

REVIEW

A Systematic Literature Review of Safety Culture in Hemodialysis Settings

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Background: Safety culture is an important aspect of quality in healthcare settings. There are many risks that patients can encounter in hemodialysis settings one of which is the infection risks due to the regular need to access bloodstreams using catheters and needles. Implementation of prevention guidelines, protocols and strategies that reinforce safety culture excellence are essential to mitigate risks. The objective of this study was to identify and characterize the main strategies that enhance and improve patient safety culture in hemodialysis settings.

Methods: Medline (via PubMed) and Scopus were searched from 2010 to 2020 in English. Terms defining safety culture, patient safety were combined with the term hemodialysis during the search. The studies were chosen based on inclusion criteria.

Results: A total of 17 articles reporting on six countries were identified that met inclusion criteria following the PRISMA statement. From the 17 papers, practices that were successfully applied to improve safety culture in hemodialysis settings included (i) training of nurses on the technologies used in hemodialysis treatment, (ii) proactive risk identification tools to prevent infections (iii) root cause analysis in evaluating the errors, (iv) hemodialysis checklist to be used by the dialysis nurses to reduce the adverse events, and (v) effective communication and mutual trust between the employee and leadership to support no-blame environment, and improve the safety culture.

Conclusion: This systematic review provided significant insights on the strategies that healthcare safety managers and policy makers can implement to enhance safety culture in hemodialysis settings.

Keywords: safety culture, patient safety, kidney care, hemodialysis, quality improvement, medical error

Background

Patient safety is the establishment of top-notch medical services, which is also considered a crucial part of the quality of medical centers. It is progressively recognized that strengthening the safety culture in medical centers is vital to uphold the quality of care. Safety culture can be defined as the individual's product, group beliefs, behavior patterns, values and attitudes, competencies, and perceptions that show or determine the commitment level of an organization to patient safety and quality care. Moreover, the same attributes are used to determine how the organization is committed to enhancing the quality of patient safety in renal care.

Building safety culture is fundamental for making a high quality associations in medical care.^{2–4} Dialysis facilities are not exceptions and need to ensure safety and quality of care and foster a culture that enhances patient safety. There are a range of risk sources and contributory factors that patients might experience in hemodialysis settings, one of which is the infection risks due to the regular need to access bloodstreams using catheters and needles. Patients might be infected in the various parts of the body such as the lungs, bones, vascular access points, bloodstreams and even the skin. These infections obtained in dialysis settings or during dialysis can extend the condition, or even lead to death which in turn disrupts the patient's normal living and also incurs high costs of dialysis.⁵ Reasons why patients may acquire infections include patients staying close together, faulty equipment, blood-borne viruses, expedited turnover amid dialysis, and being constantly

admitted to the center. Implementation of prevention guidelines, protocols and strategies that reinforce safety culture excellence are essential to mitigate such risks as well as adequate staff training. These settings are required to attain specific standards including adequate staff, proper and well-maintained equipment and regular maintenance of facilities.

In previous safety culture assessment of hemodialysis settings, there has been minimal incorporation of qualitative research, with none having a systematic review that gathers all reported methods to comprehensively identify the safety culture drivers in such settings. Therefore, our research aims to address the following question: "What are the safety culture assessment tools used in hemodialysis settings?" In order to address this, we identify and review the main methods, tools and strategies used to improve the safety culture at hemodialysis settings. Although safety culture can be measured and compared across institutions, ^{6,7} the methods hospitals should use to improve safety culture have yet to be defined. To synthesize published literature and draw actionable inferences, we conducted a systematic literature review to compile all the various strategies and improvements that can be implemented to strengthen the safety culture in hemodialysis settings.

