

Brain Metastases from Biliary Tract Cancer: Case Series and Clinicogenomic Analysis

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Abstract

Background: Limited data from small series have suggested that brain metastases from biliary tract cancers (BrM-BTC) affect $\leq 2\%$ of patients with BTC. We sought to review our experience with patients with BrM-BTC and to identify associations of tumor-related molecular alterations with outcomes.

Materials and Methods: A retrospective review of patients with BTC seen at a tertiary referral center from 2005 to 2021 was performed; patients with BrM-BTC were identified, and clinical and molecular data were collected.

Results: Twenty-one of 823 patients with BTC (2.6%) developed BrM. For patients with BrM-BTC, median follow-up time was 27.9 months after primary BTC diagnosis and 3.1 months after BrM diagnosis. Median time from primary diagnosis to diagnosis of BrM was 14.4 [range, 1.1–66.0] months. Median overall survival (OS) from primary diagnosis was 31.5 [2.9–99.8] months and median OS from BrM diagnosis was 4.2 [0.2–33.8] months. Patients who underwent BrM-directed therapy trended toward longer OS following BrM diagnosis than patients receiving supportive care only (median 6.5 vs 0.8 months, $P = .060$). The BrM-BTC cohort was enriched for *BRAF* (30%), *PIK3CA* (25%), and *GNAS* (20%) mutations. Patients with BrM-BTC with *BRAF* mutations trended toward longer OS following BrM diagnosis (median 13.1 vs 4.2 months, $P = .131$).

Conclusion: This is the largest series of patients with BrM-BTC to date and provides molecular characterization of this rare subgroup of patients with BTC. Patients with BrM-BTC may be more likely to have *BRAF* mutations. With advances in targeted therapy for patients with BTC with actionable mutations, continued examination of shifting patterns of failure, with emphasis on BrM, is warranted.

Key words: cholangiocarcinoma; bile ducts; intrahepatic; bile ducts; extrahepatic; gallbladder; mutation; genomics.

Implications for Practice

Brain metastases from biliary tract cancers are rare. In this retrospective series of 21 patients with biliary tract cancer brain metastases, tumor-related molecular alterations, and their associations with disease-related outcomes are examined. Patients with brain metastases in this series more commonly had mutations in *BRAF*, *PIK3CA*, and *GNAS*. Further research is warranted to better characterize the utility of screening and optimal management for molecular subgroups.

Introduction

Biliary tract cancers (BTC) are a group of invasive malignancies subdivided into 3 main types: gallbladder carcinoma (GBC), and intrahepatic (iCC) and extrahepatic cholangiocarcinoma (eCC), the latter including both hilar (Klatskin tumor) and distal cholangiocarcinomas.^{1,2} Prognosis for this disease is poor, with average 5-year survival rates of ~10%.³ Common metastatic sites of BTC include the liver, lymph nodes, and lungs, yet rarely do these malignancies metastasize to the brain.^{4,5} As such, literature on the subject of brain metastases from BTC (BrM-BTC) is limited, with previous studies reporting incidences of 0.15%-1.4%.⁴⁻⁸

Molecular profiling of BTC has increasingly suggested a genomically-rich landscape (particularly for iCC), with opportunities for targeted therapy. Recent clinical trials have led to the approvals of pemigatinib, ivosidenib, and dabrafenib/trametinib for targetable *FGFR2*, *IDH1*, and *BRAF* metastatic BTC, respectively.⁹⁻¹⁴ In addition, other targetable mutations such as *EGFR* and *HER2* continue to be studied in clinical trials for patients with advanced BTC.⁴

While targeted agents may facilitate longer-term survival, it is unknown whether they will impact on patterns of disease progression seen in BTCs. No data to date have characterized the molecular profile of BrM-BTC patients, or the association of molecular status with disease trajectory for patients with BrM-BTC. We report our institutional experience of patients with BTC with BrM, providing a clinical and genomic characterization of patients with BrM-BTC.

