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Immunologic and imaging signatures in post tuberculosis lung disease

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Abstract

Post Tuberculosis Lung Disease (PTLD) affects millions of tuberculosis survivors and is a global health burden. The immune mechanisms that drive PTLD are complex and have historically been under investigated. Here, we discuss two immune-mediated paradigms that could drive human PTLD. We review the characteristics of a fibrotic granuloma that favors the development of PTLD via an abundance of T-helper-2 and T-regulatory cells and an upregulation of TGF- β mediated collagen deposition. Next, we discuss the post-primary tuberculosis paradigm and the complex mixture of caseous pneumonia, cavity formation and fibrosis that can also lead to PTLD. We review the delicate balance between cellular subsets and cytokines of the innate and adaptive immune system in conjunction with host-derived proteases that can perpetuate the parenchymal lung damage seen in PTLD. Next, we discuss the role of novel host directed therapies (HDT) to limit the development of PTLD and in particular, the recent repurposing of established medications such as statins, metformin and doxycycline. Finally, we review the emerging role of novel imaging techniques as a non-invasive modality for the early recognition of PTLD. While access to computed tomography imaging is unlikely to be available widely in countries with a high TB burden, its use in research settings can help phenotype PTLD. Due to a lack of disease-specific biomarkers and controlled clinical trials, there are currently no evidence-based recommendations for the management of PTLD. It is likely that an integrated antifibrotic strategy that could simultaneously target inflammatory and pro-fibrotic pathways will probably emerge as a successful way to treat this complex condition. In a disease spectrum as wide as PTLD, a single

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Author contribution section

SS conceptualized the manuscript, performed the literature search, wrote the first draft and made the figures. BA, TLC, LKC, CCN and SM helped with revisions and edits of various sections of the manuscript. GT and LNS made the final edits and finalized the manuscript after several rounds of revisions between all authors.

immunologic or radiographic marker may not be sufficient and a combination is more likely to be a successful surrogate that could aid in the development of successful HDTs.

Keywords

PTLD; Granuloma; Host directed therapy; Biomarker; Imaging; Radiographic signature

1. Introduction

Tuberculosis (TB) remains a leading cause of death from a single infectious agent, killing more than a million people annually [1]. TB programs have historically emphasized the need for rapid diagnosis and treatment of active TB, but as the prevalence of successful TB treatments has increased, the global numbers of TB survivors has also gone up exponentially, with an estimated 155 million TB survivors in 2020 [1]. With the help of a modeling study, Dodd et al., [2], estimated that the number of TB survivors alive in 2020 was ten times more than the estimated annual TB incidence. In another study that used a hypothetical cohort of individuals with TB and disability-adjusted life-years (DALY) to summarize health losses due to TB, 58 million DALYs were attributable to post TB sequelae [3]. Such astronomical case numbers are undisputedly concerning for healthcare sectors and institutions around the globe. Additionally, despite successful treatment and microbiological cure, post TB patients experience high morbidity and mortality [4], with a standardized mortality ratio 3 times higher than that of the control population [5]. Despite these concerning data, post tuberculosis lung disease (PTLD) is a term sparingly used by the international TB research community, thus impacting its recognition and treatment [6]. A systematic review of over 200 TB guidelines revealed that only 3 international TB guidelines mentioned PTLT, with little guidance on how to identify or treat it [6]. For example, the World Health Organization (WHO) End TB strategy makes no mention of PTLT and current TB registries only capture morbidity and mortality during treatment.

PTLT is marked by tissue necrosis and aberrant healing that leads to permanent destruction and distortion of the lung architecture [7]. Pathological features of PTLT include but are not limited to cavitation, fibrosis (both limited and extensive), bronchiectasis and small-airway disease (sometimes termed as tuberculosis-associated obstructive pulmonary disease), airway stenosis, fibrosing mediastinitis, fibrothorax and bronchopleural fistulas [8,9]. The heterogeneous pattern of disease in human PTLT suggests that risk factors are an interplay between the host, the pathogen and the environment. These include modifiable risk factors such as smoking, biomass fuel exposure, occupational exposures and concurrent respiratory tract infections and less modifiable risk factors such as a pre-existing lung disease [10]. For the purpose of this review, we have used the definition of PTLT as described at the First International Symposium on post TB disease as “evidence of chronic respiratory abnormality, with or without symptoms attributable at least in part to previous pulmonary TB” [11]. Our review has focused on the cellular subsets, cytokines and host-derived proteases of the innate and adaptive immune system that drive the parenchymal lung damage seen in PTLT. This includes host-pathogen interactions and immune dynamics that trigger and maintain the tuberculous granuloma as well as the various stages of post-

primary/cavitary TB. We also discuss the role of novel host directed therapies (HDTs) to limit the development of PTLD. Finally, we review recent advances in imaging techniques such as computed tomography (CT) and positron emission tomography (PET)-CT that are being increasingly used as surrogates for the identification of PTLD.

2. Burden of PTLD

Studies have shown that subjects with residual lung damage/cavitation after successful completion of anti-TB therapy (ATT) can have an up to fourfold higher risk of re-infection, relapse and progressive tissue damage [12,13]. It has been estimated that up to 50% of individuals treated for TB have some form of persistent pulmonary dysfunction, despite successful bacteriologic cure [14]. Evidence of chronic airway obstruction is commonly found in subjects who have previously been treated for TB [15] and several systematic reviews have shown that a past history of TB can increase the risk of developing chronic obstructive pulmonary disease (COPD) [16]. Baez-Saldana et al. [17], showed a significant inverse correlation between both FEV1 and FVC and the extent of radiographic damage in patients who were at a median of approximately one year post ATT. Problems are perpetuated by the Xpert nucleic amplification tests that have a 1 in 7 false positive rate and [18] and if patients return with symptoms after the completion of their regimen, ATT is often re-commenced even in the absence of positive smears or cultures with the the possibility of PTLD commonly overlooked.

