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# **Systemic Immunoregulatory Consequences of Gut Commensal Translocation**

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# **Abstract**

One major determinant of systemic immunity during homeostasis and in certain complex multifactorial diseases (e.g. cancer and autoimmune conditions), is the gut microbiota. These commensals can shape systemic immune responses via translocation of metabolites, microbial cell wall components, and viable microbes. In the last few years, bacterial translocation has revealed itself as playing a key, and potentially causal role in mediating immunomodulatory processes in nongastrointestinal diseases. Moreover, recent observations regarding the presence of complex microbial communities and viable bacteria within gut-distal tissues during homeostasis challenge the current paradigm that healthy mammals are entirely sterile at nonmucosal sites. This review discusses our current understanding of how the gut microbiota orchestrates systemic immunity during non-infectious extraintestinal diseases and homeostasis, focusing on the translocation of viable bacteria to gut-distal sites.

# **How Do Gut Bacteria Govern Gut-Distal Immunity?**

All humans are inhabited by microorganisms; these form a metaorganism comprising a multicellular host and communities of associated microorganisms, which colonize the gastrointestinal tract and other mucosal sites (including the skin, lungs, and vagina). This inherently symbiotic coexistence is in part maintained by spatial separation; epithelial, vascular, and lymphatic barriers serve to avert uncontrolled microbial influx to extraintestinal (systemic) sites [1–3]. Here, the mesenteric lymph nodes (mLNs) and liver are considered 'firewalls' that shield internal organs from invading **pathobionts**  (see Glossary) that breach the lymphatic or vascular barrier, respectively [4,5]. Although translocation of viable bacteria could potentially occur from other mucosal sites, this review focuses primarily on the translocation of gut bacteria. Host germline-encoded disease susceptibility risks and environmental factors, such as diet, age, and **dysbiosis,** can lead to loss of intestinal barrier integrity, allowing viable enteric commensal bacteria to escape to systemic sites, including the mLNs, liver, spleen, and the blood circulatory system. This

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process is referred to as bacterial translocation [6]. The mechanisms that trigger gut barrier dysfunction are beyond the scope of this review and are described in detail elsewhere [5,7].

Over a century ago, the late Nobel Laureate and visionary Elie Metchnikoff theorized, 'many diseases which lead to chronic systemic inflammation occur as a result of increased gut bacterial translocation into peripheral organs' [8]. Numerous studies have provided the important and descriptive foundation of a clear association between gut bacterial translocation and a multitude of gastrointestinal, as well as nongastrointestinal, diseases. In particular, studies that used complex mechanistic **gnotobiotic** approaches have highlighted potential causal implications of specific translocated gut microbes in mediating key immunomodulatory processes in gut-distal diseases and homeostasis (Table 1, Figure 1, Key Figure). Despite the importance of bacterial translocation in host physio-pathology, the majority of studies focused their efforts on defining the mechanisms of how the microbiota modulates systemic immunity via microbial cell wall components or their produced metabolites, including **lipopolysaccharides** (LPS) and **short-chain fatty acids**  (SCFAs), respectively [9,10]. The detection of gut commensals at systemic sites is complex, and the most reliable strategy requires a combination of several independent approaches (see Figure I in Box 1). Enabled by technological advancements that have facilitated a substantial increase in the sensitivity and specificity of the detection and characterization of low abundant microbial consortia and viable microbes, accumulating evidence of the existence of a tissue microbiome at nonmucosal sites and the occurrence of viable bacterial translocation during homeostatic conditions is on the rise, challenging the paradigm that nonmucosal tissue is entirely sterile in the absence of overt pathology.

A void exists in the comprehension of the mechanisms and consequences of how translocated gut bacteria shape systemic immunity during health and disease. Thus, the goal of this Review is to provide a detailed overview of the evolving trend of host–gut microbiome interactions geared towards a more comprehensive understanding of the immunomodulatory role of translocated gut bacteria during homeostasis and in noninfectious extraintestinal diseases. Several recent discoveries have highlighted a key relationship between gut dysbiosis, systemic bacterial translocation, and non-autoimmunemediated liver diseases, but are beyond the scope of this review and discussed elsewhere [11–26]. Our discussion specifically focuses on gut bacterial translocation in cancer and certain autoimmune diseases.

# **Cancer and Bacterial Translocation**

## **Can Translocated Gut Commensals Drive Malignant Transformation?**

The mammalian gut microbiome has emerged as one major factor that exerts a profound impact on the etiopathogenesis of cancer [27–29]. In accordance with epidemiological studies that report an association between myeloid malignancies with antecedent chronic inflammatory conditions of infectious origin [30], our laboratory group recently showed that gut bacterial translocation of Lactobacillus spp. (mainly), resulting from small-intestinal barrier dysfunction, drove the development of **preleukemic myeloproliferation** (PMP) in mice lacking Tet methylcytosine dioxygenase 2 (*Tet2*) specifically in hematopoietic cells [31]. PMP was reversed by antibiotic treatment and failed to develop in germ-free