Materials and Methods

After approval by the Institutional Review Board (PA14-0646), we conducted a retrospective review of BTC patients seen at a single tertiary care center between 2005 and 2021. Demographic, clinical, and molecular profiling data were extracted from patient medical records. All patients had pathologic confirmation of adenocarcinoma of bile duct origin from the primary tumor or metastasis. Diagnosis of BTC-BrM was confirmed either pathologically (ie, biopsy or resection) in 9 patients or via magnetic resonance imaging (MRI) of the brain by neuroradiologists in the remaining 12 patients. Molecular profiles from patient medical records were obtained from next-generation sequencing (NGS)-based analysis for the detection of somatic mutations, copy number variations, and gene fusions. NGS-based analysis was performed on either DNA extracted from solid tumor tissue or circulating cell free DNA (cfDNA) isolated from plasma in a Clinical Laboratory Improvement Amendments (CLIA)-certified molecular diagnostic laboratory. A comparison of mutations between the BTC-BrM cohort and an institutional cohort of patients with iCC with non-brain extrahepatic metastases (M1) was performed to identify mutations more common in patients with BTC-BrM.

Statistical analysis was performed using statistical software JMP Pro 15 (SAS Institute, Cary, NC) and Stata Version 16.0 (StataCorp, College Station, TX, USA). Fisher's exact test was used for comparison of proportions. Overall survival (OS) was estimated using the Kaplan-Meier method and log-rank testing was used to compare survival differences between molecular subgroups of patients.

Results

Patient Characteristics

Eight-hundred twenty-three patients with BTC were identified, of whom 21 (2.6%) developed BrM. Patient demographics, clinical characteristics, and treatment details are summarized in Table 1, and the disease course of each patient with key clinical events can be visualized in Fig. 1. A majority of patients with BrM-BTC (70%) had extrahepatic metastatic disease at initial diagnosis. The most common sites of extracranial metastatic disease present at the time of BrM diagnosis were non-regional lymph nodes (62%), lung (48%), peritoneum (24%), bone (19%), and adrenal (19%). BrM in all patients occurred metachronously. The median follow-up time from primary diagnosis was 27.9 months (range, 2.9-99.8 months).

Nearly all patients (95%) were treated with gemcitabine/cisplatin-based systemic therapy (Table 1). With regard to

Table 1. Patient and treatment characteristics.

Characteristics	Value
Age at primary diagnosis, years, median (range)	56 (36-74)
Female sex	14 (67%)
Race/ethnicity	
White	12 (57%)
Black/African American	3 (14%)
Hispanic/Latino	4 (19%)
Asian	1 (5%)
Other	1 (5%)
M1 disease at primary diagnosis	70%
Median CA 19-9 level at diagnosis (U/mL)	154 (<1-350,400)
Primary diagnosis	
Intrahepatic cholangiocarcinoma	18 (86%)
Extrahepatic cholangiocarcinoma (including hilar)	2 (10%)
Gallbladder carcinoma	1 (5%)
Systemic therapies	
Gemcitabine-cisplatin-based therapy	20 (95%)
FOLFIRINOX-based therapy	9 (43%)
Primary tumor-directed therapy	
Radiation therapy (external beam)	12 (57%)
Y-90 radioembolization	4 (19%)
TACE	2 (10%)
Hepatectomy	3 (14%)
Liver transplantation	1 (5%)
Brain metastasis-directed therapy	
WBRT alone	6 (29%)
SRS alone	2 (10%)
Surgical resection alone	1 (5%)
Surgical resection and SRS	6 (29%)
Surgical resection, WBRT, and SRS	2 (10%)
Supportive care alone	4 (19%)

Abbreviations: M1, extrahepatic metastasis; CA19-9, cancer antigen 19-9; FOLFIRINOX, combination chemotherapy of 5-fluorouracil, leucovorin, irinotecan and oxaliplatin; Y-90, yttrium-90; TACE, transarterial chemoembolization; WBRT, whole brain radiation therapy; SRS, stereotactic radiosurgery.