But there have been some promising developments in recent years. First, a multi-center TB cohort study called TB Sequel has been launched to assess predictors of PTLD and will give valuable information on the clinical, microbiological, immunological and socio-economic factors that drive this disease [19]. The primary outcome in the TB Sequel study is functional impairment but it will also collect biological samples in a longitudinal manner to assess risk in a nested case-control fashion and factor in the influence of comorbidities such as HIV, COPD and diabetes [19]. Secondly, a universal standard was recently defined with special considerations to identifying and counseling PTLD patients for pulmonary rehabilitation, followed by guidelines for evaluating the efficacy of pulmonary rehabilitation programs [20]. These standards recommend that every patient who completes TB therapy should be clinically evaluated for PTLD with a clinical examination, nutritional status assessment, chest radiology, pulmonary function test, 6-min walk test and functional scores. In addition, co-morbid medical conditions such as HIV, diabetes and cardiovascular conditions would be noted and follow up plans would include counseling/health education for patients as well as their household contacts. Patients at risk of developing PTLD would be identified as early as possible as they are the most likely to benefit from smoking cessation, airway clearance and bronchodilator therapy. The presence of persistent radiological features of past or healed TB is one of the best criteria for identifying such high-risk individuals PTLD and therefore the presence of such features should trigger active surveillance for the development of PTLD.

3. Pathogenesis of PTLD: immune mechanisms and current paradigms

The immune mechanisms that drive PTLD are complex and subtle permutations in the cytokine and cellular milieu can lead to major shifts in the phenotype. The vast majority of data in this field are from pre-clinical studies and animal models and the pathways that trigger PTLD in human TB survivors are largely unknown. The lack of an animal model that could be a good representation of the heterogeneous and dynamic nature of human PTLD has contributed to the under-investigation of PTLD [21]. Given the complete lack of studies with lower airway samples and a paucity of clinical trials in human PTLD subjects, it is challenging to draw “causal” inferences between the immune mechanisms and the development of PTLD. Here, we discuss two plausible immune mediated paradigms that could drive human PTLD.

3.1. The fibrotic tuberculous granuloma paradigm

The tuberculous granuloma is ground zero of the immune response to Mtb and in its simplest form is a collection of macrophages and leukocytic cells that organize after Mtb encounters the alveolar macrophage [22]. The granuloma has been traditionally believed to be protective by preventing the dissemination of Mtb but this has been challenged in recent years after it was shown that they can be conducive to the spread of Mtb [23]. In its classic form, the granuloma has a central focus of acellular necrotic caseum surrounded by epithelioid macrophages and multi-nucleated giant cells, that are then encapsulated by a rim of fibroblasts and lymphocytes. The caseous granuloma can become calcified and thereby fall on the more successful end of the spectrum of disease outcomes [24]. Conversely, as depicted in Fig. 1, pro-inflammatory/permissive granulomas can also evolve into fibrotic types. Marakala et al. [25], generated a molecular map of granuloma progression in tissue obtained from surgical specimens by utilizing laser-capture micro-dissection, mass spectrometry and confocal microscopy. They identified over 3000 proteins that were differentially expressed between different regions and whilst the proteomic profiles of caseous or cavitory centers were composed of pro-inflammatory pathways, the periphery displayed a more anti-inflammatory signature. Recent research in non-human primates (NHP) has shown that the evolution of granuloma is less linear than previously believed [26] and the local balance of host pro- and anti-inflammatory cytokines play a critical role in determining their evolution [27].

Several immune mediators and cell subtypes that can activate transforming growth factor (TGF)- β , the prototypic fibrogenic cytokine, have been observed in human fibrotic TB granulomas [28]. Using a hybrid multi-scale model of fibrotic granuloma formation, *GranSim*, Warsinke et al. [29], combined molecular, cellular and tissue scale models to characterize peripherally fibrotic and centrally fibrotic granulomas. They found that fibroblasts and myofibroblasts drive wound healing in the granuloma via the secretion of extracellular matrix (ECM) proteins, TGF- β and IL-10. In an NHP study that combined computational modeling with wet lab methodologies, sequential stimulation of alveolar macrophages drove a macrophage-to-myofibroblast transformation (MMT), followed by the development of peripheral fibrosis in TB granulomas [30]. Through complementary immunohistochemical staining of TB granulomas, a population of CD11c⁺ and α -smooth-

muscle actin (α SMA)⁺ cells were identified in the fibrotic region suggesting the involvement of MMTs. Fibrosis occurs in and around inflamed or damaged tissue and fibrotic granulomas can help isolate infected tissue, but excess collagen can also lead to scar formation and lay the foundation for the development of PTLD. The identification of host immune response markers in accessible lung samples can help identify the interplay between fibrotic pathways that can trigger such lung damage in PTLD [31].

3.2. The post-primary/cavitary TB paradigm

As the name implies, post-primary TB follows primary TB and starts as an obstructive lobular bronchogenic pneumonia [32]. Post-primary TB can regress or undergo necrosis to become a caseous pneumonia that softens and then dissolves to form cavities. Alternatively, it can also progress to form either post-primary granulomas or fibrocaceous disease [33,34]. Over a period of months, secreted mycobacterial antigens and host lipids accumulate in foamy alveolar macrophages that then serve as a nutrient-rich reservoir for Mtb, thus increasing the risk for cavitation [35]. In a study that investigated regulatory markers within early infiltrates of fibrocaceous TB [36], foamy alveolar macrophages over-expressed mTORC1, programmed death-1 ligand (PD-L1) and COX-2, suggesting that these alveolar macrophages facilitated disease progression to fibrocaceous disease. Different stages such as fibrosis, caseation and aberrant healing can co-exist and lesions in the same lung may evolve in radically different directions [37–39].

