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## Heterogeneous M-N-C Catalysts for Aerobic Oxidation Reactions: Lessons from Oxygen Reduction Electrocatalysts

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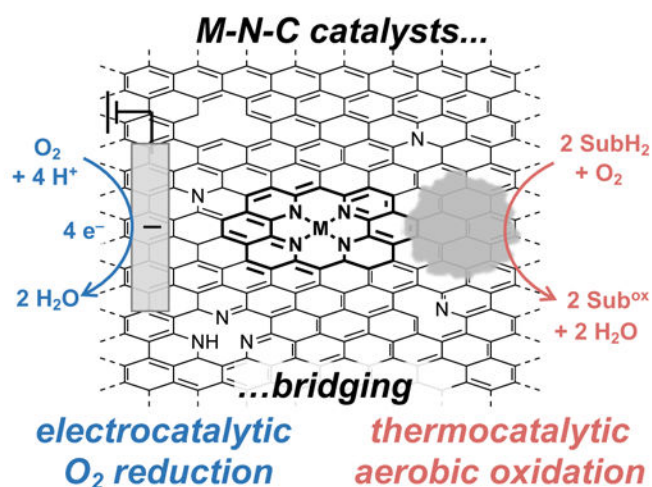
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### Abstract

Non-precious metal heterogeneous catalysts composed of first-row transition metals incorporated into nitrogen-doped carbon matrices (M-N-Cs) have been studied for decades as leading alternatives to Pt for the electrocatalytic O<sub>2</sub> reduction reaction (ORR). More recently, similar M-N-C catalysts have been shown to catalyze the aerobic oxidation of organic molecules. This Focus Review highlights mechanistic similarities and distinctions between these two reaction classes and then surveys the aerobic oxidation reactions catalyzed by M-N-Cs. As the active-site structures and kinetic properties of M-N-C aerobic oxidation catalysts have not been extensively studied, the array of tools and methods used to characterize ORR catalysts are presented with the goal of supporting further advances in the field of aerobic oxidation.

### Graphical Abstract



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## 1. INTRODUCTION

Materials composed of metal ions integrated within nitrogen-doped carbon (M-N-Cs) are a class of "single-atom" catalysts<sup>1,2</sup> that have received extensive attention for the electrochemical oxygen reduction reaction (ORR) in fuel cells.<sup>3–13</sup> These catalysts often feature first-row transition-metal ions, such as iron and cobalt, and offer a compelling alternative to catalysts derived from platinum or other precious metals. Recent studies show that M-N-C catalysts are effective for many other applications, including CO<sub>2</sub> electroreduction,<sup>14,15</sup> N<sub>2</sub> electroreduction,<sup>16</sup> and a variety of reductions<sup>17–20</sup> and oxidations<sup>21</sup> of organic molecules. The electrochemical ORR is closely related to aerobic oxidation reactions, as both reaction classes lead to the net reduction of O<sub>2</sub> to water using electrons and protons from a complementary electrochemical oxidation reaction (ORR) or from an organic molecule (aerobic oxidation) (Figure 1). The present Focus Review explores this relationship, with the premise that advances in M-N-C catalysts for electrochemical ORR provide a foundation for future advances in aerobic oxidation catalysis.

Aerobic oxidation reactions using M-N-C catalysts include the conversion of alcohols to aldehydes and ketones and oxidative coupling of primary alcohols with various partners to yield carboxylic acids, esters, and nitriles (Figure 1).<sup>21</sup> Many of these transformations have been developed by using empirical approaches to identify the preferred catalyst and optimize the reactions. The applications are still somewhat limited relative to reactions that employ noble metal heterogeneous catalysts<sup>22–25</sup> and homogeneous catalysts,<sup>26–30</sup> however, at least partly reflecting the common requirement for high reaction temperatures, high O<sub>2</sub> pressures, and/or long reaction times. A deeper understanding of the active sites in the M-N-C catalysts and the associated reaction mechanisms could play an important role in addressing these limitations. Uncovering the relationships between active-site structure and catalyst performance will require advances in structural characterization of the catalysts and quantitative studies of reaction kinetics. To date, few M-N-C-catalyzed aerobic oxidation reactions have been analyzed in this manner.

M-N-C catalysts are prepared by various pyrolysis-based synthetic routes (Figure 2a). These methods are reviewed in detail elsewhere,<sup>9,11</sup> but the following overview provides important context for the content in this article. In most cases, a precursor mixture containing sources of the M, N, and C components is treated at temperatures of ~600–1000 °C under a flow of either an inert gas, such as Ar or N<sub>2</sub>, or a reactive gas, such as NH<sub>3</sub> or H<sub>2</sub>. Some components of the precursor mixtures decompose and/or volatilize during this pyrolysis treatment, while others form carbon-based solids with varying degrees of graphitization and nitrogen incorporation. The metal ions either integrate within specific mononuclear binding sites in the nitrogen-doped carbon support<sup>31</sup> or aggregate to form nanoparticles (e.g., metallic Fe, FeO<sub>x</sub>, Fe<sub>x</sub>C<sub>y</sub>, Fe<sub>x</sub>N<sub>y</sub>, etc.). The resulting pyrolyzed M-N-C solids feature mesoporous and/or microporous voids that regulate substrate access to active sites within them (Figure 2b). The structures of precursors and pyrolysis conditions, including the temperature, duration, spatial concentration gradients, and gas environment, influence the physicochemical properties of the catalyst, including the prevalence of N dopants and structural defects within the carbon, the speciation of metals as nanoparticles or single atoms, and the porosity of the material. Post-synthetic treatments are sometimes carried out with the intent to

modify these properties. For example, metal aggregates may be removed by acid washing. The widely used pyrolysis-based synthesis routes may be grouped into four categories, defined according to the choice of precursors and/or post-synthetic treatments (Figure 2a): (i) carbon-supported molecular complexes (e.g., Co(porphyrin)/C<sup>3</sup>, Fe(Phen)<sub>3</sub>/C<sup>32</sup>), (ii) polymeric precursors (e.g., polyacrylonitrile,<sup>33</sup> polyaniline<sup>34</sup>), (iii) unsupported organic mixtures (e.g., glucose, metal, and (NH<sub>3</sub>OH)Cl<sup>35</sup>), (iv) sacrificial supports<sup>36</sup> that template mesopores after they are leached from the M-N-C (e.g., Fe-poly(ethyleneimine)-SiO<sub>2</sub> followed by HF etch after pyrolysis<sup>37</sup>), and (v) decomposition of metal-organic frameworks (e.g., Fe(Phen)-impregnated ZIF-8<sup>38</sup>, Fe-doped ZIF-8<sup>39</sup>). The structural diversity of M-N-Cs that results from these synthetic routes requires further structural characterization to link the local structures of active centers to their kinetic function.

The thesis of this Focus Review is that methods and tools from the field of ORR electrocatalysis can transform the area of aerobic oxidation catalysis by M-N-Cs. The discussion begins by highlighting the mechanistic relationships between aerobic oxidation catalysis and ORR electrocatalysis in Section 2. Section 3 provides a comprehensive summary of the scope of aerobic oxidation reactions catalyzed by earth-abundant M-N-Cs. The intrinsic kinetic behavior, quantities of and structures of active centers responsible for aerobic oxidations are less well understood than they are in the ORR field. Therefore, Sections 4 and 5 discuss the approaches developed within the ORR field to assess reactivity and characterize the catalyst structures and the identity of M-N-C active sites. This content complements other reviews that focus on M-N-C electrocatalysts,<sup>6–13</sup> the synthesis and characterization of M-N-Cs,<sup>9,11,40</sup> and other applications of single-atom catalysts.<sup>1,41–43</sup> Development of earth-abundant M-N-C catalysts for transformations of organic molecules is poised to benefit from cross-disciplinary efforts that combine organic chemistry, materials science, electrochemistry, and traditional heterogeneous catalysis approaches.

## 2. BRIDGING CATALYTIC AEROBIC OXIDATION AND ELECTROCHEMICAL ORR

Electrocatalytic O<sub>2</sub> reduction and aerobic oxidation catalysis share several common features (Figure 3a). Both ORR and aerobic oxidations require O<sub>2</sub> binding and reduction at the catalyst surface using protons and electrons either from an electrode and membrane (electrochemical ORR) or from the bonds of an organic molecule (aerobic oxidation). Two mechanistic pathways could be involved in thermochemical aerobic oxidation of organic molecules: (i) direct inner-sphere reaction (ISR) between the organic molecule and O<sub>2</sub> (Figure 3b-i) or (ii) independent redox half-reactions (IHR) involving oxidation of the organic molecule and reduction of O<sub>2</sub> (Figure 3b-ii). ISR mechanisms involve co-location of O<sub>2</sub> and the substrate molecule, with direct transfer of protons and electrons (potentially via hydrogen-atom or hydride transfer) from the substrate to O<sub>2</sub>. ISR pathways of this type have precedent with molecular catalysts, including metal macrocycles that resemble the active sites of M-N-Cs.<sup>44–47</sup> In contrast, IHR pathways feature separate elementary steps for O<sub>2</sub> reduction and organic substrate oxidation. Thermochemical alcohol oxidations on noble metal catalysts have been proposed to feature independent half reactions involving surface

hydrogen atoms,<sup>22</sup> while more recent work has highlighted complementary electrochemical pathways involving independent transfer of electrons and protons in these steps.<sup>48–52</sup>

Two recent studies investigating aerobic oxidation of a hydroquinone (HQ) on Fe- and Co-N-C under aqueous acidic conditions have found that M-N-Cs could support either ISR or IHR mechanisms (Figure 4a).<sup>53,54</sup> One study measured reaction rates in semi-batch reactors containing M-N-C particles suspended as a slurry, whereas the second study measured reaction rates in a semi-batch reactor containing the M-N-C particles within a Nafion-bound film in contact with a glassy carbon electrode, with no applied potential (maintained at open circuit in order to measure the catalyst potential). Despite their similarities, the two systems exhibit different kinetic parameters, including rates, O<sub>2</sub> and HQ reaction orders, HQ adsorption equilibrium constants, and linear free energy relationships with respect to HQ redox potential (Figure 4b). These differences correlate with different reaction mechanisms: the slurry-phase M-N-C mediates an ISR pathway, while the Nafion-bound M-N-C mediates an IHR pathway. The data indicate that slurry-phase conditions result in a high surface coverage of HQ, which supports direct hydrogen-atom transfer from HQ to O<sub>2</sub> (i.e., ISR pathway, Figure 4c).<sup>53</sup> In contrast, the data obtained with the Nafion-bound M-N-C indicate that O<sub>2</sub> and HQ undergo electrochemical redox at distinct surface sites (i.e., IHR pathway, Figure 4d).<sup>54</sup> It is possible that these differences may be reconciled by the different surface microenvironments of the two systems. For example, the ionic microenvironment of Nafion could account for a lower HQ adsorption equilibrium constant that disfavors the ISR mechanism without interfering with the IHR pathway. The generality of these observations for different organic substrates, such as those outlined in Section 3, remains to be tested.

Aerobic oxidations that operate by IHR mechanisms leverage the conductive nature of the catalytic solid to couple redox half-reactions in a manner akin to electrocatalysis. In such cases, it is reasonable to expect that these catalysts could serve as electrocatalysts for each of the two independent half-reactions. The degree of electronic coupling of MN<sub>4</sub> active centers to the surrounding graphitic carbon structure has been proposed to play a key role in ORR electrocatalysis. For example, Mukerjee and coworkers<sup>55</sup> found that a suite of Fe-N-Cs synthesized with different underlying carbon support structures feature ORR rates (0.8 V<sub>RHE</sub>) that correlate with the conductivity of the carbon estimated by the full-width at half maximum of the C 1s peak in the X-ray photoelectron spectra. In a complementary study, Surendranath and coworkers demonstrated the influence of electronic coupling between a molecular CoN<sub>4</sub> catalyst and the support.<sup>56,57</sup> In principle, electrochemical elementary steps could be paired with inner-sphere steps, thus impacting ISR mechanisms. To our knowledge, such pathways have not been demonstrated.

Collectively, the data outlined in this section highlight the conceptual relationship between M-N-C catalyzed aerobic oxidation and electrochemical ORR. The following sections expand on this relationship, showing how structural and mechanistic approaches now common in the field of ORR could benefit the field of aerobic oxidation catalysis.

### 3. M-N-C CATALYZED AEROBIC OXIDATION REACTIONS

This section outlines the scope of aerobic oxidations catalyzed by M-N-C materials, starting with alcohol oxidation and oxidative coupling reactions and then addressing other oxidative dehydrogenation and coupling reactions. For each reaction class, we document the scope of reactivity, summarize any catalyst structural characterization provided, and, to the extent possible, assess relevant reactivity metrics and kinetic and mechanistic studies. Catalyst source materials and salient details of the synthetic methods will be presented in the text; however, catalysts will then be abbreviated with the notation “M-N-C(i/ii/iii/iv/v)” in figures, with the value i–v referring to the relevant synthetic approach noted in Figure 2a. References to catalyst loadings in the different reactions reflect common usage by organic chemists, where “mol%” refers of total metal loading with respect to limiting reagent or substrate. It is acknowledged that this value represents an upper bound, as the fraction of catalytically relevant active centers per total metal is almost always less than unity (see Section 4 and Table 1 in Section 4.2.2). More rigorous active site densities have not been quantified for most of the systems outlined in this section.

#### 3.1. Alcohol Oxidation to Aldehydes and Ketones

Oxidation of alcohols to aldehydes and ketones over M-N-C catalysts has been demonstrated for benzylic and simple aliphatic substrates. Li and coworkers<sup>58</sup> reported the oxidation of alcohols in 2016 over a Co-N-C(v) catalyst derived from the pyrolysis of a Co-carboxylate/triazine metal–organic framework. Substrates were oxidized under air in aqueous solvent without added base and required high catalyst loading (10 mol%), long reaction time (48 h), and high temperature (110 °C). Primary, secondary, and benzylic aldehydes and ketones were synthesized with GC-MS yields of 85–98%, whereas allylic and aliphatic ketones were afforded in 59–72% yields in 60 h reaction times (Figure 5a). A similar scope of reactivity has been achieved without added base using Co-N-C(i) catalysts synthesized with either 1-Butyl-3-methylimidazolium bromide<sup>59</sup> or 1-methyl-3-cyanomethyl-1H-imidazolium chloride<sup>60</sup> as additives. Xu and coworkers<sup>61</sup> used a benzimidazole-derived Co-N-C(v) to expand the scope to include methoxy-functionalized benzylic substrates but required exogenous base. The broadest scope of aldehyde and ketone synthesis using exogenous base was reported in 2022 by Beller and coworkers<sup>62</sup> over a Co-N-C(i) catalyst synthesized with piperazine and tartaric acid. This method required lower temperature (80 °C), catalyst loading (6.5 mol% Co), and reaction time (24 h) than base-free methodologies. Substituted aromatic and heterocyclic aldehydes and ketones containing a variety of pharmaceutically relevant functional groups could be synthesized with yields > 75% (Figure 5b). The same catalyst was competent for a variety of other aerobic oxidations that further functionalize the aldehyde (*vide infra*). Base-free methodologies for aldehyde and ketone synthesis have yet to reach comparable scope or reactivity compared with those that use exogenous base.

Some structural information has been provided for M-N-C alcohol oxidation catalysts. Li and coworkers<sup>58</sup> detected ~10 nm metallic Co nanoparticles using transmission electron microscopy (TEM) and X-ray diffraction (XRD), some of which were encapsulated within graphitic shells, whereas an N-free analog had particles ~100 nm in size and gave lower yields. Beller and coworkers<sup>62</sup> similarly identified metallic Co nanoparticles encapsulated by

N-doped graphitic shells and observed lower yields using a catalyst containing  $\text{CoO}_x$ . These data point toward the importance of N-doping and Co but were not able to discriminate whether  $\text{CoN}_x$  or Co aggregates were the active centers. The Co-N-C(v) catalyst studied by Li and coworkers<sup>58</sup> showed qualitatively more types of basic sites than the N-free analog, inferred by the presence of additional peaks in its  $\text{CO}_2$  temperature-programmed desorption (TPD) profile. The authors speculated that these basic sites facilitated reactivity without added base. Quantitative characterizations of the active sites were not attempted in these studies. Davis and coworkers<sup>63</sup> studied M-N-C(i) (M = Co, Cu) synthesized using 1,10-phenanthroline (Phen) as a nitrogen source that was proposed to have atomically dispersed  $\text{MN}_x$  species on the basis of X-ray absorption spectroscopy (XAS) and scanning transmission electron microscopy (STEM) data. The rate of aqueous benzyl alcohol oxidation to benzaldehyde (per g, 80 °C, 0.05 M alcohol, 10 bar  $\text{O}_2$ , 0.1 M NaOH) was unaffected by an acid washing treatment that removed nanoparticles from Co-N-C, suggesting that  $\text{CoN}_x$  are the active sites for alcohol oxidation.