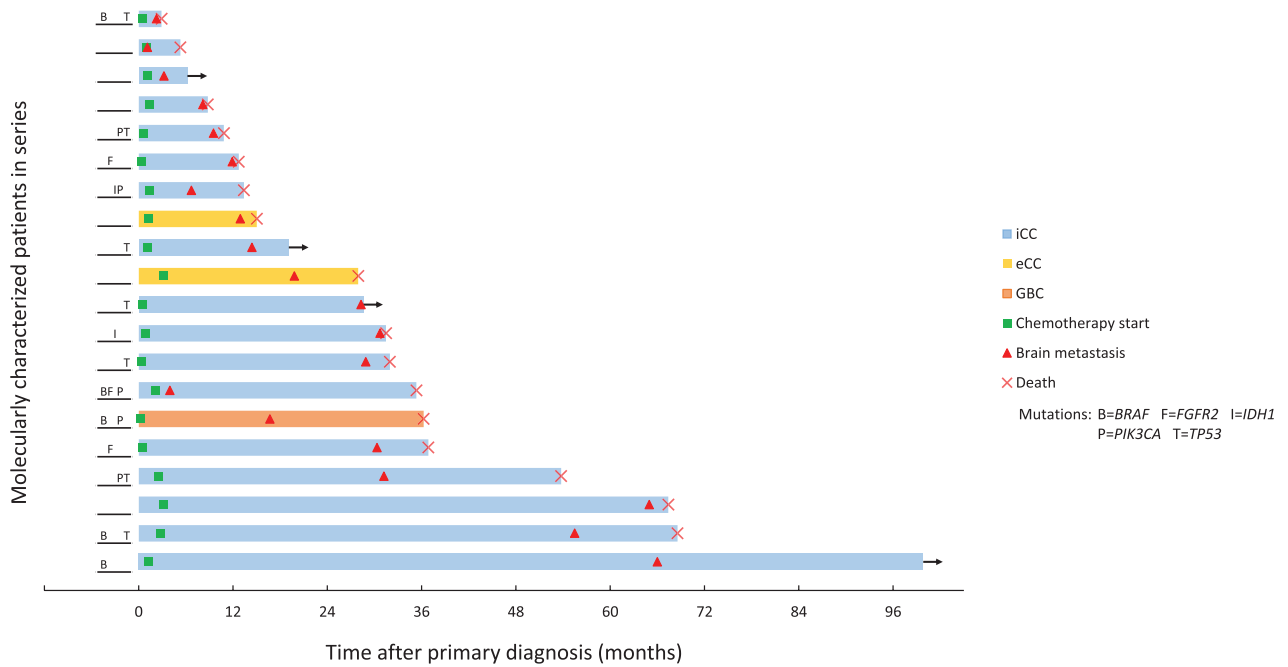


Figure 1. Patient-level outcomes for 21 patients with BrM-BTC. The time between initial diagnosis and outcome, either last follow-up or death, is represented by the length of each bar shown. Mutation statuses for common mutations are provided.

local liver-directed therapies, 12 patients (57%) received ablative external-beam radiation therapy (A-RT) to the primary tumor, and 4 (19%) were treated with Yttrium-90 (Y-90) radioembolization. Three patients (14%) underwent surgical resection of the primary liver tumor and one patient (5%) underwent orthotopic liver transplantation.

Brain Metastasis Outcomes

The median time from primary diagnosis to BrM diagnosis was 14.4 months (range 1.1-66.0; Fig. 2A). Patients were characterized as having one solitary brain parenchymal metastasis at diagnosis (9; 43%), two to 3 metastases (6; 29%), 4 to 10 (4; 19%), or 11 to 19 (2; 10%). A majority (17/21; 81%) of patients underwent brain-directed therapy following BrM diagnosis, including surgical resection, stereotactic radiosurgery (SRS), whole brain radiation therapy (WBRT), or a combination of the 3. The choice was based on physician preference. Four patients received supportive care only for their BrM; these results are further characterized in Table 1. Patients who underwent BrM-directed therapy showed a trend toward longer survival from BrM diagnosis when compared with patients receiving supportive care only (median OS, 6.5 vs 0.8 months, $P = .060$). Among all 21 identified BrM-BTC patients, median OS from BrM diagnosis was 4.2 months (range, 0.2-33.8 months; Fig. 2B), and the median OS from primary diagnosis was 31.5 months (range, 2.9-99.8 months).

