

Immune niches in brain metastases contain TCF1+ stem-like T cells, are associated with disease control and are modulated by preoperative SRS

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48 **Abstract:**

49

50 The CD8⁺ T-cell response is prognostic for survival outcomes in several tumor types. However,
51 whether this extends to tumors in the brain, an organ with barriers to T cell entry, remains unclear.
52 Here, we analyzed immune infiltration in 67 brain metastasis (BrM) and found high frequencies
53 of PD1⁺ TCF1⁺ stem-like CD8⁺ T-cells and TCF1⁻ effector-like cells. Importantly, the stem-like
54 cells aggregate with antigen presenting cells in immune niches, and niches were prognostic for
55 local disease control. Standard of care for BrM is resection followed by stereotactic radiosurgery
56 (SRS), so to determine SRS's impact on the BrM immune response, we examined 76 BrM treated
57 with pre-operative SRS (pSRS). pSRS acutely reduced CD8⁺ T cells at 3 days. However, CD8⁺ T
58 cells rebounded by day 6, driven by increased frequency of effector-like cells. This suggests that
59 the immune response in BrM can be regenerated rapidly, likely by the local TCF1⁺ stem-like
60 population.

61

62 **Introduction:**

63

64 CD8⁺ T cell infiltration in primary and metastatic sites of several cancer types is associated with
65 longer progression free survival, overall survival, and superior responses to immunotherapy.¹⁻⁴
66 Many groups studying the T cell response to cancer have highlighted the importance of a TCF1⁺
67 stem-like CD8⁺ T-cell. This cell gives rise to cytotoxic daughter cells while self-renewing in
68 chronic antigen settings.⁵ These cells have been described in several human tumor types.⁶⁻¹⁰
69 Functional experiments indicate these cells maintain proliferative capacity in the tumor and are
70 likely the source of the anti-tumor effector.^{7,8,11-13} Our prior work found these cells reside in close
71 proximity to densely clustered MHC-II⁺ antigen presenting cells (APC), which we termed an
72 antigen presenting niche.⁷ In recent work, we found these TCF1⁺ cells were specific for HPV
73 antigens in head and neck tumors, and upon restimulation with their cognate antigen, underwent
74 proliferation and differentiated to the effector state.⁸ In many studies, the presence of this cell type
75 in the tumor correlates with response to PD1 blockade, highlighting their importance in the
76 immune response to cancer.^{10,14,15}

77

78 While CD8⁺ T cell infiltration is prognostic for survival and TCF1⁺ CD8⁺ T cells have been found
79 in many tumor types, it remains unclear if these observations extend to metastasis in the central
80 nervous system. The central nervous system (CNS) has long been considered immune-privileged.
81 Lymphocyte entry into the brain was thought to be limited by the blood brain barrier.^{16,17,18}
82 However, several recent studies have highlighted the role of a CNS specific lymphatic system that
83 facilitate T cell entry into the brain including into brain tumors.¹⁹⁻²¹ This immune infiltration of
84 brain metastasis (BrM), specifically, has just begun to be characterized. Interestingly, the number
85 of CD8⁺ T cells infiltrating the BrM has not always correlated with longer survival.²²⁻³⁰ Yet, BrMs
86 respond to checkpoint immunotherapy, suggesting they can harbor a productive anti-tumor CD8⁺
87 T cell response.³¹ Given the complexity of T cell infiltration into BrM, this study comprehensively
88 investigated the cellular composition and architecture of CD8⁺ T cells in these tumors. In addition,
89 since stereotactic radiosurgery (SRS) is commonly recommended for patients with BrM with or
90 without surgery^{32,33}, we investigated how this treatment altered the CD8⁺ T cell response to cancer.

91

92 **Materials and Methods:**

93

94 Patients: Records of patients treated at two institutions (Emory University and the Levine Cancer
95 Institute) between 2007-2021 were evaluated and reviewed. Data were de-identified according to
96 the Health Insurance Portability and Accountability Act, and all investigations were performed in
97 accordance with the relevant guidelines and regulations. Informed consent was obtained for tissue
98 sample banking; informed consent for this study was waived by the Institutional Review Board
99 that approved the study protocol. Inclusion criteria included a pathologic diagnosis of metastatic
100 cancer to the brain and no prior immunotherapy. Immune biomarkers evaluated by patient
101 characteristics are show in Supplemental Table 2.

102

103 Defining local recurrence: Local recurrence was defined primarily based on the development of a
104 contrast-enhancing mass within or adjacent to the prior resection cavity on MRI. If a re-resection
105 was performed, pathologic confirmation was also utilized although not required. If there was a
106 question of the enhancement representing local recurrence vs radiation necrosis, additional
107 advanced imaging (eg, MR perfusion, MR spectroscopy, or brain positron emission tomography)
108 was obtained, and consensus was reached in a multidisciplinary neuro-oncology tumor board.

109 Additionally, the lesion was followed over time, and persistence or resolution with observation or
110 glucocorticoids further assisted with the differentiation of local recurrence vs. radiation necrosis.

111

112 FFPE Samples: Formalin fixed paraffin embedded tissue samples from these patients were stained
113 and analyzed. 67 standard of care samples were obtained from the Emory Brain Tumor Bank, and
114 76 pre-operative SRS samples were acquired from the Levine Cancer Institute.

115

116 FFPE Sample preparation: Sections were deparaffinized in successive incubations with xylene and
117 decreasing concentrations (100, 95, 75, 50, 0%) of ethanol. Antigen retrieval was achieved using
118 Abcam 100x TrisEDTA Antigen Retrieval Buffer (pH = 9) heated under high pressure. Sections
119 were then washed in PBS + 0.1% Tween20 before antibody staining.

120

121 Immunofluorescence staining: Immunofluorescence antibody staining was done using two
122 different techniques: (1) Sections were blocked for 30 min with 10% goat serum in 1x PBS + 0.1%
123 Tween20. Sections were then stained with appropriate primary and secondary antibodies. Primary
124 antibodies were incubated for 1 h at room temperature. Secondary antibodies were incubated for
125 30 min at room temperature. Detailed information about antibodies used are listed in Supplemental
126 Table 4. Sections were counterstained with DAPI according to manufacturer instructions (Thermo-
127 Fisher). (2) Immunofluorescence antibody staining was performed using the Opal 7-color
128 immunofluorescence kit (Akoya Biosciences) endogenous peroxidase activity was quenched by
129 microwave treatment of the slides with AR buffer. Non-specific binding was blocked with
130 blocking/Ab diluent. After incubation with the primary antibody, the slides were incubated with
131 HRP Ms+Rb secondary antibody and then incubated in the appropriate opal fluorophore for 10
132 minutes, until staining developed. The slides were finally counterstained with DAPI.

133

134 Image capture and analysis: The selected fluorophore panel (1) allowed for simultaneous
135 visualization of three targets and a nuclear stain (DAPI) using a Zeiss Axio Scan.Z1 Slide Scanner
136 equipped with a Colibri 7 Flexible Light Source. Zeiss ZenBlue software was used for post-
137 acquisition image processing. Slides stained with the Opal IHC Kit (2) were scanned using a Perkin
138 Elmer Vectra Polaris and allowed for simultaneous visualization of six targets and a nuclear stain.
139 For brightfield imaging, slides were scanned using a Hamamatsu's Nanozoomer slide scanner.

140 Images were analysed using CellProfiler, QuPath, and custom R and python scripts, as previously
141 described.⁷ This analysis pipeline allowed for determination of the x,y location of each cell in each
142 image, as well as the quantitation of the distance between each cell type and the density of each
143 cell type. Immune niches were defined as 100um x 100um cellular neighbourhoods where both
144 TCF1+ CD8+ T cells and MHC-II+ antigen presenting cells co-localized. Proportion of tumor with
145 immune niches was defined as the percentage of tumor tissue (percentage of 10,000um²
146 neighbourhoods) occupied by immune niches (where TCF1⁺ CD8⁺ T cells and MHC-II⁺ cells co-
147 localize in a local 10,000um² cellular neighbourhood).

148

149 Fresh human sample collection, processing and flow staining: BrM samples were collected after
150 patients underwent craniotomy and surgical BrM resection. In the pSRS group, SRS was
151 administered 1-10 days prior to craniotomy. All fresh samples (SOC and pSRS) for flow analysis
152 were treated and acquired at Winship Cancer Institute. Samples were collected directly after
153 resection into Phosphate Buffered Saline. The samples were then processed by getting cut into
154 small pieces, digested with a MACS enzyme cocktail, and then homogenized using a MACS
155 Dissociator. The digested tumor was washed then through a 70um filter to obtain a single cell
156 suspension. Samples were then preserved in freezing media (FBS + 10% DMSO) at -80C.

157

158 Single cell suspensions from processed human tumor samples were stained with the antibodies
159 listed Supplemental Table 5. Live/dead staining was done using fixable near-IR or aqua dead cell
160 staining kit (Invitrogen). Cells were permeabilized using the FOXP3 Fixation/Permeabilization kit
161 (eBioscience) for 45 minutes with fixation/permeabilization buffer at 4C and stained with
162 intracellular antibodies in permeabilization buffer for 30mins at 4C. Samples were acquired on a
163 Symphony instrument and analyzed using FlowJo (v10).

164

165 scRNA seq: Single cell suspensions were stained and sorted on the Beckton Dickinson FACS Aria
166 II Cell Sorter on CD45+ CD8⁻ and CD45+ CD8⁺. These two sorts were then mixed 1:1 with goal
167 of enriching for the infiltrating CD8 T cell population. Single cell RNAseq libraries were made
168 using the Chromium single cell 5' Library and Gel Bead Kit (10x Genomics) and captured into the
169 Gel Beads-in-emulsion (GEMs). After the reverse transcription GEMs were disrupted and cDNA
170 was isolated and pooled. The barcoded cDNA was fragmented, end repair and A-tailing was done,

171 followed by sample index PCR. The purified libraries were sequenced to 50,000 reads/cell on a
172 HisSeq300 (Illumina) with 26 cycles for read 1, 8 cycles for index (i7) and 91 cycles for read2.

173

174 Cellranger v3.1 was used to align, filter, count the barcodes and unique molecular identifiers
175 (UMI). Data was then analyzed using Seurat v3.0. Briefly, cells with less than 5% mitochondrial
176 genes were used. Cells that expressed less than 200 genes or more than 2000 were excluded from
177 analysis. Raw counts were then normalized for each UMI based on total expression, scaled by
178 multiplying by 10,000 and then log transformed. Variable genes were determined based on average
179 expression and dispersion, then used to perform principal component (PCA) analysis. Selected
180 PCAs were used to generate clusters and UMAP plots. Heatmaps were generated using scaled
181 expression data of marker genes, using the FindAllMarkers function in Seurat. Normalized gene
182 expression data was also shown as feature plots. Gene set scoring was performed using VISION
183 R package V2.1. Proliferation index was done as previously described.³⁴ SOC had 21091 total
184 cells; pSRS had 12597 total cells. For clonotype analysis, MiXCR was run on raw fastq for SOC2
185 and pSRS2. The clonotypes identified were overlaid on the UMAP of the T cell subsets.

186

187 Statistical Analysis: The optimal cutoff values for stem-like T cells and immune niche proportion
188 was determined by bias-adjusted log-rank test. Local recurrence and death were regarded as two
189 competing events. Cumulative incidence plots were created based on the proportional
190 subdistribution hazards model and Gray's test was performed to analyze the differences between
191 high and low groups. SAS software version 9.4 (SAS Institute, Inc. Cary, NC) was utilized for the
192 data analyses.

