Effect of Thromboprophylaxis on Clinical Outcomes After COVID-19 Hospitalization

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Background: Patients hospitalized with COVID-19 have an increased incidence of thromboembolism. The role of extended thromboprophylaxis after hospital discharge is unclear.

Objective: To determine whether anticoagulation is superior to placebo in reducing death and thromboembolic complications among patients discharged after COVID-19 hospitalization.

Design: Prospective, randomized, double-blind, placebocontrolled clinical trial. (ClinicalTrials.gov: NCT04650087)

Setting: Done during 2021 to 2022 among 127 U.S. hospitals.

Participants: Adults aged 18 years or older hospitalized with COVID-19 for 48 hours or more and ready for discharge, excluding those with a requirement for, or contraindication to, anticoagulation.

Intervention: 2.5 mg of apixaban versus placebo twice daily for 30 days.

Measurements: The primary efficacy end point was a 30-day composite of death, arterial thromboembolism, and venous thromboembolism. The primary safety end points were 30-day major bleeding and clinically relevant nonmajor bleeding.

Results: Enrollment was terminated early, after 1217 participants were randomly assigned, because of a lower than anticipated event rate and a declining rate of COVID-19 hospitalizations. Median age was 54 years, 50.4% were women, 26.5% were Black, and 16.7% were Hispanic; 30.7% had a

World Health Organization severity score of 5 or greater, and 11.0% had an International Medical Prevention Registry on Venous Thromboembolism risk prediction score of greater than 4. Incidence of the primary end point was 2.13% (95% CI, 1.14 to 3.62) in the apixaban group and 2.31% (CI, 1.27 to 3.84) in the placebo group. Major bleeding occurred in 2 (0.4%) and 1 (0.2%) and clinically relevant nonmajor bleeding occurred in 3 (0.6%) and 6 (1.1%) apixaban-treated and placebo-treated participants, respectively. By day 30, thirty-six (3.0%) participants were lost to follow-up, and 8.5% of apixaban and 11.9% of placebo participants permanently discontinued the study drug treatment.

Limitations: The introduction of SARS-CoV-2 vaccines decreased the risk for hospitalization and death. Study enrollment spanned the peaks of the Delta and Omicron variants in the United States, which influenced illness severity.

Conclusion: The incidence of death or thromboembolism was low in this cohort of patients discharged after hospitalization with COVID-19. Because of early enrollment termination, the results were imprecise and the study was inconclusive.

Primary Funding Source: National Institutes of Health.

Ann Intern Med. doi:10.7326/M22-3350

Annals.org

For author, article, and disclosure information, see end of text.

This article was published at Annals.org on 21 March 2023.

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reveral studies have shown that hospitalization is associated with an increased risk for venous thromboembolism (VTE), both during the hospitalization and after discharge. Patients hospitalized with an acute infection of SARS-CoV-2, the virus responsible for COVID-19, and particularly those requiring treatment in an intensive care setting, exhibit an increased risk for arterial thromboembolism and VTE. Anticoagulant therapy is recommended to prevent thromboembolic complications and improve outcomes for all patients hospitalized with COVID-19, although the recommended dose varies depending on the severity of the illness (1-3). Reports about the frequency of thromboembolic events after discharge from the hospital vary (4-6), and certain patients at highest risk for postdischarge thromboembolism may benefit from an extended course of thromboprophylaxis.

In addition to the acute manifestations associated with COVID-19, up to 17% of patients with COVID-19

may develop chronic symptoms, particularly respiratory and neurocognitive symptoms along with an inferior quality of life, called postacute sequelae of COVID-19 (PASC) (7). Studies have suggested that microvascular thrombosis may contribute to the development of PASC, raising the question of whether an extended course of anticoagulant therapy after hospitalization would prevent PASC.

We conducted a prospective, randomized, double-blind, clinical trial (ACTIV-4C [COVID-19 Thrombosis Prevention Trials: Post-hospital Thromboprophylaxis]) to

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determine whether a course of extended thromboprophylaxis beginning at the time of discharge from hospital through 30 days would decrease the frequency of thromboembolism and death. We also assessed health-related quality of life to investigate whether extended thromboprophylaxis would affect PASC development.

