

Proximal binding of dCas9 at a DNA double strand break stimulates homology-directed repair as a local inhibitor of classical non-homologous end joining

Yi-Li Feng^{1,2,†}, Si-Cheng Liu^{1,2,†}, Ruo-Dan Chen^{1,2}, Xiu-Na Sun^{1,2}, Jing-Jing Xiao^{1,2}, Ji-Feng Xiang^{1,2,3} and An-Yong Xie^{1,2,*}

¹Innovation Center for Minimally Invasive Technique and Device, Department of General Surgery, Sir Run Run Shaw Hospital, Zhejiang University School of Medicine, Hangzhou, Zhejiang 310019, P.R. China, ²Institute of Translational Medicine, Zhejiang University School of Medicine and Zhejiang University Cancer Center, Hangzhou, Zhejiang 310029, P.R. China and ³Department of General Surgery, Chongqing General Hospital, Chongqing 400013, China

Received October 04, 2022; Revised February 06, 2023; Editorial Decision February 06, 2023; Accepted February 08, 2023

ABSTRACT

In CRISPR/Cas9 genome editing, the tight and persistent target binding of Cas9 provides an opportunity for efficient genetic and epigenetic modification on genome. In particular, technologies based on catalytically dead Cas9 (dCas9) have been developed to enable genomic regulation and live imaging in a site-specific manner. While post-cleavage target residence of CRISPR/Cas9 could alter the pathway choice in repair of Cas9-induced DNA double strand breaks (DSBs), it is possible that dCas9 residing adjacent to a break may also determine the repair pathway for this DSB, providing an opportunity to control genome editing. Here, we found that loading dCas9 onto a DSB-adjacent site stimulated homology-directed repair (HDR) of this DSB by locally blocking recruitment of classical non-homologous end-joining (c-NHEJ) factors and suppressing c-NHEJ in mammalian cells. We further repurposed dCas9 proximal binding to increase HDR-mediated CRISPR genome editing by up to 4-fold while avoiding exacerbation of off-target effects. This dCas9-based local inhibitor provided a novel strategy of c-NHEJ inhibition in CRISPR genome editing in place of small molecule c-NHEJ inhibitors, which are often used to increase HDR-mediated genome editing but undesirably exacerbate off-target effects.

INTRODUCTION

Since its induction, the clustered regularly interspaced short palindromic repeat (CRISPR) system has become a pow-

erful, revolutionary genome editing tool with broad application in biology, agriculture and medicine (1,2). In CRISPR genome editing, site-specific DNA double strand breaks (DSBs) induced by CRISPR-associated (Cas) nucleases in eukaryotic cells are repaired mainly by two evolutionarily conserved DSB repair mechanisms, homology-directed repair (HDR) and non-homologous end joining (NHEJ), generating the desired DNA edits among varieties of repair products (3). In mammalian cells, the HDR pathway is restricted to the S/G2 phase of the cell cycle where the primary homologous template for HDR is provided by sister chromatids. The DNA ends of a DSB are resected to form the Rad51 filament for homology search and pairing in HDR of the DSB. In contrast, the NHEJ pathway operates throughout the cell cycle and is generally a faster process. NHEJ can be further divided into at least two sub-pathways, the primary classical NHEJ (c-NHEJ) and alternative end joining (a-EJ) (4,5). c-NHEJ requires the core NHEJ factors such as DNA-PK catalytic subunit (DNA-PKcs), Ku70/Ku80 and XRCC4/DNA ligase 4 to catalyze ligation of DNA ends. Upon Cas nuclease-induced DSBs, the Ku70/Ku80 heterodimer, the most abundant end-binding proteins in mammalian cells, binds to the DSB ends along with DNA-PKcs and recruits XRCC4/DNA ligase 4 for end ligation while protecting the ends from an attack by end processing enzymes. Previous studies have demonstrated that c-NHEJ is intrinsically accurate in repair of Cas nuclease-induced DSBs, the ends of which are readily ligatable (6–8). A-EJ is considered more error-prone and employed to re-ligate the ends if either of the core NHEJ factors is deficient or not engaged.

Given the slower process of HDR, the availability of homologous templates, the restriction of cell cycle stages and

*To whom correspondence should be addressed. Tel: +86 571 86971680; Fax: +86 571 88981576; Email: anyongxie@zju.edu.cn

†The authors wish it to be known that, in their opinion, the first two authors should be regarded as Joint First Authors.

predominant c-NHEJ competition, HDR is generally much less efficient than c-NHEJ in mammalian cells. The low level of HDR often limits application of CRISPR genome editing. One major effort in CRISPR genome editing is to develop approaches to increase the HDR efficiency (3,9). Increasing local concentration of homologous templates at the DSB site has been attempted for enhancing HDR in CRISPR genome editing (3,9–11). Fusion of HDR facilitators to the widely used Cas nuclease *Streptococcus pyogenes* Cas9 (*SpCas9*) and arresting the cell cycle at the S/G2 phase by chemicals have improved HDR-mediated CRISPR genome editing (3,9,12–18). Chemical inhibition or genetic inactivation of c-NHEJ, the most dominant competing pathway against HDR, could channel DSBs that are supposedly repaired by c-NHEJ to HDR, thereby increasing the efficiency of HDR in repair of Cas nuclease-induced DSBs (3,8,9). Indeed, inhibition of c-NHEJ is often used to promote HDR-mediated genome editing (3,9,19–22). However, due to global inhibition of c-NHEJ, our recent study demonstrated that this approach unavoidably exacerbates off-target effect (8). We reason this off-target problem could be solved by local inhibition of c-NHEJ; but such a strategy has yet to be developed.

Binding of *SpCas9* as well as many other Cas nucleases to its target is mediated by the base pairing between the sgRNA spacer and DNA target strand and by the interactions between the Cas protein and target DNA prior to DSB induction (23,24). These interactions entail strong and persistent binding of the Cas9–sgRNA complex to its target and help maintain its target residence for hours even after Cas9-induced DNA cleavage at some sites (25,26). Cas9-induced DSBs are exposed after target dissociation of Cas9–sgRNA. As exposure of Cas9-induced DSBs is prerequisite for engaging DSB repair, target residence of Cas9–sgRNA adds a layer of control on pathway choices in repair of Cas9-induced DSBs, contributing to the heterogeneity of CRISPR/Cas9 genome editing (8,24). The similarly persistent binding of nuclease dead *SpCas9* (*dSpCas9*) to its target is capable to slow or block DNA replication and transcription in cells (27–29). It is also conceivable that tight target binding and persistent target residence of *dCas9* enable efficient application of *dCas9*-based platforms in transcription regulation, epigenetic modification, genomic imaging, base editing and prime editing (30). Additionally, *dSpCas9* proximal binding increases DNA cleavage by other Cas nucleases, thus enhancing both NHEJ and HDR-mediated genome editing (31). *In vitro* assays indicated that *dSpCas9* proximal binding modulated neighboring chromatin dynamics and increased the accessibility of *Lachnospiraceae bacterium* Cas12a (*LbCas12a*) target for DNA cleavage (32). Considering tight binding and persistent residence of Cas9–sgRNA at many target sites, *dCas9*–sgRNA targeting to a site adjacent to a DSB could compete with Ku70/Ku80 for binding to DNA ends of the DSB. Thus, *dCas9* proximal binding might preclude the access of DNA ends to Ku70/Ku80, the end binding of which is necessary for efficient recruitment of DNA-PKcs and XRCC4/DNA ligase 4 and for efficient c-NHEJ repair of the DSB. This prompts us to hypothesize that *dCas9* proximal binding may sufficiently and locally promotes HDR by suppressing c-NHEJ.

Here, we tested the hypothesis above and found that *dSpCas9* loaded onto a site adjacent to a break stimulate HDR of this DSB. This HDR stimulation requires the presence of c-NHEJ factors in the cells. Further investigation revealed that *dSpCas9* proximal binding blocked recruitment of the core NHEJ factors to the ends of the neighboring DSBs, thus locally suppressing c-NHEJ. We repurposed *dSpCas9* proximal binding to increase HDR-mediated CRISPR genome editing by up to 4-fold and extended this strategy to catalytically dead *Staphylococcus aureus* Cas9 (*dSaCas9*). Unlike NHEJ inhibition by chemical or genetic approaches that exacerbate off-target effect in CRISPR genome editing (8), *dCas9* proximal binding promotes HDR locally while avoiding exacerbation of off-target effect, thus providing an improved strategy of c-NHEJ inhibition in HDR-mediated CRISPR genome editing.

MATERIALS AND METHODS

Plasmids and single-stranded oligodeoxynucleotides (ssODN)

The U6-sgRNA plasmid for *LbCas12a* was generated by cloning CACCGAATTTCTACTAAGGTAGAT(BbsI) aagtcttcgaattcgaagacgg (BbsI) TTTTTT into the BbsI sites of the U6-sgRNA vector for *SpCas9* and the 22-nt spacer between the two newly inserted BbsI sites can be replaced. The sgRNA target sequences and respective mismatch mutations for *SpCas9*, *SaCas9* and *LbCas12a* are listed in Supplementary Table S1. The expression plasmids for truncated and mismatched sgRNAs were constructed as described previously (33), and the expression plasmids for *SpCas9* variants *eSpCas9* or *SpCas9*-HF1 were described before (34,35). *dSaCas9* was generated by site-directed mutation using KOD Plus-Neo Kit (TOYOBO) (36).

The donor plasmid containing truncated green fluorescent protein (*GFP*) for *GFP* correction experiments was derived from the HDR reporter plasmid by deleting the *I-SceI-GFP* cassette. The HDR reporter plasmid was previously constructed (37). The ssODN donor contained about 150-nt *GFP* homology flanking the *I-SceI* site on the HDR reporter and was synthesized by TsingKe Biological Technology (Supplementary Table S2). The donor plasmid for targeted *GFP* knock-in at the *Rosa26* locus of mouse embryonic stem cells (mESCs) was generated by placing a homology arm to both sides of a *PGK-GFP* expression cassette. The donor sequences including homology arms are listed in Supplementary Table S2.

Cell lines

mESCs containing a single copy of the NHEJ reporter or the HDR reporter and U2OS cells containing a single copy of the HDR reporter were previously established as described before (8,37–40). mESCs were grown in medium supplied with 20% fetal bovine serum (Gibco), 1% penicillin-streptomycin (Gibco), 2 mM L-glutamine (Gibco), 0.1 mM β -mercaptoethanol (Sigma), 0.1 mM non-essential amino acid (Gibco), 1 mM sodium pyruvate (Gibco) and 1000 U/ml leukemia inhibitory factor

(Millipore) on either MEF feeders or gelatinized plates. Human U2OS cells were cultured in high glucose DMEM containing 10% fetal bovine serum, 1% penicillin-streptomycin and 2 mM L-glutamine. Isogenic *XRCC4*^{+/+} and *XRCC4*^{-/-} mESCs containing the HDR reporter and *DNA-PKcs*^{-/-} and *Ku80*^{-/-} HDR reporter mESCs along with isogenic wild-type clones were generated previously (8,41).

Generation of the *I-SceI-GFP* correction reporter

To generate *I-SceI-GFP* correction reporter mESC clones by the paired Cas9-sgRNA approach previously established (6), 2×10^5 mESCs harboring HDR reporter were transfected with the expression plasmids for Cas9 and paired sgRNAs targeting *TrGFP* cassette in a 24-well plate, and were seeded on mouse embryonic fibroblast (MEF) feeder cells at 10-cm plate at 2 days (d) post transfection for single clones without any antibiotic selection. Single clones were picked at 7–14 d post-transfection, expanded and verified by PCR along with Sanger sequencing. Similarly, to generate *I-SceI-GFP* correction reporter U2OS clones, 1.0×10^5 U2OS cells harboring HDR reporter were transfected with the expression plasmids for Cas9 and paired sgRNAs targeting *TrGFP* cassette in a 24-well plate. After 3 days, 200–400 cells were seeded onto a 100-mm plate. Single clones were picked after 14 d, expanded and verified by PCR along with Sanger sequencing. Primers for PCR were listed on (Supplementary Table S2).

Transfection and DSB repair reporter assays

Transfection of mESCs was done with Lipofectamine 2000 (Invitrogen) in 24-well plates as previously described (42). Total 2×10^5 mESCs harboring the HDR/NHEJ reporter were transfected with 0.5 μg total DNA. For U2OS cells transfection, 1.0×10^5 cells were seeded on a 24-well plate and grown to 80–95% confluence. 0.8 μg total DNA were transfected by Lipofectamine 2000. Cells harboring the NHEJ or HDR reporter were transfected with pcDNA3β-I-SceI or the expression plasmids for *SpCas9*-sgRNA, *LbCas12a*-sgRNA or *SaCas9*-sgRNA as previously described (8).

In *dSpCas9*-sgRNA blockage experiments, cells were co-transfected with the expression plasmids for I-SceI, the *LbCas12a*-sgRNA complex or the *SaCas9*-sgRNA complex, together with the expression plasmids for *dSpCas9*-sgRNA. The ratio of I-SceI, *LbCas12a*-sgRNA or *SaCas9*-sgRNA to *dSpCas9*-sgRNA in transfection amount is 1:1. If necessary, cells were treated with the DNA-PKcs inhibitor NU7441 (TopScience Cat# T6276) or Nocodazole (Sigma-Aldrich Cat# M1404) at 6 h post-transfection. NU7441 was replaced with a fresh addition of the drug the next day. Nocodazole was withdrawn after 12 h and replaced by fresh medium for the rest of the experiment. GFP⁺ cells were determined by fluorescence-activated cell sorting (FACS) using Beckmann Coulter CytoFLEX at 72 h post-transfection. The frequencies of NHEJ, HDR and genome editing were calculated after being corrected with background readings and normalized with transfection efficiencies as described before (8).

In *dSaCas9*-sgRNA blockage experiments, cells were co-transfected with the expression plasmids for I-SceI or

the *SpCas9*-sgRNA complex, together with the expression plasmids for *dSaCas9*-sgRNA. The ratio of I-SceI or *SpCas9*-sgRNA to *dSaCas9*-sgRNA in transfection amount is 1:1. GFP⁺ cells were determined by FACS at 72 h post-transfection.

HDR-based *GFP* correction and knock-in editing experiments

For HDR-based *GFP* correction, cells containing the single copy of inactive *I-SceI-GFP* were co-transfected with 0.25 μg plasmid DNA or 0.125 μg ssODN as HDR donor templates, the expression plasmids for I-SceI, *SaCas9*-sgRNA or *LbCas12a*-sgRNA, and/or the expression plasmids for *dSpCas9*-sgRNA, and treated with NU7441 and Nocodazole as needed. At 3 d post-transfection, GFP⁺ events were measured by FACS to determine the percentage of HDR-based gene correction events. To detect the GFP⁻ cells, cells were determined by FACS at 4 days post-transfection. For HDR-based *GFP* knock-in at the *mRosa26* locus, mESCs were transfected with a pCMV-β-*globin* intron-*GFP* plasmid donor and the expression plasmids for *LbCas12a*-sgRNA or *SaCas9*-sgRNA, together with the expression plasmids for *dSpCas9*-sgRNA. The percentages of HDR events were determined 10 d post-transfection.

In *dSaCas9*-mediated HDR stimulation experiments, donor templates including 0.25 μg plasmid DNA or 0.125 μg ssODN were transfected with the expression plasmids for I-SceI or *SpCas9*-sgRNA variants (i.e. *eSpCas9*-sgRNA, *SpCas9*-HF1-sgRNA and *SpCas9*-T17) and the expression plasmids for *dSaCas9*-sgRNA. GFP⁺ cells were determined by FACS at 72 h post-transfection.

