

## Regular Research Article

# Effect of 5-HT<sub>1A</sub> Receptor Partial Agonists of the Azapirone Class as an Add-On Therapy on Psychopathology and Cognition in Schizophrenia: A Systematic Review and Meta-Analysis

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## Abstract

**Background:** There are ongoing efforts to examine the effect of 5-HT<sub>1A</sub> receptor partial agonists as an add-on therapy for several symptoms of schizophrenia. By conducting a systematic review and meta-analysis, we evaluated whether augmentation with 5-hydroxytryptamine (5-HT)<sub>1A</sub> partial agonists of the azapirone class improves psychotic symptoms and attention/processing speed, a key domain of cognition, in patients with schizophrenia.

**Methods:** A literature search was performed from 1987 to February 25, 2022, to identify randomized controlled trials. The standardized mean difference (SMD) with 95% confidence intervals (CI) was calculated when there were 2 or more studies. Seven studies, involving 435 patients, met the inclusion criteria.

**Results:** Random-effects model meta-analyses revealed that add-on therapy with buspirone or tandospirone had a significant beneficial effect on overall psychotic symptoms (SMD = -1.13, 95% CI = -1.98 to -0.27) and positive symptoms (SMD = -0.72, 95% CI = -1.31 to -0.12), while the effect on negative symptoms did not reach statistical significance (SMD = -0.93, 95% CI = -1.90 to 0.04). A significant positive effect was also observed on attention/processing speed (SMD = 0.37, 95% CI = 0.12 to 0.61).

**Conclusions:** These findings support the idea that some compounds that stimulate 5-HT<sub>1A</sub> receptors provide an effective pharmacologic enhancer in the treatment of schizophrenia. Further clinical trials are warranted to determine the benefits of the adjunctive use of 5-HT<sub>1A</sub> partial agonists in ameliorating symptoms and improving functional outcomes in patients with schizophrenia or other psychiatric disorders.

**Keywords:** 5-HT<sub>1A</sub> receptor partial agonist, psychopathology, cognitive dysfunction, atypical antipsychotic drugs, schizophrenia

## Significance Statement

In view of the insufficient efficacy of current antipsychotic drugs in ameliorating psychiatric symptoms and cognitive impairments in some patients with schizophrenia, further efforts are required to develop new treatment approaches. The add-on prescription of serotonin 5-HT<sub>1A</sub> receptor partial agonists (e.g., buspirone, tandospirone) has been suggested to solve part of these problems. In connection with this, the current study examined the possible benefits of the adjunctive use of buspirone or tandospirone for potentiating treatment of psychotic symptoms and disturbances of attention/processing speed, a core domain of cognitive function, in patients with schizophrenia. Results of a meta-analysis showed that augmentation therapy with the above 5-HT<sub>1A</sub> partial agonists is beneficial in alleviating overall symptoms, particularly delusions and hallucinations, as well as improving attention/processing speed in patients receiving ongoing treatment with existing antipsychotic drugs. These findings may help in the development of effective strategies to further improve functional outcomes in patients with schizophrenia.

## INTRODUCTION

Schizophrenia is a severe psychiatric disease with a lifetime prevalence of approximately 0.30%–0.66% (McGrath et al., 2008) and is typically diagnosed in the late teen years to early 30s (McGrath et al., 2008). The illness is characterized by positive (hallucinations, delusions) and negative (withdrawal, apathy, anhedonia) symptoms (Crow 1982; Schultz and Andreasen 1999) as well as disturbances in several domains of cognitive function (e.g., attention/processing speed, verbal memory, and working memory) that adversely affect functional outcomes (Heaton et al., 2001; Keefe et al., 2005; Kiwanuka et al., 2014; Sumiyoshi et al., 2016; Galderisi et al., 2020).

The pathophysiology of schizophrenia has been suggested to include abnormally low prefrontal dopamine (DA) activity (causing negative symptoms) leading to excessive activity in mesolimbic DA neurons (causing positive symptoms) (Davis et al., 1991; Deutch 1992; Weinberger and Gallhofer 1997). The role of DA transmission is relevant to the fact that antipsychotic drugs have been standard care for patients with schizophrenia (Keepers et al., 2020). For example, typical antipsychotic drugs (TAPDs), such as chlorpromazine, haloperidol, and perphenazine, are thought to be beneficial for positive symptoms through antagonism at DA-D<sub>2</sub> receptors (Seeman, 2002; Gründer et al., 2009). Meanwhile, TAPDs have been associated with a limited benefit for negative symptoms and cognitive impairment as well as a high incidence of extrapyramidal symptoms (EPS) (Meltzer 2013, 2017; Meltzer and Gadaleta 2021). On the other hand, it has been suggested that atypical antipsychotic drugs (AAPDs), with clozapine as the prototype, may be more effective than typical AAPDs in treating psychotic and mood symptoms as well as cognitive impairment, and have reduced risk of causing EPSs (Meltzer 2013, 2017; Meltzer and Gadaleta 2021).