Small areas of tuberculous pneumonia may heal to leave only fibrous scars but large areas of caseous pneumonia are often surrounded by multiple concentric rings of fibrous tissue suggesting that lesions progressed before they subsequently healed; on multiple occasions [40]. Often, caseous pneumonia continues after the formation of cavities and produces a complex mixture of new cavity formation, fibrosis and healing. The fibrosis tends to worsen over time and is the leading cause of pulmonary fibrosis, lung collapse and atelectasis seen in PTLD. Fig. 1 depicts the characteristics of a fibrotic granuloma (left) and a transmissive granuloma (right). Fibrotic granulomas have an abundance of Th2 and Treg cells that drive TGF- β mediated collagen deposition and a thick capsule consisting of myofibroblasts and an abnormal fibrous cuff. Transmissive granulomas are rich in classically activated and foamy macrophages as well as neutrophils. These cells produce inflammatory mediators such as tumor necrosis factor (TNF)- α and matrix metal-loproteinases (MMPs) and depending on other host modulating factors can result in the development of active cavitary TB disease. As the figure depicts, fibrotic granulomas favor the development of PTLD and transmissive granulomas favor the development of active TB, but granulomas can undergo changes driven by host factors and surrounding milieu such that they can morph into one or the other kind. Finally, the figure also depicts that active cavitary TB can lead to PTLD in the event of aberrant healing and distortion of lung architecture.

4. Immunologic mediators of PTLD

The immunologic mediators that drive PTLD can be broadly categorized as belonging to the innate or the adaptive arms of immunity and are discussed below. Delineating the cellular

and cytokine pathways involved can help discover adjunctive therapies with the potential to limit immunologically driven lung damage.

4.1. Innate immune response

4.1.1. Macrophages and neutrophils—Although there are several leukocytic cells that drive pathology in TB, the macrophage has a central role. Macrophages harbor the majority of Mtb and have various effector functions in the production of cytokines and chemokines, in the evolution of granulomas and in tissue remodeling [24]. Mtb infection skews the transcriptional and functional phenotype of macrophages towards the classically activated macrophage (CAM) that drives a Th1- response and up-regulates antimicrobial pathways [41]. This is counter-balanced by the alternatively activated macrophages (AAM) that are activated by pro-fibrotic cytokines such as IL-4 and IL-13 and have an immuno-suppressive and wound healing phenotype; driving weakly antibacterial and anti-inflammatory pathways, and ultimately contributing to damage control. In a study by Huang et al., [42] using lung tissues from human TB patients in addition to an *in vitro* tuberculous granuloma model, it was demonstrated that CAM type macrophages dominated during the initial stages of granuloma formation and macrophage bactericidal activity, whereas AAM type macrophages inhibited these effects. Macrophages can therefore have a dual, temporally-driven effect on the development of PTLD, with a pro-inflammatory role in the early stages via mediators that drive tissue injury, such as Interleukin (IL)-1 β , TNF- α , reactive oxygen species and reactive nitrogen species. In later stages, they may acquire a more pro-fibrotic role via anti-inflammatory mediators such as IL-10 and programmed death ligand-2 [43].

The vast influx and subsequent quick demise of neutrophils after initial infection with Mtb are central to the development of necrotic and caseous cores of the granulomas [44]. Neutrophils are the only cells that store MMPs without co-existent tissue inhibitors of metalloproteinases (TIMPs), and thus have the capability to drive unrestrained matrix degradation in TB [45]. An excessive neutrophilic response is a sign of TB severity and lung destruction [46]. Barry et al. [47], have shown that tuberculous cavities contain more neutrophils and less lymphocytes compared to non-cavitary pulmonary infiltrates in TB. As a part of their role in TB pathogenesis, neutrophils have been shown to release neutrophil extracellular traps (NET), that are composed of condensed chromatin fibers coated with antimicrobial proteins [48]. NETs can entrap and prevent the dissemination of Mtb [49] but their lack of killing ability favors lung destruction and subsequent development of PTLD in TB. A study from the Rede-TB Study group [50] investigated the role of NETs in the occurrence of tissue damage as assessed on a chest radiograph and found a direct association between tissue damage and the various inflammatory proteins involved in the NET pathways.

4.1.2. Cytokines—The production of TGF- β correlates with fibrosis and blocking it has been demonstrated to reduce fibrosis in experimental animal models [51]. TGF- β is secreted in its latent form at the site of disease in TB from cells such as macrophages, fibroblasts and epithelial cells and then activated by various elements of the granuloma such as reactive oxygen and nitrogen species, low pH and hypoxia [52,53]. TGF- β induces the differentiation

of fibroblasts into collagen-producing myofibroblasts and affects numerous other biological pathways such as cellular proliferation and migration. Elevated levels of TGF- β in serum and bronchoalveolar lavage fluid (BALF) correlated with an increase in fibrosis seen on high-resolution CT scans 6 months into TB treatment [54]. In a group of 51 patients from Indonesia who had completed ATT, there was a significant correlation between blood TGF- β levels and the presence of fibrosis on chest x-rays [55]. Higher pleural fluid TGF- β levels were also observed in patients with greater pleural thickening as observed on CT imaging [56]. Other studies have confirmed that the induction of inflammatory molecules such as TNF- α after Mtb infection correlates with clinical deterioration and severe tissue destruction [57,58]. In a seminal mouse study, neutralization of TNF- α resulted in rapid reactivation of TB, with a striking loss of granuloma structure and a substantially worsened pathologic process [59]. These studies therefore imply that TNF- α facilitates the structural integrity of the TB granuloma and drives necrosis and irreversible tissue damage [60], with a positive correlation with larger cavity size [61]. IL-1 β is another innate inflammatory cytokine that can be a potent driver of fibrosis [62] and blood and BALF TNF- α and IL-1 β levels correlated with the extent of disease and size of cavities [61,63]. Both TNF- α and IL-1 β affect the production of MMPs [64] and IL-1 β is also directly linked with the recruitment of neutrophils and lung damage.

