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Adjuvant Osimertinib for Resected EGFR-Mutated Stage IB-IIIA Non–Small-Cell Lung Cancer: Updated Results From the Phase III Randomized ADAURA Trial Roy S. Herbst, MD, PhD¹; Yi-Long Wu, MD²; Thomas John, PhD³; Christian Grohe, MD⁴; Margarita Majem, MD, PhD⁵; Jie Wang, MD, PhD⁶; Terufumi Kato, MD⁷; Jonathan W. Goldman, MD⁸; Konstantin Laktionov, PhD⁹; Sang-We Kim, MD, PhD¹⁰; Chong-Jen Yu, MD, PhD^{11,12}; Huu Vinh Vu, MD, PhD¹³; Shun Lu, MD¹⁴; Kye Young Lee, MD, PhD¹⁵; Guzel Mukhametshina, MD¹⁶; Charuwan Akewanlop, MD¹⁷; Filippo de Marinis, MD¹⁸; Laura Bonanno, MD¹⁹; Manuel Domine, MD, PhD²⁰; Frances A. Shepherd, MD²¹; Damien Urban, MBBS^{22,23}; Xiangning Huang, PhD²⁴; Ana Bolanos, MD²⁵; Marta Stachowiak, MPharm²⁶; and Masahiro Tsuboi, MD, PhD²⁷

- Clinical trials frequently include multiple end points that mature at different times. The initial report, typically based on the primary end point, may be published when key planned co-primary or secondary analyses are not yet available. Clinical Trial Updates provide an opportunity to disseminate additional results from studies, published in JCO or elsewhere, for which the primary end point has already been reported.
- PURPOSE The phase III ADAURA (ClinicalTrials.gov identifier: NCT02511106) primary analysis demonstrated a clinically significant disease-free survival (DFS) benefit with adjuvant osimertinib versus placebo in EGFR-mutated stage IB-IIIA non-small-cell lung cancer (NSCLC) after complete tumor resection (DFS hazard ratio [HR], 0.20 [99.12% CI, 0.14 to 0.30]; P < .001). We report an updated exploratory analysis of final DFS data.
- METHODS Overall, 682 patients with stage IB-IIIA (American Joint Committee on Cancer/Union for International Cancer Control, seventh edition) EGFR-mutated (exon 19 deletion/L858R) NSCLC were randomly assigned 1:1 (stratified by stage, mutational status, and race) to receive osimertinib 80 mg once-daily or placebo for 3 years. The primary end point was DFS by investigator assessment in stage II-IIIA disease analyzed by stratified log-rank test; following early reporting of statistical significance in DFS, no further formal statistical testing was planned. Secondary end points included DFS in stage IB-IIIA, overall survival, and safety. Patterns of recurrence and CNS DFS were prespecified exploratory end points.
- RESULTS At data cutoff (April 11, 2022), in stage II-IIIA disease, median follow-up was 44.2 months (osimertinib) and 19.6 months (placebo); the DFS HR was 0.23 (95% CI, 0.18 to 0.30); 4-year DFS rate was 70% (osimertinib) and 29% (placebo). In the overall population, DFS HR was 0.27 (95% CI, 0.21 to 0.34); 4-year DFS rate was 73% (osimertinib) and 38% (placebo). Fewer patients treated with osimertinib had local/regional and distant recurrence versus placebo. CNS DFS HR in stage II-IIIA was 0.24 (95% CI, 0.14 to 0.42). The long-term safety profile of osimertinib was consistent with the primary analysis.
- CONCLUSION These updated data demonstrate prolonged DFS benefit over placebo, reduced risk of local and distant recurrence, improved CNS DFS, and a consistent safety profile, supporting the efficacy of adjuvant osimertinib in resected EGFR-mutated NSCLC.

Data Supplement Protocol

ASSOCIATED

CONTENT

Author affiliations and support information (if applicable) appear at the end of this article.

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INTRODUCTION

Until recently, the standard of care for patients with resectable non-small-cell lung cancer (NSCLC) has been surgical resection followed by postoperative adjuvant chemotherapy, when indicated.¹⁻⁴ However, recurrence is common and increases with disease stage.^{5,6} Recently, epidermal growth factor receptor tyrosine kinase inhibitors (EGFR-TKIs) and immunotherapies have demonstrated improvements in disease-free survival (DFS) in the adjuvant setting.7-9

Osimertinib is a third-generation EGFR-TKI with demonstrated efficacy in NSCLC, including in the CNS.¹⁰⁻¹⁵ The primary DFS analysis of the phase III ADAURA trial of adjuvant osimertinib was reported 2 years earlier than planned following Independent Data Monitoring Committee recommendation because of an efficacy benefit. Osimertinib demonstrated a significant DFS benefit versus placebo in patients with EGFR-mutated stage IB-IIIA NSCLC after complete tumor resection with or without adjuvant chemotherapy.11 The DFS hazard

CONTEXT

Key Objective

ADAURA is an ongoing phase III trial assessing efficacy and safety of osimertinib versus placebo in patients with completely resected stage IB-IIIA non–small-cell lung cancer, with/without adjuvant chemotherapy. After 2 years of additional follow-up, we report updated analyses of final disease-free survival (DFS) data, recurrence patterns, and long-term safety.

