

General and specific patterns of cortical gene expression as spatial correlates of complex cognitive functioning

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Conflicts of interest

None

Abstract

Gene expression varies across the brain. This spatial patterning denotes specialised support for particular brain functions. However, the way that a given gene's expression fluctuates across the brain may be governed by general rules. Quantifying patterns of spatial covariation across genes would offer insights into the molecular characteristics of brain areas supporting, for example, complex cognitive functions. Here, we use principal component analysis to separate general and unique gene regulatory associations with cortical substrates of cognition. We find that the region-to-region variation in cortical expression profiles of 8235 genes covaries across two major principal components: gene ontology analysis suggests these dimensions are characterised by downregulation and upregulation of cell-signalling/modification and transcription factors. We validate these patterns out-of-sample and across different data processing choices. Brain regions more strongly implicated in general cognitive functioning (g ; 3 cohorts, total meta-analytic $N = 39,519$) tend to be more balanced between downregulation and upregulation of both major components (indicated by regional component scores). We then identify a further 41 genes as candidate cortical spatial correlates of g , beyond the patterning of the two major components ($|\beta|$ range = 0.15 to 0.53). Many of these genes have been previously associated with clinical neurodegenerative and psychiatric disorders, or with other health-related phenotypes. The results provide insights into the cortical organisation of gene expression and its association with individual differences in cognitive functioning.

1 **Introduction**

2 In any given cell, genes that are required for that cell's function are expressed. Therefore,
3 it is tenable that observed regional variations in the expression of genes across the brain
4 reflect location-pertinent cellular processes critical for functioning. Information about
5 regional gene expression profiles across the cerebral cortex has been recently used to
6 infer substrates of brain development, maintenance, and health (^{1,2,3,4}). This is achieved
7 by comparing the spatial agreement between the brain regional expression profiles of
8 individual genes or gene sets with the brain regional associations with a phenotype of
9 interest. For example, which specific genes or gene sets are more highly expressed in
10 brain regions that are most strongly related to a particular phenotype of interest (⁵)? This
11 approach, while powerful, potentially suffers from confounding by association. That is,
12 for example, the expression of an individual gene might show a correlation with a
13 phenotype because it reflects general rules that govern the spatial variation in the
14 expression of very many genes over the brain's cortex, rather than something unique to
15 the specific gene in question. There are general dimensions of spatial variation in gene
16 expression covariance, demonstrating shared covariation in expression patterns across
17 multiple genes, across the human body (⁶), and within multiple organs (⁷). This includes
18 across the human cortex (⁸), where general dimensions of gene expression have
19 previously been linked to in-vivo MRI estimates of cortical structural anatomy (⁹), and to
20 functional MRI-derived neurocognitive associations (^{10,11}). It is therefore critical to
21 control for general dimensions along which regional variation in gene expression covary
22 when seeking gene-specific associations. Because much of our information on gene
23 expression patterns in the brain (with sufficient regional fidelity for these questions)
24 comes from relatively few donors, it is also critical to seek out-of-sample replication.
25 Here, we unite micro- (gene expression) and macro-level (morphometry) information
26 about the brain, to inform the underlying molecular neurobiology of complex cognitive
27 functioning.

28 General cognitive functioning, or '*g*', is a robust and well-replicated index of individual
29 differences in cognitive functioning, capturing variance in reasoning, planning, problem-
30 solving, some aspects of memory, processing speed and abstract thinking (^{12,13}). It is
31 associated with educational attainments (¹⁴), life achievements (¹⁵), health (¹⁶), and
32 lifespan(^{17,18}). Regions of the brain proposed to support general cognitive functioning,

33 or '*g*' (and which relate to individual differences therein), have been identified via an
34 array of methods including resting state fMRI (¹⁹), structural and functional connectivity
35 (²⁰), lesion studies (²¹), post mortem brain studies (²²), and genetic information (²³).
36 These brain regions overlap substantially with those associated with other summary
37 cognitive constructs, such as executive functioning (²⁴). Macrostructural cortical
38 measures provide some convergent evidence for a specific patterning of brain regional *g*-
39 correlates, particularly highlighting parieto-frontal regions (^{25,26}). However, debate
40 remains about the loci of *g*'s cortical correlates, for which large multi-cohort analyses are
41 required (²⁷). Specifically, there is uncertainty in how much overlap there is in the spatial
42 patterns of *g* associations with cortical thickness and surface area, measures which are
43 largely phenotypically and genetically distinct (^{28,29,30,31}).

44 Here, we combine i) *post mortem* gene expression data and ii) the largest meta-analysis
45 of the cortico-macrostructural correlates (*in vivo* MRI; cortical volume, surface area and
46 thickness) of individual differences in cognitive functioning to-date. Both are available at
47 the same level of granularity with respect to brain regions, allowing us to quantitatively
48 assess spatial associations between cortical gene expression and general cognitive
49 function. Therefore, we can ask this new question: is there an association between
50 variation in gene expression across different brain areas and how strongly brain
51 structural measures are associated with cognitive functioning in those same brain
52 regions? I.e. does the brain regional map of gene expression resemble the brain regional
53 map of brain structure-cognitive function correlations?

54 In contrast to prior work looking at the cortical expression patterns of single candidate
55 genes or gene types, we discover that the expression of 8235 genes varies together in a
56 synchronised fashion across the cerebral cortex. Two major components account for the
57 majority (49.4%) of the variance in regional gene expression profiles, representing a cell-
58 signalling/modifications axis and a transcription factors axis. We address the potential
59 limitations of having only $N = 6$ tissue donors and one regional sampling approach: the
60 dimensions of gene expression are validated in two independent gene expression atlases
61 ($N = 5$, $N = 11$ tissue donors), and are not driven by a small number of individual outlier
62 regions. Similarly, our meta-analysis of associations between *g* and regional cortical
63 morphometry (volume, surface area, thickness), across 3 cohorts (total $N = 39,519$),
64 shows good cross-cohort consistency in regional mapping.

65 The patterning of g -associations with brain structural measures across the cortex are
66 associated with both of the identified gene expression components, with medium-to-
67 large effect sizes for g -volume and g -surface area associations but weaker ones for g -
68 thickness. We further identify 41 single genes whose expression patterns are individually
69 associated with g -cortical profiles beyond the two major dimensions of cortical gene
70 expression. Thus, this study provides clarity on the patterning and replicability of the
71 brain-macrostructural correlates of cognitive functioning differences, and identifies
72 novel regional global and specific gene expression patterns that might govern them.

73 **Methods and materials**

74 **Gene expression method**

75 The Allen Human Brain Atlas is a high-resolution mapping of cortical gene expression for
76 $N = 6$ donors (5 male, 1 female, age $M = 42.50$ years, $SD = 13.38$ years, range = 24-57
77 years). The complete microarray data from a custom-designed Agilent array for all 6
78 donors are openly available for download. French and Paus⁽⁵⁴⁾ summarised these data to
79 the Desikan-Killiany cortical atlas. To briefly summarise their method (for more
80 information, refer to the original paper and *Table S6*), gene expression values were
81 averaged across multiple probes. Each of the 3702 brain samples was assigned to one of
82 the 68 Desikan-Killiany regions based on their MNI coordinates, and then gene expression
83 values were averaged per region, resulting in an expression value for each gene for each
84 region. These between-donor median expression values are publicly available (³²).
85 French and Paus also provide a method of quality control for between-donor consistency
86 in regional gene expression profiles (⁵⁴). In this method, profiles with Spearman's $\rho >$
87 0.446 (equivalent to one-sided $p < .05$) between the average of donor-to-median left
88 hemisphere profile correlations are considered to have high between-donor consistency.
89 This method results in the retention of 8325 out of 20737 genes.

90 The right hemisphere expression data are based on a maximum of $N = 2$ donors,
91 compared to a maximum of $N = 6$ donors for the left hemisphere. The number of samples
92 per region is lower in the in right hemisphere ($M = 12.59$, $SD = 8.90$, range 2-34) than the
93 left hemisphere ($M = 37.32$, $SD = 24.37$, range = 6-100). Further details of the number of
94 samples and donors per region are in *Table S2*. The donor-level expression data are not
95 available, so in the present study the 8235 genes that passed the quality control protocol

96 in the left hemisphere were also analysed for the right. There is a strong correlation
97 between the expression values of individual genes between hemispheres ($r = 0.997$, $p <$
98 $2.2e-16$) suggesting that, at the hemisphere level, the relative expression values for the
99 8235 genes were not affected by the sampling differences between the two hemispheres.

100 We conducted PCA on the median gene expression values of 8235 genes across 68 cortical
101 regions – rows = cortical regions (in place of participants in a traditional PCA) and
102 columns = genes. We performed extensive checks for the validity of the first two
103 components – these are detailed in the Results section.

104 In the raw data, the right hemisphere has lower average expression values than the left
105 hemisphere (right: $M = 6.035$, $SD = 2.343$, left: $M = 6.091$, $SD = 2.368$), $t(58.345) = 6.490$,
106 $p = 2.051e-08$), an artefact of there being a maximum of $N = 2$ donors for the right
107 hemisphere compared to a maximum of $N = 6$ donors for the left. This artefact creates a
108 clear hemisphere difference centred around zero in component scores for both
109 components: Component 1: $t(65.931) = 7.794$, $p = 6.218e-11$, $M_{left} = -0.687$ ($SD = 0.715$),
110 $M_{right} = 0.687$ ($SD = 0.739$), Component 2: $t(65.931) = -5.315$, $p = 1.388e-06$, $M_{left} = -$
111 0.543 ($SD = 0.798$), $M_{right} = 0.543$ ($SD = 0.886$). However, there was a strong
112 interhemispheric correlation in scores between the 34 paired regions for both
113 Component 1 ($r = 0.815$, $p = 4.411e-09$) and Component 2 ($r = 0.725$, $p = 1.25e-06$).

