Research Article Psychophysiological impact of spinal cord injury: Depression, coping and heart rate variability

Varsha Singh ¹*, Shambhovi Mitra ^{1,2}*

¹Department of Humanities and Social Sciences, IIT-Delhi, Delhi, India, ²Indian Spinal Injuries Centre, Delhi, India

Objectives: The aim was to examine the association of post-injury heart rate variability (HRV), coping with injury (fighting-acceptance), and depression symptoms in individuals with spinal cord injury (SCI).

Study Design: Cross-sectional study.

Setting: Tertiary care spinal cord injury hospital.

Participants: Ninety-one individuals with SCI.

Methods: All participants were assessed for HRV using polar heart rate monitor RS 800 CX and completed the Patient Health Questionnaire and Spinal Cord Lesion Coping Strategy questionnaire. Participants were grouped based on level of injury (tetraplegic, high paraplegia, and low paraplegia) and injury duration (early vs. late). Odds ratio calculated the risk of depression using HRV and coping as factors for early and late duration groups. Spearman rho estimated the correlation between three ratios: HRV (LF vs. HF), depression (somatic vs. cognitive), and coping (fighting spirit vs. acceptance) for each level of injury group for early and late duration.

Results: Individuals with SCI with high HRV had lower odds of depression (OR = 0.14, CI = 0.03–0.78) than individuals with SCI with low HRV in the early duration group. Individuals with SCI with high acceptance had lower odds of depression (OR = 0.19, CI = 0.44–0.79) than individuals with SCI with low acceptance in the later duration group. In the later duration, HRV ratio negatively correlated with coping ratio in individuals with low paraplegia and depression ratio in individuals with high paraplegia.

Conclusion: The aftermath of spinal cord injury might reflect a close association between the physiological response of autonomic variability and psychological response of coping and depression with implications for the level of injury and post-injury duration.

Keywords: Coping, HRV, Spinal cord injury, Depression

Introduction

Spinal cord injury (SCI) is an injury to the nervous system and the spinal cord due to traumatic or non-traumatic reasons.¹ Along with a physical disability, impairment of cardiac autonomic regulation, individuals with SCI may experience psychological disorders like depression.^{1,2}

Coping is the ability to respond to stress.³ After the injury, considerable variability in the coping responses is observed in individuals with spinal cord injury. The primary ways of coping observed in spinal cord injury are acceptance of injury, maintaining a fighting spirit,

*These authors contributed equally to this work.

and relying on social support.³ Coping with the injury is an essential mediator of post-injury life adjustment such that mal-adjustment contributes to the risk of depression among individuals with SCI.^{4–7} Apart from reflecting initial reaction to the injury, coping also reflects an adjustment to the injury and the situational demands. Compared to the coping strategy of maintaining a fighting-sprit, acceptance-based coping predicts life satisfaction, quality of life, and emotional wellbeing.^{3,8} Therefore, acceptance-based coping in the early phase of injury lessens the chances of depression.⁷

A recent meta-analysis documented that the risk of depression is high in individuals with SCI; however, the prevalence of depression showed considerable variability across studies, and the source of variability in the

Correspondence to: Shambhovi Mitra, Department of Humanities and Social Sciences, IIT-Delhi, Delhi, India; Indian Spinal Injuries Centre, Delhi, India. Email: shams.physio@gmail.com

risk of developing depression is largely unknown.⁹ Apart from heterogeneity in coping response, variability in depression in individuals with SCI might be due to the complex nature of depression. Depression has somatic and cognitive manifestations, and the somatic manifestation is marked by physical symptoms such as sleeplessness, fatigue, and loss of appetite. In contrast, the cognitive manifestation is thoughts characterized by sadness, pessimism, and the prevalence of negative moods.^{10–12} It is possible that people with spinal cord injury cope differently with the injury and that the psychological impact of spinal cord injury in the form of depression differs between individuals with SCI.^{3,13}