Methods

This was an adaptive, multistage prospective, randomized, double-blind, placebo-controlled trial designed to compare the effectiveness and safety of various antithrombotic strategies for patients discharged after hospitalization for COVID-19. This article describes stage 1 of this protocol, which randomly assigned study participants to either 2.5 mg of apixaban twice daily or matching placebo; because of the waning COVID-19 pandemic, other stages were not initiated. Outcomes were compared after blinded, central adjudication. The trial protocol was developed by the trial chair, principal investigator, and a protocol development committee that included representatives from the National Heart, Lung, and Blood Institute (NHLBI). The study protocol (available at Annals.org) was approved by a centralized institutional review board (Western Institutional Review Board-Copernicus Group), and local institutional review boards where applicable. An independent data and safety monitoring board (DSMB) was assigned by the NHLBI and met monthly to monitor the trial for efficacy and safety. A full list of study personnel is provided in the Appendix (available at Annals.org).

Study Population

A total of 127 hospitals in the United States were activated for the ACTIV-4C trial. Potential study participants were older than 18 years and hospitalized for 48 hours or longer with a SARS-CoV-2 infection (positive polymerase chain reaction, antigen, or point-of-care test results within 2 weeks of the hospital admission date). Patients who had an existing indication for either therapeutic or prophylactic dose anticoagulation at hospital discharge, those with a contraindication to antithrombotic therapy (for example, ischemic stroke, intracranial bleed, or neurosurgery within 3 months, known bleeding within the past 30 days, or known major surgery within 14 days), as well as those with an inherited or active acquired bleeding disorder were excluded. Platelet counts were required to be greater than 50×10^9 cells/L and hemoglobin greater than 80 g/L. In addition, patients who were pregnant, were prison inmates, had renal insufficiency (estimated glomerular filtration rate <30 mL/min/1.73 m²), had a life expectancy less than 90 days, or were unwilling or unable to provide informed consent were excluded. Full inclusion and exclusion criteria are outlined in the study protocol.

The thromboprophylaxis regimen used during hospitalization was left to the discretion of the patient's hospital providers. Patients could be enrolled in clinical trials investigating thromboprophylaxis strategies during hospitalization as long as these studies did not require anticoagulant therapy to continue after discharge from the hospital.

Study Procedures

Participants were randomly assigned in a 1:1 ratio to receive 2.5 mg of apixaban or matching placebo twice daily for 30 days and were stratified on the basis of concomitant use of an antiplatelet agent (for example, aspirin or clopidogrel) and the World Health Organization (WHO) COVID-19 severity score (<5 or ≥5) (8). Before hospital discharge, enrolled participants were provided a 30-day supply of their blinded study therapy. Postdischarge follow-up visits were done centrally using a combination of telephone or e-mail surveys conducted by call centers at the University of Illinois Chicago and Duke Clinical Research Institute. The first follow-up at postdischarge day 2 confirmed study medication initiation. Subsequent follow-up visits at days 10, 20, and 30 confirmed study medication adherence and assessed clinical outcomes. Two additional encounters occurred at days 45 and 90 to determine risk for thromboembolic complications after completion of the study intervention. Participant-reported events triggered a telephone call from a research pharmacist to confirm the event, determine the type and severity of the event, check if medical attention was required, and if so, obtain the contact information for the treating clinician. Medical records were obtained by the trial monitors for all events considered possible components of the primary efficacy or safety end points during the 30-day treatment period or that raised any safety concerns over the additional 60-day posttreatment follow-up. Medical records were reviewed by the Clinical Endpoints Committee, who adjudicated events using standardized criteria. Both the medical monitors and the Clinical Endpoints Committee were unaware of randomized drug assignment.

Study End Points

The primary end point was the composite of all-cause mortality, venous thrombosis, and arterial thrombosis within 30 days of randomization. Thrombosis end points included new, symptomatic deep venous thrombosis, pulmonary embolism, thrombosis of other veins (for example, cerebral sinus and splanchnic veins), ischemic stroke, myocardial infarction, and other arterial thrombosis (for example, mesenteric or acute limb ischemia). The primary safety end points included major bleeding (9) and clinically relevant nonmajor bleeding (10) defined according to the International Society on Thrombosis and Haemostasis.

