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Advances in nanomaterials for the diagnosis and treatment of head and neck cancers: A review

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ABSTRACT

Nanomaterials (NMs) have increasingly been used for the diagnosis and treatment of head and neck cancers (HNCs) over the past decade. HNCs can easily infiltrate surrounding tissues and form distant metastases, meaning that most patients with HNC are diagnosed at an advanced stage and often have a poor prognosis. Since NMs can be used to deliver various agents, including imaging agents, drugs, genes, vaccines, radiosensitisers, and photosensitisers, they play a crucial role in the development of novel technologies for the diagnosis and treatment of HNCs. Indeed, NMs have been reported to enhance delivery efficiency and improve the prognosis of patients with HNC by allowing targeted delivery, controlled release, responses to stimuli, and the delivery of multiple agents. In this review, we consider recent advances in NMs that could be used to improve the diagnosis, treatment, and prognosis of patients with HNC and the potential for future research.

1. Introduction

Head and neck cancers (HNCs), of which over 90% of are squamous cell carcinomas, include tumours arising in the oral cavity, lips, larynx, pharynx, paranasal sinuses, and salivary glands, and are the sixth most common type of malignant tumours worldwide, with approximately 800,000 new cases and 400,000 deaths per year [[1](#page-11-0)]. Organs in the head and neck region have complex anatomies and, once HNC tumours occur, they can easily infiltrate the surrounding epithelial tissues of the aerodigestive tract and spread distantly [[2](#page-11-0)]. Approximately one-third of HNC patients are diagnosed early, with a five-year survival rate of 70–90% after treatment; however, most patients are diagnosed at an advanced stage as they ignore common symptoms of HNCs, such as persistent painless neck masses, oral ulcers, and voice changes, and thus have a poor prognosis [\[3\]](#page-11-0). Most treatments for HNCs currently require multidisciplinary approaches that primarily involve surgery along with radiotherapy and chemotherapy. Since patients that are initially diagnosed during the middle and late stages of HNC often have large tumours, surgical treatment can result in large structural defects of the treatment.

are usually used for detection and imaging as they can cross the blood-brain barrier and be rapidly cleared through extravasation or the kidneys. Meanwhile, larger (*>*20 nm) NMs are often used as delivery carriers as they can avoid physiological barriers and circulate for prolonged periods. The structures, characteristics, and application of some representative NMs are summarised in [Table 1](#page-1-0). Since it is possible to control the size, shape, morphology, surface charge, and physicochemical properties of NMs, they are often used as carriers to deliver drugs, genes, vaccines, radiosensitisers, and photosensitisers. Further

maxillofacial region that adversely affect the patients' aesthetics, chewing and swallowing functions, and future quality of life [[4](#page-11-0)]. Therefore, it is important to improve the efficiency of HNC diagnosis and

Nanomaterials (NMs) are materials in which at least 50% of the constituent particles have one or more dimensions of 1–100 nm [[5](#page-11-0)]. Several distinct categories of NMs have been recognised based on their dimensionality (1D, 2D, or 3D), source (synthetic or natural), morphology (high or low aspect ratio), and composition (organic,

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inorganic, composite/hybrid, and carbon-based) [[6](#page-11-0)]. The applications of NMs are closely related to their size. For instance, small NMs (*<*20 nm)

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improving the pharmacokinetic properties and biodistribution of agents carried by NMs could allow their widespread use in biomedicine, including the diagnosis and treatment of HNC [[7](#page-11-0)] [\(Fig. 1](#page-2-0)).

The accuracy and specificity of HNC diagnosis and treatment can be significantly improved by using NMs that increase delivery efficiency through passive and active targeted delivery mechanisms [\(Fig. 2](#page-2-0)). NMs can achieve passive targeted delivery through the enhanced permeability and retention (EPR) effect, while combining NMs with aptamers, receptor-specific peptides, and monoclonal antibodies can facilitate active targeted delivery. Since targeted delivery can maximise tumour death, minimise dose-dependent side effects, and reduce the frequency of serious complications, NMs can enhance the curative efficacy of traditional chemotherapy and radiotherapy, and reduce the rate of recurrence [[11,35\]](#page-11-0). Furthermore, NMs can respond to multiple stimuli, allowing them to improve tumour diagnosis and be used in combination therapies like photodynamic therapy (PDT) and photothermal therapy (PTT) [[36\]](#page-11-0). Thus, NMs can help to control the treatment range and intensity, effectively reduce adverse reactions, and improve the efficiency

Table 1

Structures, characteristics, and application of some representative nanomaterials (NMs).

Fig. 1. Schematic diagram of nanomaterials employed to diagnose and treat head and neck cancers, including nanodiamonds, mesoporous silica, metal nanoparticles (MNPs), liposomes, quantum dots (QDs), polymeric NPs, micelles, and dendrimers.

Fig. 2. Nanomaterials (NMs) used to treat HNC. Therapeutic molecules carried by NMs accumulate at the tumour site through active and passive mechanisms. One such passive mechanism is the enhanced permeability and retention (EPR) effect, whereby NMs preferentially deliver agents to tumour tissues owing to the increased vascular permeability of tumour tissues. Active mechanisms of targeted delivery can be achieved by combining NMs with agents such as aptamers, receptor-specific peptides, and monoclonal antibodies. Reproduced with permission [\[37\]](#page-11-0). Copyright 2016. SciELO.

of oncotherapy.