Method

This systematic literature review was conducted according to the Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA) statement.⁸ The PRISMA statement includes a checklist for systemic evaluations (see Supplement file). Firstly, we included the studies that focus on strategies for improving safety culture in hemodialysis settings. Secondly, our articles were selected from two databases: MEDLINE (via PubMed) and Scopus. Thirdly, we decided that our search strategies should be used in both databases including two Boolean operators; "OR" when keywords were similar (like dialysis or hemodialysis) and included "AND" for adding more keywords to the search (like safety culture, patient safety). Finally, Table 1 shows the search strategy used in MEDLINE (via PubMed), whereas the search strategy of Scopus is shown in Table 2.

Eligibility Criteria

The inclusion criteria considered while screening and selecting the papers were based on the following: (i) studies related to improving the safety culture in hemodialysis units, (ii) studies that were published in the English language, (iii) studies published between January 2010 and December 2020, (iv) studies published in journals, (v) studies that were conducted in hemodialysis settings.

Selection Process

The search using the two databases (PubMed and Scopus) identified 505 titles. After eliminating duplicate titles, we were left with 227 articles for screening, which was conducted by four researchers (S.A., A.A., T.A., and A.A.). Of these, 177 were discarded after the title and abstract screening. After that, 29 papers were excluded because of not meeting the criteria's considered for this study, such as abstracts, short conference proceedings, and non-English papers. Four papers

Table	I MEDI INE	(via PubMad)	Search Strategy
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Strategy	Keywords	# of Results
Attempt I	(safety culture[Title/Abstract]) AND ((dialysis[Title/Abstract]) OR (hemodialysis[Title/Abstract]))	13
Attempt 2	(patient safety [Title/Abstract]) AND ((dialysis[Title/Abstract]) OR (hemodialysis[Title/Abstract]))	214

Table 2 Scopus Search Strategy

Strategy	Keywords	# of Results
Attempt I	("Safety culture*" AND ("Dialysis*" OR "hemodialysis*")) [Title/Abstract]	15
Attempt 2	("Patient Safety*" AND ("Dialysis*" OR "hemodialysis*")) [Title/Abstract]	263

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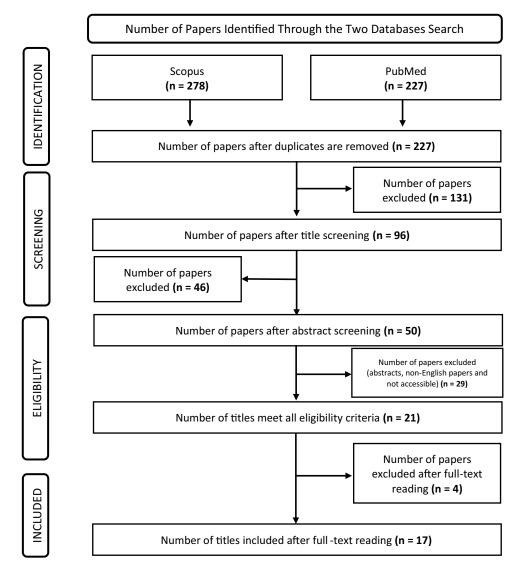


Figure I Study selection flowchart.

Notes: PRISMA figure adapted from Moher D, Liberati A, Tetzlaff J, Altman DG, The PRISMA Group. Preferred Reporting Items for Systematic Reviews and Meta-Analyses: The PRISMA Statement. PLoS Med. 2009; 6(7):e1000097. Creative Commons.⁹

were also removed after reading the full text and not getting useful information about safety in hemodialysis settings, leaving 17 papers for extraction and analysis. Figure 1 shows a flowchart of the article selection in detail.

Results

Study Characteristics

The 17 studies originated from 6 different countries, Figure 2 shows the worldwide distribution of the contributing countries. Moreover, Table 3 shows a range of quantitative and qualitative data that were extracted from the 17 included studies. Quantitative data included sample size and follow-up duration (months), whereas qualitative data included country, objective, outcome measures, results, improvement strategies and study limitations.