4.2. Adaptive immune response

CD4⁺ T cells are well-established key players in TB immunopathology. T helper (Th)-1 subsets are defined by the production of IFN- γ [65] and Th2 subsets are defined by the production of pro-fibrotic cytokines IL-4 and IL-13 [66]. Via the activation of fibroblasts, Th2 cytokines drive fibrosis and collagen deposition, a phenomenon that is reciprocally inhibited by the Th1 cytokines [67,68]. In humans, BALF from patients with cavitory TB had increased IL-4-producing Th2 lymphocytes whereas those with non-cavitory disease had a predominantly Th1 profile [69]. Observations from these studies have led to the 'Th2 hypothesis of fibrosis', mediated by IL-4, IL-5 and IL-13 [70], via the activation of TGF- β . In the context of TB, an initial Th1 response followed by a Th2 response seeks to isolate the bacterium and drives a pro-fibrotic process [71]. Mechanistically, IFN- γ inhibits fibrosis by antagonizing TGF- β [72] and, in addition to inhibiting direct fibroblast proliferation, IFN- γ also inhibits TGF- β mediated pro-collagen and collagen synthesis in myofibroblasts. In experimental models, up-regulating Th1 cytokines has been shown to inhibit immune-mediated fibrosis but clinical studies investigating the therapeutic potential of IFN- γ in the limitation of fibrosis in fibrotic lung diseases have been disappointing [73]. A study by Harari et al. [74], showed that at the end of successful treatment, patients shifted from an Mtb-specific TNF- α + CD4⁺ T cell profile towards a polyfunctional IL-2+ TNF- α + IFN- γ + CD4⁺ T cell profile. It is surmised that single cytokine positive T cells correlate with a higher mycobacterial load and therefore assaying them early during treatment can help identify patients at high risk for PTLD as patients with high bacterial loads are more likely to have extensive inflammation and subsequent development of PTLD. In recent years, the Th17 subset producing Interleukin-17 (IL-17) has been recognized as an important driver of fibrosis in the lungs [75]. IL-17 expression is often associated with neutrophilia, which directly contributes to tissue damage and fibrosis [76]. IL-17 is expressed around TB granulomas in patients and drives the production of epithelial- and fibroblast-derived

MMP-3 (a stromelysin) in cellular models of TB [77], another driver of tissue destruction. Finally, T_{REG} cells are also an important source of pro-fibrotic cytokines such as TGF- β [78] and their preferential recruitment can have a decisive role as they exacerbate the effects of TGF- β , leading to an increased risk of PTLD. Fig. 1 depicts the delicate balance between various cellular subsets and cytokines of the innate and adaptive immune system and host-derived proteases that can drive the parenchymal lung damage seen in PTLD or the cavitory phenotype in active TB.

5. Matrix metalloproteinases in PTLD

MMPs are a family of host-derived zinc and calcium dependent proteolytic enzymes with the primary function of ECM degradation and tissue homeostasis [79]. In the context of TB, they have been implicated in tissue damage and cavitation [80]. In a study from Taiwan, *MMP-1* (1607G) polymorphism was associated with more extensive lung fibrosis one year after the completion of ATT [81]. Elevated MMP levels in respiratory secretions are distinctly associated with more extensive disease and cavitation [82,83], with mice transgenic for MMP-1 exhibiting increased tissue damage and collagen destruction after *Mtb* infection [84]. Using a combination of an *in vitro* model, a cohort of 108 patients and patient lung biopsies, it was shown that neutrophil-derived MMP-8 (a collagenase) was up-regulated in TB and led to matrix destruction [45]. Sputum MMP-8 correlated with TB severity score and cavitation and neutrophils containing MMP-8 were found at the center of cavities and in areas of caseous necrosis.

There have been numerous studies to investigate the modulation of MMPs to reduce tissue damage and inflammation in TB. Specific MMP inhibitors (batimastat, cipemastat, marimastat) have been studied in animal models [85] but they generated variable host responses. More recently, the combined use of MMP inhibitors (marimastat) and a first-line ATT (isoniazid) have shown a synergistic effect in reducing *Mtb* burden in the mouse [86]. Marimastat also reduced vascular leakage surrounding TB granulomas and increased the concentration of isoniazid in the lungs. In a similar study, co-administration of an MMP-9 antibody with ATT reduced pulmonary bacterial burden and relapse rates [87]. These studies illustrate that MMP inhibition in conjunction with antibiotic therapy may help limit PTLD, whilst emphasizing that a better understanding of MMP driven pathology is required to develop more targeted therapies.