The kinetics of alcohol oxidation have been studied more broadly than most aerobic oxidations catalyzed by M-N-Cs. Because active-site densities have not been directly quantified, rates are typically reported and/or available only as quantities normalized with respect to the total M content. These values represent a lower bound for the turnover frequency (TOF) because they assume that all metal atoms are catalytically active. Davis and coworkers<sup>64</sup> compared the rates of aqueous benzyl alcohol oxidation to benzaldehyde over M-N-C(iv) (M = Co, Cr, Fe, Ni, Cu). Normalized rate values (per total M, 80 °C, 0.05 M alcohol, 10 bar  $\text{O}_2$ ) were between  $0.08\text{--}3.4 \times 10^{-2} \text{ mol (mol M)}^{-1} \text{ s}^{-1}$  and were higher on Cu-N-C and Co-N-C than on the other catalysts by factors of 5 (Cu) and 2 (Co). Catalysts reused after 8 h of reaction had 40–90% lower rates, which could be recovered to different extents by treatment in flowing  $\text{H}_2$  at 300 °C for 3 h (Co = 74% recovery, Cu = 15% recovery, Fe ~ 100% recovery). The addition of 2,2,6,6-tetramethylpiperidine *N*-oxyl (TEMPO, 2 mol% relative to substrate) increased the rate over Fe-N-C by a factor of five. Zhang, Hu, and coworkers<sup>65</sup> measured a similar normalized rate ( $3.5 \times 10^{-2} \text{ mol (mol Co)}^{-1} \text{ s}^{-1}$ ) of benzyl alcohol oxidation to benzaldehyde under different conditions (90 °C, *n*-heptane solvent, 0.2 M alcohol, 1 bar  $\text{O}_2$ ) on a Co-N-C(iii) catalyst. Li et al.<sup>66</sup> measured the rate of oxidation of cinnamyl alcohol to cinnamaldehyde in *n*-octane solvent (100 °C, 1 atm of  $\text{O}_2$ ) using a Cu-N-C(v) catalyst synthesized from a Cu-doped ZIF-8 MOF (ZIF = zeolitic imidazolate framework; MOF = metal organic framework). This corresponded to a normalized rate of  $2 \text{ mol (mol Cu)}^{-1} \text{ s}^{-1}$ . The different reaction conditions used in each of these studies together with the lack of active site quantitation prevent direct comparison of catalyst performance.

Mechanistic hypotheses for alcohol oxidation have been developed using kinetic isotope effect and reaction order measurements. Davis and coworkers<sup>64</sup> reported H/D kinetic isotope effect values for aerobic oxidation of  $\text{C}_6\text{H}_5\text{CD}_2\text{OH}$  substrates (80 °C, 0.05 M alcohol, 10 bar  $\text{O}_2$ ) of 4.8 and 2.2 for Fe-N-C(iv) and Cu-N-C(iv), respectively, to conclude that  $\beta$ -H elimination is a kinetically relevant step. The rate was zero-order in alcohol (0.025–0.1 M) on both Cu-N-C(iv) and Fe-N-C(iv). The rate was zero-order in  $\text{O}_2$  on Fe-N-C(iv) (3–20 bar), whereas the order in  $\text{O}_2$  transitioned from ~0.7 (3–10 bar) to zero-order at higher  $\text{O}_2$  pressures (10–20 bar) on Cu-N-C(iv). The authors concluded that the catalyst surface was



saturated with both O<sub>2</sub> and alcohol but did not propose a sequence of elementary steps to describe the mechanism. Zhang, Hu, and coworkers<sup>65</sup> reported that the rate of aerobic benzyl alcohol oxidation (90 °C) on Co-N-C(iii) in heptane solvent was ~0.5-order in O<sub>2</sub> (1–12 bar) and zero-order at higher O<sub>2</sub> pressures (12–36 bar), consistent with the rate data of Davis and coworkers.<sup>64</sup> In contrast, benzyl alcohol inhibited reaction rates (–0.3 order, 0.05–0.75 M, 2 bar O<sub>2</sub>; –0.5 order, 0.2–3.9 M, 12 bar O<sub>2</sub>), as did benzaldehyde (–0.4 order, 0.05–0.4 M, 0.01 M alcohol, 2 bar O<sub>2</sub>) and H<sub>2</sub>O (–0.5 order, 0.02–0.06 M, 0.01 M alcohol, 2 bar O<sub>2</sub>).<sup>65</sup> The authors proposed a two-site mechanism, reflecting the ISR pathway in Figure 3b-i, wherein displacement of O<sub>2</sub> at the metal center by competitive adsorption of benzyl alcohol leads to the observed inhibition.

### 3.2. Alcohol Oxidation to Carboxylic Acids

Beller and coworkers<sup>62</sup> used H<sub>2</sub>O as a reactant and solvent to support oxidation of primary alcohols to the corresponding carboxylic acids using the same Co-N-C(i) catalyst noted above (cf. Figure 5b). More demanding reaction conditions were used, including higher temperature (110 °C), higher pressure (10 bar air), and 0.5 equivalents of KOH. A variety of substituted benzoic acids, including heterocycles, could be synthesized using this method (Figure 6). Rate data under well-defined conditions were not reported.

### 3.3. Alcohol Oxidation to Esters

Aldehydes generated by alcohol oxidation can be oxidatively coupled with alcohols to generate ester products. Beller and coworkers<sup>67</sup> reported alcohol esterification in 2013 using a Co-N-C(i) material synthesized using a carbon-supported Co(Phen)<sub>2</sub> precursor. Benzylic, heterobenzylic, and allylic alcohols were aerobically cross esterified with methanol, which also served as the solvent. Substrates included those with functional groups such as halides, alkyl groups, ethers, and thioethers, and afforded methyl esters in yields of 80%. Longer-chain primary alcohol partners (C<sub>2</sub>–C<sub>6</sub>) were cross coupled with benzylic alcohols and homocoupled in *n*-heptane. In 2017, Huang and coworkers<sup>60</sup> reported the methyl esterification of substituted benzyl alcohols using a Co-N-C(i) synthesized with a 1-methyl-3-cyanomethyl-1H-imidazolium chloride additive at lower temperatures (60 °C) but with a scope that lacked difficult substrates like S-containing molecules and coupling partners other than methanol. In 2022, Beller and coworkers<sup>62</sup> reported ester synthesis over a Co-N-C(i) catalyst synthesized with piperazine and tartaric acid ligands with a scope similar to their original report<sup>67</sup> but at lower temperature (55 °C), in air, and with lower base loading (0.1 eq., Figure 7). Other studies extended this method using similar M-N-C catalysts to synthesize lactones from diols<sup>68</sup> and to oxidize biomass-derived furfural and 5-hydroxymethylfurfural.<sup>69,70,71</sup> Reaction conditions were also developed to eliminate the use of exogenous base, albeit with more limited synthetic scope, by using catalysts such as Co-N-C(v) derived from the Co-imidazolate MOF ZIF-67<sup>68</sup> and Co-N-C(i) using graphitic carbon nitride (g-C<sub>3</sub>N<sub>4</sub>) instead of carbon as a support for Co coordinated with 1,4-benzenedicarboxylic acid and triethylenediamine.<sup>72</sup>

The structures and reactivity of M-N-C catalysts for esterification have been characterized to varying extents. In their initial report, Beller and coworkers<sup>67</sup> detected Co, CoO, and Co<sub>3</sub>O<sub>4</sub> phases by XRD, and concluded that the surfaces of the particles were enriched in Co<sub>3</sub>O<sub>4</sub>

by STEM and EDS analysis, whereas only metallic Co particles with N-doped graphitic shells were detected in their later report.<sup>62</sup> In these studies cases, atomically dispersed CoN<sub>x</sub> species were not considered as an alternative. Co appears to be privileged for this reactivity on the basis of screening studies, which evaluated benzyl alcohol methyl esterification over a range of M-N-C(iv) catalysts<sup>73</sup> (M = Co, Fe, Cu, V, Cr, Ni, Mn) and M-N-C(i) catalysts<sup>62</sup> (M = Fe, Mn, Co Cu). Co-N-C catalysts competent for esterification have been synthesized by a variety of methods noted in Figure 2a, including (i),<sup>60,62,67,69,72</sup> (ii),<sup>74</sup> (iii),<sup>75,76</sup> (iv),<sup>73</sup> and (v).<sup>68,71,77</sup> These studies have observed CoO<sub>x</sub> nanoparticles,<sup>60,67,69,74,77</sup> metallic Co nanoparticles<sup>60,74,77</sup> (often with N-C shells<sup>62,67,68,71,72,76</sup>), and an absence of large metal aggregates that suggests the presence of mononuclear CoN<sub>x</sub> species.<sup>73,75</sup> Quantitative relationships between active site structures and reactivity have not been established.

Normalized rates (per total Co, 60 °C, 1 bar O<sub>2</sub>) for the methyl esterification of benzyl alcohol are higher under conditions with added base. Among the three catalysts that have been tested in the presence and absence of base,<sup>67,72,73</sup> the Co-N-C(iv) of Gao and coworkers has the highest normalized rate with added base ( $9 \times 10^{-2}$  mol (mol Co)<sup>-1</sup> s<sup>-1</sup>),<sup>73,2</sup> whereas the Co-N-C(i) of Li et al. has the highest normalized rate in the absence of exogenous base ( $2 \times 10^{-3}$  mol (mol Co)<sup>-1</sup> s<sup>-1</sup>).<sup>72</sup> That the optimal catalyst is different in the presence and absence of base implicates mechanistic distinctions that have not yet been elucidated. Mechanistic pathways involving the oxidation of alcohol to aldehyde followed by hemiacetal formation and oxidation to the ester were proposed based on analogy with known homogeneous chemistry<sup>67</sup> and the observation of aldehydes as byproducts.<sup>68</sup> Some studies<sup>62,71,72,75</sup> have suggested that a surface-bound superoxide radical anion is involved based on lower yields in the presence of the free-radical scavenger butylated hydroxytoluene and EPR signals for 5,5-dimethyl-1-pyrroline *N*-oxide after radical capture; however, this evidence shows only the formation of radical species but not their abundance or catalytic relevance. The dearth of well-defined rate data and limited mechanistic understanding of the elementary steps involved in catalysis hamper the development of structure-reactivity relationships for esterification with M-N-C catalysts.

### 3.4. Alcohol Oxidation to Nitriles

Aldehydes generated by alcohol oxidation can be oxidatively coupled with ammonia to generate nitrile products. Beller and coworkers<sup>78</sup> reported nitrile synthesis using M-N-C(i) catalysts (M = Co, Fe) synthesized using Co(Phen)<sub>2</sub> and Fe(Phen)<sub>3</sub> precursors (Figure 8a). The two catalysts afforded similar yields for most nitriles, but Fe required a slightly higher catalyst loading (4.5 mol%) than Co (4 mol%). M-N-C(i) catalysts derived from other M(Phen)<sub>2</sub> complexes (M = Cu, Mn, Cr, V, Ni) gave lower yields in screening reactions with benzyl alcohol. Substituted and halogenated benzylic, heterocyclic, allylic, and aliphatic alcohols were oxidized to nitriles with yields > 60% under 5 bar O<sub>2</sub> in *t*-amyl alcohol solvent at 130 °C in 20–30 h. A similar scope can be accessed using a Co-N-C(iv)<sup>79</sup> synthesized with a 11,11'-Bis(dipyrido[3,2-a:2',3'-c]phenazine) polymer, a Co-N-C(i) synthesized with a 1-methyl-3-cyanomethyl-1H-imidazolium chloride additive,<sup>60</sup> a Cu-Fe-N-C(ii)<sup>80</sup> synthesized with carbon-supported polyvinylpyridine, or a Co-N-C(i)<sup>62</sup> synthesized with piperazine and tartaric acid ligands. Primary amine substrates can also be oxidized instead of alcohols to afford nitriles using Fe-N-C(i)<sup>81</sup> and Co-N-C(i)<sup>82</sup> catalysts.



Aqueous conditions<sup>83,84</sup> that avoid the use of organic solvents have also been reported using a Co-N-C(v)<sup>83</sup> derived from a Co-imidazolate MOF core with a Zn-imidazolate shell or an Fe-N-C(v)<sup>84</sup> derived from an Fe-doped Zn-imidazolate MOF impregnated with benzylamine. In an exemplary case<sup>84</sup> (Figure 8b), substituted and halogenated benzylic, heterocyclic, allylic, and aliphatic nitriles were synthesized in water at lower temperature (35 °C), although higher temperatures were required for less reactive substrates.

Different metal species have been characterized in catalysts used for nitrile synthesis. In their initial report,<sup>78</sup> Beller and coworkers attributed reactivity to CoO<sub>x</sub> and FeO<sub>x</sub> nanoparticles encapsulated within N-doped graphitic shells, while Huang and coworkers<sup>60</sup> observed metallic Co aggregates by XRD and ionic Co by XPS consistent with CoO<sub>x</sub> surfaces. In a more recent study, Beller and coworkers<sup>62</sup> attributed reactivity to metallic Co particles within N-doped graphitic shells. Cai, Lu, and coworkers<sup>83</sup> similarly observed metallic Co nanoparticles (6 nm average diameter) in TEM images. On the other hand, metal nanoparticles were not detected in a Co-N-C(iv)<sup>79</sup> by XRD or TEM, but XPS detected Co–N bonds, which is consistent with CoN<sub>x</sub> species. FeN<sub>x</sub> were also detected in STEM images of a Fe-N-C(v) catalyst<sup>84</sup> that lacked Fe nanoparticles in XRD patterns and STEM images. The bimetallic Cu-Fe-N-C(ii) studied by Kobayashi and coworkers<sup>80</sup> had both mono- and bimetallic nanoparticles according to XRD and STEM, and analysis after acid washing treatments suggested that active Fe species resisted acid washing whereas active Cu species were diminished. Metal-free reactivity for this transformation has also been claimed,<sup>85</sup> although it is difficult to exclude the role of adventitious metal species.<sup>86</sup> Overall, studies that have attributed reactivity to aggregates did not conclusively rule out the presence of MN<sub>x</sub> species, while studies detecting MN<sub>x</sub> species could not rigorously exclude the presence of small aggregates. A quantitative basis for the reactivity of either species is currently lacking.

The proposed reaction pathway<sup>78</sup> for nitrile synthesis involves alcohol oxidation to an aldehyde, which undergoes condensation with ammonia to generate an imine via a hemiaminal intermediate.<sup>79,84</sup> The imine can then undergo oxidation to the nitrile. Consistent with this proposal, time course studies<sup>79</sup> detected benzaldehyde as an intermediate that was later consumed, and quantified rates of benzaldehyde conversion to the nitrile revealed an order of magnitude higher rate than benzyl alcohol conversion. Other studies have independently reported nitrile syntheses from aldehydes over M-N-C catalysts.<sup>87–89</sup>

### 3.5. Alcohol Oxidation to Primary Amides

Beller and coworkers<sup>62</sup> showed that conditions for nitrile synthesis could be adapted to form amides by including H<sub>2</sub>O as a reactant (and solvent). These reactions used the previously noted Co-N-C(i) catalyst synthesized with piperazine and tartaric acid ligands. The reaction required high temperatures (120 °C) and high pressures (10 bar air). A variety of substituted benzoic amides including heterocycles could be synthesized using this method (Figure 9). Quantitative conversion of benzonitrile to benzamide was observed when benzonitrile was subjected to the reaction conditions. A similar scope of amides can also be synthesized

starting from aldehyde substrates using an Fe-N-C(i) catalyst and similar conditions (H<sub>2</sub>O solvent, 120 °C, 10 bar air, 24 h).<sup>87</sup> Well-defined rate data were not reported in these studies.