Knowledge Generated

These updated data, in which all patients had the opportunity to receive 3 years of planned treatment, were consistent with the primary analysis and demonstrated prolonged DFS benefit with adjuvant osimertinib versus placebo: stage II-IIIA DFS hazard ratio, 0.23; 95% CI, 0.18 to 0.30; stage IB-IIIA DFS hazard ratio, 0.27; 95% CI, 0.21 to 0.34. Adjuvant osimertinib reduced the risk of local and distant recurrence, and improved CNS DFS. The long-term safety profile of osimertinib was consistent with the primary analysis.

Relevance (T.E. Stinchcombe)

The final DFS analysis demonstrated that adjuvant osimertinib improved DFS in resected EGFR-mutant non–small-cell lung cancer, and in the patient subgroups analyzed.*

*Relevance section written by JCO Associate Editor Thomas E. Stinchcombe, MD.

ratio (HR) for patients with stage II-IIIA was 0.17 (99.06% CI, 0.11 to 0.26); P < .001; DFS HR for stage IB-IIIA was 0.20 (99.12% CI, 0.14 to 0.30); P < .001, with a median treatment duration of 22.5 months (range, 0-38 months) for the osimertinib group and 18.7 months (range, 0-36 months) for the placebo group.¹¹ On the basis of these data, osimertinib was the first targeted therapy approved in many countries as an adjuvant treatment for resected EGFR-mutated stage IB-IIIA NSCLC¹⁶⁻¹⁸ and is recommended by international treatment guidelines.^{2,4}

Whether recurrences are local or distant following surgery can impact postrecurrence survival.¹⁹ CNS metastases are common among patients with NSCLC, and are a poor prognostic factor associated with deterioration in quality of life.^{20,21} In the ADAURA primary analysis, patients treated with osimertinib had a lower incidence of metastatic disease and the CNS DFS HR was 0.18 (95% CI, 0.10 to 0.33).¹¹

Here, we report updated DFS data at the protocol-specified maturity of approximately 50% and recurrence patterns after 2 years of further follow-up. We also report a post hoc exploratory analysis of DFS by disease stage according to the American Joint Committee on Cancer (AJCC)/Union for International Cancer Control (UICC) eighth edition cancer staging manual.²²

METHODS

Trial Design and Patients

Full details of ADAURA methodology have been published previously^{11,23} and are available in the Protocol (online only); the study design is shown in the Data Supplement (online only). Eligible patients age \geq 18 years (\geq 20 years in Japan and Taiwan) had NSCLC of postsurgical pathologic stage IB (T2a tumors > 3 cm and \leq 5 cm in size), II, or IIIA (AJCC/

UICC seventh edition), centrally confirmed *EGFR* mutation (exon 19 deletion [Ex19del]/L858R), and WHO performance status of 0 or 1. Complete surgical resection of primary NSCLC, and adjuvant chemotherapy (if required, per physician and patient choice) took place before random assignment. A computed tomography (CT) or magnetic resonance imaging (MRI) brain scan at baseline before surgery or random assignment was required. Patients were stratified by *EGFR* mutation (Ex19del/L858R), disease stage (IB/II/IIIA), and race (Asian/non-Asian) and randomly assigned 1:1 to receive oral osimertinib 80 mg once-daily or placebo until disease recurrence, meeting a treatment discontinuation criterion, or up to 3 years of treatment.

The protocol and amendments were approved by the relevant ethics committees. The trial was conducted in accordance with the International Conference for Harmonisation Good Clinical Practice guidelines, applicable regulatory requirements, and the trial sponsor's policy on bioethics and human samples. All patients provided written informed consent.

End Points and Assessments

The primary end point was DFS by investigator assessment in patients with stage II-IIIA disease. Secondary end points included DFS in the overall population (stage IB-IIIA), overall survival, health-related quality of life, and safety. Assessment of site(s) of recurrence (including the CNS; Data Supplement) and time to CNS disease recurrence or death by any cause (CNS DFS) were prespecified exploratory end points. Brain scans were not mandated at regular follow-up assessments; these were performed on the basis of symptoms that may have occurred at follow-up visits, or between visits. However, at the time of recurrence, MRI or contrast CT brain scans were required, per the protocol, to fully capture all sites of recurrence, in line with clinical guidelines. Post hoc analyses of competing risk of CNS recurrence and DFS according to AJCC/UICC eighth edition staging were performed.

