

PM_{2.5} and Dementia in a Low Exposure Setting: The Influence of Odor Identification Ability and *APOE*

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Abstract.

Background: Growing evidence show that long term exposure to air pollution increases the risk of dementia.

Objective: The aim of this study was to investigate associations between PM_{2.5} exposure and dementia in a low exposure area, and to investigate the role of olfaction and the *APOE* ε4 allele in these associations.

Methods: Data were drawn from the Betula project, a longitudinal study on aging, memory, and dementia in Sweden. Odor identification ability was assessed using the Scandinavian Odor Identification Test (SOIT). Annual mean PM_{2.5} concentrations were obtained from a dispersion-model and matched at the participants' residential address. Proportional hazard regression was used to calculate hazard ratios.

Results: Of 1,846 participants, 348 developed dementia during the 21-year follow-up period. The average annual mean PM_{2.5} exposure at baseline was 6.77 μg/m³, which is 1.77 μg/m³ above the WHO definition of clean air. In a fully adjusted model (adjusted for age, sex, *APOE*, SOIT, cardiovascular diseases and risk factors, and education) each 1 μg/m³ difference in annual mean PM_{2.5}-concentration was associated with a hazard ratio of 1.23 for dementia (95% CI: 1.01–1.50). Analyses stratified by *APOE* status (ε4 carriers versus non-carriers), and odor identification ability (high versus low), showed associations only for ε4 carriers, and for low performance on odor identification ability.

Conclusion: PM_{2.5} was associated with an increased risk of dementia in this low pollution setting. The associations between PM_{2.5} and dementia seemed stronger in *APOE* carriers and those with below average odor identification ability.

Keywords: Alzheimer's disease, Apolipoprotein E, olfaction, particulate matter, vascular dementia

INTRODUCTION

There is a growing body of evidence, showing that long term exposure to air pollution can have a detri-

mental effect on cognitive abilities [1] and increase the risk of dementia [2]. One estimate suggests that 2% of dementia cases can be attributed to air pollution [3]. Research has shown that particles with a diameter less than 2.5 μm (PM_{2.5}), a common component of air pollution, can reach the brain and cause chronic neuroinflammations [4] which can become a

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driving factor of Alzheimer's disease (AD) pathology [5]. In addition, air pollution is a known risk factor for cardiovascular diseases (CVD), which in turn can cause vascular dementia (VaD).

The olfactory system is of particular interest when investigating associations between pollution and dementia. Impaired olfactory functions are associated with several neurological disorders [6] and can be an early marker for AD [7]. Olfactory impairments predicted conversion to dementia in a study drawing data from the Betula Project in northern Sweden [8], and a US study [9] demonstrated that low odor identification ability 5 years prior to diagnosis was roughly as good a predictor of dementia as screening for known biomarkers (such as amyloid- β , A β) in people already experiencing cognitive decline. In addition, one suggested pathway through which particles can reach the brain goes via the olfactory nerve. Experiments using rodents [10], as well as postmortem examinations of canines [11] and humans [12, 13], suggest that airborne particles bind to olfactory neurons, and reach the olfactory bulb through retrograde transport [14]. Finally, because olfactory receptors in the nasal cavity are directly exposed to inhaled air, olfactory functions could be especially vulnerable to air pollution exposure. A 2016 review [15] concludes that air pollution is associated with decreased olfactory functions. A recent study [16] found associations between air pollution and decline in odor identification ability, in an area with relatively low levels of pollution. However, a previous study also using data from the Betula Project [17] found no associations between air pollution and olfactory functions.

The $\epsilon 4$ allele of the *APOE* gene is also an important factor to consider. *APOE* $\epsilon 4$ is a known risk factor for both AD [18] and VaD [19] and affects many cognitive abilities, including olfactory functions [20]. Studies conducted in Mexico City, a highly polluted area, showed associations between air pollution and olfactory bulb pathology (e.g., presence of A β_{42}) even in young age [21], and that *APOE* $\epsilon 4$ accelerated this process [22]. One study, also using data from the Betula project, found associations between *APOE* $\epsilon 4$ and declining odor identification ability, independent of clinical dementia [20]. A few studies have investigated whether *APOE* $\epsilon 4$ carriers are more sensitive to the detrimental effects of air pollution on the brain. These have found stronger associations between air pollution and cognitive outcomes, such as deficits in visuo-spatial functions [23], risk of dementia [24], and rate of cognitive decline [25], in *APOE* $\epsilon 4$ carriers compared to non-carriers. However, a recent British

study [26] using a large sample ($n = 187,194$) drawn from the UK Biobank, found no evidence of a moderating effect of *APOE* on the relationship between air pollution and dementia. A previous study using data from the Betula Project [27] used NO_x as exposure variable and found no modifying effect of *APOE*.