Discussion

We conducted a systematic review to identify ways of improving the safety culture at hemodialysis settings. We identified 17 eligible studies that had quantitative and qualitative data and extracted best practices to achieve an almost "zero error" hemodialysis environment.



Figure 2 Number of publications by country.

Three papers discussed how to improve and ease the process of the dialysis nurse's job in general^{13–15} and concluded that developing an effective analysis tool that is affected by "predisposing factors, medication errors, disabling factors and reinforcing factors" to improve the nursing care in hemodialysis settings is an important first step. Two studies were concerned about the nurse's role in the management of continuous hemodialysis in the intensive care unit (ICU) setting.^{16,17} Process improvements identified for ICU nurses working for continuous hemodialysis patients includes establishing a strong background and knowledge about the technologies they are working with, and strong training so they can anticipate any unexpected patient case. Along with this, a collaborative model should be adopted in the sector, including a systematic strategy to support and assist new nurses.

Second, designing a Hemo Pause Checklist for daily use by nurses can reduce adverse events and strengthening the safety culture in the dialysis unit by. However, more established and demonstrated patient safety estimation devices might be required. These improvements should be implemented to get the process done in the most efficient way and to prevent the errors that may occur because of a failure to follow protocols. Another three papers ^{18–20} discussed strategies for preventing infections in hemodialysis units to improve the patient safety culture in hemodialysis units. For instance, infections may be prevented by using methods such as system thinking, recognizing the complexity of the system and interrelatedness among various system components.

Three studies identified other points of risk for adverse patient events in hemodialysis settings, such as threats to safety during a transition of care in the dialysis settings. These three papers advised implementing the root cause analysis method to determine system and process issues that lead to adverse events. One study used Failure Mode and Effect Analysis (FMEA) to find the severity, frequency and detection of each event and assigned real errors, then implemented the prevention measure that could improve patient safety culture in hemodialysis settings. The role of effective communication in improving the safety culture in hemodialysis settings was emphasized in multiple studies, 19,21,24,25 including the responsibility of leadership to encourage open communication and mutual trust with and between employees. Open communication will lead to a positive safety climate and therefore will promote a free-blame environment. Another study emphasized the importance of patient and staff communication as it considered the vital role of patients' feedback during treatment in improving safety. A final article suggested studying effective performance indicators and implementing them to solve any future challenges hemodialysis settings may face.

 Table 3 Characteristics of the Selected Studies

Author, Year, Country	[Sample Size]/ [Follow- Up (Months)]/ [Outcome Measure/Methodology]	Objective	Results	Improvement Strategies	Limitations
B. R. P. de Andrade, F. de M. Barros, H. F. Â. de Lúcio, J. F. Campos, and R. C. da Silva 2019, Brazil ¹⁶	[23 nurses]/ [3 months]/ [Interviewed using a script]	Report nurse's role in the management of the ICU in continuous hemodialysis.	ICU nurses in continuous hemodialysis are given the role to perform preparations and follow-up activities, by interacting with technologies based on interaction with technology and the use of specialized knowledge.	 ICU nurses in continuous HD must be familiar with the technologies they are using. To locate the dialysis units close to the ICU, so that nurses can collaborate. 	Not many national experienced nurses in the ICU of continuous Hemodialysis.
C. Thomas-Hawkins and L. Flynn 2015, USA ²¹	[422 registered nurses]/ [3 months]/ [Survey]	Study the relation between patient safety culture and nurse-reported adverse events in the outpatient hemodialysis units.	39% of nurses had recognized that patient transitions in the dialysis units were safe, and 86% had graded patient safety as either good or excellent.	 Reducing the number of patients coming and leaving the therapy at the same time could decrease interruptions and have a safer patient transition. Minimize errors and adverse events by the dialysis unit's elasticity or slack staffing models. Have individual peer review and root cause analyses to find out the issues in the system that causes adverse events. 	The staff nurse sample may have different characteristics from nurses working in hemodialysis units.
A. Thomas et al 2016, Canada ¹³	[14 nurses and 22 patients]/ [3 months]/ [Hemodialysis safety checklist (Hemo Pause)]	Determine the value of using the Hemo Pause checklist during hemodialysis sessions.	93% of hemodialysis nurses agreed that the Hemo Pause checklist was easy to use. 93% want the checklist to be used during their hemodialysis sessions. 73% of the hemodialysis patients found that the checklist made them feel safer. 79% of nurses and 73% of patients agreed it should be expanded to other patients.	 Dialysis unit staff must be trained in using the checklist. The need for an understanding and validated patient safety measurement tool. 	 Testing the checklist only for 3 months, thus, it is possible that the checklist completion rate may not be helpful. The findings were limited to a small number of self-selected nurses and patients. No evidence to prove that the checklist used improves patient safety culture, the patient experience or patient outcomes. Less staff training of the checklist during the summer vacation months.