Although the benefits of NMs have been proposed for decades, an increasing number of studies have been conducted to improve their targeting mechanisms and therapeutic diagnostic capabilities in the last decade. In this review, we evaluate recent progress in the use of NMs for the diagnosis and treatment of HNC in order to identify new approaches that could improve patient diagnosis, treatment, and prognosis. We mainly focus on high-quality articles published in the last 5 years by searching the Pubmed, Embase, and SCI electronic databases (last search updated on July 30, 2022). Furthermore, we suggest new clinical applications for NMs in HNC and discuss related challenges and future developments.

2. HNC characteristics

2.1. Aetiology

The main factors associated with the pathogenesis of HNCs are alcohol, tobacco, areca nuts, and infection with the Epstein-Barr virus (EBV) or high-risk strains of human papillomavirus (HPV) [\[38,39](#page-11-0)] (Fig. 3). Irrespective of their viral or environmental aetiology, men are generally at a higher risk than women for all forms of head and neck squamous cell carcinoma (HNSCC). The typical manifestations of HNSCC depend on the anatomical site of the primary tumour and the presence of pathogenic viruses, such as HPV and EBV [[40\]](#page-11-0). According to their HPV status, patients with HNSCC can be divided into two distinct groups: HPV positive and HPV negative. In HPV-negative patients, environmental factors such as tobacco and alcohol use are the main pathogenic factors, whereas the primary pathogenic factor for HPV-positive patients is infection with HPV, mainly the HPV-16 and HPV-18 strains [\[40](#page-11-0)]. HNSCCs are adult cancers, with a median age at diagnosis of 53 years for HPV-positive patients, 66 years for HPV-negative patients, and 50 years for EBV-positive patients. HPV-positive HNSCC mainly occurs in young white men, who develop a local T-shaped tumour with a poorly differentiated histology. Patients with HPV-positive HNSCCs of the oropharynx, oral cavity, larynx, and hypopharynx have higher survival rates than HPV-negative patients; however, there is no statistical difference in survival between patients

with HPV-positive and -negative HNSCCs in the paranasal sinuses and nasopharynx [\[41](#page-11-0)].

2.2. Current diagnosis and treatment

HNC diagnosis often relies on clinical manifestations and medical imaging techniques, such as enhanced computerised tomography (CT), magnetic resonance imaging (MRI), and positron emission tomography (PET)-CT. Histological examinations of the tumour, mainly based on biopsies, are also widely used for staging diagnosis and treatment selection, while lymph node punctures may also aid staging [\[42](#page-11-0)]. Since hypopharyngeal cancer may be accompanied by oesophageal tumours, oesophagogastroscopy is usually recommended [\[23](#page-11-0),[43\]](#page-11-0) and complete upper gastrointestinal endoscopy may be used to identify second primary tumours.

Enhanced CT, MRI, and PET-CT all have advantages and disadvantages. Enhanced CT is simple, fast, popular, and provides good discrimination for characteristic lymph node necrosis, which improves staging at diagnosis since the lymph nodes in the neck are the most common area for HNC metastasis. However, enhanced CT uses radioactive particles and is therefore unsuitable for patients with an iodine allergy or severe renal insufficiency. Although MRI provides significantly higher resolution for soft tissue imaging than enhanced CT, it is relatively expensive, has a much longer operating time, and it is not suitable for patients with metal implants or claustrophobia. Meanwhile, PET-CT can detect cervical lymph nodes and distant metastases with greater sensitivity than either CT or MRI but has a lower resolution than enhanced CT and higher false-positive and false-negative rates [[44,45](#page-12-0)]. Therefore, a multidisciplinary approach is necessary to diagnose and treat HNCs.

The main treatments for early-stage HNSCCs include surgery, radiotherapy, chemotherapy, immunotherapy, gene therapy, PDT, and PTT. The main treatment for oral cancer is surgery, whereas the main treatment for nasopharyngeal cancer is radiotherapy [\(Table 2](#page-4-0)).

It is particularly important to use multidisciplinary treatments for patients with advanced HNSCC throughout the therapeutic process [\[42](#page-11-0)], including a combination of early treatment, chemotherapy, and chemoradiotherapy. The treatment plan should be continually adjusted

Fig. 3. Pathogenic factors for head and neck cancers (HNCs). Alcohol, tobacco abuse, high-risk types of human papillomavirus (HPV), Epstein–Barr virus (EBV), and areca-nut abuse are the main pathogenic factors.

Table 2 Main treatments for early-stage HNSCCs [[23](#page-11-0),43–[45,](#page-11-0)[47\]](#page-12-0).

according to changes in the patient's body and the reaction of the tumour in order to optimise the survival time, curative rate, and quality of life [[46\]](#page-12-0). For instance, surgical HNC treatment can greatly affect the appearance of the patient, as well as their ability to chew or swallow, which can also be affected by mucosal fibrosis caused by radiotherapy. The chemotherapy drugs most commonly used to treat HNCs include cisplatin (CDDP) [[48\]](#page-12-0), cetuximab [\[44,49](#page-12-0)], nedaplatin [[50\]](#page-12-0), oxaliplatin [[51\]](#page-12-0), and nimotuzumab [[52\]](#page-12-0). However, their applications are limited by issues related to toxicity and their utilisation rate. Chemotherapy can also cause various side effects including severe pain that prevents some patients from completing treatment. Therefore, it is necessary to improve the diagnosis and treatment of HNC (Fig. 4).

3. Applications of NMs for the diagnosis and treatment of HNC

HNC is a complex disease that can easily infiltrate surrounding tissues and metastasise, thereby complicating the treatment of patients with HNC. However, NMs may provide new opportunities for improving the diagnosis and treatment of HNC [\(Table 3](#page-5-0)).

