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## **ORIGINAL ARTICLE**

**FLUID BIOMARKERS** 

## Effects of Physical Exertion on Early Changes in Blood-Based Brain Biomarkers: Implications for the Acute Point of Care Diagnosis of Concussion

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## Abstract

Blood-based brain biomarkers (BBM) such as glial fibrillary acidic protein (GFAP) and ubiquitin carboxyterminal hydrolase L1 (UCH-L1) have potential to aid in the diagnosis of concussion. Recently developed point-of-care test devices would enable BBMs to be measured in field settings such military and sport environments within minutes of a suspicious head hit. However, head hits in these environments typically occur in the setting of vigorous physical exertion, which can itself increase BBMs levels. Thus, efforts to develop BBMs as acute concussion aids in field settings need to account for the effects of physical exertion. To determine the acute effects of physical exertion on the BBMs, we measured GFAP, UCH-L1, tau, and neurofilament light chain (NF-L) immediately before, immediately after, and 45 min after a single workout session consisting of aerobic and resistance exercises in 30 collegiate football players. Subjects wore body sensors measuring several aspects of exertion and underwent diffusion tensor imaging 24 h before and 48 h after exertion. All subjects were male with a mean age of  $19.5 \pm 1.2$  years. The mean duration of activity during the workout session was 94±31 min. There was a significant decrease in serum GFAP immediately after (median decrease of 27.76%, p < 0.0001) and a significant increase in serum UCH-L1 45 min after (median increase of 37.11%, p = 0.016) exertion, compared with pre-exertion baseline. No significant changes in tau or NF-L were identified. The duration of exertion had a significant independent linear correlation to the increase in serum UCHL1 from pre-exertion to 45 min after exertion (r=0.68, p=0.004). There were no significant pre- to post-exertional changes in any of the 39 examined brain white matter regions, and biomarker changes did not correlate to variation in white matter integrity in any of these regions. Thus, exertion appeared to be associated with immediate decreases in serum GFAP and very acute (45 min) increases in UCH-L1. These changes were related to the duration of exertion, but not to changes in brain white matter integrity. Our results have important implications for how these BBMs might be used to aid in the on-scene diagnosis of concussion occurring in the setting of physical exertion.

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## Introduction

Despite the marked increase in scientific attention to concussion in the past decade, the diagnosis remains largely based on subjective reporting of symptoms, which are nonspecific and highly prevalent among those without concussion.<sup>1-3</sup> Accumulating evidence suggests that blood-based brain biomarkers (BBMs) may be good injury classifiers and could be used to assist in concussion diagnosis.<sup>4–10</sup> Although two BBMs (glial fibrillary acidic protein [GFAP] and ubiquitin carboxy-terminal hydrolase L1 [UCH-L1]) currently have U.S. Food and Drug Administration (FDA) clearance, their use is restricted to assisting in the decision to obtain a head CT scan, and not as a concussion diagnostic. The recent FDA clearance of a point-of-care test device for GFAP/UCH-L1 makes real the possibility that these BBMs, pending rigorous validation, could in the near future be used to aid concussion diagnosis in a field setting within minutes of a suspicious head hit,<sup>11</sup> providing a practical breakthrough in acute concussion care. Recent evidence demonstrating elevations of GFAP within min of head impact underscore the feasibility of on-scene concussion diagnosis using BBMs.<sup>12</sup>

Situations in which a rapid and accurate point-of-care diagnostic are arguably the most critical include combat and contact sport environments. Missed concussion diagnoses in these settings have the potential to put others at risk due to impaired neurologic function and to increase the risk of more permanent sequalae should a second head hit occur. However, concussions in these environments typically occur in the setting of vigorous physical exertion, which can itself increase BBMs levels in blood. Thus, efforts to develop acute, point-of-care BBMs as aids to concussion diagnosis in military and sports settings need to account for the effects of physical exertion.

Several BBMs have been reported to be acutely elevated in peripheral blood after physical exertion (e.g., S100B, brain-derived neurotrophic factor [BDNF], total tau, neuron specific enolase [NSE], and visinin-like protein),<sup>13–19</sup> although data related to GFAP and UCH-L1 are lacking. Mechanistic insight into exertional effects on brain proteins comes primarily from S100B and BDNF. Exertional increases in S100B are thought to result from stress-induced serotonin release activating astrocytes to express S100B via 5-HT1A receptors and increasing blood–brain barrier permeability.<sup>17,18,20–27</sup> BDNF increases putatively emerge from upregulation of messenger RNA (mRNA) expression stimulated by exertion-related increases in ketogenic  $\beta$ -hydroxybutyrate.<sup>28,29</sup> Both S100B and BDNF are expressed by cells outside the central nervous system, raising the possibility that non-brain effects of exercise may also contribute to post-exertional increases of these biomarkers.<sup>30–33</sup> The degree of elevation of S100B and BDNF is related primarily to the intensity, duration, and type of physical exertion.<sup>34,35</sup> For example, exertion involving running<sup>13,16,36</sup> and weight lifting<sup>36</sup> produce higher levels of S100B and BDNF than cycling<sup>35,37</sup> and swimming.<sup>14</sup> Despite this pattern, mechanical injury to neural elements due to running and jumping-related axial impacts were not thought to be a factor in exertional increases of these proteins,<sup>38</sup> although this has not been explicitly investigated. Diffusion magnetic resonance imaging (MRI) studies have revealed beneficial white matter (WM) changes associated with aerobic exertion programs lasting 6-9 months (increased fractional anisotropy in uncinate fasciculus, fornix, and genu of corpus callosum),<sup>39-41</sup> but to our knowledge the effects of single workout session-either beneficial or detrimental-have not been reported.