The literature indicates that impaired autonomic regulation^{14,15} contributes to depression; it remains unknown whether autonomic regulation is associated with depression in spinal cord injury. Heart rate variability (HRV) is defined as variability between two successive heartbeats and is a measure of autonomic regulation^{16,17} associated with depression.^{14,15,18} Specifically, autonomic regulation denotes the action of the sympathetic and parasympathetic nervous system with sympathetic branch triggering response (e.g. fight/flight) and the parasympathetic branch inhibiting response (tend/befriend).^{16,17} As the injury to the spinal cord impairs the interaction between the autonomic and the central nervous system, failure of autonomic regulation might contribute to depression.^{19,20} In depression studies. HRV measures autonomic regulation in terms of time and frequency domains.¹⁶ The frequency domain of HRV has a low frequency (LF) and high frequency (HF) components. The HF represents the parasympathetic influence on the heart; the LF represents sympathetic and parasympathetic systems influence. The HRV (LF/HF) ratio indicates a balance of sympathetic - parasympathetic nervous systems.^{16,17} The frequency-domain components (LF, HF, and LF/HF ratio) are associated with depression.^{14,15} Meta-analysis indicates that depression is associated with an imbalanced ratio reflected as sympathetic system dominance over parasympathetic activity.^{14,19–21} Literature indicates that the link between the HRV measure is more prominent for the somatic, compared to the cognitive manifestation of depression, indicating that the somatic manifestation of depression might be associated with imbalanced sympathetic and parasympathetic activity.^{22,23} It is possible that psychological response of coping and autonomic regulation of HRV after the injury are related to the somatic and cognitive manifestations of depression in individuals with SCI.

Further, the level at which the spinal cord is injured and the post-injury duration (early vs. late) might be two additional factors that might influence depression and coping trajectory in individuals with SCI. The level of injury reflects the extent of autonomic impairment. Higher impairment is observed in tetraplegia and high paraplegia (injury till the T-6 vertebrae) where the sympathetic system is affected, and this autonomic imbalance¹ is reflected in the form of low HRV in the spinal cord injury.^{1,24,25} Since the frequency domain of HRV is considered a reliable indicator of autonomic function in spinal cord injury; low HRV might reflect impaired autonomic regulation.²⁶ The level of injury reflects the functional ability in individuals with SCI, which is an important correlate of depression in spinal cord injury^{27,28} Post-injury duration(early vs. late) influences depression and coping trajectory such that the highest depression risk lies in the first six to eight months post-injury.^{2,29} Acceptance-based coping is critical for post-injury adjustments, especially in the first year of injury.^{6,30} It is possible that injury level and post-injury duration are critical aspects of understanding psychophysiological response and depression risk in spinal cord injury.

The study aimed to find the association between HRV, coping, and depression in spinal cord injury across different injury levels and the duration of the injury. Further, we explored the post-injury balance between the somatic and cognitive components of autonomic systems (LF/HF ratio), coping (fighting/acceptance), and depression (somatic/cognitive).

Material and methods

Participants

All traumatic spinal cord injury participants admitted to the center from 2017 to 2018 were approached for the study. A total of 122 participants agreed to participate in the study. Ninety-seven participants participated in the current work according to the inclusion and exclusion criteria. Out of the ninety-seven recruited participants, ninety-one completed all the test procedures. Ninety-one traumatic spinal cord injury participants participated in the study. Inclusion criteria: 1) aged between 18 and 50 years 2) can understand English and Hindi 3) traumatic spinal cord injury from C-4 to T-12 with AIS level A, B, and C. All participants with psychiatric disorders or neurological conditions other than spinal cord injury and non-traumatic spinal cord injury were excluded as per medical records (Figure 1). All participants had given a written consent form after reading and understanding the