Mutational Profiling Results

Tumor molecular profiling was performed for 20 out of 21 (95%) patients with BrM-BTC (Table 2; Fig. 1). *TP53* mutations, detected in 8 patients (40%), were the most commonly observed alterations, followed by *BRAF* (30%), *KRAS* (25%), and *PIK3CA* (25%) alterations. When compared to a cohort of iCC patients with M1 disease, a significantly

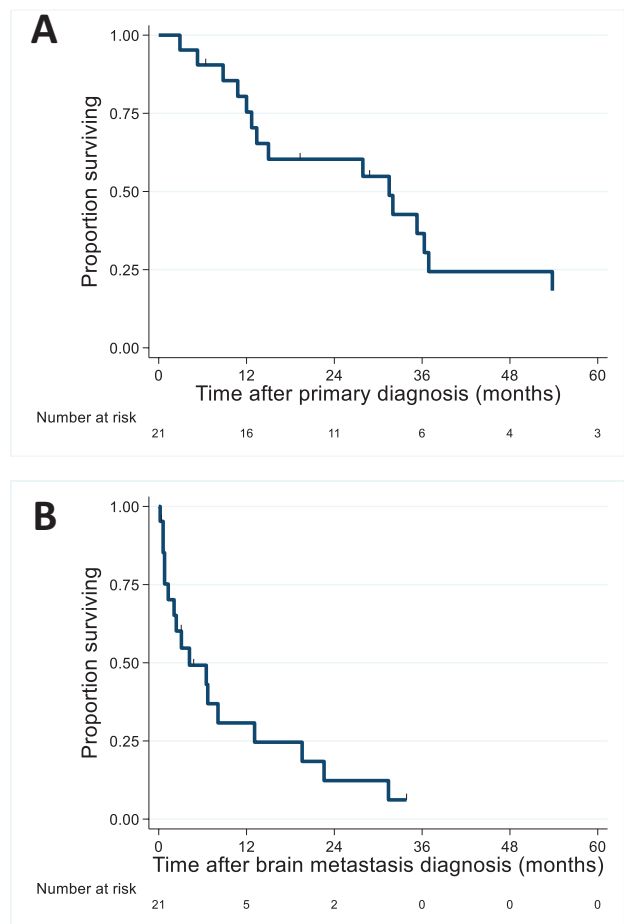


Figure 2. Overall survival (A) following initial diagnosis and (B) following brain metastasis diagnosis.

greater proportion of patients with BrM had *BRAF* (30% vs. 8%; $P = .008$), *PIK3CA* (25% vs. 8%; $P = .029$), or *GNAS* (20% vs. 4%; $P = .020$). A larger list of comparisons between these cohorts is shown in Table 2. Similarly, a comparison of molecular profiling results for patients with single vs multiple BrM is provided in Table 3. No statistically

Table 2. List of identified mutations/alterations for patients with BrM-BTC and ICC patients with M1.

Gene altered	Frequency of molecularly characterized patients (%)		P-value
	BrM-BTC patients (n = 20)	iCC M1 patients (n = 223)	
<i>TP53</i>	8 (40%)	69 (31%)	.454
<i>BRAF</i>	6 (30%)	18 (8%)	.008*
<i>KRAS</i>	5 (25%)	42 (19%)	.554
<i>PIK3CA</i>	5 (25%)	18 (8%)	.029*
<i>CDKN2A</i>	4 (20%)	45 (20%)	1.000
<i>GNAS</i>	4 (20%)	10 (4%)	.020*
<i>BRCA2</i>	3 (15%)	15 (7%)	.175
<i>FGFR2</i>	3 (15%)	34 (15%)	1.000
<i>BAP1</i>	3 (15%)	36 (16%)	1.000
<i>IDH1</i>	2 (10%)	49 (22%)	.263
<i>MDM2</i>	2 (10%)	8 (4%)	.194
<i>ATM</i>	2 (10%)	16 (7%)	.649
No alterations identified	2 (10%)	9 (4%)	.226

Abbreviations: BrM-BTC, brain metastasis from biliary tract cancer; iCC, intrahepatic cholangiocarcinoma; M1, extrahepatic metastasis.

Table 3. List of identified mutations/alterations for BrM-BTC patients with single vs. multiple brain metastases.