(GF) Tet2<sup>-/−</sup> mice; in addition, both **dextran sulfate sodium** (DSS)-mediated loss of intestinal barrier integrity or systemic challenge with the **Toll-like receptor 2** (TLR2) ligand Pam3CSK4 sufficiently triggered persistent signs of PMP, including  $CD11b^{+}Gr1^{+}$  myeloid cell expansion, in mice lacking Tet2 expression in hematopoietic cells, but not in littermate controls. This demonstrated the importance of microbial signals in the development of PMP in the context of Tet2 deficiency [31]. Mechanistically, microbial-induced interleukin (IL)-6 is sensed by IL-6Ra-overexpressing  $Tet2^{-/-}$  myeloid progenitor cells that are, relative to wild-type (WT) myeloid progenitor cells, highly sensitive to exogenous IL-6 and proliferate, giving rise to more  $CD11b<sup>+</sup>Gr1<sup>+</sup>$  myeloid cells. These findings are relevant as these myeloid cells in turn continue to produce IL-6, thus creating a vicious cycle that ultimately leads to the development of PMP in mice lacking Tet2 expression in hematopoietic cells relative to WT littermates (Figure 1). This indicates that IL-6 is required for the development of PMP, and intraperitoneal treatment of mice with neutralizing anti-IL-6 antibody reverses PMP in the context of  $Tet2$  deficiency [31]. From a therapeutic perspective, these findings suggest that targeting microbial-induced inflammatory signals, such as IL-6, may be an important therapeutic approach in patients harboring TET2 loss of function mutations and PMP and thus, might potentially reduce the risk of progression to myeloid cancer, although this remains to be assessed. These findings provide evidence that gut barrier dysfunction and microbial-mediated systemic inflammation – in conjunction with unfavorable host genetics – can lead to malignant transformation, here, in the context of myeloid cancer.

#### **Tumor Microbiome at Gut-Distal Sites**

In the last decade, several groups have highlighted the crucial immunomodulatory role of the tumor microbiome at mucosal sites [32,33]. Evidence that microbial signatures are present within gut-distal tumors continues to grow. Recently, the tumors of patients with pancreatic ductal adenocarcinoma (PDAC) were shown to harbor a complex microbiome which included bacterial communities expressing the enzyme **cytidine deaminase**, enabling them to potentially metabolize and inactivate the chemotherapeutic drug gemcitabine, possibly leading to worsened clinical outcomes [34].Indeed, cultured bacteria from human pancreatic tumors mediated gemcitabine resistance in in vitro cell culture systems, suggesting certain microbial communities within pancreatic tumors might potentially abate the efficacy of anticancer chemotherapeutic treatments. Considering that the pancreatic tumor microbiome might be of substantial prognostic value, the tumor microbiome signature was used to stratify PDAC patients according to their length of survival post-diagnosis [35]. Accordingly, the pancreatic tumor microbiome landscape of long-term, in contrast to shortterm survivors, was characterized by a substantially increased diversity and an enrichment of three taxa communities: Pseudoxanthomonas, Streptomyces, and Saccharopolyspora, combined with a concomitant increase of tumor-infiltrating, granzyme-B-producing, CD8<sup>+</sup> T cells [35]. Within individual patients, taxonomic comparison of feces, PDAC tumor tissue, and normal (adjacent) tissue revealed that one quarter of the tumor microbiome composition matched corresponding gut microbiome samples, suggesting that gut microbes translocated to pancreatic tumor sites. Moreover, a small fraction of advanced pancreatic cancer patients' fecal microbiome could be detected within implanted pancreatic tumors of K-rasLSL.G12D/+; Trp53R172H/+; Pdx-1-Cre (KPC) mice, post **fecal microbiota transplant**  (FMT) [35]. Furthermore, FMT with long-term, but not short-term, survivor feces potently

suppresses tumor growth, triggers increased infiltration of cytotoxic interferon  $\gamma$  (IFN $\gamma$ )producing CD8+ T cells (**Tc1 cells**), and reduces the accumulation of immunosuppressive Foxp3<sup>+</sup> CD4<sup>+</sup> regulatory T (Treg) cells and myeloid-derived suppressor cells (MDSCs) in tumors. These findings suggest that the gut microbiome could, to some degree, colonize gut-distal tumors and thereby shape systemic tumor immunity [35]. Moreover, fluorescently labeled Enterococcus faecalis fragments are detected after oral administration in healthy WT pancreata. This suggests that intestinal bacteria might directly influence the pancreatic microenvironment. An increased pancreatic bacterial load is detected in both KPC mice and PDAC patients when compared to healthy WT mice or healthy individuals, respectively, indicating that the local pancreatic microbiome could potentially play a pathogenic role in the context of pancreatic cancer [35]. In line with this hypothesis, antibioticinduced microbial ablation in KPC mice leads to enhanced expansion of antitumorigenic intratumoral IFNγ-producing CD4+ (**Th1 cells**), Tc1 cells, and proinflammatory tumorassociated macrophages (TAMs), with concomitant suppression of protumorigenic MDSCs and anti-inflammatory TAMs, relative to tumor-bearing mice that do not receive antibiotic treatment [36]. FMT of antibiotic-treated KPC mice, using KPC-mouse derived feces, partially reverses antibiotic-mediated antitumor immune responses, as evidenced by the expansion of intratumoral MDSCs and compression of T cell frequencies. This suggests that a cancer-derived microbiome could aggravate tumor progression in a genetically predisposed host (Figure 1) [36].