6. Host directed therapy in PTLD

The general mainstays of treatment for PTLD are the same as those for the majority of chronic lung conditions. These include pulmonary toilet and muco-ciliary clearance with inhaled bronchodilators. Studies have reported modest improvement in pulmonary function and dyspnea scores with these conservative measures [88,89], but there remains a paucity of treatment strategies that would specifically target the disease process in PTLD. The Host-Directed Therapies Consortium Network was launched in April 2015 to commence trials of TB HDTs [90] and approved several drugs already in clinical use to be repurposed for phase 2 trials in TB. But there were few trials targeting PTLD. In this section, we specifically focus on and review adjunctive HDTs that could have a potential role in limiting pathology

and accelerating cure in PTLD. The existing evidence points towards a few pathways/avenues that could be exploited safely. For example, anti-fibrotic drugs could minimize the distortion of normal tissue architecture associated with PTLD. Given the central role that macrophages play in fibrosis, several experimental antifibrotic strategies have been designed to regulate the activation and recruitment of distinct macrophage populations. For example, ligands that engage peroxisome proliferator-activated receptor- α (PPAR- α) and PPAR- γ can inhibit the development of fibrosis by decreasing the production of inflammatory cytokines from CAM's and by repressing TGF- β mediated fibrosis [91]. There are multiple pathways through which neutrophils drive extracellular matrix degradation and the formation of necrotic and hypoxic granulomas in TB. The detrimental effect of excessive NET release is particularly important in TB as NETs can expand in the pulmonary alveoli and cause extensive lung damage. Recent therapies targeting NETs include DNA disintegration with recombinant human DNase and the neutralization of NET proteins with anti-histone antibodies and protease inhibitors could potentially be utilized for PTLD [48].

Corticosteroids have long been used as adjunctive therapy in TB meningitis and pericarditis but recent reviews and meta-analyses have concluded that there is a lack of convincing evidence that steroids can limit long term sequela in pulmonary TB [92,93]. In a mouse study, Ibuprofen, a non-steroidal anti-inflammatory drug that inhibits cyclooxygenases, reduced murine lung pathology and mycobacterial load at 3–4 weeks after Mtb infection, resulting in smaller lesions [94]. But human studies have raised concerns against the use of non-steroidal agents due to an increased risk of active TB [95]. There has been a longstanding interest in identifying mechanisms to reduce TNF- α production to limit inflammation and improve treatment outcomes in TB. But since TNF- α is also essential for a protective immune response against Mtb, caution must be exercised when blocking this cytokine. Using a rabbit model of TB, Subbian et al. [96], demonstrated that the use of a phosphodiesterase-4 (PDE4) inhibitor resulted in an enhanced response to isoniazid therapy and was associated with a striking resorption of granulomas, with limitation of necrosis and tissue damage. The PDE4 inhibitor, CC-3052, achieved suppression of mRNA levels of TNF- α , IL-4, IL-8 and several MMPs without a generalized immune suppression, thereby facilitating microbial killing and clearance via improved penetration of isoniazid into the lesions. Blocking TNF- α with etanercept in the presence of 1st line anti-TB drugs was also noted to be beneficial by reducing the bacterial load and limiting tissue pathology in a murine model [97]. Finally, IL-17 targeted therapy has the potential to limit PTLD related tissue damage, especially via modulation of neutrophilic responses.

Various medications already in clinical use can be repurposed for PTLD. Studies have reported that the widely used anti-diabetic medication metformin dampens immunopathology and enhances the efficacy of anti-TB drugs in mouse models of TB [98]. The lungs of metformin-treated mice had increased lymphocyte infiltration with fewer coalescent lesions, as compared to the untreated control mice. The protective effect of metformin is mediated via host cell production of reactive oxygen species and acidification of the mycobacterial phagosomes. Patients who were taking metformin had fewer pulmonary cavities and reduced progression to severe TB disease when compared to patients who were taking sulfonyleureas [99], but several drug interactions and pharmacokinetic aspects need to be studied before metformin can be used as an HDT to limit PTLD. Parihar

et al. [100], demonstrated that statins arrest bacterial growth in Mtb-infected macrophages by enhancing phagosome maturation and autophagy and the treatment of Mtb-infected mice with statins increased survival, reduced the size and inflammation of lung lesions and shortened the duration of 1st line TB therapy. Doxycycline, the only licensed MMP inhibitor in clinical use, is known to non-specifically inhibit multiple MMPs, independent of its antimicrobial activity [83]. Walker et al. [83], first reported that doxycycline modulated MMP expression in Mtb-infected cells and reduced mycobacterial growth in the guinea pig model. In a phase 2 randomized controlled trial, the use of Doxycycline within 7 days of starting ATT led to significant changes in the host transcriptome and the suppression of systemic and respiratory markers of tissue destruction [101]. Whole blood RNA-sequencing demonstrated that doxycycline restored the dysregulated gene expression in TB towards normalcy and these effects persisted for 6 weeks. Doxycycline was also associated with concurrent suppression of plasma and respiratory MMPs and reduction of pulmonary cavity volume.

RNA interference is a rapidly evolving field that is yet to be exploited for PTLD. MicroRNAs (miRNAs) include a broad group of evolutionarily conserved non-coding RNAs that play an important role in pathophysiological processes by blocking translation or promoting degradation of complementary target messenger RNAs. A subset of miRNA's constitutively expressed in healthy tissues are down-regulated as fibrosis develops, suggesting that they might have an anti-fibrotic role [102]. Identifying such specific miRNAs that can promote tissue healing could be an adjunctive treatment modality in PTLD [103]. Such miRNAs could be targeted via small molecule inhibitors or via miRNA mimics; via the use of techniques that encapsulate and deliver miRNAs to specific cells. Direct delivery of miRNAs by targeting macrophages and dendritic cells is one approach that can be exploited to aim for an anti-inflammatory phenotype and the prevention of PTLD [104]. Another approach is to deliver small-interfering RNAs (siRNA) such as let-7e and miR-29a in order to dampen the expression of cytokines such as TNF- α [105] or modulate the expression of MMP-9 [106]. A limitation is that most miRNAs target multiple mRNAs and their exogenous administration can therefore have unwanted off-target effects. Fig. 2 gives an overview of various HDTs that are undergoing clinical trials, such as PDE4 inhibitors, repurposed drugs and RNA interference technology.