193

194 **Results:**

195

196 *TCF1⁺ PD1⁺ stem-like T cells are found in brain metastases and reside in an immunological* 197 *niche*

198

199 To first characterize T cells in BrM, we performed flow cytometry on freshly resected tissue from
200 7 patients (**Figure 1A**). To identify antigen reactive cells, we gated on PD1⁺ CD45RA⁻ cells.
201 Around two thirds of the CD8⁺ cells expressed these markers, confirming their antigen reactivity
202 (**Figure 1B**). Within this PD1⁺ subset, we could identify both a TCF1⁺ Tim3⁻ stem-like cell, and a

203 TCF1⁻ Tim3⁺ cell (**Figure 1B**). Consistent with our observations in other human tumors outside
204 the CNS^{7,8,12}, the stem-like T cells expressed higher levels of CD28, TCF1 and CD127 and lower
205 levels of Tim3 and CD39 compared to terminally differentiated CD8⁺ T cells (**Figure 1C**).

206

207 To analyze a more comprehensive cohort of patients, we collected 67 tissue samples from brain
208 metastases resected at Winship Cancer Institute of Emory University (**Figure 1A, Supplemental**
209 **Table 1**). These patients had non-small cell lung cancer (NSCLC), melanoma, or breast cancer
210 and none had received prior immunotherapy (**Supplemental Table 1**). From these samples, we
211 performed quantitative multiparametric immune fluorescence (IF) (**Supplemental Figure 1A-E**)
212 to define the overall immune architecture of the BrM (**Figure 1D, E**). We found a high degree of
213 variation between patients in the infiltration of CD8⁺ and CD4⁺ T cells as well as MHCII⁺ antigen
214 presenting cells (APC) (**Figure 1F**). As with the cells analyzed by flow-cytometry, the majority of
215 both CD8⁺ and CD4⁺ T cells were PD1⁺ indicating these cells were actively responding to antigen
216 (**Figure 1E**). In these BrM, approximately 40% of the total CD8⁺ T cell population were TCF1⁺
217 (**Supplemental Figure 1D**). Of note, the frequency of CD8⁺ TCF1⁺ stem-like T cells (of DAPI⁺
218 cells) correlated with the frequency of total CD8⁺ T cells ($R^2 = .6233$, $p < 0.001$) demonstrating that
219 with an increase in stem-like CD8⁺ T cells there is a concomitant overall increase in BrM CD8⁺ T
220 cell infiltration (**Figure 1G**).

221

222 In prior work, we had found that TCF1⁺ CD8⁺ T cells were not randomly distributed in tumors,
223 but instead are only found in close proximity with densely clustered APC, which we termed
224 immune niches.⁷ Here, we found a correlation between the BrM density of stem-like T cells and
225 MHC-II⁺ APCs (**Supplemental Figure 1F**) suggesting a similar inter-relationship. Next, using
226 our digitized reconstruction of whole slide IF images, we generated contour maps of the density
227 of MHC-II⁺ cells with green dots showing the location of TCF1⁺ CD8⁺ T cells, and red dots
228 showing the location of TCF1⁻ T cells (**Figure 1H**). Stem-like T cells clearly resided in areas of
229 higher MHC-II⁺ cell density compared to the TCF1⁻ cells (**Figure 1H**). Quantification of the
230 distance of each TCF1⁺ CD8⁺ T cell to the nearest neighboring MHC-II⁺ cell found stem-like CD8
231 T cells were far closer on average to APCs (**Figure 1I**). The TCF1⁻ T cells, in contrast, were
232 distributed throughout the tumor without any preference for the APC zones. These findings
233 confirm the formation of an immune niche in BrM, similar to non-CNS sites (**Figure 1J**). Notably,

234 prior data suggests that the niche is important for supporting and maintaining the CD8⁺ T cell
235 response within non-CNS tumors.¹² Similarly, here we demonstrate a correlation between immune
236 niche density and the frequency of total CD8⁺ T cell infiltration, again suggesting that these niches
237 have a supportive role in BrM (**Figure 1K**).⁶ Overall, these data indicate the metastasis to the CNS
238 are infiltrated by distinct functional subsets of CD8⁺ T cells: a TCF1⁺ stem-like population, and a
239 TCF1⁻ effector-like population. Furthermore, these data indicate that although these metastases
240 have entered an immunological environment where T cell access can be limited, a T cell response
241 is successfully mounted that is consistent with other solid tumor types.

242

243 *High immune niche density is associated with longer BrM local control*

244

245 Local BrM recurrences are a complex problem often with limited treatment options.³⁵ Therefore,
246 a prognostic biomarker which could identify specific patients at highest risk for local recurrence
247 would allow for early treatment escalation. In other tumor types outside the CNS, we previously
248 showed that both a high density of total CD8⁺ T cells and the immune niche were associated with
249 longer progression free survival.⁷ We, therefore, investigated whether these were similarly
250 prognostic for local control in BrM. First, we assessed the degree of CD8⁺ T cell variability across
251 all 67 samples and found substantial differences between BrM (**Figure 1F**). Next, we evaluated
252 whether high CD8⁺ T cell density was associated with longer local control using a competing risk
253 analysis. This approach allowed us to account for the competing events of death and local
254 recurrence. In contrast to tumors outside the CNS, high CD8⁺ T cell density was not associated
255 with longer local control (**Supplemental Figure 2A**). This suggests this one general cell type may
256 not fully capture the complex interplay of different immune cells involved in BrM control.

257

258 Next, we turned our attention to the immune niche and also found significant variability in the
259 niche density across BrMs (**Supplemental Figure 2B**). In contrast to bulk CD8⁺ T cells, BrM with
260 a higher frequency of stem-like T cells or with a higher density of immune niches had longer local
261 control (**Figure 2A, B**). In a representative highly infiltrated BrM, there were many areas of high
262 T cell density and high APC density, and importantly, many areas where stem-like T cells and
263 APCs co-localized, forming immune niche clusters that were distributed throughout the BrM
264 (**Figure 2C, D**). Following treatment, the resection cavity remained free of local recurrence at 10
265 years (**Figure 2E**). In contrast, a representative poorly infiltrated BrM (**Figure 2F**) showed

266 evidence of local recurrence 6 months from the end of treatment, lacked a significant density of
267 immune infiltration, and importantly, lacked the widespread presence of these intratumoral
268 immune niches (**Figure 2G, H**). The immune niche, therefore, has potential as a prognostic
269 biomarker as it captures a link between the immune microenvironment and patient outcomes which
270 is not revealed by bulk CD8⁺ T cell analysis. More specifically, the immune niche may have an
271 active role in restraining tumor growth/recurrence by maintaining an on-going anti-tumor CD8⁺ T
272 cell response.

273

274 *The impact of pre-operative SRS on the immune niche*

275 Current standard of care (SOC) for BrM is upfront surgical resection followed by post-operative
276 SRS or SRS alone. In our preclinical studies, focal radiation increases stem-like CD8⁺ T cell
277 infiltration into tumor tissue, which led us to investigate the impact of preoperative stereotactic
278 radiosurgery (pSRS) on the T cell populations and the immune niche in BrM (**Figure 3A**).³⁶
279 Importantly, our group has been a pioneer in the pSRS and has described several potential clinical
280 benefits of such a practice.³⁷ The ability to optimally sequence these treatment modalities is notable
281 given the known immune-stimulatory activity of radiation.³⁸ Currently, it is unknown whether
282 pSRS has similar immunostimulatory activity in the brain and what impact it may have on the
283 immune niche.

284 A total of 76 patients who received pSRS were analyzed by quantitative IF and 7 patients who
285 received pSRS were analyzed by flow cytometry. These BrM were primarily lung and melanoma.
286 The median time from pSRS to surgery was 2 days with a median dose of 15 Gy (**Supplemental**
287 **Table 3**). The pSRS and SOC cohort patient characteristics were, overall, very similar
288 (**Supplemental Table 4**).

289 In the pSRS BrM, we again identified PD1⁺ stem-like and terminally differentiated CD8⁺ T cells
290 by flow cytometry (**Figure 3B, C**). The terminally differentiated and stem-like T cells following
291 pSRS appeared phenotypically similar to SOC, with terminally differentiated cells continuing to
292 express robust levels of Tim3, CD39 and lower levels of TCF1 and CD28 (**Supplemental Figure**
293 **3A, B**). Quantitative flow cytometry analysis of pSRS BrM, demonstrated a strong trend towards
294 a decrease in the overall CD8⁺ T cell frequency with no change in the frequency of PD1⁺ CD8⁺ T

295 cells (**Figure 3D, E**). Additionally, no significant changes were observed in the frequencies of
296 stem-like or terminally differentiated subsets (**Figure 3F, G**).

297 In our larger cohort analyzed by quantitative IF, similar to SOC BrM, we could identify TCF1⁺
298 stem-like CD8 T cells in the tumor tissue (**Figure 3 H, I**) and identified a strong correlation
299 between CD8⁺ T cell and stem-like CD8⁺ T cell frequencies (**Supplemental Figure 3C**).
300 Consistent with our flow data, a lower density of CD8⁺ T cells was observed in the pSRS vs SOC
301 cohort (**Figure 3J**). Evaluating the individual subsets, we found a small, but significant difference
302 in density of the TCF1⁺ T cells population as well as a larger magnitude difference in the TCF1⁻
303 cell population (**Figure 3K, L**). There was, however, no difference in the MHC-II⁺ density
304 between SOC and pSRS (**Figure 3M**). Finally, while niche density was slightly attenuated
305 following pSRS compared to SOC BrM controls (**Figure 3N**), the correlation between niche
306 proportion and CD8⁺ T cell density was maintained (**Figure 3O**). These data suggest that while
307 pSRS may have differential effects on T cell subsets, MHC-II⁺ myeloid cells may be less affected
308 and, importantly, intratumoral immune niche organization is maintained.

309

310 *The transitory CD8⁺ T cell population is preferentially reduced following pSRS*

311

312 To more specifically determine the impact of pSRS on T cell subsets, we evaluated the CD8⁺ and
313 other immune cell's transcriptional signature by sorting CD45⁺ cells from 3 SOC and 3 pSRS BrM
314 and performed single cell RNA sequencing. In the SOC BrM we found, consistent with prior
315 reports, an array of different immune cells including dendritic cells (DC), macrophages, plasma
316 cells, B cells and CD4⁺ T cells (**Figure 4A, Supplemental Figure 4D**).^{25,26} Within the CD8⁺ T
317 cell population, we found three antigen experienced clusters and one naïve cell cluster, as
318 previously described. (**Figure 4A**).⁸ The first antigen experienced cluster, Cluster 1, expressed
319 CXCR3, TCF7, CCR7 and GPR183 markers consistent with the stem-like subset (**Figure 4B**).
320 This stem-like cluster also expressed low levels of effector molecules including GZMB and PRF1.
321 Cluster 2 expressed high levels of FOS, JUN and lower levels of both effector molecules GZMB,
322 PRF1 and stem-like molecules TCF7, CCR7 consistent with a transitory phenotype between
323 Cluster 1 and 3, analogous to those seen in other tumor types.^{8,39} Cluster 3 (the terminally
324 differentiated effector-like cluster) was characterized by high PRF1, GZMB, and HAVCR3
325 expression and low expression of the stem-like markers (**Figure 4B**). Clonotypic analysis

326 confirmed significant TCR overlap between these 3 clusters further supporting a previously
327 described lineage relationship (**Figure 4E**).⁸ In the pSRS BrMs, all 3-antigen experienced CD8⁺ T
328 cell clusters were also identified (**Figure 4A, C**). Notably, the transitory cluster was found at a
329 much lower frequency in the pSRS than in the SOC BrMs (**Figure 4C, D**). The terminally
330 differentiated subset, in contrast, demonstrated increased frequency in the pSRS compared to SOC
331 BrMs (**Figure 4D**). The immunodominant TCR clone was also enriched in the terminally
332 differentiated cluster in the pSRS compared to SOC (**Figure 4E**).