The extent to which exercise influences acute blood levels of brain biomarkers other than S100B and BDNF is relatively understudied. Post-exertional levels of serum GFAP were found to be elevated in one study<sup>42</sup> and undetectable in another.<sup>19</sup> To our knowledge, no studies have examined the effects of physical exertion on UCH-L1. Our primary objective was to determine the acute effects of physical exertion on GFAP and UCH-L1, as well as two additional widely researched BBMs (tau and neurofilament light chain [NF-L]), among a cohort of collegiate athletes in which each subject served as their own control. Our secondary objectives were to determine which aspects of physical exertion drive post-exertional BBM increases and the extent to which BBM increases reflect changes in brain WM integrity.

## Methods

### Study design

We conducted a prospective observational study of 30 collegiate football players before and after a single team workout session during the non-competitive spring season. Blood was collected immediately before (T0) and at two time-points after the session: immediately (T1) and 45 min (T2) post exertion. Diffusion tensor imaging (DTI) scanning was performed 24 h before (T-1) and 48 h after (T3) the workout session. (Fig. 1) All subjects wore a body-mounted sensor to measure several aspects of physical exertion during the workout session. Subjects were recruited from members of the University of Rochester (UR) Division III football team during the 2018-2019 academic year (n=15), and the 2019-20



**FIG. 1.** Study overview. In all subjects (n = 30), blood samples were obtained immediately before (T0) and at two time-points after a workout session; immediately (T1) and 45 min (T2) after. Diffusion tensor imaging (DTI) and concussion symptom inventory were obtained in half the cohort (n = 15) 24 h before (T-1) and 48 h after (T3) the workout.

academic year (n=15). Subjects were eligible for inclusion if they were >18 years old at the time of consent, an active member of the varsity football team, and expected to play in at least one football game over the course of the fall season. Subjects were excluded if they were diagnosed with a concussion within the month prior to study activities or if they had any contraindications to MRI scanning (e.g., dental braces, retained metallic foreign bodies, claustrophobia, etc.). The study protocol and the process of informed consent was reviewed and approved by the University of Rochester Research Subjects Review Board. Written informed consent was obtained from all participants prior to study activities.

#### Workout session

The exertional protocol consisted of a mix of aerobic and resistance training. Athletes were instructed to perform a fixed series of exercises from a comprehensive list but were free to choose specific exercises within series. (Table 1) Workout sessions occurred in six groups of five subjects approximately 3 months after the last football game of the fall season. Each session took place in the same workout space  $(62' \times 46')$  within the UR varsity training facilities and supervised by research study personnel. This space was temperature-controlled at 70°F for all sessions.

#### Sensor-based measures of physical exertion

To capture the intensity and duration of physical exertion, each subject was outfitted with PlayerTek Wearable GPS Tracker (Catapult Sports, Melbourne, Australia) over the upper back, held securely within a lightweight vest fitted to each individual. This device is composed of a 10Hz Global Positioning/Global Navigation Satellite System, a 400 Hz triaxial accelerometer, gyroscope, and a 10 Hz triaxial magnetometer to track player movement and the intensity of physical exertion. Repeat measurements on the same device (intra-unit reliability) vary by 0.01% to <3.0%, with the majority of measurements varying by

Fable 1.	Exertional	Workout	Protocol

Warm up (all)				
Burpees × 15 s	ec Russ	ian Hops×15 sec Mo	ountain (	Climbers × 15 sec
Correctives (3-	-4 of the fo	ollowing)		
Goblet Squats Band pull-th Single leg glute bridge×6 ea	×10 roughs×10 e ch	Prone shoulder mobility Prone back and scapula (Y-T-W)×5 each DB <sup>c</sup> 5-10 lbs	Stand Layin pre	ing pushups×10 g shoulder ss×10
Core lift (3)				
Squat 3 sets of reps Clean Pull 4 of 3-5 reps RDL <sup>a</sup> Barbell of 10 reps Dynamic Benc of 3 reps	f 10-12 4 sets 3 sets 2 sets 2 sets	Dynamic Squat 8 sets of 3 reps Bench press 3 sets of 10- 12 reps BB <sup>b</sup> Overhead Press 3 sets of 6 reps BB <sup>b</sup> Power Shrugs 3 sets of 6 reps	Seated sets Tricej dov rep Skate 20- DB <sup>c</sup> I sets	d Cable Row 3 s of 8-12 reps ps Cable push- wn 3 sets of 6-10 s r Jumps 3 sets of 30 secs Incline Press 3 s of 6 reps
Main accessor	y lifts (2-3)	) OR	Clean	progression (all)
DB <sup>c</sup> lunge 3 si DB <sup>c</sup> Shrug 3 s Pull-ups 3 sets Bent over BB Landmines 1 s Negative Pull- DB <sup>c</sup> Shoulder of 8-12 reps	ets of 8 rep ets of 12 rd of 8-10 re row 3 sets set of 8 rep ups 3 sets Side raises	eps ps of 10 reps s of 6 reps 3 sets	Clean f Front s Hang c	pulls squat Slean
Triceps circuit (all)	OR	Accessory circuits (2-3)	OR	Bicep/deltoid circuit (all)
Half	]	Hammer Curls		Upright row

incunt (un)	Un	circuits (2-3)	Un	circuit (uii)
Half		Hammer Curls		Upright row
Full		Farmer Walk		Overhead press
Skull crushers		Rear foot elevated split squats		Bicep curl
		Medicine Ball good mornings Farmer Plate carry		

<sup>a</sup>Romanian dead lift; <sup>b</sup>Barbell; <sup>c</sup>Dumbbell. Reps, repetitions. <1.0%. Within device (inter-unit) test-retest reliability revealed intraclass correlation coefficients ranging from 0.77 (95% confidence interval [CI]: 0.62-0.89) to 1.0 (95% CI: 0.99–1.0).<sup>43</sup> Information from these four sensors wirelessly uploads to cloud-based software. When combined with manually input data on each subject's body weight, the software calculates total duration of exertion, distance traveled (km), energy expenditure (kilocalories), power score (watts/kg), player load (sum of all accelerations across all axes of the triaxial accelerometer), and work ratio (percentage of activity time during which player was moving at speeds >1.5 m/sec).<sup>44-46</sup> It also detects body impacts >3 Gs,<sup>47–50</sup> although the workout session did not involve any contact between athletes. Data collected by the PlayerTek device was downloaded from the cloud using the PlayerTek Sync Tool (version 5.68).