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Table 3 (Continued).

Author, Year, Country	[Sample Size]/ [Follow- Up (Months)]/ [Outcome Measure/Methodology]	Objective	Results	Improvement Strategies	Limitations
Kliger 2015, USA ²²	[Not mentioned]/ [Not mentioned]	To identify the occurrence of errors, and how to reduce or eliminate them, and chances to improve the process faster.	[Not mentioned]	Illuminate care processes by root cause analysis of adverse events. Improve communication by using tools such as safety huddles, read-backs, and checklists. Improve safety and develop procedural skills by simulation training in vascular access.	[Not mentioned]
R. Garrick, A. Kliger, and B. Stefanchi 2012, USA ²³	[1143]/ [3 months]/[Surveys]	Application of safety principles to dialysis facilities.	Dialysis facilities share significant safety concerns, and patients express greater concern about unit safety procedures than workers would expect.	 Anticipate the problems that might happen ahead of time and try to avoid them. Root cause analysis should be used to determine the problem. Employees and patients should be able to voice their opinions in a blame-free setting. 'plan-do-check-act' cycle should be used. 	 The East Coast received more responses than the other countries, and physicians had the lowest response rate. Since there was no opportunity to participate, competent respondents could represent those who are most concerned about safety.
T. Millson, D. Hackbarth, and H. L. Bernard 2019, USA ¹⁰	[100 dialysis patient/ month]/ [12 months]/ [Surveys among 6 facility destinations]	Reduce the risk of infection in hemodialysis patients inside an outpatient dialysis facility. Enhance organizational commitment to evidence-based strategies for reducing BSI in the dialysis environment.	Reviews showed improvements from 27%-82% of methods performed accurately. Circulatory system disease rates diminished from 2.33–1.07 occasions per 100 patients.	 Unit-based Safety Program is a successful model in improving the safety culture. Upgraded patient wellbeing society is associated with improved patient results. 	 Just one health care organization's outpatient dialysis service was included in the initiative. Because of time and cost constraints, the intervention was only initiated at one of six outpatient dialysis centers.
L. P. Wong 2019, USA ¹⁸	[Not mentioned]/ [Not mentioned] / [Surveys based on focus group]	Eliminate dialysis infections and target zero infections.	[Not mentioned]	 The clinical chiefs and the board should outline ideal consideration as the consequence of associated colleague activities. There should be cautious consideration paid to establish an environment of mental wellbeing, whereby colleagues can pose inquiry and express opinions. 	[Not mentioned]