333

334 Cells actively dividing are known to be the most radiosensitive⁴⁰, and preclinical results have
335 shown the transitory cells to be the most proliferative of the 3 subsets.³⁹ Here, we also found that
336 the transitory subset had a higher proliferation score than either the stem-like T cells or terminally
337 differentiated effectors which likely accounts for their preferentially reduced frequency in the
338 pSRS cohort (**Figure 4F**). We also compared the stem-like, transitory, and terminally
339 differentiated populations between the SOC and pSRS and found notable transcriptional changes
340 (**Supplemental Figure 4A**). In the stem-like population following pSRS, TXNIP was significantly
341 upregulated. TXNIP is associated with the response to reactive oxygen species, suggesting this
342 may be a mechanism for stem-like T cell survival after exposure to the pSRS insult.⁴¹ Further
343 investigation is needed.

344

345 Next, we examined the other infiltrating immune cells and found a trend towards an increase in
346 the frequency of both DC and macrophages following pSRS (**Supplemental Figure 4B**). This
347 finding is likely due to their relative radioresistance and ability to withstand the cellular stress
348 imparted by exposure to radiation therapy.⁴² Notably, we found that pSRS promotes a Type I
349 interferon (IFN) response phenotype in these two APC types (DCs and macrophages), with
350 significant upregulation of both interferon regulatory factor 5 (IRF5) and IRF8 (**Supplemental**
351 **Figure 4C**). The Type 1 IFN response is associated with maturation of DCs, and appropriately
352 provided co-stimulation is known to be critical for stem-like T cell activation and acquisition of
353 effector function.⁴³ In summary, pSRS has a broad impact on a diverse array of different cells. Its
354 specific effect on CD8⁺ T cells is subtype dependent with the most potent depletion seen in the
355 transitory population, followed by a more modest impact on the stem-like subset while the
356 terminally differentiated effector-like cells were the least affected.^{43,44}

357

358 *Temporal changes of BrM immune niche components following pSRS*

359

360 To further investigate the relative persistence of the terminally differentiated effector-like cells
361 following pSRS, we performed a kinetic analysis evaluating the changes in pSRS treated BrM. In
362 patients who had BrM resected on the same day as the pSRS, there was no significant difference
363 in the number of total CD8⁺, TCF1⁺ stem-like or TCF1⁻ cells in the tumor compared to SOC
364 (**Supplemental Figure 5A-C**). In comparison, tissue resected between 1 and 5 days post pSRS
365 had significantly lower numbers of total, TCF1⁺ stem-like, and TCF1⁻ terminally differentiated
366 CD8⁺ T cells (**Figure 5A-C**). However, after 6 or more days, while the TCF1⁺ stem-like population
367 remained depressed, the TCF1⁻ terminally differentiated cells had returned to baseline levels. Over
368 the treatment time course, there was no change in the numbers of MHC-II⁺ cells (**Supplemental**
369 **Figure 5D**). However, consistent with the reduction in the stem-like T cell population, there was
370 a trend towards a decrease in the immune niche density in the BrM over the time course, and this
371 had not recovered by the 6+ timepoint (**Figure 5D**). Representative images of tumors showing
372 niche morphology at illustrative time points are shown in **Figure 5G-I**.

373

374 Together with the scRNAseq data in Figure 4, these data suggest that there is a broad depletion of
375 T cells following radiation. The relatively quiescent TCF1⁺ stem-like cells have not yet recovered
376 even by day 6 but given the extensive data in pre-clinical models suggesting these intra-tumoral
377 stem-like cells are the source of effector-like cells in the tumor, we conclude that the recovery in
378 the terminally differentiated cells seen at D6+ following pSRS is likely driven by these remaining
379 stem-like cells. Overall, these data indicate that while pSRS does have an impact on intra-tumoral
380 T cell populations, they remain functionally able to generate an anti-tumor immune response.

381

382 **Discussion:**

383

384 In this study—the largest such study to our knowledge evaluating BrM immune architecture—we
385 sought to understand the tumor immune microenvironment of BrM following up-front tumor
386 resection (SOC) or pre-operative SRS. We found that immune niches (similar to those previously
387 described in primary renal cell carcinoma, consisting of antigen presenting cells and stem-like T

388 cells) were present at varying densities in BrM under both treatment conditions (**Figure 1, 3**).⁷
389 Remarkably, similar to tumors outside the CNS, high BrM niche density was associated with
390 longer local control of disease (**Figure 2**). In the pSRS cohort, there was a reduction in total BrM
391 CD8⁺ T cells and both the TCF1⁺ and TCF1⁻ subsets relative to SOC (**Figure 3**). Notably, the
392 immune niche organization was maintained following pSRS (**Figure 3**). By scRNA seq, pSRS
393 most potently depleted the proliferating transitory population while the terminal effectors were
394 less affected (**Figure 4**). Finally, when the pSRS BrMs were evaluated by time of resection after
395 pSRS, the total CD8⁺ T cell population demonstrated a rebound by day 6+ driven by an increase
396 in the frequency of the TCF⁻ CD8⁺ T cells (**Figure 5**).

397

398 Taken together, these data fit into and build upon an expanding body of work evaluating the tumor-
399 microenvironment in BrM.²²⁻²⁶ Importantly, our study is hypothesis-generating with a few
400 limitations. Due to the sample size, a multivariate analysis for niche density and local control was
401 not possible. However, a local control end point was selected as it has fewer confounders than
402 distant brain failure, which is impacted by systemic disease burden, or overall survival, which has
403 innumerable confounders. Future expanded analyses will evaluate these other important endpoints
404 in detail. Of note, the parallel results between metastatic (reported here) and primary sites of
405 different histologies reinforces the validity and importance of the link between higher niche density
406 and improved cancer control.⁷ Validation of this biomarker in an independent cohort, as well as
407 further expanding the number and diversity of histologies of the BrM evaluated is the focus of our
408 ongoing studies. Additionally, given the focus of this study was the CD8⁺ T cell response, a deeper
409 analysis of APC subtypes in the immune niche is planned. Here, by single cell RNA seq, we
410 confirmed there are macrophages, dendritic cells, and B cells in the BrM, as shown by other
411 investigators.^{23,24}

412

413 The pre-operative SRS findings reported here were highly novel and intriguing to us. We had
414 hypothesized, based on our preclinical studies, pre-operative SRS would increase the density of
415 stem-like T cells and the presence of immune niches around day 7 following radiation.³⁶ However,
416 in our kinetics analysis in Figure 5, we did not find an increase above baseline by day 6+, but we
417 did find a rebound in total CD8⁺ T cell numbers that appeared to be driven by an increase in the
418 frequency of the terminally differentiated subset. These results suggest that SRS, like anti-PD1

419 therapy, may drive both the proliferation and differentiation of stem-like T cells into terminally
420 differentiated, effector-like cells.^{5,13} This mechanism would account for the rebound in total CD8⁺
421 T cells and the relative frequency changes in stem-like and terminally differentiated, effector-like
422 cells. Importantly, the newly generated effectors following pSRS may be superior to the baseline
423 TCF⁺ effectors at controlling disease suggesting that the rebound at day 6+ may confer a clinical
424 benefit beyond a return to baseline CD8⁺ T cell numbers.⁴⁵ Further *in vivo* and *in vitro* functional
425 studies are necessary to confirm this hypothesis.

426

427 The larger significance of this clinically important study should not be understated. Broadly, these
428 findings demonstrate that despite the brain having several barriers to T cell infiltration, an immune
429 response is capable of being mounted that resembles that of many other tumor types/locations and
430 benefits patient outcomes. Clinically, these data suggest that the immune niche may not only be
431 an important prognostic factor for outcomes, but also be predictive of an intracranial response to
432 immunotherapy given the known importance of both stem-like CD8⁺ T cells and co-stimulation
433 for a robust response to anti-PD1 therapy.^{5,13,43} These results also provide novel insight into the
434 optimal timing of surgical resection following SRS and integrating SRS with immunotherapy. Our
435 data indicates that resection of BrM <6+ days following pre-operative SRS may limit the
436 immunostimulatory benefit of SRS and potentially reduce the local control benefits due to the
437 acute decline in CD8⁺ T cells. Additionally, administering anti-PD1 therapy at the T cell nadir
438 likely also blunts the potential synergy of combinatory SRS and anti-PD1 therapy. These data can,
439 therefore, be used immediately to help guide intracranial BrM management and inform future
440 clinical investigation into optimal sequencing and combination of multiple therapeutic modalities.
441 Future clinical studies are needed to further elucidate the immune-stimulatory potential of SRS
442 and whether an intra-cranial abscopal response can be generated.