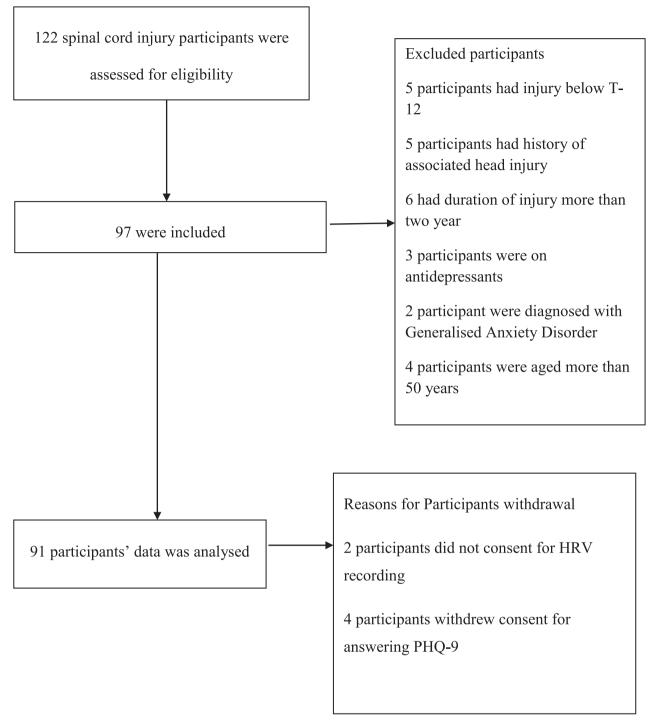


Figure 1 Consort flowchart of the participants.

patient information sheet encompassing information on the measures of the study.

Research ethics

The data was a part of the corresponding author's doctoral thesis work. The Institutional Ethical Committee approved the doctoral thesis (ISIC/IIRS/ RP/2015/081).

Study design and procedure

The current work has an observational study design. Polar heart rate monitor RS 800 CX recorded the HRV data for five minutes. We instructed the participants to do normal breathing and restrain from any activities during the recording procedure, thus forming the baseline resting recording.³¹ Following the recording, the participants filled the PHQ 9 and Spinal Cord Lesion Coping Strategies Questionnaire (SCL-CSQ). The signal processing was done by Kubios software standard version 2.1.

Study measures

Depression-PHQ-9

PHQ- $9^{32,33}$ questionnaire screened depression in spinal cord injury. Patient Health questionnaire – 9 is a self-administered nine-item depression questionnaire devised to screen depression. The questions are marked by how persistent the symptoms have been in the past two weeks: 0 – not at all, 1 – several days, 2 – more than half of the days, 3 – nearly every day. The total score of the questionnaire ranges from 0 to 27. The reported internal consistency of PHQ-9 in spinal cord injury was 0.87.³³ The internal consistency for the current study is 0.93.

Coping: spinal cord lesion coping strategies questionnaire

Spinal Cord Lesion Coping Strategies Questionnaire $(SCL-CSQ)^3$ has been used to study coping for the current study. The scale was initially developed in the Swedish language^{3,34} and then translated to English.³⁵ We used the English version of the scale with the original factor structure for the current work.^{3,30} The scale has the following factors: acceptance (four items), fighting spirit (five items), and social reliance (three items). The Cronbach alpha for the factors was acceptance (0.79), fighting spirit (0.72), and social reliance (.73).³ In the current study, Cronbach's alpha for the factors was acceptance (0.71), fighting spirit (0.79), and social reliance (.56). In the current study, we did not use the item "I refuse to let the lesion rule my life" in the current study, as being negatively worded would have been misinterpreted. After removing the item, the Cronbach alpha of the fighting spirit sub-scale was 0.82.

Heart rate variability

Polar RS 800 CX and Kubios Heart rate variability software (standard version 2.1) calculated the heart rate variability. As per norms of recording of HRV,¹⁶ we did a five-minute recording at a sampling rate of 1000 Hz. As used by others, the software used the Fast Fourier transformation method to calculate frequency domains for obtaining the LF, HF, and LF/HF ratio in the Kubios heart rate variability software.³⁶ The limits for these bands are 0.04–0.15 Hz (LF) and 0.15–0.40 Hz (HF).¹⁶ For the study, absolute values of LF and HF in millisecond²(ms²) were used, similar to previous work in spinal cord injury.^{37,38} Since the frequency domain has been associated with depression^{14,15}

and is highly reproducible in spinal cord injury,²⁶ we calculated HRV frequency domains,¹⁶ specifically, the frequency-domain components of LF, HF, and LF/ HF ratio.

Data analysis

We analyzed the data in the following sequence. We obtained participants' demographic details regarding age, sex, duration of injury, level of injury, marital status, and educational qualification for the study. Next, the Shapiro Wilk test tested the normality of HRV parameters, PHQ-9 score, and SCL-CSQ. All variables had $p \le 0.05$; thus, the variables did not have a normal distribution. The variables were changed into categories to understand the association of depression with HRV and coping. A cut-off score of PHQ-9 = 10 grouped the participants into probable depressed and non-depressed groups.³⁹ The odds ratio estimated the risk of having probable depression as a function of HRV and coping in the early and late duration group.