Electrophoretic mobility shift assay

The *in vitro* DNA binding and electrophoretic mobility shift assay (EMSA) were performed as described previously (8). The *dSpCas9* nuclease (PC1351, 0.5 μg/μl) was purchased from Inovogen Biotech. All sgRNAs used for *dSpCas9* were synthesized by GenScript Biotech and were dissolved in RNA-free water to 1 μM before use. The primers labeled with either 5'-DyLight-680 were purchased from Takara BioMed (Supplementary Table S2). PCR was performed to generate 600–700 bp fluorescence-labeled DNA fragments. For the *dSpCas9*-sgRNA binding reaction, *dSpCas9*-sgRNA complex was pre-assembled by mixture of 0.5 pmol *dSpCas9* with sgRNA and its variants for 1 h, then add 0.1 pmol target DNA to incubate for 1 h or 24 h. The samples were resolved on 4–20% SurePAGE non-denatured gel (GenScript) in 0.5× TBE buffer at 200 V for 150 min in 4°C cooling water for fluorescence-imaging analysis. The fluorescence imaging of gel electrophoresis was captured by Licor Odyssey infrared scanner and quantified by ImageJ. The percentages of unbound DNA were calculated as the ratios of the intensity of unbound DNA bands to the combined intensity of total bound and unbound DNA.

For the competition assay, 1 pmol *dSpCas9* and 1 pmol sgRNA or sgRNA variants were incubated with 0.1 pmol target dsDNA for 2 h. We then added 1 pmol indicated *SpCas9*-20-nt sgRNA into the reaction solution to cleave

dissociated DNA from preassembled d*Sp*Cas9–sgRNA–DNA for 6–24 h at 37°C. The reaction was quenched by the addition of 2 µl of denatured loading dye and the cleaved DNA was resolved by 2% agarose gel electrophoresis.

Chromatin immunoprecipitation (ChIP) assay

ChIP assay was performed using SimpleChIP® Plus Enzymatic Chromatin IP Kit (#9003, CST) following the manufacturer's instructions. Briefly, 2×10^5 HDR reporter or NHEJ reporter mESCs were transfected with expression plasmids for *Lb*Cas12a–sgRNAs, together with the expression plasmids for d*Sp*Cas9–sgRNAs. Total 10^7 cells were collected from at least three 24-well plates at 24 h after transfection, fixed with 1% formaldehyde at 37°C for 10 min and quenched with 0.125 M glycine for 5 min at room temperature. Cell pellets were washed and suspended in 1 ml Buffer A to separate the nuclei. The nuclei were then resuspended in 100 µl Buffer B and treated with 0.5 µl Micrococcal nuclease for 15 min to digest the DNA to length of 150–600 bp. The digest reaction was stopped with 10 µl 0.5M EDTA, the digested nuclei was pelleted by centrifugation at 12 000 × g at 4°C for 1 min, and the supernatant was removed. The nuclei pellets were suspended in 100 µl ChIP buffer and sonicated with several pulses to break the nuclear membrane. The membrane pellets were removed by centrifugation and 100 µl supernatant was diluted into 400 µl ChIP buffer. The chromatin supernatant was incubated with antibodies against Ku80 (#2753, CST), Mre11 (ab109623, Abcam) and Flag (#14793, CST), as well as nonspecific IgG antibody for 12 h. 20 µl Protein G magnetic beads was added to pull down chromatin fragments. After the crosslinked DNA–protein complex in the chromatin fragments was de-crosslinked, DNA were purified with spin columns from the ChIP Kit (#9003, CST). Specifically, 750 µl DNA Binding Buffer was added to 150 µl de-crosslinked DNA–protein solution. The mixture was transferred to the spin columns for centrifugation at 12 000 rpm for 1 min. The spin columns were washed twice with 750 µl DNA Wash Buffer. 50 µl DNA Elution Buffer was added to each spin column and the purified DNA were collected into a new tube by centrifugation at 12000 rpm for 1 min.

The primer pairs in Supplementary Table S2 were used to detect the enrichment of DNA fragments by qRT-PCR on CFX 96 Thermocycler (Bio-Rad). To perform qRT-PCR, 2 µl purified DNA were added to 18 µl reaction solution including 10 µl SYBR Green mix (Vazyme) and 1 µl primer pairs (10 µM) as indicated. The target DNA was amplified as follows: denaturation first at 95°C for 10 min and 40 cycles then at 95°C for 15 s, 55°C for 15 s and 72°C for 15 s. The fold enrichment of Ku80 and Flag–d*Sp*Cas9 at each genomic position relative to the negative IgG background was determined using the following equation: Fold enrichment = $2^{-(Ct \text{ genomic fragment with antibody of interest} - Ct \text{ genomic fragment with IgG})}$.

Genomic DNA extraction, PCR amplification and illumina deep sequencing

For analysis of targeted genome editing at endogenous genome loci, cells were collected after NHEJ induced by Cas9–sgRNAs. These cells were also transfected

with pcDNA3β–*GFP* for transfection efficiencies. Genomic DNA (gDNA) was isolated from these cells using a gDNA purification kit (Axygen). The targeted regions were PCR-amplified with respective primers listed in Supplementary Table S2. PCR products purified with PCR Clean-up kit (Axygen) were end-repaired, adenylated at 3' ends, ligated with adapters, purified, and amplified by the second round of PCR to incorporate the P7 and P5 Illumina adapters according to the manufacturer's protocols with Hieff NGS Ultima DNA Library Prep Kit for Illumina (Yeasen). The Illumina deep sequencing was performed at Novogene Co. Ltd and sequences were analyzed to identify edited events with different indels at repair junctions using DBS-Aligner as described previously (43).

Off-target analysis

Potential off-target sites were identified using the latest version of the CRISPR Off-Target prediction website (<http://crispor.tefor.net/>). All potential sites were ranked by an off-target hit score, and high-ranked potential sites were selected. Off-target sites were amplified by PCR with primers listed in Supplementary Table S2 after gDNA extraction from cells transfected with Cas9–sgRNA at 3 days post-transfection. Off-target editing efficiency was determined by Illumina deep sequencing. The off-target rate was determined as the ratio of off-target to on-target mutagenesis levels.

RESULTS

Target binding of d*Sp*Cas9 adjacent to a DSB promotes HDR of the DSB

Previously, we found that *Sp*Cas9 with strong target binding and long residence at cleaved target affected DSB repair pathway choice (8). We speculated that the repair pathway choice for a given DSB could also be affected by d*Sp*Cas9 with such strong target binding and long target residence tethered to the DSB. Because d*Sp*Cas9 does not share its sgRNAs with *Lb*Cas12a or *Sa*Cas9, d*Sp*Cas9 can be used simultaneously with *Lb*Cas12a or *Sa*Cas9 to test the effect of d*Sp*Cas9 tethered to the DSB ends on HDR of the DSBs induced by *Lb*Cas12a or *Sa*Cas9. In contrast, both d*Sp*Cas9 and *Sp*Cas9 can use the same sgRNAs if both are present at the same time, interfering the activity of each other. As a result, d*Sp*Cas9 should not be used for *Sp*Cas9-induced DSBs. Thus, using mESCs harboring a single-copy HDR reporter (37,40), we tethered d*Sp*Cas9–sgRNA to the sites near a DSB induced by I–SceI, *Lb*Cas12a or *Sa*Cas9 in the HDR reporter and analyzed the effect on HDR of the DSB. The HDR reporter contains two copies of inactive *GFP*, the first truncated at 5'-end (i.e. *TrGFP*) and the second with the insertion of the 18-bp I–SceI site (i.e. *I–SceI–GFP*). Upon site-specific DNA breakage induced by I–SceI, *Lb*Cas12a–gCas12aHR or *Sa*Cas9–gSaHR around the I–SceI site, HDR of this DSB could use *TrGFP* of the sister chromatid as a homologous template to generate wild-type *GFP* (wt*GFP*), making cells GFP⁺ (Figure 1A). While I–SceI, *Lb*Cas12a–gCas12aHR or *Sa*Cas9–gSaHR induced GFP⁺ cells with the frequency at ~1.8%, 12% and 10% in

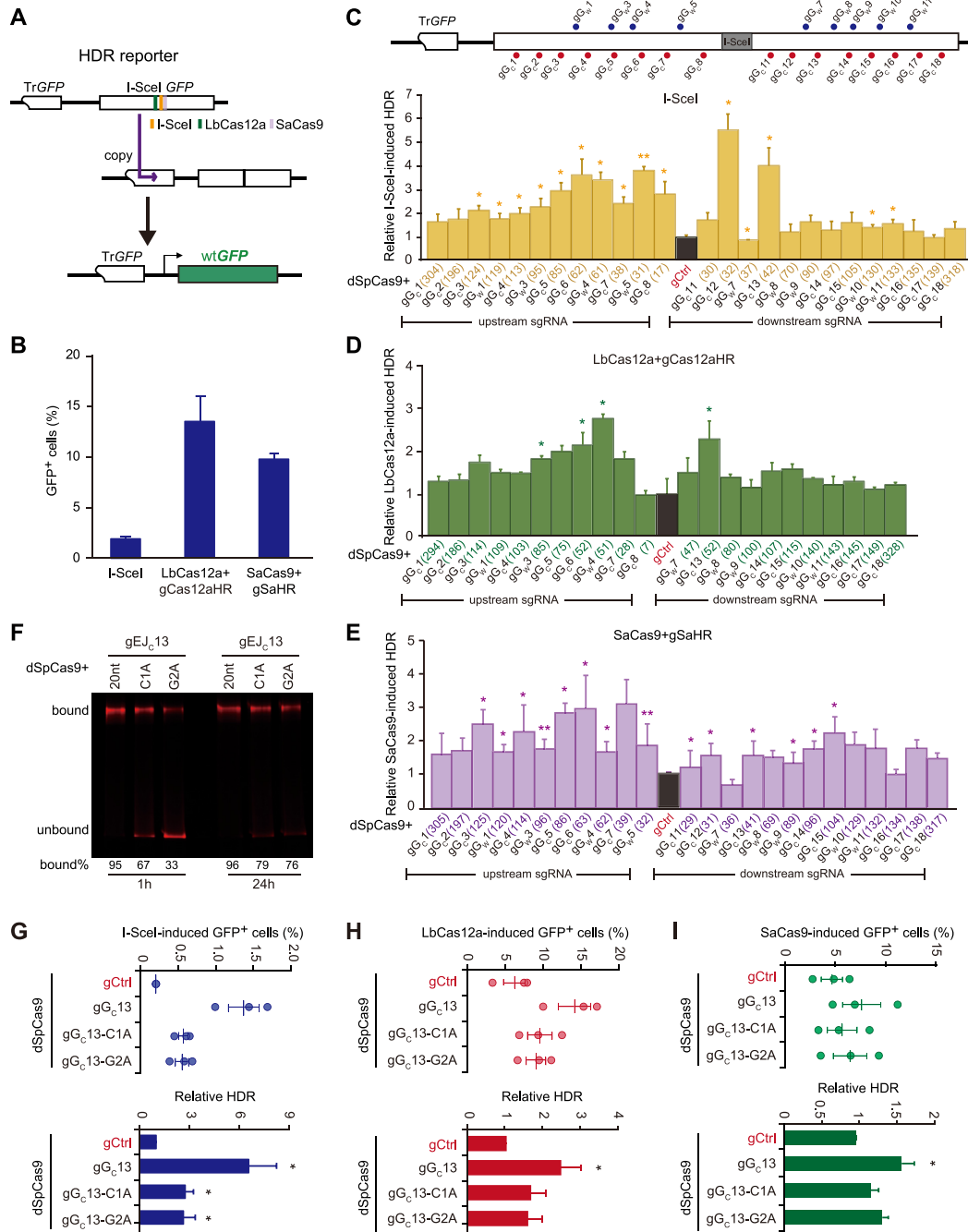


Figure 1. *dSpCas9*–sgRNA proximal binding promotes HDR. (A) Schematic of the HDR reporter. Repair of I-SceI-, *LbCas12a*- and *SaCas9*-induced DSBs by HDR between sister chromatids can generate GFP⁺ cells. (B) The HDR efficiency induced by I-SceI, *LbCas12a*-gCas12aHR and *SaCas9*-gSaHR in HDR reporter mESCs. (C–E) Effect of *dSpCas9*–sgRNAs tethered adjacent to a DSB on HDR in HDR reporter mESCs. As indicated on the schematic of the reporter, the DSB was induced by I-SceI (C), *LbCas12a*-gCas12aHR (D) or *SaCas9*-gSaHR (E), and *dSpCas9*–sgRNAs were tethered to DNA sequences flanking the DSB. The distance of individual *dSpCas9*–sgRNA from the DSB was defined between the third PAM-proximal nucleotide of each *dSpCas9*–sgRNA binding site and the break point by I-SceI, *LbCas12a* or *SaCas9* and indicated in parenthesis. After FACS measurement of nuclease-induced GFP⁺ cells, relative nuclease-induced HDR was calculated by normalizing ‘*dSpCas9* + gCtrl’ control to 1.0. Columns indicate the mean ± standard error of the mean (S.E.M.) of at least three independent experiments, each in triplicates. Error bars indicate S.E.M. Significance was determined by Student’s t-test between ‘gCtrl’ and each ‘*dSpCas9*–sgRNA’ and indicated by **P* < 0.05 and ***P* < 0.01. (F) Target residence of *dSpCas9*-gG_C13 and its sgRNA mismatch variants. *dSpCas9*–sgRNAs were incubated with fluorescence-labeled target DNAs for 1 and 24 h. DNAs bound with *dSpCas9*–sgRNAs or not were resolved by 4–20% native PAGE gel. The intensity ratio of bound DNA to total DNA was shown in percentages under each DNA gel. (G–I) Effect of *dSpCas9*–sgRNA target-binding affinity on HDR induced by I-SceI (G), *LbCas12a*-gCas12aHR (H) or *SaCas9*-gSaHR (I). Frequencies of GFP⁺ cells induced by I-SceI, *LbCas12a* and *SaCas9* (top) were determined by FACS, and relative HDR (bottom) was calculated by normalizing ‘*dSpCas9* + gCtrl’ treatment to 1.0. Each circle indicates one independent experiment, each in triplicates, and the mean of these independent experiments is also shown. Error bars indicate S.E.M. Columns indicate the mean of relative HDR. Significance was detected by Student’s t-test between ‘*dSpCas9* + gCtrl’ and ‘*dSpCas9* + gG_C13’ (**P* < 0.05).

the reporter, respectively (Figure 1B), the level of HDR induced by I-SceI, *LbCas12a*-gCas12aHR or *SaCas9*-gSaHR was quantified as the frequency of induced GFP⁺ cells. The difference in these frequencies induced by I-SceI, *LbCas12a* and *SaCas9* is likely due to different cutting efficiency of these nucleases. Among 25 sgRNAs tested for tethering d*SpCas9* to the DSB induced by I-SceI, *LbCas12a*-gCas12aHR or *SaCas9*-gSaHR, some stimulated I-SceI-induced HDR by up to 5.5-fold, *LbCas12a*-induced HDR by about 2.5-fold and *SaCas9*-induced HDR by up to 3-fold whereas some others had little effect (Figure 1C–E, Supplementary Figure S1A–C and Supplementary Figure S2A–C). In the tested ranges, although *SpCas9*-sgRNAs at these tested sites efficiently mediated knock-out of the *GFP* gene, the HDR stimulation by d*SpCas9* tethered to the DSB ends was negatively correlated with the distance of d*SpCas9* from the DSBs induced by I-SceI and *LbCas12a*, but not by *SaCas9* (Supplementary Figure S3A–D). This suggests that d*SpCas9*-sgRNAs loaded farther away from the breaks induced by I-SceI and *LbCas12a* tend to be less effective in stimulating HDR.