7. Imaging in PTLD

PTLD has moderate correlations with imaging, as patients without lung function loss or symptoms may have abnormal imaging post cure. Thus, while imaging is an important tool in evaluating PTLD characteristics, it can not be used in isolation to indicate the presence of clinically meaningful PTLD. Although the CXR is widely used and ubiquitously available, chest CT provides a much higher level of detail on the true nature of underlying lung damage after TB treatment completion. Persistence of TB cavities is associated with poor treatment outcomes and being able to identify the lesions that respond slowly can help optimize regimens early and thus limit the development of PTLD. Unfortunately, there is no uniform scoring system for chest radiographs or CT scans that would comprehensively define PTLD and this has been a hindrance for screening high-risk individuals. Additionally, most of the systems in place for classifying radiographic abnormalities associated with TB

were designed for active TB, but such systems have the potential to be quickly adapted for PTLD. While access to CT imaging is unlikely to be available widely in the developing world where the burden of TB is the highest, its use within research settings can help phenotype PTLD. Finally, molecular imaging has gained prominence as a biomarker for various lung conditions. Positron emission tomography (PET) using ^{18}F -fluorodeoxyglucose (^{18}F FDG) as a tracer to reflect glucose metabolism in combination with CT imaging constitutes a powerful imaging tool that can give valuable structural information [107].

In a recent review, Meghji et al. [108], examined several cohort studies, cross-sectional studies and randomized controlled trials on imaging-defined PTLD and its co-relation with symptoms and functional impairment. The total number of patients was nearly 5000 and the CT studies reported a higher prevalence rate of both fibrosis (70–92%) and bronchiectasis (35–86%) compared to the CXR. A more diverse range of pathologies were noted on CT imaging such as pleural thickening, nodules, consolidation and mosaicism, hence making a strong point about its utility in the diagnosis of PTLD. In a prospective cohort study in Malawi, the prevalence and pattern of residual lung damage at the completion of ATT was investigated using high resolution CT scans and spirometry [109]. In total, 385 scans were completed: moderate to severe bronchiectasis was seen in 1 lobe in 44% of participants. Atelectasis, banding and mosaicism were the most common patterns seen, and 10% of the participants had 1 destroyed lung lobe. On average, the majority of airways and parenchymal pathologies were significantly more extensive in HIV-negative compared to HIV-positive participants, with lower FEV₁ and FVC z-scores and more abnormal lung parenchyma on HRCT imaging. This could be a reflection of the protective effects of a low CD4 count and reduced MMP production in the lungs of HIV-TB co-infected patients and may also be associated with a reduced clinical severity of PTLD [83]. In another study from India, a semi-quantitative analysis was done for all CT abnormalities and the scores were added to obtain a total morphological score (TMS) [110]. The lungs were then divided into three zones and scores added to obtain the total lung score (TLS). There was a significant difference in TMS and TLS between the dyspnea and non-dyspnea groups and significant correlations were obtained between the grades of dyspnea and the scores (nodule scores, TMS and TLS). The overall extent of radiological abnormalities did not however, correlate with the severity of ventilatory defect. Since the subjects in the study were all symptomatic, the correlations may not be applied accurately to PTLD, but can definitely be modified by factoring in spirometric changes and dyspnea scores that persist in patients with PTLD.

An international study involving 113 HIV-negative patients was conducted with PET-CT at different time points before, during and after ATT [111]. On completion of therapy, patients who had achieved a clinical cure had different patterns of ^{18}F FDG uptake compared to baseline. In some patients, there was complete resolution of metabolic activity; in others, most of the lesions had resolved; and in the rest, some lesions had become more intense or new lesions had developed. These new TB lesions may have developed due to a differential evolution of the various TB lesions and microevolution of different subpopulations of Mtb. Thus, tracking of individual lesions, including size and isotope uptake, can be of particular importance when investigating the treatment response and predicting the risk of PTLD. There are several limitations and challenges however and worth mentioning would be the concerns around safety due to repeated and high radiation exposures associated with PET-

CT. It is nonetheless surmisable that PET-CT can help delineate the various stages of PTLD and the changes in glycolytic activity within TB lesions as measured by FDG uptake correlate well with the evolution of individual TB lesions [112].