The aim of this study was to investigate the associations between PM_{2.5} exposure and dementia, in a low exposure area. Furthermore, we wanted to increase the understanding of the role of olfaction and the *APOE* $\epsilon 4$ allele in the associations between air pollution and dementia.

METHODS

Participants and study sample

The Betula project is a population-based prospective cohort study on memory, health, and aging, that has been described in detail elsewhere [28, 29]. Briefly, the first test wave (T1) took place in 1988-1989 as a first sample (S1) of 1000 participants were randomly selected from the population registry, stratified by age and sex. The participants were recruited from Umeå municipality in northern Sweden and were distributed among 10 age cohorts, five years apart and ranging from 35 to 80 years of age. At each test wave, each participant underwent two test sessions, about one week apart. The first focused on a health examination and the second on cognitive examinations. Every five years new test waves (T2-T6) were conducted with new samples (S2-S6) being introduced, and samples from previous test waves being subject to follow-ups. In the current study we used test wave 3 (T3, 1998-2000) of the Betula project as baseline. Thus, we initially included all participants ($n = 2,830$) tested at T3. Participants younger than 55 years at baseline were excluded, as were participants with missing PM_{2.5} estimates. Participants were considered lost to follow-up, and thus not included in the study sample, if any of the following criteria were met at end of follow-up: 1) unknown dementia status; 2) diagnosed with dementia of a type other than AD or VaD (e.g., Lewy body dementia, or Parkinson's dementia); 3) date of dementia onset predated baseline test date. The final study sample consisted of $n = 1,846$ participants (see Fig. 1).

Exposure assessment

Estimates of annual mean levels of PM_{2.5} at the participant's residential address at baseline (2000)

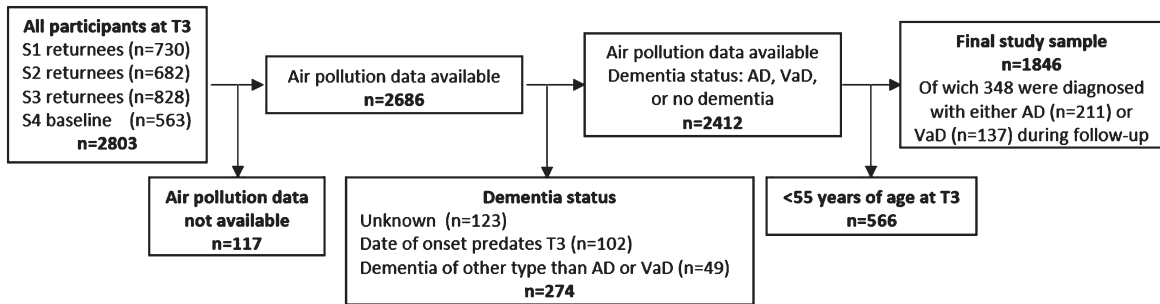


Fig. 1. Flow chart from baseline to end of follow up.

were obtained from the Swedish Meteorological and Hydrological Institute. The $PM_{2.5}$ estimates were based on a wind model and a Gaussian air quality dispersion model, the calculations of which have been described elsewhere [30]. Both regional and local emission inventories for the year 2000 were used as input to Gaussian dispersion model simulations of annual mean concentrations of $PM_{2.5}$. For inner-city streets with buildings on one or both sides, an additional concentration component was simulated with the Danish operational street pollution model [31]. Emission factors for traffic PM-exhaust for different vehicle types, speeds and driving conditions were calculated based on the Handbook on Emission Factors for Road Traffic version 3.1 [32].