A comprehensive characterization of human gut-distal tumor microbiomes (such as pancreas, bone, breast and **melanoma** tumors) on a species-level resolution revealed a cancer-type-specific tumor microbiome signature [37] (Figure 2). Intratumoral bacterial fragments reside within tumor cells, macrophages, and lymphocytes, and viable bacteria are detected in five breast tumors (predominantly *Staphylococcus spp.*). Several metabolic functions encoded by intratumoral bacteria have correlated with clinical features of certain tumor subtypes, implying that intratumoral microbes might be active participants in the tumor microenvironment. In the case of human bone cancer, which is associated with elevated concentrations of hydroxyproline – a degradation product of bone collagen – a microbial hydroxyproline-degradation pathway is enriched relative to non-bone tumors [37]. However, while an intratumoral presence of bacteria and bacterial fragments has been established in many models, a causal role of tumor-intrinsic bacteria at gut-distal sites remains to be determined. Aiming to establish a microbiome-based oncological diagnostic tool, one study has deployed complex computational approaches, including independently trained machine-learning (ML) models to characterize the composition of microbial communities in plasma, tumor tissue, and normal (adjacent) tissue samples of  $\sim$ 10 000 cancer patients (33 cancer types) from The Cancer Genome Atlas (TCGA) platform [38]. The established computational model successfully discriminates between cancer types and between tumor and normal tissue. Application of the developed ML tools on metagenomic reads from plasma-derived microbial DNA collected from individuals with prostate, lung, or skin cancer, as well as healthy controls, has shown that blood plasma microbial profiles could be used to achieve healthy-versus-cancer and cancer-versus-cancer discrimination, in line with similar findings by others [39]. The finding that the taxonomic compositions of gut bacteria found in nontumorigenic adjacent tissue shows clear demarcations from

the tumor microbiome signatures [35–40] suggests that the tumor provides a niche for specific bacterial communities (Figure 2). This might, depending on the tumor microbiome composition, provide novel opportunities in the diagnosis and treatment of gut-distal cancer types.

One postulated mechanism of how viable gut microbes might gain access to remote tumors is via loss of intestinal barrier integrity  $[41,42]$ . However, (i) the underlying mechanisms of how bacteria translocate from the gut to remote tumors and persist in cancerous tissue; (ii) the presence of viable bacteria in systemic tumors; and (iii) the mechanisms of how viable tumor-intrinsic microbes can mediate tumor-specific immune responses and modulate the effects of cancer therapies remain enigmatic [43]. Future studies are warranted that address these key questions when aiming to improve microbial-based cancer therapies. Although the tumor microbiome field is in its early stages, recent cumulative scientific discoveries point towards an active, rather than passive, role of tumor-intrinsic microbes in mediating or contributing to malignant transformation, oncogenesis, and cancer-driven immune responses. New advances on the pro- and antitumorigenic functions of intratumoral microbes have been reviewed elsewhere [41]. A better understanding of the gut-distal tumor microbiome might provide a basis for a new era of microbialbased cancer diagnostics, risk stratification, and novel personalized cancer therapies.

## **How Do Gut Microbes Shape Systemic Tumor Immunity during Cancer Therapy?**

Several recent studies have highlighted the robust ability of the gut microbiome to orchestrate systemic antitumor immunity [32,44–49] in the context of chemotherapeutic regimens [50–52] and **immune checkpoint inhibitor (ICI) therapy** [44,48,49,53–57]; moreover, probiotic bacteria are gaining traction as a crucial component in successful ICI therapies in mice and humans [48,58–60].

Commensal-specific Th1 cells [49,50,52,53], IL-17A-producing CD4<sup>+</sup> **Th17 cells** [50] and Tc1 cells [52,53] have been identified in the periphery of murine MCA205 sarcoma tumor bearers [49,50], as well as in lung, kidney, and ovarian cancer patients [49,52,53]. Adoptive transfer of ex vivo *Bacteroides fragilis*-stimulated CD4<sup>+</sup> T cells into sarcoma-tumor-bearing mice partially restores ICI efficacy by delaying tumor outgrowth relative to tumor bearers that receive either unstimulated or *Bacteroides distasonis*-stimulated CD4<sup>+</sup> T cells [49]. This attests to the functional relevance and potential commensal specificity of T cell responses in preclinical studies. Moreover, oral gavage of Enterococcus hirae promotes antitumor cognate Th1 cell effector function and  $CD8<sup>+</sup>$  T cell activation in two distinct preclinical tumor models: the **ovalbumin**-expressing sarcoma model and the **HPV16-E7-**expressing TC-1 lung tumor model [52]. This suggests that gut commensals can potentially enhance tumor-antigen-specific immunity, although this warrants further investigation and is likely context dependent. To study systemic (peripheral blood) commensal T cell responses of advanced lung and ovarian cancer patients, one study performed ex vivo cocultures of monocyte and memory  $CD4^+$  T cells, stimulated with distinct commensals [52]. Stratifying cancer patients according to the median IFNγ produced by bacteria-specific memory  $CD4^+$  T cells has revealed that memory Th1 cells – recognizing selectively E. hirae and Barnesiella intestinihominis, but not other commensals used in this assay – are associated

with prolonged progression-free survival of cancer patients [52]. This finding suggests that commensal-specific Th1 cells are of prognostic value in certain cases, and thus, merit further attention.