B. R. P. de Andrade, F. de M. Barros, H. F. Ângela de Lúcio, J. F. Campos, and R. C. da Silva 2019, Brazil ¹⁷	[23 nurses]/ [4 months]/ [Observations and semi structured interviews]	Analyze intensive care nurses' clinical experience and its effect on their work experiences in the continuous hemodialysis environment and patient safety in the ICU.	 Experienced nurses have a tough time dealing with problems that limit their presentation in comparison to the demands of patients. The level of expertise influences the well-being of nurses' practices during continuous hemodialysis administration. 	 The collaboration model that will be implemented in the sector should establish systematic methods that will enable dialysis nurses to more closely accompany new nurses. Provide training to junior nurses such that they can gain more experience. 	Limited experienced nurses working in the ICU in the continuous Hemodialysis centers.
Kristina K. Davis, Kathleen G. Harris, Vrinda, Mahishi, Edward G. Bartholomew, Kevin Kenward 2016, USA ¹¹	[599 Administrative leaders, Physician, Dietician, Nurse and others]/ [Not mentioned]/ [Modified HSOPSC Survey]	To evaluate the safety culture of hemodialysis centers via a modified HSOPSC.	 Overall hemodialysis facilities scored high on items regarding safety culture. According to this study, areas with the most potential for improvement in hemodialysis facilities included treating each other with respect, mistakes leading to positive changes, and staff members freely speaking. 	One important improvement strategy was related to the staff size, whereas size increases the composite patient safety score went down. This may indicate that facilities with fewer staff may tend to have more involvement in implementing improvement projects that focus on how to address patient safety.	 The response rates are unknown; those who did respond may be systematically different than those who did not. The assessment tool used only 10 items to measure safety culture; thus, it is not a comprehensive safety culture assessment.
Leslie P. Wong 2018, USA ¹⁹	[Not mentioned]/ [Not mentioned] / [Systems Thinking]	To provide a novel approach to prevent infections in dialysis facilities.	[Not mentioned]	 The Institute of Medicine (IOM) has proposed System Thinking as a way of embracing continuous learning and improvement to aid in managing the healthcare system complexity. 	[Not mentioned]

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Letícia Lima Aguiar 2019– 2020, Brazil ¹⁴	[5 papers]/ [1 month]/ [Systematic literature review to conduct the survey questions]	Investigate the nursing care factors that are associated with the safety culture of chronic renal patients on hemodialysis.	Factors called 'causal factors' that were presented above 50% of the papers were selected. As a result, "effect of falls reduction" was described as a risk factor; "vascular access infections", "medication errors", and "hypotension" as crippling factors; and "adequate staffing" and "providing security protocols, practices, and guidelines" as safety culture strengthening factors.	Use the factors found predisposing factors, medication errors, disabling factors and reinforcing factors to develop an effective analysis tool that can be used during the dialysis treatment.	The study was limited to the hemodialysis nurse's safety care in Brazil and Latin America.
Samuel A Silver, Alison Thomas, Andrea Rathe, Pamela Robinson, Ron Wald, Ziv Harel, and Chaim M Bell 2015, Canada ¹⁵	[20 nurses]/ [Not mentioned]/ [Delphi process based on RAND method to evaluate and review the checklist]	Foster a Hemodialysis Safety Checklist (Hemo Pause) for everyday use by nurses and patients.	From the 31 parameters founded, 19 was chosen to get the agreement from the team and Nephrology personnel which got higher than 75The result assigning the errors based on their importance using FMEA, patient body weight errors represent the highest; dysfunction/rupture of the catheter; and needle extravasation represent the lowest.% agreement.	Create a Hemo Pause Checklist to prevent adverse occurrences and promote the dialysis unit's safety culture.	 The study of the literature was not comprehensive. It was a one-site analysis. The group needed patients and family portrayal.
María Dolores Arenas Jiménez, Gabriel Ferre, Fernando Álvarez-Ude 2017, Spain ¹²	[97 hemodialysis patient from 35 dialysis centers]/ [Not mentioned]/ [Failure mode and effect analysis FMEA technique]	Identify drug errors that may occur in hemodialysis systems, as well as underlying actual or possible errors, and evaluate their magnitude, frequency, and identification, using the Failure Mode and Effects Analysis (FMEA) method to determine their objectives.	As a result of prioritizing errors using FMEA, errors attributable to patient body weight rank highest, catheter dysfunction/rupture rank second, and needle extravasation rank third.	Study the most important error that may occur in hemodialysis units and using FMEA allows assigning real errors, then implementing the pre- vention measure could improve safety.	 The observation period was short. Failure detection was based on the records. The study was conducted in a single center (actions that should be considered as failures should be reviewed by more professionals and patients).
Hu-Chen Liu and Kenji Itoh 2013, Japan ²⁸	[24 papers]/ [Not mentioned]/ [Questionnaire survey to dialysis experts, systematic literature review to select indicators]	Evaluate the performance of the dialysis department and comprehensively support them not only from quality and safety but also from the patients and employee's satisfaction.	Most of the indicators were assigned negatively (meaningless to management dialysis). Patients, on the other hand, listed several important metrics, such as indicators relating to patient care and patient safety, which are crucial for health department management.	Study the effective performance indi- cators and implement them to solve future challenges.	Few experts contributed to this study. Responses were biased because all participants were from the hospital staff.