Among the four sgRNAs (i.e. gG_C11, gG_C12, gG_W7 and gG_C13) located adjacent to the break site, d*SpCas9*-gG_W7 did not stimulate HDR induced by I-SceI, *LbCas12a*-gCas12aHR or *SaCas9*-gSaHR (Figure 1C–E). As *SpCas9*-gG_W7 appeared to mediate target cleavage as efficient as the other three (Supplementary Figure S3A), it is possible that the target residence of d*SpCas9*-gG_W7 would be shorter than other d*SpCas9*-sgRNA complex, thus failing to stimulate HDR. We thus performed the *in vitro* competition assays to compare the target residence of d*SpCas9* complexed with gG_C11, gG_C12, gG_W7 and gG_C13 (Supplementary Figure S4A). After 2-h incubation of the d*SpCas9*-sgRNA complexes with their 620-bp target DNA, their respective *SpCas9*-sgRNAs were added into the reaction to cleave target DNA newly released from the d*SpCas9*-sgRNA–DNA ternary complexes. While *SpCas9* complexed with the four sgRNAs cleaved their target DNA with similar efficiency in 6-h reaction, cleaved DNA detected the most at 12 h and 24 h were from the d*SpCas9*-gG_W7–DNA complex (Supplementary Figure S4B). In other words, target DNA is more quickly dissociated from the d*SpCas9*-gG_W7–DNA complex as compared to the other three d*SpCas9*-gRNA–DNA ternary complexes, indicating the shorter target residence for d*SpCas9*-gG_W7.

To further determine whether target binding and residence were important for d*SpCas9*-sgRNA at the sites proximal to the DSB to stimulate HDR, we tested d*SpCas9*-gG_C13, among the best that stimulated HDR, and its sgRNA variants C1A and G2A for their effects on HDR induced by I-SceI, *LbCas12a*-gCas12aHR and *SaCas9*-gSaHR. As expected, the mismatches reduced the target binding affinity of d*SpCas9*-gG_C13 upon 1-h or 24-h incubation *in vitro* (Figure 1F). While d*SpCas9*-gG_C13 strongly enhanced HDR induced by I-SceI, *LbCas12a*-gCas12aHR and *SaCas9*-gSaHR, both d*SpCas9*-gG_C13 C1A and G2A lost most of this HDR stimulation (Figure 1G–I). Taken together, these results suggest that HDR stimulation by d*SpCas9*-sgRNAs is determined by their target binding ability and target residence adjacent to the break.

Local stimulation of HDR by d*SpCas9* proximal binding is impaired in cells deficient for c-NHEJ

Previous studies have shown that inactivation of c-NHEJ would stimulate HDR (44–46). We wondered whether HDR stimulation by d*SpCas9*-sgRNAs was mediated by inactivation of c-NHEJ. We thus analyzed the effect of d*SpCas9*-sgRNAs on HDR in HDR reporter mESC where c-NHEJ was inactivated. Consistently, the DNA-PKcs inhibitor NU7441 increased HDR induced by I-SceI, *LbCas12a*-gCas12aHR and *SaCas9*-gSaHR by up to 100% (Figure 2A–C). Deletion of *Ku80*, *DNA-PKcs* or *XRCC4* in HDR reporter mESC even more significantly stimulated HDR induced by I-SceI, *LbCas12a*-gCas12aHR and *SaCas9*-gSaHR (Figure 2A–C). However, while d*SpCas9* in complex with gG_W4, gG_W5, gG_C12 and gG_C13 strongly stimulated I-SceI-induced HDR in wild-type mESC (WT and *XRCC4*^{+/+} mESC), no local stimulation was observed in isogenic *DNA-PKcs*^{-/-}, *Ku80*^{-/-} and *XRCC4*^{-/-} mESC (Figure 2D and Supplementary Figure S5A). Similarly, as opposed to significant HDR stimulation in wild-type cells, *LbCas12a*- and *SaCas9*-induced HDR was not stimulated by d*SpCas9* respectively loaded at the gG_W4, gG_C7 or gG_C13 sites and at the gG_C7, gG_W5 or gG_C13 sites adjacent to the break in isogenic *DNA-PKcs*^{-/-}, *Ku80*^{-/-} and *XRCC4*^{-/-} mESC (Figure 2E, F and Supplementary Figure S5B, C). This indicates that the presence of c-NHEJ factors is required for local HDR stimulation by d*SpCas9*-sgRNA in cells.

d*SpCas9* tethered adjacent to a DSB inhibits c-NHEJ of the DSB

Proximal target binding of d*SpCas9* may block binding of c-NHEJ factors to the ends of a DSB induced by I-SceI, *LbCas12a* or *SaCas9*, thereby suppressing c-NHEJ and stimulating HDR. To directly evaluate the effect of proximal d*SpCas9*-sgRNA target binding on c-NHEJ, we thus used an NHEJ reporter to quantitatively measure NHEJ and to compare the effect of DNA-PKcs inhibition and d*SpCas9*-sgRNA on NHEJ induced by *LbCas12a* and *SaCas9* (Figure 3A and Supplementary Figure S6A). In this NHEJ reporter previously developed (38), an upstream, out-of-frame translation start site ('Koz-ATG') inactivates *GFP* (Supplementary Figure S6A). Upon DSBs induced by Cas nucleases at a 34-bp intervening sequence between 'Koz-ATG' and 'ATG-*GFP*' cassette, repair by mutagenic NHEJ (mNHEJ) with net loss of '3n + 1' bp or net addition of '3n + 2' bp at the repair junction could correct the reading frame of *GFP*, making cells GFP⁺. Thus, the frequency of GFP⁺ cells would represent the level of NHEJ in cells.

NU7441 reduced mNHEJ induced by *LbCas12a*-gCas12aEJ and *SaCas9*-gSaEJ in wild-type mESC by 40% and 25%, respectively (Figure 3A). As shown previously (8,47), c-NHEJ induced by *LbCas12a* and *SaCas9* is mostly mutagenic due to enrichment of mNHEJ events after repeated cleavage of accurate NHEJ products by *LbCas12a* and *SaCas9* at their target sites. Inactivation of c-NHEJ by NU7441 thus reduced *LbCas12a*- and *SaCas9*-induced NHEJ represented by the level of mNHEJ. We targeted d*SpCas9* in complexed with gEJ_C6, gEJ_C7, gEJ_C8 or gEJ_C9 to the sites adjacent to *LbCas12a*- or *SaCas9*-induced

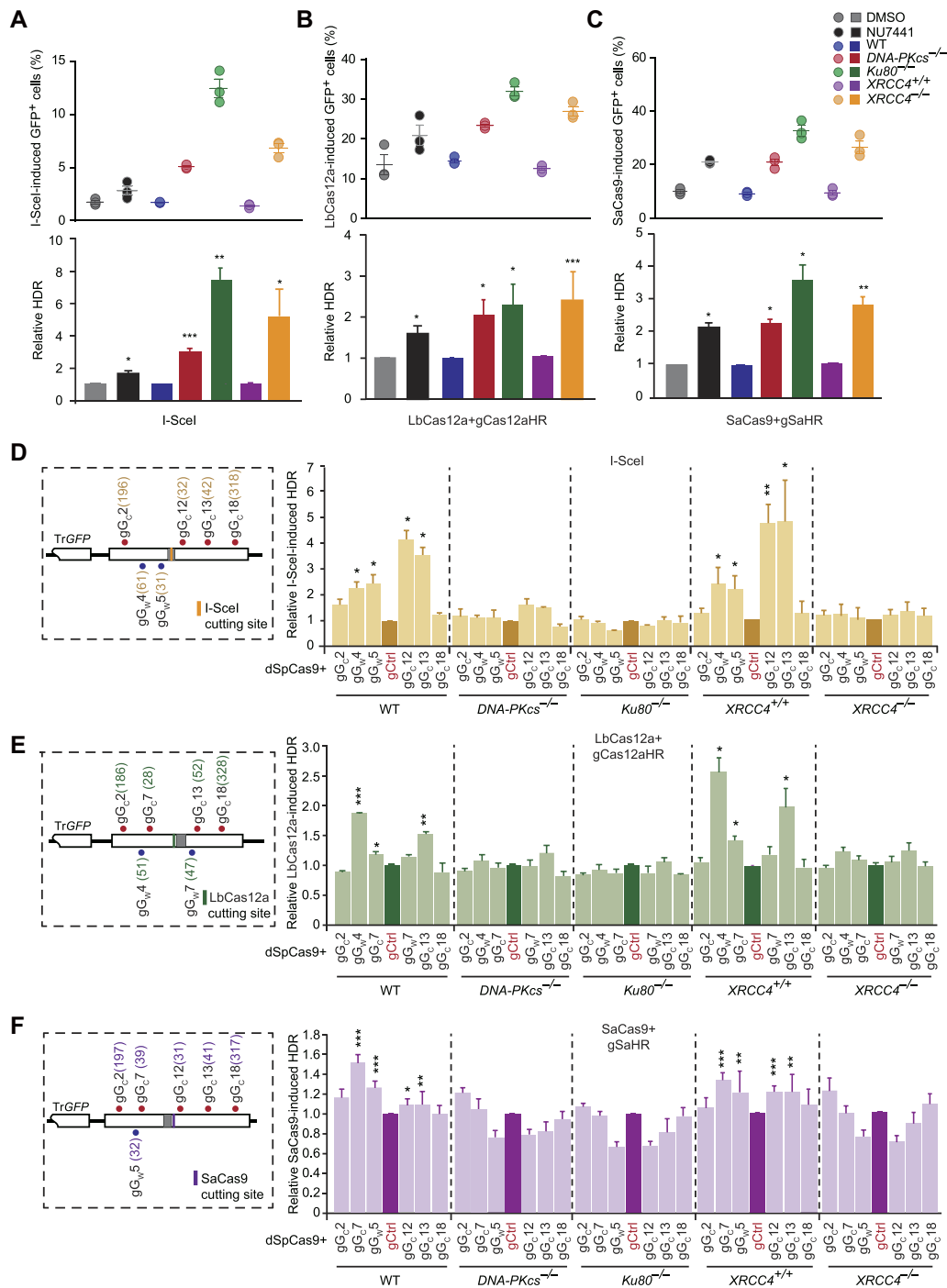


Figure 2. HDR stimulation by dSpCas9 proximal binding requires core NHEJ factors. (A–C) Effect on HDR of DNA-PKcs inhibition and *DNA-PKcs*, *Ku80* or *XRCC4* deficiency in mESCs transfected with expression plasmids for I-SceI (A), *LbCas12a-gCas12aHR* (B) or *SaCas9-gSaHR* (C). Frequencies of GFP⁺ cells induced by I-SceI, *LbCas12a* and *SaCas9* (top) were determined by FACS at 3 days post-transfection and relative HDR (bottom) calculated by normalizing DMSO control, isogenic WT cells and isogenic *XRCC4*^{+/+} cells to 1.0. Each circle indicates one independent experiment, each in triplicates, and the mean of these independent experiments is also shown. Columns indicate the mean of relative HDR. Error bars indicate S.E.M. Significance was detected by Student's t-test and indicated by **P* < 0.05, ***P* < 0.01 and ****P* < 0.001. (D, E) Effect of dSpCas9 proximal binding on HDR induced by I-SceI (D), *LbCas12a-gCas12aHR* (E) or *SaCas9-gSaHR* (F) in mESCs deficient for *DNA-PKcs*, *Ku80* or *XRCC4* as compared to isogenic WT or *XRCC4*^{+/+} mESCs. HDR reporter mESCs were transfected with expression plasmids for I-SceI, *LbCas12a-gCas12aHR* or *SaCas9-gSaHR*, along with expression plasmids for dSpCas9-sgRNAs. Left: The HDR reporter was indicated with the cleavage site for I-SceI, *LbCas12a-gCas12aHR* or *SaCas9-gSaHR* and the target sites for dSpCas9-sgRNA target tethering. The distance between the third PAM-proximal nucleotide of each dSpCas9-sgRNA binding site and the DSB was shown in parenthesis. Right: Frequencies of GFP⁺ cells were measured by FACS at 3 days post-transfection and relative HDR was determined by normalizing control treatment (i.e. 'gCtrl') to 1.0. Columns indicate the mean ± S.E.M. of at least three independent experiments, each in triplicates. Error bars indicate S.E.M. Significance was detected by Student's t-test between 'gCtrl' and each 'dSpCas9-sgRNA' and indicated by **P* < 0.05, ***P* < 0.01 and ****P* < 0.001.