114 To confirm it was appropriate to treat these hemispheric differences as an artefact of the
115 data, and thus scale the component scores in each hemisphere, we looked to the Kang et
116 al. (³³) dataset. In this dataset, there was a more even number of donors per hemisphere
117 (left hemisphere $M = 9.55$, $SD = 1.04$ donors per region, right hemisphere region $M = 7.19$,
118 $SD = 0.60$ donors per region), and there was no difference in means expression values per
119 hemisphere $t(19.344) = -0.852$, $p = .405$, $M_{left} = 7.521$ ($SD = 1.944$), $M_{right} = 7.535$ (SD
120 $= 1.943$). For the rotated scores of PC1 (which had a factor congruence of 0.96 with the
121 French and Paus expression matrix), scores were comparable between hemispheres
122 $t(19.997) = -0.265$, $p = .794$. Therefore, we deemed it appropriate to scale the component
123 scores separately for each hemisphere in the current dataset (see Figure S2).

124 Statistical overrepresentation analysis

125 To assist with interpretation of the two identified major components of gene expression,
126 PANTHER's protein analysis and GO-Slim molecular, biological and cellular (version 16.0,
127 released 2020-12-01) terms were analysed. All genes included in the PCA were submitted
128 as a reference set for the statistical overrepresentation analysis and 7389 out of 8235
129 (89%) genes were available in PANTHER, and so were used as the background set.
130 Fisher's exact test and FDR correction were used, and four subsets of genes were tested
131 for statistical overrepresentation: Component 1 loadings < -0.3 (total $N = 3371$, available
132 $N = 3099$, 92%) and loadings > 0.3 (total $N = 2093$, available $N = 2000$, 96%); and
133 Component 2 loadings < -0.3 (total $N = 3477$, available $N = 3234$, 93%) and loadings > 0.3
134 (total $N = 1706$, available $N = 1551$, 91%).

135 The statistical overrepresentation results are provided in full in a supplementary data
136 file. Some genes have absolute loadings > 0.3 on both components ($N = 3026$, 36.75%).
137 There are also a number of genes that had absolute loadings > 0.3 only on either
138 Component 1 ($N = 2438$, 29.61%) or Component 2 ($N = 2157$, 26.19%). $N = 614$ genes
139 (7.46%) did not load with an absolute > 0.3 on either component, and all statistical
140 overrepresentation tests for this set were null.

141 For the two components, a gene set enrichment analysis was run in FUMA (Functional
142 Mapping and Annotation of Genome-Wide Association Studies, <https://fuma.ctglab.nl/>).
143 Hypergeometric tests were performed to test if genes of interest were overrepresented
144 in any of the pre-defined gene sets (those with absolute loadings > 0.3 on each
145 component), with the 8235 genes as a background set. No significant ($\alpha < .05$) gene sets
146 were obtained from the reported genes in the GWAS catalog.

147 ***g* ~ cortical morphometry associations meta-analysis method**

148 Cohorts

149 The UK Biobank (UKB, <http://www.ukbiobank.ac.uk>,³⁴) holds data from ~500,000
150 participants, and for ~40,000 at wave 2 of data collection, data includes head MRI scans
151 and cognitive test data. In the current study, we did not include participants if their
152 medical history, taken by a nurse at the data collection appointment, recorded a diagnosis

153 of e.g. dementia, Parkinson's disease, stroke, other chronic degenerative neurological
154 problems or other demyelinating conditions, including multiple sclerosis and Guillain-
155 Barré syndrome, and brain cancer or injury (a full list of exclusion criteria is listed in the
156 *Supplementary tabular data file*, and see Figure S3 for N by exclusion condition). After
157 these exclusions, the final study included $N = 37,840$ participants (53% female), age $M =$
158 63.81 years ($SD = 7.64$ years), range = 44-83 years. The UKB was given ethical approval
159 by the NHS National Research Ethics Service North West (reference 11/NW/0382). The
160 current analyses were conducted under UKB application number 10279. All participants
161 provided informed consent. More information on the consent procedure can be found at
162 <https://biobank.ctsu.ox.ac.uk/crystal/label.cgi?id=100023>.

163 STRADL is a population-based study, developed from the Generation Scotland Scottish
164 Family Health Study. Participants who had taken part in the Generation Scotland Scottish
165 Family Health Study were invited back to take part in this additional study, which was
166 initially designed to study major depressive disorder, although participants were not
167 selected based on the presence of depression ⁽³⁵⁾
168 [https://www.research.ed.ac.uk/en/datasets/stratifying-resilience-and-depression-](https://www.research.ed.ac.uk/en/datasets/stratifying-resilience-and-depression-longitudinally-stradl-a-dep)
169 [longitudinally-stradl-a-dep](https://www.research.ed.ac.uk/en/datasets/stratifying-resilience-and-depression-longitudinally-stradl-a-dep). Data are available for $N = 1188$ participants. The current
170 sample includes $N = 1043$ participants, for whom both MRI head scans and cognitive data
171 are available (60% female), age $M = 59.29$ years ($SD = 10.12$ years), range = 26-84 years.
172 STRADL received ethical approval from the NHS Tayside Research ethics committee
173 (reference 14/SS/0039), and all participants provided written informed consent.

174 The LBC1936 is a longitudinal study of a sample of community-dwelling older adults most
175 of whom took part in the Scottish Mental Survey of 1947 at ~ 11 years old, and who
176 volunteered to participate in this cohort study at ~ 70 years old ^(36,37)
177 <https://www.ed.ac.uk/lothian-birth-cohorts>. The current analysis includes data from the
178 second wave of data collection, which is the first wave at which head MRI scans are
179 available, in addition to cognitive tests. In total, 731 participants agreed to MRI scanning.
180 After image processing, data were available from $N = 636$ participants (47% female), age
181 $M = 72.67$ years, $SD = 0.41$ years, range = 70-74 years. The LBC1936 study was given
182 ethical approval by the Multi-Centre Research Ethics Committee for Scotland,
183 (MREC/01/0/56), the Lothian Research Ethics Committee (LREC/2003/2/29) and the

184 Scotland A Research Ethics Committee (07/MRE00/58). All participants gave written
185 consent before cognitive and MRI measurements were collected.

186 MRI protocols

187 Detailed information for MRI protocols in all three cohorts are reported elsewhere: UKB
188 (³⁸), LBC1936 (³⁹) and STRADL⁴⁰, but are briefly summarised here. In the present sample,
189 UKB participants attended one of four testing sites: Cheadle ($N = 22,636$, 60%), Reading
190 ($N = 5463$, 14%), Newcastle ($N = 9526$, 25%), and Bristol ($N = 51$, 0.14%). The same type
191 of scanner was used in all four testing sites, a 3T Siemens Skyra, with a 32-channel
192 Siemens head radiofrequency coil. The UKB MRI protocol includes various MRI
193 acquisitions (more details available here
194 https://www.fmrib.ox.ac.uk/ukbiobank/protocol/V4_23092014.pdf) but relevant to this
195 work are the T1-weighted MPRAGE and T2-FLAIR volumes. For T1-weighted images,
196 208 sagittal slices were acquired with a field view of 256 mm and a matrix size of 256 x
197 256 pixels, giving a resolution of 1 x 1 x 1 mm³. The repetition time was 3.15 ms and the
198 echo time was 1.37 ms.

199 STRADL had 2 testing sites: Aberdeen (in the present sample, $N = 528$, 51%) and Dundee
200 ($N = 515$, 49%). Detailed information about the STRADL structural image acquisitions are
201 available here <https://wellcomeopenresearch.org/articles/4-185>. For the current analysis,
202 we used the T1-weighted fast gradient echo with magnetisation preparation volume
203 sequence. The Aberdeen site used a 3T Philips Achieva TX-series MRI system (Philips
204 Healthcare, Best, Netherlands) with a 32-channel phased-array head coil and a back
205 facing mirror (software version 5.1.7; gradients with maximum amplitude 80 mT/m
206 and maximum slew rate 100 T/m/s). For T1-weighted images, 160 sagittal slices were
207 acquired with a field of view of 240 mm and a matrix size of 240 x 240 pixels, giving a
208 resolution of 1 x 1 x 1 mm³. Repetition time was 8.2 ms, echo time was 3.8 ms and
209 inversion time was 1031 ms. In Dundee, the scanner was a Siemens 3T Prisma-FIT
210 (Siemens, Erlangen, Germany) with 20 channel head and neck phased array coil and a
211 back facing mirror (Syngo E11, gradient with max amplitude 80 mT/m and maximum
212 slew rate 200 T/m/s). For T1-weighted images 208 sagittal slices were acquired with a
213 field of view of 256 mm and matrix size 256 x 256 pixels giving a resolution of 1 x 1 x 1

214 mm³. Repetition time was 6.80 ms, echo time was 2.62 ms, and inversion time was 900
215 ms.

216 All LBC1936 participants were scanned in the same scanner at the Brain Research
217 Imaging Centre, Western General Hospital, Edinburgh, using a GE Signa LX 1.5T Horizon
218 HDx clinical scanner (General Electric, Milwaukee, WI) with a manufacturer supplied 8-
219 channel phased array head coil. More information on the structural image acquisitions
220 for the LBC1936 cohort is available in (39). For T1-weighted images (3D IR-Prep FSPGR),
221 160 coronal slices were acquired, with a field of view of 256 mm and a matrix size of 192
222 x 192 pixels giving a resolution of 1 x 1 x 1.3 mm³. The repetition time was 10 ms, echo
223 time was 4 ms and inversion time was 500 ms.

224 For all cohorts, the FreeSurfer image analysis suite (<http://surfer.nmr.mgh.harvard.edu/>)
225 was used for cortical reconstruction and volumetric segmentation. The Desikan-Killany
226 atlas parcellation yields 34 paired regional measures in left and right cortical
227 hemispheres (41). Different versions of FreeSurfer were used in the three cohorts (UKB =
228 v6.0, STRADL = v5.3, LBC1936 = v5.1), and only for UKB were T2-FLAIR volumes used to
229 improve the pial surface reconstruction. The LBC1936 and STRADL parcellations have
230 previously undergone thorough quality control, with manual editing to rectify any issues.
231 Manual edits were performed to ensure correct skull stripping, tissue identification and
232 positioning of cortical regional boundary lines. The UKB regional data were extracted
233 from the aparc.stats files and these parcellations have not been manually or automatically
234 edited. For the current study, UKB values more than 4 standard deviations from the mean
235 for any individual regional measure were excluded (UKB $M = 24.28$, $SD = 19.41$, range =
236 0–104 participants per region). For UKB and STRADL cohorts, cognitive and MRI data
237 were collected on the same day, but in LBC1936, there was a slight delay between the two
238 testing sessions ($M = 65.08$, $SD = 37.77$ days). Raw values are plotted for mean volume,
239 surface area and thickness by age and cohort in *Figure S6*, and for each region in *Figures*
240 *S7-13*.