### 3.6. Oxidative Dehydrogenation of *N*-heterocycles

Iosub and Stahl<sup>90</sup> reported the dehydrogenation of partially saturated *N*-heterocycles using a Co-N-C(i) catalyst synthesized via the pyrolysis of carbon-supported Co(Phen)<sub>2</sub> complex (Figure 10a). A similar scope was reported concurrently by Beller and coworkers<sup>91</sup> using an Fe-N-C(i) catalyst synthesized with a carbon-supported Fe(Phen)<sub>3</sub> precursor (Figure 10b). Substituted tetrahydroquinolines and other *N*-heterocycles were dehydrogenated under different conditions in each report: the Fe-N-C(i) catalyst was used at lower loading than the Co-N-C(i) catalyst and without exogenous base, but with higher temperature, O<sub>2</sub> pressure, and duration (100 °C, 15 bar O<sub>2</sub>, 12 h). Metallic Co and Co<sub>3</sub>O<sub>4</sub> nanoparticles were detected in the Co-N-C(i) material by XRD, whereas the Fe-N-C(i) material did not have nanoparticles large enough to give XRD features, but FeO<sub>x</sub> nanoparticles encapsulated within graphitic sheets were observed in TEM images. Selectivity improved when some of the nanoparticles were leached in an acid treatment step. The identity of the active centers was not probed further in these reports, and MN<sub>x</sub> centers were not considered. Co-N-C(ii),<sup>92</sup> Co-N-C(v),<sup>93</sup> and Fe-N-C(iv)<sup>94</sup> catalysts with similar structural features have been reported to promote this reaction with similar scope. Zhang and coworkers<sup>95</sup> reported that an Fe-N-C(iii) catalyst synthesized with FeCl<sub>3</sub> and a 1-butyl-3-methylimidazolium bromide ionic liquid facilitated the oxidation of three biologically relevant *N*-heterocycles and 1,2-diphenylhydrazine in phosphate-buffered (pH = 7.4) aqueous solution under O<sub>2</sub> at ambient temperature. Including NaSCN in the reaction mixture eliminated reactivity, implicating FeN<sub>x</sub> sites as the active centers. In a complementary study, Li, Jaouen, and coworkers<sup>96</sup> showed that an Fe-N-C(v) catalyst derived from an Fe(Phen)-impregnated ZIF-8 MOF catalyzed the oxidative dehydrogenation of NADPH to NADP<sup>+</sup>. In 2022, Beller and coworkers<sup>97</sup> reported *N*-heterocycle dehydrogenation using a Zn-N-P-C(v) catalyst synthesized with a ZIF-8 MOF impregnated with triphenylphosphine as the precursor. Quinoline yields after 6 h (110 °C, 1 bar air, H<sub>2</sub>O solvent) were weakly affected by the inclusion of KSCN (2–5 eq. with respect to Zn), suggesting that the active sites were not metallic.

### 3.7. Other Relevant Aerobic Oxidations

Aerobic oxidations related to those described above have also been developed. Intramolecular oxidative cyclization of an *o*-aminophenol-derived imine was reported to form a benzoxazole ring, using a Co-N-C catalyst synthesized by the pyrolysis of SiO<sub>2</sub>-supported Co(Phen)<sub>2</sub> complex.<sup>98</sup> Xu et al.<sup>99</sup> reported benzylamine oxidation and subsequent reaction with primary amines to form imines over a V-N-C(v) catalyst synthesized by the pyrolysis of a V-containing MOF (NH<sub>2</sub>-MIL-101(V)). The scope of its oxidative homocoupling reactivity included heteroatom-containing benzylic amines and aliphatic amines, in addition to cross-coupling of benzylamine with aniline or aliphatic amines. The V-N-C(v) catalyst did not have nanoparticles detectible by XRD or TEM. The authors concluded that VN<sub>4</sub> sites catalyzed the reaction, but suitable controls were not included to rule out the potential contributions of small VO<sub>x</sub> moieties. The normalized rate (per total V) of the V-N-C(v) catalyst to form *N*-benzylidene benzylamine was 54 mol (mol V)<sup>-1</sup> s<sup>-1</sup>.

Gu and coworkers<sup>100</sup> reported the preparation of conjugated diynes by a Glaser-Hay type reaction catalyzed by a Cu-N-C(iv) catalyst synthesized with dopamine hydrochloride and Cu precursors. At 40 °C in ambient air, 1,3-diynes were synthesized in 85–97% yields by oxidative homocoupling of terminal aryl and alkyl alkynes. The same catalyst under the same conditions achieved selective heterocoupling of aryl and alkyl alkynes with yields of 65–82% by using a slight excess of one substrate (1.3:1). Trifluoromethyl, halogen, methoxy, and phenoxy substituents, and S- and N-containing heterocycles were tolerated. The active centers were hypothesized to be mononuclear CuN<sub>x</sub> sites based on a low Cu-Cu scattering intensity in the EXAFS and density functional theory (DFT) calculations showing a low energy barrier for coupling of aromatic and aliphatic alkynes on the CuN<sub>x</sub> sites. Rate data under well-defined conditions were not quantified.

Ethylbenzene oxidation<sup>101–105</sup> and other arylalkane oxidations<sup>106–108</sup> have been reported in the presence of M-N-C catalysts, but the role of the M-N-C is unclear, as many studies report non-zero yields in blank reactions and with metal-free solids, suggesting a potential role of radical-chain pathways that could be modulated by the M-N-C. Oxidation of internal diols or esterification of benzylic ketones, both requiring C–C oxidative cleavage, also could feature radical pathways.<sup>109,110</sup>

#### 4. METHODS FOR ASSESSING INTRINSIC KINETIC BEHAVIOR

Designing active site structures for an intended function requires quantitative and informative measurements of catalytic phenomena. The primary experimental observables of catalysis (substrate consumption, product formation, current) are only indirectly related to the active centers required for their appearance, but they can be interpreted as reaction rates that reflect the structures and numbers of active centers when they are measured under conditions wherein convoluting factors are properly controlled. A generalized rate expression that includes such factors can be written as follows (Figure 11a):

$$r = EF \times \sum_{\text{sites } i} TOF_i D_i N_i \quad (1)$$

where  $r$  is the rate of reaction per mass of catalyst ( $\text{mol g}^{-1} \text{s}^{-1}$ ),  $EF$  is the overall effectiveness factor<sup>111</sup> ( $EF = \text{measured rate} / \text{diffusion-free rate}$ ), and for each site type  $i$  in the bulk material,  $N_i$  is the bulk density of site  $i$  ( $\text{mol g}^{-1}$ ),  $D_i$  is the fraction of sites  $i$  accessible to substrate (i.e., the dispersion), and  $TOF_i$  is the turnover frequency at site  $i$  ( $\text{s}^{-1}$ ). In eq 1, the TOF (or turnover rate) is the quantity of interest for fundamental understanding of active centers because it enables the fair comparison of catalysts made by different synthetic approaches and in different laboratories, and provides a framework to evaluate whether higher mass-based rates are the result of higher site densities or higher intrinsic reactivity of different site types.<sup>112–114</sup> Attempts to assess the reactivity of M-N-Cs in aerobic oxidation at the level of TOFs are rare,<sup>63,64</sup> and we are not aware of studies that fully account for convoluting factors such as product inhibition, catalyst deactivation, transport, active-site density and accessibility, and active-site diversity. In general, TOF values are most reliable when obtained by using initial-rate methods. Previous reports of M-N-C catalyzed aerobic oxidation have typically prioritized reaction yields, limiting the ability to determine TOF values owing to the potential kinetic influence of changes in

concentrations and/or catalyst deactivation during the reaction. Kinetic characterization of M-N-C catalysts represents a significant opportunity for future development of the field of aerobic oxidations. In this section, methods and concepts relevant to the measurement of site-specific TOFs in M-N-Cs are summarized, largely drawing from studies of M-N-C ORR electrocatalysts.

#### 4.1. Assessing Transport Contributions

Prior to surface reaction, gas-phase substrates (e.g., O<sub>2</sub>) must diffuse across the gas-liquid interface to dissolve into the liquid phase (gas-liquid), and together with other liquid-phase substrates (e.g., organic molecules), they must diffuse from bulk fluid to the surface of catalyst particles (external), then diffuse within particles to active sites (internal). When these processes are kinetically relevant (Figure 11b), they give rise to spatial gradients in substrate concentration in the bulk fluid phase ([Sub]<sub>bulk</sub>), at the catalyst surface ([Sub]<sub>surf</sub>), and within the catalyst particle ([Sub]<sub>pore</sub>). A reaction rate measurement designed to illuminate surface-mediated elementary steps that depend on [Sub]<sub>pore</sub> must not be corrupted by kinetic contributions from these diffusion processes, such that the measured quantity of [Sub]<sub>bulk</sub> is equivalent to [Sub]<sub>pore</sub>.

In electrocatalytic ORR studies, the M-N-C catalysts are typically deposited onto an electrode surface within an ionomer film, and this feature both simplifies and complicates the analysis of transport. The studies often use a rotating disc electrode, which enables understanding the convective transport rate to the catalyst surface and the kinetic relevance of external diffusion limitations, as described by the Koutecký–Levich equation.<sup>115</sup> Nevertheless, internal diffusion within the catalyst film may limit rates at lower overpotentials before the onset of external diffusion limitations.<sup>116</sup> The kinetic relevance of internal diffusion limitations in heterogeneous catalysts is predicted by a dimensionless parameter known as the Thiele modulus ( $\phi_{Th}$ ;  $EF = \tanh(\phi_{Th})/\phi_{Th}$ ), which depends on the characteristic length of catalyst particles or the deposited catalyst layer, the diameter of pores, the concentration and diffusion coefficients of substrates, the reaction rate (per particle). In electrocatalytic systems, additional factors include the ohmic resistance and ionic conductivity of the catalyst layer.<sup>116,117</sup> The onset of internal diffusion limitations is reflected as an increase in the apparent Tafel slope at increased current densities and thinner catalyst films are less likely to suffer from internal transport limitations.<sup>116</sup> Internal diffusion resistances can also influence selectivity when product molecules are given time to react further as they exit the catalyst layer, as can arise in H<sub>2</sub>O<sub>2</sub> reduction to H<sub>2</sub>O in the so-called “2×2” ORR pathway.<sup>13,118,119</sup> Materials design can also be leveraged to facilitate transport within catalysts. For example, Müllen, Feng, and coworkers<sup>120</sup> used SiO<sub>2</sub> colloid templating to synthesize a polyaniline-derived Fe-N-C(iv) catalyst with ~14 nm mesopores. This catalyst showed an ORR polarization curve consistent with kinetically limited rates until the onset of external diffusion limitations, whereas its non-mesoporous analog showed ORR reactivity consistent with internal transport limitations.

Transport limitations are seldom considered in aerobic oxidation studies using M-N-C catalysts. O<sub>2</sub>-liquid transport rates were considered by Davis and coworkers<sup>63,64</sup> to select M-N-C loadings sufficiently low that this transport process was not limiting, but external

and internal transport were not discussed. External diffusion limitations can be probed by varying the solution agitation rate and aggregate size of the catalyst, both of which influence the width of stagnant boundary layers at the external surface of particles. In a kinetic study of aerobic hydroquinone oxidation using slurry-phase M-N-Cs, Stahl, Root and coworkers showed that suitable reactor agitation was required to achieve agitation-independent rates unaffected by external transport limitations.<sup>53</sup> The rate of internal diffusion was estimated and compared with measured reaction rates using the Mears criterion<sup>121</sup> to conclude that internal diffusion limitations were not corrupting measured rates. The Madon–Boudart criterion,<sup>122</sup> wherein TOF values do not vary with site density ( $N_p$ ), is particularly effective, but this strategy is inaccessible without accurate site quantification methodologies or synthetic strategies to generate a single type of site. The kinetic relevance of both O<sub>2</sub> and organic substrate diffusion should be considered on a case-by-case basis. Low O<sub>2</sub> solubility in aqueous solvent often leads to a lower overall mass transport coefficient for O<sub>2</sub> compared to higher-concentration organic substrates. Organic solvents have higher O<sub>2</sub> solubility, which can lead to mass transport limitations associated with large organic substrate molecules, which may have slower diffusion and/or be present in lower concentrations.

The discussion above shows that the consideration of mass transport, established within the field of heterogeneous catalysis and adopted in electrocatalytic studies of M-N-Cs, provides a framework for assessing the role of transport in kinetic studies of aerobic oxidations.

#### 4.2. Assessing Active Site Density

The remaining terms in eq 1 highlight the importance of assessing the diversity ( $\sum_{sites} i$ ), number ( $N_p$ ), and accessibility ( $D_i$ ) of active sites in M-N-C catalysts. Although direct methods to quantify accessible active sites are desirable, early studies can begin to assess the importance of these parameters through systematic variation of the bulk metal content. Jaouen and Dodelet<sup>123</sup> studied the ORR on a suite of Co- and Fe-N-C(i) catalysts with 0.005–2.7 wt% metal contents. The ORR kinetic currents measured on these catalysts (per g, ambient T, 1 bar O<sub>2</sub>, H<sub>2</sub>SO<sub>4</sub> pH 1, 0.5 V<sub>SCE</sub>) varied linearly with the metal loadings at the lowest values (Figure 11c) but reached a maximum at higher metal loadings (~0.1–1 wt%), and sharply decreased at the highest loadings (>1 wt%). The linear rate data measured on Fe-N-C at low Fe loadings were assumed to reflect catalysts that contained predominantly mononuclear FeN<sub>x</sub> species and used to estimate that the turnover rate for ORR at 0.5 V<sub>SHE</sub> is  $0.14 \pm 0.03 \text{ e}^- \text{ site}^{-1} \text{ s}^{-1}$ . This value represents a lower bound for the TOF, which would increase if this suite of Fe-N-C contained similar fractions of FeN<sub>x</sub> per total Fe less than unity. The ORR rate (per g catalyst) departed from the linear dependence at Fe loadings above ~0.2 wt% (gray bar, Figure 11c), indicating that additional Fe species different from FeN<sub>x</sub> with lower TOF were incorporated into the material, likely as agglomerates. Finally, a sharp decrease in the rate corresponded to a decrease in the material's micropore specific area, meaning that a greater fraction of sites was likely inaccessible. The general trends observed on Fe-N-C(i) hold for Co-N-C(i), but at low loadings the linear dependence is not as strong (Figure 11c), indicating that for the synthesis method used, Co is prone to forming multiple species even at low loadings. This study illustrates several key concepts: (i) M-N-C catalysts frequently contain multiple types of metal species with different intrinsic reactivity, (ii) higher metal loadings tend to lead to the formation of less active species, and (iii) the

synthesis of materials with widely varying metal loadings is an important approach to probe metal speciation. This approach has not yet been employed in studies of M-N-C catalyzed aerobic oxidation.

The systematic variation of site densities relies on approaching low enough quantities that speciation becomes similar; however, this approach is not suitable to understand the behavior of materials made by widely different synthetic routes or with intrinsically diverse site speciation. Instead, independent methods for the quantification of accessible active sites are needed. Quantitative knowledge of active site densities can further combine with spectroscopic knowledge of their structure to form a bridge between structure and function, in turn guiding the design of precise synthetic methods. Here, we summarize site quantification methods developed primarily in ORR studies that could be used to study aerobic oxidation catalysts. Techniques that appear to be limited to electrochemical ORR applications<sup>124</sup> are not reviewed. The site quantification techniques discussed below focus on  $MN_x$  centers because these are broadly accepted as highly active species for ORR but this discussion does not imply that  $MN_x$  should be assumed to be the active centers for aerobic oxidations. Instead, the techniques below establish rigorous protocols for testing the validity of this hypothesis.