The non-exhaust emissions of PM consist mainly of road wear particles, with a minor contribution from brake and tire wear [33, 34]. For small-scale residential heating, emissions were based on a detailed inventory of individual stoves and boilers, in combination with data from chimney sweepers and interviews about the amount of wood burning [30]. Industrial and energy production facilities were included as point sources in the model. Emissions from shipping were also included using a similar method as described elsewhere [35]. Finally, the annual mean long-range contribution of $PM_{2.5}$ was estimated from the rural background station Vindeln 50 km northwest of Umeå and assumed to be the same for all addresses. Thus, the $PM_{2.5}$ measure used in the present study mainly depicts within-city contrasts of locally emitted air pollutants, as the long-range contribution only varies across years, not within the study area. The resulting concentrations were lastly added to each study participant's home addresses. The spatial resolution of the model grids varied from 50 m x 50 m in urban areas, up to 3200 m x 3200 m in the most rural areas.

Dementia diagnoses

The procedure for assessing dementia status has been described in detail elsewhere [29]. In short, data to assess dementia status and disease onset was primarily drawn from clinical information in medical records, supplemented by outcomes from the Betula health- and cognitive testing. The diagnostic protocol did not systematically include cerebrospinal fluid or neuroimaging biomarkers nor postmortem neuropathological findings, but such information was part of the diagnostic decision when available. The information was sufficient for applying clinical criteria-based systems, such as the DSM-IV classification core criteria for dementia [36]. The diagnostic evaluation was performed by the same geropsychiatrist (co-author RA) throughout the study.

APOE genotyping

As part of the Betula project, blood samples were collected, and DNA was extracted to allow for genotyping of selected candidate genes implicated in AD, such as the *APOE* gene (see [37] for further details). In this study, participant's *APOE* status was classified as being either a carrier (homo- or heterozygotic), or non-carrier, of the $\epsilon 4$ allele. We were not able to stratify the sample by specific genotypes, as the group sizes would become too small. The frequencies of the different genotypes in our study sample were as follows: $\epsilon 2/\epsilon 2$, $n = 6$; $\epsilon 2/\epsilon 3$, $n = 196$; $\epsilon 2/\epsilon 4$, $n = 36$; $\epsilon 3/\epsilon 3$, $n = 904$; $\epsilon 3/\epsilon 4$, $n = 347$; $\epsilon 4/\epsilon 4$, $n = 31$.

Odor identification

From T3 and onwards, a version [38] of the Scandinavian Odor Identification Test (SOIT) [39] was administered to participants in order to assess odor

identification ability. In this version of SOIT, the response alternatives were more similar to the stimuli than in the original version in order to avoid ceiling effects in a large sample. The procedure has been described in detail elsewhere [40]. In short, the test consisted of thirteen odorants, most of them in the form of etheric oils, assumed to be familiar to the study population (e.g., vanilla, orange, bitter almond). The odors were presented one at the time, and after each presentation the participants chose one of four response alternatives. The test score equals the number of correct answers.

Covariates

A number of covariates, all known from previous research to impact cognitive functions and risk of dementia, were included in the analyses. Data on education level for the year 2000 was retrieved through Statistics Sweden and re-coded into three educational levels: compulsory (up to nine years), high school, and post-secondary education. All other covariates: age, sex, and self-reported data on smoking habits and medical history, were drawn from T3 of the Betula project. Smoking status was categorized as “*current or former smoker*” or “*non-smoker*”. Participant who reported having had stroke, heart disease, diabetes, or high blood pressure—all of which are risk factors for dementia—within the five-year period prior to T3, were classified as having a history of cardiovascular diseases and risk factors (CVDRF). In one model, we also included results from the Swedish synonym test (SRB-1). In this test, participants were presented to 30 Swedish words on paper. For each of these words five alternatives were given, and the task was to select the alternative that best described the original word. The score was the number of correct answers.

Statistical analyses

Frequencies and percentages were used to describe dementia status, sex, APOE $\epsilon 4$ status, level of education, and history of CVDRF. Frequencies, means, and standard deviations were used to describe annual mean PM_{2.5} exposure, age, and SOIT-score. Cox proportional hazard models were used to calculate hazard ratios (HR) and 95% confidence intervals (CI) for dementia incidence. The time scale in the model was “*years*”. Censoring occurred when a participant either died, was diagnosed with dementia, was lost to follow-up, moved outside the catchment area of the Betula study, or at the end of the study period. The first

model was adjusted for age. The second was additionally adjusted for sex, APOE $\epsilon 4$ status, SOIT-score, education level, and history of CVDRF. Individuals with missing data on any confounder were left out of the models listwise. Interaction analyses were performed using Cox proportional hazard models that included the two variables of interest and an interaction term created by multiplying these two variables. When investigating interaction effects, we adjusted our models using the same covariates as in the fully adjusted model, with the exception of CVDRF and education, since these covariates did not show significant associations with risk of dementia (see Table 2). All statistical analyses were conducted using SPSS version 26 software.