443

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449

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Figure 1

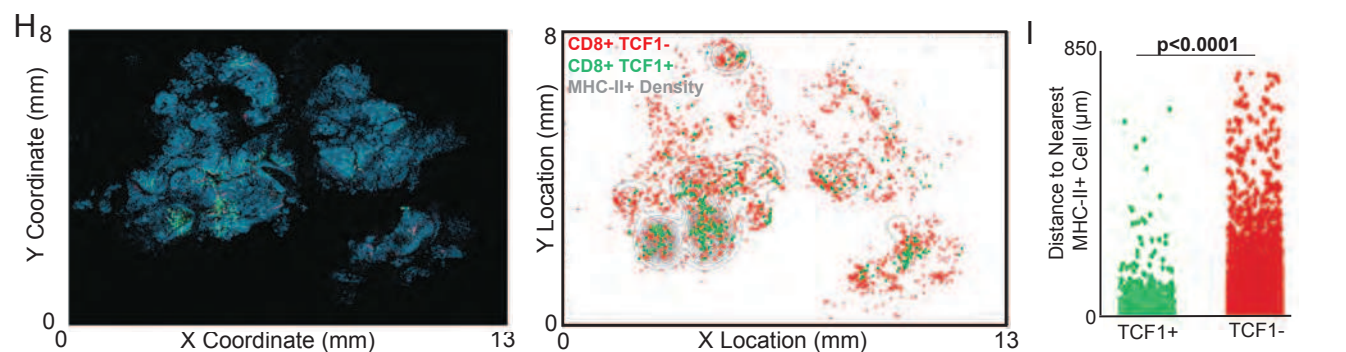
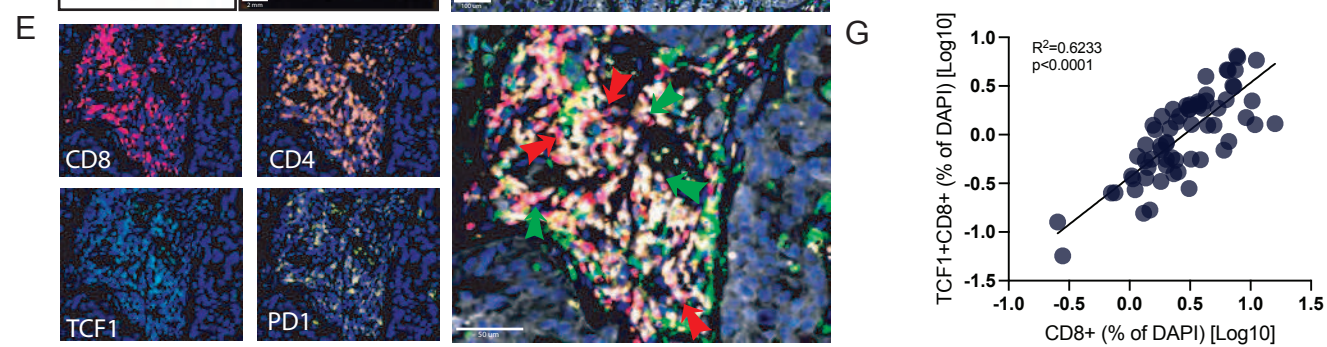
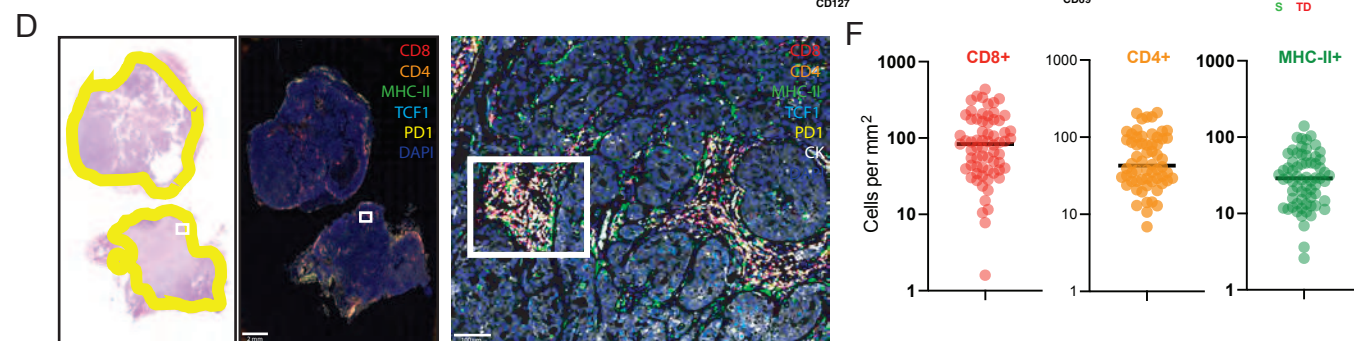
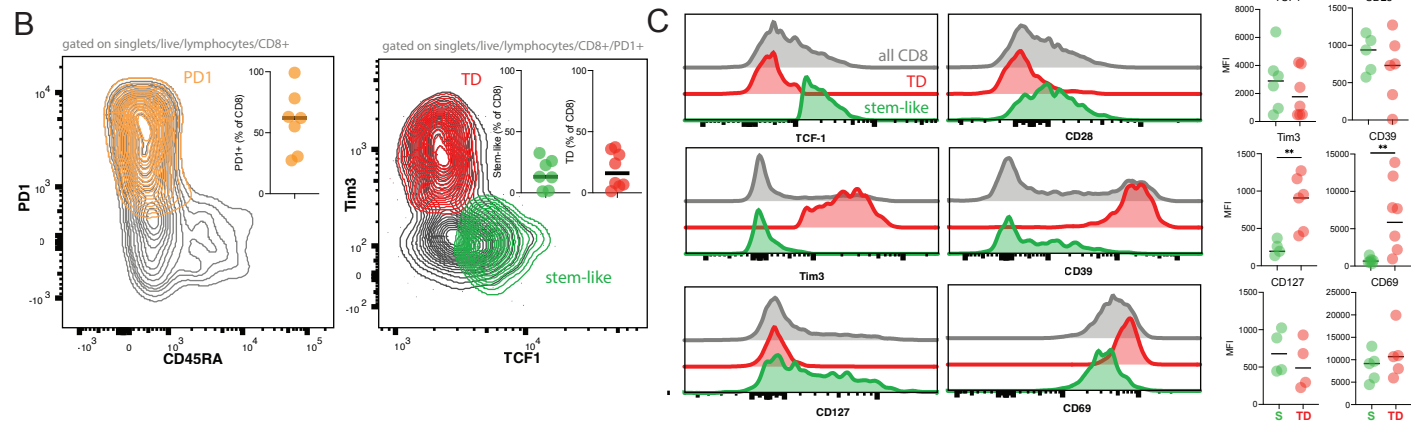
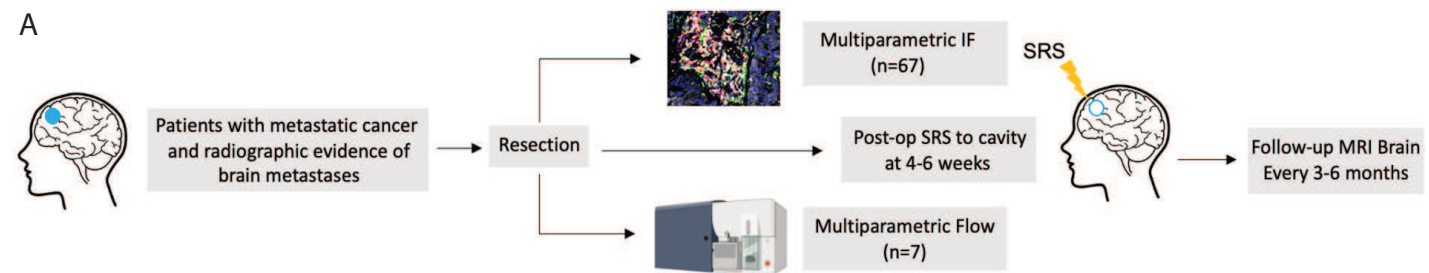


Figure 1. TCF1⁺ PD1⁺ stem-like T cells are found in brain metastases and reside in an immunological niche. **A)** Schema of sample collection, processing and analysis of BrM tissue. **B)** Flow cytometry characterizing PD1⁺ stem-like and terminally differentiated (TD) effector-like cells in BrM. Greater than 50% of CD8⁺ T cells in BrM are PD1⁺. **C)** Expression (mean fluorescence intensity (MFI)) of activation markers, checkpoint molecules, and transcription factors by TD and stem-like subsets, gated as in **B**. **D)** H&E with tumor regions outlined in yellow (left); immunofluorescence whole slide image (right) with region of interest shown as white box. Region of interest zoomed on immune cell cluster. **E)** Individual T cell markers, green arrows highlighting CD8⁺ PD1⁺ TCF1⁺ stem-like cells, red arrows highlighting CD8⁺ PD1⁺ TCF1⁻ terminally differentiated, effector-like cells. **F)** Quantitation of immune cell densities. **G)** Percentage of total CD8⁺ T cells correlates with percentage of TCF1⁺ CD8⁺ T cells. **H)** Cellular spatial relationship map. After acquiring x,y coordinates of MHC-II⁺ cells, MHC-II⁺ cellular density was calculated (number of MHC-II⁺ cells per 10,000 μm^2). x,y location of CD8⁺ T cells are overlaid with MHC-II⁺ density contour. CD8⁺ cells were designated TCF1 positive or negative. **I)** Distance between CD8⁺ T cells and the closest MHC-II⁺ cell. **J)** Immunofluorescence demonstrating immune niche areas with white arrows denoting stem-like T cells. **K)** Percentage of total CD8⁺ T cells correlates with proportion of tumor tissue occupied by immune niches.

Figure 2

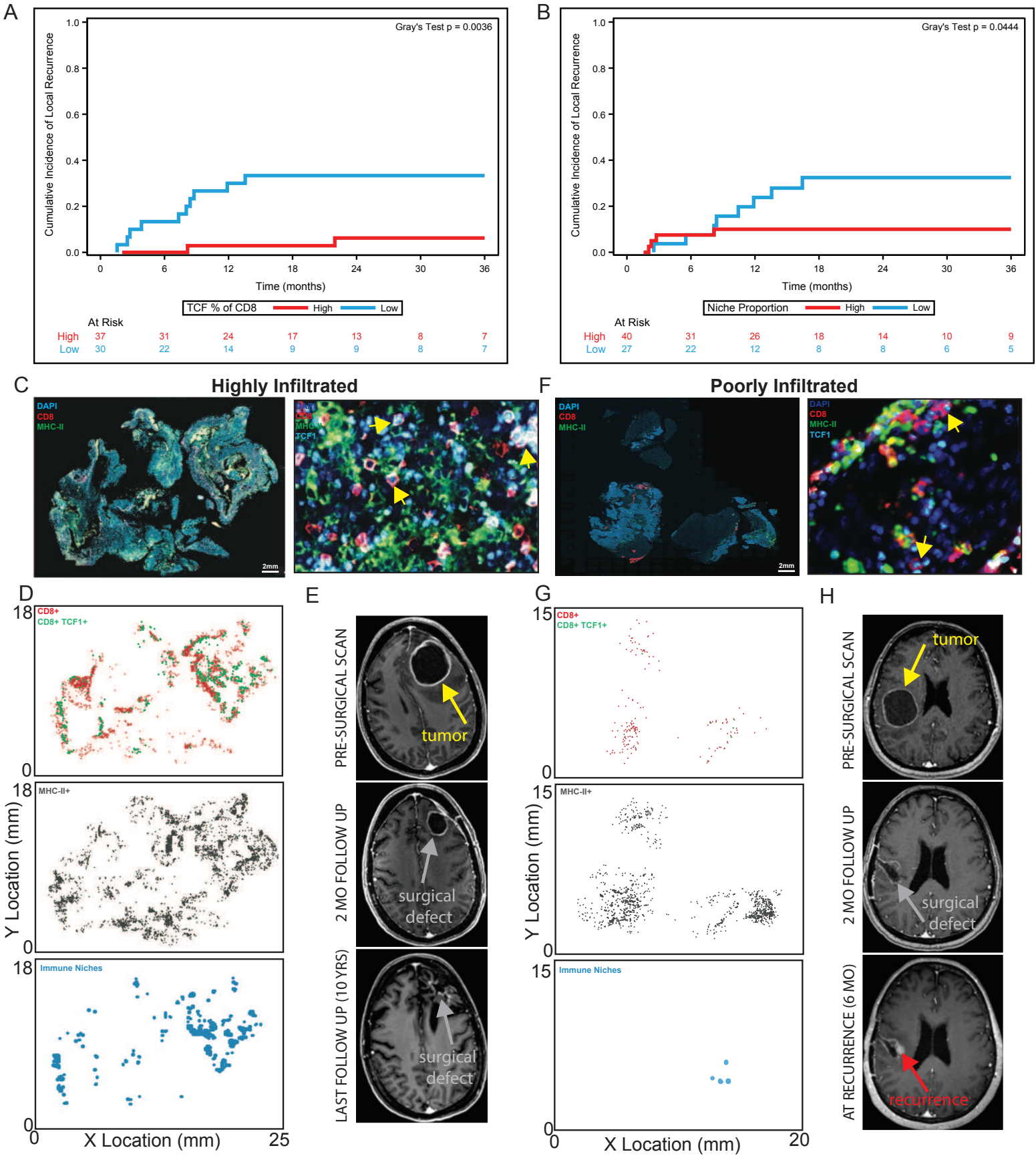


Figure 2. Higher immune niche density is associated with longer local control. **A)** BrMs with a higher percentage of TCF1⁺ of CD8⁺ T cells had extended local control of disease. Gray's test, p=0.0036. **B)** BrMs with a higher tumor niche proportion had extended local control of disease. Gray's test, p=0.0444. **C)** Overview (left) and region of interest (right) immunofluorescence for a highly immune infiltrated tumor. Yellow arrows denote stem-like T cells. **D)** x,y location maps of CD8⁺ T cells, MHC-II⁺ cells and immune niches. **E)** Cystic brain metastasis pre-operative and post-operative resection cavity followed for 10-years without evidence of local recurrence. **F)** Overview (left) and region of interest (right) immunofluorescence of poorly infiltrated tumor. Yellow arrows denote stem-like T cells. **G)** x,y location maps as in (D). **H)** Nodular local recurrence at surgical cavity margin occurs at 6 months post-operatively denoted by red arrow.