Next, we derived the depression and coping ratios to reflect the LF/HF ratio indicating the dominance of the sympathetic nervous system over the parasympathetic nervous system.²¹ Acceptance-based coping is associated with the parasympathetic nervous system; we derived a ratio of fighting spirit and acceptance-based coping, denoting a ratio of somatic and cognitive coping. Similarly, the somatic symptoms of depression are associated with low parasympathetic activation, and therefore a ratio of somatic and cognitive components of depression was taken. To the variables that contained a value of '0', we uniformly added a '1' to ensure a non-zero denominator in the ratio. Spearman rho tested the association between the balance of autonomic functioning, coping strategies, and somatic-cognitive symptoms of depression across each level of injury for each duration group (early vs. late). Data were analyzed by SPSS 21.

Results

Demographics of the participants

A total of ninety-one (N = 91) participants with traumatic spinal cord injury with a mean age of 26.82 (\pm 6.84) participated in the study. The mean duration of injury was 7.64 (\pm 3.46) months. Out of 91 participants, there were thirty-six (n = 36) individuals with tetraplegia, twenty-nine (n = 29) with high- paraplegia, and twenty-six (n = 26) with low -paraplegia. The participants were divided into two groups (Early and Late post-injury duration), using the median split method based on the injury duration. The early post-injury

Parametrs		Group 1 ^a (N= 44) N (%)	Mean (SD)	Group 2 ^b (N= 47) N (%)	Mean (SD)
Age(years)			25.77(5.51)		27.81(7.82)
Sex	Male	42 (95.45)		45(95.74)	
	Female	2 (4.55)		2 (4.26)	
Duration of injury(months)			4.68(1.68)		10.40(2.14)
Level of Injury	Tetraplegia	17(38.63)	. ,	19(40.43)	, , , , , , , , , , , , , , , , , , ,
	High paraplegia	16(36.63)		13(27.66)	
	Low paraplegia	11(24.74)		15(31.91)	
AIS level	A	22(50.00)		18 (38.30)	
	В	13(29.55)		16 (34.04)	
	С	9(20.45)		13 (27.66)	
Probable depressed		33(75)		30(63.80)	
Non- Depressed		11(25)		17(36.20)	
Marital status	Married	23 (57.27)		29 (61.20)	
	Unmarried	21(42.73)		18 (38.80)	
Education qualification	Below Matriculation	5(11.36)		2(4.26)	
	matriculation	9(20.45)		12(25.53)	
	High School	12(27.27)		11(23.40)	
	Graduate & above	18(40.90)		22(46.81)	

Table 1 Demographic details of the participants.

^aEarly duration group

^bLate duration group

group (Group1) represents participants with less than eight months of injury, and the late post-injury group (Group 2) had participants with eight or more than eight months of injury till 17 months of injury (Table 1).

Association of HRV, coping and depression

For analysis, participants were grouped into nondepressed and probable depression using the PHQ-9 cut-off score of 10.³⁹ HRV was dichotomized as high and low HRV, using the median split method HF-HRV as the variable.⁴⁰ The median split method dichotomized acceptance (high vs. low) and fighting spirit (high vs. low).⁴¹ We computed the Chi-square test and odds ratio with duration group (early vs. late) as layer variable.

Early duration group

Depression and HRV reached significant negative association with $\chi^2 = 5.94$ (1, N = 44), P = 0.02 and Phi = -0.36. The high HRV group had lower odds of having probable depression than the low HRV group (OR = 0.14, C.I. = 0.03–0.78). Since the OR <1 and the C.I. did not span 1, high HRV group has low risk for probable depression.⁴² Depression lacked significant association with acceptance with $\chi^2 = 3.13$ (1, N = 44), P = 0.07 and Phi = - 0.27. Similarly depression lacked significant association with fighting spirit with $\chi^2 = 1.12$ (1, N = 44), P = 0.29 and Phi = - 0.16 (Table 2).