RESULTS

The study sample consisted of 1,846 participants. Baseline characteristics for the study population are summarized in Table 1. The time of follow-up ranged between 1–21 years, with an average time of follow-up of 12.2 years (SD: 5.76). During follow up, 211 participants were diagnosed with AD and 137 with VaD. The average annual mean PM_{2.5} exposure was 6.77 $\mu\text{g}/\text{m}^3$.

Cox proportional hazard regressions were used to investigate associations between PM_{2.5} and dementia incidence. As shown in Table 2, a 1 $\mu\text{g}/\text{m}^3$ difference in annual mean PM_{2.5}-exposure was associated with a hazard ratio of 1.24 in the fully adjusted model. In our study sample, the risk of dementia also increased with high age, presence of at least one APOE $\epsilon 4$ allele, female sex, and low SOIT-score. No significant associations were found between dementia incidence and history of CVDRF, or level of education.

When stratified by dementia subtype (AD and VaD), the associations between PM_{2.5} and dementia in the fully adjusted model were no longer significant (for AD: $n = 1709$, HR = 1.27, 95% CI: 0.99–1.63, $p = 0.065$; for VaD: $n = 1635$, HR = 1.31, 95% CI: 0.95–1.79, $p = 0.096$).

Because odor identification to some extent is dependent on semantic memory, we re-ran the analysis (using the fully adjusted model) while also adjusting for SRB. This only marginally changed the associations between air pollution and dementia (HR = 1.23, 95% CI: 1.01–1.50).

A significant interaction effect was found between PM_{2.5} and SOIT-score (HR = 0.90, 95% CI: 0.82–0.99 $p = 0.031$). Because APOE $\epsilon 4$ is associated

Table 1
Dementia incidence at end of follow-up, and population characteristics at baseline

| | No dementia n=1498 | Incident dementia during follow-up | |
|---|-----------------------|------------------------------------|--------------|
| | | AD n=211 | VaD n=137 |
| Years of follow-up, mean (SD) | 13.18 (5.5) | 7.7 (5.0) | 8.15 (4.6) |
| PM _{2.5} exposure, µg/m ³ , mean (SD) | 6.76 (0.56) | 6.81 (0.57) | 6.83 (0.49) |
| Age, mean (SD) | 68.9 (10.4) | 73.7 (7.9) | 75.0 (6.7) |
| Sex | | | |
| Female, n (%) | 799 (53.3) | 152 (72.0) | 86 (62.8) |
| Male, n (%) | 699 (46.7) | 59 (28.0) | 51 (37.2) |
| APOE ε4 carrier, n (%) ^a | 340 (22.7) | 100 (47.4) | 42 (30.7) |
| Odor identification score, mean (SD) ^b | 6.80 (2.20) | 6.17 (2.35) | 6.13 (2.24) |
| Missing, n (%) | 121 (8.1) | 14 (6.6) | 6 (4.4) |
| History of CVDRF, n (%) ^c | 749 (50.0) | 97 (46.0) | 88 (64.2) |
| Smoking | | | |
| Current or former smoker n (%) | 827 (44.8) | 83 (39.3) | 62 (45.3) |
| Non-smoker n (%) | 977 (52.9) | 122 (57.8) | 74 (54.0) |
| Missing n (%) | 42 (2.3) | 6 (2.8) | 1 (0.7) |
| Education, n (%) | | | |
| Compulsory, n (%) | 523 (34.9) | 101 (47.9) | 68 (49.6) |
| High School, n (%) | 554 (37.0) | 68 (32.2) | 38 (27.7) |
| Post-secondary, n (%) | 306 (20.4) | 36 (17.1) | 31 (22.6) |
| Missing, n (%) | 115 (7.7) | 6 (2.8) | 0 (0) |
| SRB, mean (SD) | 21.5 (5.4) | 20.5 (5.3) | 20.3 (5.7) |
| Missing, n (%) | 79 (5.3) | 10 (4.7) | 6 (4.4) |

a) homo- or heterozygotic carrier of the ε4 allele; b) score on the Scandinavian Odor Identification Test (0–13); c) had any of the following conditions during the five-year period prior to baseline: heart disease, stroke, hypertension, diabetes.