Another potential mechanism of how gut microbes might orchestrate systemic antitumor immunity is via **antigen mimicry**. A recent report demonstrates that antigen mimicry between the SVYRYYGL (SVY) epitope expressed on gut commensal *Bifidobacterium* breve and the B16 melanoma-antigen SIYRYYGL (SIY) promotes antitumor CD8+ T cell immunity in the mouse B16.SIY melanoma model [61]. The accelerated B16.SIY tumor growth in mice lacking  $B$ , breve is potently suppressed by either cohousing with  $B$ , brevecolonized mice or by adoptive transfer of ex vivo expanded SVY-specific  $CD8^+$  T cells [61].

Translocation of viable commensal bacteria might be a potential contributor to systemic antitumor immunity in the context of chemotherapeutic cancer treatments [50,52]. The chemotherapeutic drug, cyclophosphamide (CTX) induces small intestinal morphological abnormalities, such as villi shortening and mononuclear immune cell infiltration, leading to gut barrier dysfunction in naïve mice. This subsequently triggers increased translocation of commensal bacteria Lactobacillus johnsonii, Lactobacillus murinus, E. hirae, Lactobacillus intestinalis, and Lactobacillus reuteri to secondary immune organs relative to untreated mice [50]. The CTX-mediated splenic IL-17A- and IFNγ-producing Th17 cell expansion and tumor-growth-suppressing effect in MCA205 sarcoma and B16-F10 melanoma models are reduced in both antibiotic-treated specific pathogen free (SPF) and GF sarcoma-bearing mice, relative to untreated SPF or GF tumor bearers, respectively. Adoptive transfer of ex vivo expanded IL-17A<sup>+</sup> and IFN $\gamma$ <sup>+</sup> Th17 cells into antibiotic-treated SPF sarcomabearers re-establishes the CTX-mediated tumor growth retardation [50]. E. hirae, but not L. johnsonii, monocolonization restores CTX-mediated tumor growth retardation in antibiotictreated SPF sarcoma-bearing mice. This indicates that only specific commensal microbes found to translocate to secondary lymphoid organs upon CTX treatment [50] can mediate the CTX-induced tumoricidal activity [52]. These findings suggest possible mechanisms by which gut commensal bacteria are able to modulate/influence systemic antitumor immunity and perhaps potentiate cancer therapy efficacy, although these possibilities will require rigorous future testing.

## **Autoimmune Diseases and Bacterial Translocation**

## **Systemic Lupus Erythematosus**

Gut dysbiosis and gut barrier dysfunction are two key events that contribute to **systemic lupus erythematosus** (SLE) etiopathogenesis in mice and humans [5,62–64]. Compelling evidence from three independent studies using different SLE-prone models (see following text) suggest a causal relationship between gut commensal translocation and the development of SLE-like pathology (Figure 1, Table 1). Specifically, one study reported that intestinal barrier dysfunction and translocation of the Gram-positive gut commensal *Enterococcus gallinarum* in the (NZW×BXSB)F<sub>1</sub>-SLE-like mouse model play a causal role in SLE-like pathology [62]. Indeed, bacterial monocolonization studies using GF WT hosts have revealed that  $E$ . gallinarum and the close phylogenetic relative, E. faecalis, are equally sufficient to mediate gut barrier dysfunction and translocation

to systemic sites, as demonstrated from the presence of similar amounts of viable E. gallinarum or E. faecalis in mLNs and liver when compared to GF control mice, which are devoid of viable commensals at systemic sites  $[62]$ . However, only E. gallinarum induces autoimmunity-promoting proteins: endogenous retrovirus glycoprotein 70 and  $\beta_2$ glycoprotein 1; a possible mechanism for induction of this aberrant autoimmune response is proposed to be via **aryl hydrocarbon receptor** (AhR)-mediated Th17 cell priming. Indeed, AhR antagonist treatment suppresses both systemic autoantibody responses and Th17 cell effector functions in antibiotic-treated (NZW×BXSB) $F_1$  mice that are orally gavaged with E. gallinarum, compared to vehicle-treated mice. This observation suggests that microbial AhR ligands, produced by translocated E. gallinarum, which encodes the enzymatic machinery to convert the essential amino acid tryptophan into AhR ligands (as shown by whole-genome sequencing), could promote autoimmunity in this context [62]. Highlighting the translational relevance of these findings, E. gallinarum DNA is present in the livers of SLE patients, and E. gallinarum vaccination of  $(NZW \times BXSB)F_1$  mice reduces SLE-associated symptoms, including serum titers of autoantibodies and systemic bacterial burden relative to  $E$ . faecalisor B. thetaiotaomicron-vaccinated mice [62]. Additionally, the findings of this study [62] in combination with others [26,65] highlight a potential important role of microbial tryptophan catabolites in the etiopathogenesis of certain mouse models of autoimmune disease.

Intestinal bacterial overgrowth – associated with bacterial translocation – commonly occurs in SLE patients and is reviewed elsewhere [5]. One study has reported that, similar to a fraction of SLE patients, *L. reuteri* is overabundant in SLE-prone TLR7.1 Tg mouse feces relative to WT feces [63]. Fecal L. reuteri overgrowth is associated with increased intestinal permeability and a dominant translocation of L. reuteri and L. johnsonii in approximately 50% of TLR7.1 Tg mice compared to WT controls or antibiotic-treated TLR7.1 Tg mice, in which systemic translocation of viable bacteria is not detected [63]. Oral administration of L. reuteri, but not L. johnsonii, is sufficient to exacerbate **imiquimod**-induced SLE-like symptoms in WT mice, suggesting that only specific bacteria that are translocated aggravate pathology. Moreover, establishing a potential therapeutic rationale, dietary-starch-induced promotion of microbial SCFAs suppresses fecal L. reuteri outgrowth and reduces systemic signs of SLE-like pathology in TLR7.1 Tg mice relative to TLR7.1 Tg mice fed a standard diet – possibly via restoration of gut barrier integrity, although this remains to be tested [63].