Prespecified secondary end points included the composite of the primary end point at days 45 and 90 after randomization, all venous thromboembolic events (including deep venous thrombosis, pulmonary embolism, splanchnic venous thrombosis, and cerebral venous sinus thrombosis) at day 30, and all arterial thromboembolic events (including stroke, myocardial infarction, mesenteric thrombosis, and peripheral arterial thromboembolism) at day 30. After interim results from the ACTIV-4A (Accelerating COVID-19 Therapeutic Interventions and Vaccines 4 ACUTE) trial showed a potential benefit of antithrombotic strategies on patient-reported quality of life (11) among patients hospitalized with COVID-19, on 20 April 2022, the Protocol Development Committee, with DSMB approval, added a further 2 secondary end points: the composite

end point of EuroQoL Group 5-Dimension (EQ-5D) index score and mortality at day 30 and at day 90 after randomization. Detailed definitions for each end point are described in the protocol; the addition of the quality-of-life end points are described in Appendix 5 of the protocol. Details about the randomization process are out-lined (available at Annals.org).

Sample Size Estimation

The primary analysis was an intention-to-treat comparison of a composite end point of all-cause mortality and venous and arterial thromboembolic events within 30 days after randomization between intervention and placebo groups. This binary primary end point was used to power the study. Contemporary placebo-controlled trials of posthospitalization prophylactic anticoagulation for medically ill patients had reported a 2% to 4% composite event rate for VTE, myocardial infarction, stroke, and cardiovascular death (12, 13), whereas emerging clinical experience suggested that patients recently hospitalized with COVID-19 had even higher event rates. Assuming an anticipated composite event rate of 4% for the no anticoagulant group; a 35% risk reduction effect size; and applying a group-sequential, 2-sample, 2-sided Z test for proportions with pooled SD to test the primary hypothesis at an overall significance level α of 0.05 and 80% power, we estimated a sample size of 5320 (2660 participants assigned to each group), accounting for a 5% possible loss to follow-up rate.

Statistical Analysis

The detailed statistical analysis plan is provided (available at Annals.org). Baseline characteristics of the participants randomly assigned to the 2 groups were described using frequencies (percentages) for categorical variables and medians with 25th and 75th percentiles for continuous variables. All participants randomly assigned to the treatment groups were included in the primary analysis. Followup began at the time of randomization, and the primary end point at day 30 was compared between the 2 study groups using a 2-sample z statistic for the difference in proportions having the composite event in the anticoagulant and placebo groups. Composite event proportions were also modeled using a log-binomial regression model with treatment group as the independent variable and adjusting for trial stratification variables (that is, antiplatelet use and WHO ordinal scale score). In addition, unadjusted event rates for each treatment group and the relative risk with Cls were calculated. Kaplan-Meier cumulative incidence curves were also calculated to allow visualization of the patterns of time-to-first events. A sensitivity analysis was done as a modified intention-to-treat analysis, excluding all randomly assigned participants who did not initiate treatment. The proportions of 30- and 90-day safety end points (International Society on Thrombosis and Haemostasisdefined major and clinically relevant nonmajor bleeding) between the 2 groups were compared. The proportion of participants in each assigned treatment group who experienced each safety event and the relative risk were calculated.

Secondary outcomes were planned to be formally tested if the primary hypothesis was statistically significant

at the 2-sided α level of 0.05. The 30- and 90-day qualityof-life composite end points were analyzed using a proportional odds model. The covariates in the model included the participant's age, sex, D-dimer level within 72 hours before discharge, body mass index, antiplatelet usage, WHO severity score, and the randomized treatment. The EQ-5D index scores were described, with death designated as a score of 0 per the EQ-5D user guide (14). Statistical methods for the testing of secondary end points not related to quality-of life followed the same procedures described earlier for the primary end point. Cumulative incidence of the primary composite efficacy end point within 30 days were described for protocol-specified subgroups: age, sex, race, ethnicity, body mass index, D-dimer levels, length of hospital stay, and WHO severity score. Baseline data were also collected to stratify patients by the International Medical Prevention Registry on Venous Thromboembolism VTE risk score, a risk assessment tool for identification of hospitalized medical patients at high risk for VTE (13, 15).

Analyses were done with SAS, version 9.4 (SAS Institute), and R, version 4.0.5 (R Core Development Team).

Role of the Funding Source

The sponsors were not involved in the design or conduct of the study, nor in the analysis of the data or the decision to submit the manuscript.