3.1. HNC diagnosis

Contrast agents are an important aspect of the different imaging technologies that are used to diagnose tumours, including CT, MRI, PET, ultrasound, fluorescence imaging, and optical imaging [\(Fig. 5](#page-5-0)).

However, most imaging agents are currently limited by poor *in vivo* stability, poor targeting, and explosive release *in vivo* [[18\]](#page-11-0). Multiple studies have shown that NMs such as polymeric nanoparticles (NPs), dendrimers, metallic nanoparticles (MNPs), nanodiamonds (NDs), and core–shell NPs can be outstanding carriers for different imaging techniques, and could therefore be used to solve some of these problems.

Dyes can be loaded with polymeric NPs to produce fluorescent polymer NPs, which have important applications in optical sensing for diagnostic imaging, biomarker analysis, and immunoassays. Moreover, biodegradable and non-biodegradable polymeric NPs can be used as signal sensors as they can convert the presence of analytes into a quantifiable optical response. Notably, biodegradable polymeric NPs have better light stability, biocompatibility, loading capacity, adjustability, manufacturing, and surface functionalisation than nonbiodegradable polymeric NPs [\[22](#page-11-0)]. For example, aggregation-induced emission (AIE) luminogens (AIEgens) are a type of polymeric NP that contain fluorogens or polymers conjugated with an AIE core. AIEgens do not emit light in a dissolved state but can produce substantial emissions when aggregated, meaning that they have great potential for biomedical applications. Furthermore, AIEgens can effectively overcome aggregation-induced quenching effects and exhibit excellent photosensitivity [[73\]](#page-12-0). Polyethylene glycol (PEG) is the most common carrier used to prepare polymeric NPs since PEGylation can significantly increase their metabolic half-life and reduce their toxicity and immunogenicity. Moreover, the bioactive functional groups on PEG polymers can be

Fig. 4. Diagnosis and treatment processes for head and neck cancer (HNC). The diagnosis of HNC often utilises clinical manifestations; imaging examinations such as computed tomography (CT), magnetic resonance imaging (MRI), positron emission tomography (PET)-CT; and histological examinations such as biopsy and lymph node puncture. The main treatments for early HNC include surgery, radiotherapy, chemotherapy, immunotherapy, gene therapy, photodynamic therapy (PDT), and photothermal therapy (PTT).

Table 3

Representative examples of nanomaterial-based drug-delivery systems in head and neck cancer.

Fig. 5. Application of nanobiomaterials for imaging detection of head and neck cancers. Abbreviations: US, ultrasound; MRI, magnetic resonance imaging; CT, computed tomography; SPECT, single-photon emission computed tomography; PET, positron emission tomography.

modified to improve their biological effects, such as by adding hydrophilic substituents to the dye framework. Combining PEG polymers and AIEgens could therefore provide new clinical strategies for cancer imaging and treatment [[22](#page-11-0)[,74](#page-12-0)].

Dendrimers are also widely used in medical imaging technologies such as MRI, CT, and PET-CT. When used together with iodinated micromolecular contrast agents or fluorescent molecules, the surface of dendrimers can be functionalised with various targeting ligands to enhance cellular uptake and improve imaging specificity through multivalent bonding. Dendrimer-based contrast agents are nanosized, which helps to overcome the limitations of micromolecular iodinated contrast agents, allowing them to be employed for imaging multiple biological systems. Dendrimers can be used to deliver various agents and thereby improve the contrast of CT and MRI while reducing the required dose. Furthermore, dendrimers can be labelled with various radionuclides to produce agents with the functions required to enhance PET-CT images of specific pathological tissues [[75\]](#page-12-0). Indeed, amphiphilic dendrimers bearing various PET-reporting units have been used for PET-CT. The superior imaging specificity and sensitivity of this nanosystem have been attributed to EPR-mediated passive tumour targeting and combined dendrimeric multivalence. Unlike conventional imaging strategies, dendrimer systems can also detect imaging-refractory low-glucose-uptake carcinomas and are safe with favourable pharmacokinetics, making them a promising strategy for cancer imaging and diagnosis [\[76](#page-12-0)].

As an alternative to traditional iodinated contrast agents, MNPs such as gold and bismuth have been reported to have good tumour-targeting abilities; however, they have a long circulation time and a long residence period, which may result in unexpected renal toxicity. Diethylenetriaminepentaacetic acid (DTPA) has been used as a chelating agent and a small-molecule bismuth chelate has been proposed as a CT contrast agent. Compared to iohexol, bismuth provides higher quality CT images and has excellent biocompatibility with rapid clearance from the body. Moreover, it has been discovered that ultrasmall and luminescent gold NPs can be cleared by the renal system as they display aggregation and pH-induced charge-reversal properties. In-situ aggregation and the increased tubular reabsorption of gold NPs in injured kidneys can enhance the contrast of ultrasound images. Consequently, bismuth agents and renal-clearable luminescent gold NPs have great potential as contrast agents for ultrasound and fluorescence imaging [[77,78](#page-12-0)].

NDs are useful for labelling tumour images as they have nitrogen and silicon vacancy centres. Unlike conventional dyes, NDs do not undergo bleaching and can therefore be used for long-term measurements. In addition, NDs can be observed using several different imaging techniques, including fluorescence microscopy, fluorescence lifetime, nearinfrared, magnetic resonance, photoacoustic, and cathodoluminescence imaging [[79,80](#page-13-0)].