Figure 3

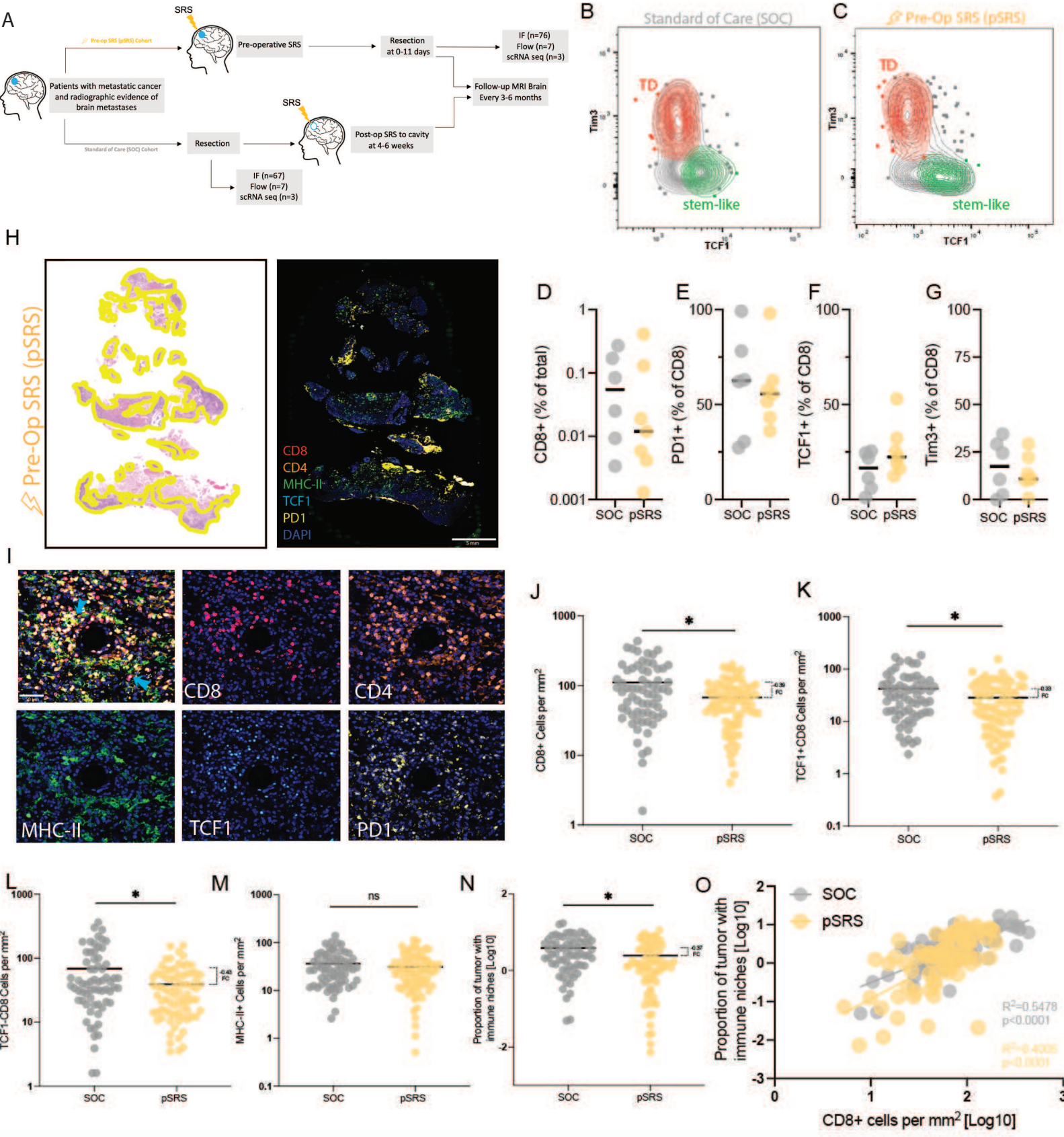


Figure 3. The impact of pre-operative SRS on the immune niche. **A)** Schema of sample collection, processing, and analysis of BrM tissue including pre-operative SRS (pSRS) specimens. **B)** Flow cytometry demonstrating the presence of TD and stem-like T cells in both upfront resected (standard of care, SOC) and **C)** pSRS BrM. **D)** Percent CD8⁺ T cells of total cells in BrM SOC vs pSRS, p= 0.8904. **E)** Percent PD1⁺ of CD8⁺ T cells in BrM SOC vs pSRS, p= 0.8708. **F)** Percent TCF1⁺ of CD8⁺ T cells in BrM in SOC vs pSRS, p= 0.1400. **G)** Percent Tim3⁺ of CD8⁺ T cells in BrM in SOC vs pSRS, p= 0.6655. In D-G, p values calculated by unpaired t test. **H)** H&E with tumor regions outlined in yellow (left) and immunofluorescence whole slide image (right). **I)** Merged image and individual stains for T cell subsets and MHC-II⁺ cells. **J)** Total CD8⁺ cells per mm² are lower in pSRS samples compared to SOC, p= 0.0006. **K)** TCF1⁺ are also lower in pSRS samples, p= 0.0175. **L)** TCF1⁻ effector-like are lower in pSRS vs SOC, p= 0.0023. **M)** There is no difference in MHC-II⁺ cell per mm² in SOC vs pSRS, p= 0.2647. **N)** Proportion of tumor with immune niche is lower in pSRS compared to SOC likely due to the decrease in stem-like T cells, p= 0.0058. In J-N, *:p<0.05, as calculated by unpaired t test. **O)** Proportion of tumor with immune niche correlates with CD8⁺ cells per mm² in both pSRS and SOC BrM.

Figure 4

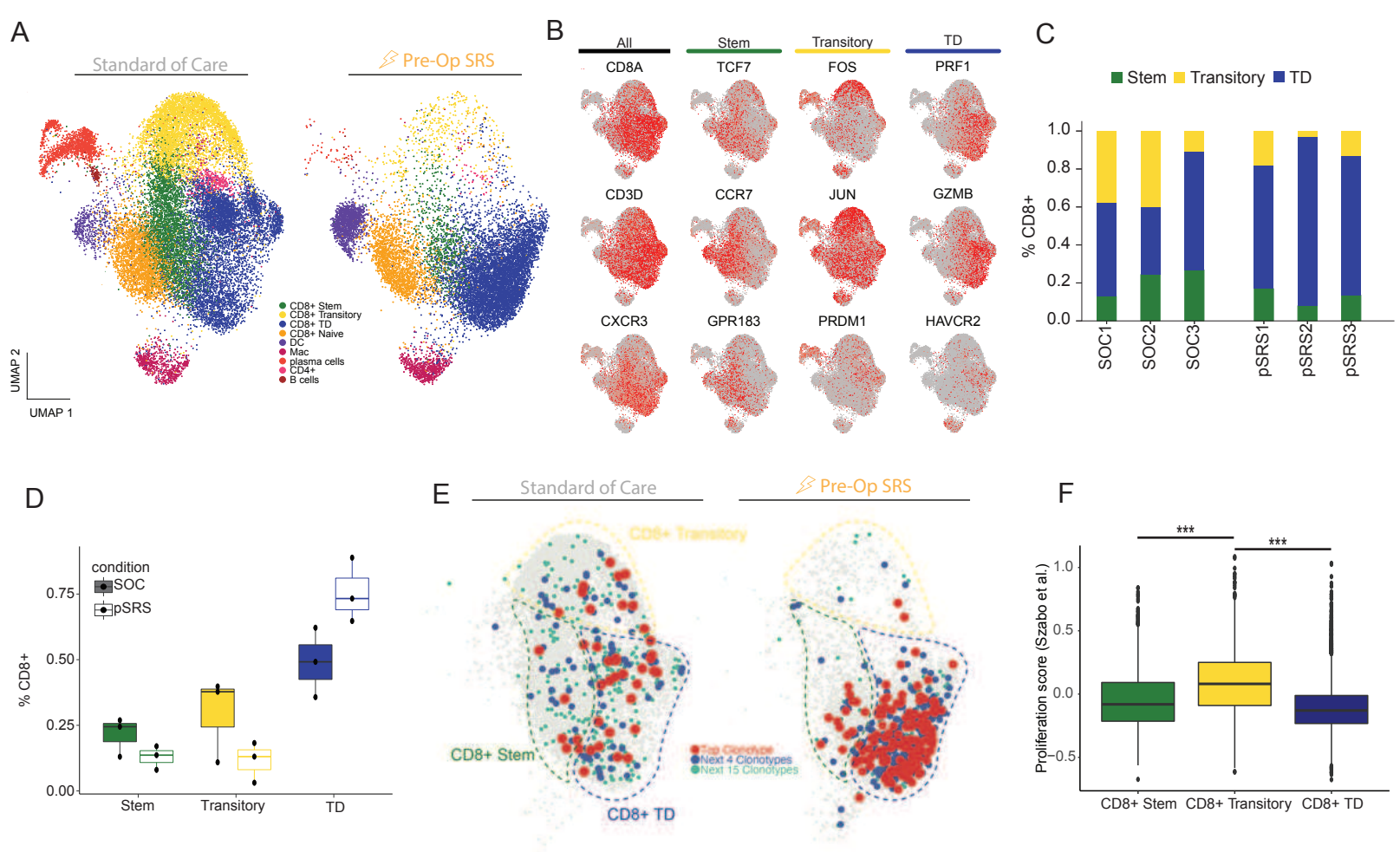


Figure 4. The transitory CD8⁺ T cell population is preferentially reduced following pSRS. **A)** Single cell RNA sequencing of SOC and pSRS BrM. Multiple different immune populations were identified under both treatment conditions. Stem-like and terminally differentiated effector-like T cells were observed as well as a transitory subset. **B)** Feature plots demonstrating expression of stem, transitory, and terminally differentiated effector-like cell markers. **C)** T cell subset frequency in three different SOC and three pSRS BrM. **D)** Frequency of each subset under both conditions. pSRS demonstrates a strong trend towards decreased transitory cell frequency and increased terminally differentiated effector-like frequency. **E)** Clonotypic analysis demonstrating CDR3 TCR overlap between all 3-antigen experienced CD8⁺ T cell subsets. **F)** Proliferation score showing increased proliferation in the transitory subset relative to the stem-like and terminally differentiated effector-like T cells. ***: p<0.001 by Mann Whitney.