Author, Year, Country	[Sample Size]/ [Follow- Up (Months)]/ [Outcome Measure/Methodology]	Objective	Results	Improvement Strategies	Limitations
Lucia New, Donna Goodridge, Joanne Kappel, Gary Groot and Roy Dobson 2019, Canada ²⁵	[30 patients]/ [5 months]/ [Face to face interview with patients (recorded interview)]	Study CKD patients' experience in the safety of dialysis centers and reporting the incident that occurs during their treatment period.	Patients complained about treating them in a room with other patients that may have infection disease which put them at risk besides having visitors from their roommate, lack of cleaning in the rooms. According to the patient's response, there is a lack of communication between the team providers and them. Patients are not aware of reporting safety concerns by phone; they were complaining of lack of communication with providers while they did not recognize this way and used it.	Consideration of patient feedback to improve the safety during the treatment.	 The result represents data collected from tertiary hospitals and may not apply to other hospitals. Some records are missing for some patients who are at CKD and also for those who do not speak English.

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Limitations

The search to two databases (PubMed and Scopus) because they were considered suitable for gathering all qualifying papers by the proposed topic and objectives, but this restriction may have missed some relevant articles, and some identified articles were not accessible to the research team. The search was narrowed to those published in journals and the English language. An important limitation was that most of the papers did not test their proposed improvements in the healthcare settings, so we were not able to discern the outcome of each strategy. Further, limited evidence is available regarding the role of different stakeholders, such as physicians and nurses, in the safety culture assessment and improvement. Ultimately, the inferences that can be drawn from available evidence are limited by the paucity of published research related to the safety culture in hemodialysis settings.

Conclusion

Improving hemodialysis patient safety is a vital priority. The category of papers included in this review showed that risks to patient safety may relate to transitions of care, failure to use checklists to ensure compliance with protocols, and communication gaps between the hospital staff, and between the staff and patients. Therefore, this review showed that efforts should be made to overcome these gaps. Moreover, it also highlighted how to prevent infections from happening in the beginning, by implementing these improvements; the safety culture in the hemodialysis settings will turn into an almost "zero error" environment and a safe place for the patients to receive their treatments. Finally, based on our knowledge, this is the first systematic review that clustered diverse improvement strategies from selected studies in the literature and reviewed them to improve safety culture in the hemodialysis settings. This study may be a starting point for upcoming studies concerned with improving the safety culture in hemodialysis settings.

Future studies can benefit from testing the improvement strategies that were identified to prove their effectiveness, as most of the strategies proposed in this systematic literature review were not tested for impact on outcomes. Further, a comparison analysis between the proposed strategies can be conducted to provide the decision-makers with the best strategy to enhance safety culture.

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Disclosure

Professor Krista Lentine reports personal fees from CareDx, personal fees from Sanofi, outside the submitted work. The authors report no other conflicts of interest in this work.

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