Statistical Analysis

Per the protocol, the planned primary DFS analysis was to take place after approximately 247 DFS events (50% maturity) in stage II-IIIA had occurred. As the primary DFS analysis was completed in 2020 ahead of plan,¹¹ the statistical analysis plan was updated to account for a final exploratory

DFS analysis at the original protocol-specified maturity of approximately 50% in stage II-IIIA. Given that the primary end point was tested and reached statistical significance at the time of the primary analysis, no further formal statistical testing of DFS was planned; consequently, *P* values are not reported. The data cutoff date for this analysis was April 11, 2022. DFS was analyzed using a log-rank test stratified by disease stage (AJCC/UICC seventh edition II/IIIA or IB/II/IIIA), *EGFR* mutational status (Ex19del/L858R), and race (Asian/non-Asian), the same analysis method applied to the primary analysis.

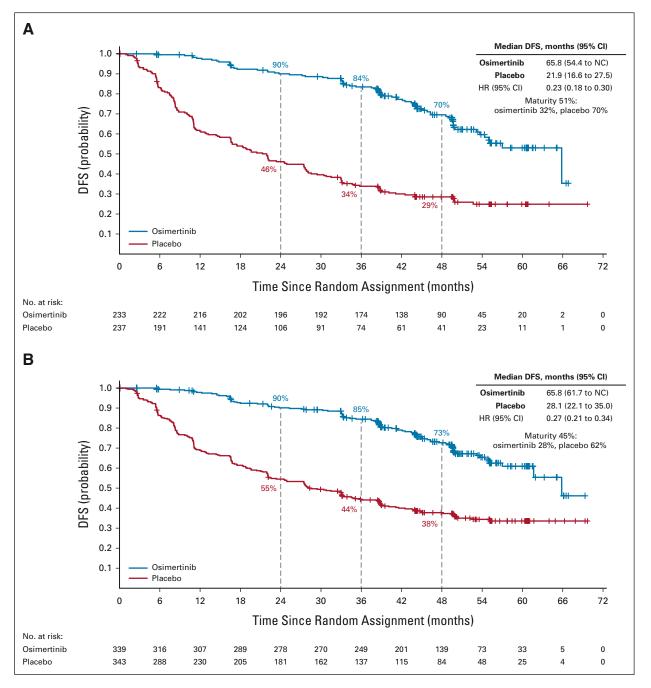


FIG 1. DFS per investigator assessment. Kaplan-Meier estimates of duration of (A) DFS in patients with stage II-IIIA disease and (B) in the overall population (stage IB-IIIA) by seventh edition staging per the protocol (full analysis set). Tick marks indicate censored data. An HR < 1 favors osimertinib. DFS, disease-free survival; HR, hazard ratio; NC, not calculated.

A competing risk analysis for CNS recurrence was performed and the probability of observing CNS recurrence, conditional on the patient not experiencing a competing risk of non-CNS recurrence or death by time *t*, was calculated using a Fine and Gray model. As no further scans were required once patients experienced DFS events, non-CNS disease recurrence events precluded the observation of the event of interest (CNS recurrence).

The final OS analysis is planned to take place at approximately 20% maturity in the stage II-IIIA population.

Study populations are defined in the Data Supplement.

RESULTS

Patients and Treatment

From November 2015 to February 2019, 682 eligible patients were randomly assigned: 339 to receive osimertinib and 343 to receive placebo (Data Supplement). At data cutoff, all patients had completed the planned treatment duration or prematurely discontinued treatment. Sixty-six percent (osimertinib) and 41% (placebo) of patients completed the planned treatment duration of 3 years.

Cumulative total exposure is shown in the Data Supplement. Median total treatment exposure was 35.8 months (range, 0-38 months) in the osimertinib group and 25.1 months (range, 0-39 months) in the placebo group.

Baseline characteristics have been reported previously (Data Supplement) and were balanced between the two treatment groups.¹¹ Use of brain CT and MRI scans at baseline before surgery or random assignment was balanced across groups and modalities, with 49% of patients receiving brain CT and 51% receiving brain MRI overall (Data Supplement).

Disease-Free Survival

In patients with stage II-IIIA disease, the median duration of follow-up for DFS was 44.2 months (range, 0-67 months) among the 233 patients on osimertinib and 19.6 months (range, 0-70 months) among the 237 patients on placebo. In the osimertinib group, 75 DFS events occurred (32% maturity) and 167 events occurred in the placebo group (70% maturity); overall DFS maturity was 51%. The DFS HR was 0.23 (95% CI, 0.18 to 0.30; Fig 1A). Median DFS was longer for the osimertinib group at 65.8 months (95%