Figure 5

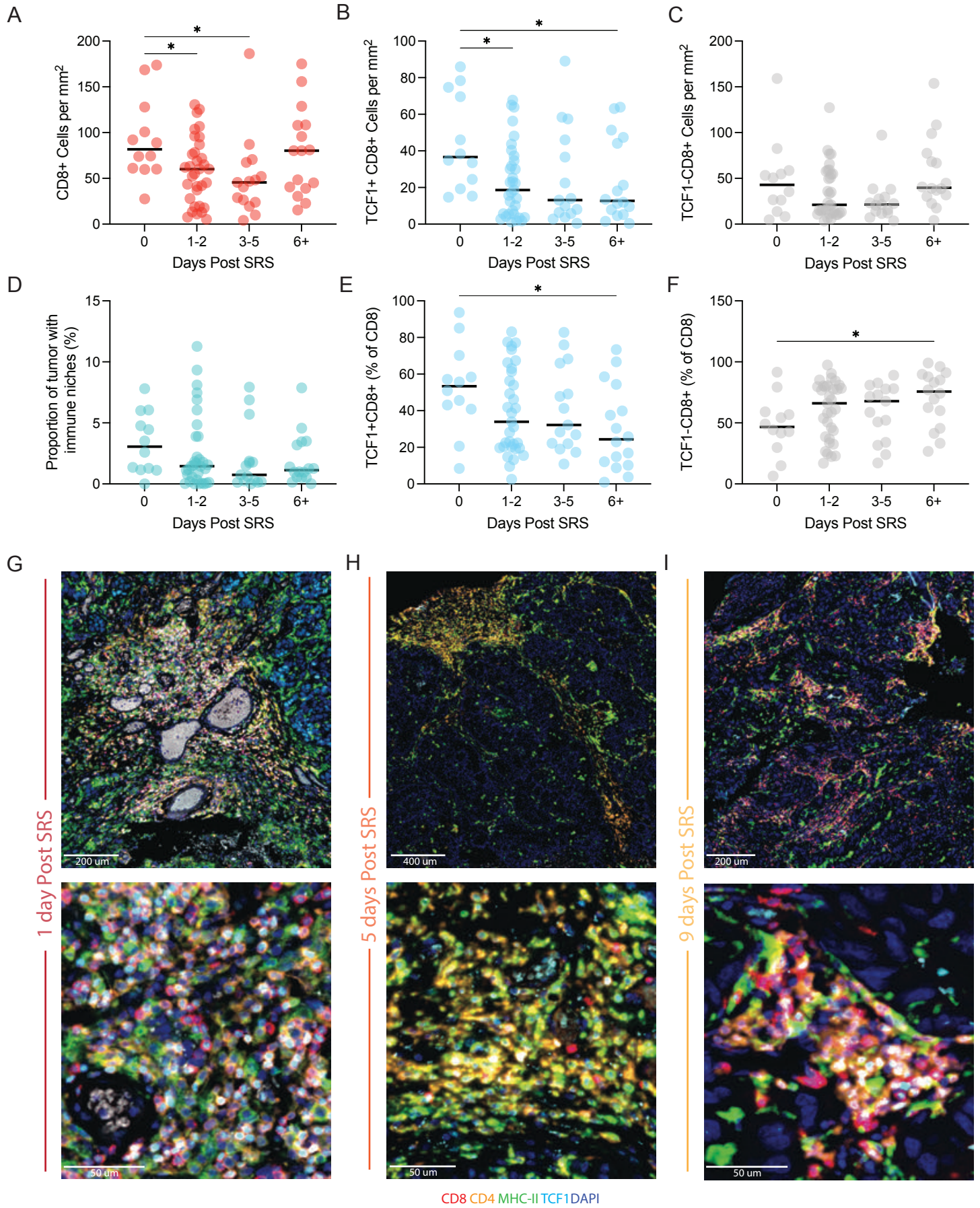
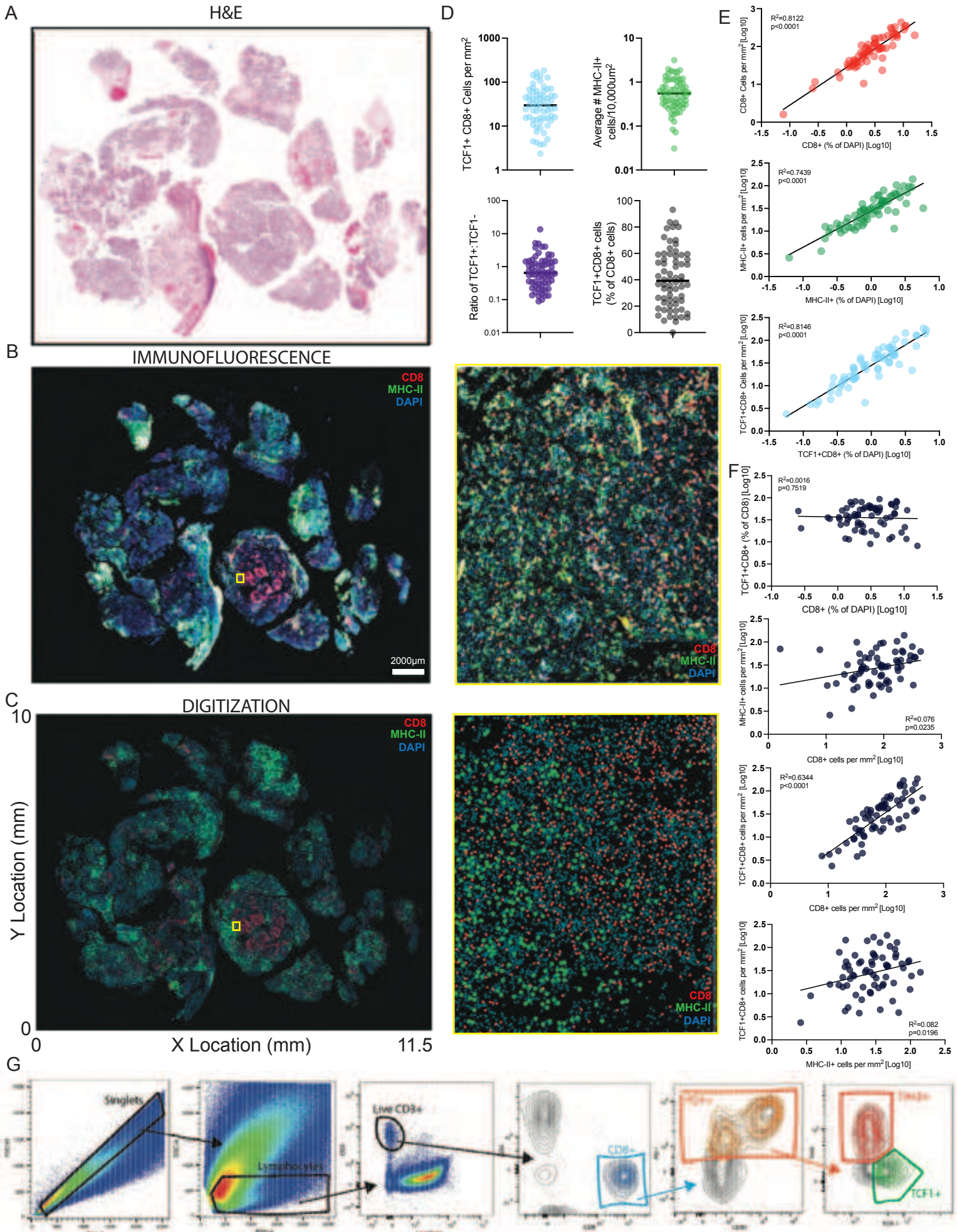


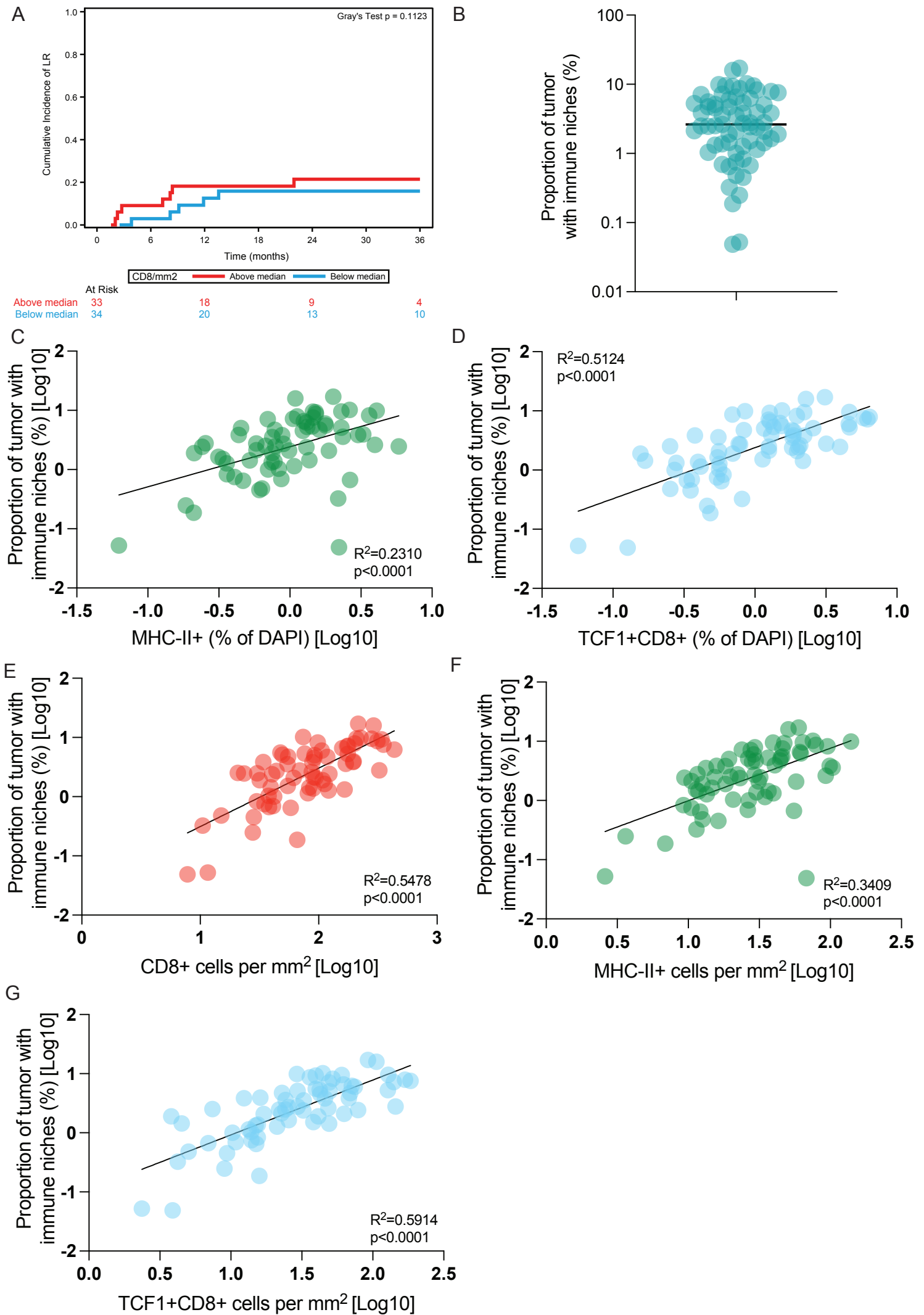
Figure 5. Temporal changes of BrM immune niche components following pSRS. **A)** Number of CD8⁺ cells per mm² decreases from day 0 to day 5 and then rebounds to baseline by day 6+ following pSRS. **B)** Number of TCF1⁺ CD8⁺ cells decrease following pSRS and remain lower at day 6+. **C)** Number of TCF1⁻ CD8⁺ cells have a numeric, but not significant decrease from day 0-5 with a rebound by day 6+. **D)** Proportion of tumor occupied by immune niches showed a numeric but not significant decrease from day 0 to day 6+. **E)** Percentage of TCF1⁺ CD8⁺ cells significantly decreased from day 0 to day 6+. **F)** In contrast, the percentage of TCF1⁻ CD8⁺ cells significantly increased from day 0 to day 6+. In A-F, *:p < 0.05 as calculated by ordinary one-way ANOVA. **G)** Representative immunofluorescence of the immune niches and T cells subsets at day 1, **H)** at day 5, **I)** and at day 9 after pSRS.