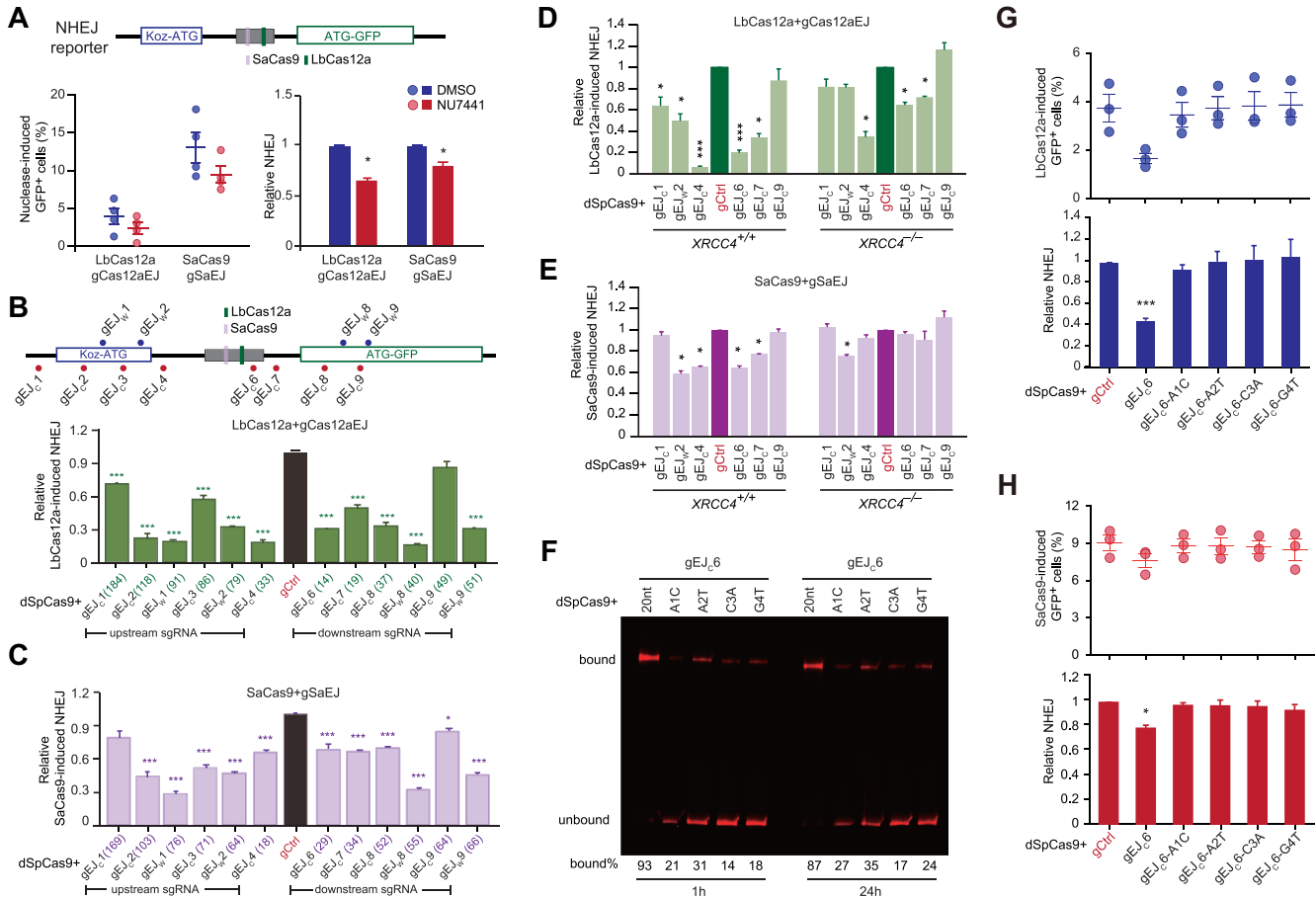


Figure 3. Strong proximal binding of dSpCas9-sgRNA inhibits c-NHEJ. (A) Effect of DNA-PKcs inhibition by NU7441 on NHEJ induced by *LbCas12a*-gCas12aEJ and *SaCas9*-gSaEJ in NHEJ reporter mESCs. Cells were transfected with expression plasmids for *LbCas12a*-gCas12aEJ and *SaCas9*-gSaEJ, and site-specific DSBs were induced at the sites indicated in the NHEJ reporter. Frequencies of nuclease-induced GFP⁺ cells (left) were measured by FACS and relative NHEJ (right) was calculated by normalizing DMSO treatment to 1.0. Each circle indicates one independent experiment, each in triplicates, and the means of these independent experiments are also indicated. Error bar denotes S.E.M. Columns indicate the mean ± S.E.M. of relative NHEJ. Significance was analyzed by Student's t-test between 'DMSO' and 'NU7441' and indicated by **P* < 0.05. (B, C) Effect of dSpCas9-sgRNA tethered adjacent to a DSB on NHEJ in NHEJ reporter mESCs. As indicated on the schematic of the reporter, the DSB was induced by *LbCas12a*-gCas12aEJ (B) or *SaCas9*-gSaEJ (C) at or around the I-SceI site, and dSpCas9-sgRNA was tethered to DNA sequences flanking the DSB. Frequencies of GFP⁺ cells were measured by FACS and relative NHEJ was calculated by normalizing 'dSpCas9-gCtrl' control (i.e. 'gCtrl') to 1.0. Columns indicate the mean ± S.E.M. of at least three independent experiments, each in triplicates. Error bars indicate S.E.M. The number in parenthesis indicated the distance between the third PAM-proximal nucleotide of each dSpCas9-sgRNA and the break point by *LbCas12a* or *SaCas9*. Significance was determined by Student's t-test between 'gCtrl' and each 'dSpCas9-sgRNA' and indicated by **P* < 0.05, ***P* < 0.01 and ****P* < 0.001. (D, E) Effect of dSpCas9 proximal binding on NHEJ induced by *LbCas12a*-gCas12aEJ (D) or *SaCas9*-gSaEJ (E) in *XRCC4*^{+/+} and *XRCC4*^{-/-} mESCs. NHEJ reporter mESCs were transfected with expression plasmids for *LbCas12a*-gCas12aEJ or *SaCas9*-gSaEJ, along with expression plasmids for dSpCas9-sgRNAs. Frequencies of GFP⁺ cells were measured by FACS at 3 d post-transfection and relative NHEJ was determined by normalizing control treatment (i.e. 'gCtrl') to 1.0. Columns indicate the mean ± S.E.M. of at least three independent experiments, each in triplicates. Error bars indicate S.E.M. Significance was detected by Student's t-test between 'gCtrl' and each 'dSpCas9-sgRNA' and indicated by **P* < 0.05, ***P* < 0.01 and ****P* < 0.001. (F) Target residence of dSpCas9-gEJC6 and its sgRNA mismatch variants. dSpCas9-sgRNAs were incubated with fluorescence-labeled target DNAs for 1 and 24 h. DNAs bound with dSpCas9-sgRNAs or not were resolved by 4–20% native PAGE gel. The intensity ratio of bound DNA to total DNA were shown in percentages under each DNA gel. (G, H) Effect of dSpCas9-sgRNA target-binding affinity on NHEJ induced by *LbCas12a* (G) or *SaCas9* (H). Frequencies of GFP⁺ cells induced by *LbCas12a* and *SaCas9* (top) were determined by FACS, and relative NHEJ (bottom) was calculated by normalizing 'dSpCas9 + gCtrl' treatment to 1.0. Each circle indicates one independent experiment, each in triplicates, and the mean of these independent experiments is also shown. Error bars indicate S.E.M. Columns indicate the mean of relative NHEJ. Significance was detected by Student's t-test between 'dSpCas9 + gCtrl' and 'dSpCas9 + gEJC6' (**P* < 0.05 and ****P* < 0.001).

DSBs and found that similar to NU7441, d*SpCas9*-sgRNAs bound to their targets upstream or downstream of the *LbCas12a*-g*Cas12a*EJ and *SaCas9*-g*SaEJ* target sites suppressed *LbCas12a*- and *SaCas9*-induced mNHEJ by 40–80% (Figure 3B, C and Supplementary Figure S6B, C). These data suggest that target binding of d*SpCas9*-sgRNA adjacent to a DSB inhibits c-NHEJ of the DSB as does chemical inhibition of DNA-PKcs by NU7441.

Similar to the effect of DNA-PKcs inhibition by NU7441 on *LbCas12a*- and *SaCas9*-induced NHEJ, deletion of *XRCC4* also reduced mNHEJ induced by *LbCas12a*-g*Cas12a*EJ and *SaCas9*-g*SaEJ* (Figure 3D, E and Supplementary Figure S6D, E). However, while d*SpCas9*-gEJ_{W2}, d*SpCas9*-gEJ_{C4}, d*SpCas9*-gEJ_{C6} and d*SpCas9*-gEJ_{C7} inhibited *LbCas12a*- or *SaCas9*-induced mNHEJ in *XRCC4*^{+/+} mESC, this local inhibition of *LbCas12a*- and *SaCas9*-induced mNHEJ was reduced or even abolished in *XRCC4*^{-/-} mESC (Figure 3D, E and Supplementary Figure S6D, E). This demonstrated that presence of c-NHEJ factors is required for local NHEJ inhibition by d*SpCas9* proximal binding.

To determine whether target binding or residence was important for d*SpCas9*-sgRNA to suppress c-NHEJ, we tested d*SpCas9*-gEJ_{C6}, among the best that locally suppresses c-NHEJ, and its mismatch sgRNA mutants A1C, A2T, C3A and G4T for their effects on c-NHEJ induced by *LbCas12a* and *SaCas9*. As d*SpCas9* complexed with either of these gEJ_{C6} mismatch mutants was less able to bind their DNA substrate as opposed to d*SpCas9*-gEJ_{C6} (Figure 3F), it was not surprised that d*SpCas9* complexed with these mismatch sgRNA mutants lost the ability to suppress c-NHEJ induced by *LbCas12a*-g*Cas12a*EJ and *SaCas9*-g*SaEJ* (Figure 3G, H). Taken together, these results supported that local inhibition of c-NHEJ by d*SpCas9*-sgRNAs is reliant upon the target binding ability and target residence of d*SpCas9*-sgRNAs adjacent to the break.

Target binding of d*SpCas9* adjacent to a DSB blocks local recruitment of c-NHEJ factors

It is possible that proximal target binding of d*SpCas9* may block binding of c-NHEJ factors to the ends of a DSB, thereby suppressing c-NHEJ and stimulating HDR in repair of this DSB. To examine this possibility, we performed the ChIP assays using HDR reporter and NHEJ reporter mESCs to directly determine whether d*SpCas9* loaded onto its target sites near a DSB induced by *LbCas12a* could block recruitment of the core NHEJ factor Ku80 to the ends of the DSB (Figure 4A). Upon *LbCas12a*-induced DNA cleavage, the PAM-distal end is free and the PAM-proximal end remained bound with *LbCas12a*-sgRNA (Figure 4A) (48–51). We thus selected a target site within the free end for d*SpCas9*-gG_{W4} loading at -54bp ~ -31bp in the HDR reporter and for d*SpCas9*-gEJ_{C6} loading at +2 ~ +25 in the NHEJ reporter (Figure 4B, C). DNA fragments were indeed enriched by Flag-tagged d*SpCas9* at -253 ~ -148 and -102 ~ -33 in the HDR reporter and at -168 ~ -38, +60 ~ +161, +291 ~ +402, +443 ~ +521 and +538 ~ +674 in the NHEJ reporter near the free end of *LbCas12a*-induced DSB in cells transfected with d*SpCas9*-gG_{W4} (Figure 4B, C). In the absence of d*SpCas9*-gG_{W4} expression, DNA fragments were

highly enriched by Ku80 at the free end much more than at the end bound with *LbCas12a* (i.e. -253 ~ -148 and -102 ~ -33 versus +12 ~ +141 and +158 ~ +294 in the HDR reporter, and +60 ~ +161 and +291 ~ +402 versus -168 ~ -38 and -568 to -372 in the NHEJ reporter) (Figure 4B, C). However, this Ku80 recruitment was abolished by d*SpCas9*-gG_{W4} expression and subsequent enrichment of d*SpCas9*-gG_{W4} at its target site within the free end (Figure 4B, C). This suggested that tethering d*SpCas9* to a target near a DSB blocks recruitment of c-NHEJ factors to the DSB and helped explain how proximal target binding of d*SpCas9* locally suppresses c-NHEJ and stimulates HDR in DSB repair.

Proximal binding of d*SpCas9* enhances HDR-mediated *LbCas12a*/*SaCas9* genome editing

Given that d*SpCas9*-sgRNA loaded onto locations adjacent to the break facilitates HDR by suppressing c-NHEJ, we wondered whether this proximal binding of d*SpCas9*-sgRNA could improve the HDR-based knock-in or gene correction in CRISPR genome editing. To address this question, we first used *SpCas9*-sgRNA to precisely delete the *TrGFP* cassette from the single-copy chromosomal HDR reporter in our HDR reporter mESCs and U2OS cells and generated an *I-SceI*-*GFP* gene correction reporter (Figure 5A). After induction of a site-specific DSB in the reporter by *LbCas12a*-g*Cas12a*HR or *SaCas9*-g*SaHR*, the inactive *I-SceI*-*GFP* gene could be corrected to WT *GFP* with either ssODN containing the correct *GFP* sequences overlapping the *I-SceI* site or a double-stranded DNA (dsDNA) plasmid harboring the *TrGFP* copy as the homologous template (Figure 5A). In fact, with either of homologous donors, *LbCas12a*- and *SaCas9*-induced HDR respectively converted ~1–2% and 0.5–1% GFP⁻ cells into GFP⁺ cells (Figure 5B, C). Treatment with NU7441 showed a modest enhancing effect on *LbCas12a*- or *SaCas9*-induced gene correction while Nocodazole at 0.25 μM increased the *LbCas12a*-induced gene correction frequencies by about 2.5-fold with the ssODN template and by about 4-fold with the plasmid DNA template and the *SaCas9*-induced gene correction frequencies by about 4-fold with the ssODN template and by about 6-fold with the plasmid DNA template (Figure 5B, C).

Because *LbCas12a*- and *SaCas9*-induced HDR in the HDR reporter were respectively stimulated by the proximal binding of d*SpCas9*-gG_{W4} or d*SpCas9*-gG_{C13} near *LbCas12a*-induced DSBs and by the proximal binding of d*SpCas9*-gG_{C7} or d*SpCas9*-gG_{C13} near *SaCas9*-induced DSBs (Figures 1D, E and 2E, F), we analyzed the effect of the d*SpCas9* proximal binding on the gene correction mediated by *LbCas12a* and *SaCas9*. Targeting d*SpCas9* with gG_{W4}, gG_{C13} and gG_{W4}/gG_{C13} to locations near the break increased *LbCas12a*-induced gene correction by more than 2-fold with the ssODN template or by nearly 2-fold with the plasmid dsDNA template (Figure 5B). The proximal target binding of d*SpCas9* enhanced *LbCas12a*-induced gene correction further in the presence of Nocodazole, more than 2-fold over Nocodazole alone and up to 8-fold in comparison to the DMSO mock treatment (Figure 5B). Similarly, targeting d*SpCas9* with gG_{C7}, gG_{C13}

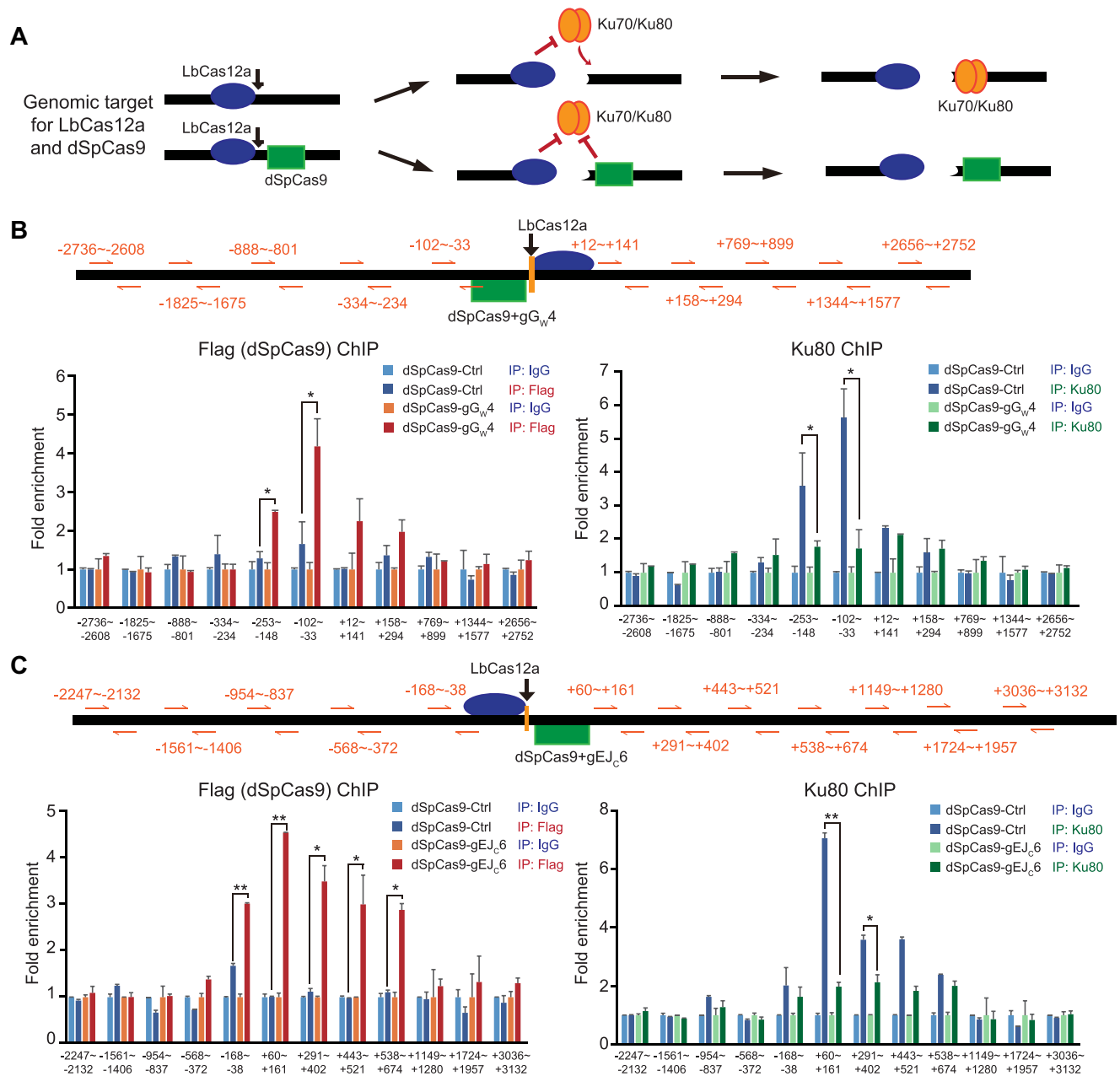


Figure 4. dSpCas9-sgRNA proximal binding blocks recruitment of Ku80. (A) Schematic of the competitive binding between dSpCas9 (green square) and Ku70/Ku80 (orange tandem oval) at the end of DSBs induced by LbCas12a (blue oval). While the Ku70/Ku80 heterodimer is able to bind the free ends of LbCas12a-induced DSBs, it is expected that this recruitment could be blocked by post-cleavage target residence of LbCas12a at the PAM-proximal end and the target binding of dSpCas9 to a site within the free end. (B, C) Binding of Flag-dSpCas9-gG_W4 or Flag-dSpCas9-gEJ_C6 and Ku80 to DSBs induced by LbCas12a-gCas12aHR in the HDR reporter (B) and by LbCas12a-gCas12aEJ in the NHEJ reporter (C). Reporter mESCs were transfected with expression plasmids for LbCas12a-gCas12aHR or LbCas12a-gCas12aEJ, along with expression plasmids for Flag-dSpCas9-gG_W4 or Flag-dSpCas9-gEJ_C6. Binding of Flag-dSpCas9 and Ku80 to the DSBs induced by LbCas12a was detected by ChIP analysis performed with anti-Flag antibody (left) and anti-Ku80 antibody (right) as well as with anti-IgG background control. Fold enrichment of Flag-dSpCas9 and Ku80 at each position was assessed by real-time qPCR amplification using primer pairs located at varying distance away from the LbCas12a cleavage site as indicated in orange arrows and numbers and calculated relative to 1 for fold enrichment with the negative IgG antibody control. The mean and S.E.M for four independent experiments were shown. Significance as indicated was detected by Student's *t*-test: **P* < 0.05 and ***P* < 0.01.