241 Cognitive Tests

242 All three cohorts have collected data across several cognitive tests, covering several
243 cognitive domains, which enables the estimation of a latent factor of general cognitive
244 functioning (g). The cognitive tests in each cohort have been described in detail
245 elsewhere: UKB (⁴²), STRADL (⁴³), LBC1936 (^{36, 44, 45}). The measures used in the present
246 study are summarised in *Tables S11-S11*. In STRADL and LBC1936, the cognitive data was
247 used as provided, as this data has been pre-cleaned. For UKB, we coded prospective
248 memory from 0 to 1, as suggested in ⁴⁶, for numeric memory, values at -1 were removed
249 (abandoned test) and for Trail B, values at 0 were removed (trail not completed).
250 Reaction time, trail B and pairs matching scores were log transformed.

251 A latent factor of g was estimated for each cohort, using all available cognitive tests, using
252 confirmatory factor analysis in a structural equation modelling framework. Each
253 individual test was corrected for age and sex. Latent g model fits were assessed using the
254 following fit indices: Comparative Fit Index (CFI), Tucker Lewis Index (TLI), Root Mean
255 Square Error of Approximation (RMSEA), and the Root Mean Square Residual (SRMR)
256 (for model fits, see *Table S18*). For the LBC1936, g has previously been modelled with a
257 hierarchical confirmatory factor analysis approach, to incorporate defined cognitive
258 domains (^{47, 48}). Here, in keeping with these previous models, within-domain residual
259 covariances were added for four cognitive domains (Visuospatial skills, Crystallised
260 ability, Verbal memory and Processing speed). Results of the g measurement models are
261 summarised in *Tables S14-S17*, and *Figure S5*. For all cohorts, all estimated paths to latent
262 g were statistically significant with all $p < .001$.

263 The latent g scores were extracted for all participants. Those for UKB were multiplied by
264 -1 so a higher score reflected better cognitive performance, to match scores from STRADL
265 and LBC1936. Then, for each cohort, a standardised β was estimated between g and three
266 measures of cortical morphometry (volume, surface area and thickness) for each of the
267 68 regions. Cortical measures were controlled by age, sex head position in the scanner (X,
268 Y and Z coordinates), testing site (for UKB and STRADL) and lag between cognitive and
269 MRI appointments (for LBC1936). The resulting standardised β estimates for each region
270 and each measure were meta-analysed between the three cohorts (68 regions x 3

271 measures = 204 random effects meta-analyses). The full results of these meta-analyses
272 are in *Tables S14-17*.

273 Although we controlled for age in the *g*-cortical morphometry association models within
274 each cohort, each cohort had different age ranges (with the LBC1936 having a notably
275 narrow age-range of 70-74 years old), and it is possible this might affect the associations.
276 Therefore, we also tested for mean age moderation effects on meta-analytic estimates,
277 and none were significant after FDR correction (all *FDR Q* > .27), see *Tables S22-S24*.

278 Additional analyses

279 In addition to the main analyses, which focus on *g*-associations with general and specific
280 gene expression profiles, we also ran a parallel supplementary analysis simply on the
281 regional morphometry means (see *Supplementary Text 1*).

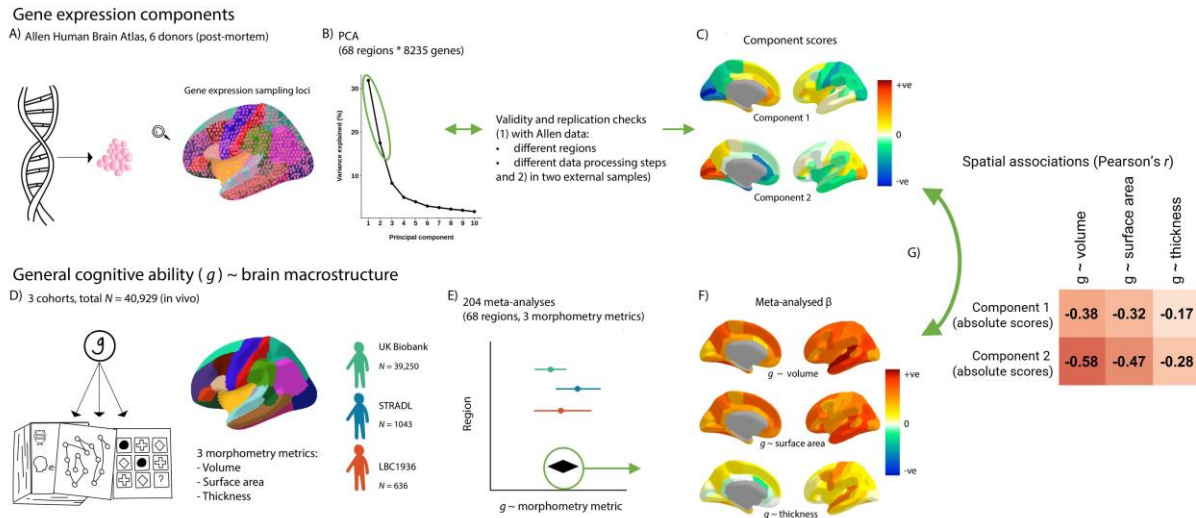
282 **Analysis software**

283 Most analyses were conducted in R 4.0.2. (R Core Team, 2020). The psych package was
284 used for PCAs (⁴⁹), the core R stats package was used for the Kruskal-Wallis tests, the FSA
285 package (⁵⁰) was used for Dunn's Kruskal-Wallis multiple comparisons, and the metafor
286 (⁵¹) package was used for the meta-analyses. All structural equation models were
287 estimated in lavaan (⁵²) with the full information maximum likelihood method. GO term
288 analyses were conducted at <http://geneontology.org/>, which is powered by PANTHER ⁵³.
289 FUMA <https://fuma.ctglab.nl/> was used for gene set enrichment analysis for the two
290 components, and previous GWAS associations with allelic status of the specific individual
291 genes-*g* associations were looked up in the GWAS catalog.

292 **Data Availability**

293 All UKB data analysed herein (including IDPs) were provided under project reference
294 10279. A guide to access UKB data is available from [http://www.ukbiobank.ac.uk/register-
295 apply/](http://www.ukbiobank.ac.uk/register-apply/). To access data from the STRatifying Resilience and Depression Longitudinally
296 (STRADL) study, which is part of the Generation Scotland study, see
297 [https://www.research.ed.ac.uk/en/datasets/stratifyin-g-resilience-and-depression-
298 longitudinally-stradl-a-dep](https://www.research.ed.ac.uk/en/datasets/stratifyin-g-resilience-and-depression-longitudinally-stradl-a-dep), and to access the Lothian Birth Cohort data, see
299 <https://www.ed.ac.uk/lothian-birth-cohorts/data-access-collaboration>.

300 Results



301

302 *Figure 1* An illustration of the analytic framework.

303 *Figure 1 note A)* Gene expression data from the Allen Human Brain Atlas was summarised to the Desikan-
 304 Killiany Atlas. B) We conducted PCA on the gene expression matrices (68 regions * 8235 genes) and two
 305 components were justified with validity checks. C) We rotated these two components, and the component
 306 scores show the relative positions of the 68 Desikan-Killiany regions on these components. D) $g \sim$ brain
 307 cortical morphometry associations were calculated for three cohorts. E) The $g \sim$ brain cortical
 308 morphometry associations were meta-analysed with random effects models. F) The meta-analysed
 309 standardised β values of each regional morphometry metric (cortical volume, surface area and thickness)
 310 show their associations with g . G) Spatial associations were tested between the brain-regional component
 311 scores for gene expression and the regional $g \sim$ brain cortical morphometry associations. Then, controlling
 312 for the regional component scores, g -associations for individual genes were calculated.

313 Two major dimensions of cortical gene expression

314 The Allen Human Brain Atlas consists of a high-resolution mapping of gene expression to
 315 the cerebral cortex for $N = 6$ donors (5 male, 1 female, Age $M = 42.50$ years, $SD = 13.38$
 316 years, range = 24-57 years). French and Paus (⁵⁴) summarised these data across donors
 317 to find the average gene expression values for each region in the Desikan-Killiany atlas
 318 and provide a method of quality control for between-donor consistency in regional gene
 319 expression profiles, which results in retention of 8325 genes (out of 20,737 originally
 320 available from the atlas). These retained genes are associated with neural gene ontology
 321 (GO) terms, and those not retained tend to have low expression across the cortex or are
 322 associated with other GO terms e.g. olfactory receptor and keratin genes (⁵⁴). This results
 323 in a gene expression matrix (rows = 68 cortical regions, columns = 8235 genes) of median
 324 gene expression values for each region for each gene across donors. Initial results of a

325 principal component analysis (PCA) on these data indicated that regional variation in
326 gene expression across the cortex occurs across very few biological dimensions (see
327 *Figure 1B*); that is, there was much similarity across genes in the patterning of their
328 expression across brain regions. Mindful of the potential limitations of basing a new
329 discovery in fundamental neuroscience on a modest *post mortem* dataset ($N = 6$ donors),
330 we performed extensive checks.

331 We tested the factor congruence of the resulting principal components in terms of: over-
332 reliance on specific cortical regions, congruence with nine different gene expression data
333 processing pipelines, in two independent samples, and different brain parcellation
334 choices. First, to test the regional dependence of the principal components, we used
335 cross-validation to create 5 random partitions of the 68 regions 50 times without
336 replacement. Each time, the partitions were arranged into two sets, one with ~54-55
337 regions (4 of 5 partitions) and the other with ~13-14 regions (1 of 5 partitions). The PCA
338 was repeated for each iteration (a total of 250 tests). Absolute coefficients of factor
339 congruence between the two sets tended to be high for the first two components (PC1: M
340 $= 0.926$, $SD = 0.064$; PC2: $M = 0.830$, $SD = 0.092$), and were notably weaker, with higher
341 variability, from the third component onwards, see *Figure 2c*. Therefore, the first two
342 components do not rely heavily on individual regions, and so were taken forward in the
343 current analysis. Unrotated, PC1 accounted for 31.9% of the variance, and PC2 for 17.5%,
344 (after varimax rotation PC1 accounts for 25.8% of the variance, and PC2 for 23.6%).