Gene altered	Frequency of molecularly characterized patients (%)		P-value
	Single BrM (n = 9)	Multiple BrM (n = 11)	
<i>TP53</i>	4 (44%)	4 (36%)	1.000
<i>BRAF</i>	3 (33%)	3 (27%)	1.000
<i>KRAS</i>	1 (11%)	4 (36%)	.319
<i>PIK3CA</i>	2 (22%)	2 (18%)	1.000
<i>CDKN2A</i>	1 (11%)	3 (27%)	.591
<i>GNAS</i>	3 (33%)	1 (9%)	.285
<i>BRCA2</i>	2 (22%)	1 (9%)	.566
<i>FGFR2</i>	3 (33%)	0 (0%)	.074
<i>BAP1</i>	2 (22%)	1 (9%)	.566
<i>IDH1</i>	0 (0%)	2 (18%)	.479
<i>MDM2</i>	1 (11%)	1 (9%)	1.000
<i>ATM</i>	1 (11%)	1 (9%)	1.000
No alterations identified	0 (0%)	2 (18%)	.479

Abbreviation: BrM-BTC= brain metastasis from biliary tract cancer.

significant differences were found for mutations between these 2 groups.

BRAF mutation was observed in 2 patients with the longest OS from BrM diagnosis (33.8 and 31.4 months). Between patients with and without *BRAF* mutations, latency to BrM diagnosis following primary diagnosis (median 14.3 vs. 17 months; $P = .869$) was not significantly different. Similarly, OS following primary diagnosis (median 35.3 vs. 27.9 months; $P = .105$) was not significantly different. Patients with BrM-BTC with *BRAF* mutations showed a trend toward longer OS after BrM diagnosis compared to patients without *BRAF* mutation (median OS, 13.1 vs 4.2 months, $P = .203$). One patient with a *BRAF* mutation received *BRAF*-targeted therapy, a combination of MEK inhibitor binimetinib and *BRAF* inhibitor encorafenib; this patient exhibited the longest OS from primary diagnosis (99.8 months) and following the development of BrM (33.8 months). Two of 3 patients with *FGFR2* mutations received *FGFR*-targeted therapy. One of 2 patients with an *IDH1* mutation received *IDH*-directed targeted therapy.

Discussion

To date, this study represents the largest BrM-BTC series, with 21 patients, and an estimated occurrence rate of 2.6% for BrM among patients with BTC. Not characterized in prior studies, the genomic analysis presented herein suggests a differential mutational profile for BrM-BTC patients, most notably with enrichment for actionable mutations such as *BRAF*.

Clinical outcomes of our study are generally comparable to outcomes of prior BrM-BTC studies, although we report a slightly higher incidence of BrM-BTC (2.6% vs 0.15%-1.4%). This higher occurrence rate of BrM-BTC in our cohort may reflect the fact that patients in this cohort received care in a resource-rich environment with readily available, prompt access to diagnostic imaging. The higher incidence may also reflect some selection bias due to the volume and/or status of patients with BTC seen at our tertiary referral center. Many of these patients may have undergone prior had targeted or advanced therapies with longer survival times and therefore had more time to develop or detect BrM. The median time from primary diagnosis to BrM diagnosis in our study was 14.4 months (range 1.1-66.0), similar to 5-17 months reported in previous studies.⁵⁻⁸ Patients in our study also had comparable OS from BrM diagnosis (median 4.2 months). Results from the present series are summarized and compared with prior series in Table 4.

Our molecular profile analysis reveals apparent enrichment of specific molecular alterations among patients with BrM-BTC. When compared with M1 patients with iCC without BrM, our BrM-BTC cohort was enriched for *BRAF*, *PIK3CA*, and *GNAS* alterations. *BRAF* mutations are particularly rare for patients with BTC, present in just 5%. Patients with *BRAF* mutations demonstrated a trend toward longer survival following BrM diagnosis when compared with patients without *BRAF* mutations. It is notable that one patient with a *BRAF* mutation who lived 33.8 months following BrM diagnosis was treated with encorafenib/binimetinib, which was found to have a 33% objective response rate in the treatment of melanoma BrM.¹⁵ *BRAF* inhibitors, particularly in combination with MEK inhibitors, have been shown to increase response rates,^{13,16-20} leading to the recent approval of dabrafenib/trametinib for *BRAF* V600E metastatic solid tumors.¹⁴ While the role of systemic therapies for the management of brain

Table 4. List of selected BrM-BTC case series.