Core–shell NPs that combine X-ray fluorescence and optical characteristics have been designed for X-ray fluorescence CT. Due to their multimodal properties, NPs have also been used in confocal microscopy to study and track intracellular localisation. Indeed, in-situ X-ray fluorescence CT has demonstrated the potential of *in vivo* multimodal bioimaging for multi-target research using a minimal radiation dose. Thus, NPs could be used as microscopic and macroscopic imaging tools [\[81](#page-13-0)]. Single contrast agents are typically unsuitable for multiple imaging strategies; however, lanthanide-based core–shell–shell NPs have been designed as tri-modal contrast agents that can simultaneously improve MRI, photoluminescence, and CT imaging performance without adversely affecting single-modality performance [[82\]](#page-13-0).

3.2. HNC treatment

Multiple oncotherapies are used to treat HNC, including radiotherapy, chemotherapy, immunotherapy, gene therapy, PDT, and PTT, and their efficacy can be improved using NMs.

3.2.1. Radiotherapy

Radiotherapy is one of the most widely used and effective methods for treating HNCs. Radiation can damage DNA through direct physical ionisation or the creation of free radicals via indirect water ionisation. Ultimately, the purpose of radiotherapy is to supply a fatal dose of radiation to the tumour site whilst sparing the surrounding normal tissue; therefore, the maximum dose depends on the toxicity of the treatment to surrounding tissues [[83\]](#page-13-0). Since radiosensitisers can produce free radicals and accelerate DNA damage, they can potentially increase damage to tumour tissues; however, NMs have emerged as promising radiosensitisers [\[84,85](#page-13-0)].

For instance, MNPs display favourable kinetic properties and flexible surface structures, as well as greater ionising radiation stopping power in soft tissue; allowing them to be used as radiosensitisers to improve the efficacy of radiotherapy [\[86](#page-13-0)] in many tumour models, including HNC [[87\]](#page-13-0). The radiosensitising effects of MNPs have been demonstrated for silver, bismuth, gold, gadolinium, and various metal oxide NPs. Furthermore, it has been reported that the radiation dose increases when MNPs are irradiated due to the generation of secondary photoelectrons, X-rays, and Auger electrons [[88\]](#page-13-0).

Polymeric NPs can also be used as radiosensitisers. For instance, using a surface conjugated to DTPA–human epidermal growth factor (hEGF), poly(lactic-co-glycolic acid; PLGA) NPs were designed to encapsulate a ruthenium-based DNA replication radiosensitiser and inhibitor. These radiolabelled PLGA NPs are preferentially taken up by oesophageal cancer cells, which overexpress epidermal growth factor (EGF) receptors (EGFRs), where they cause DNA damage and radiotoxicity, suggesting that polymeric NPs with surface modification and radiosensitiser encapsulation can achieve targeted and combined efficacy in EGFR-overexpressing tumour cells [[89\]](#page-13-0).

3.2.2. Chemotherapy

Platinum-based drugs are the most common chemotherapeutic agents used to treat HNC but are limited by poor efficiency and off-target effects. Chemotherapy drugs have harsh side effects and can cause systemic toxicity and drug resistance; therefore, they are used at the lowest possible dose to improve antitumour activity. Controlled release can help to protect chemotherapy drugs prior to their delivery to the target tissue, cell, or intracellular location, and ensure that they are released in a sustained manner [\[17](#page-11-0)]. Some NMs, such as liposomes, polymeric NPs, dendrimers, MNPs, and NDs have been used to amplify the properties of bioactive agents, including their absorption, metabolism, distribution, and elimination. In addition, many NMs have good solubility and bioavailability, which can reduce drug resistance and facilitate controlled drug release.

Liposomes are a useful drug delivery system as they can carry hydrophilic drugs in their aqueous core, lipophilic drugs in their lipid bilayer, and amphiphilic drugs on the bilayer surface [\[13](#page-11-0)]. Liposomal delivery increases the drug circulation time because the cholesterol and saturated lipids in the liposomes increase their stability. In addition, liposomes can preferentially deliver drugs to tumour tissues via the EPR effect. For example, liposomes with long circulation times, such as PEG and stealth liposomes, have surface modifications that can resist recognition and uptake by reticuloendothelial system cells. This reduces the adsorption of blood plasma proteins by liposomes and allows them to slowly extravasate from newly formed tumour blood vessels, thus providing passive tumour targeting [[14\]](#page-11-0).

Polymeric NPs can conjugate agents such as drugs, proteins, aptamers, multicomponent non-viral vectors, and copolymer micelles [[18\]](#page-11-0), control the release of drugs from the matrix to the target site in the body, and their surfaces can be modified with ligands. Therefore, controlled release is usually achieved by adjusting the rate of polymeric NP biodegradation and drug diffusion through the matrix [\[18](#page-11-0)]. For example, PLGA has good biocompatibility and can release drugs continuously. In tumours, PLGA loaded with drugs can extravasate through tumour vasculature and is transferred to cells through the EPR effect, thus improving the therapeutic efficacy of the drugs [[90\]](#page-13-0). In this way, polymeric NPs can reduce the toxicity of drugs to surrounding normal tissues and increase the water solubility of anticancer agents, thereby minimising adverse effects against healthy tissues [\[18](#page-11-0)]. Furthermore, polymeric NPs can be administered via gels, tablets, films, patches, and injectable systems for localised drug delivery to oral cancers [\[91](#page-13-0)].