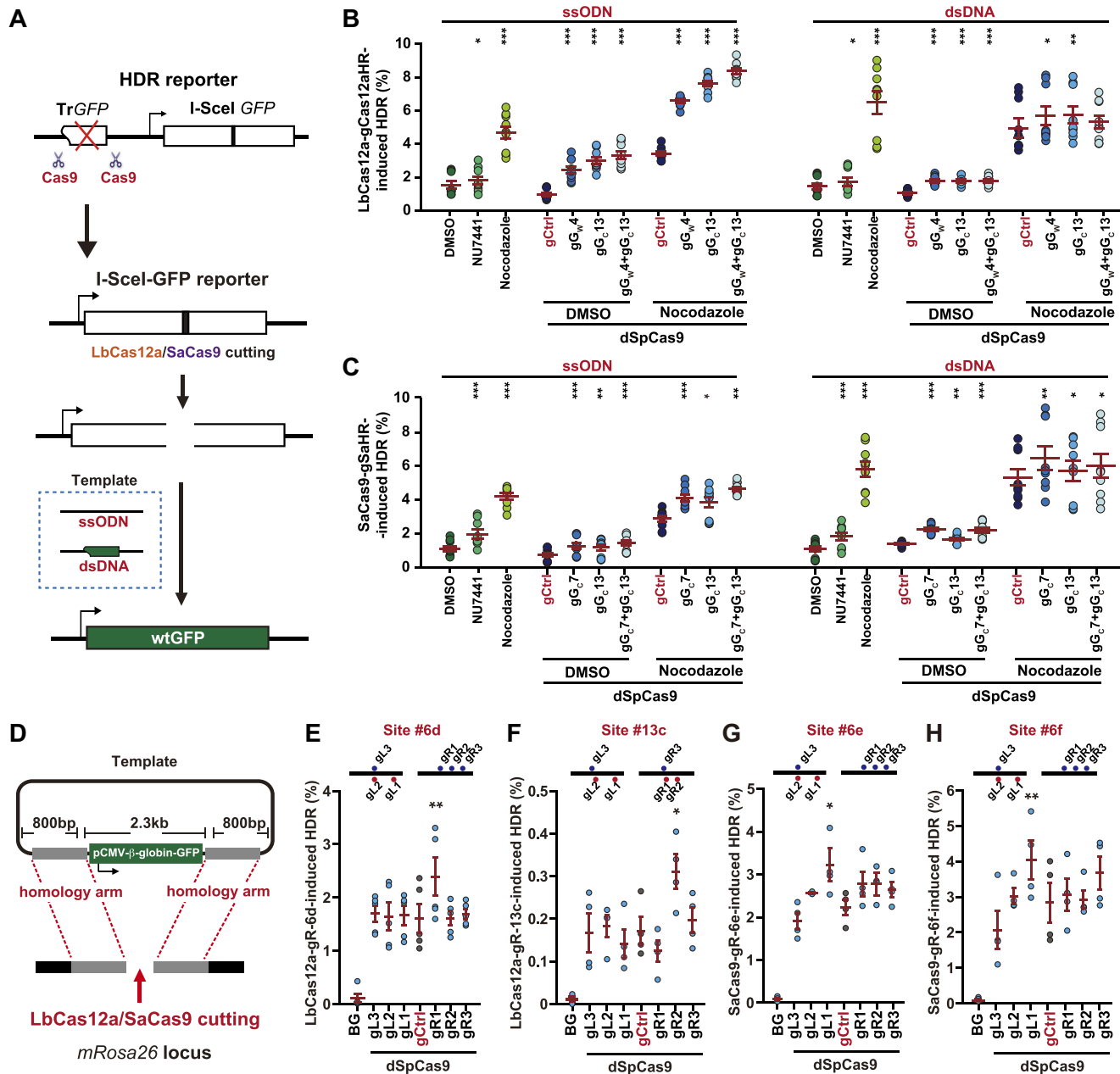


Figure 5. dSpCas9-sgRNA proximal binding stimulates HDR with ssODN and dsDNA donors. (A) Schematic for generating the *I-SceI-GFP* correction reporter. The *TrGFP* copy of the original HDR reporter was deleted by paired Cas9-sgRNA in HDR reporter mESCs to generate the *I-SceI-GFP* correction reporter. Upon *LbCas12a*- or *SaCas9*-induced DNA breakage in the modified HDR reporter, wtGFP can be generated by HDR with exogenous ssODN or plasmid dsDNA homologous templates. (B, C) HDR stimulation by dSpCas9-sgRNA tethered adjacent to a break in *I-SceI-GFP* reporter mESCs. A site-specific DSB was induced by *LbCas12a*-gCas12aHR (B) or *SaCas9*-gSaHR (C), and GFP⁺ cells were generated by HDR of the DSB with ssODN or plasmid dsDNA homologous templates. Frequency of GFP⁺ cells representing the level of HDR was determined by FACS. During HDR, reporter cells were treated with NU7441 or Nocodazole alone, or with expression of individual dSpCas9-sgRNA or dSpCas9-gCtrl together with DMSO or Nocodazole as indicated. Each circle indicated one independent experiment, each in triplicates, and the mean of at least six independent experiments was also indicated. Error bars indicated S.E.M. Significance was analyzed by Student's *t*-test between each control group ('DMSO' and 'gCtrl') and each sample group and indicated by * for $P < 0.05$, ** $P < 0.01$ and *** $P < 0.001$. (D) Schematic for HDR-mediated knock-in (KI) of a *GFP* gene into the mouse *Rosa26* locus targeted by *LbCas12a* or *SaCas9*. The targeting vector contains the CMV promoter- β -globin intron-*GFP* (pCMV- β -globin-*GFP*) cassette flanked by ~800 bp *Rosa26* homology arms on either side. The sgRNAs adjacent to the breakpoint were generated to guide dSpCas9 binding. (E-H) Enhancement of HDR-mediated KI by dSpCas9-sgRNA tethered adjacent to the site of KI. mESCs were co-transfected with expression plasmids for *LbCas12a*-gR-6d (E), *LbCas12a*-gR-13c (F), *SaCas9*-gR-6e (G) or *SaCas9*-gR-6f (H), together with the pCMV- β -globin-*GFP* KI template and expression plasmids for 6 individual dSpCas9-sgRNAs or control vector (gCtrl). Cells transfected with the pCMV- β -globin-*GFP* KI template alone without DSB induction at the KI site served as background (BG). Frequencies of GFP⁺ cells were analyzed by FACS at 10 d post-transfection and the level of HDR induced by *LbCas12a* or *SaCas9*, representing the HDR-mediated KI efficiency, was corrected by the BG level and normalized with transfection efficiency. Each circle indicated one independent experiment, each in triplicates, and the mean of at least three independent experiments was also indicated. Error bars indicated S.E.M. Significance was analyzed by Student's *t*-test between 'dSpCas9-gCtrl' and each sample group and indicated by * $P < 0.05$ and ** $P < 0.01$.

and gG_C7/gG_C13 increased *SaCas9*-induced gene correction by about 2-fold with the ssODN template or with the plasmid dsDNA template, and in combination with Nocodazole, d*SpCas9* proximal binding further elevated *SaCas9*-induced gene correction (Figure 5C). In human U2OS cells, d*SpCas9* proximal binding also elevated the *LbCas12a*- and *SaCas9*-induced gene correction frequencies with the ssODN template or with the plasmid dsDNA template, and such elevation was further stimulated in combination with Nocodazole treatment (Supplementary Figure S7A, B).

We also tested whether d*SpCas9* proximal binding would improve targeted knock-in of a larger insert at a natural genomic site. We loaded d*SpCas9*-sgRNA onto the locations near *LbCas12a*- or *SaCas9*-induced site-specific DSBs at the *Rosa26* targeting sites and analyzed the efficiency of targeting 2.3-kb *GFP* expression cassette along with 800-bp homologous sequences on either side of a donor plasmid into the *Rosa26* targets (Figure 5D). Gene targeting mediated by *LbCas12a* and *SaCas9* was highly efficient at three sites with 1–3% of GFP⁺ frequency and less efficient at 1 site with 0.2% of GFP⁺ frequency (Figure 5E–H). Of note, without induction of a DSB at the targeting site, the random integration of donor plasmid is negligible (Figure 5E–H). Among sgRNAs guiding d*SpCas9* proximal binding, many had little stimulatory effect on gene targeting induced by *LbCas12a* or *SaCas9*. However, the efficiency of gene targeting induced by *LbCas12a*-gR-6d, *LbCas12a*-gR-13c, *SaCas9*-gR-6e and *SaCas9*-gR-6f was elevated from 1.6% to 2.3% by d*SpCas9*-gR1, from 0.15% to 0.3% by d*SpCas9*-gR2, from 2.1% to 3.2% by d*SpCas9*-gL1, and from 2.6% to 4.0% by d*SpCas9*-gL1, respectively (Figure 5E–H). This suggests that d*SpCas9* proximal binding could be designed to locally increase the efficiency of HDR-mediated gene targeting.

Proximal binding of d*SpCas9* does not exacerbate off-target effects

Inactivation of c-NHEJ by chemical or genetic approaches is often used to enhance HDR-mediated CRISPR genome editing; however, our previous study revealed that this strategy often caused stronger off-target effects due to its global impact (8). In contrast, due to its localized action, d*SpCas9* proximal target binding was expected to limit its influence on off-target sites. To test this possibility, we analyzed the frequencies of indels at on-target and off-target sites of *SaCas9*-gSaHR after respective treatment with NU7441 and d*SpCas9* proximal target binding, both of which stimulate HDR induced by *SaCas9*-gSaHR (Figure 5B). NU7441 did not alter the on-target indel efficiency but increased mutagenesis significantly relative to DMSO at four different gSaHR off-target sites (Figure 6A). Using the ratio of off-target to on-target indel levels as a metric of off-target effect, we observed that NU7441 caused significant reduction in target specificity as anticipated (Figure 6A). In contrast, neither gG_C7 nor gG_C13 in complex with d*SpCas9* enhanced mutagenesis as compared to the sgRNA control at off-target sites (Figure 6A).

Because NU7441, d*SpCas9*-gR1 and d*SpCas9*-gL1 improved gene targeting induced by *LbCas12a*-gR-6d and *SaCas9*-gR-6f at the natural genomic site (Figure 5E, H),

we also analyzed NU7441, d*SpCas9*-gR1 and d*SpCas9*-gL1 for their effect on the off-target activities of *LbCas12a*-gR-6d and *SaCas9*-gR-6f. We found that both NU7441 and d*SpCas9* binding slightly reduced on-target editing of two sites by about 20–30%, suggesting repeated cleavage by *LbCas12a* and *SaCas9* (Figure 6B, C). At off-target sites, NU7441 increased the mutagenesis whereas neither gR1 nor gL1 in complex with d*SpCas9* did (Figure 6B, C). These results indicate that local d*SpCas9* proximal binding, unlike NU7441, avoid exacerbating off-target effect while enhancing HDR-mediated genome editing.

Proximal binding of d*SaCas9* enhances HDR-mediated *SpCas9* genome editing

Several *SpCas9*-sgRNA variants including e*SpCas9*, *SpCas9*-HF1 and truncated sgRNA have been engineered to improve the specificity of *SpCas9*-sgRNA and reduce off-target effect in genome editing; However, this improvement is often offset by reduced efficiency of on-target editing (8,33–35). Because of a reduction in their target interaction, chemical inhibition of c-NHEJ could efficiently stimulate HDR induced by these *SpCas9*-sgRNA variants as we previously showed (8). Undesirably, off-target effects of these *SpCas9*-sgRNA variants are also expected to increase as those of *LbCas12a* or *SaCas9* do. The d*Cas9* proximal binding strategy could thus be applied to improve the efficiency of HDR-mediated genome editing by these more target-specific *SpCas9*-sgRNA variants while avoiding exacerbation of any off-target effect. Because d*SpCas9* shares the same sgRNAs with *SpCas9*-sgRNA variants, d*SpCas9* cannot be used for DSBs induced by the *SpCas9*-sgRNA variants. Instead, the catalytically dead *SaCas9* (d*SaCas9*), which does not share the same sgRNAs with the *SpCas9*-sgRNA variants, could be used. Thus, using d*SaCas9* as previously reported (36), we started to analyze the effect of d*SaCas9* proximal binding on HDR by *SpCas9*-HF1, e*SpCas9* and *SpCas9*-truncated 17-nt sgRNA (i.e. T17). We first tethered d*SaCas9*-sgRNA to the region within 63 bp of I-SceI-induced DSB in the HDR reporter (Figure 7A). Among the 4 sgRNAs, gSaG_C2 stimulated I-SceI-induced HDR by near 4-fold while the other three gSaG_W6, gSaG_W7 and gSaG_W8 had little stimulation (Figure 7A), likely due to different distance to the break and different target binding affinity of d*SaCas9* in complex with either of these four sgRNAs (Supplementary Figure S8). Similarly, among the 4 sgRNAs, gSaG_C2 elicited 2-, 4- and 2-fold stimulation of HDR induced by e*SpCas9*-gHR_C2, *SpCas9*-HF1-gHR_C2 and *SpCas9*-gHR_C4-T17, respectively when d*SaCas9* was loaded adjacent to the DSB by gSaG_C2 (Figure 7B–D). Together, these data indicated d*SaCas9* proximal target binding to the break site also functioned as a local c-NHEJ inhibitor to promote HDR.