Table 2

Hazard ratios (HR) and 95% confidence intervals (CI) for dementia from Cox proportional hazard regressions (*p<0.05, **p<0.01, ***p<0.001)

| Age adjusted model | | |
|--------------------------------|---------|-----------|
| | HR | 95% CI |
| PM _{2.5} ^a | 1.20 | 1.00–1.45 |
| Age | 1.09*** | 1.08–1.11 |
| Fully adjusted model | | |
| | HR | 95% CI |
| PM _{2.5} ^a | 1.23* | 1.01–1.50 |
| Age | 1.11*** | 1.10–1.13 |
| Female | 1.64*** | 1.28–2.10 |
| APOE ε4 carrier ^b | 2.28*** | 1.81–2.86 |
| SOIT-score ^c | 0.91** | 0.87–0.97 |
| History of CVDRF ^d | 0.85 | 0.68–1.06 |
| Current or former smoker | 1.16 | 0.92–1.48 |
| Education | | |
| Compulsory | ref. | |
| High school | 0.81 | 0.63–1.06 |
| Post-secondary | 0.98 | 0.73–1.33 |

Notes: a) per 1 µg/m³ increase; b) homo- or heterozygotic carrier of the ε4 allele; c) score on the Scandinavian Odor Identification Test (0–13); d) had any of the following conditions during the five-year period prior to baseline: heart disease, stroke, hypertension, or diabetes.

with both risk of dementia, and olfactory deficits, we removed APOE from the model and re-ran the inter-

action analysis. This did not have an impact on the interaction between PM and SOIT score. Using the mean value of the SOIT-score ($m = 6.68$) as cut off, the sample was divided into two groups: high performers ($n = 945$) and low performers ($n = 760$). We repeated the analysis for high- and low performers separately. In these analyses we adjusted for all covariates in the fully adjusted model with the exception of SOIT-score. We found a significant association between PM_{2.5} and dementia incidence for low performers (HR = 1.32, 95% CI:1.02 –1.71), but not for high performers (HR = 1.05, 95% CI:0.78 –1.43). When stratified by dementia subtype, we found a significant association for low performers between PM_{2.5} and VaD (HR = 1.60, 95% CI:1.09–2.36), but not for high performers (HR = 0.96, 95% CI:0.57–1.60). No significant associations between PM_{2.5} and AD were found in either group (for low performers: HR = 1.26, 95% CI:0.90–1.77 for high performers: HR = 1.24, 95% CI:0.85–1.81).

A significant interaction effect between PM_{2.5} and APOE ε4 status was also found (HR = 1.54, 95% CI: 1.02–2.32; $p = 0.038$). Thereafter, the sample was divided with regards to APOE ε4 status, and we repeated the analysis for carriers ($n = 482$) and non-carriers ($n = 1364$) separately. In these analyses

we adjusted for all covariates in the fully adjusted model with the exception of *APOE*. We found a significant association between $PM_{2.5}$ and dementia incidence for *APOE* $\epsilon 4$ carriers (HR = 1.61, 95% CI: 1.15–2.24), but not for non-carriers (HR = 1.07, 95% CI: 0.83–1.38). When stratified by dementia subtype we found a significant association for *APOE* $\epsilon 4$ carriers only, between $PM_{2.5}$ and AD (HR = 1.65, 95% CI: 1.12–2.43; for non-carriers: HR = 1.06, 95% CI 0.75–1.50), and between $PM_{2.5}$ and VaD (HR = 2.28, 95% CI: 1.16–4.50; for non-carriers: HR = 0.58, 95% CI 0.77–1.61).

DISCUSSION

The aim of this study was to investigate the associations between $PM_{2.5}$ exposure and dementia, in an area with low levels of $PM_{2.5}$ exposure. Furthermore, we wanted to increase the understanding of the role of olfaction and the *APOE* $\epsilon 4$ allele in the associations between air pollution and dementia.

When running separate analyses for *APOE* $\epsilon 4$ carriers and non-carriers, the results showed that the association remained only for *APOE* $\epsilon 4$ carriers. Furthermore, when the analysis was separated into high- versus low performers on odor identification ability, an association between $PM_{2.5}$ and dementia was found only among the low performing group. Thus, both *APOE* $\epsilon 4$ carriers and those with low odor identification ability may be particularly vulnerable with regards to the associations between $PM_{2.5}$ exposure and incident dementia. When stratified by dementia subtype, the associations between $PM_{2.5}$ and dementia in the fully adjusted model were no longer significant. However, the estimates seem very similar for both subtypes, and it is likely the smaller sample size is what causes the p-values to increase.