Synthetic MNP strategies can support a vast number of therapeutic agents, such as nucleic acids, chemotherapeutic drugs, radioenhancers, photosensitisers, and peptides, via ligands, porous nanostructures, metal clusters, and their surfaces. Combining different therapeutic agents with MNPs allows them to function synergistically and improves the overall curative effect. Treatment strategies may include incorporating various chemotherapeutic drugs, therapeutic metallic cores, and ligands into one nanostructure, or co-transporting different therapeutic agents (e.g., radio-enhancers, chemotherapeutics, and biological agents) [[32\]](#page-11-0). For example, CDDP-loaded magnetic iron oxide NPs were wrapped in surface-regulating folic acid (FA) and the intracellular aggregating peptide (Cys(StBu)-Lys-CBT) to form a new drug called FA-MNP-CDDP-CBT. Compared with CDDP alone, FA-MNP-CDDP-CBT is less vulnerable to drug resistance and has a reduced half-maximal inhibitory concentration in human nasal epithelial cells. Furthermore, FA-MNP-CDDP-CBT generates more reactive oxygen species (ROS) and provides better-targeted uptake. Hence, this nanosystem provides a flexible approach that can be used to improve antitumour activity, reduce systemic toxicity and the side effects of chemotherapy, and minimise CDDP treatment resistance in patients with nasopharyngeal carcinoma [\[92](#page-13-0)].

Owing to their high surface chemical interactivity, biocompatibility, and small size, NDs are commonly attached to small molecule proteins and chemotherapy drugs to provide long-term drug release, which is beneficial for drug delivery [[9](#page-11-0)[,93](#page-13-0)]. For example, protamine sulfate-NDs can deliver miRNA-203 to oesophageal cancer cells to suppress tumour proliferation and migration [\[94](#page-13-0)], while NDs can be used to deliver celecoxib, a common non-steroidal anti-inflammatory drug, to suppress tumourigenesis and cancer progression in oral squamous cell carcinomas [\[95,96](#page-13-0)].

3.2.3. Immunotherapy

NMs are widely used in immunotherapy and are a new and promising treatment for tumours [[97,98](#page-13-0)]. Since liposomes are effective immune adjuvants for protein and peptide antigens, they can present antigens in a gradual and continuous manner to trigger immune responses in bodily fluids and cells [\[13](#page-11-0)]. During cancer treatment, the immune response to liposomes usually occurs in the tumour microenvironment and in circulating blood. By combining liposome delivery with antibody-mediated tumour recognition, immunoliposomes can induce intracellular drug release [\[99](#page-13-0)]. Encapsulated protein or peptide antigens will accumulate in macrophages as they phagocytise lysosomes. Degraded peptides are then presented to major histocompatibility complex II on the surface of the macrophages, which stimulates specific T-helper cells and then specific B cells to secrete antibodies and trigger the cytotoxic T-lymphocyte response. For example, HPV-16 E6 and E7 oncogene expression has been associated with multiple HNCs. Based on cobalt-porphyrin-phospholipids (CoPoPs), a liposomal vaccine adjuvant was mixed with synthetic 9-mer epitopes attached to three histidine residues, leading to the rapid formation of peptide-liposome particles. Vaccine monotherapy combined with CoPoPs was reported to reduce tumour development and destroy subcutaneous tumours up to 100 mm³ in size [[100](#page-13-0)].

In addition, dendrimers are widely used in immunoassays as they have a highly branched architecture with multiple active external groups, which can increase the sensitivity of immunosensors by controlling the direction of antibodies on the surface [\[101\]](#page-13-0).

3.2.4. Gene therapy

HNC cells have high proliferation and invasion potential, which also makes them resistant to treatment; however, the mechanisms of drug resistance are complicated and involve epithelial–mesenchymal transitions, drug efflux, autophagy, and DNA damage/repair. Determining the potential molecular pathways and mechanisms involved in the progression of these tumours could allow the development of gene therapies that can alter these molecular pathways to reduce chemical and radiation resistance. In particular, nano-delivery platforms are a compelling strategy for minimising drug resistance as they allow controlled release, tumour targeting, and have persistent pharmacokinetic profiles.

Clustered regularly interspaced short palindromic repeats (CRISPR) systems can be used to regulate the functions of genes in drug-resistant HNC via NPs [\[102\]](#page-13-0). CRISPR-associated protein 9 (Cas9) technology uses engineered single guide RNA for site recognition to correct gene mutations via non-homologous end joining or homology-directed repair, and thus represents a flexible approach for treating diseases. Multiple vehicles, such as black phosphorus, gold NPs, graphene oxide, and polymeric NPs have been used to deliver Cas9 [\[103\]](#page-13-0).

The surfaces of polymeric NPs can be modified with ligands to encapsulate unstable molecules, such as RNA, DNA, and proteins, which prevents their degradation and provides excellent stability [[18](#page-11-0)]. Dendrimers are often used as a drug and non-viral gene carriers in tumour research and can be combined with ligands such as biotin, FA, riboflavin, and *N*-acetyl-glucosamine to achieve targeted drug delivery. Dendrimers such as poly(amidoamine)-PEG, glycol, triazine, carbosilane, and phosphorus are widely used as anti-cancer drugs [[75\]](#page-12-0) and can be further optimised using surface engineering modification strategies. For example, multifunctional nanoparticles modified with pH-sensitive EGFR-targeting and nucleus-directed peptides were constructed to effectively deliver epirubicin and HuR CRISPR into pH-sensitive human tongue squamous carcinoma (SAS) cells, which respond to pH and act as a switch to release target peptides. The transfection efficiency and uptake by tumour cells were improved through ligand-mediated endocytosis, EGFR targeting, and endosomal escape via the EGFR/PI3K/mTOR/AKT axis. Once transported into the nucleus, CRISPR/Cas9 successfully knocks out HuR to inhibit the proliferation, resistance, and metastasis of SAS cells, while co-treatment with HuR CRISPR and epirubicin further facilitates apoptosis and necroptosis, leading to cancer cell death [\[103](#page-13-0)].