345 Although there are efforts towards developing standardised processing of gene
346 expression data (⁵⁵), there remains no consensus. There have been several proposed
347 pipelines for summarising the Allen Human Brain Atlas data, and so we sought to test
348 whether the gene expression components derived using PCA are valid when the data are
349 summarised with different processing choices. We applied the scripts provided by
350 Markello et al. (⁵⁵), that reproduce the pipelines for several studies (see *Table S6*). The
351 initial number of retained genes, and the number of genes matched to French and Paus'
352 post-consistency check genes are in *Table S8*. We investigated whether the two identified
353 components are similar when different methods of summarising the Allen Human Brain
354 Atlas to Desikan-Killiany space (see *Figure 2D*). To test this, we replicated the gene
355 expression matrix from French and Paus using Markello et al.'s scripts (⁵⁵) and the abagen
356 toolbox (⁵⁶). This replication is not exact, but very close – 8108 genes were retained and

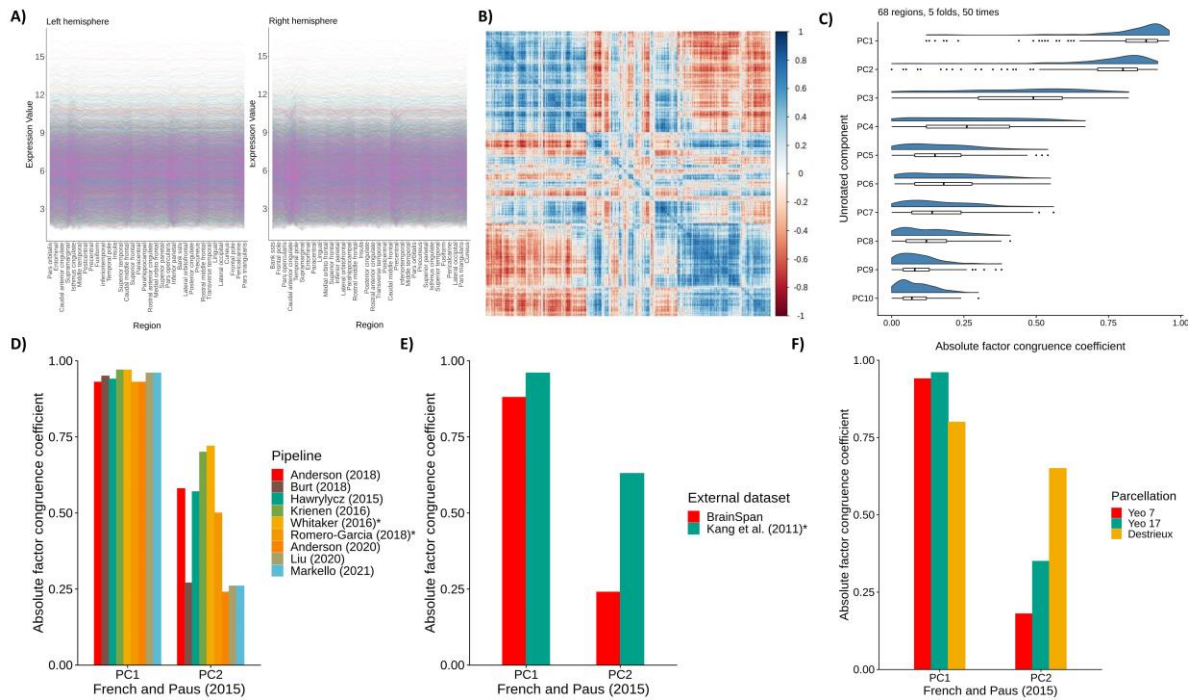
357 the factor congruence coefficient for PC1 = 0.99, and for PC2 = 0.98. We then ran PCAs on
358 the resulting gene expression matrices obtained from nine gene expression data
359 processing pipelines⁵⁵, see *Table S3*. For each pipeline, we calculated factor congruence
360 coefficients with French and Paus' method based on matched genes. Absolute coefficients
361 ranged from 0.93-0.97 for PC1 and 0.24-0.72 for PC2, see *Figure 2*. There are notable PC2
362 inconsistencies with particular pipelines - Burt (2018), Anderson (2020), Liu (2020) and
363 Markello (2021) - which are likely due to less common choices such as donor-specific
364 probe selection, stringent interareal similarity filtering thresholds, and other choices that
365 impact the number of genes retained.

366 We limited the donor age from these additional datasets to be in proximity to the age
367 range of the Allen Human Brain Atlas (24-57 years of age). See *Table S1* for descriptive
368 statistics of the validation samples. The test for between-donor consistency provided by
369 French and Paus (59) was applied to these datasets. Then, PCAs were conducted on the
370 gene expression matrices (rows = cortical regions, columns = genes), and genes were
371 matched with those in the Allen dataset to test for factor congruence. Summaries of the
372 number of retained genes at each step are in *Table S7*.

373 To test whether the first two components were generalizable beyond the 6 donors from
374 which the Allen Human Brain Atlas data were derived, we sought external validation with
375 two independent datasets, the BrainSpan Atlas <https://www.brainspan.org/> and an atlas
376 provided by Kang et al. connected to the Human Brain Transcriptome Project
377 <https://hbatlas.org/>⁵⁷. Both external datasets used the Affymetrix GeneChip Human Exon
378 1.0 ST Array Platform to summarise gene expression data. and include 11 cortical regions,
379 which have previously been roughly matched to 14 regions in the Desikan Killiany atlas.⁵⁸
380 (see *Figure S1*). For BrainSpan (donor $N = 5$), these are collapsed across hemispheres, but
381 for the Kang et al. dataset (donor $N = 11$), they are available for each hemisphere
382 separately (a total of 22 regions). Genes with consistent between-donor profiles were
383 identified, using French and Paus' procedure⁵⁹. To test for factor congruence, these were
384 then matched with the 8235 genes that were consistent between donors in the French
385 and Paus dataset, resulting in 2250 genes for the BrainSpan comparison and 908 for Kang
386 et al.. The relatively small numbers of retained genes could be due to different cortical
387 boundaries, extent of cortical coverage or the gene expression measurement and
388 sampling methods used. There was high factor congruence for PC1_{Allen} in both datasets

389 (the coefficient for $PC1_{BrainSpan} = 0.88$ and $PC1_{Kang\ et\ al.} = 0.96$) and low-moderate factor
390 congruency for $PC2_{Allen}$ (with $PC2_{BrainSpan} = 0.24$, and $PC3_{Kang\ et\ al.} = 0.63$ (see *Figure 2E*).
391 $PC2_{Kang\ et\ al.}$ did not have high factor congruence with any Allen component (the maximum
392 absolute value was 0.19, which was with $PC6_{Allen}$).

393 Lastly, we tested whether the positioning of regional boundaries affected the consistency
394 of the components (see *Figure 2F*). Three open source atlases were tested: Yeo's
395 Functional Connectivity 7 and 17 Network atlases (with 7 and 17 regions, respectively)⁶⁰
396 and the Destrieux atlas (134 regions, 67 per hemisphere)⁶¹. For all three, as with the
397 Desikan-Killiany atlas, 8108 genes matched with the 8235 from the main working
398 dataset. Again, factor congruence coefficients tended to be higher for $PC1_{Allen}$ than
399 $PC2_{Allen}$, ($PC1_{Yeo7} = 0.94$, $PC1_{Yeo17} = 0.96$, $PC1_{Destrieux} = 0.80$; $PC2_{Yeo7} = 0.18$, $PC2_{Yeo17} = 0.35$,
400 $PC2_{Destrieux} = 0.65$). Notably, factor congruence coefficients tended to increase for PC2 with
401 increasing granularity. These results may partially explain why $PC2_{BrainSpan}$ was less with
402 $PC2_{Allen}$ (11 regions, less granular) compared to $PC2_{Kang\ et\ al.}$ (22 regions, more granular).



403

404 *Figure 2* Validating gene expression components.

405 *Figure 2 note* A) Raw gene expression values for the 34 regions for the left and right hemispheres, for the
 406 8235 consistent genes. B) Correlation plot of the 8235 genes across the 68 cortical regions (8235 * 8235).
 407 C) Absolute factor congruence coefficients for the first 10 components between “train” and “test” folds
 408 (~54-55 regions, and ~12-13 regions), over 50 repetitions. D) Absolute factor congruence coefficients from
 409 different pipelines with PC1 and PC2 of the current dataset of interest, using the Desikan-Killiany atlas. *
 410 denotes that PC3 from that pipeline is compared with PC2. E) Absolute factor congruence coefficients for
 411 two external datasets with PC1 and PC2 of the current dataset of interest. * denotes that PC3 from is
 412 compared with PC2. F) Absolute factor congruence coefficients for three alternative parcellations with the
 413 PC1 and PC2 of the current dataset (which uses the Desikan-Killiany atlas).

414 In summary, just two components explain the majority of gene expression variation
 415 across the human cerebral cortex. Unrotated, PC1 accounted for 31.9% of the variance,
 416 and PC2 for 17.5%, (after varimax rotation PC1 accounts for 25.8% of the variance, and
 417 PC2 for 23.6%). These two components are not heavily reliant on individual regions, nor
 418 are they donor-specific (see Figure 2). The first component is robust across all validation
 419 tests but, for the second, we note some effects of cortical boundary positioning, sampling
 420 differences, and the number of retained genes – factors which can partly be attributed to
 421 technical confounds. With these results in mind, we extracted two components, which
 422 together account for 49.4% of the variance, with varimax rotation for further analysis.

423 Interpretation of gene expression components

424 To aid interpretation of the two components, we conducted statistical
425 overrepresentation analyses, at <http://geneontology.org/>, which is powered by
426 PANTHER (⁶²). The results suggest that Component 1 represents cell-signalling and post-
427 translational modification processes (with loadings < -0.3 providing upregulation and
428 those > 0.3 providing downregulation) (see *Figure 3* and the supplementary data file for
429 full GO results and component loadings). Prominent GO terms include i) amino acids and
430 organic compounds, which provide energy to cells and hasten chemical reactions
431 necessary for post-translational modifications, and ii) signalling terms, which convey
432 information about nutrients in the environment and support coordination between cells.
433 Component 2 is a transcription factors axis (with loadings < -0.3 providing
434 downregulation and those > 0.3 providing upregulation). The GO terms implicate
435 biosynthesis, binding and RNA polymerase II, defining characteristics of transcription
436 factors.