We further applied the d*SaCas9*-based strategy to HDR-mediated CRISPR gene correction in mESCs containing the *I-SceI-GFP* correction reporter (Figure 7E). *SpCas9* variants (i.e. e*SpCas9*-gHR_C2 and *SpCas9*-HF1-gHR_C2) and *SpCas9* with truncating sgRNA (i.e. *SpCas9*-gHR_C4-T17) were chosen for DSB introduction with either ssODN or a dsDNA plasmid as homologous template. Like NU7441, d*SaCas9*-gSaG_C2 effectively stimulated e*SpCas9*-

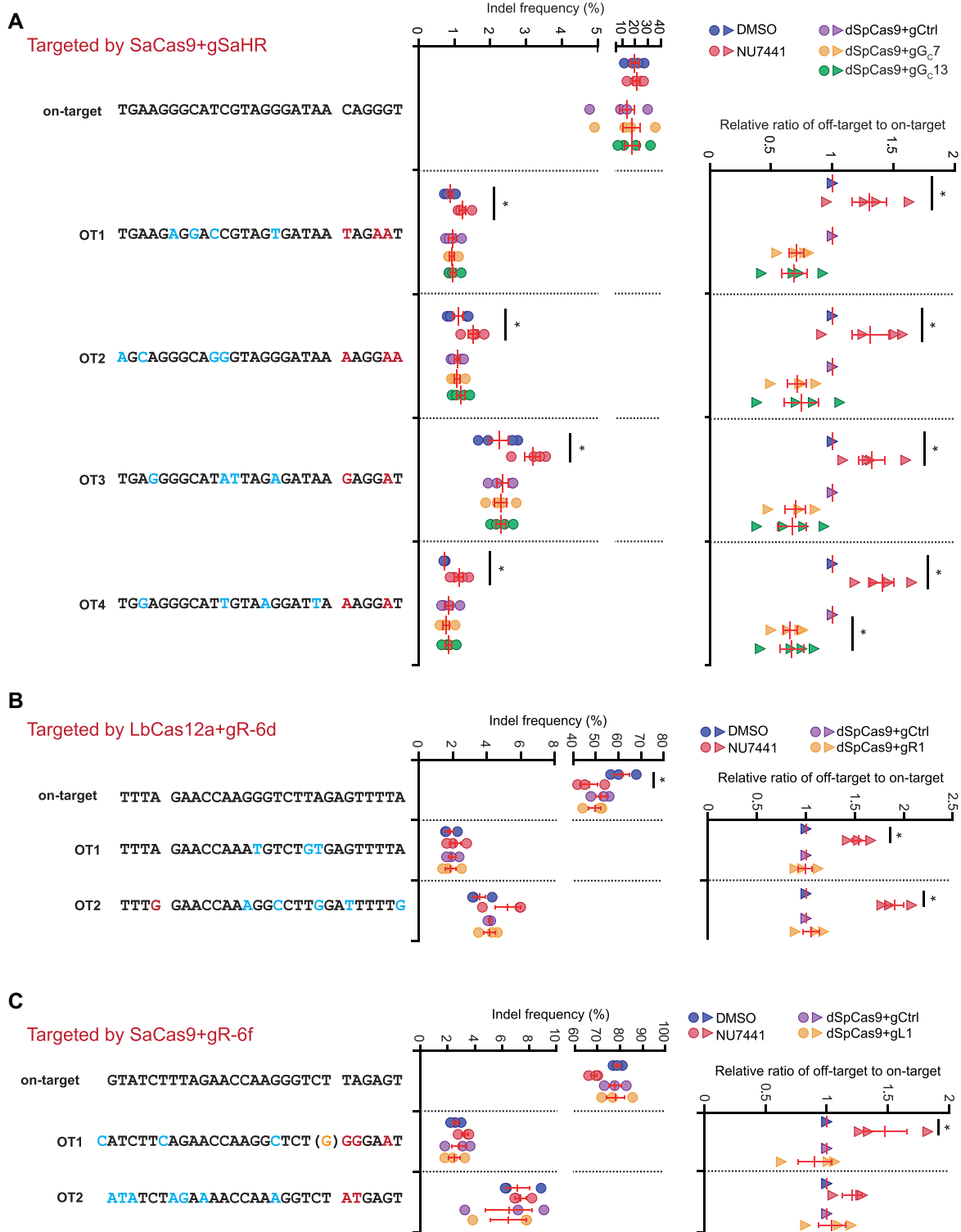


Figure 6. Proximal binding of dSpCas9–sgRNA adjacent to a DSB for HDR stimulation induces no exacerbation of off-target effect for SaCas9 with gSaHR (A), LbCas12a with gR-6d (B) and SaCas9 with gR-6f (C). HDR reporter mESCs were transfected with expression plasmids for SaCas9–gSaHR, LbCas12a–gR-6d and SaCas9–gR-6f, and then either treated with DMSO or NU7441, or co-transfected with expression plasmids for dSpCas9–sgRNA tethered adjacent to the on-target cutting site. The indel frequency at on-target and selected off-target sites as indicated were measured by amplicon deep sequencing and defined as the ratio of edited reads to total reads normalized by transfection efficiency. The ratio of off-target frequency to on-target frequency, i.e. ratio of off-target to on-target, indicated off-target effect. Relative ratio of off-target to on-target was determined by normalizing control treatment (i.e. ‘DMSO’ or ‘dSpCas9–gCtrl’) to 1.0. Each circle or triangle indicated one independent experiment, each in triplicates, and the mean of these independent experiments was also shown. Error bars indicated S.E.M. Statistical significance was detected by Student’s *t*-test between ‘DMSO’ and ‘NU7441’ and indicated by **P* < 0.05.

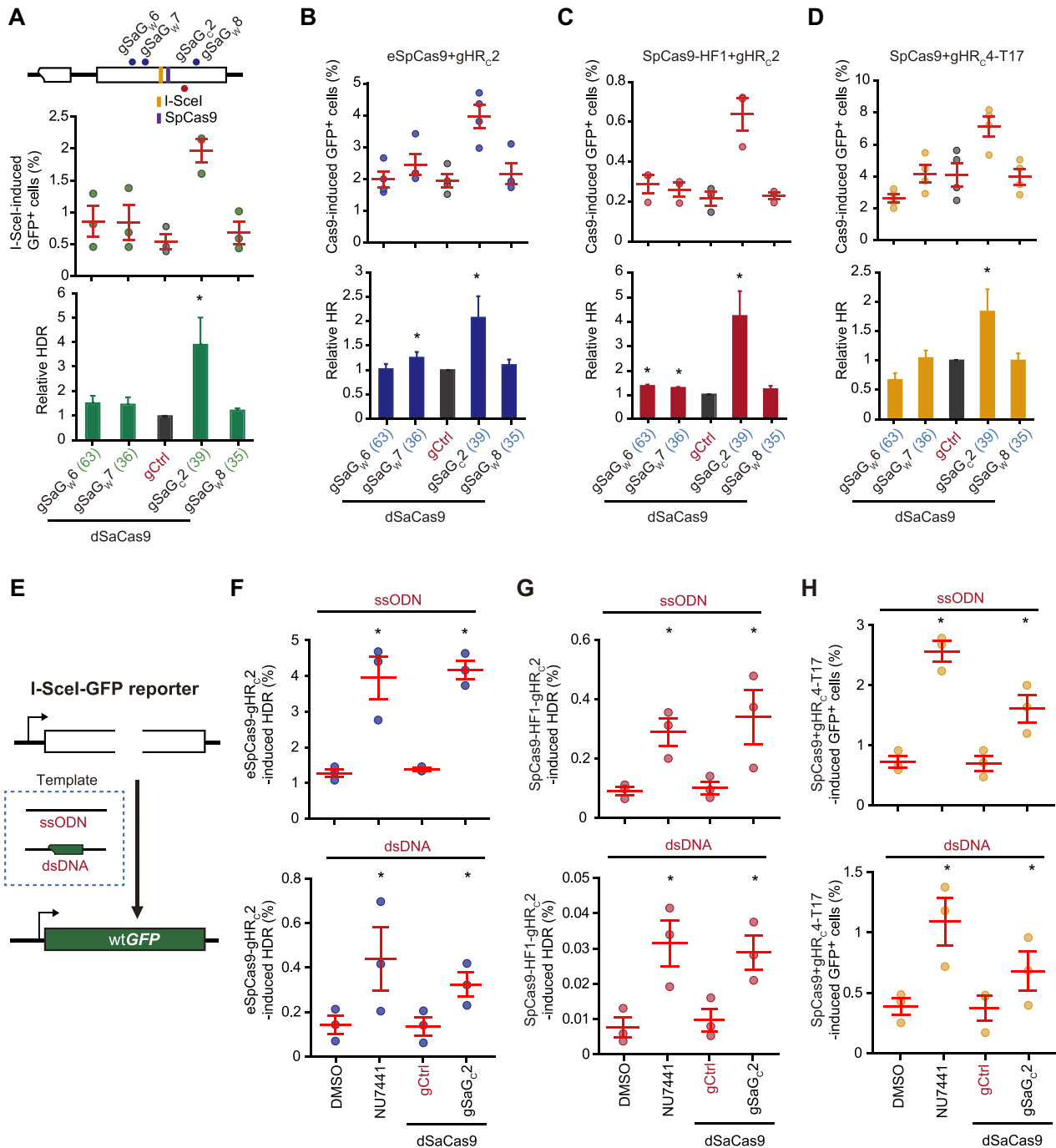


Figure 7. dSaCas9-sgRNA proximal binding stimulates HDR. (A–D) Effect of dSaCas9-sgRNA tethered adjacent to a DSB on HDR induced by I-SceI (A), eSpCas9-gHR_C2 (B), SpCas9-HF1-gHR_C2 (C), SpCas9-gHR_C4-T17 (D) in HDR reporter mESCs. Upon DNA breakage induced by I-SceI and SpCas9 as indicated on the schematic of the reporter, 4 dSaCas9-sgRNAs were individually tethered to DNA sequences flanking the DSB to influence HDR. Frequency of GFP⁺ cells was measured by FACS and relative HDR was calculated relative to 1.0 for ‘dSaCas9 + gCtrl’ control. Columns indicated the mean ± S.E.M. of at least three independent experiments, each in triplicates. Error bars indicated S.E.M. The number in parenthesis following each sgRNA indicated the distance between the closest point of the PAM-containing 27-nt target site of each dSaCas9-sgRNA to the break point by I-SceI, eSpCas9-gHR_C2, SpCas9-HF1-gHR_C2, SpCas9-gHR_C4-T17. Significance was determined by Student’s *t*-test between ‘gCtrl’ and each ‘dSaCas9-sgRNA’ and indicated by **P* < 0.05 and ***P* < 0.01. (E) Schematic for the I-SceI-GFP correction reporter. Upon site-specific DNA breakage in the modified HDR reporter, wtGFP could be generated by HDR with exogenous ssODN or plasmid dsDNA homologous templates. (F–H) HDR stimulation by dSaCas9-sgRNA tethered adjacent to a DSB induced by eSpCas9-gHR_C2 (F), SpCas9-HF1-gHR_C2 (G), SpCas9-gHR_C4-T17 (H) in I-SceI-GFP reporter mESCs. GFP⁺ cells were generated by HDR of the DSB with ssODN or plasmid dsDNA homologous templates. Frequency of GFP⁺ cells was determined by FACS. During HDR, reporter cells were treated with NU7441 alone, or with expression of individual dSaCas9-gSaG_c2 or dSaCas9-gCtrl was determined with DMSO as indicated. Each circle indicates one independent experiment, each in triplicates, and the mean of at least six independent experiments is also indicated. Error bars indicate S.E.M. Significance was analyzed by Student’s *t*-test between each sample group and its respective control group (‘DMSO’ and ‘gCtrl’), and indicated by **P* < 0.05, ***P* < 0.01 and ****P* < 0.001.

and *SpCas9*-HF1-mediated HDR by up to 4-fold with either ssODN or plasmid DNA (Figure 7F, G). Using truncated gHR_{C4}-T17, d*SaCas9* binding at proximal region also significantly stimulated the *SpCas9*-induced HDR by about 2-fold, a smaller stimulation than 3-fold with NU7441 (Figure 7H). These results demonstrated the proximal d*SaCas9* binding was effective for stimulating HDR induced by high-fidelity *SpCas9*-sgRNA variants and expanded the application of the d*Cas9*-based local NHEJ inhibitor strategy.

Although high-fidelity *SpCas9*-sgRNA variants cause less off-target effect, both NU7441 and d*SaCas9*-gSaG_{C2} could still increase off-target activities of these variants while stimulating HDR for these variants. We thus examined the effects of NU7441 and d*SaCas9*-gSaG_{C2} on the off-target activities of *SpCas9*-HF1-gHR_{C2}, e*SpCas9*-gHR_{C2} and *SpCas9*-gHR_{C4}-T17. Both NU7441 and d*SaCas9*-gSaG_{C2} reduced on-target indel efficiency of these *SpCas9*-sgRNA variants (Supplementary Figure 9A-C). This is likely due to the major use of c-NHEJ in generation of on-target indels induced by these *SpCas9*-sgRNA variants as by *SpCas9*-sgRNAs (Figure 3A-C) (8). However, NU7441 stimulated off-target activities of these *SpCas9*-sgRNA variants (Supplementary Figure 9A-C). As a result, NU7441 caused significant reduction in target specificity as anticipated (Supplementary Figure 9A-C). In contrast, gSaG_{C2} in complex with d*SaCas9* enhanced mutagenesis as compared to the sgRNA control at off-target sites (Supplementary Figure 9A-C). These results indicate that unlike NU7441, local d*SaCas9* proximal binding as well as d*SpCas9* proximal binding did not cause any increase of off-target effect while enhancing HDR-mediated genome editing.

DISCUSSION

Precise editing by HDR has a broad application in genome and cell engineering by CRISPR genome editing but is often limited by the low efficiency of HDR (1,3,52). Many approaches have been taken to improve HDR-mediated genome editing (3,9). Targeting Cas9-mediated DNA cleavage or exposure of DNA breaks in the S/G2 phase of the cell cycle can be used to increase HDR because the HDR machinery is evolved to act in the S/G2 phase in mammalian cells (3,9,15–17). HDR can also be promoted by local enrichment of homologous templates at the repair site (9–11). Recent studies show that non-integrating rAAV6 can deliver a high level of homologous templates to break sites for more efficient HDR (53,54). In addition, many studies have employed Cas9 fusion with a HDR facilitator involving key steps of HDR to locally enhance HDR-mediated correction (3,9,12–18). Another approach to promote HDR is suppression of the competing pathway c-NHEJ by chemical inhibitors, genetic deletion of genes encoding c-NHEJ factors and fusing Cas9 with c-NHEJ suppressor proteins such as 53BP1 domain (3,9,13,19–22). Last but not least, target cleavage could be enhanced by more efficient Cas nucleases and by more accessible chromatin with active transcription, epigenetic modifications or forced unwrapping of nucleosomes, thus leading to more HDR and NHEJ products (3,9,27,31,32,55–57).

Among these strategies, many work in a global manner and have a potential to exacerbate off-target effects in CRISPR genome editing. In particular, our previous study has demonstrated that inactivation of c-NHEJ by chemical or genetic approaches increases the frequency of indels at off-target sites where Cas9 binding is generally weaker and lasts shorter, thus causing stronger off-target effects (8). Off-target effects are a serious problem in CRISPR genome editing and have greatly limited clinical use of this technology; however, the stimulation of off-target effect by chemical or genetic inhibition of c-NHEJ was often ignored in CRISPR genome editing (8,58). Therefore, a strategy is urgently needed to inhibit c-NHEJ while causing no additional off-target effects in CRISPR genome editing. After having demonstrated c-NHEJ inhibition and HDR stimulation by d*Cas9* proximal binding, this study established d*Cas9* proximal binding as a strategy of local c-NHEJ inhibitor to address this need.