Contrasting previous research, we did not find significant associations between dementia incidence and history of CVDRF. The narrow time-period and strict criteria of hospitalized/diagnosed CVDRF (self-report on whether the participant, within a five-year period prior to baseline (T3), had seen a doctor or had been hospitalized for any/some of the following: stroke, heart disease, diabetes, high blood pressure) only addresses a part of existing cardiovascular risk factors. Also, by study design, dementia cases with an onset predating T3 were excluded, resulting in a study population with an overall lower dementia and cardiovascular burden, limiting the possibility of finding strong associations. It should however be emphasized

that this study did not primarily aim to investigate CVDRF in relation to dementia.

Whereas most studies investigating associations between air pollution and brain health has taken place in heavily polluted urban areas such as Mexico City [11–13] or New York City [25], this current study was conducted in a small town in northern Sweden, where $PM_{2.5}$ levels are low. Participants' average $PM_{2.5}$ exposure at baseline were $6.77 \mu\text{g}/\text{m}^3$, which is only $1.77 \mu\text{g}/\text{m}^3$ above the WHO definition of clean air [44]. But despite the low exposure, we still found associations between $PM_{2.5}$ exposure and dementia. We cannot rule out that other unknown, unmeasured, pollutants are causing the observed associations. However, it is becoming more and more clear that health effects from $PM_{2.5}$ are present at even lower levels than what has been previously known, as illustrated by current WHO Air quality guidelines [41].

Our findings are in line with previous studies that, using the Betula cohort, found associations between nitrogenous oxides (NO_x) and increased risk of dementia [42], and later with $PM_{2.5}$ [43]. In the new air quality guidelines, presented by WHO in September 2021 [44], the air quality guidelines for the annual mean of $PM_{2.5}$ was lowered from $10 \mu\text{g}/\text{m}^3$ to $5 \mu\text{g}/\text{m}^3$. In the study area, the yearly annual average of $PM_{2.5}$ was 6.7, which is thus slightly above the new WHO guidelines, but very low compared to most international settings, and compared the current legally binding air quality standard based on EU recommendations of $25 \mu\text{g}/\text{m}^3$ [45].

We observed a HR of 1.23 per $1 \mu\text{g}/\text{m}^3$ increase in annual mean $PM_{2.5}$, which may seem like a very high point estimate compared with most other studies. In a recent meta-analysis, the risk of dementia increased by 3% per $1 \mu\text{g}/\text{m}^3$ increase in $PM_{2.5}$ [46]. The modelled levels of $PM_{2.5}$ in our study, however, describe contrasts in locally emitted $PM_{2.5}$, whereas PM from long distance transport is a constant. Interestingly, recent studies have indicated that near source PM may be more hazardous for health than PM from long distance transport, or that effect estimates from studies with high spatial resolution tend to be higher than in studies where the spatial resolution is lower [41]. The local contribution to $PM_{2.5}$ in this setting is very low, with a narrow distribution in our study sample. However, near-source estimates of air pollution, tend to yield stronger effect estimates compared to regional levels. For example, a Swedish study [41] showed that associations between $PM_{2.5}$ exposure and mortality can be seen even at small increases

of $1 \mu\text{g}/\text{m}^3$ or less. We therefore believe that it is relevant to study local contrasts in air pollution in low-exposure areas. It should furthermore be noted that air pollution is a complex mix of particles and gases, and that it is unclear what aspect of air pollution drives the association between annual mean $\text{PM}_{2.5}$ and dementia observed in the present study. Nanoparticles are for example only very crudely captured by annual mean $\text{PM}_{2.5}$ and would be of interest to investigate in further studies on air pollution as a risk factor for neurodegenerative disorders [47].

We know from previous research that declining olfactory functions are early markers of dementia [7, 8], and that air pollution is associated with AD related pathology in the olfactory bulb long before dementia onset [21]. Therefore, it is not surprising that the association between $\text{PM}_{2.5}$ and dementia was stronger for those with lower than average odor identification ability. Some alternative explanations also need mentioning. Previous research has shown that exposure to pollution is associated with olfactory deficits [15]. Thus, one possible explanation to our results is that pollution independently is associated with risk of dementia, and declining olfactory function. However, a previous study using the Betula cohort [17] concluded that air pollution had no detrimental effects on olfactory functions.