Late duration group

Depression lacked significant association with HRV with $\chi^2 = 2.12$ (1, N = 47), P = 0.15 and Phi = -0.21. Depression had significant negative association with acceptance with $\chi^2 = 5.74$ (1, N = 47), P = 0.02and Phi = - 0.35. The individuals with SCI with high acceptance had lower odds of having probable depression than low acceptance (OR = 0.19, C.I. = 0.44-0.79). Since OR <1and C.I. did not span 1, the risk of having probable depression in individuals with SCI with high acceptance is low.⁴² The fighting spirit lacked association with probable depression with χ^2 = 1.13 (1, N = 47), P = 0.68 and Phi = - 0.06 (Table 2).

Psychophysiological variability: HRV, coping, and depression

Although post-injury duration groups were informative for understanding the association between HRV, coping, and depression, it is unclear how variability in autonomic functioning and coping and depression might be interrelated.

Results of the correlation between the HRV ratio (LF/HF), coping ratio (fighting spirit/ acceptance), and depression ratio (somatic/cognitive) indicated no significant correlation between the ratios in the tetraplegia group in early and in late injury duration. No significant correlations were observed in the high paraplegia group in the early duration group. A significant negative correlation was present in the late duration group in high paraplegia between the HRV ratio and depression ratio (r = -0.67, P < 0.05, 95% C.I. = -.90 to -.12).

	Probable depression	Non-depressed	χ ²	Р
Group1 ^a High HRV Low HRV	13 20	9 2	5.94	0.02*
High Acceptance Low Acceptance	17 16	9 2	3.13	0.07
High Fighting Spirit Low Fighting Spirit	18 15	8 3	1.13	0.29
Group 2 ^b High HRV Low HRV	12 18	10 7	2.12	0.15
High Acceptance Low Acceptance	14 16	14 3	5.74	0.02*
High Fighting Spirit Low Fighting Spirit	14 16	9 8	0.17	0.68

Table 2 Association of depression (probable depression & non-depressed) with HRV (high and Low), Acceptance (High & Low), and Fighting Spirit (High & Low).

^aGroup 1- Early duration

^bGroup 2- Late duration

*Level of significance $\alpha \le 0.05$

Similarly, no significant correlation was present in low paraplegia in the early duration, whereas, in the late injury duration, a significant negative correlation was present between HRV ratio and coping ratio (r = -0.69, P < 0.01, 95% C.I. = -.90 to -.21) (Table 3).

Discussion

As expected, results indicated that depression might be associated with low HRV in individuals with SCI.^{15,20,43} The results align with our earlier work that individuals with SCI with probable depression showed low HF-HRV than the non-depressed.⁴⁴ In the present work, we first explored the association of HRV, coping, and depression across groups where post-injury time duration varied from early to late. We observed that the odds of having probable depression were lower in individuals with SCI having high HRV compared to those having low HRV (OR = 0.14, C.I. = 0.03– 0.78), especially in the early phase of injury, indicating the risk of probable depression in the early period of adjustment might be lower in individuals with SCIs with high HRV. The results align with the literature^{15,43} and indicate that depression is associated with a low parasympathetic activity. In contrast, depression lacked association with HRV in the late injury phase ($\chi^2 = 2.12$, P = 0.15). This aligns with others who documented the risk of depression in individuals with SCI was higher in the first 6–8 months of spinal cord injury;^{2,29} results support the association of depression with low autonomic regulation being critical in the early phase post-injury adjustment.

The odds of having probable depression in individuals with SCI with acceptance as a predominant

Table 3 Correlation between depression, coping, and HRV ratio.

	Group 1 ^a			Group 2 ^b		
	Tetraplegia $N = 17$	High paraplegia $N = 16$	Low paraplegia $N = 11$	Tetraplegia $N = 19$	High paraplegia $N = 13$	Low paraplegia $N = 15$
			HRV ratio			HRV ratio
Depression ratio Coping ratio	0.15 0.05	-0.41 -0.08	0.06 0.49	0.04 0.23	-0.67* 0.43	0.18 -0.69**

^aearly post-injury duration group

^blate post-injury duration group

*Correlation is significant at the 0.05 level (2-tailed)