437 Additionally, we tested whether the distribution of component loadings differed by cell-
438 type. Zeisel et al. (⁶³) identified proteins expressed in 9 specific cell-types, from single-
439 cell transcriptomes of 3005 cells in the mouse somatosensory cortex and hippocampus.
440 Shin et al. (⁶⁴) converted these genes to human gene symbols, with the HologoGene
441 database (⁶⁵). We matched these genes with those available in our current dataset ($N =$
442 8235 genes). Out of the initial set of cell-specific genes, in our dataset there were 129/214
443 astrocytes, 204/357 CA1 pyramidal neurons, 127/321 endothelial cells, 191/415
444 ependymal cells, 181/293 interneurons, 185/374 microglia, 60/133 mural cells,
445 139/393 oligodendrocytes, 155/236 S1 pyramidal neurons, and the remaining 6864
446 proteins were “unclassified”, and treated as a baseline group.

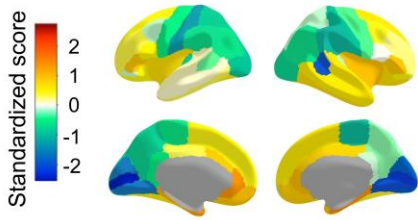
447 Descriptive statistics of component loadings for each cell-type are in *Table S3* and the
448 results of the Dunn posthoc tests are in *Tables S4 and S5*. Generally, the loadings of
449 different cell types tend to be skewed. For Component 1, loadings for all but ependymal
450 and interneuron cell types have an absolute skewness value > 0.228. For Component 2,
451 all but endothelial cells have absolute skewness > 0.187. This skewness in loadings
452 suggests that specific cell types might play a particular roles in the regulation of the two
453 components. We investigated whether specific cell types load on the two major

454 components in ways that deviate from the average distribution of “unclassified” loadings.
455 Loading distributions by cell type are shown in *Figure 3* (bottom panel) and descriptive
456 statistics and full results of Dunn posthoc tests, with p -values adjusted with the Holm
457 method, are in *Tables S3-5*. There are main effects of cell classification for both
458 components (Component 1: $H(9) = 88.986$, $p = 2.6e-15$, Component 2: $H(9) = 81.046$, $p =$
459 $1.001e-13$).

460 For Component 1, the unclassified set’s distribution tends towards the expression side of
461 the axis ($M = 0.14$, $SD = 0.49$, skewness = -0.145). This contrasts with astrocytes ($z = -4.05$,
462 $p = .002$; $M = -0.04$, $SD = 0.49$, skewness = 0.375), CA1 pyramidal neurons ($z = 05.12$, $p =$
463 $1.36-05$; $M = -0.03$, $SD = 0.50$, skewness = 0.254) and microglia ($z = -5.60$, $p = 1.86e-09$; $M =$
464 -0.11 , $SD = 0.46$, skewness = 0.506), which are skewed towards the regulation side. For
465 the second component, the unclassified set of genes tend towards regulating
466 transcription factors ($M = 0.15$, $SD = 0.46$, skewness = -0.183). Whereas, S1 pyramidal
467 cells oppose this direction ($z = -5.03$, $p = 1.98e-08$; $M = -0.05$, $SD = 0.47$, skewness = 0.200),
468 astrocytes and microglia fall more sharply on the regulation side than the unclassified set
469 of genes (unclassified kurtosis: 1.925 ; astrocytes: $z = 3.89$, $p = .003$, kurtosis = 2.582 ;
470 microglia: $z = 5.41$, $p = 2.66e-06$, kurtosis = 2.846). For all other comparisons between the
471 unclassified cells and individual cell types, $p = 1$.

472 Additionally, through FUMA <https://fuma.ctglab.nl/> we tested whether the genes with
473 absolute loadings > 0.3 on each component were significantly related to gene sets in the
474 GWAS catalog. There were no significant ($\alpha = .05$) associations for either component,
475 demonstrating the highly general nature of the two components of cortical gene
476 expression.

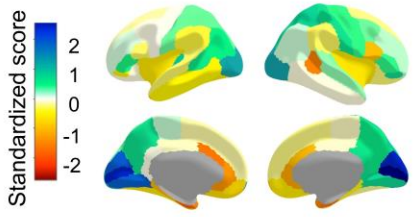
Component 1: Cell signalling/modification



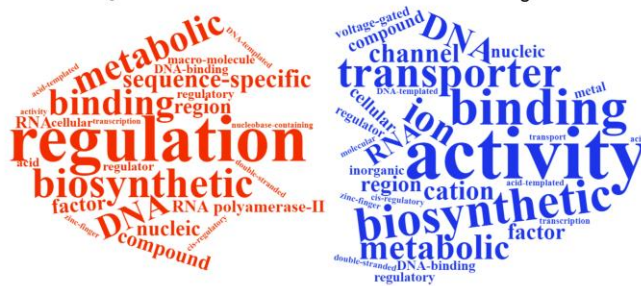
Upregulation Loadings < -0.3 ← → Downregulation Loadings > 0.3



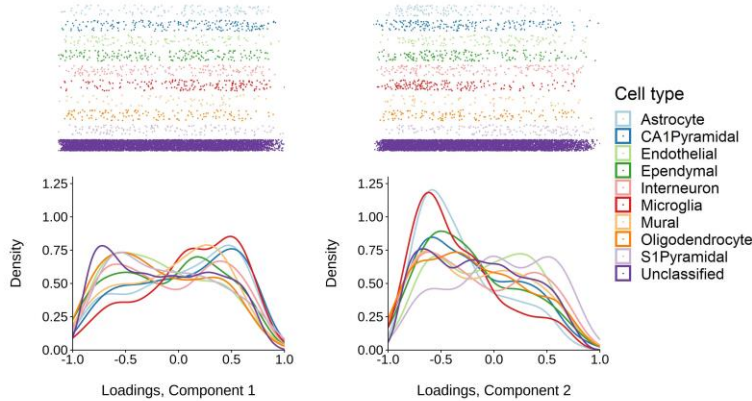
Component 2: Transcription factors



Downregulation Loadings < -0.3 ← → Upregulation Loadings > 0.3



Component loadings by cell type



477

478 **Figure 3** Two major components of cortical gene expression.

479 **Figure 3 note** Top and middle panels (Component 1 and Component 2, respectively) left: Regional z scores
 480 mapped to the cerebral cortex (scaled for each hemisphere) and right: word clouds of the statistical over-
 481 representation results. The relative direction of component scores is arbitrary (dictated by the PCA), and
 482 here, the colour scale is flipped between components so that the directions of
 483 upregulation/downregulation match. Bottom panel: Density distribution plots of loadings on Component
 484 1 and Component 2 coloured by cell type.

485 **Regional distribution of component scores across the cerebral cortex**

486 The component scores were scaled to correct for interhemispheric differences in gene
 487 expression values (see Methods for details). These component scores were then mapped
 488 to the 68 Desikan Killany regions (see *Figure 4* and *Table S9*). There was a strong
 489 interhemispheric correlation in scores between the 34 paired regions for both

490 Component 1 ($r = 0.815$, $p = 4.411e-09$) and Component 2 ($r = 0.725$, $p = 1.25e-06$). The
491 direction of upregulation and downregulation of the loadings (as determined by GO
492 analysis) informed whether the regional component scores suggested upregulation or
493 downregulation of the two components. For Component 1, negative loadings suggest
494 upregulation, and positive suggest downregulation; conversely for Component 2, positive
495 loadings suggest upregulation and negative suggest downregulation. Parietal and
496 occipital regions are on the upregulation side of Component 1 (cell
497 signalling/modification), with frontal and temporal regions indicating downregulation.
498 For Component 2 (transcription factors), lateral frontal areas tend towards balance
499 between upregulation and downregulation, whereas medial frontal regions tend towards
500 downregulation and parietal and occipital regions towards upregulation. For both
501 components and in both hemispheres, the highest absolute scores are observed in the
502 medial occipital regions (pericalcarine, cuneus and lingual), which fall strongly on the
503 upregulation side of both components.

504 We tested whether the regional mean morphometry profiles (*see Supplementary Text 1*)
505 are associated with regional gene expression component score patterning. In general, the
506 thicker a region is, the more strongly it falls on the downregulation side of both gene
507 expression components (Component 1: $r = 0.764$, $p = 3.67e-14$ and Component 2: $r = -$
508 0.799 , $p = 3.132e-16$). Associations between mean regional surface area patterns and
509 both components were small-to-moderate (Component 1: $r = -0.230$, $p = .059$, Component
510 2: $r = 0.245$, $p = .044$), and between mean regional volume and both components were
511 small and not statistically significant at the $\alpha < .05$ level (Component 1: $r = -0.082$, $p =$
512 $.504$, Component 2: $r = 0.111$, $p = .368$).

513 **Cortical morphometric associations with general cognitive functioning (*g*) – Meta-** 514 **analyses ($N = 39,519$)**

515 We first used raw data from three cohorts to estimate regional associations between
516 three MRI-derived morphometry measures (cortical volume, surface area and thickness)
517 and *g* (total $N = 39,519$; three cohorts – the UK Biobank (UKB, ⁶⁶,
518 <http://www.ukbiobank.ac.uk>): $N = 37,840$ participants (53% female), age $M = 63.81$
519 years ($SD = 7.64$ years), range = 44-83 years; STRADL (⁶⁷, a Generation Scotland imaging
520 sample): $N = 1043$ participants (60% female), age $M = 59.29$ years ($SD = 10.12$ years),

521 range = 26-84 years; and the Lothian Birth Cohort 1936 (LBC1936, ^{68,69}
522 <https://www.ed.ac.uk/lothian-birth-cohorts>): $N = 636$ participants, (47% female), age M
523 = 72.67 years, $SD = 0.41$ years, range = 70–74 years). General cognitive function (g) scores
524 were derived using confirmatory factor analysis (in a structural equation modelling
525 framework) in each of the three cohorts using multi-domain cognitive test batteries, and
526 each individual test score was corrected for age and sex. As one of the most replicated
527 phenomena in psychological science, g is based upon the tendency for performance on all
528 cognitive tests to be correlated, and is generally invariant to cognitive test content,
529 provided that multiple domains are captured (⁷⁰). These properties lend it well to cross-
530 cohort genetic analyses (²³), for example, and we leverage them here.