Supplemental Figure 1

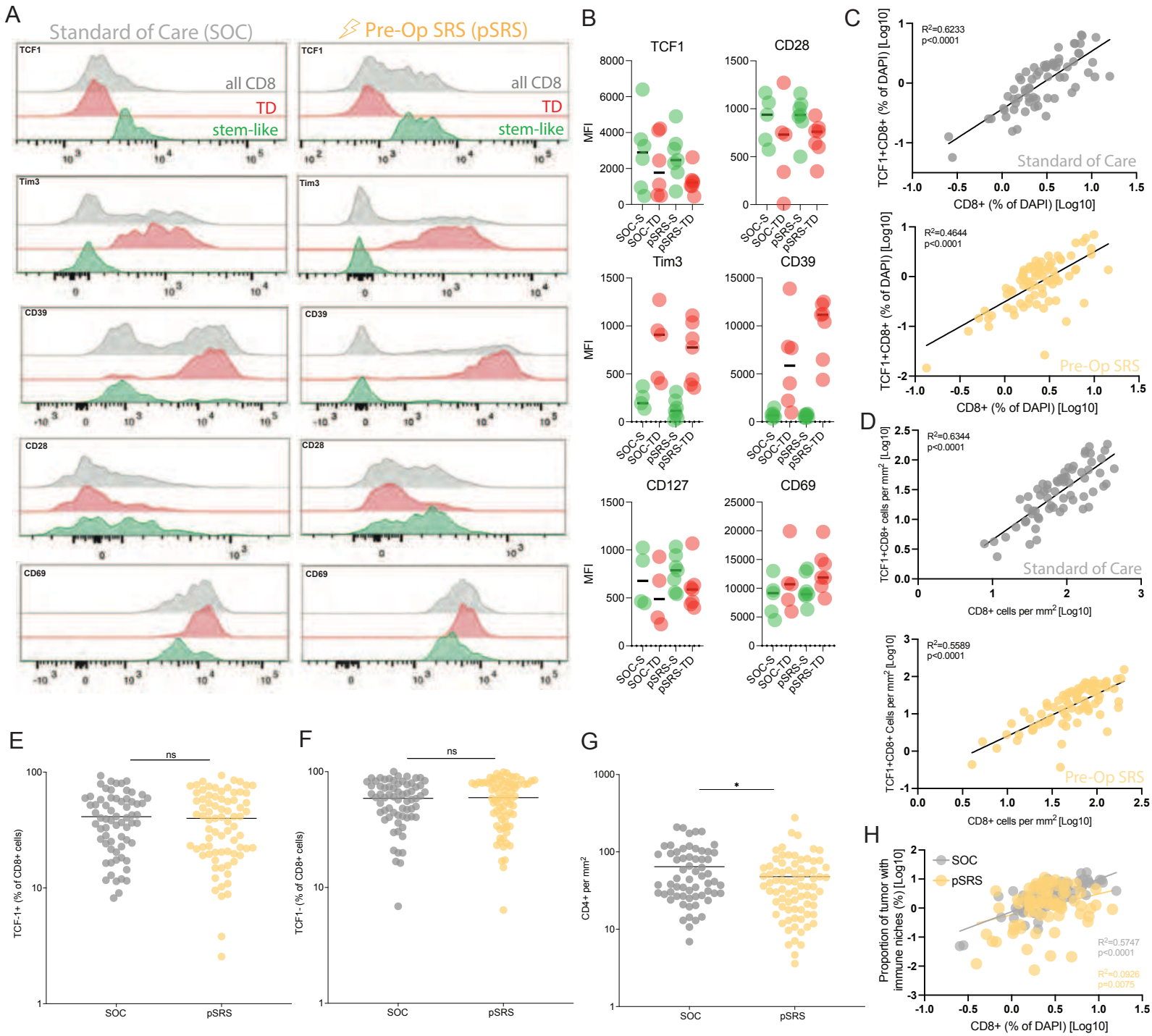


Supplemental Figure 1. **A)** Whole slide H&E image of BrM. **B)** Representative three-color immunofluorescence staining with region of interest shown in yellow box with region of interest on the right. **C)** Digitization of the slide with region of interest shown with region of interest on the right. **D)** Quantification of number of CD8⁺ TCF1⁺ cells per mm², average number of MHC-II⁺ cells per 10,000um², ratio of TCF1⁺ to TCF1⁻ cells, and percentage of TCF1⁺ of CD8⁺ cells. **E)** Validation of quantification methods, demonstrating correlation between a given cell count per mm² and respective proportion of total cells. **F)** Correlation between percent CD8⁺ of total cells and percent TCF1⁺ of CD8⁺ T cells, correlation between CD8⁺ cells per mm² and MHC-II⁺ cells per mm², correlation between CD8 cells per mm² and TCF1⁺ CD8⁺ cells per mm², correlation between MHC-II⁺ cells per mm² and TCF1⁺ CD8⁺ cells per mm². **G)** Flow cytometry gating strategy.

Supplemental Figure 2

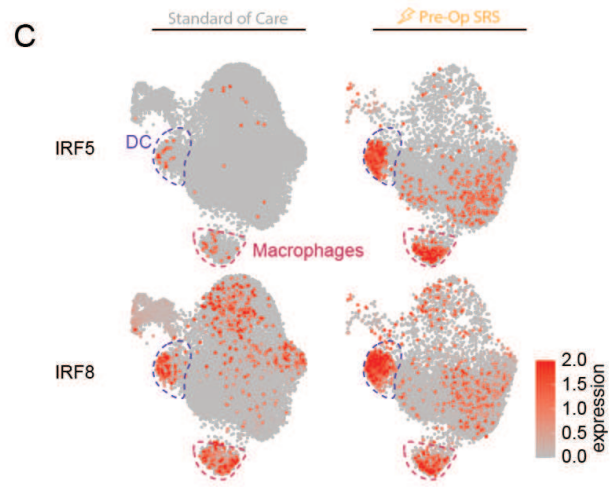
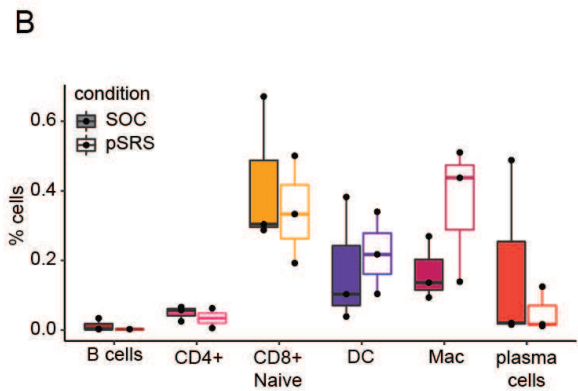
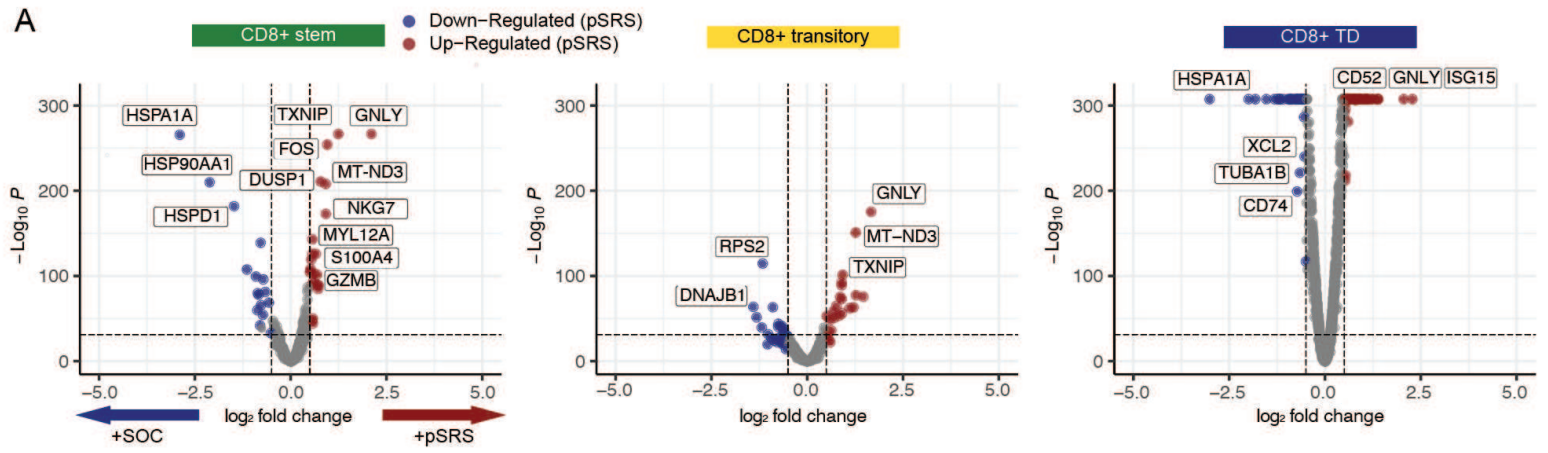


Supplemental Figure 2. A) No difference in local control between high vs low CD8⁺ T cell per mm². **B)** Quantification of the proportion of tumors occupied by immune niches (local 100um x 100um cellular neighborhoods where TCF1⁺ CD8⁺ T cells and MHC-II⁺ cells co-localize). **C)** Correlation between MHC-II⁺ cell frequency and proportion of tumors with immune niches. **D)** Correlation between TCF1⁺ CD8⁺ cell frequency and proportion of tumors with immune niches. **E)** Correlation between CD8⁺ cells per mm² and proportion of tumors with immune niches. **F)** Correlation between MHC-II⁺ cells per mm² and proportion of tumors with immune niches. **G)** Correlation between TCF1⁺ CD8⁺ cells per mm² and proportion of tumors with immune niches.

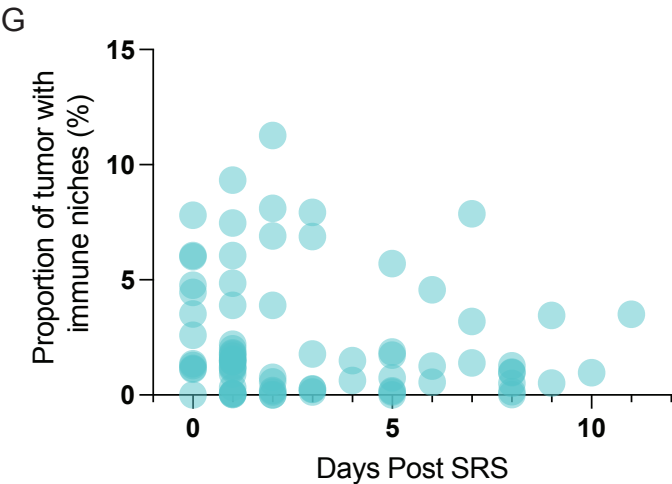
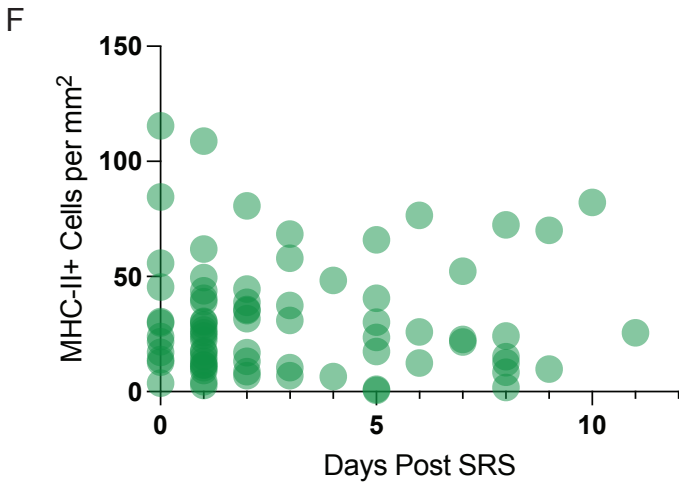
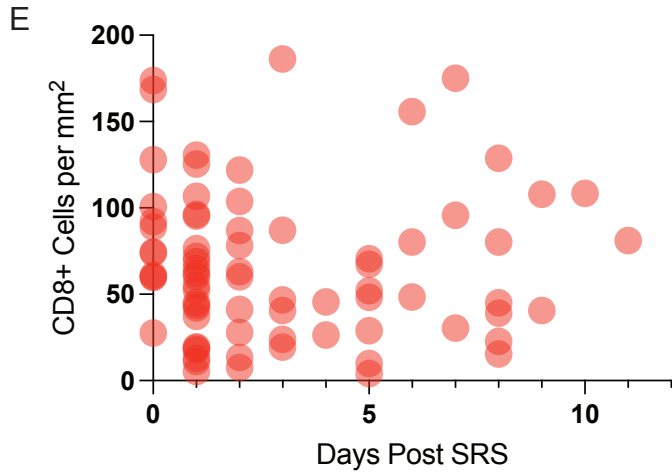
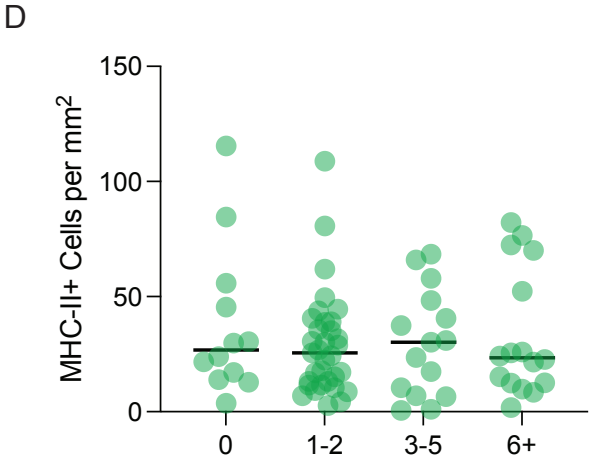
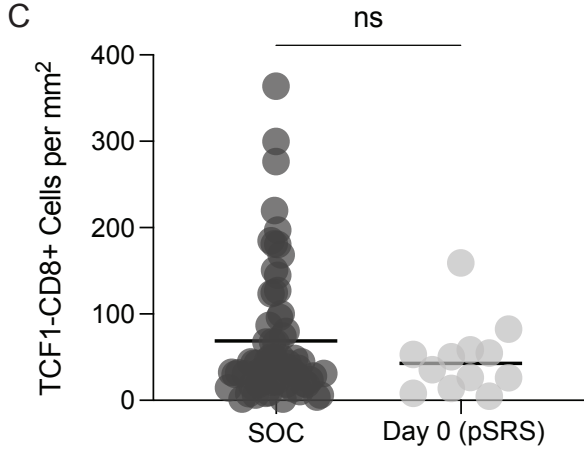
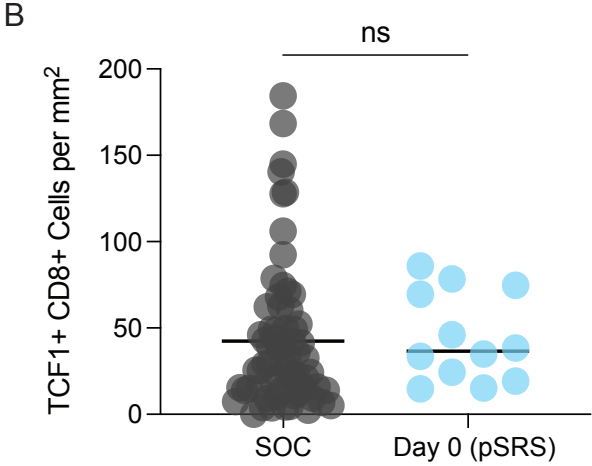
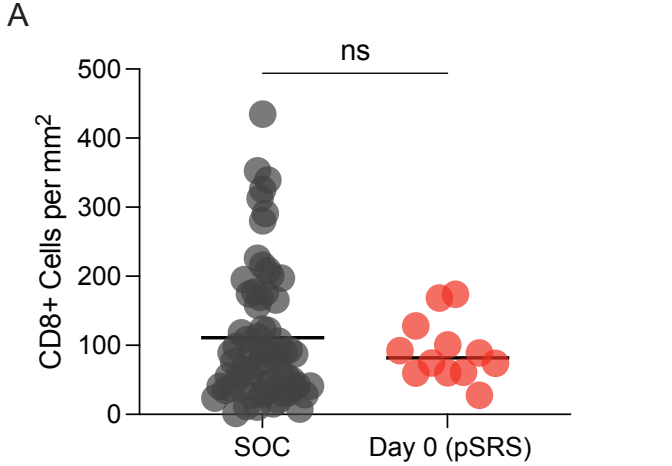