## Blood sampling and analysis

Approximately  $500 \,\mu$ L of capillary whole blood was obtained from each subject's fingertip using 14-gauge 2-mm incision depth BD Microtainer<sup>®</sup> contact-activated lancets (BD Biosciences, Franklin Lakes, NJ) directly into red stopper BD Microtainer non-sterile tubes (BD Biosciences). Samples were collected immediately prior to the workout session (T0), immediately following the workout session (T1), and 45 min following the workout session (T2). Samples were immediately placed on ice until centrifuged at 12,000 RPM for 7 min at room temperature within 1 h of collection. Aliquoted serum was immediately frozen and stored at -80°C until analysis.

Serum levels of NF-L, tau, UCH-L1, and GFAP were measured using a SIMOA<sup>®</sup> 4-plex assay kit (Quanterix Corp, Billerica, MA), which is a magnetic bead-based digital enzyme-linked immunosorbent assay (ELISA) that allows detection of biomarkers in femtomolar concentrations.<sup>51,52</sup> All four biomarkers were measured from the same blood sample. Fifty microliters of serum were mixed with either anti-NF-L, anti-tau, anti-UCH-L1, or anti-GFAP antibody-coated paramagnetic capture beads and biotinylated detector antibody. Lower limits of detection were 0.104 pg/mL (NF-L), 0.024 pg/mL (tau), 0.221 pg/mL (GFAP), and 1.74 pg/mL (UCH-L1), and their respective intra-assay coefficients of variation are 5.4%, 6.7%, 3.7%, and 11.3%.

Assays were batched to minimize variability and technicians were blinded to clinical data and groups, with each batch run with appropriate standards and controls to ensure reliability. Longitudinal samples from the same individual were run on the same plate to reduce potential batch effects. Possible batch effects were minimized by including a standard case and control on all plates that are analyzed. All samples were analyzed in duplicate but could not be re-run in some instances due to the limited amount of serum derived from capillary whole blood. In cases where the analytical coefficient of variation (CV) exceeded 20%, values were included in our analysis if the CV for average enzyme per bead was less than 20%.<sup>52</sup> Samples in which the analytical CV and bead count CV both exceeded 20% were excluded from further analysis. These results were considered missing at random and not imputed.

## Neuroimaging

Fifteen of the 30 participating subjects were selected at random to undergo DTI neuroimaging 24 h before and 48 h after the workout session (T3). All images were obtained on a Siemens 3T MRI MAGNETOM PrismaFit whole-body scanner (Siemens Healthcare, Erlangen, Germany) running on software version VE11c, using a 64-channel phased array head coil. The imaging sequence consisted of a single-shot spin-echo echo planar imaging sequence with repetition time/echo time = 8000/89 msec, isotropic voxel  $2 \times 2 \times 2$  mm, iPAT (Generalized Autocalibrating Partially Parallel Acquisition) acceleration factor=2, 60 directions of diffusion weighting,  $b = 1200 \text{ sec/mm}^2$ , one non-diffusion-weighted image (b=0, denoted B0) with 10 averages. Three images at b=0 sec/mm were acquired with reversed phase encoding to assist with distortion correction. Pre-processing included motion correction using rigid registration with the Advanced Normalization Tools (ANTS) software package,<sup>53</sup> with adjustments to the gradient table performed based on patient position. Distortion correction with the Topup algorithm,<sup>54</sup> as implemented in FSL (Functional MRI Brain Software Library),<sup>55</sup> was performed using the b=0 images with reverse phase encoding and the resulting transformation was applied to each gradient direction.

After distortion correction, robust estimation of tensors by outlier rejection (RESTORE) within the TORTOISE software package was performed,<sup>56</sup> followed by computation of fractional anisotropy (FA), axial diffusivity (AD), radial diffusivity (RD), and mean diffusivity (MD). For cross-sectional analyses, measurements were averaged across voxels within 39 white matter regions of interest, defined automatically using the Diffusion-Oriented Tract Segmentation (DOTS) tract segmentation algorithm.

For longitudinal analyses, images were initially processed in the original acquisition space. Regions of interest were defined automatically using the DOTS tract segmentation on only the baseline image.<sup>57</sup> To align longitudinal images across time-points, the baseline  $T_1$ -w image for each subject was rigidly registered to Montreal Neurological Institute (MNI) space. The baseline motion and distortion corrected B0 image was then rigidly registered to the  $T_1$ -w image, and the B0 images of each subsequent time-point were registered to the baseline B0 in MNI space. Finally, diffusion measurements and tract labels were transformed into MNI space for each time-point using concatenated spatial transformations where appropriate. Measurements were averaged across voxels within the 39 regions of interest for each subject.

## Symptoms

Subjects were asked to self-report concussion-related symptoms at the time of DTI scanning 24 h before and 48 h after the workout session using the 22-item checklist in the Sport Concussion Assessment Tool (SCAT5)<sup>58</sup> This checklist asks subjects to rate each symptom on a scale from 0 (none) to 6 (severe); thus, the total symptom score could range from 0-132. Because symptoms were assessed at the time of DTI, they were only obtained in the subset (n=15) randomly selected for neuroimaging.