Carbon nanotube-based drug delivery systems are also a desirable approach for gene therapy. For instance, a drug–single-walled carbon nanotube (SWCNT) bioconjugate was designed to target and kill cancer cells with superior efficacy compared to non-targeted bioconjugates. EGF and CDDP were attached to the SWCNTs to allow them to specifically target squamous cancer cells, with confocal microscopy and QD luminescence revealing that SWCNT–QD–EGF bioconjugates were quickly internalised by EGFR-overexpressing HNSCCs. In addition, twophoton three-colour intravital video imaging showed that *in vivo*-injected SWCNT–QD–EGF was selectively absorbed by HNCs, affected cell proliferation, and induced tumour regression [[104\]](#page-13-0).

Cationic liposome complexes composed of cationic lipids and negatively charged nucleic acids, such as DNA, RNA, and antisense oligonucleotides have also been used for gene therapy. To prevent uptake by the reticuloendothelial system, the lipid surfaces are optimised with antibodies or ligands recognised by specific cells to enhance tissue targeting [[13,](#page-11-0)[105](#page-13-0)]. Stemness can be induced in cancerous oesophageal squamous cells by stimulating them with high lipopolysaccharide (LPS) concentrations. LPS stimulation can increase TET3 expression via the p38/ERK–MAPK pathway, which correlates negatively with patient survival. Thus, LPS can be regarded as a tumour promoter and may provide a novel strategy for treating oesophageal squamous cell cancer [[106](#page-13-0)]. Together, these studies demonstrate that NMs display potential for the delivery of genes for HNC therapy.

3.2.5. PDT and PTT

NMs can be activated on-demand using an external light source whose spectral characteristics, illumination time, and intensity can be controlled. Thus, light-triggered NMs can be used for PDT and PTT [\[107](#page-13-0), [108](#page-13-0)].

During PDT, photosensitising agents are exposed to particular types of light of a specific wavelength, usually via a laser source, and produce ROS, which kills nearby cells. Functionalised dendrimers can help the photosensitising agents selectively attach to target tissues or cells, and can regulate the biological effects of metal particles, improve biocompatibility, and aid surface modification. Thus, dendrimers can be used as contrast agents and biomarkers in PDT [[75\]](#page-12-0). Traditional photodynamic agents cause long-term phototoxicity and unwelcome singlet oxygen $($ ¹O₂) quantum yields. In clinical trials, sulphur-doped carbon dots were used as nano-photosensitisers to increase the efficiency of PDT for oral cancer treatment but produced a high ${}^{1}O_{2}$ yield. However, a low dose (nmol/L) of sulphur-doped carbon dots was found to be safe and could be used as a prospective photosensitiser for oral cancer treatment [[109](#page-13-0)].

PTT has attracted attention as a minimally invasive method for treating tumours that uses photothermal agents combined with various light sources to send strong heat energy to tumour sites while causing limited damage to peripheral tissues. Many inorganic photoactive materials, such as gold NPs and carbon nanotubes, have limited applications as photothermal agents for cancer treatment due to their low biodegradability and poor biocompatibility. However, light-absorbing polymeric NPs have strong biocompatibility, photostability, and photothermal conversion efficiency [[20\]](#page-11-0). For example, micelles can encapsulate and dissolve *meso*-tetra-hydroxyphenyl-porphyrin at high loading densities, have a uniform size distribution, and are cytotoxic to HNC cells *in vitro* when mediated by fluorescence and PDT [[110](#page-13-0)]. Meanwhile, platelets (PLTs) loaded with gold nanorods (AuNRs) via electroporation can act as vectors for the targeted delivery of photosensitisers to tumour sites, thereby improving the efficacy of PTT in HNC. AuNR-loaded PLTs (PLT–AuNRs) inherit the PTT characteristics of MNPs and the tumour-targeting and prolonged blood circulation properties of PLTs, making PLT–AuNR–PTT a promising oncotherapy [[111](#page-13-0)].

3.3. Limitations and modifications

Unlike conventional small-molecule-based drug carriers, NMs have several limitations [\[112\]](#page-13-0). For instance, drugs carried by NMs can be eliminated rapidly by the reticuloendothelial system, whereas the NMs themselves are not excreted efficiently and may be retained in the body, increasing their potential toxicity [\[113](#page-13-0)]. However, NMs can improve therapeutic efficacy by facilitating targeted delivery, controlled drug release, and combined therapies.

3.3.1. Targeting delivery

Treatment efficiency is largely restricted by the broad distribution of drugs throughout the body; however, targeted delivery can provide tumour tissues with a sufficient drug concentration while suppressing systemic side effects. This can be achieved through the controlled release and preferential uptake of drug-containing NMs (Fig. 6), which can be delivered to tissues of interest through active or passive targeting and show tremendous promise for drug delivery.