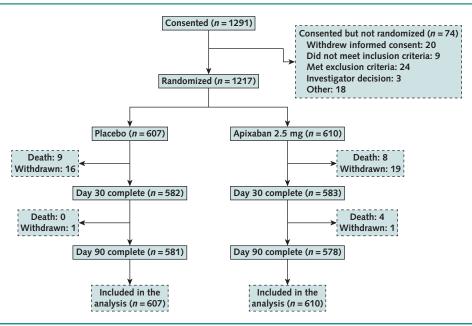
RESULTS

Study Population

Between 15 February 2021 and 23 June 2022, a total of 1291 participants provided informed consent and 1217 were randomly assigned across 107 U.S. hospitals, with 610 and 607 participants randomly assigned to apixaban and placebo groups, respectively (Figure 1). On 15 June 2022, the DSMB met and found no safety concerns preventing study continuation but also noted the lower than expected event rate and the waning incidence of COVID-19 hospitalizations in the United States and worldwide. On 21 June 2022, the NHLBI and study leadership, while agreeing with the DSMB that the benefit from antithrombotic therapy in hospitalized patients with COVID-19 after hospital discharge remained an important question, made the determination that the lower-thanexpected event rate and the markedly reduced study accrual rate after the peak of COVID-19 hospitalizations in January 2022 would prevent this study from answering its main scientific question promptly. As a result, enrollment to both groups was terminated on 23 June 2022. Participants already enrolled and randomly assigned were instructed to continue their assigned treatments and follow-ups, including the protocol-prescribed 90-day follow-up.

Median age of randomized participants was 54 years (interquartile range, 43 to 64 years), 50.4% were women, 26.5% self-identified as Black, and 16.7% self-identified as Hispanic (Table 1). Approximately half of the participants were recruited during the Delta variant wave of COVID-19 (July to October 2021), and approximately 15% were recruited during the early Omicron variant wave (January to March 2022). The remainder were enrolled

Figure 1. CONSORT flow diagram.



A total of 1291 participants provided informed consent; 1217 were randomly assigned across 107 hospitals in the United States, with 610 and 607 participants randomly assigned to apixaban and placebo groups, respectively. CONSORT = Consolidated Standards of Reporting Trials.

either before the Delta variant (15%) or between the Delta and Omicron variants (20%). Among those randomly assigned, 30.7% had a WHO score of 5 or greater and 15.3% had concurrent antiplatelet medication use. At hospital discharge, 16.4% were prescribed antiplatelet therapy (93% aspirin). The median body mass index was 32.8 kg/m², 56.9% had an elevated D-dimer result within 72 hours of discharge, and 11.0% had an International Medical Prevention Registry on Venous Thromboembolism VTE risk prediction score greater than 4. All baseline characteristics were balanced between treatment groups among randomized participants (Table 1).

Primary and Secondary End Points

The last 30-day follow-up visit was completed on 26 August 2022. By day 30, thirty-six (3%) participants were lost to follow-up, of which 35 withdrew consent; by day 90, thirty-seven (3%) participants were lost to follow-up, all of whom withdrew consent (Figure 1).

For the intention-to-treat analysis, the primary composite end point of 30-day all-cause mortality, arterial thromboembolism, or VTE occurred in 13 participants (2.13% [95% CI, 1.14 to 3.62]) in the apixaban group and 14 participants (2.31% [CI, 1.27 to 3.84]) in the placebo group (Table 2). Kaplan-Meier curves for the 2 groups are shown in Figure 2. Event rates for individual components of this composite end point, as well as results for the composite primary outcome at days 45 and 90, are shown in Table 2. Bleeding events were uncommon and are shown in Table 2. Event rates for the primary composite end point for the prespecified subgroups are shown in Appendix Figure 1 (available at Annals.org).

Among randomized participants, 74 (12.1%) assigned to apixaban and 70 (11.5%) assigned to placebo did not

initiate the study drug. Efficacy analyses repeated using a modified intention-to-treat approach, excluding participants who did not start their assigned study drug, are shown in **Appendix Table 1** and **Appendix Figure 2** (available at Annals.org).

The median EQ-5D index scores at day 30 were 0.93 (25th to 75th percentiles, 0.75 to 1.00) in the apixaban group and 0.93 (25th to 75th percentiles, 0.78 to 1.00) in the placebo group (Table 2). At day 90, the median results for the index scores were similar to the day 30 results: 0.94 (25th to 75th percentiles, 0.74 to 1.00) in the apixaban group and 0.94 (25th to 75th percentiles, 0.76 to 1.00) in the placebo group (Table 2). Similar results were seen using the modified intention-to-treat approach, with common odds ratios of 1.07 (CI, 0.85 to 1.35) and 1.03 (CI, 0.81 to 1.30) for 30 and 90 days, respectively.