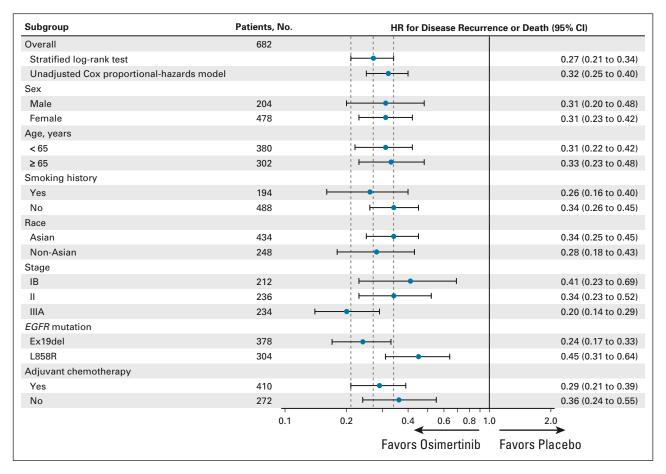


FIG 2. Disease-free survival subgroup analysis per investigator assessment (full analysis set; overall population). The subgroup analysis was performed using a Cox proportional hazards model including treatment, subgroup, and a treatment-by-subgroup interaction term. An HR < 1 favors osimertinib. *EGFR*, epidermal growth factor receptor; HR, hazard ratio.

CI, 54.4 to not calculable [NC]) than the placebo group at 21.9 months (95% CI, 16.6 to 27.5). The percentage of patients alive and disease-free at 48 months was 70% (95% CI, 62 to 76) for osimertinib and 29% (95% CI, 23 to 35) for placebo.

In the overall population of 682 patients with stage IB-IIIA disease, 94 patients in the osimertinib group (28% maturity) and 211 in the placebo group had DFS events (62% maturity; overall maturity 45%). The DFS HR was 0.27 (95% CI, 0.21 to 0.34; Fig 1B). Median DFS was 65.8 months (95% CI, 61.7 to NC) for osimertinib compared with 28.1 months (95% CI, 22.1 to 35.0) for placebo. At 48 months, the percentage of patients alive and disease-free was 73% (95% CI, 67 to 78) for osimertinib and 38% (95% CI, 32 to 43) for placebo.

DFS benefit with osimertinib in the overall population was consistent across all predefined subgroups (Fig 2) including patients who did and did not receive prior adjuvant chemotherapy, by age (<65 years/≥65 years), race

(Asian/non-Asian), smoking history (yes/no), and disease stage (IB/II/IIIA [AJCC/UICC, seventh edition]; see Data Supplement for Kaplan-Meier curves for stages IB, II, and IIIA). Among patients with stage IB disease, the percentages alive and disease-free at 48 months were 80% (95% CI, 70 to 87) for osimertinib and 59% (95% CI, 48 to 68) for placebo; the DFS HR was 0.41 (95% CI, 0.23 to 0.69; Table 1). Among those with stage II disease, these percentages were 74% (95% CI, 64 to 82) and 42% (95% CI, 33 to 51), respectively (DFS HR, 0.34; 95% CI, 0.23 to 0.52); among those with stage IIIA disease, these percentages were 65% (95% CI, 54 to 74) and 14% (95% CI, 8 to 22), respectively (DFS HR, 0.20; 95% CI, 0.14 to 0.29; Table 1). Treatment status at recurrence is shown in the Data Supplement.

DFS by Disease Stage According to AJCC/UICC Eighth Edition Staging Manual

Following restaging to AJCC/UICC, eighth edition staging manual on the basis of data captured during follow-up, per

 TABLE 1.
 Disease-Free Survival According to Investigator Assessment by Stage According to AJCC/UICC Seventh (FAS; overall population) and Eighth Edition

 Staging Manual (exploratory reclassification analysis; FAS; overall population)

	Staging by AJCC/UICC Seventh Edition Staging Manual							
	Stage IB		Sta	ge II	Stage IIIA			
Disease-Free Survival	Osimertinib $(n = 106)$	Placebo (n = 106)	Osimertinib (n = 118)	$\begin{array}{l} Placebo\\ (n=118) \end{array}$	Osimertinib (n = 115)	Placebo (n = 119)		
Months, median (95% CI)	NR (61.7 to NC)	NR (44.9 to NC)	65.8 (55.0 to NC)	31.5 (22.1 to 49.7)	55.1 (49.5 to NC)	12.9 (11.0 to 19.0)		
HR (95% CI)ª	0.41 (0.23 to 0.69)		0.34 (0.23 to 0.52)		0.20 (0.14 to 0.29)			
Patients alive and disease-free, % (95% Cl), months								
36	87 (78 to 92)	68 (58 to 76)	85 (76 to 90)	46 (37 to 55)	83 (74 to 88)	22 (14 to 29)		
48	80 (70 to 87)	59 (48 to 68)	74 (64 to 82)	42 (33 to 51)	65 (54 to 74)	14 (8 to 22)		
60	78 (67 to 86)	53 (42 to 63)	58 (43 to 70)	37 (27 to 47)	49 (36 to 61)	12 (7 to 20)		