Supplemental Figure 3. **A)** Representative expression of stem-like and terminally differentiated effector-like cell markers in T cells from SOC and pSRS BrM by flow cytometry. **B)** Quantification of marker from (A). **C)** Correlation between frequency of CD8⁺ cells and TCF1⁺ CD8⁺ cells in SOC and pSRS BrM. **D)** Correlation between numbers of CD8⁺ cells per mm² and TCF1⁺ CD8⁺ cells per mm² in SOC and pSRS BrM. **E)** Concordance between frequency of TCF1⁺ of CD8⁺ cells in SOC and pSRS BrM, $p=0.9367$. **F)** Concordance between frequency of TCF1⁻ of CD8⁺ cells in SOC and pSRS BrM, $p=0.8329$. **G)** A significant decrease seen in CD4⁺ cells per mm² in pSRS compared to SOC BrM, $p=0.0395$. In E-G, $^*p<0.05$, as calculated by unpaired t test. **H)** Correlation between frequency of CD8⁺ and proportion of tumor with immune niches in SOC and pSRS.

Supplemental Figure 4



Supplemental Figure 4. A) Pairwise comparison of specific CD8⁺ T cell clusters between SOC and pSRS. Volcano plots show average fold-change by all cells in the cluster by $-\log_{10}$ p-value. The number of differentially expressed genes (≥ 0.25 Log₂ fold-change in each pairwise comparison) are indicated in each plot. **B)** Frequencies of various infiltrating immune cells compared between SOC and pSRS. **C)** Feature plots showing increased expression of IRF5 and IRF8 in DCs and macrophages in pSRS.



Supplemental Figure 5. **A)** SOC vs day 0 pSRS for CD8 per mm². **B)** SOC vs day 0 pSRS for TCF1⁺ per mm². **C)** SOC vs day 0 pSRS for TCF1⁻ per mm². A-C calculated by unpaired t test. **D)** MHC-II⁺ cells per mm² show no change from day 0 to day 6+ after pSRS. **E)** Distribution of CD8⁺ cells per mm² from 0-11 days after pSRS. **F)** Distribution of MHC-II⁺ cells per mm² from 0-11 days after pSRS. **G)** Distribution of proportion of tumor with immune niches from 0-11 days post-SRS.

Table 1 Descriptive Statistics - Standard of Care

Variable	Level	N (%) = 67
ECOG	<2	55 (82.1)
	>=2	12 (17.9)
Sex	Male	25 (37.3)
	Female	42 (62.7)
Primary Site	Lung	42 (62.7)
	Breast	16 (23.9)
	Melanoma	9 (13.4)
Age	Mean	58.61
	Median	58.00
	Minimum	32.00
	Maximum	83.00
	Std Dev	11.62
	Missing	0.00
Dexamethasone Dose (mg/day)	Mean	15.95
	Median	16.00
	Minimum	4.00
	Maximum	40.00
	Std Dev	6.99
	Missing	27.00

Table 2 - Univariate Associations

Univariate Association with CD8 cells per mm2

CD8/mm2				
Variable	Level	N	Median	Kruskal-Wallis P-value
ECOG	<2	55	87.48	0.503
	>=2	12	78.74	
Gender	Male	25	87.48	0.866
	Female	42	82.26	
Primary Site	Lung	42	81.85	0.506
	Breast	16	73.50	
	Melanoma	9	106.25	

Univariate Association with TCF percentage of CD8

TCF % of CD8				
Variable	Level	N	Median	Kruskal-Wallis P-value
ECOG	<2	55	39.31	0.719
	>=2	12	43.08	
Gender	Male	25	36.23	0.509
	Female	42	40.78	
Primary Site	Lung	42	36.36	0.198
	Breast	16	38.42	
	Melanoma	9	53.01	

CD8/mm2

Variable	N	Spearman CC	Spearman P-value
Age	67	0.032	0.797
Steroid Dose (mg/day)	40	0.220	0.173

TCF % of CD8

Variable	N	Spearman CC	Spearman P-value
Age	67	0.150	0.224
Steroid Dose (mg/day)	40	0.019	0.906

Univariate Association with Niche Proportion

Niche Proportion

Variable	Level	N	Median	Kruskal-Wallis P-value
ECOG	<2	55	2.63	0.794
	>=2	12	2.96	
Gender	Male	25	3.60	0.364
	Female	42	2.45	
Primary Site	Lung	42	2.68	0.134
	Breast	16	1.53	
	Melanoma	9	5.57	

Niche Proportion

Variable	N	Spearman CC	Spearman P-value
Age	67	0.243	0.113
Steroid Dose (mg/day)	40	0.132	0.416

Table 3 Descriptive Statistics - pSRS

Variable	Level	N (%) = 76
ECOG	<2	59 (77.6)
	>=2	17 (22.4)
Sex	Male	40 (52.6)
	Female	36 (47.4)
Primary Site	Lung	46 (60.5)
	Breast	4 (5.3)
	Melanoma	15 (19.7)
	GI	5 (6.6)
	Other	6 (7.9)
Age	Mean	61.06
	Median	59.77
	Minimum	26.47
	Maximum	95.30
	Std Dev	12.13
	Missing	0.00
Dexamethasone Dose (mg/day)	Mean	11.60
	Median	12.00
	Minimum	0.00
	Maximum	24.00
	Std Dev	4.85
	Missing	26.00
Days from pre-op SRS to surgery	Mean	3.03
	Median	2.00
	Minimum	0.00
	Maximum	11.00
	Std Dev	2.94
	Missing	0.00

Table 3 Descriptive Statistics - pSRS

Variable	Level	N (%) = 76
Preop SRS dose	Mean	15.86
	Median	15.00
	Minimum	12.00
	Maximum	30.00
	Std Dev	2.88
	Missing	0.00

Table 4 Descriptive Statistics by Group

Covariate	Statistics	Level	Group		P-value*
			SOC N=67	pSRS N=76	
ECOG	N (Col %)	<2	55 (82.09)	59 (77.63)	0.508
	N (Col %)	>=2	12 (17.91)	17 (22.37)	
Sex	N (Col %)	Male	25 (37.31)	40 (52.63)	0.066
	N (Col %)	Female	42 (62.69)	36 (47.37)	
Age	N		67	76	0.221
	Mean		58.61	61.06	
	Median		58	59.77	
	Min		32	26.47	
	Max		83	95.3	
	Std Dev		11.62	12.13	
Dexamethasone Dose (mg/day)	N		40	50	0.002
	Mean		15.95	11.6	
	Median		16	12	
	Min		4	0	
	Max		40	24	
	Std Dev		6.99	4.85	

* The p-value is calculated by ANOVA for numerical covariates; and chi-square test or Fisher's exact for categorical covariates, where appropriate.

Table 5: Antibodies

Target Antibody	Type	Clone	Concentration	Fluorophore
CD8	Mouse IgG1, k	C8/144B	1:100	Opal 570
CD4	Rabbit		1:300	Opal 690
TCF1	Rabbit	C69D9	1:200	Opal 520
MHC-II	Mouse IgG2a, k	Tu39	1:75	Opal 620
PD1	Mouse IgG2a, k	EH33	1:100	Opal 480
Cytokeratin	Mouse IgG1, k	AE1/AE3	1:500	Opal 780
CD4	Mouse IgG2b, k	OKT4	1:100	BUV496
CD8	Mouse IgG1, k	RPA-T8	1:100	BUV661
PD1	Mouse IgG1, k	EH12.1	1:100	BUV737
CD39	Mouse IgG1, k	A1	1:100	BV421
CD45RA	Mouse IgG2b, k	HI100	1:100	BV510
CD3	Mouse IgG1, k	UCHT1	1:100	PerCP-Cy5.5
Tim3	Rat IgG2a	344823	1:50	PE
CD28	Mouse IgG1, k	CD28.2	1:100	BUV395
CD127	Mouse IgG1, k	A019D5	1:100	PE-Cy7
CCR7	Mouse IgG2a, k	G043H7	1:100	BV785
HLA-DR	Mouse IgG2a, k	L243	1:100	BV605
Tox	Rat IgG2a, k	TXR10	1:100	eFluor 660
GranzymeB	Mouse IgG1, k	GB11	1:100	A700
TCF1	Rabbit		1:100	AF488
Ki67	Mouse IgG1, k	Ki-67	1:50	BV711
CD69	Mouse IgG1, k	FN50	1:100	BV650
Foxp3	Mouse IgG1, k	206D	1:100	PE/Dazzle594