The distinct properties of AAPDs have been discussed in relation to their high affinity for serotonin (5-hydroxytryptamine [5-HT]) receptor subtypes (Sumiyoshi 2008; Meltzer and Gadaleta 2021). For example, a relatively high affinity for 5-HT<sub>2A</sub> receptors vs D<sub>2</sub> receptors may be related to the difference between AAPDs and TAPDs (Meltzer and Massey, 2011). This notion has been supported by in vivo experiments with rodents (Stockmeier et al., 1993; Sumiyoshi et al., 1993, 1994a, 1994b, 1995) and may explain the mechanisms of some of the AAPDs currently used, for example, risperidone, olanzapine, and quetiapine (Meltzer et al., 2003; Sumiyoshi et al., 2003a, 2003b, 2006; Araki et al., 2006). In spite of these observations, further efforts are needed to develop novel strategies to overcome unmet needs in the treatment of schizophrenia (Leucht et al., 2009; Meltzer et al., 2012; Meltzer 2013).

Among the 5-HT receptor subtypes, 5-HT<sub>1A</sub> receptors are thought to mediate the efficacy of several antipsychotic drugs, including AAPDs (Meltzer and Sumiyoshi 2008; Newman-Tancredi and Albert 2012; Sumiyoshi 2020). 5-HT<sub>1A</sub> receptors are widely located in brain areas governing cognitive and emotional processes, for example, the frontal cortex, hippocampus, and amygdala (Le François et al., 2008). For example, positron emission tomography studies (e.g. Tauscher et al., 2002) have shown an increase in cortical 5-HT<sub>1A</sub> receptor binding sites in schizophrenia, consistent with observations in studies that used postmortem brain tissues (Burnet et al., 1996; Simpson et al., 1996; Sumiyoshi et al., 1996; Burnet et al., 1997). The increased density of 5-HT<sub>1A</sub> receptors may represent upregulation secondary to diminished 5-HT<sub>1A</sub> receptor stimulation, as has been discussed (Hashimoto et al., 1991; Burnet et al., 1996, 1997; Simpson et al., 1996; Sumiyoshi et al., 1996). These considerations are consistent with the idea

that most AAPDs act as partial agonists at 5-HT<sub>1A</sub> receptors, either directly (e.g., aripiprazole, lurasidone, brexpiprazole) or indirectly (e.g., risperidone, olanzapine) (Meltzer and Sumiyoshi 2008; Meltzer and Massey 2011; Gener et al., 2019). The 5-HT<sub>1A</sub> partial agonist actions of AAPDs provide a preferential increase in extracellular concentrations of DA and acetylcholine in the prefrontal cortex relative to subcortical areas (Li et al., 1998; Ichikawa et al., 2001; Masana et al., 2011). As enhancement of prefrontal DA activity is thought to regulate DA activity in mesolimbic DA neurons (Davis et al., 1991; Deutch, 1992), 5-HT<sub>1A</sub> partial agonism may alleviate psychotic symptoms, including positive symptoms, and cognitive impairment of schizophrenia (Ichikawa et al., 2001; Meltzer and Sumiyoshi, 2008; Newman-Tancredi and Kleven, 2011; Newman-Tancredi and Albert, 2012; Sumiyoshi, 2020).

Azapirone derivatives, for example, buspirone, tandospirone, gepirone, and ipsapirone, are 5-HT<sub>1A</sub> receptor partial agonists (Matheson et al., 1994; Newman-Tancredi and Kleven, 2011). Several studies have explored whether augmentation therapy with buspirone or tandospirone improves psychotic symptoms and cognitive function in patients with schizophrenia (Goff et al., 1991; Sirota et al., 2001; Sumiyoshi et al., 2001, 2007; Piškulić et al., 2009; Ghaleiha et al., 2010; Sheikhmoonesi et al., 2015; Boustani et al., 2018; Wang et al., 2019). In a meta-analysis reported in 2013, the addition of buspirone or tandospirone to ongoing treatment with antipsychotic drugs was found to improve overall psychopathology, especially positive but not negative symptoms (Kishi et al., 2013). Since then, additional findings have accumulated from relevant studies (Sheikhmoonesi et al., 2015; Boustani et al., 2018; Wang et al., 2019), including 1 study with a relatively large number of participants (Wang et al., 2019). Thus, it would now seem both timely and worthwhile to provide an up-to-date evaluation on the efficacy of the adjunctive use of 5-HT<sub>1A</sub> partial agonists in the treatment of schizophrenia.

Targeting 5-HT<sub>1A</sub> receptors may also be relevant to cognitive enhancement in schizophrenia. Accordingly, several clinical trials have been conducted to determine whether add-on therapy with buspirone or tandospirone can improve cognitive function (Sumiyoshi et al., 2001, 2007; Piškulić et al., 2009; Wang et al., 2019), including studies reporting negative findings (Piškulić et al., 2009). In this context, undertaking a meta-analysis can be extremely useful by increasing the statistical power for group comparisons (Cohn and Becker, 2003). Indeed, by combining these studies and conducting a meta-analysis, it will help determine whether augmentation therapy with 5-HT<sub>1A</sub> partial agonists in patients treated with antipsychotic drugs can become a therapeutic option to alleviate cognitive impairments in schizophrenia.