**Correlation is significant at the 0.01 level (2-tailed).

coping strategy was lower than those who showed low acceptance in the late injury phase (OR = 0.19, C.I. = 0.44-0.79), indicating that high acceptance as a coping strategy might be critical for lowering the risk of depression. In the individuals with SCI of early duration group, depression and acceptance as coping strategy lacked significance. The results align with others who have suggested that post-injury acceptance is a critical factor in the risk of depression in individuals with SCI.7,45 Acceptance-based coping response to the injury might explain the 'Disability Paradox' in spinal cord injury,⁴⁶ i.e. counter-intuitively, a considerable number of individuals with SCI tend to report good quality of life despite the injury. The association between fighting spirit as a coping strategy and depression lacked significance in the early phase $[\chi^2 =$ 1.12 (1, N = 44), P = 0.29] as well as in the later phase $[\chi^2 = 1.13 (1, N = 47), P = 0.68]$. Aligned with the literature, acceptance-based coping was an important coping strategy post-injury for depression, however contrary to some studies^{3,13} our results showed that fighting spirit-based coping might not be associated with depression.

The results help us understand the nature and extent of the psychophysiological balance in individuals with SCI, that is, the post-injury physiological balance of sympathetic – parasympathetic cardiac regulation (LF-HF ratio), the balance of psychological coping response (fighting and acceptance ratio), and the balance of somatic and cognitive components of depression (somatic - cognitive subscale ratio) might be interlinked. Sympathetic dominance (LF-HF ratio) was negatively associated with somatic-cognitive depression symptoms (r = -0.67, P ≤ 0.05) in the high paraplegic group, indicating that high sympathetic dominance might be linked with lower cognitive manifestation of depression. The level of injury might be critical for understanding the psychophysiological balance between HRV, coping, and depression because their correlation was not significant in the case of an individual with higher severity of injury (tetraplegia). These results can be explained by observations of others, specifically compared to other injury levels; autonomic activity is relatively less affected in individuals with high paraplegia than tetraplegic¹ thus, indicating residual autonomic function.⁴⁷ Similarly, sympathetic dominance was negatively associated with fighting-to-acceptance coping (r =-0.69, $P \le 0.01$) in low paraplegics, indicating that higher sympathetic dominance might be linked with low acceptance-based coping in low paraplegics. A possible reason may be that functional ability is better

in low paraplegics,⁴⁸ relatively intact functional ability might fuel and enable fighting spirit in low paraplegics. Preliminary evidence favors the hypothesized relationship between the three ratios that reflect a synergistic psychophysiological balance between the somatic and cognitive components of autonomic variability (LF-HF HRV ratio), coping (fighting-acceptance ratio), and depression (cognitive–affective ratio). To our knowledge, this is the first study that helps us understand the psychophysiological impact of spinal cord injury, incorporating post-injury autonomic regulation as a psychophysiological response and coping and depression as a psychological response to spinal cord injury.

Conclusion

Injury to the spinal cord causes irreversible life changes; if the early period is marked with maladjustment, it might increase the risk of depression. On the other hand, the results indicate that the 'Disability Paradox,' which is a good quality of life and absence or reduced mental health problems despite the disability, might be explained with our results where variability in coping was associated with variability in psychophysiological response to the injury. An autonomic measure such as HRV might be less affected by factors associated with the social desirability of survey reporting and stigmatization of depression. Although preliminary, the results also carry potential clinical relevance of using HRV at hospital discharge for monitoring the risk of depression in spinal cord injury for the critical period of early post-injury adjustments.

Limitations

The study is cross-sectional, and further follow-up research will help understand how coping influences the longitudinal risk of depression. Unequal (levels) and small sample size across the three injury levels were limitations for computing regression analysis and other statistical analysis. Although the literature supports PHQ-9 for measuring depression, it is a primary-level screener for depression, and DSM criteria diagnose depression. The spinal cord lesion coping strategy questionnaire is a condition-specific questionnaire, but cross-cultural validation in the Indian population is needed.

Data availability

As the data concerns the participants' mental health, the manuscript data is available on request from the corresponding author

Conflict of interest

No potential conflict of interest was reported by the author(s).

ORCID

Varsha Singh D http://orcid.org/0000-0002-0262-7785 Shambhovi Mitra D http://orcid.org/0000-0002-2359-699X

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