8. Future research directions

The exact mechanisms that lead to PTLD have been difficult to study as human lungs cannot be sampled easily and no small animal model has been able to recapitulate the full spectrum of human TB disease. Computational models that integrate pharmacokinetics, pharmacodynamics and specific morphologic features of the granuloma highlight the fact that reducing granuloma associated fibrosis could improve lung function and therefore possibly limit the development of PTLD [113]. One of the major obstacles in this field has been the lack of disease-specific biomarkers that can be used to endotype patients who could benefit from a specific therapy. One way to achieve this would be the incorporation of genetic phenotyping in the clinical workup of patients diagnosed with PTLD. With the advent of more sophisticated DNA sequencing and gene expression technologies, coordinated human and animal studies can finally address the long-standing questions about PTLD. De Groote et al. [114], conducted a targeted proteomic analysis employing modified DNA aptamers to identify and quantify 1030 protein markers that were associated with active TB and that changed in response to treatment. They discovered changes in many proteins that were involved in lung healing and extracellular matrix (ECM) remodeling such as MMPs, TIMPs, plasminogen and thrombospondins. Using a blood 12-gene signature (*AIRE*, *B2M*, *CD19*, *CXCL10*, *CXCL13*, *NCAM1*, *NLRC4*, *NLRP1*, *NLRP2*, *NOD2*, *TLR6* and *TLR8*) in combination with some basic clinical characteristics such as gender, body mass index, smoking history and previous history of TB, Sivakumaran et al. [115], identified signatures for treatment failure in TB patients after completion of standard ATT. These studies highlight that in-roads into the use of blood/site of disease multiomics could help predict the progression to PTLD. There is now increasing evidence that in addition to the lung microbiome (the larger local microbial environment beyond the pathogen, in this case *Mtb*) [116,117], the gut microbiome also plays a significant role in determining the lung immune tone and different aspects of lung immunity [118]. Thus, it is possible that the balance between the inflammatory and fibrotic processes determining PTLD is in part affected by a complex set of microbial and host interactions beyond those related to *Mtb* alone. It is likely that an integrated antifibrotic strategy that could simultaneously target inflammatory and pro-fibrotic pathways will probably emerge as the most successful way to treat the highly complex pathologies of PTLD.

9. Conclusions

PTLD significantly adds to the global burden of chronic lung diseases. Due to a lack of controlled clinical trials, there are no evidence-based recommendations for the management of PTLD and the condition has been largely unrecognized within TB management pathways [119]. Chest imaging is a non-invasive modality that can quickly characterize the changes in PTLD, and offers a rapid, non-invasive, point-of-care test. But the lack of a uniform and quantitative scoring system has limited its widespread application. This review highlights that our understanding of the burden of PTLD and of the immune mechanisms associated

with it are limited. The key to preventing PTLD is early diagnosis, as there are millions of subjects worldwide who are at risk of developing PTLD [6]. Investigations of the interplay between the host and the pathogen are required to identify modifiable risk factors in such individuals. A better understanding of the underlying immunological mechanisms driving PTLD could also facilitate therapies to reduce the burden of PTLD and make an impact on quality of life measures. Transcriptomic and multiomic profiling has the potential to expeditiously further research on HDTs but the variability in individual immune profiles and co-existent morbidities need to be factored in. In a disease spectrum as wide as PTLD, a single immunologic or radiographic marker cannot be sufficient and a combination is more likely to be a successful surrogate that could aid in the development of HDTs.

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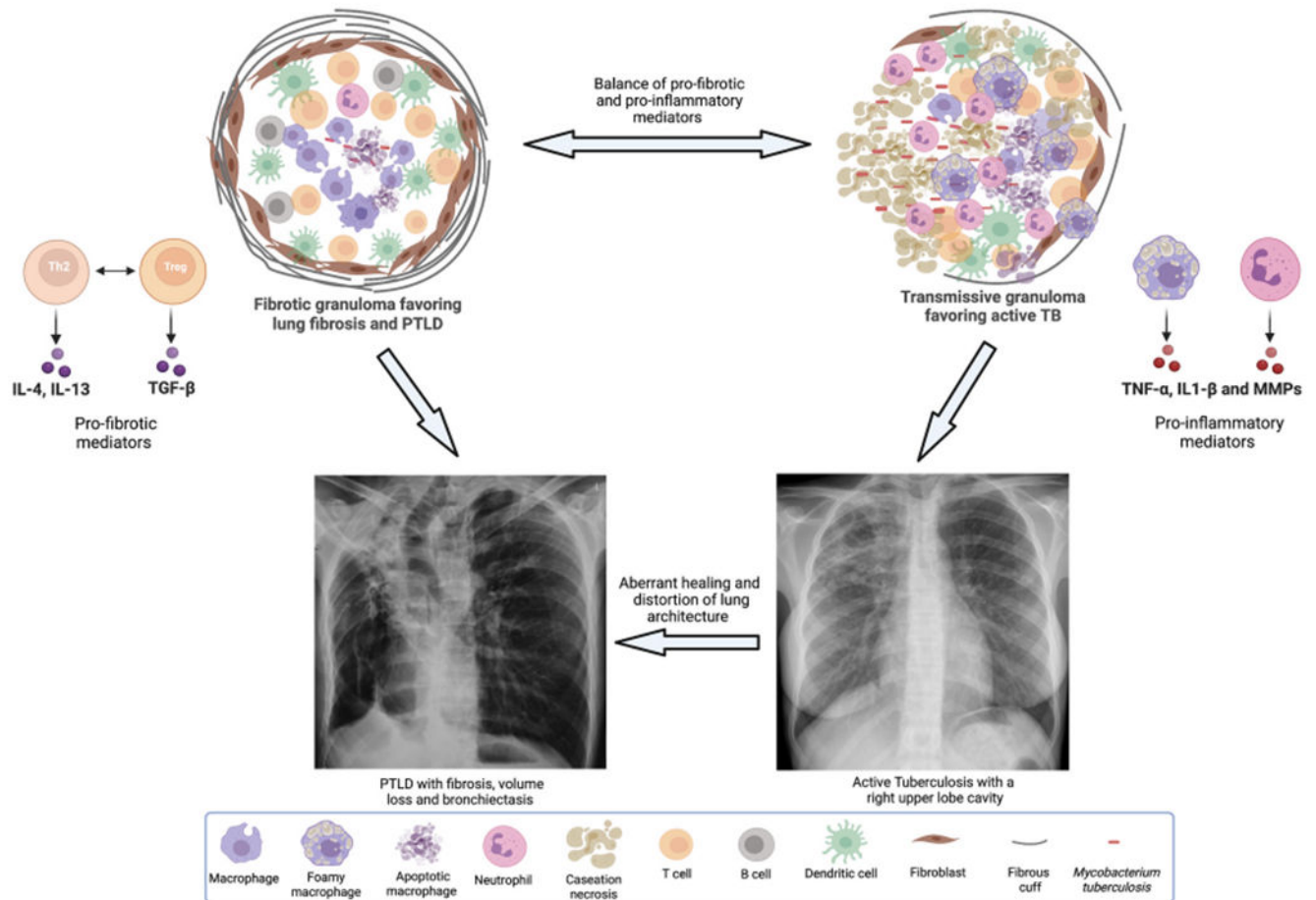


Fig. 1.