	Staging by AJCC/UICC Eighth Edition Staging Manual							
	Stage IB		Sta	age II	Stage IIIA			
Disease-Free Survival	Osimertinib (n = 101)	Placebo (n = 98)	Osimertinib (n = 113)	Placebo $(n = 119)$	Osimertinib (n = 110)	Placebo (n = 115)		
Months, median (95% CI)	NR (61.7 to NC)	NR (45.0 to NC)	65.8 (54.4 to NC)	33.1 (24.5 to 49.8)	55.1 (49.5 to NC)	14.4 (11.0 to 21.3)		
HR (95% CI) ^a	0.44 (0.25 to 0.76)		0.33 (0.21 to 0.50)		0.22 (0.15 to 0.31)			
Patients alive and disease-free, % (95% Cl), months								
36	86 (77 to 92)	68 (57 to 76)	85 (76 to 90)	47 (38 to 56)	84 (75 to 89)	24 (17 to 32)		
48	80 (69 to 87)	60 (49 to 69)	75 (65 to 83)	43 (34 to 52)	66 (55 to 75)	16 (10 to 24)		
60	78 (67 to 86)	55 (43 to 65)	60 (44 to 72)	37 (27 to 47)	47 (33 to 59)	15 (8 to 23)		

Abbreviations: AJCC, American Joint Committee on Cancer; FAS, full analysis set; HR, hazard ratio; NC, not calculable; NR, not reached; UICC, Union for International Cancer Control.

^aThe subgroup analysis was performed using a Cox proportional hazards model including treatment, subgroup, and a treatment-by-subgroup interaction term. An HR < 1 favors osimertinib.

TABLE 2.	Types and Sites of Dis	ease Recurrence (FAS; overall population)
Type/Site	of Disease Recurrence	Osimertinib ($n = 339$) Placebo ($n = 343$)

Type/Site of Disease Recurrence	Osimertinib (n = 339)	Placebo (n = 343)
DFS events ^a	94 (28)	211 (62)
Disease recurrence	93 (27)	205 (60)
Local/regional only	42 (12)	78 (23)
Distant only	45 (13)	107 (31)
Local/regional and distant	6 (2)	20 (6)
Death ^b	1 (< 1)	6 (2)
Location of first site of recurrence		
Lung	39 (12)	90 (26)
CNS ^{c,d}	22 (6)	39 (11)
CNS only	16 (5)	30 (9)
CNS plus other locations	6 (2)	9 (3)
Lymph nodes	19 (6)	59 (17)
Bone	13 (4)	32 (9)
Adrenal	5 (1)	3 (1)
Pleura	5 (1)	22 (6)
Liver	4 (1)	11 (3)
Pleural effusion	3 (1)	10 (3)
Head and neck	2 (1)	3 (1)
Breast	1 (< 1)	0
Renal	1 (< 1)	0
Ovary	0	1 (< 1)
Pancreas	0	1 (< 1)
Peritoneum	0	1 (< 1)
Other	4 (1)	4 (1)
Missing	3 (1)	0

NOTE. Patients can have more than one location of recurrence. Data are presented as No. (%).

Abbreviations: DFS, disease-free survival; FAS, full analysis set.

^aDFS events defined as disease recurrence or death without any disease recurrence.

^bDeath without disease recurrence, or death occurring within two visits of baseline where the patient has no evaluable assessments or no baseline data.

^cNumber of patients with disease recurrence regardless of pathology results of the tumor recurrence location.

^dOne patient in the osimertinib group and one patient in the placebo group had CNS metastases reported at baseline. In addition, one patient in the osimertinib group had two consecutive missing assessments immediately before a CNS DFS event was recorded; therefore, these three patients were censored for the CNS DFS efficacy analysis.

investigator assessment, 656/682 patients had stage IB-IIIA disease. Of the remaining 26 patients, three patients were classified as stage IA, 18 were stage IIIB, one was stage IV, and four were missing. The proportion in each stage group was 29% (IB), 34% (II), and 33% (IIIA; Data Supplement). There was a DFS benefit across stages IB-IIIA among patients after restaging (Table 1; see Data Supplement for Kaplan-Meier curves for stages IB, II, and IIIA). Landmark DFS rates were consistent with those for patients classified using the seventh edition manual (Table 1).

In the overall population, fewer patients had a disease recurrence with osimertinib versus placebo (93/339; 27% *v* 205/343; 60%). In the osimertinib group, 45/339 (13%) had distant metastases only, 42/339 (12%) had local/regional only, and 6/339 (2%) had local/regional and distant recurrences. In the placebo group, 107/343 (31%) had distant metastases only, 78/343 (23%) had local/regional only, and 20/343 (6%) had local/regional and distant recurrences (Table 2). Fewer patients had disease recurrence on osimertinib than placebo across the most common first sites of recurrence: lung, CNS, lymph nodes, and bone (Table 2).

CNS Recurrence

In patients with stage II-IIIA disease, CNS DFS events, defined as CNS disease recurrence or death by any cause, occurred in 22/233 (9%) and 41/237 (17%) patients in the osimertinib and placebo groups, respectively, of whom 18 (8%; osimertinib) and 32 (14%; placebo) patients had CNS recurrences. In the overall population, CNS DFS events occurred in 25/339 (7%) and 50/343 (15%) patients in the osimertinib and placebo groups; of these, 20 patients (6%; osimertinib) and 38 (11%; placebo) had CNS recurrences.