Exposure to air pollution has been suggested to be associated with impairments of many cognitive functions [1], and odor identification is partially dependent on both executive functions and semantic memory [48]. Thus, we hypothesized our results may to some extent reflect low cognitive performance in for example semantic memory. However, adjusting our model for SRB, which can be seen as a measure of semantic memory, did not change the associations between air pollution and dementia. In addition, a previous study using the Betula cohort, showed that *APOE* $\epsilon 4$ is associated with poor odor identification [20]. Therefore, we thought it likely that these findings, at least partially, could be confounded by the *APOE* gene. However, the interaction between PM and odor identification ability remained the same regardless if we adjusted the model for *APOE* status. These results seem to indicate that *APOE* did not confound these results.

With regards to *APOE*, we only found significant associations between pollution and dementia in $\epsilon 4$ carriers. These results are in line with a number of previous studies [23–25]. In areas with relatively high levels of pollution, exposure to pollutants is likely to

increase the risk for everyone, though the risk will increase more for *APOE* $\epsilon 4$ carriers. The results from this study indicate that in a moderately polluted area, *APOE* $\epsilon 4$ carriers may constitute a sensitive subgroup who may experience an increased risk of dementia at levels of exposure where non-carriers will not. When stratified by sub-diagnosis, the associations persisted for both AD and VaD. *APOE* $\epsilon 4$ is a known risk factor for AD, but some research also suggests that the allele increases the risk of VaD [19], which could be explained by recent findings suggesting that *APOE* $\epsilon 4$ is associated with vascular degradation in the brain [49].

Previous studies using the Beula cohort have found associations between NO_x exposure and dementia incidence [42], but with *no* clear moderating effect of *APOE* $\epsilon 4$ [27]. There are a few differences between the previous studies and this current one. The previous studies used a land use regression (LUR) model to estimate NO_x exposure from traffic related sources, whereas this current study used a dispersion model to estimate $\text{PM}_{2.5}$ exposure from all sources. Any or all of the following could help explain the differing results. Firstly: both $\text{PM}_{2.5}$ and NO_x have been associated with dementia incidence in epidemiological studies. However, it could be argued that $\text{PM}_{2.5}$ provides more relevant measures given the ample evidence on a cellular level [4, 14] of detrimental effects of PM on the brain. Secondly: the previous studies only considered traffic related pollution, whereas this current study includes $\text{PM}_{2.5}$ estimates from all sources including domestic wood burning. Thirdly: the dispersion model used in this study may provide more accurate estimates than the previously used LUR model. The two methods differ in that the LUR model is based on data from measuring campaigns that were combined with geographic information systems to provide estimates for areas in-between stations, whereas a dispersion model is a mathematical model based on emission data and meteorological conditions [50]. A study comparing the two methods of modelling [51] found that a dispersion model, compared to a LUR model, provided stronger associations between $\text{PM}_{2.5}$ and mortality of various causes. However, a 2014 review [52] concluded that both models are suitable for epidemiological studies. Interestingly, our results are also in contrast with those of a recent large study using the UK Biobank [26], which found no evidence of moderating effect of *APOE* in the associations between air pollution and dementia. We can only speculate, but the discrepancies could possibly be explained by differences in air pollution concen-

tration models (land use regression versus dispersion models); the assessment of dementia (algorithmically identified versus validated diagnoses in the Betula study); or differences in the length of the follow-up period (mean follow up time of 7 years versus 12 years), between the two studies.

A major strength of this study is the low exposure levels in our study area, allowing us to investigate associations between air pollution and dementia in an area with relatively clean air, compared to larger urban areas. Another strength of this study is the data from the Betula Project, which provided longitudinal data from a large population-based sample. The data on dementia diagnosis is of particular importance. A review from 2021 [2] found that an overreliance on administrative data or medical records can lead to underdiagnosis of dementia, and biased measures of associations between various factors and dementia incidence. Furthermore, the data on dementia incidence lean on a diagnostic protocol that consider both the richness of long-term clinical data in medical records as well as outcomes of the health- and cognitive assessments carried out within the Betula study framework. Thus, the succession of symptoms can be followed individually, and the cognitive level and trajectories of each participant is included in the diagnostic decision. In addition, continuous follow up of medical records allowed for exclusion of participants who developed dementia shortly after baseline assessment and thus may have already started experiencing cognitive impairments. However, a systematic integration of modern neuroradiological diagnostics and cerebrospinal fluid biomarkers would have further supported the accuracy of the clinical diagnosis (as discussed in [29]).