The observation that a single enteric pathobiont can trigger SLE-like pathology in a healthy host [62] in combination with the fact that SLE patients display gut barrier dysfunction raises the provocative hypothesis that the aberrant immune response in SLE is not solely attributed to increased reactivity to self-antigens but could be, in some cases, synergistic with an increased reactivity to commensal gut microbes [66]. In line with this hypothesis, monocolonization of GF WT mice with the **Ro60** ortho-autoantibody-containing commensal B. thetaiotaomicron – commonly found in the human gut microbiota – leads to a substantial crossreactive immune response in vivo, as demonstrated by an increased binding of antihuman-Ro60 IgG to commensal Ro60 **mimotopes** present in mouse sera ex vivo, when compared to sera derived from GF control mice [67]. Supporting T cell crossreactivity in humans, Ro60-autoantigen-specific CD4<sup>+</sup> memory T cell clones from SLE patients have shown reactivity to and proliferate upon stimulation with Ro60-containing bacteria in ex

vivo assays [67]. Additionally, crossreactivity between mimotopes expressed on human gut commensal *Roseburia intestinalis* and autoepitopes present on autoantigen β<sub>2-glycoprotein</sub> 1, which activated both self-recognizing B and T cells in ex vivo assays, has been reported in a fraction of patients with autoimmune **antiphospholipid syndrome** (APS), but not in healthy controls [68]. This suggests that commensal antigens can trigger the activation of self-recognizing adaptive immune cells, meriting further investigation to determine whether this aberrant immune response can be causally involved in worsening the outcome of certain autoimmune pathologies. A more in-depth discussion of microbial–host crossreactivity has been recently discussed elsewhere [1]. It appears that gut bacterial translocation may be a common pathophysiological feature in SLE animal models and SLE patients and, consequently, might not be solely restricted to a specific genetic-susceptibility gene.

#### **Type 1 Diabetes Mellitus**

A recent report demonstrated that **hyperglycemia**, which precedes the onset of **type 1 diabetes** (T1D) [69,70], was both required and sufficient to trigger gut barrier dysfunction in both environmental and genetic models of T1D [71]. In both a **streptozotocin (STZ) induced T1D** mouse model and in hyperglycemic Akita mice compared to control mice, hyperglycemia-induced gut barrier dysfunction leads to increased systemic dissemination of Citrobacter rodentium upon enteric infection. Compared to controls, STZ-treated diabetic mice harboring gut epithelial– specific GLUT2 deletion (GLUT2  $\text{IEC}$ ), as well as STZtreated WT mice with 2-deoxyglucose-mediated inhibition of glucose metabolism, show restored barrier function [71]. This suggests that glucose metabolism plays a protective role in enteric infections in the context of T1D.

In the context of bacterial translocation, one study used **nucleotide-binding oligomerization domain-containing protein 2** (NOD2) deficient mice (NOD2−/−) to emphasize a correlative connection between gut bacterial translocation with a NOD2 dependent increase in IL-6- and IL-12-producing dendritic cells and macrophages. This innate inflammatory response drives an expansion of IL-17A- and IFN $\gamma$ -producing CD4<sup>+</sup> and CD8+ effector T cells in pancreatic lymph nodes (pLNs) and pancreatic tissues in STZ-treated mice, relative to controls [72]. This indicates that NOD2-dependent recognition of translocated bacterial products can contribute to STZ-induced T1D development and is associated with proinflammatory immune responses (Figure 1). Highlighting the importance of systemic commensal bacteria recognition in this model, antibiotic treatment confers resistance to STZ-induced proinflammatory immune responses and bacterial translocation; moreover, NOD2-ligand treatment sufficiently re-installs STZ-induced proinflammatory immune responses in antibiotic-treated mice [72]. These are examples of recent studies that underscore the association between gut barrier dysfunction and bacterial translocation in T1D-like mouse models – an area that certainly deserves future investigation.

## **Bacterial Translocation during Homeostasis**

From our current understanding, gut bacterial translocation only occurs with ongoing intestinal or systemic pathology [5], and live commensal bacteria are not thought to reach internal organs under steady state conditions [2,4,73,74].