Liposome preparations can enhance tumour-targeting characteristics when bound to functionalised peptides or aptamers. Functionalised peptides can mediate specific drug delivery, improve drug penetration, accumulate specifically at target sites, and enhance therapeutic effects [[24](#page-11-0)[,114\]](#page-13-0). Aptamers are short synthetic single-stranded DNA or RNA molecules that can bind to targets with high specificity and affinity. Since aptamers are small and lack the fragment crystallisable region of antibodies, they can be attached to liposome surfaces at higher densities [[115](#page-13-0)]. For example, Lo et al. [\[59](#page-12-0)] designed liposomes with self-destructive pH-sensitive PEG shells and different peptides for use as miRNA and irinotecan carriers to improve their tumour-specific accumulation. These peptides included one cell-penetrating peptide, one mitochondrion-directed apoptosis-inducing peptide, and one ligand targeting tumour neovasculature, which enhanced overall selectivity and potency towards tumour cells. In addition, the modified liposomes displayed superior safety and therapeutic efficacy compared to the other tested formulations. Thus, PEG and peptides can enhance the targeting effect of liposomes and improve their therapeutic efficacy [[59\]](#page-12-0).

Drugs can be loaded onto polymeric NPs through adsorption onto the

Fig. 6. Examples of nanomaterials (NMs) for targeted drug delivery. The main components of NM-based drug delivery systems include a nanocarrier, targeting moiety (e.g. aptamers, receptor-specific peptides, or monoclonal antibodies), and cargo (the desired chemotherapeutic drugs).

polymer surface, covalent bonding with the polymer backbone, or encapsulation within the polymer matrix during preparation [\[17](#page-11-0)]. Modifying the surfaces of polymeric NPs can control drug release from the matrix to the target site in the body $[18]$ $[18]$. For instance, Xu et al. $[116]$ $[116]$ $[116]$ developed an FA-decorated polyamidoamine dendrimer G4 (G4-FA) as a carrier for the localised delivery of siRNA, which suppressed tumour growth in an HN12 xenograft tumour model by allowing prolonged NP retention and enhanced siRNA uptake in the tumour. In addition, decorating the surface with FA made G4-FA a better platform for local siRNA delivery to treat cancers such as HNSCC [\[116,117\]](#page-13-0).

3.3.2. Stimulus-responsive systems

Stimulus-responsive systems are a promising means of delivering and releasing drugs in a site-specific manner (Fig. 7) to improve bioavailability and reduce toxic side effects. The discovery of responsive NMs has accelerated their application in site-specific delivery [\[118\]](#page-13-0).

In stimulus-responsive systems, polymer NPs can release drugs in response to one or more internal or external stimuli, such as pH, redox, temperature, magnetism, and light [[19,20](#page-11-0)[,119\]](#page-13-0). These stimuli alter the structural and chemical properties of the nanocarriers, prompting them to release their cargo in a specific biological environment $[21]$ $[21]$. This method has been used to achieve unprecedented control over drug delivery and release, with excellent antitumour effects.

Similarly, environment-specific stimuli (e.g., redox potential, pH, or enzyme activity) and external stimuli (e.g., heat or light) can alter the stability of liposome membranes to allow the cargo drug to be released in the target tissue at a controlled rate $[14,120]$ $[14,120]$ $[14,120]$. For example, the spectral characteristics, illumination time, and intensity of an external light source can be controlled to release liposomes on demand [[107](#page-13-0)]. Light-triggered liposomes can be used for PDT, PTT, drug delivery in cancer immunotherapy, and CRISPR-based genome editing [[121,122\]](#page-13-0).

Sun et al. [[123](#page-13-0)] used heparosan and deoxycholic acid conjugates (HSDs) to develop a redox-responsive drug delivery system to treat laryngopharyngeal carcinomas. They showed that nanoscale micelles can encapsulate amphiphilic HSDs and support a high concentration of doxorubicin. The HSD micelles were internalised by FaDu cancer cells (isolated from hypopharyngeal tumours) via endocytosis and, when triggered by high glutathione levels, the HSD micelles released the drug and inhibited cell growth.

3.3.3. Combination therapy

Compared to drug treatment alone, greater therapeutic efficacy can be achieved using combination therapies, which can include a variety of small-molecule therapies, free siRNAs, or antisense oligonucleotides that sensitise cells to small-molecule therapies, one or two liposome drugs targeting two or more different antigens, and particles targeting ligands with remote stimulation systems to increase transfection efficiency. By improving the pharmacokinetics of drugs, NMs can promote their therapeutic effects and reduce adverse side effects associated with high doses [[124](#page-13-0)]. The main limitations of conventional liposomes are their thermodynamic instability, poor retention ability, uncontrolled release, and rapid elimination from the blood. However, lipid systems that combine therapies with different mechanisms of action and side effects that do not overlap are highly promising [\[125\]](#page-13-0).

The quality of dual- or multimodal-images can be improved significantly by selecting dendrimers with appropriate structures alongside dual- or multimodal-contrast agents, such as MR/fluorescence or MR/CT contrast agents, which can improve diagnostic accuracy [\[75](#page-12-0)]. Using a surface conjugated to DTPA–hEGF, Gill et al. [[89\]](#page-13-0) designed PLGA NPs to encapsulate a ruthenium-based DNA replication radiosensitiser and inhibitor. The radiolabelled PLGA NPs were preferentially taken up by EGFR-overexpressing oesophageal cancer cells, where they caused cellular DNA damage and exhibited radiotoxicity. Thus, polymeric NPs with surface modification and radiosensitiser encapsulation can be used to achieve targeted and combined efficacy in EGFR-overexpressing tumour cells.