### Statistical analysis

Biomarker changes (both absolute and relative) were described using means, standard deviations, medians, and interquartile ranges. Dependent sample t-tests were used to evaluate the statistical significance of longitudinal biomarker changes. Associations between exertional metrics and changes in biomarkers were evaluated Pearson's correlation coefficient r. The coefficient of determination  $(\mathbf{R}^2)$  was used to estimate the proportion of variation in biomarker changes explained by significant exertion. Changes in DTI metrics (i.e., regional FA and MD values) were evaluated using dependent sample t-tests, and these changes were associated with biomarker changes using Spearman correlation coefficients. Bonferroni corrections were made to limit inflation of the Type I error rates associated with multiple comparisons. This correction moved the p value indicating significance from p < 0.05 to <0.0063 for analyses involving the eight exertional metrics, and to <0.0013 for analyses involving the 39 brain white matter regions. We used SAS version 9.4 (SAS Institute, Cary, NC) for all statistical analyses.

### Results

#### Subject characteristics

All subjects were male with a mean age of 19.  $5\pm1.2$  years. (Table 2) Subjects represented an array of offensive and defensive football positions. The subset of 15 subjects who underwent DTI scanning and symptom evaluation were similar to the full cohort in terms of age (19.3±1.3 years), race (93% white), ethnicity (100% non-Hispanic/Latino), and number of prior concussions (none: 66.7%, 1: 26.7%, >1: 6.7%). Compared with before the workout session, there was no significant change in mean total symptom score after the session (2.33±5.1 vs. 2.73±3.5; Fig. 2) The most frequently reported symptom after the workout session was fatigue (47%).

# Measures of exertion during the workout session

The mean duration of activity during the workout session was  $94 \pm 31$  min during which athletes expended an aver-

### **Table 2. Subject Characteristics**

Characteristic	All subjects (n=30)	Subjects undergoing DTI (n = 15)
Age, mean years (SD)	19.5 (1.2)	19.3 (1.3)
Sex, $n$ male (%)	30 (100)	15 (100)
Race, <i>n</i> (%)		
Black/AA <sup>a</sup>	3 (10)	0
White	24 (80)	14 (93.3)
White + Asian or Black/AA <sup>a</sup>	2(6.7)	1 (6.7)
Other	1 (3.3)	0
Ethnicity, n (%)		
Hispanic/Latino	0	0
Not Hispanic/Latino	30 (100)	15 (100)
BMI, mean kg/m <sup>2</sup> (SD)	29.39 (5.0)	28.48 (4.8)
Player position, $n$ (%)		
Center	1 (3.3)	1 (6.7)
Defensive back	6 (20)	2 (13.3)
Defensive line	7 (23.3)	3 (20)
Line backer	7 (23.3)	3 (20)
Offensive line	4 (13.3)	2 (13.3)
Running back	2 (6.7)	1 (6.7)
Tight end	1 (3.3)	1 (6.7)
Wide receiver	2 (6.7)	2 (13.3)
Number of prior concussions <sup>b</sup> ,		
n (%)		
0	18 (60)	10 (66.7)
1	7 (23.3)	4 (26.7)
2	3 (10)	1 (6.7)
3	2 (6.7)	0
> 3	0	0

<sup>a</sup>AA, African American;  $^{b}>1$  month before study.

DTI, diffusion tensor imaging; SD, standard deviation; BMI, body mass index.

age of  $212 \pm 79$  kcal of energy (Supplementary Table S1). Although the workout did not involve physical contact between the athletes, the PlayerTek sensors detected a per-athlete average of  $58.8 \pm 67.7$  impacts between 3-5 Gs and  $2.9 \pm 10.2$  impacts between 5-10 Gs. Study coordinators present during the workout sessions did not observe any athlete-to-athlete contact. To understand why the sensors detected impacts in the absence of physical contact between players, we observed a single collegiate athlete performing individual elements of the workout session while wearing the PlayerTek sensor. Non-contact activities detected as impacts between 3 and 5 Gs on the PlayerTek sensor included hopping, box jumps, sensor contacting bench during dumbbell work, and sensor contacting the floor during weighted and unweighted crunches. Non-contact activities detected as impacts between 5 and 10 Gs included sensor contacting the floor during weighted crunches and off-body contact of the sensor (either inside or outside the vest) against a hard surface such as the floor. There were no impacts measured above 10 Gs.

# Brain biomarker changes before and after workout session

Some biomarker samples were excluded from analysis due to insufficient quantity of blood or analytical CV and bead count CV exceeding 20%. (Supplementary



**FIG. 2.** Symptoms before and after exertion. **(A)** Total concussion symptom scores before and after workout session. Each black line represents a different subject. Value above the horizontal line represent p value from paired t-test, where statistical significance is defined as p < 0.05; values >0.05 are indicated by "ns" (non-significant). **(B)** Proportion of subjects reporting individual symptoms after workout session; n = 15 for both graphs.

Tables S2 and S3) This resulted in some subjects not having BBM values from all three study time-points. (Supplementary Table S4) Relative to pre-exertion (T0), there was a significant decrease in serum GFAP immediately following exertion [T1; median decrease of 27.76%; t (22)=-9.72, p < 0.0001] that returned to baseline levels by 45 min following exertion (T2; Table 3; Fig. 3) There was a significant increase in serum UCH-L1 45 min after exertion [median increase of 37.11%; t (15)=2.72, p=0.016]. There was a small but statistically non-significant increase in NF-L 45 min after exertion (median and mean increase 4.7% and 12% respectively). There were sizable non-significant increases in mean tau at both time-points (likely due to a single outlier), however the median values were very close to zero. Biomarker levels at each study time-point are provided in Supplementary Table S5.