The exposure estimates are another strength of this study. Many studies within the field have used exposure estimates based on, e.g., neighborhood averages, or distance to the nearest road. Our exposure estimates come from a wind model and a Gaussian air quality dispersion model, allowing for a high-resolution grid size of 50 m x 50 m in urban areas. Having estimates of PM_{2.5} exposure specifically can also be considered a strength, as many studies within this field have used other exposures (e.g., NO_x) as a proxy when PM_{2.5} estimates have not been available. It is important to note that the density of PM_{2.5} is largely driven by larger particles in that fraction. In future studies in this setting, it would be of interest to study different size fractions within PM_{2.5}, such as ultra-fine particles (i.e., particles with a diameter <0.1 μm), and their associations with dementia,

which our exposure data currently does not allow us to do.

A possible limitation of this study, as in any study investigating the effects of air pollution, is the possibility of exposure misclassification. Though it is important to note that exposure assessment in the present study is state-of-the-art within the field, the models only provided estimates of outdoors PM_{2.5} exposure at participants residential address at baseline. This means we have no information regarding the participants' movements throughout the day, time spent indoors, exposure at the workplace, etc. Thus, exposure misclassification cannot be ruled out as a possible source of error. If this is the case, we can only speculate on the strength and direction of this bias. Yet another possibility is that it may be harder for people with olfactory dysfunctions to avoid harmful levels of air pollution exposure.

That we only use exposure estimates at baseline is a limitation in our study, and we cannot draw any conclusions as to whether a specific exposure window is of more importance. Though beyond the scope of this study, the question of when, during the lifespan, we are most susceptible to the detrimental effects of air pollution is an important one. A UK study [53] used statistical models to estimate historical exposure levels going back to the 1930s, in doing so showing associations between pollution exposure in utero and slower increase of IQ from childhood and throughout adulthood. Meanwhile, a Swedish study [54] concluded that pollution could have an impact on dementia risk also in the short-term, as their findings showed "*an increased association between exposure to higher levels of air pollution and dementia, with stronger association for the last 5 years of exposure*" [49 p.8].

The possibility of residual confounding also needs to be mentioned. Low socioeconomic status (SES) is a well-known risk factor for many ailments, including dementia. As the exposure is assessed at residential address, it may also be an indicator of SES. We control our analysis for education, which is often used as a marker for SES, albeit a crude one. The associations between SES and air pollution are not always very clear [55]. In many parts of the world, low SES also correlates with high exposure to pollutants. However, in Sweden these correlations may not follow the same pattern, as people with high SES are more likely to reside in city centers with relatively high air pollution exposure. Thus, a more accurate measure of SES in a Swedish setting might further strengthen the associations between air pollution and dementia.

Another possible limitation is the lack of data on respiratory diseases, such as COPD, which can be caused by air pollution exposure and both increase the risk for dementia [56], and olfactory deficits. Attrition is a limitation inherent in any longitudinal study, especially those concerning the elderly. Participants who continue in study over time may believe to have better health than those who do not [57]. This study has a long follow-up time and is therefore affected by attrition which may limit the generalizability of the results.

Conclusion

Our results show that long term PM_{2.5} exposure is associated with an increased risk of dementia even in a low exposure setting. The associations between PM_{2.5} exposure and dementia seem stronger in APOE ε4 carriers, and for persons with low odor identification ability. These results are in line with studies conducted in highly polluted areas. Further research is needed to get a better understanding of the link between air pollution, the APOE gene, and incident dementia.

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CONFLICT OF INTEREST

The authors have no conflict of interest to report.

DATA AVAILABILITY

Data from the Betula project cannot be made publicly available due to ethical and legal restrictions. However, access to these original data is available upon request from the corresponding author (J.A.) and after approval by the Steering Group of the Betula project (<https://www.umu.se/en/betula>). Access to data by qualified investigators is subject to scientific and ethical review and must comply with the European Union General Data Protection Regulations (GDPR)/all relevant guidelines. The completion of a data transfer agreement (DTA) signed by an institutional official will be required.

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