531 Latent g scores were extracted for all participants, and associations with three measures
532 of cortical morphometry (volume, surface area and thickness) were estimated for each of
533 the 68 regions in each cohort. Cortical measures were controlled by age, sex, head
534 position in the scanner (X, Y and Z coordinates), testing site (for UKB and STRADL) and
535 lag between cognitive and MRI testing appointments (for LBC1936). For UKB, X, Y and Z
536 co-ordinates were calculated relative to one target participant, and for LBC1936 and
537 STRADL, they were taken from the `mri_info -cras` flag output. We computed standardised
538 β estimates of the association in each brain region between g and each brain
539 morphometric property (volume, surface area, thickness) for each cohort. There were
540 strong cross-cohort correlations for g -associations between the 68 regions for each
541 measure of morphometry (see *Table 1*).

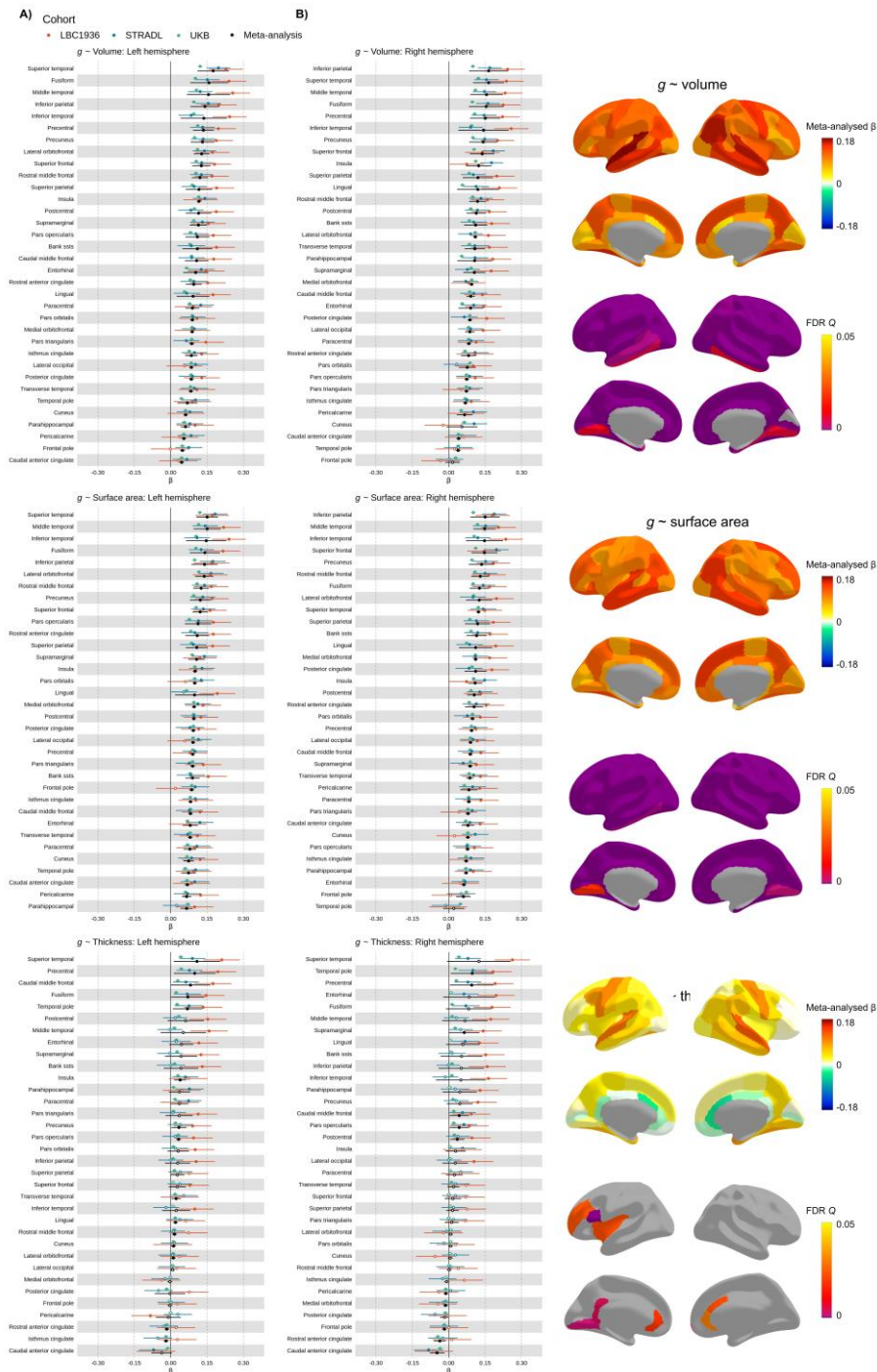
542 *Table 1* Cross-cohort correlations of regional g -associations.

543 *Table 1 note* (all $p < .001$).

Cohort comparison	$g \sim$ volume	$g \sim$ surface area	$g \sim$ thickness
LBC1936-STRADL	$r = 0.538$	$r = 0.424$	$r = 0.567$
STRADL-UKB	$r = 0.665$	$r = 0.723$	$r = 0.741$
UKB-LBC1936	$r = 0.663$	$r = 0.692$	$r = 0.692$

544 We then ran a random effects meta-analysis on the standardized β values. The meta-
545 analytic results of the three cohorts' associations between g and brain morphometry data
546 (68 regions x 3 measures = 204 meta-analyses) are summarised in *Figure 4* and reported
547 in detail in *Tables S19-S21*. Meta-analysed standardised β s for g -volume associations $M \beta$
548 = 0.103 (SD = 0.034, range from 0.015 to 0.175), for g -surface area, $M \beta = 0.102$ (SD =

549 0.027, range from 0.020 to 0.150), and for g -thickness associations $M \beta = 0.031$ (SD =
 550 0.035, range = -0.048 to 0.124).



551

552 *Figure 4* Meta-analysed brain regional associations with g .

553 *Figure 4 note* A) Standardised β estimates mapped to the cerebral cortex. B) Meta-analysed standardised β
 554 estimates for g -volume, g -surface area and g -thickness. Those for which $p < .05$ are filled in, and those for
 555 which $p > .05$ are outlined. The y-axis is ordered by the meta-analysed β values (decreasing).

556 The current results provide support for theories ^(25,26) regarding which regions are key
557 in brain morphometry-*g* associations (e.g. the parieto-frontal integration theory, P-FIT,
558 see Figure S15). Parietal and frontal regions generally have relatively strong *g*-
559 associations with volume and surface area, though not with cortical thickness. For all
560 three morphometry measures, the superior temporal region had relatively high *g*-
561 associations mean β (between hemispheres) = 0.163, 0.143 and 0.116, for volume,
562 surface area and thickness respectively. Some of the highest *g*-volume and *g*-surface area
563 associations are for the fusiform (mean β (between hemispheres) = 0.154 and 0.126, for
564 volume and surface area, respectively) and inferior parietal region (mean β (between
565 hemispheres) = 0.153 and 0.145, respectively). The precuneus regions also have among
566 the overall highest associations (mean β = 0.136, and β = 0.129), in line with updated
567 reports of regional *g*-associations ^(71, 26).

568 There is high inter-hemispheric consistency for each of the meta-analytic *g*-morphometry
569 associations: volume ($r = 0.887$, $p = 2.988e-12$), surface area ($r = 0.807$, $p = 8.105e-09$),
570 and thickness ($r = 0.878$, $p = 9.578e-12$), see *Figure S14*. In addition, estimates were
571 strongly correlated between *g*-volume and *g*-surface area associations ($r = 0.831$, $p =$
572 $1.66e-18$), and moderately correlated between *g*-volume and *g*-thickness ($r = 0.579$, $p =$
573 $2.365e-07$). As anticipated, based on previous work showing phenotypic and genetic
574 distinctions between surface area and thickness ^(28,29,30,31), the correlation between *g*-
575 surface area and *g*-thickness estimates was small and not statistically significant at the α
576 $< .05$ level ($r = 0.150$, $p = .222$).

577 To help interpret why some regions might have higher associations with *g* than others,
578 we tested correlations between regional *g*-associations and the regional mean profiles of
579 volume, surface area and thickness (reported in *Supplementary Text 1*). The regional *g*-
580 associations were positively associated with the corresponding regional mean profiles
581 for all three morphometry measures. Volume had the strongest correlation ($r = 0.709$, p
582 $1.35e-11$), followed by surface area ($r = 0.614$, $p = 2.58e-08$), and then thickness ($r =$
583 0.313 , $p = .009$). In other words, regions with stronger *g*-associations tend to be larger in
584 terms of volume and surface area, and also moderately tend to be thicker.

585 We then tested whether larger and thicker brain regions are more strongly associated
586 with *g* because they tend to be better proxies for whole-brain measures (as they

587 contribute more to the total measure). The magnitude of total brain g -volume and g -
588 surface area associations are in line with the maximum of the individual regions – g -
589 whole cortex volume ($\beta = 0.180$, SE = 0.036, $p = 5.93e-07$), g -whole cortex surface area (β
590 = 0.160, SE = 0.021, $p = 3.93e-14$). Perhaps as there are some negative g -thickness
591 associations, the g -whole cortex mean thickness association was not significant ($\beta =$
592 0.065, SE = 0.043, $p = 0.13$). We then corrected regional g -associations for these total
593 brain measures, and correlated regional profiles with and without correction. These
594 correlations are moderate-to-strong: g -volume ($r = 0.613$, $p = 2.76e-08$), g -surface area
595 ($r = 0.556$, $p = 8.78e-07$), and g -thickness ($r = 0.945$, $p < 2.2e-16$), suggesting that it is not
596 simply because larger/thicker brain regions are a better proxy for the whole brain
597 measure that they are more strongly associated with g .