Depicts the characteristics of a fibrotic granuloma (left) and a transmissive granuloma (right). Fibrotic granulomas have an abundance of Th2 and Treg cells that drive TGF- β mediated collagen deposition, thick capsule consisting of myofibroblasts and an abnormal fibrous cuff. Transmissive granulomas are rich in classically activated and foamy macrophages as well as neutrophils. These cells produce inflammatory mediators such as tumor necrosis factor (TNF)- α and matrix metalloproteinases (MMPs) and depending on other host modulating factors, can result in the development of active cavity TB disease. As the figure depicts, fibrotic granulomas favor the development of PTLD and transmissive granulomas favor the development of active TB, but granulomas can undergo changes driven by host factors and surrounding milieu such that they can morph into one or the other kind. Finally, the figure also depicts that active cavity TB can lead to PTLD in the event of aberrant healing and distortion of lung architecture.

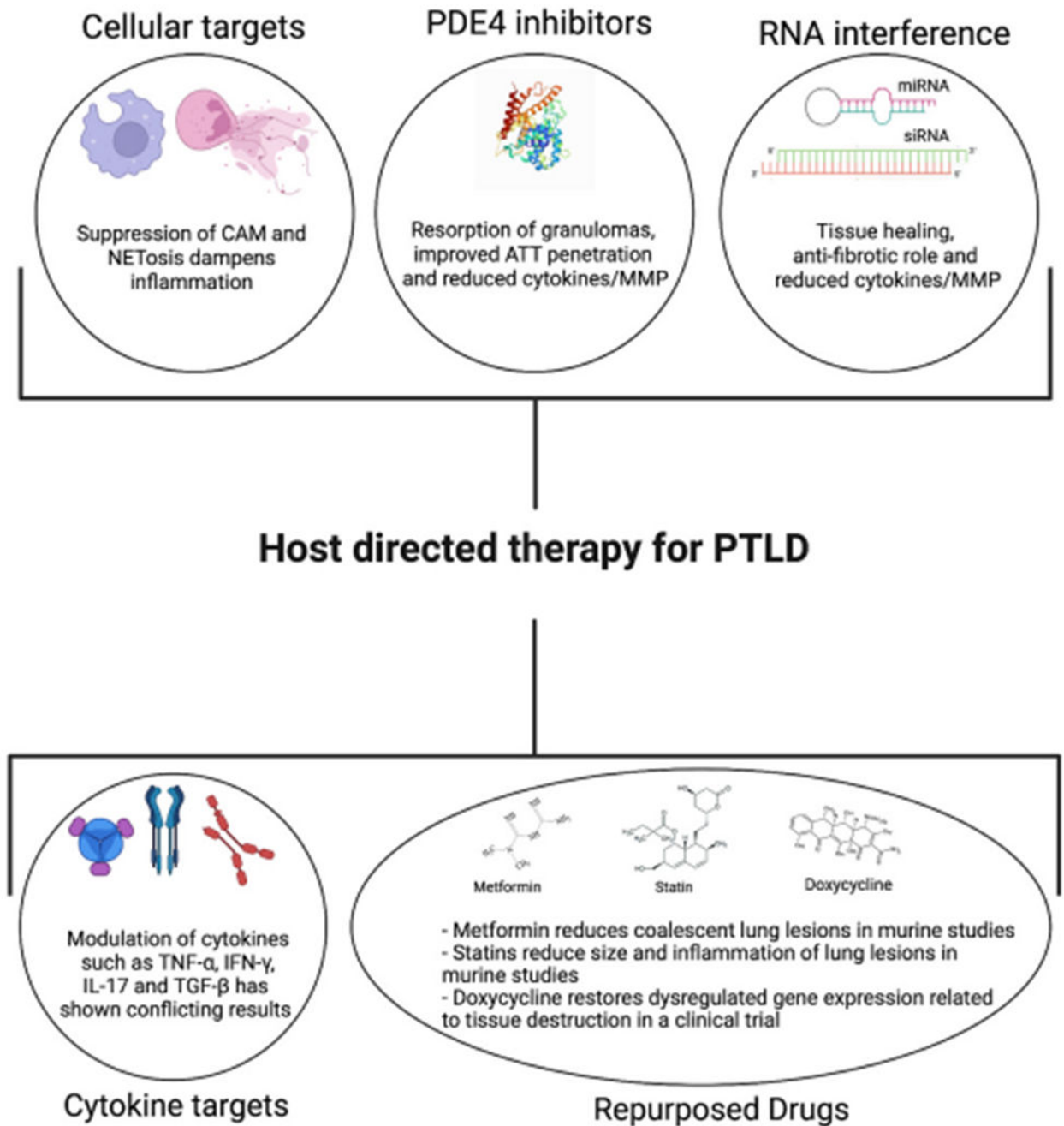


Fig. 2.

An overview of the various HDTs that are undergoing clinical trials, such as PDE4 inhibitors, cellular and cytokine targets, repurposed drugs and RNA interference technology. Here we focus on HDTs that can potentially be used for individuals that are at high risk of developing PTLD.