Wu et al. [[126](#page-13-0)] developed multifunctional nanoparticles and internalised Arg-Gly-Asp-modified indocyanine green (ICG)-encapsulated liposomes (iLIP $_{\text{ICG}}$) for PDT and PTT to treat laryngeal carcinomas. The $iLIP_{ICG}$ displayed good blood circulation, tumour penetration, tumour targeting, and tumour accumulation properties, and their spatial and temporal control could simultaneously generate ROS and hyperthermia. In addition, the iLIP $_{\rm ICG}$ achieved fluorescence-guided impact by using ICG to clear laryngeal carcinoma cells under laser irradiation, thus improving phototherapeutic efficacy against laryngeal carcinomas.

4. Conclusions and future perspectives

New methods are required to diagnose and treat HNC due to the increasing number of patients and the limitations of traditional

Fig. 7. Stimulus-responsive nanocarriers for human diseases including solid tumours, cardiovascular diseases (e.g. atherosclerotic peripheral arterial disease (PAD)), single-gene diseases (e.g. biallelic RPE65-associated retinal dystrophy (BRARD)), and idiopathic diseases (e.g. Crohn's disease and idiopathic pulmonary fibrosis) Reproduced with permission [[118\]](#page-13-0). Copyright 2021. Wiley-VCH.

treatment methods. Over the past decade, significant progress has been made in the design, synthesis, and manufacture of NMs that have unique advantages for tumour diagnosis and treatment, as indicated by the rapid increase in the number of articles related to 'nanomaterials AND tumour/cancer/carcinoma' in PubMed/Embase/SCI in the latest decade. Ongoing research in this field has provided new avenues for overcoming the limitations of traditional methods used for tumour diagnosis and treatment.

NMs are ideal carriers for imaging agents, drugs, genes, vaccines, radiosensitisers, and photosensitisers [\[27](#page-11-0)[,127\]](#page-13-0). Thus, NMs could improve the diagnosis, treatment, and prognosis of HNC patients through targeted delivery, controlled release, stimuli responses, and the delivery of multiple agents [\[128,](#page-13-0)[129\]](#page-14-0). In addition, NMs could improve the survival and aesthetic, chewing, and pronunciation abilities of patients with HNC. Ongoing and completed clinical trials related to the application of NMs in HNC are summarised in Table 4. Most nanocarrier systems treat tumours through intravenous injection and systemic delivery, and can preferentially accumulate on tumours through defective tumour microvessels [\[130\]](#page-14-0). However, EPR is not yet thoroughly understood and the biosafety of NMs remains a concern [[131](#page-14-0),[132\]](#page-14-0).

Although preclinical data *in vitro* have shown that nanocarriers display promising pharmacological parameters, including drug concentration, clearance rate, and half-life, it is impossible to fully monitor drugs from their entry into the human body to their clearance. In addition, basic preclinical research data differs from clinical research data, often due to a lack of tumour models that can simulate human tumours, resulting in a poor understanding of the interaction between NMs and complex organisms. Therefore, it is necessary to develop tumour models that can accurately simulate the tumour heterogeneity and microenvironment in the human body (e.g., humanised animal models) to allow the clinical transformation of nanotechnology. Another equally significant issue, which is often overlooked, is that NM loading decreases after systemic administration as they travel through the circulatory system to tumour tissues [\[7,14](#page-11-0)]. Therefore, drug release should also be considered during the clinical transformation of nanocarriers. Various stimulus-responsive NMs have been developed to control drug release [\[118\]](#page-13-0). Since the tumour microenvironment has lower pH and oxygen levels and higher glutathione and H_2O_2 levels than normal

Table 4

Typical nanomedicine in clinical trials for head and neck cancers (HNCs).

tissues [\[148,149](#page-14-0)], designing NMs to respond to these subtle environmental changes can enable the temporal and spatial control of drug release. NM-based methods allowing accurate drug release could therefore narrow the gap between basic studies and potential clinical applications; however, more in-depth research is required.

Future developments related to NMs in oncology, molecular biology, and pharmacy could overcome the obstacles related to the clinical transformation of NMs from various perspectives. Firstly, NM preparation methods should be improved to increase drug loading. In addition, drugs should be selected that can be released from nanocarriers under biological conditions while maintaining their functions and therapeutic properties. Moreover, NMs could be modified with appropriate functional ligands, peptides, and antibodies to allow active targeting to pathological sites and reduce passive accumulation in normal tissues. Furthermore, the mechanisms of NM uptake and retention *in vivo* should be clarified and the fundamental physiological discrepancies between humans and experimental animals should be addressed to improve the clinical translation process.

Overall, recent progress in the field of NMs has provided many new avenues for the diagnosis and treatment of HNC; however, further studies are required to transform raw materials into mature drugs for clinical use. Increased interdisciplinary cooperation has allowed rapid advances in tumour research and nanotechnology, as well as the development of NMs that integrate the benefits of nanotechnology with the biological characteristics of HNC. Therefore, we expect that the applications of NMs will improve the prognosis of patients with HNC.

Ethics approval and consent to participate

This article does not contain any studies with human participants or animals performed by any of the authors. For this type of study, formal consent is not required.

CRediT authorship contribution statement

Cheng Yu: Investigation, Writing – original draft, Writing – review & editing. **Long Li:** Investigation, Writing – original draft. **Shiwen Wang:** Investigation, Writing – review & editing. **Yuanhang Xu:** Investigation.

Lu Wang: Investigation. **Yongbiao Huang:** Writing – review & editing. **Ahmed Hieawy:** Writing – review & editing. **He Liu:** Conceptualization, Supervision, Writing – review & editing. **Jingzhi Ma:** Conceptualization, Supervision, Writing – review & editing.

Declaration of competing interest

The authors declare no competing financial interests in this work.

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