#### 4.2.1. Site Counting Using Irreversible Poisons During Catalysis.—

Simultaneously measuring an active site quantity and a reaction rate directly links the number of sites to catalytic function and may be achieved by irreversible poisoning of active sites. In the ideal case, a titrant molecule is selectively and irreversibly adsorbed to the material at a putative active site structure. Then, the simultaneous quantification of the site abundance on the solid and the associated decrease in reaction rate can be expressed as a TOF that is necessarily ascribed to the poisoned sites. The challenge, in practice, is to achieve these ideal conditions by titrant selection for specific materials and reaction conditions. Lack of *selectivity* leads to underestimation of the TOF when titrants bind to inactive sites, and lack of *irreversibility* requires excess titrant to suppress rates as dictated by chemical equilibrium and can lead to underestimation of the TOF.

A variety of molecules reversibly inhibit the reactivity of M-N-C catalysts during ORR but do not poison them irreversibly. Although such reversibility precludes quantifying active sites, it has enabled their qualitative identification. Early studies demonstrated that CO binds to supported Fe(porphyrin)<sup>125</sup> complexes and inhibits ORR reversibly. This molecular reactivity motivated studies of CO poisoning of Fe-N-C. Dodelet and coworkers<sup>126</sup> observed a lack of irreversible poisoning of Fe-N-C(i) by CO at 0.1  $V_{SCE}$  and pH 1 in constant potential polarization curves. Separately, Ozkan and coworkers<sup>127</sup> observed partially reversible inhibition by CO on Fe-N-C(i) in 0.5 M  $H_2SO_4$  and  $\nu(C\equiv O)$  peaks redshifted from gas-phase CO by  $130\text{ cm}^{-1}$  in DRIFTS that reflect Fe-bound CO. No CO inhibition is observed with N-C ORR catalysts that lack metal centers.<sup>128,129</sup> Anions expected to coordinate axially to  $MN_x$  centers (e.g.,  $SCN^-$ ,<sup>130</sup> halides,<sup>131</sup>) inhibit reactivity but their binding irreversibility has not been demonstrated, and they are known to inhibit catalysis partially or weakly under certain conditions.<sup>63</sup> For instance,  $SCN^-$  may be washed away by  $H_2O$ ,<sup>130</sup> so most studies demonstrate only reversible inhibition of  $MN_x$  sites by equilibrating the surface with  $SCN^-$  in bulk aqueous solution.<sup>104,132,133</sup> In other cases,



poisons are unselective and cause restructuring of the active sites ( $\text{H}_2\text{S}$ )<sup>134</sup> or are unable to access active sites sequestered within pores ( $\text{H}_3\text{PO}_4$ ).<sup>135</sup> Collectively, these observations implicate some form of M as the active site for ORR and highlight the challenge of identifying irreversible poisons.

Poisoning studies of M-N-C catalysts have received only limited consideration in aerobic oxidation catalysis. Davis and coworkers<sup>63</sup> reported poisoning of Co-N-C(i) and Cu-N-C(i) catalysts by benzoic acid during benzyl alcohol oxidation (80 °C, 10 bar  $\text{O}_2$ , 0.1 M benzyl alcohol in  $\text{H}_2\text{O}$ ). M-N-Cs were exposed to benzoic acid prior to reaction and separated from the solution, and the filtrate was analyzed to quantify the amount of adsorbed benzoic acid. The decrease in rate did not depend linearly on benzoic acid uptake, and the authors proposed the existence of distinct sites, some resistant to poisoning by benzoic acid. Irreversible selective titration of  $\text{MN}_x$  sites was not verified, however, suggesting that reversible inhibition by benzoic acid under reaction conditions could also rationalize the observations.

Irreversible poisoning studies have been applied more broadly in ORR studies. Kucernak and coworkers<sup>136,137</sup> studied the effects of nitrite exposure on Fe-N-C(ii) and discovered that certain pretreatments could lead to poisoning of ORR reactivity. Systematic variations in pH and potential demonstrated that nitrite adsorbed at Fe centers could be reduced to nitrosyl in acid medium; Fe-NO could also be formed by disproportionation of  $\text{NH}_2\text{OH}$ .<sup>137</sup> Fe-NO species are stable under ORR conditions (Figure 12a), supporting irreversible titration. Quantifying the charge passed during a reductive stripping of the Fe-NO species ( $Q_{\text{strip}}$ , Figure 12b), and assuming the product of the reduction ( $\text{NH}_4^+ = 5 e^-$  per Fe-NO, according to Kucernak and coworkers), enables quantification of active site density.<sup>136</sup> The  $\text{NO}_2^-$  stripping technique has been adopted more broadly in studies of ORR on Fe-N-C.<sup>138,139</sup> Yet, Maillard, Jaouen, and coworkers<sup>140</sup> reported that the  $\text{NO}_2^-$  stripping method quantifies the exposed surface of  $\text{Fe}_2\text{O}_3$  nanoparticles supported on N-doped carbon. Moreover, Choi and coworkers<sup>141</sup> provided evidence that NO reduction generates  $\text{NH}_2\text{OH}$  ( $3 e^-$  per Fe-NO), not  $\text{NH}_4^+$ , indicating that all values based on  $\text{NH}_4^+$  underestimate the FeN density by a factor of 3/5. Modifications to the method of NO adsorption should also be considered carefully: Kucernak and coworkers<sup>142</sup> have reported that gas-phase NO adsorbs on the carbon support<sup>143</sup> at locations unassociated with  $\text{FeN}_x$  sites, leading to overestimates of active site densities. Given these uncertainties, applying this technique in future studies requires careful comparison with control samples and complementary site quantification techniques.<sup>138</sup>

In 2021, Choi, Jaouen, and coworkers<sup>144</sup> reported a complementary site quantification method based on poisoning by cyanide. The key considerations that enabled this technique to succeed were the exclusion of  $\text{O}_2$ , oxidation of the catalyst at an electrode prior to the adsorption of cyanide, and careful quantification of cyanide uptake by its disappearance from solution rather than assuming complete uptake. Subsequent ORR polarization curve measurements within a narrow potential range (0.70–1.05  $\text{V}_{\text{RHE}}$ ) and at a slow scan rate ( $1 \text{ mV s}^{-1}$ ) quantified the decrease in rate, which correlated linearly with a range of cyanide uptakes and quantified the total number of active sites upon extrapolation to zero rate. For the Fe-N-C(v) investigated,  $\text{CN}^-$ ,  $\text{NO}_2^-$  (assuming  $3 e^-$  reduction to  $\text{NH}_2\text{OH}$ ), and

CO chemisorption (*vide infra*) gave approximately the same site density value (Table 1, Fe0.5NC). The CN<sup>-</sup> poisoning method could also be extended to Co, Mn, and Ni-containing catalysts, suggesting generality, and quantifying a ranking of intrinsic ORR TOFs (Fe > Co > Mn ~ Ni). Although safety considerations and sensitivity to O<sub>2</sub> limit the ease of adopting this method, it involves fewer assumptions, such as the product formed by NO<sub>2</sub><sup>-</sup> stripping. Validations among a range of more diverse M-N-C catalysts and by different research groups would further establish the importance of this technique. In summary, both CN<sup>-</sup> and NO<sub>2</sub><sup>-</sup> poisoning quantify MN<sub>x</sub> sites *in situ* under aqueous conditions. It is untested whether these methodologies can be extended from ORR in aqueous media to aerobic oxidation catalysis in organic solvents.

**4.2.2 Site Counting Using *Ex Situ* Chemical Titration Methods.**—Correlative relationships between measured rates and independently measured site quantities can provide alternative evidence for the identity of active sites when they span a range of catalyst materials with different properties. These relationships are well established for nanoparticle-based precious metal catalysts, where either gas-phase chemisorption of a probe molecule (e.g., H<sub>2</sub>, CO)<sup>146,147</sup> or electrochemical underpotential deposition (of hydrogen) or stripping (of CO)<sup>116</sup> enable quantifying the number of surface metal sites and calculation of turnover rates. Such relationships and titration methods have recently been established for M-N-Cs in ORR.

Strasser and coworkers<sup>148</sup> applied the technique of CO pulse chemisorption at cryogenic temperature (193 K) to quantify the active sites of Fe-N-C(ii) and Mn-N-C(ii) ORR catalysts. Low temperature (193 K) was required to ensure saturation of MN<sub>x</sub> sites that bind CO more weakly than Pt or other noble metals. The method quantifies the disappearance of CO from calibrated pulses in a stream of flowing inert gas until saturation of the surface can be verified by identical pulse areas (Figure 12c). CO was hypothesized to selectively adsorb at accessible MN<sub>x</sub> sites in these materials. A later report by Strasser and coworkers<sup>149</sup> further highlighted that thermal pretreatments in flowing inert gas to 600 °C are required to ensure accuracy and reproducibility of the method because they desorb O<sub>2</sub> or other adventitious adsorbates from FeN<sub>x</sub>.

The utility of the CO pulse chemisorption technique was demonstrated using a series of Fe-N-C(ii) materials prepared using different additives with a polyaniline N source (cyanamide, melamine, urea, nicarbazin).<sup>150</sup> CO-quantified FeN<sub>x</sub> site densities accounted for ORR kinetic current densities (per mg, 0.8 V<sub>RHE</sub>) that varied by a factor of ~4 (with one outlier, Figure 12d). In a later study,<sup>151</sup> Strasser and coworkers concluded that CO does not bind to NiN<sub>4</sub> sites, suggesting that the utility of CO may not be general for any given M-N-C. To date, CO pulse chemisorption data have been reported for Fe, Co, and Sn moieties.<sup>152</sup> Despite its potential limitations, adoption of the CO pulse chemisorption technique has provided methods to benchmark catalysts prepared by diverse methods among different research groups and to compare their turnover frequencies (per CO-quantified FeN<sub>x</sub>) and site densities.<sup>153</sup> Such comparisons highlight opportunities to improve catalyst rates (per mass) by either increasing their site densities or intrinsic turnover frequencies.

The *in situ*  $\text{NO}_2^-$  stripping and *ex situ* CO titration approaches have been compared in a cross-laboratory study<sup>138</sup> that features four Fe-N-C(ii/iv/v) catalysts with ORR rates (per g,  $0.8 \text{ V}_{\text{RHE}}$ ,  $0.8 \text{ mg cm}^{-2}$  loading) that varied by a factor of  $\sim 4$ .  $\text{NO}_2^-$  quantified 12–39% of the number of sites that CO quantified. This discrepancy was ascribed to the inability of  $\text{NO}_2^-$  to access surface sites that are not exposed to electrolyte, whereas CO can access all surface sites in the gas phase. The  $\text{NO}_2^-$  stripping value was proposed to reflect a lower bound for the active site density, while CO titration reflects an upper bound. TOFs calculated using these values varied by up to a factor of 11 ( $\text{NO}_2^-$ , a factor of 3.6 in the case of CO). The differences could result either from titration of less-reactive sites included in rate normalizations, the influence of transport limitations, or differences in the intrinsic reactivity of sites. CO and NO titrants have begun to be applied more broadly in the ORR literature (Table 1) but have yet to be reported in any aerobic oxidation catalysis study. To our knowledge, the highest reported fraction of  $\text{FeN}_x$  per total Fe quantified by a widely accepted chemical titration is 46%<sup>154</sup> (Table 1), which corresponds to  $\sim 1$  wt%  $\text{FeN}_x$ , highlighting that substantial improvements in reactivity could be realized if samples were synthesized to contain greater fractions of accessible atomically dispersed  $\text{FeN}_x$ . The application of these site titration methodologies in aerobic oxidation catalysis studies could facilitate benchmarking of turnover rates between samples prepared by different methodologies and by different research groups.

## 5. METHODS FOR STRUCTURAL CHARACTERIZATION OF CATALYTICALLY RELEVANT ACTIVE SITES

A wide array of characterization techniques is available to probe the bulk structural features of carbonaceous materials and detailed monographs<sup>155,156</sup> exist on this topic. Material properties such as pore geometry<sup>157–159</sup> and hydrophobicity<sup>160–163</sup> define the solvating environments of active centers but their effects cannot be quantitatively probed until the types and numbers of active centers that reside within them are known. Thus, this section surveys the techniques used to characterize the metal species of M-N-C catalysts that are well-established in the ORR field and have relevance for aerobic oxidation catalysis. Techniques are emphasized that enable discriminating active centers from spectator species within a pool of different site configurations that are commonly encountered in M-N-Cs. Nascent or infrequently used techniques in ORR studies (e.g., ssNMR,<sup>164</sup> NRVS,<sup>165,166</sup> EPR,<sup>166,167</sup> WAXS,<sup>168</sup> XES<sup>167</sup>) are not reviewed in detail as their relevance to aerobic oxidation catalysis is not yet clear.

### 5.1. X-Ray Absorption Spectroscopy

X-ray absorption spectroscopy (XAS) consists of the excitation of core-level electrons that results in the emission of photoelectrons by the photoelectric effect. The different absorption edges associated with this process (e.g., K-edge = 1s, L-edge = 2p) are well-separated for most elements, which makes XAS an element-specific technique. The transmission configuration commonly employed using synchrotron-generated X-ray radiation further makes XAS a bulk technique that probes all atoms in a material at the chosen absorption edge. The spectrum in the vicinity of the absorption edge is referred to as the X-ray absorption near edge structure (XANES). XANES is sensitive to the oxidation state of

the metal atoms being probed and can also show features characteristic of their local environment. The scattering of emitted photoelectrons caused by the atoms surrounding the excited atom leads to oscillations in the absorption coefficient as the incident energy increases beyond the absorption edge, which is a phenomenon known as the extended X-ray absorption fine structure (EXAFS). Careful analysis of the EXAFS can give useful information about the local environment of the absorbing atom. The use of these techniques to characterize ORR-relevant active sites in M-N-Cs is discussed below.

**5.1.1. XANES.**—The position of the main absorption edge of the XANES is sensitive to the oxidation state of the metal being probed. Comparison with well-defined molecular references typically suggests that Fe-N-C materials include a mixture of Fe<sup>II</sup> and Fe<sup>III</sup> species under ambient conditions. The XANES includes two general features in addition to the main absorption edge: the pre-edge, which appears at energies below the main absorption edge, and the white line intensity, which is the absorption maximum after the absorption edge. Pre-edge features reflect transitions to unoccupied valence orbitals (e.g., at the K-edge,  $1s \rightarrow 3d$ ), and these features can be rigorously interpreted within the framework of ligand field theory in the case of molecular complexes,<sup>169</sup> which demonstrate that pre-edge peak positions and intensities are sensitive to a variety of factors including spin state, oxidation state, geometry, and ligation environment. In the case of M-N-Cs, pre-edge features tend to be less intense compared with molecular complexes like porphyrins and phthalocyanines,<sup>170</sup> likely reflecting the heterogeneity of ligating environments in M-N-Cs that broaden pre-edge features and preclude their interpretation at the same level of detail as molecular complexes. The intensity of the white line can be used to diagnose the presence of MO<sub>x</sub> clusters, which show a more intense white line feature than MN<sub>x</sub> centers.<sup>171</sup> Because the interpretation of the XANES is complicated by the potential heterogeneity of M-N-C materials, *in situ* methods have been developed to selectively probe sites that change during catalysis.<sup>172</sup>

Mukerjee and coworkers<sup>55</sup> applied a differential XANES method, referred to as “ $\mu$ ”, to analyze the difference between XANES spectra of an Fe-N-C(i) catalyst measured *in situ* at 0.9 V<sub>RHE</sub> and 0.1 V<sub>RHE</sub> (Ar-saturated 0.1 M NaOH, Figure 13a,b). The difference spectrum reflects only the surface FeN<sub>x</sub> moieties that undergo a redox transformation in response to the change in potential from Fe<sup>II</sup>N<sub>x</sub> (0.1 V) to (H)O-Fe<sup>III</sup>N<sub>x</sub> (0.9 V), while any bulk signals for catalytically irrelevant sites are eliminated by subtraction. Two samples were compared: Fe(TPP)Cl/C pyrolyzed at either 300 °C (Figure 13a) or 800 °C (Figure 13b). Pyrolysis at 300 °C leaves the porphyrin moiety intact and the  $\mu$  signal was accurately modeled<sup>173</sup> using the local structure of Fe(TPP) with a bound O atom (O-FeN<sub>4</sub>C<sub>12</sub>, Figure 13a). The  $\mu$  signal (Figure 13b) for the Fe-N-C pyrolyzed at 800 °C differed from the Fe(TPP) precursor because it decomposed to form the Fe-N-C structure. The structures that fit this  $\mu$  signal best corresponded to either O-FeN<sub>4</sub>C<sub>10</sub> or O-FeN<sub>4</sub>C<sub>8</sub>, which correspond to pyridinic N<sub>4</sub> ligation environments with or without missing C atoms (such as at an edge plane), respectively.