In patients with stage II-IIIA disease, CNS DFS HR was 0.24 (95% CI, 0.14 to 0.42; Fig 3A). In the overall population, CNS DFS HR was 0.36 (95% CI, 0.23 to 0.57; Data Supplement). For both the stage II-IIIA and overall populations, median CNS DFS was not reached in the osimertinib group (95% CI, 65.8 to NC) or the placebo group (95% CI, NC to NC). In stage II-IIIA disease, at 48 months, 90% (95% CI, 85 to 94) of patients with osimertinib and 75% (95% CI, 67 to 81) with placebo were alive and CNS disease-free. In the overall population, at 48 months, 92% (95% CI, 88 to 95) of patients with osimertinib and 81% (95% CI, 75 to 85) with placebo were alive and CNS disease-free.

In the stage II-IIIA population, 15/18 CNS recurrences in the osimertinib group occurred following completion or discontinuation of treatment compared with 3/32 CNS recurrences in the placebo group. Treatment status at CNS recurrence and timing of CNS recurrence by baseline imaging modality are shown in the Data Supplement.

In stage II-IIIA, the estimated probability of observing CNS recurrence (in the absence of non-CNS recurrence or death) at 36 months was 2% (95% CI, 0.86 to 5.03) with osimertinib versus 13% (95% CI, 8.52 to 18.48) with placebo. The cumulative incidence of CNS recurrence was consistently lower in the osimertinib group than in the placebo group (Fig 3B). Estimated probability data for the overall population are shown in the Data Supplement.

Safety

The safety analysis set included 680 patients (osimertinib: n = 337; placebo: n = 343). Adverse events (AEs) were reported in 330 (98%) and 309 (90%) patients in the osimertinib and placebo groups, respectively (Table 3).

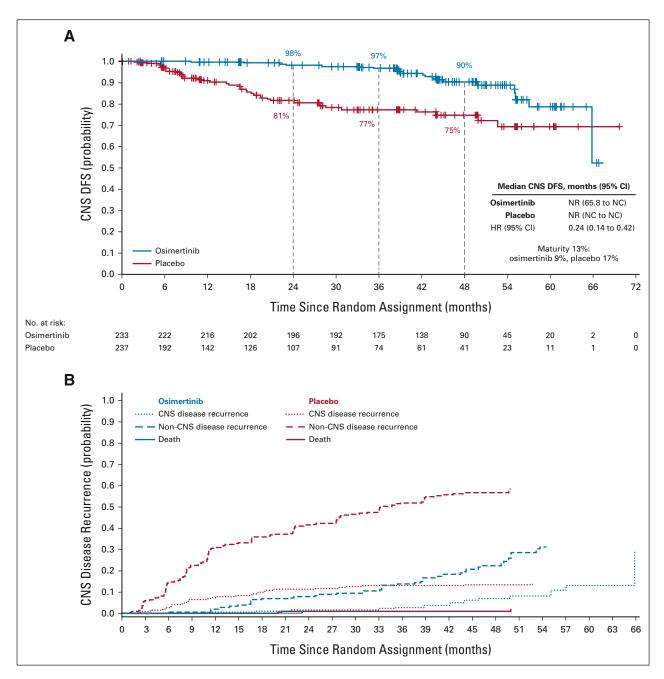


FIG 3. CNS analyses (full analysis set; stage II-IIIA). Kaplan-Meier estimates of duration of (A) CNS DFS per investigator assessment in patients with stage II-IIIA disease. Tick marks indicate censored data. An HR < 1 favors osimertinib. (B) Conditional probability of observing CNS and non-CNS recurrence. The graph shows the estimated probability of observing CNS recurrence event, conditional on the patient not experiencing a competing risk event (non-CNS recurrence and death by any cause) by time t. Cumulative incidence was calculated using a Fine and Gray model. CNS disease recurrence includes patients who have disease recurrence in the CNS alone or in the CNS in addition to other anatomies at the same overall visit. Non-CNS recurrence includes disease recurrence outside the CNS only. Death was defined as death occurring without confirmed CNS or non-CNS recurrence. DFS, disease-free survival; HR, hazard ratio; NC, not calculated; NR, not reached.