Therefore, the goal of this study was to examine the efficacy of 5-HT<sub>1A</sub> receptor partial agonists of the azapirone class as an add-on therapy in the treatment of schizophrenia by performing a systematic review and meta-analysis of randomized controlled trials (RCTs). The main aim was to evaluate the effect of adjunct therapy on overall psychopathology, as well as positive and negative symptoms, in view of an increasing interest in this issue (Zheng et al., 2018). There was also a focus on attention/processing speed, a central construct of cognitive function in schizophrenia that has been suggested to reflect a composite of other cognitive domains (Reichenberg, 2010). Specifically, the Digit Symbol Substitution Test (DSST) (Jaeger 2018), a representative measure of attention/processing speed, has been used across studies with buspirone (Sumiyoshi et al., 2007; Wang et al., 2019). Treatment with antipsychotic drugs is common in patients with schizophrenia or schizoaffective disorder, and

these drugs ameliorate the psychotic symptoms of both disorders (Keepers et al., 2020). Therefore, studies focusing on either of these schizophrenia-spectrum disorders were included in the present meta-analysis. We hypothesized that the addition of buspirone or tandospirone to ongoing treatment with antipsychotic drugs would be beneficial for ameliorating psychotic symptoms and improving attention/processing speed in patients with schizophrenia.

## METHODS

### Inclusion/Exclusion Criteria and Search Strategies

We conducted the current meta-analysis based on the Preferred Reporting Items for Systematic Reviews and Meta-Analyses guidelines. The inclusion criteria were as follows: (1) RCTs, (2) human studies, (3) studies that targeted patients with schizophrenia or schizoaffective disorder, (4) studies that evaluated the effect of psychopathology and/or attention/processing speed, (5) studies that provided sufficient data to evaluate effect sizes, (6) studies written in English, (7) and studies with a duration of the drug administration  $\geq 4$  weeks. The following exclusion criteria were applied: (1) any other study types, (2) non-human studies, (3) studies that targeted patients with psychopathologies other than schizophrenia or schizoaffective disorder (e.g., bipolar disorder), (4) studies that did not evaluate the effect of psychopathology and/or attention/processing speed, (5) studies that provided insufficient data to evaluate effect sizes, (6) studies not written in English, and (7) studies with a duration of drug administration  $< 4$  weeks.

R.Y. and A.W. independently conducted literature searches using PubMed, the Cochrane Library, and PsycINFO from 1987 until February 25, 2022, using the following keywords: “alnespirone” OR “binospirone” OR “buspirone” OR “enilospirone” OR “eptapirone” OR “gepirone” OR “ipsapirone” OR “revospirone” OR “tandospirone” OR “zalospirone” AND “schizophrenia”. Additional studies were obtained by scanning the reference lists of the included studies and previous reviews. T.S. approved the final list of included studies.

### Data Extraction and Quality Assessment

The information for each study was independently extracted by R.Y. and A.W. with coding discrepancies resolved by T.S. When the data were not fully described in the published article, the corresponding authors were contacted and asked to provide additional information. If there was no response to our queries, we tried to obtain the necessary information by measuring the length of graphs showing non-tabulated results. If none of these methods proved feasible, then the studies were excluded from the analysis. The outcome measures were classified into general psychopathology, positive symptoms, negative symptoms, and attention/processing speed. The Cochrane risk of bias tool was used to evaluate the methodological quality of each RCT (Higgins et al., 2011).

R.Y. and A.W. independently assessed the following characteristics of each trial: (1) random sequence generation, (2) blinding of participants and personnel, (3) blinding of outcome assessment, (4) incomplete outcome data, (5) selective reporting, and (6) other potential sources of bias. The assessment was conducted by evaluating what was reported in the selected articles and accessing and evaluating the study protocols where available. If necessary, any disagreements were thereafter resolved by T.S.