Study Drug Adherence and Serious Adverse Events

In participants who started the study drug treatment, 8.5% and 11.9% in the apixaban and placebo groups, respectively, permanently discontinued the treatment before 30 days. Reasons for treatment discontinuation are shown in Appendix Table 2 (available at Annals.org). Among those randomly assigned, 105 participants reported a total of 137 serious adverse events, with 54 (8.9%) participants in the apixaban group and 51 (8.4%) in the placebo group reporting at least 1 serious adverse event.

DISCUSSION

This multicenter, prospective, double-blind, randomized, clinical trial investigating an extended course of thromboprophylaxis with apixaban for 30 days at the

Table 1. Baseline Characteristics of Participants Randomly Assigned to Placebo and Apixaban

Characteristic	Placebo ($n = 607$)	Apixaban ($n = 610$
Demographic characteristic		
Median age (25th-75th percentiles), y	54 (44-64)	54 (44-64)
Female, n (%)	303 (49.9)	311 (51.0)
Race, n (%)		
White	363 (59.8)	350 (57.4)
Black or African American	154 (25.4)	168 (27.5)
Asian	12 (2.0)	10 (1.6)
American Indian or Alaska Native	4 (0.7)	6 (1.0)
Native Hawaiian or other Pacific Islander	5 (0.8)	2 (0.3)
>1 race	3 (0.5)	5 (0.8)
Other race	20 (3.3)	24 (4.0)
Unknown	46 (7.6)	45 (7.4)
Hispanic or Latinx ethnicity, n (%)	103 (17.0)	100 (16.4)
Medical history		
Median length of hospitalization (25th-75th percentiles), d	6 (5-9)	6 (5-9)
Hospitalization involved intensive care unit stay, n (%)	82 (13.5)	82 (13.4)
World Health Organization severity score ≥5, n (%)	185 (30.5)	189 (31.0)
Concurrent antiplatelet use, n (%)	100 (16.5)	86 (14.1)
IMPROVE VTE risk score ≥4, n (%)	66 (10.9)	68 (11.1)
Prior coronary artery disease, n (%)	32 (5.3)	36 (5.9)
Prior cerebrovascular disease, n (%)	11 (1.8)	4 (0.7)
Prior peripheral artery disease, n (%)	1 (0.2)	6 (1.0)
Prior VTE, n (%)	9 (1.5)	9 (1.5)
Diabetes mellitus , n (%)	165 (27.2)	180 (29.5)
Hypertension, n (%)	275 (45.3)	294 (48.2)
Asthma, n (%)	90 (14.8)	77 (12.6)
Chronic obstructive pulmonary disease, n (%)	36 (5.9)	38 (6.2)
Oxygen use before hospitalization, n (%)	12 (2.0)	16 (2.6)
History of smoking and/or vaping, n (%)	86 (14.2)	93 (15.2)
Liver disease, n (%)	15 (2.5)	12 (2.0)
Immunosuppressive disorders, n (%)	75 (12.4)	78 (12.8)
Baseline measurements	20.0 (00. 20)	20.7 (07.20)
Median body mass index (25th-75th percentiles), kg/m ²	32.9 (28-39)	32.7 (27-39)
Median estimated glomerular filtration rate (25th-75th percentiles), mL/min/1.73 m ²	96.4 (80–117)	96.5 (81-115)
Median hemoglobin (25th-75th percentiles), g/L	133 (120-140)	132 (120-140)
Median platelets (25th-75th percentiles), $\times 10^9$ cells/L	313.0 (225-405)	306.0 (239-395)
D-dimer >ULN, n (%)	359 (59.1)	334 (54.8)

IMPROVE = International Medical Prevention Registry on Venous Thromboembolism; ULN = upper limit of normal; VTE = venous thromboembolism.

time of discharge from the hospital in patients hospitalized with COVID-19 was interrupted early because of a lower than anticipated event rate and a declining rate of COVID-19 hospitalizations in the United States. As a consequence, the results were imprecise, and the study was inconclusive.