Incidence of Common Terminology Criteria for Adverse Events (version 4) grade \geq 3 AEs were 23% in the osimertinib group and 14% in the placebo group; AEs considered by the investigator to be possibly causally related to study drug (all grades) were 91% (osimertinib) and 58% (placebo; Data Supplement). Incidence rates of serious AEs were 20% (osimertinib) and 14% (placebo; Data Supplement). One fatal AE was reported in the osimertinib group; two fatal AEs were reported in the placebo group; none were considered to be causally related to study drug. Dose interruptions, dose reductions, and discontinuations of study drug because of AEs were 27%, 12%, and 13% in the **TABLE 3.** Summary of AEs and Most Common All Causality AEs Reported in \geq 10% of Patients in the Osimertinib or Placebo Treatment Groups (safety analysis set)

AE ^a	Osimertinib ($n = 337$)	Placebo (n = 343)
Any AE	330 (98)	309 (90)
Any AE \geq grade 3	79 (23)	48 (14)
Any SAE	68 (20)	47 (14)
Any AE with outcome of death ^b	1 (< 1)	2 (1)
Any AE leading to treatment discontinuation	43 (13)	9 (3)
Any AE leading to dose interruption	91 (27)	43 (13)
Any AE leading to dose reduction	42 (12)	3 (1)
Any AE causally related to study drug ^c	308 (91)	199 (58)
AE \geq grade 3 causally related to study drug ^c	36 (11)	7 (2)
SAE causally related to study drug ^c	10 (3)	2 (1)
AE with outcome of death causally related to study $d\mbox{rug}^{\rm c}$	0	0
AE leading to treatment discontinuation causally related to study drug ^c	35 (10)	5 (1)

	Osimertinib (n $=$ 337)				Placebo (n = 343)			
Most Common All-Causality AE ^d	Any Grade	Grade 1	Grade 2	Grade 3	Any Grade	Grade 1	Grade 2	Grade 3
Diarrhea	159 (47)	114 (34)	36 (11)	9 (3)	70 (20)	55 (16)	14 (4)	1 (< 1)
Paronychia	92 (27)	33 (10)	56 (17)	3 (1)	5 (1)	2 (1)	3 (1)	0
Dry skin	84 (25)	79 (23)	4 (1)	1 (< 1)	23 (7)	19 (6)	4 (1)	0
Pruritus	70 (21)	52 (15)	18 (5)	0	30 (9)	28 (8)	2 (1)	0
Cough	66 (20)	45 (13)	21 (6)	0	61 (18)	44 (13)	17 (5)	0
Stomatitis	59 (18)	35 (10)	18 (5)	6 (2)	15 (4)	11 (3)	4 (1)	0
Upper respiratory tract infection	53 (16)	29 (9)	22 (7)	2 (1)	37 (11)	19 (6)	18 (5)	0
Nasopharyngitis	50 (15)	31 (9)	19 (6)	0	36 (10)	25 (7)	11 (3)	0
Decreased appetite	48 (14)	33 (10)	13 (4)	2 (1)	13 (4)	9 (3)	4 (1)	0
Dermatitis acneiform	41 (12)	31 (9)	10 (3)	0	16 (5)	12 (3)	4 (1)	0
Mouth ulceration	39 (12)	32 (9)	7 (2)	0	10 (3)	7 (2)	3 (1)	0
Weight decreased	35 (10)	19 (6)	14 (4)	2 (1)	9 (3)	7 (2)	2 (1)	0
Nausea	34 (10)	28 (8)	5 (1)	1 (< 1)	20 (6)	15 (4)	5 (1)	0
Rash	33 (10)	24 (7)	9 (3)	0	12 (3)	10 (3)	2 (1)	0
Arthralgia	23 (7)	18 (5)	5 (1)	0	37 (11)	32 (9)	5 (1)	0
Headache	26 (8)	24 (7)	2 (1)	0	34 (10)	27 (8)	7 (2)	0

NOTE. Data are presented as No. (%). Includes AEs with an onset date on or after the date of first dose and up to and including 28 days following the discontinuation of study treatment and before starting subsequent cancer therapy.

Abbreviations: AE, adverse event; SAE, serious adverse event.

^aPatients with multiple events in the same category are counted only once in that category. Patients with events in more than one category are counted once in each of those categories.

^bOne fatal AE of respiratory insufficiency (following COVID-19 pneumonia) was reported in the osimertinib group; two fatal AEs (pulmonary embolism and an unknown fatal event) were reported in the placebo group. None of the fatal AEs were considered by the investigator to be related to study drug.

^cAs assessed by the investigator.

^dAll-causality AEs reported in at least 10% of patients treated with osimertinib or placebo, by maximum Common Terminology Criteria for Adverse Events grade.

osimertinib group and 13%, 1%, and 3% in the placebo group. The most commonly reported AEs (of all causality) were diarrhea (osimertinib 47% v placebo 20%), paronychia (27% v 1%), and dry skin (25% v 7%; Table 3). Interstitial lung disease (grouped term) was reported in 11 (3%) and zero patients in the osimertinib and placebo groups, respectively; all AEs were grades 1 or 2 and none were fatal. Cardiac effects (grouped term) were reported in 19 (6%) and nine (3%) patients in the osimertinib and placebo groups, respectively. Electrocardiogram QT prolonged was reported in 30 (9%) patients in the osimertinib group and eight (2%) patients in the placebo group (Data Supplement).