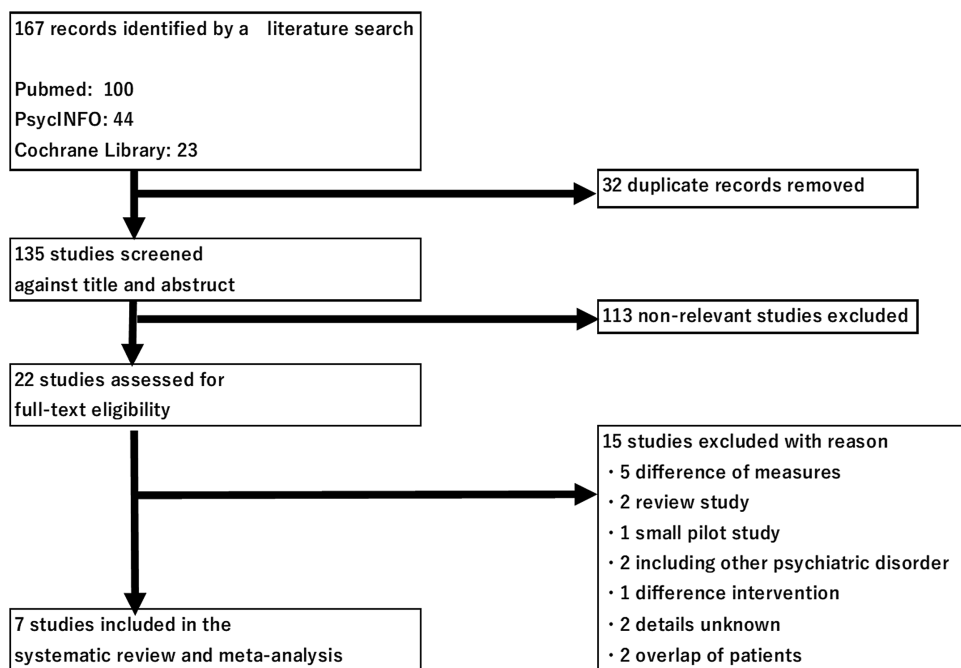
## Statistical Analysis

We based the analyses on intent-to-treat or modified intent-to-treat data (i.e., at least 1 dose or at least 1 follow-up assessment); no data from observed cases analysis were included. Statistical analyses were performed using Review Manager 5.3 for Windows. The effect size was calculated based on the difference in the change of baseline scores between the experimental vs control conditions. When no data on the mean change from the baseline were available, we calculated the mean change and SD based on the assumption that the correlation between the scores at follow-up and those at the baseline was 0.5. For continuous data, the standardized mean difference (SMD = Hedges' *g* as an effect size measure) was used, considering the correction for small sample bias (Lakens, 2013). If data for 2 or more outcome measures were provided, we selected a single outcome based on the focus of the meta-analysis (Scammacca et al., 2014). We used a fixed-effects model if homogeneity ( $P \geq .05$ ) was found, and a random-effects model (DerSimonian and Laird 1986) if not ( $P < .05$ ). Because a meta-analysis requires at least 2 studies in theory (Pigott 2012), meta-analyses were performed when the mean effect was evaluated in at least 2 studies. Finally, funnel plots were visually inspected to explore the possibility of publication bias.

## RESULTS

### Systematic Review

Figure 1 shows the Preferred Reporting Items for Systematic Reviews and Meta-Analyses study selection flowchart. The initial search yielded 167 potential articles. After removing duplicates, 135 articles were screened. The 7 studies included in the systematic review encompassed 435 participants (experimental group,  $n=221$ ; control group,  $n=214$ ). Characteristics of the included studies are shown in Table 1. These studies were conducted in the United States, Australia, Japan, China, and Iran. Three of the 7 studies were on inpatients. Sample sizes ranged from 9 to 99 and 9 to 97 participants in each of the groups. Six studies included only schizophrenia patients, while 1 study (Piškulić et al., 2009) included schizophrenia (89.5%) and schizoaffective disorder (10.5%) patients. The mean age ranged from 27.8 to 46.6 years (the experimental group) and 31.8 to 47.3 years (the control group). The proportion of men ranged from 56.2% to 80.0%. The mean duration of illness ranged from 6.3 to 19.0 years. The daily dose of azapirone 5-HT<sub>1A</sub> receptor partial agonists (buspirone or tandospirone) ranged from 21.6 to 60 mg/d, while the mean duration of its use ranged from 6 to 24 weeks. AAPDs were used in most of the studies (Sumiyoshi et al., 2007; Piškulić et al., 2009; Ghaleiha et al., 2010; Boustani et al., 2018; Wang et al., 2019), specifically, risperidone (Sumiyoshi et al., 2007; Piškulić et al., 2009; Ghaleiha et al., 2010; Boustani et al., 2018; Wang et al., 2019), olanzapine (Sumiyoshi et al., 2007; Piškulić et al., 2009; Wang et al., 2019), clozapine (Sumiyoshi et al., 2007; Piškulić et al., 2009; Wang et al., 2019), quetiapine (Piškulić et al., 2009; Wang et al., 2019), ziprasidone (Sumiyoshi et al., 2007; Wang et al., 2019), amisulpride (Piškulić et al., 2009), and aripiprazole (Wang et al., 2019). Treatment with TAPDs was ongoing in 2 studies (Sumiyoshi et al., 2001; Sheikhmoonesi et al., 2015). Sumiyoshi et al. (2001) used haloperidol, sulpiride, and pimozide, while Sheikhmoonesi et al. (2015) used haloperidol, chlorpromazine, perphenazine, fluphenazine, thiothixene, and trifluoperazine. The mean symptom severity score ranged from 47.49 to 114.95, as measured by the Positive and Negative Syndrome Scale, or from 16.8 to 20.6, as measured by the Brief Psychiatric Rating Scale. Two studies (Sumiyoshi et



**Figure 1.** Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA) study selection flowchart.

al., 2007; Wang et al., 2019) assessed speed of processing using the DSST (Jaeger 2018).