Series [Reference] (Year)	Chindaprasirt et al ⁷ (2012)	Frega et al ⁵ (2018)	D'Andrea et al ⁶ (2020)	Falkson et al ⁸ (2022)	Present series (2022)
Number of BrM-BTC patients	8	6	9	15 (6 Stanford, 9 UCSF)	21
Total number of BTC patients	5,164	450	1,190	Stanford: NA UCSF: 1,055	823
Rate of BrM-BTC	0.15%	1.4%	0.47%	Stanford: NA UCSF: 0.85%	2.6%
Median (range) OS from primary diagnosis, months	NA	23 (9.9-57.6)	20.6 (0.8-83.6)	7.1 (0.0-104.1)	31.5 (2.9-99.8)
Median (range) time between primary diagnosis and BrM, months	8 (0-96)	13.6 (7.3-52.8)	16.7 (0.7-66.7)	5.2 (0.0-29.9)	14.4 (1.1-66.0)
Median (range) OS from BrM diagnosis, months	2.2 (0.2-6.5)	3.7 (0.9-17.8)	3.8 (0.1-16.9)	1.9 (0.0-95.7)	4.2 (0.2-33.8)

Abbreviations: BrM-BTC, brain metastasis from biliary tract cancer; OS, overall survival; NA, not available.

metastases continues to be explored, current guidelines continue to support the use of local therapies, particularly for patients with symptoms.²¹ The most commonly observed genetic alteration was of *TP53*, occurring in 8 of 20 molecularly tested patients (40%), all of whom had iCC. However, these findings are consistent with current literature which report *TP53* genetic alterations in 44.4% of iCC cases,⁹ suggesting no significant difference in frequency of *TP53* mutations between patients with and without BrM.

This study has several limitations. As a single-institution retrospective series, selection bias is certain; in particular, there may be substantial survivorship bias, since these patients must have lived long enough to develop BrM. It is possible the reported rate of BrM among patients with BTC in this series may not be generalizable, since patients in this series likely had favorable disease control after first-line systemic therapy. Some patients with BrM-BTC may not have been identified due to loss to follow up, since patients from geographically distant areas often return to local institutions for follow-up. All patients with BrM-BTC were identified in this series by symptoms; therefore subclinical BrM-BTC cases may have been missed. Finally, only 9/21 patients had tissue diagnosis of the BrM confirmed, although none of the other patients had synchronous known malignancies that could explain radiographic intracranial findings. Nevertheless, it is possible that genomic profiles of these patients may have discordant genomic profiles between the primary site and intracranial metastatic site, which potentially limits the generalizability of our findings to genomic profiles of the brain metastases themselves.

Conclusion

In this largest series of patients with BrM-BTC to date, genomic analysis revealed risk factors and potentially actionable mutations, including *BRAF*. Future studies may provide further guidance on optimal management of patients with BrM-BTC, and notably differential patterns of spread and failure by molecular profile. This may inform clinical practice with regard to surveillance imaging, staging workup, and optimal treatment selection and sequencing.

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Conflict of Interest

Brian De reported consulting/advisory relationship with Sermo, Inc. Ching-Wei D. Tzeng reported consulting/advisory relationship with PanTher and Ethicon. Emma B. Holliday reported research funding from Merck Serono. Albert C. Koong

is a stockholder of Aravive, Inc., a cancer biotech company. Prajnan Das reported a consulting/advisory relationship with Cullen M. Taniguchi reported a consulting/advisory role with Accuray. Milind Javle is an advisory board member/consultant for Helsinn, QED, Taiho, Incyte, AstraZeneca, Meclun, Transthera, EMD Serono, Merck, BMS, Novartis, Servier, Agios, Eli Lilly, and Boehringer Ingelheim. Eugene J. Koay reported research funding from the National Institutes of Health, Stand Up 2 Cancer, MD Anderson Cancer Center, Philips Healthcare, Elekta, GE Healthcare; honoraria from RenovoRx and Taylor and Francis; a consulting/advisory role with AstraZeneca and Augmenix. The other authors indicated no financial relationships.

Author Contributions

Conception/design: All authors. Provision of study material or patients: M.J., E.J.K., E.B.L. Collection and/or assembly of data: G.N.D., B.D. Data analysis and interpretation: G.N.D., B.D., E.J.K., E.B.L. Manuscript writing: G.N.D., B.D., E.J.K., E.B.L. Final approval of manuscript: All authors.

Data Availability

The data that support the findings of this study are available from the corresponding authors, E.J.K. and E.B.L., upon reasonable request within 1 year of publication.

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