598 **Interregional variation in gene expression corresponds to interregional variation** 599 **in cognitive function**

600 Next, we tested whether brain regions' differences in gene expression (as measured using
601 the two components we described earlier) are correlated with g -morphometry
602 associations. That is, we asked whether brain regions for which morphometric measures
603 (volume, surface area and thickness) are more strongly related to g were also more
604 strongly related to general dimensions of gene expression.

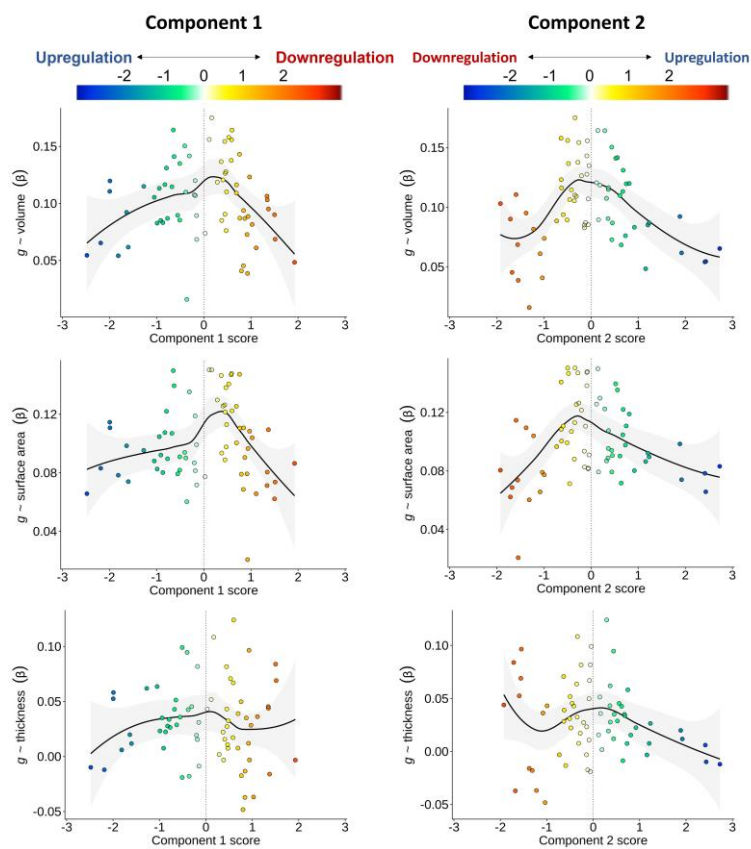
605 We tested linear correlations between the absolute component scores of gene expression
606 and the meta-analysed standardised β scores for cortical morphometry associations with
607 g , and also report the comparable quadratic regression results with non-absolute scores
608 (see *Table 2* and *Figure 5*). There were negative associations for all analyses, and those
609 for g -volume and g -surface area were moderate-to-strong and statistically significant at
610 the $\alpha < .05$ level. These results suggest that, generally, regions more strongly associated
611 with g tend to be more balanced between the downregulation and upregulation sides of
612 both cell-signalling/modification and transcription factors components.

613 There were no correlations between regional mean expression across genes and g -brain
614 morphometry association profiles for which $p < .05$ (g -volume $r = -0.023$, $p = .853$; g -
615 surface area $r = -0.058$, $p = .640$; g -thickness $r = 0.103$, $p = .403$), demonstrating the value
616 of the PCA approach as associations between genome-wide dimensions of expression and
617 g are not reducible to an average brain-wide pattern of gene expression.

618 *Table 2* Correlations of correlations: Meta-analysed *g*-cortex associations with two major gene
 619 expression components.

620 *Table 2 note.* Pearson's *r* values for the correlation between brain-*g* associations and brain-gene
 621 expression component profiles. Note that these are for linear associations using the absolute
 622 gene-expression component scores. Results for the equivalent associations using the non-
 623 absolute components scores (quadratic component) are presented in *Table S25* and *Figure 5*,
 624 which illustrates the balance between downregulation and upregulation.

<i>g</i> ~	Component 1	Component 2
Volume	$r = -0.385, p = .001$	$r = -0.582, p = 1.96e-07$
Surface area	$r = -0.345, p = .004$	$r = -0.500, p = 1.45e-05$
Thickness	$r = -0.145, p = .237$	$r = -0.255, p = .036$



625

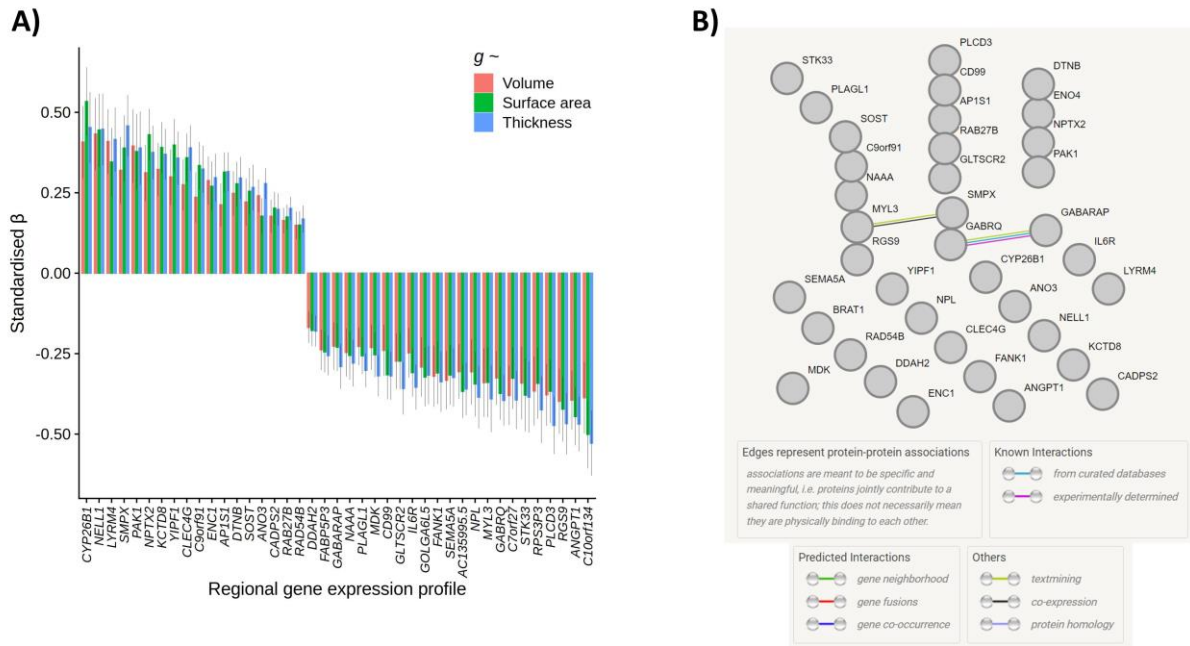
626 *Figure 5* Associations between regional-*g* profiles and the two gene expression components.

627 *Figure 5 note* LOESS functions are plotted (the quadratic model results are comparable to the absolute score
 628 correlations and are presented in *Table S25*). A vertical line at component scores of 0 represents a balance
 629 between upregulation and downregulation ends of each component. The colour scale is flipped between
 630 Components, so that the direction of downregulation and upregulation match.

631

Associations between *g* and individual genes

632 Lastly, we tested for individual gene-*g* expression pattern associations, controlling for the
633 two general components of gene expression. For all 8235 individual genes, the median
634 expression scores per region were scaled separately for left and right hemisphere
635 regions, to account for sample-based artifacts in hemisphere differences in expression
636 values, in line with the method for the component scores. After FDR correction (threshold
637 = $Q < .05$), there were 522 individual genes whose cortical patterning was correlated with
638 *g*-volume patterning, 609 with *g*-surface area and 516 with *g*-thickness (these results are
639 available in detail in the supplementary data file, and Figures S18-S20). 268 genes were
640 shared between *g*-volume and *g*-surface area, 253 between *g*-volume and *g*-thickness and
641 42 between *g*-surface area and *g*-thickness. 41 genes with *FDR* $Q < .05$ overlapped for all
642 three morphometry measures ($|\beta|$ range = 0.15 to 0.53, see *Figure 6*). These genes are
643 particularly likely candidate substrates of cognition. Some regional expression profiles
644 have positive associations with *g*-cortical measure profiles, while others have negative
645 associations. For discussion, genes with negative *g*-associations in the present study are
646 marked with an asterisk. We also ran a protein network analysis through STRING⁷²
647 (Search Tool for the Retrieval of Interacting Genes/Proteins), with a minimum required
648 interaction score of “medium confidence” (0.400), and the background set of 8235 genes.
649 Four proteins were not available in STRING, so there were 37 proteins involved in the
650 analysis (out of a possible total of 41). The results are shown in *Figure 6b*. The PPI
651 enrichment *p*-value is .909, with the expected number of edges being 4, and the observed
652 number of edges, 2, showing that the network does not have significantly more
653 interactions than expected. The only two edges that meet the threshold are between
654 GABRQ and GABARAP, and between MYL3 and SMPX. These results suggest that there are
655 not widespread interactions between these top genes, which further validates the aim of
656 establishing unique signals beyond general gene expression patterns.



657

658 *Figure 6* 41 specific associations between regional g-morphometry profiles and individual gene expression
 659 profiles. A) β values for volume, surface area and thickness regional associations with the individual gene
 660 expression profiles. B) STRING results, showing paths of evidence-based interactions between them. *Note:*
 661 only 37 out of 41 proteins were available in STRING. *Figure 6 Note* Standardised β for specific individual
 662 gene profiles (i.e. corrected for general components of gene expression) for which FDR $Q > .05$ for all three
 663 cortical morphometry associations with g .