The summary for the risk of bias is shown in Figure 2. Four studies had an unclear risk of bias in relation to either the blinding of participants and personnel, incomplete outcome assessment, selective reporting, and other sources of bias. For the Cochrane risk of bias assessment, there were interrater discrepancies in random sequence generation, allocation concealment, blinding of participants and personnel, and other sources of bias. In addition, we found little indication of publication bias for the outcomes. Visual inspection of the funnel plot for overall psychopathology suggested symmetry (Figure 3).

## Meta-Analysis

A significant effect for the addition of 5-HT<sub>1A</sub> partial agonists was observed on overall psychopathology (SMD = -1.13, 95% CI = -1.98 to -0.27,  $P = .01$ ,  $I^2 = 93\%$ ; Figure 4A). We also performed a meta-analysis on positive and negative symptoms, respectively, in view of their examinations in 6 studies (Sumiyoshi et al., 2001, 2007; Piškulić et al., 2009; Ghaleiha et al., 2010; Sheikhmoonesi et al., 2015; Boustani et al., 2018). A significant effect was observed on positive symptoms (SMD = -0.72, 95% CI = -1.31 to -0.12,  $P = .02$ ,  $I^2 = 78\%$ ; see Figure 4B). On the other hand, the improvement in negative symptoms did not reach a statistically significant level (SMD = -0.93, 95% CI = -1.90 to 0.04,  $P = .06$ ,  $I^2 = 91\%$ ; see Figure 4C). Results from the meta-analysis on attention/processing speed in 2 studies that used the DSST (Sumiyoshi et al., 2007; Wang et al., 2019) showed a significant improvement in patients given buspirone (SMD = 0.37, 95% CI = 0.12 to 0.61,  $P = .004$ ,  $I^2 = 45\%$ ; see Figure 4D).

## DISCUSSION

This study confirmed the benefits of the adjunctive use of buspirone or tandospirone, azapirone derivatives, for potentiating treatment of psychotic symptoms as well as attention/processing

speed in patients with schizophrenia. The ability of these 5-HT<sub>1A</sub> partial agonists to alleviate psychotic symptoms, observed here, is consistent with the results from a previous meta-analysis (Kishi et al., 2013) that used a smaller number of participants ( $n = 163$  vs 435 in the current study). Moreover, the merit of the present analysis was to additionally suggest a potentially beneficial effect of the addition of 5-HT<sub>1A</sub> partial agonists to improve attention/processing speed in patients with schizophrenia.

Contrary to the results from some previous studies (Ghaleiha et al., 2010; Sheikhmoonesi et al., 2015; Boustani et al., 2018), the current meta-analysis did not find a significant effect of adjunct therapy with 5-HT<sub>1A</sub> partial agonists on negative symptoms. This may have been caused by variance in the severity of psychotic symptoms. Thus, studies with a relatively severe negative symptom level at baseline (Ghaleiha et al., 2010; Sheikhmoonesi et al., 2015; Boustani et al., 2018) reported a significant effect, while other studies in which patients had less severe symptoms (Sumiyoshi et al., 2001; Sumiyoshi et al., 2007; Piškulić et al., 2009) did not. It is thus possible that the clinical merits of the addition of 5-HT<sub>1A</sub> partial agonists is more evident in patients who are likely to benefit more from the restoration of dopaminergic activity in the cortex by means of stimulation of 5-HT<sub>1A</sub> receptors, as has been suggested (Ichikawa and Meltzer 1999; Millan 2000). It is noteworthy that some AAPDs have pronounced direct agonist effects at 5-HT<sub>1A</sub> receptors, for example, aripiprazole, brexpiprazole, cariprazine and vilazodone, whereas others have little activity at these receptors, for example, risperidone or olanzapine (although they can indirectly activate 5-HT<sub>1A</sub> receptors, as mentioned earlier). Such diversity in receptor profiles of ongoing antipsychotic drugs could influence the response of patients to adjunct treatment with azapirone 5-HT<sub>1A</sub> partial agonists.

Another important finding of the present study was the ability of augmentation therapy with 5-HT<sub>1A</sub> partial agonists to improve attention/processing speed in patients with schizophrenia. This domain of cognitive functioning plays a central role in facilitating higher cognitive operations, including