Several studies early in the course of the pandemic had suggested patients with COVID-19 had a higher risk for thromboembolism both during and after hospitalization (6, 16). In addition, during autopsies of patients who died with COVID-19, a high burden of microvascular thrombosis was found as well as macrovascular thromboembolic events that were clinically unsuspected before death (17, 18). Many patients hospitalized with COVID-19 also had additional risk factors for VTE and were likely to be more sedentary after hospital discharge (19). On the basis of these observations, we estimated the primary end point rate would be 4% in the placebo-treated participants by day 30 after hospital discharge. In contrast, we found a lower rate of 2.3% for the composite primary outcome, suggesting that the increased risk for thromboembolic complications encountered during hospitalization is not as pronounced after hospital discharge.

For the primary composite end point of VTE, arterial thromboembolism, or all-cause mortality, the results were highly compatible for apixaban compared with placebo, with estimates of efficacy ranging from a 56% reduction to a 95% increase in risk. Similar results were seen for the secondary efficacy outcomes as well as the safety outcomes. These results are consistent with prior studies in non-COVID-19 hospitalized medical patients, which did not find a benefit for the routine use of extended thromboprophylaxis in patients after discharge from the hospital (12, 13). We did not restrict our study to prespecified subgroups with a higher risk for thromboembolic complications after hospital discharge because our intent was to have the study apply to all patients hospitalized with COVID-19, given the initial concerns that these patients were at higher risk for thromboembolic complications.

To our knowledge, the ACTIV-4C trial is the first study to investigate whether an extended course of anti-coagulant therapy in patients hospitalized with COVID-19 might improve quality-of-life scores after discharge from the hospital. Microvascular complications have been identified as a potential mechanism contributing to the development of PASC (20), suggesting that extended anticoagulation may

Table 2. Primary and Secondary End Point Comparisons of Participants Randomly Assigned to Apixaban Versus Placebo

End Point Comparisons	Randomized ($n = 1217$)		Relative Risk	P Value
	Placebo (n = 607), n (%)	Apixaban (n = 610), n (%)	(95% CI)*	
Efficacy				
30-d composite efficacy end point	14 (2.31)	13 (2.13)	0.92 (0.44-1.95)	0.85
Venous thromboembolism†	5 (0.82)	5 (0.82)	1.00 (0.29-3.42)	
Arterial thromboembolism‡	3 (0.49)	1 (0.16)	0.33 (0.03-3.18)	
All-cause death	9 (1.48)	8 (1.31)	0.88 (0.34-2.28)	
45-d composite efficacy end point	17 (2.80)	15 (2.46)	0.88 (0.44-1.74)	
90-d composite efficacy end point	17 (2.80)	19 (3.11)	1.11 (0.58-2.12)	
Safety§				
Major bleeding	1 (0.19)	2 (0.37)	2.00 (0.18-22.03)	
Clinically relevant nonmajor bleeding	6 (1.12)	3 (0.56)	0.50 (0.13-1.99)	
	Median (25th-75th Percentiles)	Median (25th-75th Percentiles)	Common Odds Ratio (95% CI)	
EQ-5D index scores on day 30	0.93 (0.78-1.00)	0.93 (0.75-1.00)	1.05 (0.83-1.31)	
EQ-5D index scores on day 90	0.94 (0.76-1.00)	0.94 (0.74-1.00)	0.99 (0.78-1.24)	

EQ-5D = EuroQoL Group 5-Dimension.

mitigate symptoms. The EQ-5D assesses health in 5 domains (mobility, self-care, usual activities, pain and discomfort, and anxiety and depression) scored as a single index measure of health to provide an assessment of quality of life (21). Although the results were inconclusive because the study was closed early, the EQ-5D scores were similar between the apixaban and placebo groups at days 30 and 90.