# Relationship between brain biomarker changes and measures of exertion

Serum biomarker changes had a significant (p < 0.05) positive correlation with exercise duration, distance traveled, and kilocalories of energy expended, and a negative correlation with power expended and body impacts

Table 3. Absolute and Relative Brain Biomarker	<b>Changes Before and After</b>	Workout Session
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		Absolute change		Relative change			
Biomarker	n	Mean pg/µL (SD)	Median pg/µL	lQR <sup>e</sup> pg/μL	Mean % (SD)	Median %	IQR <sup>e</sup> %
Tau							
$\Delta T0-T1^{a}$	22	-0.37 (1.23)	-0.015	-0.70 - 0.26	19 (87)	-2.6	-34 - 50
$\Delta T0-T2^{b}$	22	-0.23 (2.03)	-0.015	-0.50 - 0.29	73 (318)	-1.5	-20 - 48
NF-L		· · · ·					
$\Delta T0-T1^{a}$	23	-0.18 (2.28)	-0.19	-0.90 - 0.72	2.8 (30)	-1.9	-16 - 17
$\Delta T0-T2^{b}$	22	0.81 (1.90)	0.35	-0.47 - 1.46	12 (27)	4.7	-8.3 - 24
GFAP		· · · ·					
$\Delta T0-T1^{a,c}$	23	-20.54 (12.15)	-19.54	-23.2615.31	-26.68 (13.16)	-27.76	-34.9322.77
$\Delta T0-T2^{b}$	22	-5.31 (13.43)	-0.29	-14.5-3.05	-7.25 (19.14)	-0.43	-19.34 - 5.1
UCH-L1					· · · ·		
$\Delta T0-T1^{a}$	15	-1.09 (18.02)	1.16	-9.35-12.18	6.38 (37.52)	2.11	-14.26 - 42.06
$\Delta T0-T2^{b,d}$	16	19.26 (30.17)	19.57	1.68 - 30.73	68.82 (97.9)	37.11	1.62 - 80.17

<sup>a</sup>Change in biomarker concentration from immediately before workout to immediately after workout; <sup>b</sup>Change in biomarker concentration from immediately before workout to 45 min after workout;  ${}^{c}p < 0.05$ ;  ${}^{d}p < 0.01$ ; <sup>e</sup>Interquartile range.

SD, standard deviation; NF-L, neurofilament light chain; GFAP, glial fibrillary acidic protein; UCH-L, ubiquitin carboxy-terminal hydrolase L1.

between 3 and 5 Gs (Table 4). However, only exercise duration (r=0.004) remained significant after applying a Bonferroni-corrected p value of <0.0063. This variable accounted for 44% and 47%, respectively, of the variation in T0 to T2 change in serum concentrations of NF-L and UCH-L1.

# Brain WM integrity before and after the workout session

We identified pre- to post-exertional changes in FA and/or MD in 14 of the 39 examined WM regions that were significant at a p value of <0.05. However, none were significant after applying a Bonferroni-corrected p value of <0.0013 (Table 5).

## Relationship between brain biomarker changes and changes in WM integrity

Brain biomarker changes significantly correlated with FA and/or MD in several white matter regions of interest (ROIs); in two ROIs these correlations had p values of <0.01. However, none of the correlations remained significant after applying a Bonferroni-corrected p value of <0.0013 (Table 6).

### Discussion

In the current study, we demonstrate that a single workout session consisting of aerobic and resistance training was associated with both increases and decreases in brain proteins in the peripheral circulation. We observed a 27.76% decrease in serum GFAP immediately after and a 37.11% increase in serum UCH-L1 45 min after exertion. There was a small but non-significant increase in NF-L 45 min after exertion, and no appreciable changes in tau.

Our study is novel in that we examined eight metrics of exertion that might be driving changes in biomarkers. We found that the duration of exertion had a significant independent linear correlation to increases in serum NF-L and UCH-L1 from pre-exertion to 45 min after exertion, accounting for 44% and 47%, respectively, of variation in changes. Kilocalories of energy expended may also be an important driver of BBM changes. The correlations involving kilocalories were in the expected direction (positive) and statistically significant at the p < 0.05 level, although nonsignificant applying Bonferroni

correction for multiple comparisons. These results suggest that exercise plays an important role in changes in these brain proteins.

Our study is additionally novel in that we explored the relationship between biomarker changes and exercise-related changes in brain WM integrity. We found no significant pre- to post-exertional changes in any of the 39 examined brain WM regions, and biomarker changes did not correlate to variation in WM integrity in any of these ROIs. This suggests that a brief period of aerobic and resistance training neither improves WM integrity (increased FA) nor results in an injury pattern (decreased FA). These results also make it unlikely that the observed biomarker changes are due to changes in brain WM integrity.

Aside from S100B and BDNF, very few studies have explored the acute effects of physical exertion on brain proteins. In contrast to our results showing no exercise-related changes in tau, Di Battista and colleagues found an increase in tau (as well as in NSE, CK-BB, and neuro-granin) immediately after high intensity interval training on a cycle ergometer in 11 adult males. GFAP was undetectable in 80% of subjects.<sup>19</sup> Our results may have differed from theirs due to differences in exercise program (a mix of aerobic and resistance vs. aerobic only) and duration of exertion (90 vs. 22-31 min). GFAP may have been undetected because the employed analysis method (multiplex array format) is less sensitive to low GFAP concentrations than the single molecule array used in the current study.

