers may not correlate as strongly with protection against COVID-19. Given poor $CDB⁺$ response and lack of correlation with anti-RBD, it is challenging to presume strong T cell immunoprotection in the absence of high-level humoral response, although this remains a critical scientific frontier.

Strengths of this trial include explicit focus on high-risk KTRs, using clinically available biomarkers and studying associations with neutralizing measures and deeper evaluation of SARS-CoV-²–associated T cell compartments. Additionally, breakthrough ascertainment was robust, leveraging serial assessment of preand postinfection immune responses. Limitations include smaller sample size, resulting from strict inclusion criteria and contemporaneous availability of third vaccines in the community, reducing power to detect immunological associations. Additionally, due to HLA and PBMC restrictions, T cell analyses were not performed on all participants. Although the broader SARS-CoV-²–reactive T cell repertoire was interrogated, focus was upon public/immunoprevalent epitopes, and functional capacity and metabolic state of cells were not explicitly evaluated. Furthermore, although HCs provided critical framing of poor multifactorial KTR responses, cohorts were not age- and comorbiditymatched, which may explain some variance in immunogenicity. Thus, the findings are hypothesis-generating and should be considered alongside other investigations into the varied cellular immunoprotection following vaccination and infection^{[19](#page-15-25),[41](#page-15-27)} and their real-world implications for KTRs.

In summary, a third mRNA vaccine dose augmented anti-RBD in KTRs with prior detectable antibody after a 2-dose series, albeit to levels far below that of HCs; \leq 5% demonstrated contemporary Omicron sublineage neutralization. Spike-reactive $CD4⁺$ T cell repertoires after vaccination correlated with highestlevel anti-RBD response in KTRs yet did not fully discriminate humoral responders. High-dose MMF significantly impaired anti-RBD response, potentially via B cell dysfunction and/or ineffective CD4⁺ help. Paucity of neutralization and CD8⁺ response suggests vulnerability to infection in the majority of these highrisk KTRs in the Omicron era. Alternative vaccination strategies are needed to enhance immunoprotection in KTRs, particularly those with negative anti-RBD, including targeted immunosup-pression reduction^{[37,](#page-15-22)[42](#page-15-28)} or exploration of alternative platforms including adjuvanted vaccines.

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Data availability

Proposals to access de-identified data from the CPAT trials can be submitted to the CPAT trials data coordinating center (contact: christinedurand@jhmi.edu), with transfer approved on an individual basis via formal data use agreement.

Appendix A. Supplementary data

Supplementary data to this article can be found online at [htt](https://doi.org/10.1016/j.ajt.2023.03.014) [ps://doi.org/10.1016/j.ajt.2023.03.014.](https://doi.org/10.1016/j.ajt.2023.03.014)

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