In contrast to our results, the MICHELLE (Medically III Hospitalized Patients for COVID-19 Thrombosis Extended ProphyLaxis With Rivaroxaban ThErapy) trial found that extended thromboprophylaxis with 10 mg of rivaroxaban per day for 35 days after hospital discharge resulted in improved clinical outcomes compared with no extended thromboprophylaxis (22). Patients enrolled in that study had to have an increased risk for VTE, defined as an elevated modified International Medical Prevention Registry on Venous Thromboembolism VTE score of 2 to 3 with a D-dimer level of >500 μg/L using local laboratory criteria, or a score of 4 or more independent of the D-dimer level at hospital discharge. The primary end point of MICHELLE included symptomatic and asymptomatic VTE, detected by screening all patients with computed tomography pulmonary angiography and bilateral lower limb venous Doppler ultrasound; symptomatic arterial thromboembolism; and cardiovascular death at day 35. Excluding patients with asymptomatic VTE, the MICHELLE trial reported a rate of the composite outcome of symptomatic VTE, arterial thromboembolism, and cardiovascular death of 5.66% in the control group (9 events in 159 control patients) compared with 0.63% in the rivaroxaban group (1 event in 159 patients). In contrast, we found a lower rate of the composite primary outcome of symptomatic VTE, arterial thromboembolism, and all-cause mortality in the control group (2.31%; 14 events in 607 control participants) and a slightly higher rate in the apixaban group (2.13%; 13 events in 610

patients) compared with MICHELLE. Patients enrolled in the MICHELLE trial were more likely to be in the intensive care unit (51.9% of participants in MICHELLE compared with 13.5% of participants in ACTIV-4C), although more than 30% of our study participants had a WHO severity score of 5 or greater, defined as severe disease, and 56.9% had an elevated D-dimer result before discharge from the hospital.

Strengths of our study design include the use of strategies to minimize the need for direct contact with patients during a pandemic, the inclusion of symptomatic outcomes only in the primary end point, and the incorporation of a validated quality-of-life survey tool. Direct patient contact occurred only at the time of enrollment and randomization, while the patient was still hospitalized, with all follow-up occurring in the outpatient setting done through either electronic or telephone contact.

Our study also has several limitations. The lowerthan-expected rate of the composite primary outcome was unexpected. Estimates early during the pandemic suggested that the rate of the composite outcome would be higher than what had previously been seen in studies of extended thromboprophylaxis in hospitalized medical patients. One factor likely contributing to this lower rate was the introduction of vaccines for SARS-CoV-2 as our study began, associated with a milder form of the disease and a decreased risk for hospitalization and death (23, 24). Our enrollment period spanned the peaks of infection associated with the Delta and Omicron variants of COVID-19 in the United States during the study, which have also been associated with differences in severity of illness (24, 25). Most patients who were hospitalized with COVID-19 after the vaccines became available were unvaccinated persons (26). Reasons provided by persons who elected to not be vaccinated against COVID-19 (27) are

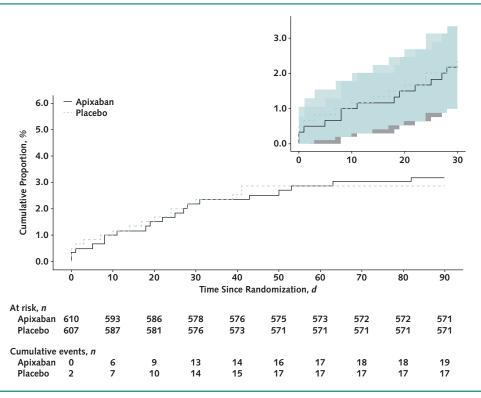
^{*} Placebo as the reference group.

[†] Two patients in the placebo group had a deep venous thrombosis, and 3 had a pulmonary embolism; 3 patients in the apixaban group had a pulmonary embolism, and 2 had both a deep venous thrombosis and a pulmonary embolism.

[‡] Three patients in the placebo group had a myocardial infarction; 1 patient in the apixaban group had a stroke.

[§] Safety end points were assessed among randomized participants who received at least 1 dose of their assigned study medication (n = 1073).

Figure 2. Primary composite efficacy end point within 90 days.



Kaplan-Meier curves of the primary composite efficacy end point within 90 d for participants randomly assigned to apixaban versus placebo (inset shows 30-d event curves). The 95% CIs are shown in the inset.

similar to those provided by persons who elect to not participate in clinical research studies (28).

The ACTIV-4C study was terminated early because of a lower-than-expected primary event rate and slow enrollment because of decreasing rates of hospitalization with COVID-19. As a consequence, the study results are imprecise, and the study was inconclusive. For the primary composite end point of VTE, arterial thromboembolism, or all-cause mortality at 30 days, the results were highly compatible, with estimates of efficacy ranging from a 56% reduction to a 95% increase in risk for apixaban compared with placebo.