Upon DSBs induced by *SpCas9* at many sites, spontaneous dissociation of *SpCas9*-sgRNAs from the cleaved targets exposes the DSB ends, which can be readily recognized and bound by the DNA-PKcs/Ku70/Ku80 (i.e. DNA-PK) holoenzyme and ligated by XRCC4/DNA ligase 4. The binding affinity of Ku70/Ku80 to DNA ends, each molecule in close contact with 13–21 bp of DNA, is generally high with the dissociation constant (K_d) at 0.15–0.4 nM and this strong binding is necessary for efficient recruitment of DNA-PKcs and XRCC4/DNA ligase 4 (59). After being loaded onto DNA ends, the Ku70/Ku80 heterodimer can be pushed inwards along DNA, allowing DNA-PKcs to bind the DNA ends (59). The DNA-PK holoenzyme interacts with ~37 bp of DNA as Ku70/Ku80 binds DNA next to DNA-PKcs (60). The DNA-PK complex may further translocate away from the DSB ends so that XRCC4/DNA ligase 4 can bind 12–13 bp of DNA at the DSB ends for end ligation (61). As d*SpCas9* binds to its targets with the K_d approximately at 0.2–4 nM (25,26,62), it is possible that d*SpCas9* proximal binding can directly compete with Ku70/Ku80 for DNA binding or prevent the inward movement of Ku70/Ku80 along DNA. In fact, an *in vitro* assay has demonstrated that *SpCas9* residing at the cleaved target cannot be displaced by 100-fold molar excess of the Ku70/Ku80 complex (27). It is conceivable that the c-NHEJ apparatus may not be properly assembled for c-NHEJ after d*SpCas9* proximal binding. This possibility prompts us to devise the strategy of d*SpCas9* proximal binding to suppress c-NHEJ at a given site. Acting as a c-NHEJ inhibitor, d*SpCas9* tethered adjacent to a DSB may preclude the access of DSB ends by Ku70/Ku80 for end binding or block the inward sliding of Ku70/Ku80 along DNA for recruitment of DNA-PKcs and XRCC4/DNA ligase 4 and stimulate HDR by locally suppressing c-NHEJ that depends upon DNA-PKcs/Ku70/Ku80 and XRCC4/DNA ligase 4. Direct competition with Ku70/Ku80 for end binding and blockage of Ku70/Ku80 end sliding may disrupt different c-NHEJ steps that require different c-NHEJ factors. As loss of different c-NHEJ factors results in different a-EJ outcomes and different levels of HDR stimulation as we and others have demonstrated before (8,44,63–65), this helps explain why d*Cas9* could still inhibit mutagenic NHEJ, albeit to less extent, in *XRCC4*^{-/-} cells (Figure 3D, E).

Unlike the global c-NHEJ inhibition induced by chemicals or genetic ablation, the c-NHEJ inhibition imposed by d*SpCas9* proximal binding rarely occurs simultaneously at the off-target sites for DNA cleavage by other Cas nucleases, therefore not exacerbating the off-target effects. While d*SpCas9* proximal binding promotes HDR induced by different CRISPR/Cas systems such as *LbCas12a* and *SaCas9*, HDR induced by *SpCas9*–sgRNA variants such as e*SpCas9*, *SpCas9*-HF1 and truncated sgRNAs can also be facilitated by d*SaCas9* tethered near the repair site. The *SpCas9* variants e*SpCas9* and *SpCas9*-HF1 and truncated sgRNAs have been developed to improve the specificity of CRISPR/Cas9 genome editing and reduce off-target effects by removing the excessive target binding of Cas9–sgRNA. This improvement is however often offset by a reduction in target cleavage. The application of these Cas9–sgRNA variants could be particularly helped by d*SaCas9* proximal binding that enhances the efficiency of genome editing mediated by these variants without increasing their off-target activities.

Previous studies have suggested that d*SpCas9* proximal binding can induce unwrapping of neighboring nucleosomes and increase the accessibility of nucleosomal DNA target for Cas12a-mediated cleavage (31,32). This model would help explain the HDR stimulation by dCas9 proximal binding; it is however inconsistent with requirement of c-NHEJ factors for the HDR stimulation, dCas9-mediated inhibition of c-NHEJ or reduced recruitment of Ku80 to DNA ends in our study. It is worth noting that the HDR stimulation by d*SpCas9* proximal binding and the underlying mechanisms may vary considerably between targets, between cell types and between cell cycle stages. For example, when *SpCas9*-induced DSBs are exposed by DNA replication forks at many sites, c-NHEJ is little or not even engaged in repair of these DSBs (8,66). Thus, like chemical inhibitors of c-NHEJ, which often generate mixed results in stimulating *SpCas9*-induced HDR (3,8), dCas9 proximal binding may not suppress c-NHEJ of *SpCas9*-induced DSBs at these sites in favor of HDR. Instead, if dCas9 proximal binding still stimulates HDR in this case, it is not mediated by locally suppressing c-NHEJ, but possibly by dCas9-mediated alteration of chromatin dynamics (31,32).

Upon binding to DSB ends, Ku70/Ku80 protect the ends from end processing and promote c-NHEJ that is innately accurate in joining readily ligatable ends (6–8). This study demonstrated that dCas9 proximal binding locally suppressed c-NHEJ and stimulated HDR by blocking end binding or end sliding of Ku70/Ku80 at specific sites. However, it remains unclear how exactly the HDR factors are engaged to the DSB ends where dCas9 resides. Likely, after preventing end binding or end sliding of Ku70/Ku80, dCas9 may be subsequently released from its target sites due to the dynamic of its target residence and local DNA metabolism, allowing late engagement of HDR. In addition, studies have shown that removal of bound proteins such as Ku70/Ku80 and other blocks from the ends is a critical step for short-range end resection and requires Mre11 endonuclease activity that cleaves the 5'-terminated strand at positions up to 300–400 nt away from the ends (67–74). It is possible that, like Ku70/Ku80 or other pro-

tein blocks bound to the ends, dCas9 tethered to DNA ends could induce recruitment of Mre11 to create a nick at a position up to 300–400 nt away from the neighboring ends, initiate short-range end resection to dislodge dCas9 from the ends and facilitate HDR. However, using Mre11 ChIP analysis, we did not find significant enrichment of Mre11 at position up to 300–400 nt away from the ends in the presence of d*SpCas9* proximal binding (Supplementary Figure S10A, B). Instead, it appeared that Mre11 was enriched further away from the ends at the regions from -801 nt to -2736 nt; but the reason is unclear. In addition, if Mre11 is indeed recruited to initiate short-range end resection at positions up to 300–400 nt away from the ends for HDR, some of the resected ends might fail to engage HDR and could be repaired by NHEJ, generating large deletions in indel-based NHEJ products. However, junction analysis by targeted PCR amplicon deep sequencing revealed that like NU7441, d*SpCas9* or d*SaCas9* tethered to a DSB end did not increase large deletions while generally having modest effect on the length distribution of deletions within 150 bp (Supplementary Figure S11A–F). Considering that NHEJ events with such large deletions are in a much smaller portion compared to all indel-based NHEJ products, this result is not surprising. Moreover, targeted PCR amplicon deep sequencing in this study is only applicable for PCR products of 300 bp and may be unsuitable for detecting large deletions. A better approach is needed to properly and systematically analyze the effect of d*SpCas9* proximal binding on end resection in the future.

Like any other dCas9-based platforms, the efficiency of dCas9-based local c-NHEJ inhibitor is determined by several factors: the distance of dCas9 proximal binding to the DSB ends, the chromatin state at the site for dCas9 proximal binding, and the binding affinity and residence duration of dCas9 at its target (24,30). While the distance of dCas9 proximal binding to the DSB ends is easy to control, the latter two are hard to predict. Preassembled nucleosome at the dCas9 target may prevent dCas9 proximal binding, making ineffective this strategy of dCas9-based local c-NHEJ inhibition (32,75,76). In addition, dCas9 proximal binding may require a strong binding affinity and persistent residence to block the binding of Ku70/Ku80 to DSB ends. However, the binding affinity and residence duration of dCas9 may vary significantly from target to target and from cell type to cell type. Some are rather strong and some others quite weak. While reducing excessive target interaction of Cas9 is a useful strategy to minimize off-target effect in CRISPR genome editing, there remains a need for engineered dCas9 variants with a stronger and more persistent binding ability to improve the effect of dCas9-based platforms including this local c-NHEJ inhibition (24).

DATA AVAILABILITY

Deep sequencing raw data are available in the Sequence Read Archive (SRA) under accession number PRJNA851524 (<https://www.ncbi.nlm.nih.gov/sra/PRJNA851524>). Flow cytometry raw data for this study has also been deposited at the Zenodo, where it is directly accessible at <https://doi.org/10.5281/zenodo.7131697> and <https://doi.org/10.5281/zenodo.7133853>. Source data for

the figures and supplementary figures are provided as a Source Data file with this paper.

SUPPLEMENTARY DATA

Supplementary Data are available at NAR Online.

ACKNOWLEDGEMENTS

We thank members of the Xie lab for helpful discussions. We also thank Bi Chao and Hong Xiaoli from the Core Facilities, Zhejiang University School of Medicine for their technical support. We thank J. Hu at Peking University for the gift of expression plasmids for Cas9 variants.

FUNDING

National Natural Science Foundation of China [31870806 to A.Y.X., 32071439 to Y.L.F.]; Department of Science and Technology of Hangzhou [202204A05 to A.Y.X., 202204B08 to Y.L.F.]; Natural Science Foundation of Zhejiang Province [LZ22C050001 to Y.L.F., LQ20C050004 to S.C.L.]. Funding for open access charge: Department of Science and Technology of Hangzhou.

Conflict of interest statement. None declared.

REFERENCES

- Doudna, J.A. (2020) The promise and challenge of therapeutic genome editing. *Nature*, **578**, 229–236.
- Jinek, M., Chylinski, K., Fonfara, I., Hauer, M., Doudna, J.A. and Charpentier, E. (2012) A programmable dual-RNA-guided DNA endonuclease in adaptive bacterial immunity. *Science*, **337**, 816–821.
- Nambiar, T.S., Baudrier, L., Billon, P. and Ciccia, A. (2022) CRISPR-based genome editing through the lens of DNA repair. *Mol. Cell*, **82**, 348–388.
- Ramsden, D.A., Carvajal-Garcia, J. and Gupta, G.P. (2021) Mechanism, cellular functions and cancer roles of polymerase-theta-mediated DNA end joining. *Nat. Rev. Mol. Cell Biol.*, **10**, 1038.
- Stinson, B.M. and Loparo, J.J. (2021) Repair of DNA double-strand breaks by the nonhomologous end joining pathway. *Annu. Rev. Biochem.*, **90**, 137–164.
- Guo, T., Feng, Y.-L., Xiao, J.-J., Liu, Q., Sun, X.-N., Xiang, J.-F., Kong, N., Liu, S.-C., Chen, G.-Q., Wang, Y. *et al.* (2018) Harnessing accurate non-homologous end joining for efficient precise deletion in CRISPR/Cas9-mediated genome editing. *Genome Biol.*, **19**, 170.
- Bétermier, M., Bertrand, P. and Lopez, B.S. (2014) Is non-homologous end-joining really an inherently error-prone process? *PLoS Genet.*, **10**, e1004086.
- Liu, S.-C., Feng, Y.-L., Sun, X.-N., Chen, R.-D., Liu, Q., Xiao, J.-J., Zhang, J.-N., Huang, Z.-C., Xiang, J.-F., Chen, G.-Q. *et al.* (2022) Target residence of Cas9-sgRNA influences DNA double-strand break repair pathway choices in CRISPR/Cas9 genome editing. *Genome Biol.*, **23**, 165.
- Yang, H., Ren, S., Yu, S., Pan, H., Li, T., Ge, S., Zhang, J. and Xia, N. (2020) Methods favoring homology-directed repair choice in response to CRISPR/Cas9 induced-double strand breaks. *Int. J. Mol. Sci.*, **21**, E6461.
- Carlson-Stevermer, J., Abdeen, A.A., Kohlenberg, L., Goedland, M., Molugu, K., Lou, M. and Saha, K. (2017) Assembly of CRISPR ribonucleoproteins with biotinylated oligonucleotides via an RNA aptamer for precise gene editing. *Nat. Commun.*, **8**, 1711.
- Savic, N., Ringnalda, F.C., Lindsay, H., Berk, C., Bargsten, K., Li, Y., Neri, D., Robinson, M.D., Ciaudo, C., Hall, J. *et al.* (2018) Covalent linkage of the DNA repair template to the CRISPR-Cas9 nuclease enhances homology-directed repair. *Elife*, **7**, e33761.
- Charpentier, M., Khedher, A.H.Y., Menoret, S., Brion, A., Lamribet, K., Dardillac, E., Boix, C., Perrouault, L., Tesson, L., Geny, S. *et al.* (2018) CtIP fusion to Cas9 enhances transgene integration by homology-dependent repair. *Nat. Commun.*, **9**, 1133.
- Jayavaradhan, R., Pillis, D.M., Goodman, M., Zhang, F., Zhang, Y., Andressen, P.R. and Malik, P. (2019) CRISPR-Cas9 fusion to dominant-negative 53BP1 enhances HDR and inhibits NHEJ specifically at Cas9 target sites. *Nat. Commun.*, **10**, 2866.
- Nambiar, T.S., Billon, P., Diedenhofen, G., Hayward, S.B., Tagliatalata, A., Cai, K., Huang, J.-W., Leuzzi, G., Cuella-Martin, R., Palacios, A. *et al.* (2019) Stimulation of CRISPR-mediated homology-directed repair by an engineered RAD18 variant. *Nat. Commun.*, **10**, 3395.
- Lin, S., Staahl, B.T., Alla, R.K. and Doudna, J.A. (2014) Enhanced homology-directed human genome engineering by controlled timing of CRISPR/Cas9 delivery. *Elife*, **3**, e04766.
- Yang, D., Scavuzzo, M.A., Chmielowiec, J., Sharp, R., Bajic, A. and Borowski, M. (2016) Enrichment of G2/M cell cycle phase in human pluripotent stem cells enhances HDR-mediated gene repair with customizable endonucleases. *Sci. Rep.*, **6**, 21264.
- Wienert, B., Nguyen, D.N., Guenther, A., Feng, S.J., Locke, M.N., Wyman, S.K., Shin, J., Kazane, K.R., Gregory, G.L., Carter, M.A.M. *et al.* (2020) Timed inhibition of CDC7 increases CRISPR-Cas9 mediated templated repair. *Nat. Commun.*, **11**, 2109.
- Reint, G., Li, Z., Labun, K., Keskitalo, S., Soppa, I., Mamia, K., Tolo, E., Szymanska, M., Meza-Zepeda, L.A., Lorenz, S. *et al.* (2021) Rapid genome editing by CRISPR-Cas9-POLD3 fusion. *Elife*, **10**, e75415.
- Maruyama, T., Dougan, S.K., Truttmann, M.C., Bilate, A.M., Ingram, J.R. and Ploegh, H.L. (2015) Increasing the efficiency of precise genome editing with CRISPR-Cas9 by inhibition of nonhomologous end joining. *Nat. Biotechnol.*, **33**, 538–542.
- Chu, V.T., Weber, T., Wefers, B., Wurst, W., Sander, S., Rajewsky, K. and Kühn, R. (2015) Increasing the efficiency of homology-directed repair for CRISPR-Cas9-induced precise gene editing in mammalian cells. *Nat. Biotechnol.*, **33**, 543–548.
- Robert, F., Barbeau, M., Éthier, S., Dostie, J. and Pelletier, J. (2015) Pharmacological inhibition of DNA-PK stimulates Cas9-mediated genome editing. *Genome Med*, **7**, 93.
- Riesenberg, S. and Maricic, T. (2018) Targeting repair pathways with small molecules increases precise genome editing in pluripotent stem cells. *Nat. Commun.*, **9**, 2164.
- Jiang, F. and Doudna, J.A. (2017) CRISPR-Cas9 structures and mechanisms. *Annu. Rev. Biophys.*, **46**, 505–529.
- Feng, Y., Liu, S., Chen, R. and Xie, A. (2021) Target binding and residence: a new determinant of DNA double-strand break repair pathway choice in CRISPR/Cas9 genome editing. *J. Zhejiang Univ. Sci. B*, **22**, 73–86.
- Sternberg, S.H., Redding, S., Jinek, M., Greene, E.C. and Doudna, J.A. (2014) DNA interrogation by the CRISPR RNA-guided endonuclease Cas9. *Nature*, **507**, 62–67.
- Richardson, C.D., Ray, G.J., DeWitt, M.A., Curie, G.L. and Corn, J.E. (2016) Enhancing homology-directed genome editing by catalytically active and inactive CRISPR-Cas9 using asymmetric donor DNA. *Nat. Biotechnol.*, **34**, 339–344.
- Clarke, R., Heler, R., MacDougall, M.S., Yeo, N.C., Chavez, A., Regan, M., Hanakahi, L., Church, G.M., Marraffini, L.A. and Merrill, B.J. (2018) Enhanced bacterial immunity and mammalian genome editing via RNA-polymerase-mediated dislodging of Cas9 from double-strand DNA breaks. *Mol. Cell*, **71**, 42–55.
- Qi, L.S., Larson, M.H., Gilbert, L.A., Doudna, J.A., Weissman, J.S., Arkin, A.P. and Lim, W.A. (2013) Repurposing CRISPR as an RNA-guided platform for sequence-specific control of gene expression. *Cell*, **152**, 1173–1183.
- Whinn, K.S., Kaur, G., Lewis, J.S., Schauer, G.D., Mueller, S.H., Jergic, S., Maynard, H., Gan, Z. Y., Naganbabu, M., Bruchez, M.P. *et al.* (2019) Nuclease dead Cas9 is a programmable roadblock for DNA replication. *Sci. Rep.*, **9**, 13292.
- Wang, H., La Russa, M. and Qi, L.S. (2016) CRISPR/Cas9 in genome editing and beyond. *Annu. Rev. Biochem.*, **85**, 227–264.
- Chen, F., Ding, X., Feng, Y., Seebeck, T., Jiang, Y. and Davis, G.D. (2017) Targeted activation of diverse CRISPR-Cas systems for mammalian genome editing via proximal CRISPR targeting. *Nat. Commun.*, **8**, 14958.