A study from 1979 investigated whether indigenous bacteria could translocate to systemic tissues during homeostasis [75]. Indeed, viable E. coli were recovered from systemic sites, such as the mLNs, spleen, and liver up to 4 months after monocolonization of healthy GF WT mice. A variety of viable gut commensals, such as Escherichia coli, Proteus mirabilis (both Proteobacteria) and *Lactobacillus spp.* (Firmicutes), were recovered in mLNs 1 week after colonizing GF mice with cecal contents derived from healthy SPF mice, indicating that gut bacterial translocation in a gnotobiotic setting is not taxonomically restricted [75]. In contrast to one study where E. coli was not detectable at systemic sites upon monocolonization in gnotobiotic mice [4], a recent report demonstrates that 53 distinctive human gut commensal isolates, including E. coli, translocate to mLNs and systemic lymphoid organs during homeostasis in monocolonized gnotobiotic mice [47]. Attesting that gut commensals can similarly translocate to systemic sites in the presence of a complex microbiota, both viable E. coli and Lactobacillus acidophilus are detected in systemic tissues upon oral administration to healthy SPF mice [75]. These findings indicate that bacterial translocation can indeed occur during homeostasis and are in line with recent studies reporting viable commensal bacteria at systemic sites within healthy SPF WT mice [50,63,65,76,77]. Suggesting a symbiotic relationship with the host, commensal bacteria, once translocated to systemic immune organs, can persist up to several months post colonization in mice [47,62,75,78]. Accordingly, several reports identified microbial signals, such as LPS and bacterial 16S rRNA gene fragments, or even a complex microbiome at systemic sites in the absence of overt pathology in mice [65,76,79–82] and humans [38,62,83]. Furthermore, commensal-specific serum IgG antibodies, which are considered a surrogate marker for systemic commensal penetration [4], have been detected in both mice and humans in the absence of overt pathology [76,82,84,85] and have been implicated in conferring protection to systemic infection [82] (Figure 1). Noteworthy, several potential confounding factors can impact the detection of viable gut bacteria in healthy SPF mice, including the genetic background [31,62,63,76], age [65], microbiome [62,75], and diet [65] of mice, in addition to microbial culture techniques [86].

Collectively, these data demonstrate (i) the presence of a complex tissue microbiome at extra-intestinal sites during homeostasis; and (ii) that live commensal bacteria can translocate to systemic tissues, both in the absence and presence of a complex gut microbiome at steady state. Thus, future studies are necessary to define the mechanisms of bacterial translocation during homeostasis, and the subsequent physiological consequences on systemic immunity as well as the evolutionary benefits to the host when conferring protection from noninfectious or infectious pathologies.

## **Concluding Remarks**

Even though many of the detailed mechanisms governing the complex interplay between gut-distal microbiota and systemic immunity remain undeciphered, cumulative research efforts have unveiled the major impact of microbial signals and viable commensal bacteria in mediating systemic immune responses during homeostasis and nongastrointestinal diseases. The consequences of bacterial translocation, including its impact on systemic immune responses, are seemingly context-, tissue- and disease-dependent and possibly influenced by other environmental factors; therefore, they remain an exciting area of future research

(see Outstanding Questions). A better understanding of gut bacterial translocation and its systemic immunomodulatory potential will presumably unlock a new wave of microbialbased therapies to treat certain extraintestinal diseases and potentially help us to maintain host-microbial mutualism.

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#### **Glossary**

#### **Antigen mimicry**

the sharing of antigen epitopes between microbes and host

#### **Antiphospholipid syndrome**

autoimmune disorder characterized by increased formation of blood clots often due to autoantigen β<sub>2</sub>-GP1 T cell responses

#### **Aryl hydrocarbon receptor**

ligand-dependent transcription factor; binds several exogenous factors such as microbial tryptophan catabolites; plays important roles regulating metabolism and T cell differentiation

#### **Cytidine deaminase**

enzyme catalyzing the hydrolytic deamination of cytidine and deoxycytidine to uridine and deoxyuridine, respectively

#### **Dextran sulfate sodium**

sulfated polysaccharide, toxic to colonic epithelial cells causing human ulcerative-colitislike diseases when used in mice

#### **Dysbiosis**

any change to the composition of resident commensal communities relative to the community found in healthy individuals; often associated with disease

#### **Fecal microbiota transplant**

transfer of donor stool, containing fecal microbes, to a recipient

#### **Gnotobiotic**

environment/model organism in which all microorganisms present are defined

#### **HPV16-E7**

human papilloma viral oncoprotein expressed in TC-1 lung tumor cells

#### **Hyperglycemia**

abnormally high glucose concentrations in the blood

## **Imiquimod**

stimulates the innate immune system by activating TLR7

#### **Immune checkpoint inhibitor therapy**

drugs that block immune checkpoint proteins (from binding to their partner ligands), enabling T cell activation and tumor-cell killing.

#### **Lipopolysaccharide**

endotoxin expressed on the outer membrane of Gram-negative bacteria with potential immunostimulatory activity

#### **Melanoma**

form of skin cancer developed from melanocytes

#### **Mimotope**

peptide sequences which mimic epitopes of specific antigens and are therefore capable of eliciting an antigen-specific immune response

#### **Murein lipoprotein**

outer membrane lipoprotein found in the cell wall of Gram-negative bacteria

#### **Nucleotide-binding oligomerization domain-containing protein 2**

pathogen recognition receptor recognizing bacterial products which include muramyl dipeptide

#### **Ovalbumin**

model antigen for immunology research

#### **Pathobiont**

typically nonharmful symbiont which, when specific genetic or environmental conditions are altered, can become pathogenic to the host

#### **Preleukemic myeloproliferation**

expansion of mature myeloid cells including myeloid progenitor cells in the peripheral blood, spleen, and bone marrow, accompanied by splenomegaly

#### **Ro60**

human RNA-binding protein; ortholog present in bacteria

#### **Short-chain fatty acid**

fatty acid containing less than six carbons derived from intestinal microbial fermentation