Mukerjee and coworkers<sup>174</sup> extended the applications of the *in situ*  $\mu$  technique to these Fe-N-C(i) samples and a benchmark polyaniline-derived Fe-N-C(ii) catalyst<sup>34</sup> as a function of potential in acid electrolyte. The signal was interpreted to reflect the reaction:

$N_x\text{-Fe}^{\text{II}}+\text{H}_2\text{O} \rightleftharpoons N_x\text{-Fe}^{\text{III}}\text{-OH}_{\text{ads}} + \text{H}^+ + \text{e}^-$ . Fitting was therefore used to quantify the fractional coverage of active sites by hydroxide ( $\Theta_{\text{OH}}$ ) as a function of potential (solid lines, Figure 13c). This measured dependence was reasonably modeled as a surface-mediated electrocatalytic redox process (dashed lines, Figure 13c) and correlated with the onset of ORR catalysis measured in separate rotating disc electrode experiments (Figure 13d). This technique was also successfully applied later<sup>175</sup> to demonstrate that  $\text{CoN}_4$  sites do not undergo this distinct redox transformation as a function of potential that  $\text{FeN}_4$  sites experience. Recently, advances in the time resolution of XAS have enabled the use of transient XAS measurements in combination with modulation excitation spectroscopy<sup>176</sup> to improve the resolution of  $\mu$  spectra and to probe the kinetics of  $\text{Fe}^{\text{II/III}}$  redox processes.<sup>177</sup> In summary, *in situ* XANES studies provide active-site-specific structural information in cases where conditions can be designed to effect a selective change in their structure or adsorbate binding. These methods have not yet been applied to M-N-C aerobic oxidation catalysts, but they have the potential to provide valuable insights into the active centers.

**5.1.2. EXAFS.**—The EXAFS of atomically dispersed M-N-C catalysts is typified by a strong first-shell scattering from nearest-neighbor atoms and weaker second-shell scattering from the surrounding carbon support. These features can be seen in the radial-space EXAFS representation obtained by Fourier transform analysis according to the EXAFS equation (Figure 13e,f). Fitting of the EXAFS is an art that requires judicious control of the many fitting parameters involved and consideration of a variety of candidate structures. Often, many potential structures will fit the same EXAFS signal and complementary characterization is necessary to distinguish among them. The EXAFS signal of Fe-N-C(v) ORR catalysts<sup>15,178</sup> (black trace, Figure 13e,f) assigned to the canonical  $\text{FeN}_4$  structure is difficult to distinguish from the EXAFS signals of Fe-N-C materials assigned to a variety of other structures.<sup>179–183</sup> The challenge in fitting the EXAFS is that it averages contributions from all structures in the sample, but frequently the EXAFS is fit to a single structure without quantitative corroborating evidence that other species do not coexist with a more reasonable species, such as  $\text{MN}_4$ . Chief among such convoluting species are metal aggregates that frequently coexist with atomically dispersed moieties. Feng et al.<sup>184</sup> showed that EXAFS may not detect nanoparticles when they are present as a mixture with single-atom sites. As a result, caution should be taken in the interpretation of EXAFS without additional evidence for a lack of nanoparticle species.<sup>185</sup> In general, the second shell scattering of the EXAFS is difficult to interpret and could result from a variety of factors, including different carbon support environments; nanoparticles of different size, abundance, and chemical composition (oxide, nitride, carbide, etc); and/or binuclear site configurations. The interpretation of the first-shell ligands of metals in M-N-Cs by EXAFS is also prone to pitfalls<sup>186</sup> because light elements tend to scatter similarly, making it difficult to differentiate neighbors on the periodic table from one another (e.g., C vs. N vs. O). The coordination number is also subject to error<sup>186</sup> when mononuclear species coexist with others that aren't included in the fit. Nevertheless, EXAFS provides valuable structural information when samples are well controlled<sup>154,178</sup> or when it is used *in situ* to track major structural changes across a range of conditions.<sup>31,176,187</sup> The EXAFS of M-N-Cs have often been interpreted in aerobic oxidation studies without consideration of the aforementioned pitfalls. *In situ*

XAS has not been used to follow the evolution of  $MN_x$  structures during aerobic oxidation catalysis.

## 5.2. Mössbauer Spectroscopy

Mössbauer spectroscopy is especially useful for the characterization of Fe-N-C catalysts. The content in this section complements other reports that survey the broader application of this technique in heterogeneous catalysis,<sup>188,189</sup> fundamental principles of Mössbauer spectroscopy,<sup>190</sup> and practical recommendations.<sup>191</sup> Briefly, the Mössbauer effect describes the recoilless emission of  $\gamma$ -rays and their resonant absorption by a nucleus of the same element in the sample. This absorption occurs with recoil, as described by momentum conservation, meaning that variations in the relative doppler velocity of the emitter and absorber tunes the energy of absorption, which is further influenced by nuclear hyperfine interactions specific to the sample. Due to these features, Mössbauer spectroscopy is element-specific and highly sensitive to metal oxidation state and its ligand field; however, it is limited to only a few Mössbauer-active elements. Most Mössbauer spectra in ORR studies are reported on Fe-N-C materials, although it has also been used to characterize Sn-N-C.<sup>152</sup> Emission Mössbauer is also possible with  $^{57}\text{Co}$ -enriched Co-N-C.<sup>5</sup>

Early  $^{57}\text{Fe}$  Mössbauer studies<sup>4,192</sup> of Fe-N-C detected a variety of Fe species, including  $\text{Fe}^{\text{II}}$ ,  $\text{Fe}^{\text{III}}$ ,  $\text{Fe}^0$ , and carbide- and oxide-based Fe aggregates. Structures of  $\text{FeN}_x$  doublet species were proposed by comparison with macrocyclic complexes.<sup>193–195</sup> Dodelet and coworkers<sup>196</sup> studied five Fe-N-C(i,ii) catalysts, which featured many different  $^{57}\text{Fe}$  species present in the Mössbauer spectrum. The peak area of a doublet termed “D1” (ambient T,  $\delta = 0.3 \text{ mm s}^{-1}$ ,  $E_Q = 0.86 \text{ mm s}^{-1}$ ) in these materials correlated with the ORR fuel cell current ( $0.8 V_{\text{RHE}}$ ). The advent of MOF-precursor synthesis methods has enabled the characterization of Fe-N-C(v) materials with less heterogeneity when Fe loadings are kept relatively low. Even with these samples, however, a mixture of two species is typically observed in the  $^{57}\text{Fe}$  Mössbauer spectra<sup>178</sup> (Figure 13g). Jaouen and coworkers<sup>197</sup> studied an Fe-N-C(v) with only “D1” and “D2” doublet Fe species at low temperature (4.5 K, 80 K) under an external magnetic field and compared the spectra with those calculated for a variety of periodic and cluster-based  $\text{FeN}_4\text{C}_{10-12}$  models. DFT calculations indicated that the D1 doublet (80 K,  $\delta = 0.46 \text{ mm s}^{-1}$ ,  $E_Q = 0.94 \text{ mm s}^{-1}$ ) is consistent with a porphyrinic high-spin  $\text{Fe}^{\text{III}}\text{N}_4\text{C}_{12}$  moiety and the D2 doublet (80 K,  $\delta = 0.49 \text{ mm s}^{-1}$ ,  $E_Q = 2.25 \text{ mm s}^{-1}$ ) is consistent with a pyridinic low- or intermediate-spin  $\text{Fe}^{\text{II}}\text{N}_4\text{C}_{10}$ . The D1 site is proposed to be accessible to  $\text{O}_2$  and remains oxidized under the conditions of the measurement, whereas D2 is either occluded within inaccessible regions or binds  $\text{O}_2$  weakly such that it is reduced to  $\text{Fe}^{\text{II}}$  during the measurement. Jaouen and coworkers<sup>198</sup> measured the temperature dependence of the Lamb-Mössbauer factors ( $f_{\text{LM}}$ , Figure 13h) of the D1 and D2 species, enabling their accurate quantification. The value of  $f_{\text{LM}}$  becomes similar for all species at low temperatures, indicating that where possible, spectra should be collected at  $<10 \text{ K}$  for the measurement to be rigorously quantitative. In addition, Kramm and coworkers<sup>166</sup> showed that nanoparticulate  $\text{FeO}_x$  species are frequently present in Fe-N-C materials and can be deconvoluted as a magnetic sextet in low-temperature  $^{57}\text{Fe}$  Mössbauer spectra (4.2 K). Such  $\text{FeO}_x$  moieties lose their magnetic ordering at higher temperatures and appear as a doublet similar to D1. Thus, low-temperature  $^{57}\text{Fe}$  Mössbauer measurements are crucial for



accurate assignment and quantification of FeN<sub>4</sub> species. Yet, very few examples have been published of Fe-N-C with low-temperature <sup>57</sup>Fe Mössbauer data that unambiguously show a lack of FeO<sub>x</sub> aggregates.<sup>154</sup> This type of evidence is essential to justify claims that Fe species exist solely as mononuclear sites.

*In situ* <sup>57</sup>Fe Mössbauer spectroscopy has also contributed to understanding which FeN<sub>x</sub> sites are catalytically relevant. In an early study, van der Kraan and coworkers<sup>199</sup> observed by *in situ* <sup>57</sup>Fe Mössbauer spectroscopy that a fraction of Fe sites are reduced when an Fe(porphyrin)-derived Fe-N-C(i) is polarized at  $-0.05 V_{RHE}$ . Neidig, Holby, Zelenay, and coworkers<sup>165</sup> compared the <sup>57</sup>Fe Mössbauer spectra (80 K) of a polyaniline-derived Fe-N-C(ii) catalyst exposed to ambient conditions, after reduction at  $-0.1 V_{RHE}$ , and after the reduced catalyst was exposed to 1 atm NO(g). A doublet with similar characteristics ( $\delta = 0.53 \text{ mm s}^{-1}$ ,  $E_Q = 0.83 \text{ mm s}^{-1}$ ) to the Fe<sup>III</sup>N<sub>4</sub> D1 species identified by others (referred to as Doublet 4 in this study) was reduced to a new species ( $\delta = 1.54 \text{ mm s}^{-1}$ ,  $E_Q = 2.72 \text{ mm s}^{-1}$ ), and then shifted back after exposure to NO(g) ( $\delta = 0.60 \text{ mm s}^{-1}$ ,  $E_Q = 0.56 \text{ mm s}^{-1}$ ). The authors concluded that the shift after NO exposure indicated that the Fe<sup>II</sup>N<sub>x</sub> site was reoxidized to Fe<sup>III</sup>N<sub>x</sub>. Although all the doublets that the authors deconvoluted were reduced electrochemically, only this D1 doublet shifted after NO exposure, indicating that this is likely the only gas-accessible and catalytically relevant Fe site, consistent with later assignments<sup>197</sup> made based on *ex situ* spectra and DFT calculations described above. Jaouen and coworkers<sup>200</sup> studied the *in situ* <sup>57</sup>Fe Mössbauer spectra of an Fe-N-C(v) during fuel cell operation and found that this D1 FeN<sub>x</sub> center catalyzed ORR with the highest TOF, but that it was less stable than the D2 site. The authors speculated that either a more graphitic local structure changes the Fe<sup>II/III</sup> redox potential of the D2 site which makes it more difficult to demetalate, or that they may be protected beneath a layer of N-doped carbon that protects them from demetallation but enable ORR catalysis at N-based active sites in that layer. The importance of either type of FeN<sub>x</sub> site for aerobic oxidation catalysis is unknown because *in situ* <sup>57</sup>Fe Mössbauer spectroscopy has not yet been used in these studies.

### 5.3. X-Ray Photoelectron Spectroscopy

XPS is a surface-sensitive technique conducted under high-vacuum conditions (with the exception of specially designed equipment for “near-ambient pressure” XPS). The XPS spectra of the metal species incorporated in M-N-C materials are uninformative, beyond providing a verification that M<sup>II</sup> and possibly M<sup>III</sup> species exist in the material.<sup>201</sup> The N 1s binding energy is characteristic of different surface functional groups in carbon, including: oxygenated N (e.g.,  $-\text{NO}_2$ ), graphitic N, N<sup>+</sup> (e.g., in pyridine-N-oxide, N<sup>+</sup>-O<sup>-</sup>), pyrrolic N, pyridinic N, and imine N (Figure 13i). Early assignments for these N environments<sup>202</sup> have been refined using more accurate references. Specifically, the N 1s spectra of reference materials such as polypyrrole and polypyridine have demonstrated that hydrogenated pyridinic species appear in the same region assigned to pyrrolic species, which are sometimes collectively referred to as “hydrogenated species.”<sup>203</sup> XPS practitioners have warned that care should be taken with binding energy referencing, especially when the C 1s peak is used.<sup>204,205</sup>

A feature assigned to N bound to M (399.5 eV for  $N_x$ -Fe in Figure 13i) is relevant to the characterization of active sites in M-N-C materials. This feature is referred to as  $FeN_x$  because the spectra do not have sufficient resolution to differentiate between  $FeN_4$  and other defective species such as  $FeN_3$  or  $FeN_3C$ , which have similar binding energies according to DFT calculations.<sup>206,207</sup> The DFT-calculated spectra of these different pyridinic  $FeN_xC_y$  site configurations and the XPS spectrum of a model pyridinic molecular complex<sup>170</sup> both agree that the ligating N atoms in the  $FeN_x$  site are pyridinic. Because of these ambiguities, expert practitioners often refrain from quantitative interpretation of  $MN_x$  speciation based on XPS alone, but qualitatively infer trends with respect to ORR reactivity and the relative abundance of pyridinic N in families of samples.<sup>205,208,209,210</sup> Although XPS has been widely used in aerobic oxidation studies, its lack of precision for quantitatively characterizing  $MN_x$  speciation requires complementary techniques.

#### 5.4. Electron Microscopy

Transmission electron microscopy (TEM) and scanning transmission electron microscopy (STEM) have become increasingly common in the characterization of M-N-C materials, with improvements in resolution and the growing accessibility of advanced instrumentation. Specifically, high resolution high-angle annular dark-field imaging (HAADF-STEM) with aberration correction can provide atomic-resolution images of heavy atoms in light-atom matrices because contrast is a function of atomic number. HAADF-STEM images reported by Zelenay and coworkers<sup>211</sup> first identified  $FeN_x$  sites incorporated within graphitic sheets (Figure 13j). Since this report, many others have observed atomically dispersed metal sites in N-doped carbons by this technique.<sup>212–214</sup> Zelenay and coworkers<sup>211</sup> combined their HAADF-STEM imaging with electron energy loss spectroscopy (EELS) to conclude that the elemental composition of the atomically dispersed sites was, on average,  $FeN_4$ . Care must be taken in acquiring EELS or energy-dispersive x-ray spectroscopy (EDX) signals in order to avoid beam damage during longer collection time. HRTEM images showing carbon-encapsulated metal nanoparticles have also been reported<sup>215</sup> (Figure 13k). TEM imaging has shown that carbon-encapsulated particles are resistant to washing by concentrated HF.<sup>216</sup>

Electron microscopy can be subject to a few artifacts. A bright spot can indicate that multiple lighter atoms are aligned on top of one another, rather than the presence of a heavier metal atom. Imaging thin regions of the sample and corroborating elemental composition with EELS or EDX can help avoid this pitfall. Further, STEM images may represent a statistically insignificant portion of the bulk material. Efforts can be made to minimize this uncertainty by imaging more overall area and estimating the surface density of single atoms, which should match that of a bulk elemental analysis technique together with the material's surface area.<sup>217</sup> Electron microscopy has been applied in aerobic oxidation studies to suggest that either aggregates or  $MN_x$  sites are responsible for catalysis, but complementary techniques are required for quantitative assessment of their relative abundance and catalytic relevance.