Stefanus and colleagues found that 30 min of aerobic exertion on stationary bicycle among 22 healthy young adult males was associated with a decrease in mean GFAP of 40%, a finding similar to ours.<sup>42</sup> GFAP levels did not change after 10 min of exertion. The authors speculated that aerobic exercise lasting at least 30 min stimulates glucocorticoids, which inhibits GFAP expression in astrocytes. This hypothesis is supported by studies in humans showing elevations in cortisol immediately after exertion, peaking at about 30-90 min and gradually declining and returning to baseline by about 3 h.<sup>59-61</sup> It is further support by studies in rats showing reduced hippocampal astrogliosis (indicated by immunohistochemical quantification of GFAP expression) in association with increased serum corticosterone levels after

**FIG. 3.** Blood-based biomarker changes before and after a workout session. Left column: Absolute longitudinal changes in blood biomarker concentrations. Each black line represents a different subject. Values above the horizontal line represent *p* value from paired t-test, where statistical significance is defined as p < 0.05; values >0.05 are indicated by "ns" (non-significant). Right column. Relative changes in serum blood biomarker concentrations for entire cohort. Truncated violin plots display the rotated kernel density for each of the four biomarkers from pre to immediately post exertion (gray) and from pre-exertion to 45 min post-exertion (white). Dashed lines represent median, dotted lines represent interquartile range.



		Correlation with exercise metrics, r <sup>e</sup>						
Biomarker	Duration	Distance	Kilocalories	Power score	Player load	Work ratio	Impact 3-5 g	Impacts 5-10g
Tau ΔT0-T1 <sup>a</sup> ΔT0-T2 <sup>b</sup>			0.50 <sup>c</sup>					
$\begin{array}{c} \text{NF-L} \\ \Delta \text{T0-T1}^{a} \\ \Delta \text{T0-T2}^{b} \end{array}$	0.66			-0.44 <sup>c</sup>				
$\begin{array}{c} \text{GFAP} \\ \Delta \text{T0-T1}^{a} \\ \Delta \text{T0-T2}^{b} \end{array}$			0.45 <sup>c</sup>				-0.48°	
UCH-L1 ΔT0-T1 <sup>a</sup> ΔT0-T2 <sup>b</sup>	0.68	0.55 <sup>c</sup>						

Table 4. Significant Correlations between Exercise Metrics and Brain Biomarker Changes Before and After Workout Session

<sup>a</sup>Change in biomarker concentration from immediately before workout to immediately after workout; <sup>b</sup>Change in biomarker concentration from immediately before workout to 45 min after workout; <sup>c</sup>p < 0.05; <sup>d</sup>p < 0.01; <sup>e</sup>Pearson correlation coefficient.

Bold: Bonferonni-corrected p value <0.0063.

NF-L, neurofilament light chain; GFAP, glial fibrillary acidic protein; UCH-L, ubiquitin carboxy-terminal hydrolase L1.

treadmill walking.<sup>62,63</sup> Thus, the immediate post-exertion decreases in GFAP we observed might be due to exercise-induced increases in cortisol, which suppresses astrocyte activation, while the return to baseline levels by 45 min might be due to declining cortisol levels and reduction in astrocyte suppression at this later time-point.

Interestingly, multiple studies suggest that postexertional increases in cortisol are related to the intensity and duration of aerobic exertion.<sup>64</sup> However, we did not find that any of the exertional metrics we examined were associated with this immediate decrease in GFAP, which might be expected based on the hypothesized relationship between exercise-induced cortisol elevation and GFAP levels. What might be the reason for this discrepancy? In our study, subjects performed both aerobic and resistance exercises, and it appears that resistance exercises result in much greater immediate cortisol elevations than

 Table 5. Brain Regions with Significant Changes in White

 Matter Integrity Before and After Workout Session

Brain region	Fractional anisotropy, median % $\Delta$	Mean diffusivity, median % $\Delta$
Right anterior thalamus radiation		88.7 <sup>a</sup>
Posterior corpus callosum		28.3 <sup>a</sup>
Superior corpus callosum	77.6 <sup>a</sup>	
Left cingulum	17.9 <sup>a</sup>	
Right fornix	-120.7 <sup>a</sup>	
Left inferior fronto-occipital fasciculus		54.7 <sup>a</sup>
Right inferior fronto-occipital fasciculus		57.5 <sup>a</sup>
Middle cerebellar peduncle	-97.1 <sup>a</sup>	
Left optic radiation		77.5 <sup>a</sup>
Right optic tracts	-394.4 <sup>b</sup>	170.7 <sup>a</sup>
Left superior fronto-occipital fasciculus	71.3 <sup>a</sup>	
Left superior longitudinal fasciculus	120.0 <sup>a</sup>	
Left uncinate fasciculus	-113.3 <sup>a</sup>	106.5 <sup>a</sup>
Right uncinate fasciculus	-129.6 <sup>b</sup>	

 $^{a}p < 0.05; ^{b}p < 0.01.$ 

Bold: Bonferonni-corrected p value <0.0013.

aerobic exercises.<sup>59</sup> Although our exercise sensor captured intensity and duration of aerobic activity, there are no metrics specifically dedicated to capturing the magnitude of resistance training.

Unlike GFAP, which decreased immediately postexertion, UCH-L1 levels did not change until 45 min after exertion, at which point they increased. This increase correlated to several metrics of exertion, most notably duration of exertion, which explained nearly half the variation in levels. Pre-clinical studies suggest that exertion induces upregulation of genetic expression of UCH-L1. Several authors report increased striatal and hippocampal mRNA expression of UCH-L1 in rats undergoing aerobic exercise.<sup>65-67</sup> Liu and colleagues speculated this might be due to exercise-induced signaling from mTOR or calcium/calmodulin dependent protein kinases.<sup>67</sup> The time required for exercise to increase UCH-L1 expression and for this to be reflected in elevated serum levels was not reported. In contact and non-contact collegiate athletes undergoing blood sampling within 6h of exertion without concussion, no significant changes in UCH-L1 levels from pre-season baseline were detected.<sup>7,10</sup>