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Disclaimer: The views and conclusions contained in this document are those of the authors and should not be interpreted as representing the official policies, either expressed or implied, of the National Institutes of Health.

Acknowledgment: The authors thank Elizabeth H. Thames, RN, and Dana Thompson, PhD, for their assistance with ClinicalTrials. gov, and Erin Campbell, MS, for her editorial review and submission of this manuscript. Ms. Campbell did not receive compensation for her contributions, apart from her employment at the institution where this study was done.

Grant Support: The research was, in part, funded by the National Institutes of Health Agreement 10T2HL156812 through the NHLBI CONNECTS program. The trial drug and matching placebo were donated by the Bristol-Myers Squibb-Pfizer Alliance.

Disclosures: Disclosures can be viewed at www.acponline.org/authors/icmje/ConflictOfInterestForms.do?msNum=M22-3350.

Data Sharing Statement: The following data will be made available beginning 1 July 2023: deidentified participant data (data available: yes; data types: deidentified participant data; how to access data: NIH; when available: beginning date: 1 July 2023; who can access the data: researchers whose proposed use has been approved by NIH; types of analyses: open to most purposes; mechanisms of data availability: after approval of a proposal). The following supporting documents will be made available with publication: statistical/analytic code (e-mail, Abdus_Wahed@URMC.Rochester.edu). These data will be made available to researchers whose proposed use has been approved by NIH, open to most purposes, after approval of a proposal (restrictions: none).

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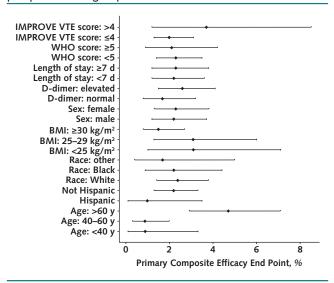
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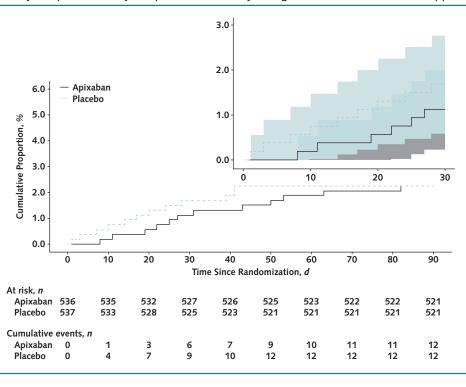
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Appendix Figure 1. Primary composite efficacy end point by prespecified subgroups.



BMI = body mass index; IMPROVE = International Medical Prevention Registry on Venous Thromboembolism; VTE = venous thromboembolism; WHO = World Health Organization.

Appendix Figure 2. Primary composite efficacy end point within 90 days using modified intention-to-treat approach.



Appendix Table 1. Comparison of Efficacy End Points Using a Modified Intention-to-Treat Approach Excluding Participants Who Never Started Their Assigned Study Drug Treatment

End Point	Initiated Study Drug (n = 1073)		Relative Risk (95% CI)*	P Value
	Placebo (n = 537), n (%)	Apixaban (n = 536), n (%)		
30-d primary composite efficacy end point	9 (1.68)	6 (1.12)	0.67 (0.24-1.86)	0.60
Venous thromboembolism	3 (0.56)	1 (0.19)	0.33 (0.03-3.20)	
Arterial thromboembolism	3 (0.56)	3 (0.56)	1.00 (0.20-4.94)	
All-cause death	5 (0.93)	2 (0.37)	0.40 (0.08-2.06)	

^{*} Placebo as the reference group.

Reasons*	Discontinued Placebo Treatment $(n = 72)$, n (%)	Discontinued Apixaban Treatment ($n = 52$), n (%)
Suspected bleeding	2 (2.8)	0
Minor bleeding	9 (12.5)	4 (7.7)
Clinically relevant nonmajor bleeding	0	1 (1.9)
Medical encounter	1 (1.4)	0
New medication treatment started	2 (2.8)	2 (3.8)
Hospitalization	7 (9.7)	12 (23.1)
Person has been informed to discontinue drug treatment by clinician	8 (11.1)	3 (5.8)
Prescription of a new anticoagulant	3 (4.2)	4 (7.7)
Decline to take study drug	47 (65.3)	30 (57.7)
Other	11 (15.3)	15 (28.8)

^{*} Some persons reported >1 reason.