#### 5.5. X-Ray Diffraction

X-Ray diffraction (XRD) is broadly used in studies of aerobic oxidations catalyzed by M-N-Cs but is rarely combined with other techniques that quantitatively characterize active

sites. There are several pitfalls in the interpretation of XRD patterns<sup>218</sup> and the most prominent in the aerobic oxidation literature is to infer that presence or absence of an XRD feature is representative of all the metal sites in the material. On one hand, the detection of metal aggregates (e.g., in polyaniline-derived Fe-N-C(ii),<sup>211</sup> Figure 13i) does not preclude the existence of atomically dispersed sites (imaged in Fe-N-C(ii) in Figure 13j). Or, aggregates may be inaccessible to substrates within the bulk of the material and, therefore, catalytically irrelevant (e.g., Figure 13k). On the other hand, features for aggregates may be completely absent in XRD patterns collected on materials known to contain aggregates, such as intentionally synthesized FeO<sub>x</sub>/N-C materials<sup>171</sup> (Figure 13l). This ambiguity is an inherent limitation of the XRD technique because line broadening is inversely proportional to aggregate size, and nanoparticles smaller than ~3 nm cannot be detected.<sup>218</sup> As a result, XRD can be used in aerobic oxidation studies as a diagnostic for the presence of aggregates but must be combined with other techniques to provide evidence for the identity of active sites.

## 5.6. Model Complexes

The data provided by the preceding tools can be augmented via comparisons with well-defined molecular complexes hypothesized to represent the local coordination environment of M-N-Cs. Surendranath and coworkers<sup>170</sup> synthesized an N<sub>4</sub> macrocycle (phen<sub>2</sub>N<sub>2</sub>, Figure 13m) containing ligating pyridinic N atoms that contrast the pyrrolic N atoms of commonly used molecular analogs such as phthalocyanine (Pc) and octaethylporphyrin (OEP). The (phen<sub>2</sub>N<sub>2</sub>)Fe complex showed spectroscopic features (N 1s XPS, Fe k-edge XANES and EXAFS) and ORR reactivity (onset potential, H<sub>2</sub>O<sub>2</sub> selectivity) that were a closer match with an Fe-N-C(v) than the other molecular analogs. Nbae and coworkers<sup>219</sup> corroborated these findings and showed in a complementary study<sup>220</sup> that a similar pyridinic FeN<sub>4</sub> complex was more stable than FePc toward demetallation when both complexes adsorbed onto carbon black underwent ORR cycling in 0.5 M H<sub>2</sub>SO<sub>4</sub>. A similar CoN<sub>4</sub> complex<sup>221</sup> without bridgehead N atoms has also been reported to catalyze CO<sub>2</sub> electroreduction with a higher TOF than pyrrolic CoN<sub>4</sub> complexes. These studies demonstrate that the structure of the macrocyclic unit that surrounds the atomically dispersed metal components in M-N-C catalysts can strongly influence their reactivity, even when they are nominally ligated within an N<sub>4</sub> environment. These effects have not been explored in the context of aerobic oxidation catalysis on M-N-Cs.

## 6. OUTSTANDING CHALLENGES AND FUTURE DIRECTIONS

The foregoing sections juxtapose the development of M-N-Cs as aerobic oxidation catalysts with the state-of-the-art in characterizing the active centers of M-N-C catalysts in the ORR field. Below, we highlight four key areas where insights from the ORR field is positioned to drive new developments in aerobic oxidation.

### 6.1. Quantitative Structural Characterizations of Active Centers

Studies reporting aerobic oxidation chemistries on M-N-C catalysts have typically not reported quantitative assessment of active site densities beyond determining the bulk metal content of the catalysts. As a result, characterization methods that reveal metal structures

remain correlational at best with respect to catalyst reactivity, and most studies fail to conclusively demonstrate whether  $MN_x$  species or metal aggregates are the dominant active centers. Adoption of active site quantification techniques like the ones developed by ORR researchers would facilitate comparison of catalyst materials within studies and between laboratories. Active site densities, which may be a minority of the bulk metal, can then be related more directly to characterized structures and reaction rates. Chemisorption studies and *in situ* poisoning of active centers are promising for this purpose and should be applied in aerobic oxidation studies. The combination of these techniques will help clarify whether  $MN_x$  centers or metal aggregates are the dominant active centers for aerobic oxidations, which is highlighted as an unresolved gap in knowledge by our survey in Section 3. Finally, because bulk materials properties are often only indirectly related to catalytic performance, such analyses will improve reproducibility in materials synthesis and enable meaningful comparisons between different materials classes in terms of their catalytically relevant active sites.

## 6.2. Kinetic Benchmarking with Turnover Rates

Comparing catalytic materials made by different synthetic routes or from different laboratories requires benchmarking with turnover rates.<sup>112–114</sup> Most aerobic oxidation studies do not compare M-N-C catalysts on the basis of turnover rates as adopted by the ORR field.<sup>138,153</sup> The diverse range of chemistries performed using M-N-Cs (cf. Section 3) has thus far limited the adoption of a standard reaction for turnover rate benchmarking. This weakness, combined with a dearth of active site quantification, makes the quantification of turnover rates rare. Meaningful kinetic data must reflect measured rates that are free from transport artifacts. Further work is required to assess the viability of a proposed benchmark reaction by correlating its rates on a wide variety of M-N-Cs with quantitative measurements of their site densities.

## 6.3. Mechanistic Insights into Aerobic Oxidations

The range of aerobic oxidation chemistries catalyzed by M-N-C materials belies a lack of knowledge about the elementary steps that comprise the catalytic cycle of each transformation. The ways in which the active centers of M-N-C catalysts facilitate the activation of  $O_2$  and organic molecules within one catalytic cycle may be diverse. Mechanistic analyses can suggest ways to improve rates or to develop new chemistries by modifying active centers, targeting a kinetic branch point, or hypothesizing a particular step with a new coupling partner. Beyond the elucidation of elementary reaction steps, there is also limited understanding of whether M-N-Cs catalyze aerobic oxidations by the ISR or IHR pathways described in studies of hydroquinone oxidation (cf. Figure 3b).<sup>53,54</sup>

## 6.4. Harnessing Materials Synthesis for Well-Defined Catalyst Structures

Most M-N-C catalysts employed for aerobic oxidation chemistries are ill-defined materials made by the pyrolysis of supported molecular precursors. The palette of M-N-C materials<sup>9,11</sup> developed for ORR has yet to be fully leveraged in studies of aerobic oxidation reactions. Precise materials synthesis has the potential to access a broader range of active site structures and/or more uniform active site structures. Uniform active centers can simplify the interpretation of rate data by making the identity of the active site less

ambiguous. Collaborations between ORR researchers and aerobic oxidation researchers could facilitate knowledge sharing in materials synthesis and characterization and catalytic applications.

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Thatcher Root obtained degrees in Chemistry and Chemical Engineering at Massachusetts Institute of Technology and the University of Minnesota. After a postdoctoral appointment at AT&T Bell Laboratories, he joined the Chemical Engineering faculty at the University of Wisconsin–Madison where he is now Professor of Chemical and Biological Engineering. He has investigated catalysis in systems ranging from automobile exhaust emissions to fine chemicals and pharmaceuticals.

Shannon S. Stahl obtained his B.S. in Chemistry at the University of Illinois Urbana–Champaign and a Ph.D. from Caltech in 1997, under the supervision of Prof. John Bercaw. He was an NSF postdoctoral fellow with Prof. Stephen Lippard at Massachusetts Institute of Technology from 1997–1999. He is currently a Steenbock Professor of Chemical Sciences at the University of Wisconsin–Madison, where he began his independent career in 1999.

His research group specializes in catalysis, with an emphasis on the catalytic chemistry of molecular oxygen and aerobic oxidation reactions, and electrocatalytic reactions related to chemical synthesis and energy conversion.

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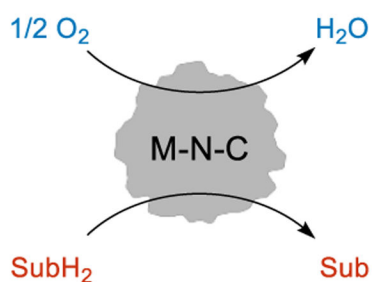
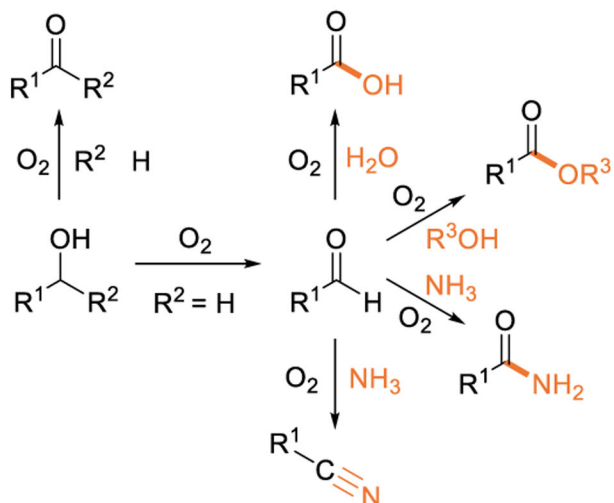
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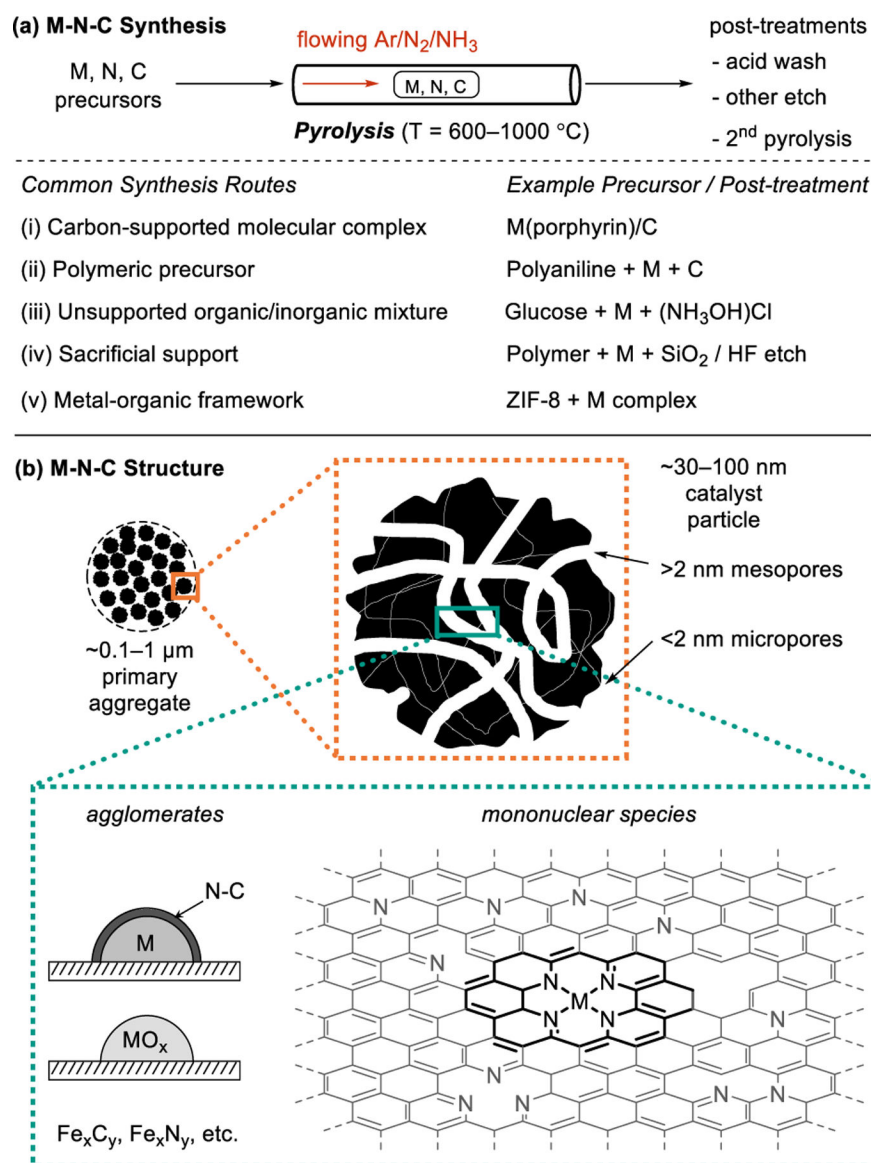
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**Oxygen Reduction Reaction****Aerobic Substrate Oxidation****M-N-C Catalyzed Aerobic Oxidations****Figure 1.**

$\text{O}_2$  accepts protons and electrons in the oxygen reduction reaction and aerobic oxidation catalysis (left). In the latter case, the protons and electrons derive from the bonds of molecules ( $\text{SubH}_2$ ), such as the O–H and C–H bonds of alcohols (right).

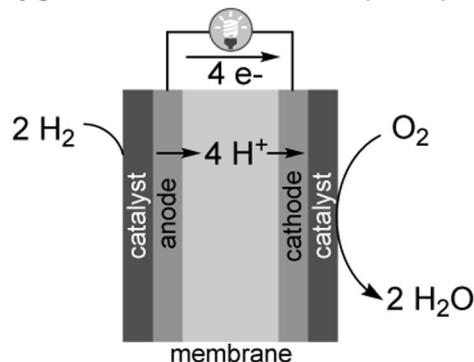




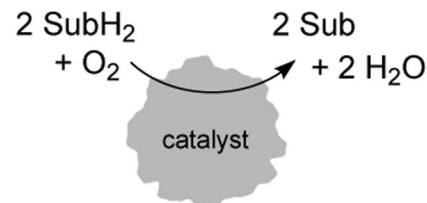
**Figure 2.** Overview of (a) synthetic methods and (b) structural features of M-N-C catalysts.

**(a) Catalytic reactions with O<sub>2</sub>**

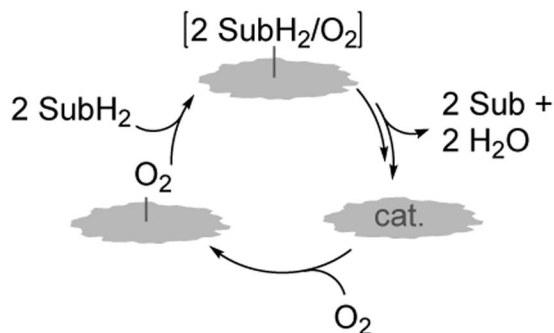
*i. Electrochemical oxygen reduction reaction (ORR)*



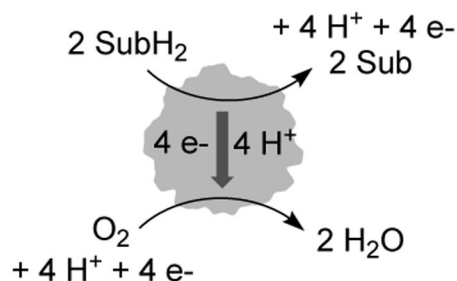
*ii. Thermochemical aerobic oxidation reactions*

**(b) Possible aerobic oxidation mechanisms**

*i. Inner-sphere reaction (ISR)*

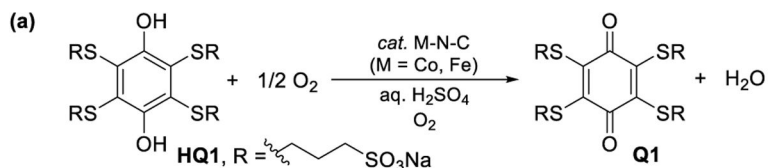


*ii. Independent half-reactions (IHR)*



**Figure 3.**

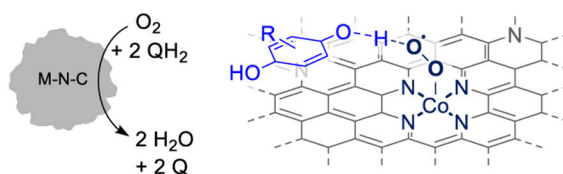
Representation of electrochemical and thermochemical reactions involving O<sub>2</sub> reduction. (a) Relevance of O<sub>2</sub> reactivity to fuel cells and aerobic oxidation which feature different sources of protons and electrons: H<sup>+</sup>/e<sup>-</sup> or chemical bonds in SubH<sub>2</sub>, and (b) two potential reaction pathways for thermochemical reactivity of O<sub>2</sub> in aerobic oxidations. Adapted from Ref. 53. Copyright 2022 American Chemical Society.