**Table 1.** Selected Characteristics of the Included Studies

	Study, country	Total (n)	Men/women (%)	Drug	n	Age (mean±SD, y)	Duration (wk)	Dose (mg/d)	Out/inpatient	Duration of illness (y)	Symptom severity (BPRS or PANSS)	Concomitant txt	Attention/processing speed
1	Sumiyoshi et al. (2001) Japan	26	57.7/42.3	Tan Pbo	15 11	Tan: 27.8±6.30, Pbo: 31.8±9.40	6	30 (fixed)	Outpatient	Tan: 6.3±4.30, Pbo: 7.5±5.40	BPRS Tan: 16.8±9.00 Pbo: 18.9±8.70	Typical antipsychotic	
2	Sumiyoshi et al. (2007) USA	73	56.2/43.8	Bus Pbo	36 37	Bus: 40.5±11.80, Pbo: 39.7±12.50	24	30 (fixed)	Outpatient	Bus: 19.0 ± 11.20 Pbo: 19.0 ± 13.50	BPRS Bus: 20.6±8.00 Pbo: 20.0±8.60	Atypical antipsychotic	Digital Symbol Substitution Test
3	Piškulic et al. (2009) Australia	18	77.8/22.2	Bus Pbo	9 9	Bus: 43.4 ± 10.30 Pbo: 37.2 ± 13.70	6	21.6 ± 3.75	Outpatient	Bus: 15.2 ± 10.20 Pbo: 11.7 ± 9.40	PANSS Bus: 52.8±9.30 Pbo: 55.4 ± 14.90	Atypical antipsychotic	
4	Ghaleiha et al. (2010) Iran	46	67.4/32.6	Bus Pbo	23 23	Bus: 32.86±5.81 Pbo: 33.30±6.86	8	60 (fixed)	Inpatient	–	PANSS Bus: 112.85±8.57 Pbo: 112.85 ± 8.57	Atypical antipsychotic	
5	Sheikhmoonesi et al. (2015) Iran	50	80.0/20.0	Bus Pbo	25 25	Bus: 46.68±9.46 Pbo: 47.32±10.58	6	30 (fixed)	Inpatient	–	PANSS Bus: 82.92±3.07 Pbo: 78.04±3.21	Typical antipsychotic	
6	Boustani et al. (2018) Iran	40	65.0/35.0	Bus Pbo	20 20	Bus: 33.85 ± 8.03 Pbo: 33.60 ± 8.05	6	40 (fixed)	Inpatient	Bus: 8.80±4.45 Pbo: 7.85±3.24	PANSS Bus: 113.95±10.21 Pbo: 114.95 ± 11.03	Atypical antipsychotic	
7	Wang et al. (2019) China	196	70.9/29.1	Bus Con	99 97	Bus: 39.81±10.11 Con: 39.02 ± 9.56	24	30 (fixed)	–	Bus: 12.01±8.87 Con: 11.24 ± 8.28	PANSS Bus: 48.03 ± 12.95 Con: 47.49 ± 12.32	Atypical antipsychotic	Digital Symbol Substitution Test

Abbreviations: BPRS, Brief Psychiatric Rating Scale; Bus, buspirone; Con, control; Pbo, placebo; PANSS, Positive and Negative Syndrome Scale; Tan, tandospirone; txt, treatment.

Study	Risk of bias						
	D1	D2	D3	D4	D5	D6	D7
Sumiyoshi et al. 2001	+	+	+	+	+	-	-
Sumiyoshi et al. 2007	+	+	+	+	+	+	+
PišKulić et al. 2009	+	+	+	+	+	-	-
Ghaleiha et al. 2010	+	+	+	+	+	+	+
Sheikhmoonesi et al. 2015	+	+	+	+	+	+	+
Boustani et al. 2018	+	+	+	+	-	-	-
Wang et al. 2019	+	+	-	+	+	-	-

D1: Random sequence generation  
 D2: Allocation concealment  
 D3: Blinding of participants and personnel  
 D4: Blinding of outcome assessment  
 D5: Incomplete outcome data  
 D6: Selective reporting  
 D7: Other sources of bias

Judgement  
 - Unclear  
 + Low

Figure 2. Risk of bias.