32. Strohkendl,I., Saifuddin,F.A., Gibson,B.A., Rosen,M.K., Russell,R. and Finkelstein,I.J. (2021) Inhibition of CRISPR-Cas12a DNA targeting by nucleosomes and chromatin. *Sci. Adv.*, **7**, eabd6030.
33. Fu,Y., Sander,J.D., Reyon,D., Cascio,V.M. and Joung,J.K. (2014) Improving CRISPR-Cas nuclease specificity using truncated guide RNAs. *Nat. Biotechnol.*, **32**, 279–284.
34. Kleinstiver,B.P., Pattanayak,V., Prew,M.S., Tsai,S.Q., Nguyen,N.T., Zheng,Z. and Joung,J.K. (2016) High-fidelity CRISPR-Cas9 nucleases with no detectable genome-wide off-target effects. *Nature*, **529**, 490–495.
35. Slaymaker,I.M., Gao,L., Zetsche,B., Scott,D.A., Yan,W.X. and Zhang,F. (2016) Rationally engineered Cas9 nucleases with improved specificity. *Science*, **351**, 84–88.
36. Friedland,A.E., Baral,R., Singhal,P., Loveluck,K., Shen,S., Sanchez,M., Marco,E., Gotta,G.M., Maeder,M.L., Kennedy,E.M. *et al.* (2015) Characterization of *Staphylococcus aureus* Cas9: a smaller Cas9 for all-in-one adeno-associated virus delivery and paired nickase applications. *Genome Biol.*, **16**, 257.
37. Chandramouly,G., Kwok,A., Huang,B., Willis,N.A., Xie,A. and Scully,R. (2013) BRCA1 and CtIP suppress long-tract gene conversion between sister chromatids. *Nat. Commun.*, **4**, 2404.
38. Xie,A., Kwok,A. and Scully,R. (2009) Role of mammalian Mre11 in classical and alternative nonhomologous end joining. *Nat. Struct. Mol. Biol.*, **16**, 814–818.
39. Feng,Y.-L., Liu,Q., Chen,R.-D., Liu,S.-C., Huang,Z.-C., Liu,K.-M., Yang,X.-Y. and Xie,A.-Y. (2022) DNA nicks induce mutational signatures associated with BRCA1 deficiency. *Nat. Commun.*, **13**, 4285.
40. Rass,E., Chandramouly,G., Zha,S., Alt,F.W. and Xie,A. (2013) Ataxia telangiectasia mutated (ATM) is dispensable for endonuclease I-SceI-induced homologous recombination in mouse embryonic stem cells. *J. Biol. Chem.*, **288**, 7086–7095.
41. Xie,A., Hartlerode,A., Stucki,M., Odate,S., Puget,N., Kwok,A., Nagaraju,G., Yan,C., Alt,F.W., Chen,J. *et al.* (2007) Distinct roles of chromatin-associated proteins MDC1 and 53BP1 in mammalian double-strand break repair. *Mol. Cell*, **28**, 1045–1057.
42. Xie,A., Puget,N., Shim,I., Odate,S., Jarzyna,I., Bassing,C.H., Alt,F.W. and Scully,R. (2004) Control of sister chromatid recombination by histone H2AX. *Mol. Cell*, **16**, 1017–1025.
43. Feng,Y.-L., Xiang,J.-F., Liu,S.-C., Guo,T., Yan,G.-F., Feng,Y., Kong,N., Li,H.-D., Huang,Y., Lin,H. *et al.* (2017) H2AX facilitates classical non-homologous end joining at the expense of limited nucleotide loss at repair junctions. *Nucleic Acids Res.*, **45**, 10614–10633.
44. Pierce,A.J., Hu,P., Han,M., Ellis,N. and Jasin,M. (2001) Ku DNA end-binding protein modulates homologous repair of double-strand breaks in mammalian cells. *Genes Dev.*, **15**, 3237–3242.
45. Allen,C., Kurimasa,A., Brenneman,M.A., Chen,D.J. and Nickoloff,J.A. (2002) DNA-dependent protein kinase suppresses double-strand break-induced and spontaneous homologous recombination. *Proc. Natl. Acad. Sci. U.S.A.*, **99**, 3758–3763.
46. Delacôte,F., Han,M., Stamato,T.D., Jasin,M. and Lopez,B.S. (2002) An *xrcc4* defect or Wortmannin stimulates homologous recombination specifically induced by double-strand breaks in mammalian cells. *Nucleic Acids Res.*, **30**, 3454–3463.
47. Yin,J., Lu,R., Xin,C., Wang,Y., Ling,X., Li,D., Zhang,W., Liu,M., Xie,W., Kong,L. *et al.* (2022) Cas9 exo-endonuclease eliminates chromosomal translocations during genome editing. *Nat. Commun.*, **13**, 1204.
48. Singh,D., Mallon,J., Poddar,A., Wang,Y., Tippana,R., Yang,O., Bailey,S. and Ha,T. (2018) Real-time observation of DNA target interrogation and product release by the RNA-guided endonuclease CRISPR Cpf1 (Cas12a). *Proc. Natl. Acad. Sci. U.S.A.*, **115**, 5444–5449.
49. Jeon,Y., Choi,Y.H., Jang,Y., Yu,J., Goo,J., Lee,G., Jeong,Y.K., Lee,S.H., Kim,I.-S., Kim,J.-S. *et al.* (2018) Direct observation of DNA target searching and cleavage by CRISPR-Cas12a. *Nat. Commun.*, **9**, 2777.
50. Strohkendl,I., Saifuddin,F.A., Rybarski,J.R., Finkelstein,I.J. and Russell,R. (2018) Kinetic basis for DNA target specificity of CRISPR-Cas12a. *Mol. Cell*, **71**, 816–824.
51. Swarts,D.C. and Jinek,M. (2019) Mechanistic insights into the cis- and trans-acting DNase activities of Cas12a. *Mol. Cell*, **73**, 589–600.
52. Rezalotfi,A., Fritz,L., Förster,R. and Bošnjak,B. (2022) Challenges of CRISPR-based gene editing in primary T cells. *Int. J. Mol. Sci.*, **23**, 1689.
53. Dever,D.P., Bak,R.O., Reinisch,A., Camarena,J., Washington,G., Nicolas,C.E., Pavel-Dinu,M., Saxena,N., Wilkens,A.B., Mantri,S. *et al.* (2016) CRISPR/Cas9 β -globin gene targeting in human haematopoietic stem cells. *Nature*, **539**, 384–389.
54. Lattanzi,A., Camarena,J., Lahiri,P., Segal,H., Srifa,W., Vakulskas,C.A., Frock,R.L., Kenrick,J., Lee,C., Talbott,N. *et al.* (2021) Development of β -globin gene correction in human hematopoietic stem cells as a potential durable treatment for sickle cell disease. *Sci. Transl. Med.*, **13**, eabf2444.
55. Takayama,K., Igai,K., Hagihara,Y., Hashimoto,R., Hanawa,M., Sakuma,T., Tachibana,M., Sakurai,F., Yamamoto,T. and Mizuguchi,H. (2017) Highly efficient allelic genome editing of human ES/iPS cells using a CRISPR/Cas9 or TALEN system. *Nucleic Acids Res.*, **45**, 5198–5207.
56. Liu,B., Chen,S., Rose,A.L., Chen,D., Cao,F., Zwiderman,M., Kiemel,D., Aïssi,M., Dekker,F.J. and Haisma,H.J. (2020) Inhibition of histone deacetylase 1 (HDAC1) and HDAC2 enhances CRISPR/Cas9 genome editing. *Nucleic Acids Res.*, **48**, 517–532.
57. Li,G., Zhang,X., Wang,H., Liu,D., Li,Z., Wu,Z. and Yang,H. (2020) Increasing CRISPR/Cas9-mediated homology-directed DNA repair by histone deacetylase inhibitors. *Int. J. Biochem. Cell Biol.*, **125**, 105790.
58. Kim,D., Luk,K., Wolfe,S.A. and Kim,J.-S. (2019) Evaluating and enhancing target specificity of gene-editing nucleases and deaminases. *Annu. Rev. Biochem.*, **88**, 191–220.
59. Dynan,W.S. and Yoo,S. (1998) Interaction of Ku protein and DNA-dependent protein kinase catalytic subunit with nucleic acids. *Nucleic Acids Res.*, **26**, 1551–1559.
60. Chen,X., Xu,X., Chen,Y., Cheung,J.C., Wang,H., Jiang,J., de Val,N., Fox,T., Gellert,M. and Yang,W. (2021) Structure of an activated DNA-PK and its implications for NHEJ. *Mol. Cell*, **81**, 801–810.
61. Ochi,T., Wu,Q., Chirgadze,D.Y., Grossmann,J.G., Bolanos-Garcia,V.M. and Blundell,T.L. (2012) Structural insights into the role of domain flexibility in human DNA ligase IV. *Structure*, **20**, 1212–1222.
62. Jinek,M., Jiang,F., Taylor,D.W., Sternberg,S.H., Kaya,E., Ma,E., Anders,C., Hauer,M., Zhou,K., Lin,S. *et al.* (2014) Structures of Cas9 endonucleases reveal RNA-mediated conformational activation. *Science*, **343**, 1247997.
63. Chang,H.H.Y., Pannunzio,N.R., Adachi,N. and Lieber,M.R. (2017) Non-homologous DNA end joining and alternative pathways to double-strand break repair. *Nat. Rev. Mol. Cell Biol.*, **18**, 495–506.
64. Schulte-Uentrop,L., El-Awady,R.A., Schliecker,L., Willers,H. and Dahm-Daphi,J. (2008) Distinct roles of XRCC4 and Ku80 in non-homologous end-joining of endonuclease- and ionizing radiation-induced DNA double-strand breaks. *Nucleic Acids Res.*, **36**, 2561–2569.
65. Guirouilh-Barbat,J., Rass,E., Plo,I., Bertrand,P. and Lopez,B.S. (2007) Defects in XRCC4 and KU80 differentially affect the joining of distal nonhomologous ends. *Proc. Natl. Acad. Sci. U.S.A.*, **104**, 20902–20907.
66. Richardson,C.D., Kazane,K.R., Feng,S.J., Zelin,E., Bray,N.L., Schäfer,A.J., Floor,S.N. and Corn,J.E. (2018) CRISPR-Cas9 genome editing in human cells occurs via the Fanconi anemia pathway. *Nat. Genet.*, **50**, 1132–1139.
67. Cejka,P. and Symington,L.S. (2021) DNA end resection: mechanism and control. *Annu. Rev. Genet.*, **55**, 285–307.
68. Paiano,J., Wu,W., Yamada,S., Sciascia,N., Callen,E., Paola Cottrim,A., Deshpande,R.A., Maman,Y., Day,A., Paull,T.T. *et al.* (2020) ATM and PRDM9 regulate SPO11-bound recombination intermediates during meiosis. *Nat. Commun.*, **11**, 857.
69. Mimitou,E.P., Yamada,S. and Keeney,S. (2017) A global view of meiotic double-strand break end resection. *Science*, **355**, 40–45.
70. Chanut,P., Britton,S., Coates,J., Jackson,S.P. and Calsou,P. (2016) Coordinated nuclease activities counteract Ku at single-ended DNA double-strand breaks. *Nat. Commun.*, **7**, 12889.
71. Deshpande,R.A., Myler,L.R., Soniat,M.M., Makharashvili,N., Lee,L., Lees-Miller,S.P., Finkelstein,I.J. and Paull,T.T. (2020) DNA-dependent protein kinase promotes DNA end processing by MRN and CtIP. *Sci. Adv.*, **6**, eaay0922.

72. Myler, L.R., Gallardo, I.F., Soniat, M.M., Deshpande, R.A., Gonzalez, X.B., Kim, Y., Paull, T.T. and Finkelstein, I.J. (2017) Single-molecule imaging reveals how Mre11-Rad50-Nbs1 initiates DNA break repair. *Mol. Cell*, **67**, 891–898.
73. Reginato, G., Cannavo, E. and Cejka, P. (2017) Physiological protein blocks direct the Mre11-Rad50-Xrs2 and Sae2 nuclease complex to initiate DNA end resection. *Genes Dev.*, **31**, 2325–2330.
74. Wang, W., Daley, J.M., Kwon, Y., Krasner, D.S. and Sung, P. (2017) Plasticity of the Mre11-Rad50-Xrs2-Sae2 nuclease ensemble in the processing of DNA-bound obstacles. *Genes Dev.*, **31**, 2331–2336.
75. Horlbeck, M.A., Witkowsky, L.B., Guglielmi, B., Replogle, J.M., Gilbert, L.A., Villalta, J.E., Torigoe, S.E., Tjian, R. and Weissman, J.S. (2016) Nucleosomes impede Cas9 access to DNA in vivo and in vitro. *Elife*, **5**, e12677.
76. Isaac, R.S., Jiang, F., Doudna, J.A., Lim, W.A., Narlikar, G.J. and Almeida, R. (2016) Nucleosome breathing and remodeling constrain CRISPR-Cas9 function. *Elife*, **5**, e13450.