664 Several of these 41 genes have been previously reported to be associated with
 665 Alzheimer's Disease: overexpression of *ANGPT1** has been found to increase amyloid beta
 666 secretion (⁷³) whilst *CLEC4G* suppresses amyloid beta (⁷⁴), and increased levels of *ENC1*
 667 (^{75,76}) and *NPTX2* (^{77,78,79,80}) are consistently demonstrated to have protective effects
 668 against cognitive decline in Alzheimer's disease. Other genes from this list have been
 669 associated with other neurodegenerative disorders – for example. Loss of *GABRQ**-
 670 containing neurons is an indicator of early social-emotional cognitive decline in
 671 frontotemporal dementia (⁸¹), *IL6R** has been associated with memory domain scores,
 672 and Alzheimer's disease pathology (cerebrospinal fluid pTau and A β 42/40 ratio) (⁸²),
 673 *RAB27B* regulates α -Synuclein, which is a primary indicator of Parkinson's disease and
 674 dementia with Lewy bodies (⁸³), and *DTNB* is an indicator of extent of neuronal injury
 675 and inflammation in Alzheimer's disease (⁸⁴). Additionally, *SEMA5A** has previously been
 676 associated with hippocampal volume and performance on cognitive tests (⁸⁵). *CYP26B1*
 677 is upregulated in the prefrontal cortex (⁸⁶), and there are links between its catabolism in
 678 the hippocampus and poor cognitive outcomes in mice (⁸⁷).

679 Other individual genes associated with *g*-cortical profiles have been associated with
680 cognitive functioning more generally, for example, *RGS9** has been implicated in motor
681 coordination and working memory (⁸⁸), *CADPS2* is associated with cognitive functioning
682 and memory in healthy adults (⁸⁹), and *FANK1** has been found to have genome-wide
683 significant associations with *g* in CHARGE-COGENT and UKB cohorts (²³). Some others
684 have been linked to cognitive disorders, for example *LYRM4* with schizophrenia (⁹⁰), and
685 *DDAH2** with multiple neurological conditions and psychiatric disorders (⁹¹). Previous
686 significant GWAS associations with these 41 genes were identified in the GWAS catalog
687 and are available in the supplementary data file. Recurrent associations include
688 educational attainment, body mass index (BMI), brain measurements, coronary artery
689 disease, schizophrenia, and depression (see the supplementary data file). Potential novel,
690 or less-studied, individual gene substrates of complex cognitive processing identified in
691 the present study include: *AC135995.5**, *ANO3*, *AP1s1*, *C7orf27**, *C9orf91*, *CD99**,
692 *FABP5P3**, *GABARAP**, *GLTSCR2**, *GOLGA6L5**, *KCTD8*, *MYL3**, *NAAA**, *NPL**, *PAK1*,
693 *PLAGL1**, *PLCD3**, *RPS3P3**, *SMPX*, *SOST*, *STK33** and *YIPF1*.

694 **Associations between *g* and cell types**

695 Then, we used our discovery of what is common about regional cortical gene expression
696 profiles to identify specific cell type-cognitive relationships. The mean profiles of the 9
697 specific cell types were scaled in each hemisphere, and we controlled for the two major
698 components of gene expression (detailed regression results are in *Supplementary Table*
699 *S26*). For two cell types, there were FDR $Q < .05$: ependymal cells with *g*-volume ($\beta = -$
700 0.200 , SE = 0.054 , FDR $Q = .007$) and with *g*-thickness ($\beta = -0.244$, SE = 0.053 , FDR $Q =$
701 $.001$), and for microglia, with volume ($\beta = -0.155$, SE = 0.054 , FDR $Q = .035$) and surface
702 area ($\beta = -0.175$, SE = 0.053 , FDR $Q = .013$).

703 **Discussion**

704 This study reveals and validates a fundamental organisation principle of cortical gene
705 expression patterns across the human brain. We then use this information to identify the
706 shared and specific aspects of regional cortical gene expression and show that they are
707 associated with regional brain-structure correlates of complex thinking skills. We also
708 show that this information is not obtainable by simply considering aggregate/mean
709 levels of gene expression across regions.

710 We validated our discovery of two major components of interregional variation in gene
711 expression: one indicating cell-signalling/modifications and the other, transcription
712 factors. Using the largest meta-analysis of the cortical loci of general cognitive functioning
713 (*g*) to-date, we find that regions that are more balanced between downregulation and
714 upregulation of these two gene expression components are most strongly associated with
715 *g*. Controlling for these established patterns of gene expression covariation allowed us to
716 identify which individual genes had spatial expression patterns that specifically reflect
717 cortical correlates of *g*, beyond the major dimensions of gene expression. Critically,
718 without this approach, one is likely to miss or erroneously ascribe an interpretation to an
719 individual gene, as its profile is confounded by major components of shared spatial
720 covariation across multiple gene expression patterns.

721 We conducted one of the largest analyses of *g*-cortical morphometry associations to-date.
722 These associations are generally in line with the parieto-frontal integration theory (P-
723 FIT,²⁵) and strengthens support for the involvement of regions (e.g., temporal,
724 precuneus) that were not included in earlier iterations of the model. There was strong
725 agreement across the three cohorts in the magnitudes and spatial patterning of
726 associations, which speaks to the validity of *g* as a measure of cognitive functioning
727 (indicated by different cognitive tests included by each cohort). The consistency of results
728 also indicates that careful harmonisation of image processing alongside careful attention
729 to phenotype measurement may partially offset the apparent need for many thousands
730 of participants to obtain replicable brain-behaviour association results (²⁷).

731 Turning to the gene expression components-*g* correlations, the more strongly regions
732 were associated with *g*, the more they tended towards the balance between the
733 downregulation and upregulation sides of the cell-signalling/modification and

734 transcription factors components. Complex cognitive functions therefore may be
735 facilitated at a midpoint of downregulation and upregulation of each of these
736 components. Other regions that fall on either the downregulation or upregulation sides
737 of each of the two major dimensions perhaps specialise in less general functions. An
738 important question this raises, but we cannot answer here, is whether individual
739 differences in the balance of gene expression in these cortical dimensions might partly
740 explain why people differ from each other in their general cognitive functioning.

741 Using this newly-gleaned information about what is common among gene expression
742 profiles, we then identified 41 individual genes whose spatial expression was correlated
743 with *g* cortical patterning, independent of the two major dimensions. Whereas some of
744 the genes strongly indicated in the two major dimensions themselves could also pertain,
745 causally, to mechanisms and processes underpinning *g*, the nature of shared expression
746 patterns as presented here disallows that direct inference for individual genes. In
747 contrast, these 41 genes with specific associations are particularly strong candidates for
748 playing a role in facilitating complex cognitive processes. Several of these genes have
749 been previously identified as associated with various cognitive outcomes, whilst others
750 are potentially less well-researched substrates of cognition.

751 After testing the *g*-associations of the major components of gene expression, we turned
752 to specific cell type-*g* associations. Microglia and ependymal cells both had negative
753 associations with cognitive morphometry measures - ependymal cells with volume and
754 thickness, and microglia with volume and surface area. These two cell types both play key
755 roles in waste removal from the brain, which might explain these negative associations –
756 it could be that some regions specialise in fundamental brain maintenance processes,
757 such as waste management, thus enabling others to specialise in cognitive processes.

758 This study has several strengths and limitations. As we quantitatively demonstrate, the
759 present approach surpasses candidate gene and median expression information,
760 clarifying our understanding of the molecular substrates of complex cognitive abilities in
761 the human brain. We extensively validate the discovered components of gene expression,
762 mitigating concerns that this finding might be an artefact of a small number of donors in
763 a single sample. The first component is highly consistent across different datasets, gene
764 expression data processing and summary choices, and brain regional parcellation

765 choices. Although the second component does not depend heavily on individual regions,
766 it is somewhat affected by the granularity and boundaries of the parcellation, gene
767 expression data sampling and processing choices, and the number of genes retained.
768 While efforts continue to standardize gene expression processing pipelines (⁹²), the
769 effects of different choices on dimensions of between-gene covariances should continue
770 to be considered. As donor contributions to gene expression databases continue to
771 increase, brain regional summaries of gene expression will become more precise. Several
772 genes were excluded from the current analysis due to low between-donor consistency.
773 Although this is partially due to some of these genes having generally low expression
774 across the cortex, there also appears to be an effect of the sampling methods of gene
775 expression data. Gene expression sampling methods consistent with clear cortical
776 boundaries and full cortical coverage will increase between-donor consistency in
777 regional gene expression profiles and enable stronger tests of external validity.
778 Additionally, future research should consider whether major dimensions of regional
779 cortical gene expression, such as those reported in the current paper, are consistent
780 between postmortem and in vivo data (⁹³).

781 We leveraged the fact that the UKB, STRADL and LBC1936 cohorts have adopted
782 comparable methods, including similar MRI processing pipelines with FreeSurfer
783 <http://surfer.nmr.mgh.harvard.edu/>, and collection of various cognitive test scores,
784 which enabled us to harmonise the processing and approach to the calculation of *g*.
785 Consistency in the applied methods between cohorts allows for direct quantitative
786 comparison. Despite these advantages, there were also some differences between MRI
787 data and processing the three cohorts, which might differentially affect the cortical
788 surface results: 1) each of the three cohorts used different scanners for MRI acquisition
789 and, although T1-weighted data provides consistent between-scanner measures (⁹⁴), we
790 cannot rule out scanner-specific differences, 2) Desikan-Killiany parcellations were
791 visually inspected and manually edited for LBC1936 and STRADL, but not for UKB
792 (outliers $SD > 4$ were excluded), and 3) different FreeSurfer versions were used for each
793 cohort, which is likely to have contributed to some differences in estimations, alongside
794 different types and quantity of cognitive tests. However, high between-cohort
795 correlations suggest that these differences may not meaningfully affect the current

796 results and provide evidence in support the use of g in meta-analytic studies to reach
797 reproducible brain-cognition associations (⁹⁵).

798 A separate limitation of this study is that all included participants were in relatively good
799 health, as we chose to exclude participants with declared neurological conditions. It is
800 therefore not clear that the reported regional g -associations would generalise to clinical
801 populations. Additionally, whereas the cognitive-MRI data do not include childhood and
802 adolescence (and therefore the results may not relate directly to those parts of the life
803 span), the good adulthood age coverage, absence of age moderation of the meta-analytic
804 estimates within-cohort, and clear agreement across cohorts suggests that the well-
805 powered results reliably capture adulthood brain- g correlations.

806 In summary, this newly possible study uses robust methods to advance our
807 understanding of how gene expression is associated with complex cognitive functioning.
808 We discovered and interpreted two general components of cortical gene expression, and
809 identified general and specific patterns of gene expression that are candidate substrates
810 that may contribute to some of the association between brain structure and complex
811 cognitive functioning.

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