## **Streptozotocin-induced type 1 diabetes**

experimental model of T1D, induced by glucosamine–nitrosourea compound streptozotocin which displays preferential toxicity toward pancreatic β cells

#### **Systemic lupus erythematosus**

chronic, debilitating, multiorgan autoimmune disease with unclear etiology

#### **Tc1 cells**

cytotoxic CD8<sup>+</sup> T cells characterized by the production of IFN $\gamma$  and Granzyme B, triggering cell death of infected/damaged cells

#### **Tet2**

gene encoding a methylcytosine dioxygenase protein; catalyzes the conversion of 5-methylcytosine to 5-hydroxymethyl-cytosine and promotes DNA demethylation

#### **Th1 cells**

CD4<sup>+</sup> T cells characterized by the production of IFN $\gamma$ , IL-2, and TNF; promote cellmediated immune responses

## **Th17 cells**

CD4+ T cells characterized by the production of IL-17A, IL-17F, IL-21, and IL-22; promote cell-mediated immune responses

#### **Toll-like receptor 2**

pattern recognition receptor expressed on immune cells; recognizes lipid-containing pathogen associated molecular patterns

#### **Type 1 diabetes**

autoimmune disease characterized by the destruction of the endocrine pancreas, specifically insulin-producing β-islet cells required for glucose homeostasis

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## **Box 1.**

# **Methods to Detect and Characterize Bacterial Signals and Viable Bacteria at Gut-Distal Sites: Advantages, Disadvantages, and Future Potential (see Figure I)**

#### **Cultivation [4,31,35,37,62,63,75,82]**

Key Purpose: quantitative assessment of viable bacteria.

Examples: incubation of homogenized tissue: agar plates and broth media.

Advantages: gold standard to demonstrate viability of bacteria in tissues; selective species detection based on culture conditions; broth-expansion promotes detection of low abundant bacteria; individual colonies can be taxonomically classified when combined with sequencing.

Disadvantages: many bacteria are difficult to cultivate; biased growth selection depending on culture conditions; quantification of colony-forming units a broth-expansion does not reflect the quantity of tissue resident viable bacteria in situ.

Future potential: Cultureomics: application of a wide variation of culture conditions to reduce the bias of selective expansions.

#### **Visualization [34–37,62,87]**

Key Purpose: quantitative assessment and special distribution of bacteria within tissues or cell types.

Examples (Microscopy): fluorescence in situ hybridization; Gram, lipopolysaccharide, or lipoteichoic acid staining; electron microscopy.

Advantages: enables quantification and cellular localization of bacteria within host tissue in situ.

Disadvantages: unable to differentiate viable from dead bacteria.

Examples (Flow Cytometry): detection of fluorescently stained bacteria within homogenized tissue: SYTO (live and dead bacteria stain) and propidium iodine (PI) (dead bacteria stain).

Advantages: possible to differentiate viable (SYTO<sup>+</sup>PI<sup>-</sup>) from dead (SYTO<sup>+</sup>PI<sup>+</sup>) bacteria and define the frequency of bacteria within a specific tissue; sorting of viable fraction with subsequent sequencing would enable characterization and quantification of viable bacteria within a tissue in the absence of selective culture-dependent expansion.

Disadvantages: specificity issues, given the low bacterial abundance; facultative anaerobes may be selected against during sample preparation.

Future Potential: single cell sorting of tissue-resident bacteria.

#### **Sequencing and Computational Approaches [35,37–40,62,76,82,83]**

Key Purpose: quantitative and qualitative assessment of complex microbial communities or single bacterial taxa.

Examples: 16S rRNA PCR, 16S rRNA quantitative PCR, 16S rRNA amplicon sequencing (full length or variable region); metagenomic or metatranscriptomic sequencing; machine learning approaches.

Advantages: quantitative assessment of bacterial load; detailed taxonomic classification of complex microbial communities or single bacterial taxa within a tissue; reveals functional/metabolic microbial pathways; high throughput; machine learning-approaches enable unbiased stratification of large-scale data sets.

Disadvantages: cannot differentiate between live or dead bacteria; contamination of DNA extraction kits; environment confounds low biomass sample data.

Future Potential: single cell sequencing of tissue-resident bacteria, machine learning approaches.

These described methods can be applied to extraintestinal tissues, independent of a healthy or diseased state. Altogether, the most powerful strategy to detect and define translocated commensal gut bacteria at systemic sites is a combination of all three techniques.



## **Outstanding Questions**

What is the physiological relevance of bacterial translocation during homeostasis? Can viable commensal microbes use secondary immune organs as a niche, or is there constant low-grade translocation of commensal bacteria from the gut? How do commensal bacteria travel and persist within the host? Is there an evolutionary benefit of bacterial translocation for the host in protecting from noninfectious or infectious diseases? Can we modulate the systemic tissue microbiome in a tissue-specific manner?

Can we manipulate the tumormicrobiome to boost antitumor immunity and potentiate responses to cancer therapies? Are gut bacteria chemoattracted to tumors at gutdistal sites? Does systemic tumor formation *per se* trigger gut barrier dysfunction enabling gut bacteria to escape to the periphery? If yes, what are the mechanisms?

Can antigen mimicry between gut microbial antigens and tumor antigens boost systemic antitumor immunity by generating crossreactive antitumor T cells? What is the role of commensal specific T cells during cancer?

Is the aberrant immune response in certain autoimmune diseases a combination of increased reactivity to both self-antigens and gut microbial antigens?