DISCUSSION

In this updated analysis of the final, mature DFS data, all 682 patients had the opportunity of 3 years of treatment; 66% and 41% patients completed 3 years of planned adjuvant osimertinib and placebo treatment, respectively. Adjuvant osimertinib demonstrated a sustained clinically meaningful DFS benefit, consistent with primary reporting¹¹ (DFS HR, 0.23; 95% CI, 0.18 to 0.30 in stage II-IIIA; DFS HR, 0.27; 95% CI, 0.21 to 0.34 in the overall population). Consistent with the primary analysis,^{11,18,24} DFS benefit was observed across all subgroups and stages defined, including by the AJCC/UICC eighth edition manual.

The early separation in the Kaplan-Meier curves reported in the primary analysis was sustained to the last observed date in this updated analysis. There was an observed trend toward an increased DFS event rate beyond 36 months compared with the previous 36 months in the osimertinib group; however, the benefit of osimertinib treatment is clearly maintained as the curves remain separated beyond the 3-year treatment period. This observation suggests that some patients may benefit from adjuvant osimertinib beyond 3 years; molecular profiling and monitoring of minimal residual disease may help inform optimal treatment duration.

Overall recurrences, including locoregional only and distant recurrence, were lower with osimertinib compared with placebo. In both treatment groups, the majority of recurrences involved a distant recurrence, albeit with a smaller proportion of patients with distant recurrence in the osimertinib group. The increased maturity of these data provides a robust assessment of recurrence patterns.

Importantly, CNS DFS data from ADAURA demonstrate clear CNS efficacy with osimertinib. CNS DFS was improved with osimertinib in the stage II-IIIA (HR, 0.24; 95% CI, 0.14 to 0.42) and overall population (HR, 0.36; 95% CI, 0.23 to 0.57). The majority of CNS recurrences in the osimertinib group occurred after treatment was completed. In the CNS DFS analysis (stage II-IIIA), only three of 18 patients in the osimertinib group had CNS as their first site of recurrence while on treatment, compared with 29 of 32 patients in the placebo group. The conditional probability of CNS recurrence was consistently lower with osimertinib compared with placebo. The use of CT or MRI as baseline brain imaging in ADAURA was similar between groups and unlikely to have affected CNS efficacy assessment (Data Supplement).

Previous trials of adjuvant first-generation EGFR-TKIs have reported CNS efficacy results. The ADJU-VANT/CTONG1104 trial reported a reduced risk of extracranial metastases with gefitinib, although a high incidence of CNS metastases was observed among all treated patients (adjuvant gefitinib 29/106 [27%]; adjuvant chemotherapy, 21/87 [24%]).^{9,25} Higher rates of CNS metastases over comparator were also seen among patients who experienced recurrence in the IMPACT²⁶ and RADIANT trials.²⁷ Although these trials differ with respect to patient population and follow-up time, these results in the adjuvant setting are consistent with findings that first- and secondgeneration EGFR-TKIs have less CNS efficacy compared with osimertinib in advanced NSCLC.^{13,14} These updated ADAURA data demonstrate osimertinib to be a compelling therapeutic option to reduce the risk of developing CNS metastases in resected EGFRmutated NSCLC.

The safety profile observed for this extended treatment duration was consistent with the primary analysis. No new safety concerns were reported.

These updated data highlight the importance of routine *EGFR* testing at diagnosis to ensure that patients have the opportunity for optimal treatment. Future data of interest from ADAURA include long-term safety, subsequent treatment patterns, and overall survival. Tumor and circulating tumor DNA molecular profiling for analyses of minimal residual disease and acquired resistance may provide important information on persistence and resistance mechanisms to optimize treatment strategies in this setting.

Other ongoing studies will inform the efficacy and safety of osimertinib as adjuvant treatment for resected EGFR-mutated stage IA2-IA3 NSCLC (ADAURA2; ClinicalTrials.gov identifier: NCT05120349), as 5-year adjuvant treatment for EGFR-mutated stage II-IIIB (TARGET; ClinicalTrials.gov identifier: NCT05526755), as neoadjuvant treatment for EGFR-mutated stage II-IIIB N2 NSCLC (NeoADAURA; Clinical-Trials.gov identifier: NCT04351555), and as maintenance therapy in unresectable EGFR-mutated stage III NSCLC (LAURA; ClinicalTrials.gov identifier: NCT03521154).

Other EGFR-TKIs are being investigated in the adjuvant setting.^{7,28-34} The adjuvant treatment setting is also expanding beyond EGFR-TKIs to include immunotherapies.^{35,36} However, the benefit of immunotherapy has not been established for patients with EGFR-mutated disease, and patient numbers in prospective immunotherapy trials to date are limited.

In conclusion, the prolonged DFS benefit over placebo, magnitude of DFS benefit, reduced risk of local and distant recurrence, improved CNS DFS, and consistent safety profile observed in this updated analysis support adjuvant osimertinib as a highly effective treatment in patients with resected EGFR-mutated stage IB-IIIA NSCLC.

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Provision of standard data underlying the findings described in this manuscript may be obtained in accordance with AstraZeneca's data sharing policy described at https://

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