Post-exertional increases in UCH-L1 might also arise from extracranial sources. Several human studies have reported mildly elevated serum UCH-L1 levels within 4 h of non-cranial trauma.<sup>6,68,69</sup> UCH-L1 mRNA is known to be expressed in subcutaneous adipocytes, skin endothelial cells, skin smooth muscle cells (e.g., erector pili muscles), and skin fibroblasts.<sup>70</sup> It is conceivable that the workout session might have caused microstress to these cell types. The recent discovery of acute post-concussion elevations of UCH-L1 in neuronderived exosomes could potentially allow for future studies to determine if post-exertional increases in this protein are coming from the brain.<sup>71</sup>

Biomarker	Region and correlatio	n <sup>a</sup> with FA $\Delta$ (T-1)-T3 <sup>b</sup>	Region and correlation	<sup>a</sup> with MD $\Delta$ (T-1)-T3 <sup>c</sup>
$Tau \\ \Delta T0-T1^d \\ \Delta T0-T2^e$	Posterior CC: -0.68 <sup>f</sup> Left OT: 0.65 <sup>f</sup>	Tapetum: -0.72 <sup>f</sup>	Left IFOC: -0.68 <sup>f</sup> Left ILF: -0.68 <sup>f</sup> Right Cing: -0.67 <sup>f</sup> Left IFOC: -0.67 <sup>f</sup> Left ILF: -0.68 <sup>f</sup> Left OR: -0.72 <sup>f</sup>	Left PRT: -0.72 <sup>f</sup> Left SLF: -0.71 <sup>f</sup> Left STR: -0.71 <sup>f</sup> Left UF: -0.65 <sup>f</sup>
NF-L ΔT0-T1 <sup>d</sup> ΔT0-T2 <sup>e</sup>			Posterior CC: 0.78 <sup>f</sup> Right CST: 0.67 <sup>f</sup> Right SFOF: -0.71 <sup>f</sup>	Tapetum: 0.80 <sup>g</sup>
$\begin{array}{c} \text{GFAP} \\ \Delta \text{T0-T1}^{\text{d}} \\ \Delta \text{T0-T2}^{\text{e}} \end{array}$	Right ATR: 0.67 <sup>f</sup> Left OT: 0.73 <sup>f</sup>	Left IFOC: 0.67 <sup>f</sup>	 Right UF: -0.64 <sup>f</sup>	
$\begin{array}{c} \text{UCH-L1} \\ \Delta \text{T0-T1}^{\text{d}} \\ \Delta \text{T0-T2}^{\text{e}} \end{array}$	Right UF: -0.83 <sup>g</sup> Posterior CC: -0.82 <sup>f</sup>	Right UF: -0.86 <sup>f</sup>	Right PTR: 0.74 <sup>f</sup>	

 Table 6. Brain Regions in which Brain Biomarker Changes were Significantly Correlated with Changes in White Matter

 Integrity Before and After a Workout Session

<sup>a</sup>Spearman correlation coefficient; <sup>b</sup>Change in fractional anisotropy from 24 h before workout to 48 h after workout; <sup>c</sup>Change in mean diffusivity from 24 h before workout to 48 h after workout; <sup>d</sup>Biomarker change from immediately before workout to immediately after workout; <sup>e</sup>Biomarker change from immediately before workout to 45 min after workout; <sup>f</sup>p < 0.05, <sup>g</sup>p < 0.01.

Bold: Bonferonni-corrected *p* value <0.0013.

ATR, anterior thalamus radiation; CC, corpus callosum; CPT, corticopontine tract; Cing, cingulum; CST, corticospinal tract; ICP, inferior cerebellar peduncle; IFOC, inferior fronto-occipital fasciculus; ILF, inferior longitudinal fasciculus; ML, medial lemniscus; OR, optic radiation; OT, optic tract; PTR, posterior thalamus radiation; SCP, superior cerebellar peduncle; SFOF, superior fronto-occipital fasciculus; SLF, superior longitudinal fasciculus; STR, superior thalamus radiation; UF, uncinate fasciculus.

Our results have important implications for how these blood-based biomarkers might be used to aid in the onscene diagnosis of concussion occurring in the setting of physical exertion. Neither tau nor NF-L appear to be significantly impacted by exertion, making them potentially useful for this purpose. Unfortunately, neither protein has been found to accurately discriminate concussed from non-concussed subjects in the first hours after injury.<sup>72,73</sup> GFAP and UCH-L1, on the other hand, have better classification accuracy in the acute time frame.<sup>73</sup> To employ them in a very acute time frame (i.e., within minutes of a suspicious head hit), our results suggest that both the time after injury and the time after exertion must be considered.

In order to use UCH-L1 to assist in the diagnosis of concussion in the setting of vigorous exertion, one would need to measure it immediately to avoid the confounding effect of the exertion-related increase we observed at 45 min. If measuring UCH-L1 at 45 min, our results suggest that diagnostic accuracy could potentially be maintained if values were mathematically adjusted for the duration of exertion, although this needs to be empirically established. For GFAP, one would need to wait until 45 min after injury to measure levels. Exercised-induced reductions in immediate GFAP levels have potential to mask an increase due to brain injury, potentially resulting in false negative values. Although the GFAP decrease we observed was not significantly associated with any single exercise metric, there was a trend toward an association with kilocalories of energy expended, which explained 21% of the variation in immediate GFAP change. Again, it might be possible to maintain diagnostic accuracy of GFAP measured immediately after injury if values could be mathematically adjusted for kilocalories of energy expended. However, this requires measurement of this metric in all players with a sensor which may not be practical in all situations.