Is bacterial translocation contributing to the systemic immunomodulatory effects mediated by FMT?

Besides bacteria, can other gut microbes, such as archaea, bacteriophages, fungi, or protists, translocate to systemic sites during homeostasis or extraintestinal diseases? If yes, how do they modulate systemic immunity during health and disease?

A better understanding of gut bacterial translocation during health and disease might unlock a new wave of microbial-based therapies to treat complex diseases such as cancer and autoimmunity, improve current therapy approaches, and maintain host– microbial mutualism.

## **Highlights**

A complex tissue microbiome, containing viable bacteria, is present at gut distal sites during homeostasis.

A crucial, and potentially causal role of gut bacterial translocation in modulating systemic immunity during extraintestinal diseases, such as autoimmunity and cancer, is emerging.

The systemic immunoregulatory consequences of gut bacterial translocation are contextual.

Gut-distal tumors and circulatory systems of cancer patients can harbor cancer-typespecific microbial communities of prognostic value.

The efficacy of cancer immunotherapy can be strongly associated with gut bacterial translocation.

Bacterial translocation can exacerbate the severity of certain autoimmune diseases, potentially through modulating self-reactivity.

The aberrant immune response in certain autoimmune diseases may not be attributable to self-antigen reactivity alone, but may be a synergistic effect, including a reaction to gut microbes.



**Figure 1. Key Figure. Tissue-Specific Immunoregulatory Effects of Translocated Gut Bacteria during Extra-intestinal Diseases and Homeostasis in Mice and Humans**

The diagram depicts example of homeostasis and diseases, including cancers and autoimmune diseases. In solid malignancies, bacterial translocation to extraintestinal tumors in mice is associated with an increase in interferon  $(IFN)\gamma^+CD4^+T$  cells (Th1 cells) and cytotoxic IFN $\gamma^+$ /Granzyme B<sup>+</sup> (GrzmB) CD8<sup>+</sup> T cells (Tc1 cells), with a reduction in immunosuppressive myeloid derived suppressor cells (MDSCs) and regulatory T (Treg) cells [35,52]. Within the pancreas of a pancreatic ductal adenocarcinoma (PDAC) mouse model, intratumoral bacteria are associated with a reduction of Th1 cells, Tc1 cells, and proinflammatory tumor-associated macrophages (pro-i TAMs) and a concomitant increase in MDSCs and anti-inflammatory (anti-i) TAMs [36]. In a myeloid leukemia-like Tet2-deficient mouse model, bacterial translocation (mainly Lactobacillus spp.) triggers

high interleukin (IL)-6 production – sensed by IL-6 receptor (IL-6Ra)-overexpressing granulocyte-myeloid progenitor (GMP) cells, which subsequently differentiate into IL-6 producing CD11b+Gr1+ myeloid cells. This cycle results in the development of preleukemic myeloproliferation [31]. For certain autoimmune diseases, such as type 1 diabetes (T1D), bacterial translocation to the pancreas, in a T1D-like mouse model, is associated with an accumulation of Th1 cells and IL-17A<sup>+</sup> CD4<sup>+</sup> T cells (Th17 cells), resulting in insulinproducing β cell death [72]. Within a systemic lupus erythematosus (SLE)-like mouse model, Enterococcus gallinarum translocation to the liver causes an increase in tumor necrosis factor-α (TNF-α) and triggers an aryl hydrocarbon receptor (AhR)-dependent Th17 cell expansion, which increases autoantibodies and hepatotoxicity [62]. Within the kidney of an SLE-like mouse model, bacterial translocation is associated with an influx of  $CD8<sup>+</sup>$  T cells and  $CD11b<sup>+</sup>$  myeloid cells, leading to proteinuria and nephritis [63]. During homeostasis, viable bacteria [50,63,65,76] and anticommensal IgG [62,82,85] have been detected in human and mouse organs, including spleen, liver, and blood.



#### **Figure 2. Tumor Microbiome at Gutdistal Sites.**

Gut-distal tumor types such as glioblastoma (1), breast (2), melanoma (3), pancreatic (4), ovarian (5), and bone (6) cancer provide a biological niche for specific bacterial growth [35– 40]. These distinct human cancer-type-specific tumor microbiomes may provide a novel, powerful diagnostic marker for distinguishing between normal and cancerous tissue, as well as between specific cancer types via analysis of microbial signatures in the plasma (7) [38,39].

## **Table 1.**

Correlative and Causal Immunomodulatory Consequences of Gut Bacterial Translocation during Extraintestinal Diseases and Homeostasis in Mice and Humans





Abbreviations: ABX, antibiotic treatment; DC, dendritic cell; DDC, 3,5-diethoxycarbonyl-1,4-dihydrocollidine; DSS, dextran sodiumsulfate; FMT, fecalmicrobiota transplant; GF, germ free; GMP, granulocyte–monocyte progenitor; IFN, interferon; Ig, immunoglobulin; IL, interleukin; IMQ, imiquimod; LTS, long-term survivor; MDSC, myeloid derived suppressor cells; mLNs,mesenteric lymph nodes; SLE, systemic lupus erythematosus; STS, short-termsurvivor; TAM, tumor-associated macrophage; Tfh, follicular T cell; TLR, Toll-Like receptor; TNF, tumor necrosis factor; Treg cell, T regulatory cell.