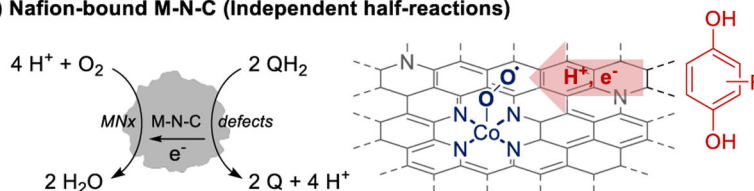
**(b) Comparison of hydroquinone oxidation kinetics**

Kinetic Property	Slurry-phase M-N-C	Nafion-bound M-N-C
Reaction rate ( $10^{-5} \text{ mol g}^{-1} \text{ s}^{-1}$ )	3.9 (30 °C, 1 mM HQ, 1.1 atm O <sub>2</sub> )	2.4 (55 °C, 3 mM HQ, 1 atm O <sub>2</sub> )
O <sub>2</sub> reaction order	1.0 (0.2-1.1 atm)	0.1 (0.2-1.0 atm)
HQ reaction order	~0 (1-90 mM)	0.5 (0.3-3.0 mM)
HQ adsorption constant (L mol <sup>-1</sup> )	3400 ± 960 (25 °C)	260 ± 70 (25 °C)
$\left(\frac{\partial \log(r)}{\partial E_Q}\right)^{-1}$ (mV dec <sup>-1</sup> )	200 (E <sub>Q</sub> = 518-744 mV)	420 (E <sub>Q</sub> = 518-605 mV)
Proposed mechanism	Inner-sphere reaction (ISR)	Independent half- reactions (IHR)

**(c) Slurry-phase M-N-C (Inner-sphere reaction)**

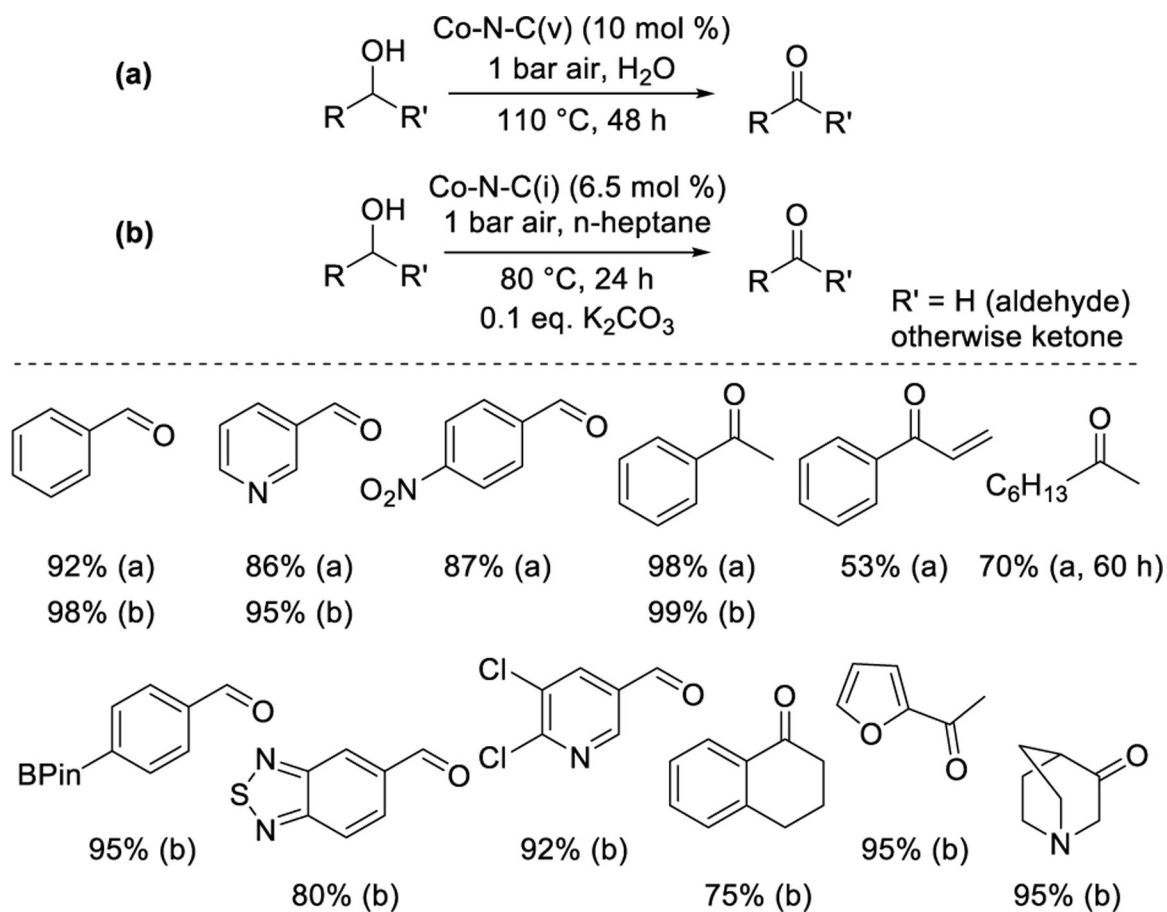


**(d) Nafion-bound M-N-C (Independent half-reactions)**

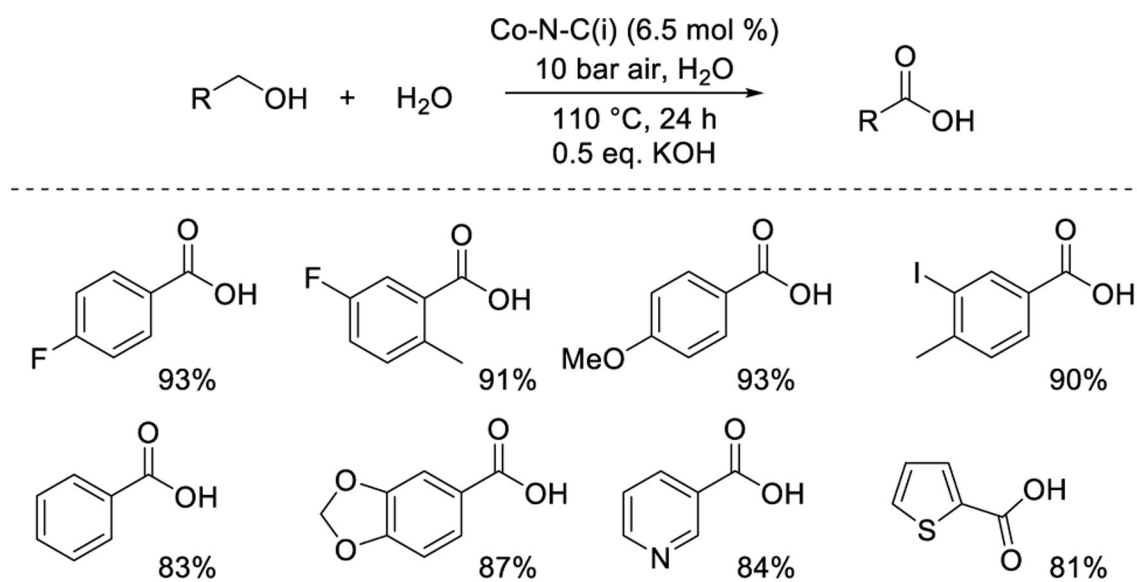


**Figure 4.**

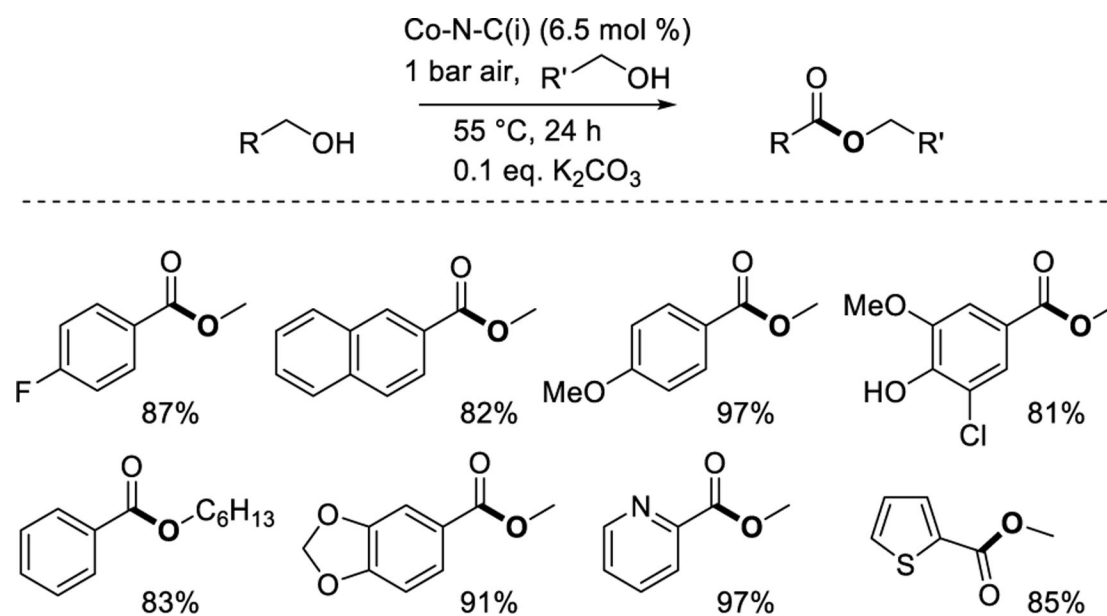
Inner-sphere and independent half-reaction modes of aerobic oxidation reactivity exhibited by hydroquinone substrates. Aqueous-phase hydroquinone oxidation (a) conditions and (b) kinetic parameters. (c) ISR reactivity of slurry-phase M-N-C and (d) IHR reactivity of Nafion-bound M-N-C. Adapted from Refs. 53 and 54. Copyright 2022 American Chemical Society.



**Figure 5.** Aerobic oxidation of alcohols to aldehydes and ketones (a) without exogenous base,<sup>58</sup> and (b) with exogenous base.<sup>62</sup> Percentages reflect yield of the specified product.

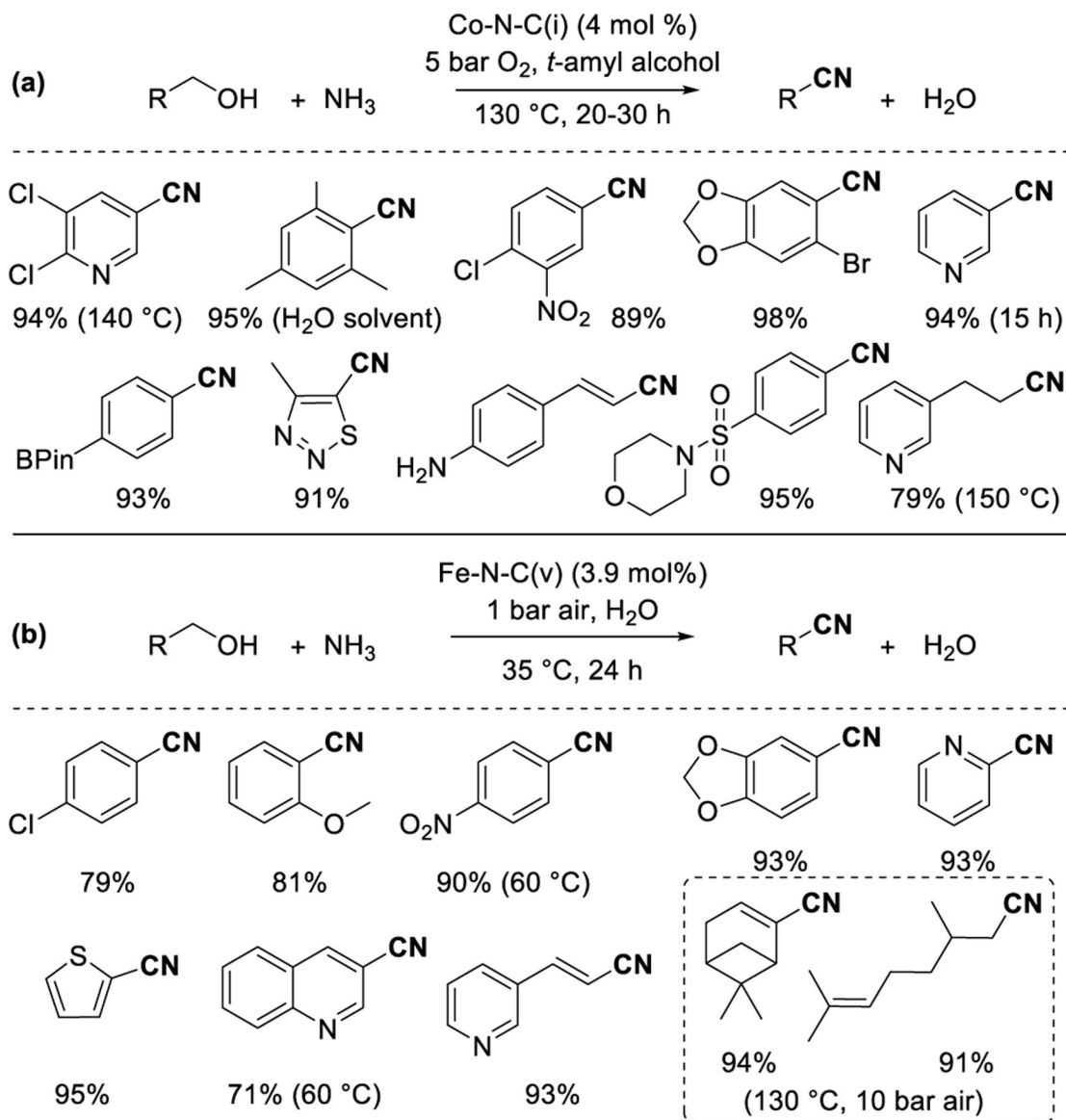


**Figure 6.** Oxidation of alcohols to carboxylic acids over M-N-C.<sup>62</sup> Percentages reflect yield of the specified product.

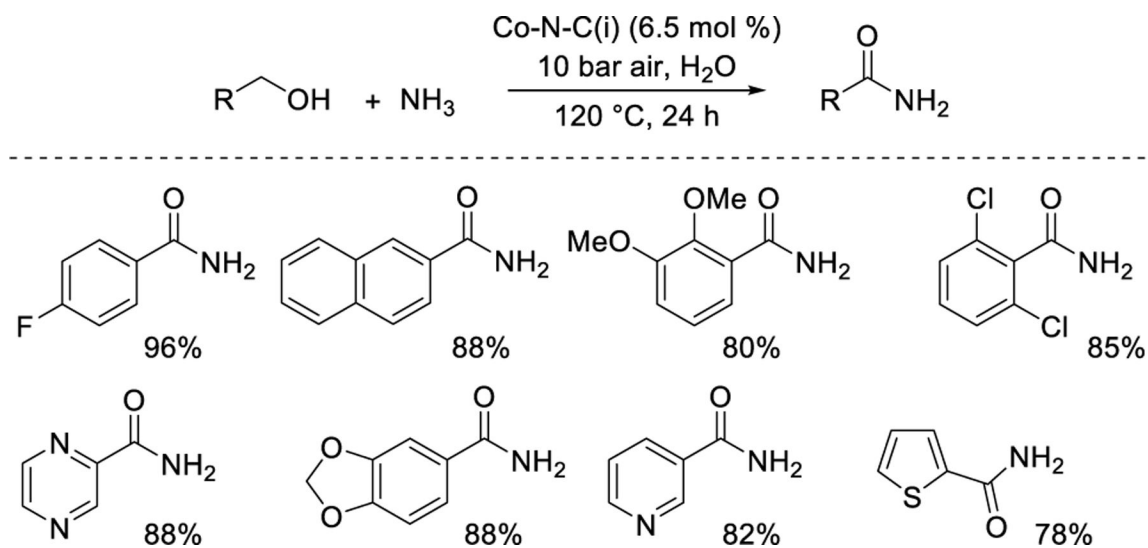


**Figure 7.** Oxidative alcohol coupling to form esters.<sup>62</sup> Percentages reflect yield of the specified product.