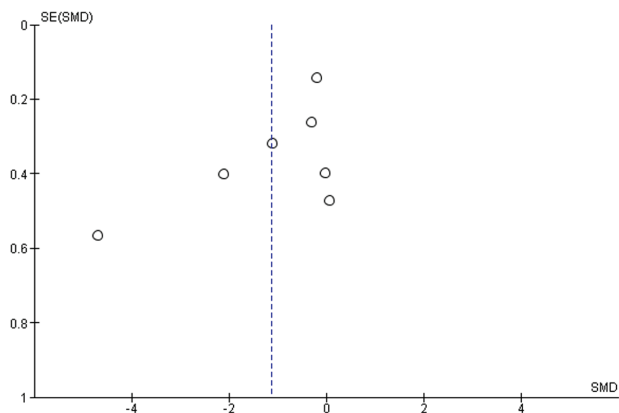
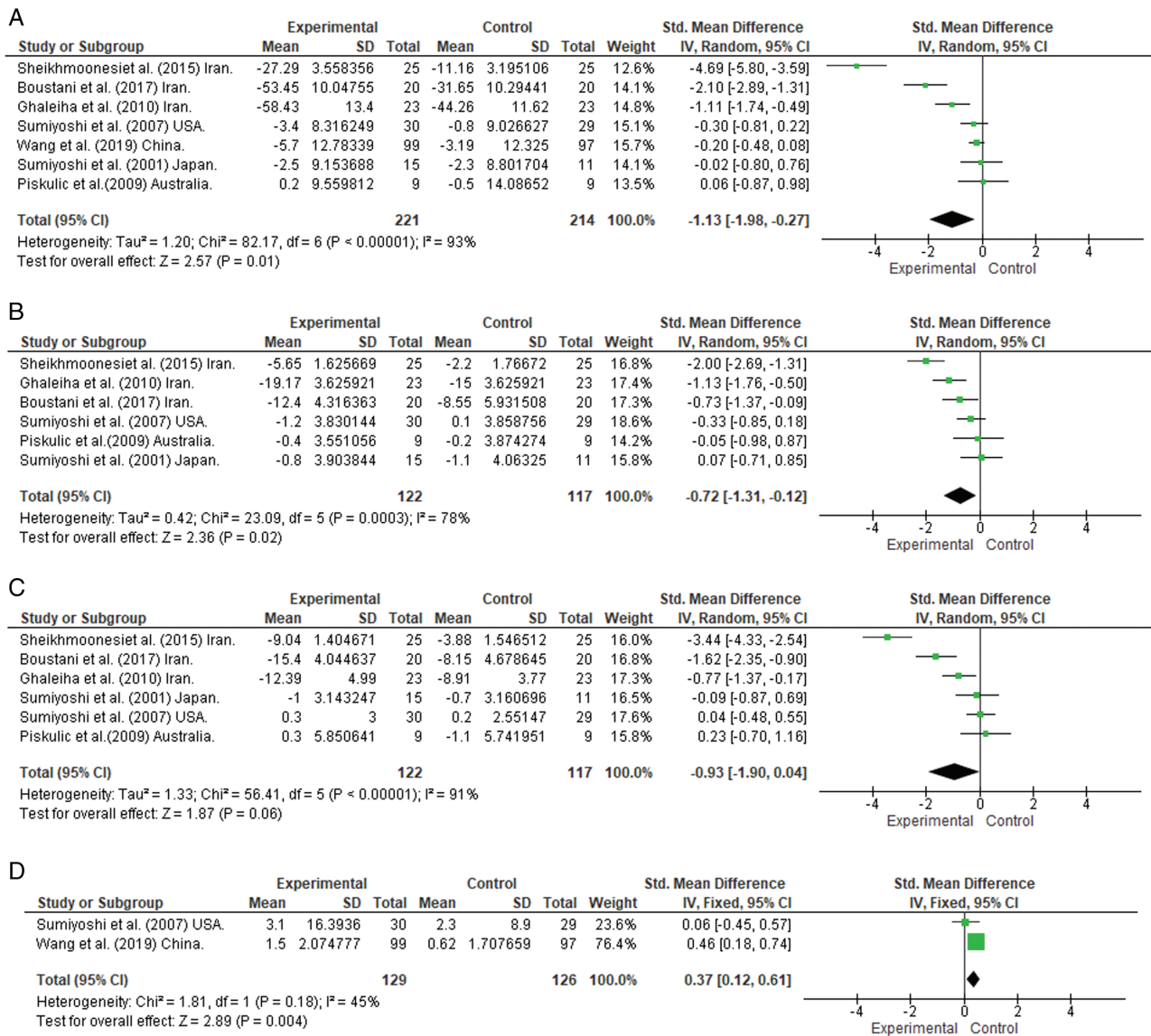


Figure 3. Funnel plot for overall psychopathology.

perceptual processes, encoding and retrieval operations, transformation of information, and decision processes (Reichenberg, 2010). Specifically, performance on the DSST has been indicated to represent a composite of cognitive domains (Keefe et al., 2006; Jaeger, 2018). The current results also support the assertion that treatment with several antipsychotic drugs with high affinities for 5-HT<sub>1A</sub> receptors may be particularly beneficial for improving social and work outcomes that are closely linked to cognitive function (Sumiyoshi and Higuchi, 2013; Sumiyoshi et al., 2016; Sumiyoshi, 2020). Further study is warranted to determine if augmentation therapy with 5-HT<sub>1A</sub> partial agonists can also improve higher functional outcomes, such as social functioning.

The mechanisms by which the stimulation of 5-HT<sub>1A</sub> receptors ameliorate psychotic and cognitive symptoms may be related with several neural substrates (Lehmann and Ban, 1997; Sumiyoshi, 2008; Newman-Tancredi and Albert, 2012; Sumiyoshi and Higuchi, 2013). For example, in vivo microdialysis studies have reported that the ability of AAPDs to increase extracellular DA concentrations in the prefrontal cortex is mediated by 5-HT<sub>1A</sub> receptors (Li et al., 1998; Ichikawa et al., 2001; Bortolozzi et al., 2010). Moreover, chronic treatment with buspirone has been shown to enhance neurogenesis in rodents (Grabiec et al., 2009). In addition, our group previously observed that tandospirone ameliorates insufficient energy metabolism in rats treated neonatally with an N-methyl-D-aspartic acid receptor blocker, a putative animal model of cognitive impairment in schizophrenia (Uehara et al., 2014). In this context, it is of note that SEP-363856, a non-D<sub>2</sub> receptor-binding drug that selectively acts on 5-HT<sub>1A</sub> receptors and trace amine-associated receptors (Dedic et al., 2019), has been reported to ameliorate psychotic symptoms in patients with schizophrenia (Koblan et al., 2020). These observations from basic and clinical studies may help elucidate the neural mechanisms underlying the benefits of some azapirone compounds as well as antipsychotic drugs with noticeable 5-HT<sub>1A</sub> partial agonist actions.