Our study has several limitations. There is a possibility that the exertion-related biomarker increases we observed during the off season are in part due to sub-clinical brain trauma experienced during the previous season. Prior studies suggest that a single season of contact sports can elevate brain protein biomarker levels<sup>74</sup> and that these levels may remain elevated even into the off season.<sup>75</sup> However, by focusing on subject-specific changes rather than group averages, we were able to isolate the effect of physical exertion on brain biomarker levels. Similarly, a prior history of concussion, which was present in one-third of athletes, could have potentially affected baseline DTI values (decreased FA and/or increased MD).<sup>76</sup> However, analyzing subject-specific changes in FA and MD rather than group measures of central tendency minimizes the confounding effect of brain WM changes that occurred prior to the study.

Our use of capillary whole blood rather than venous blood might make it difficult to directly compare our results to previous studies, as marker levels tend to be higher in capillary whole blood.<sup>77,78</sup> Pre and post exertional levels of tau, NF-L and UCH-L1 were higher than those previously reported in collegiate athletes 6 h after concussion and in athletes during the off-season.<sup>73</sup> Pre-exertional and 45 min post-exertional GFAP levels were also higher than those reported in collegiate athletes during the off season but lower than those 6 h after concussion. Immediate post game GFAP levels were lower than 6 h post-SRC and off season.<sup>73</sup>

Due to the relatively small sample size, the power to detect small changes in biomarker values, as well as

small changes in WM integrity (i.e., FA and MD), may have been limited. This problem was compounded somewhat by missing biomarker data (due to limited sample volume and/or high CV), and obtaining DTI in only half the cohort. Further, this study was conducted on males of a relatively narrow age range, limiting external generalizability. We did not collect information on use of supplements, some of which are known to affect cortisol levels,<sup>79</sup> which could potentially impact peri-exertional GFAP concentrations.

## Conclusions

Our results suggest that a single exertional workout session is associated with immediate decreases in serum GFAP and very acute (45 min) increases in UCH-L1. That these biomarker changes were found to be related to the duration of exertion and possibly to kilocalories of energy expended suggests that mathematical adjustment for these exertional metrics could maintain the diagnostic accuracy of GFAP and UCH-L1 in the setting of concussion. This hypothesis requires validation in a concussed cohort.

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Transparency, rigor, and reproducibility summary: This study was not formally registered as a clinical trial because it did not involve an intervention or evaluation of a drug, biologic or device. The analysis plan was not formally pre-registered. A sample size of 30 subjects was planned based on the availability of body sensors and staff to obtain blood at each of three time-points. Actual sample size was 30 subjects, and the observed effect sizes were tau: -0.42 and -0.18; NF-L: -0.20 and 0.25; GFAP: -0.86 and -0.19; and UCH-L1: 0.17 and 0.44. One hundred potential participants were screened, blood samples were obtained in 30, and successfully analyzed in 30. However, some biomarker samples were excluded from analysis due to insufficient quantity of blood or analytical CV and bead count CV exceeding 20%. (Supplementary Table 1).

All participants were blinded to results of the fluid biomarker measurements. Handling of blood samples was performed by team members blinded to the degree of physical exertion of the participants. Fluid biomarker quality control decisions and analyses were also performed by investigators blinded to exertional measures. Blood samples were acquired between January 2019 and January 2020 using methods described in the text and stored at -80°C until analysis, which occurred in March 2020. Assays were batched to minimize variability with each batch run with appropriate standards and controls to ensure reliability. Possible batch effects were minimized by including a standard case and control on all plates, and by analyzing longitudinal samples from the same individual on the same plate. No unexpected events occurred during the study. The analyses were validated for research use only.

Serum levels of NF-L, tau, UCH-L1, and GFAP were measured from the same blood sample using a SIMOA<sup>®</sup> 4-plex assay kit (Quanterix Corp).<sup>51,52</sup> Lower limits of detection were 0.104 pg/mL (NF-L), 0.024 pg/mL (tau), 0.221 pg/mL (GFAP), and 1.74 pg/mL (UCH-L1), and their respective intra-assay coefficients of variation are 5.4%, 6.7%, 3.7%, and 11.3%. All equipment and analytical reagents used to perform measurements on the fluid biomarkers are widely available from Quanterix. The key inclusion criteria are established standards in the field. The correlational tests used were based on the assumptions of normality in the biomarker data (Pearson's) and non-normality in the DTI data (Spearman's). Missing data were considered missing at random and not imputed. Correction for multiple comparisons was performed using Bonferroni method. No replication or external validation studies have been performed or are planned/ongoing at this time to our knowledge.

De-identified data from this study are not available in a public archive, but will be made available (as allowable according to Institutional Review Board standards) by emailing the corresponding author as of July 1, 2022. There is no analytic code associated with this study. No future use of these biofluid samples is possible because insufficient quantities remain. The authors agree or have agreed to publish the manuscript using the Mary Ann Liebert Inc. "Open Access" option under appropriate license.

### **Authors' Contributions**

JJB: Conceptualization (lead), writing-original draft (lead), supervision (equal), writing-review and editing (equal), funding acquisition (lead). BA: Formal analysis (lead), writing-review and editing (equal), funding acquisition (supporting). KMB: Conceptualization (supporting), project administration (lead), supervision (equal), visualization, writing-review and editing (equal), funding acquisition (supporting). DLP: Investigation (lead), writing-review and editing (equal), funding acquisition (supporting). ER: Resources (lead), funding acquisition (supporting). RM: Writing-review and editing (equal), formal analysis (supporting). KK: Writing-review and editing (equal), formal analysis (supporting). SS: Writing-review and editing (equal). JMG: Investigation (lead), funding acquisition (supporting).

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## **Author Disclosure Statement**

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#### Supplementary Material

Supplementary Table S1 Supplementary Table S2 Supplementary Table S3 Supplementary Table S4

Supplementary Table S5

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