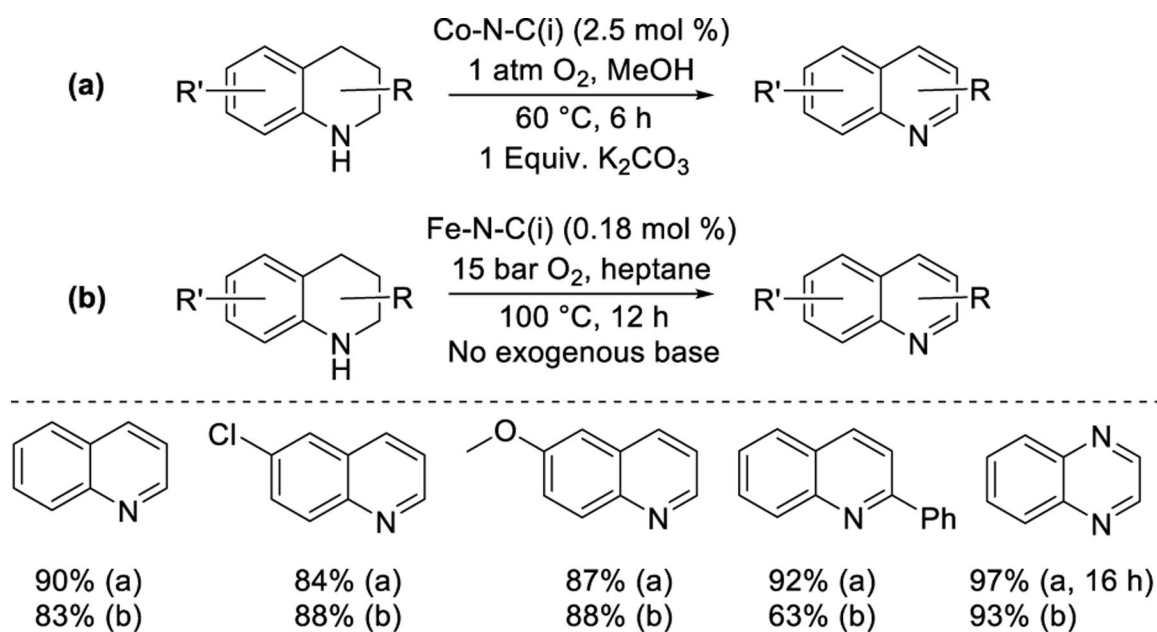




**Figure 8.** Synthesis of nitriles from alcohols and ammonia, (a) in organic solvent<sup>78</sup> and (b) in aqueous solvent.<sup>84</sup> Percentages reflect yield of the specified product.



**Figure 9.** Aerobic oxidation of alcohols to amides over M-N-C.<sup>62</sup> Percentages reflect yield of the specified product.

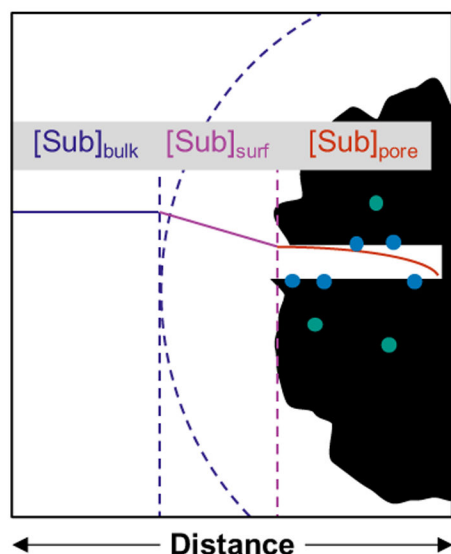
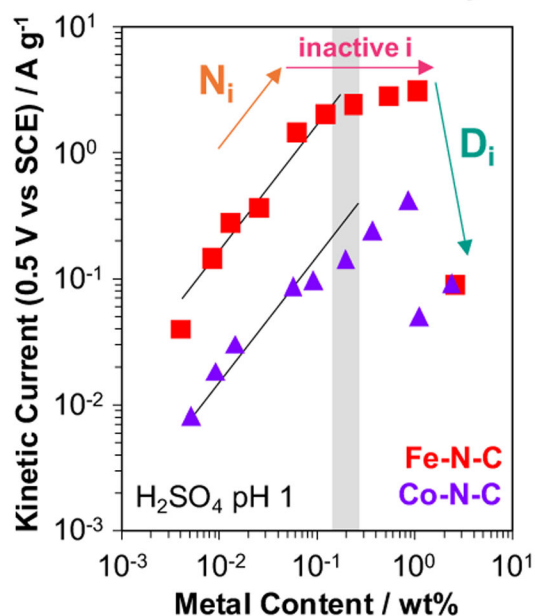


**Figure 10.** Dehydrogenation of *N*-heterocycles developed by Iosub and Stahl<sup>90</sup> (a) and Beller and coworkers<sup>91</sup> (b). Percentages reflect yield of the specified product.

**(a) Factors that influence measured rates**

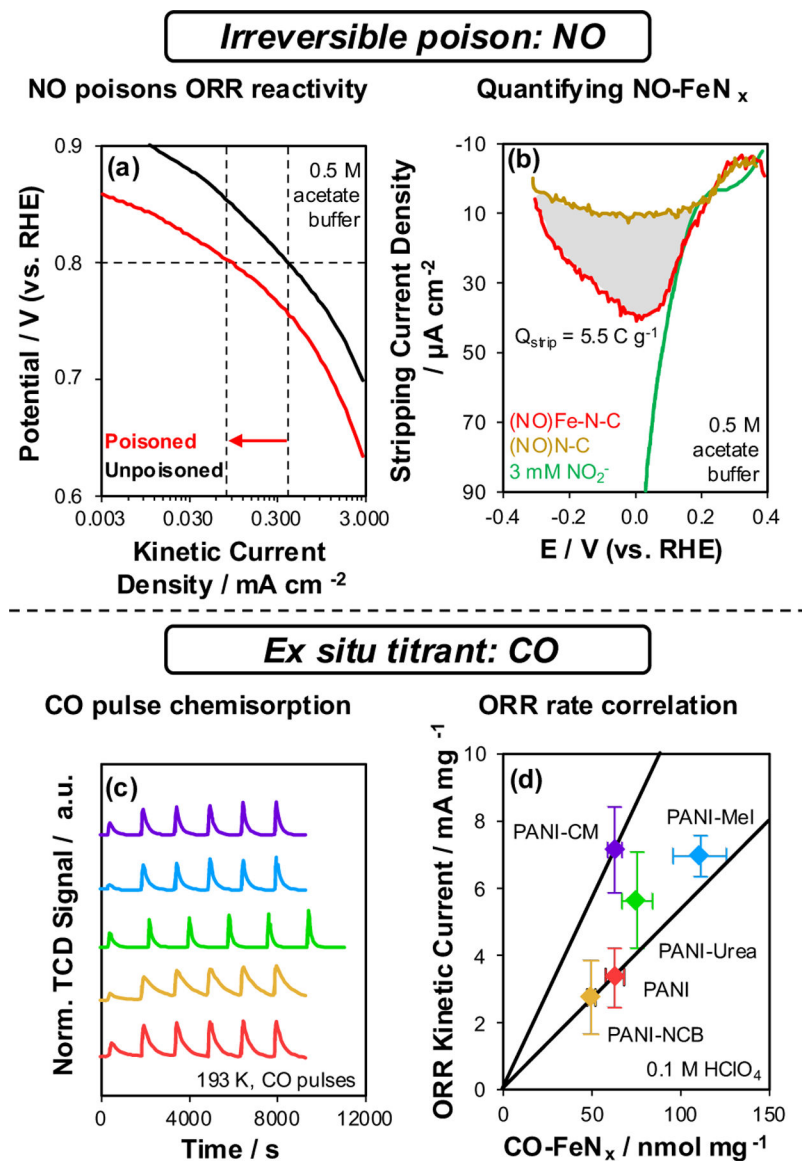
- transport to sites
- active site diversity
- active site density
- active site accessibility
- intrinsic reactivity

$$\text{rate (mol g}^{-1} \text{ s}^{-1}) = EF \times \sum_{i \text{ site types}} \text{TOF}_i \times D_i \times N_i$$

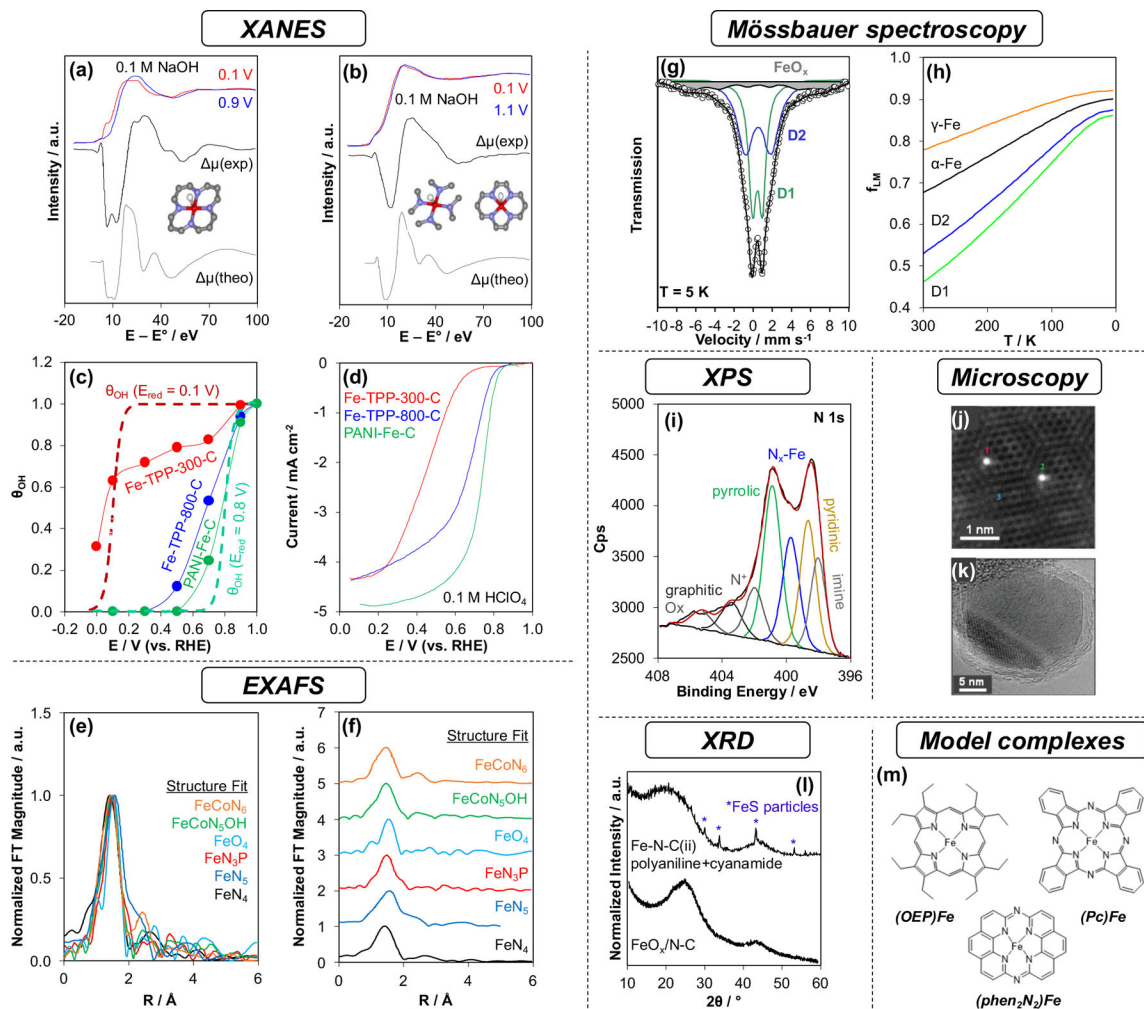
**(b) Transport within an M-N-C particle****(c) Bulk metal content affects accessible site density****Figure 11.**

Comprehensive description of factors that influence kinetic behavior of M-N-C catalysts.

(a) Contributions of transport, site quantity, accessibility, and intrinsic reactivity to overall rates, (b) substrate concentration profile in bulk solution, at the particle surface, and within a catalyst particle under transport-controlled conditions, and (c) systematic studies across bulk metal content in ORR.<sup>123</sup> Panel (c) is adapted with permission from Ref. 123. Copyright 2007 Elsevier.



**Figure 12.** Methods for active site quantification in Fe-N-C catalysts reported in the ORR literature: (a, b) irreversible poisons<sup>136</sup> and (c, d) ex situ chemical titrations.<sup>150</sup> Panels (a) and (b) are adapted from Ref. 136. Copyright 2016 Nature Publishing Group. Panels (c) and (d) are adapted from Ref. 150. Copyright 2018 American Chemical Society.



**Figure 13.**

Commonly used approaches to characterize metal sites in M-N-C materials: (a-d) *in situ* XANES  $\mu$  technique,<sup>55,174</sup> (e, f) EXAFS,<sup>178–183</sup> (g, h) Mössbauer spectroscopy, (i) XPS,<sup>208</sup> (j, k) electron microscopy,<sup>211,215</sup> (l) XRD,<sup>211,171</sup> and (m) model complexes.<sup>170</sup> The EXAFS spectra in (e) and (f) are normalized to their maximum intensity for comparative purposes. The XRD patterns in (l) are normalized to their maximum intensity for comparative purposes. Panels (a) and (b) are adapted from Ref. 55. Copyright 2013 American Chemical Society. Panels (c) and (d) are adapted from Ref. 174. Copyright 2015 American Chemical Society. Panels (e) and (f) contain spectra adapted with permission from Refs. 15,179–183. Copyright 2019 American Chemical Society, 2019 Wiley-VCH, 2019 Royal Society of Chemistry, 2019 American Chemical Society, 2020 Wiley-VCH, 2020 American Chemical Society. Panels (g) and (h) are adapted with permission from Ref. 198. Copyright 2016 Elsevier. Panel (i) is adapted with permission from Ref. 208. Copyright 2016 Elsevier. Panel (j) is adapted with permission from Ref. 211. Copyright 2017 American Association for the Advancement of Science. Panel (k) is adapted with permission from Ref. 215. Copyright 2011 American Association for the Advancement of Science. The top trace in panel (l) is adapted with permission from Ref. 211. Copyright 2017 American Association



for the Advancement of Science. The bottom trace in panel (l) is adapted from Ref. 171.  
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**Table 1.**

Representative active site densities of Fe-N-C catalysts quantified by different methods.

Catalyst	Bulk Fe content / wt%	Titrant	Site Density <sup>a</sup> / $\mu\text{mol g}^{-1}$	FeN <sub>x</sub> /Fe <sub>total</sub> <sup>a</sup>
Fe0.5NC <sup>144,149</sup> (v)	1.5	CO	63	0.23
		NO	(31) 51	(0.11) 0.19
		CN-	56	0.21
CNRS Fe-N-C <sup>138</sup> (v)	2.5	CO	96	0.22
		NO	24 (40)	0.05 (0.09)
PAJ Fe-N-C <sup>138</sup> (iv)	0.6	CO	33	0.31
		NO	4 (7)	0.04 (0.06)
UNM Fe-N-C <sup>138</sup> (iv)	0.8	CO	52	0.36
		NO	10 (17)	0.07 (0.12)
ICL Fe-N-C <sup>138</sup> (ii)	1.0	CO	37	0.20
		NO	14 (24)	0.08 (0.13)
FeNC-CVD-750 <sup>154</sup> (v)	2.0	NO	(102) 170	(0.28) 0.46
Fe-N-C <sup>-DCDA145</sup> (v)	7.1	CO	130	0.10
		NO	78 (130)	0.06 (0.10)

<sup>a</sup>NO<sub>2</sub><sup>-</sup> stripping quantities are listed for both 5 e<sup>-</sup> and 3 e<sup>-</sup> assumptions, in that order, with the value not reported by the authors enclosed in parentheses.