When interpreting the data presented here, it may be necessary to consider that buspirone and tandospirone exhibit certain binding affinities for D<sub>2</sub> receptors, with K<sub>i</sub> values of 1700 nM and 240 nM, respectively (Hamik et al., 1990). However, the doses of buspirone and tandospirone used in the studies included in our meta-analysis are small to moderate (i.e., 21.6-60 mg/d). Therefore, the activity of these azapirone compounds at D<sub>2</sub> receptors should be relatively small, although we cannot entirely



**Figure 4.** Mean effect of 5-hydroxytryptamine (5-HT)<sub>1A</sub> receptor partial agonists of the azapirone class as an add-on therapy on overall psychopathology (A), Positive symptoms (B), Negative symptoms (C), and Attention/processing speed (D).

exclude the possibility that increased D<sub>2</sub> antagonism (especially with buspirone) may have contributed to the improved treatment of psychotic symptoms.

Finally, the implications of the present findings for treatment with 5-HT<sub>1A</sub> partial agonists deserve some discussion. The results of this study are in line with those that have shown the ability of lurasidone, an antipsychotic drug with potent 5-HT<sub>1A</sub> partial agonist and D<sub>2</sub> antagonist actions, to treat psychotic symptoms and improve cognitive function in patients with schizophrenia (Corponi et al., 2019; Meltzer et al., 2020). Possibly, the addition of 5-HT<sub>1A</sub> partial agonists of the azapirone class, for example, buspirone and tandospirone, to a limited dose of lurasidone may be beneficial for patients who have adverse reactions (e.g., EPS) when treated with a higher dose of the drug. This concept may be relevant to the clinical observation that clozapine, with the higher 5-HT<sub>1A</sub>/D<sub>2</sub> ratio of binding affinity than that of lurasidone (Ishibashi et al., 2010; Meltzer and Gadaleta 2021), is associated with minimal incidence of extrapyramidal side effects (Miller, 2000). Furthermore, our findings are also in line with the

antipsychotic efficacy of SEP-363856, a novel agent that exhibits 5-HT<sub>1A</sub> agonist actions but lacks a noticeable affinity for D<sub>2</sub> receptors (Dedic et al., 2019; Koblan et al., 2020). In sum, the results of the present meta-analysis may pave the way for the development of more efficacious and safer compounds for the treatment of schizophrenia, and other psychiatric diseases as well.

## Limitations

This study has several limitations that should be noted. First, the number of studies included in the meta-analysis on attention/processing speed was small. To fully validate the potential merit of 5-HT<sub>1A</sub> partial agonists for this domain of cognition, further clinical trials are needed. Second, most studies included in this meta-analysis focused on short-term (6-8 weeks) outcomes, possibly underestimating potential long-term benefits of the 5-HT<sub>1A</sub> partial agonists used in these studies. Third, this meta-analysis included a study with a larger number of participants (Wang et al., 2019) compared with the rest of the studies,

which requires caution in interpreting the results. Fourth, this meta-analysis was based on a larger number of patients with buspirone as an add-on therapy compared with those with tandospirone. This may be explained, at least in part, by the fact that tandospirone is available only in Japan, unlike the case for buspirone. Finally, as this meta-analysis aimed to examine the effect of 5-HT<sub>1A</sub> receptor partial agonists of the azapirone class as an add-on therapy, other 5-HT<sub>1A</sub> partial agonists, such as lurasidone, which by itself is an antipsychotic drug, were not included.

## CONCLUSIONS

The results of this meta-analysis suggest that augmentation with 5-HT<sub>1A</sub> partial agonists of the azapirone class produces beneficial effects on overall psychopathology, positive symptoms, and attention/processing speed in schizophrenia patients. Further investigations are warranted to determine if adjunctive use of 5-HT<sub>1A</sub> partial agonists or treatment with antipsychotic drugs with a high affinity for 5-HT<sub>1A</sub> receptors, or a combination thereof, would be efficacious for improving other symptom-related aspects and functional outcomes.

## Acknowledgments

This study was supported by AMED Grants (21he2202007, 22dk0307114) the Japan Society for the Promotion of Science KAKENHI No. 20H03610, Intramural Research Grant (2-3, 3-1) for Neurological and Psychiatric Disorders of the National Center of Neurology and Psychiatry, and the Japan Health Research Promotion Bureau Grants (2020-B-08, 2021-B-01) to T.S.

## Author Contributions

R.Y. conducted the statistical analyses, reviewed the literature, and wrote the first and subsequent drafts of the manuscript. T.S. and A.W. critically reviewed these drafts. R.Y., A.W., and T.S. developed the study concept and hypothesis, managed the data collection, contributed to the interpretation of the results, and assisted in writing the manuscript. Y.Y. and A.S. contributed to the interpretation of the results, revised drafts of the manuscript, and provided feedback with particular expertise. All authors contributed to and have approved the final version of the manuscript.

## Interest Statement

The authors declare no conflict of interest directly related to the current study.

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