

## Understanding preferences of stroke survivors for feedback provision about functional movement behavior from wearable sensors: a mixed-methods study

Marika Demers ( $\blacksquare$  [marika.demers@umontreal.ca](mailto:marika.demers@umontreal.ca)) University of Montreal Amelia Cain University of California, Los Angeles Lauri Bishop University of California, Los Angeles Tanisha Gunby University of California, Los Angeles Justin B Rowe Flint Rehabilitation Devices (United States) Daniel Zondervan Flint Rehabilitation Devices (United States) Carolee J Winstein University of California, Los Angeles

#### Research Article

Keywords: Wearable electronic devices, stroke, rehabilitation, feedback, mobility, upper extremity, behavior change

Posted Date: April 13th, 2023

#### DOI: <https://doi.org/10.21203/rs.3.rs-2789807/v1>

License:  $\odot$  0 This work is licensed under a Creative Commons Attribution 4.0 International License. Read Full [License](https://creativecommons.org/licenses/by/4.0/)

## Abstract

### **Background**

In stroke rehabilitation, wearable technology can be used as an intervention modality by providing timely, meaningful feedback on motor performance. Stroke survivors' preferences may offer a unique perspective on what metrics are intuitive, actionable, and meaningful to change behavior. However, few studies have identified feedback preferences from stroke survivors. This project aims to determine stroke survivors' satisfaction with feedback from wearable sensors (both mobility and arm/hand use) and to identify preferences for feedback type and delivery schedule.

### **Methods**

A sample of 30 chronic stroke survivors wore a multi-sensor system in the natural environment over a 1 week monitoring period. The sensor system captured time in active movement of each arm, arm use ratio, step counts and stance time symmetry. Using the data from the monitoring period, participants were presented with a movement report with visual displays of quantitative and qualitative feedback. A survey and qualitative interview were used to assess ease of understanding, actionability and components of feedback that users found most meaningful to drive lasting behavior change.

### Results

Arm/hand use and mobility sensor-derived feedback metrics were easy to understand and actionable. The preferred metric to encourage arm/hand use was the hourly arm use bar plot, and similarly the preferred metric to encourage mobility was the hourly steps bar plot, which were each ranked as top choice by 40% of participants. Participants perceived that quantitative (i.e., step counts) and qualitative (i.e., stance time symmetry) mobility metrics provided complementary information. Three main themes emerged from the qualitative analysis: 1) Motivation for behavior change, 2) Real-time feedback based on individual goals, and 3) Value of experienced clinicians for prescription and accountability. Participants stressed the importance of having feedback tailored to their own personalized goals and receiving guidance from clinicians on strategies to progress and increase functional movement behavior in the unsupervised home and community setting.

### **Conclusion**

The resulting technology has the potential to integrate engineering and personalized rehabilitation to maximize participation in meaningful life activities outside clinical settings in a less structured environment—one where stroke survivors live their lives.

### 1. Background

The rapidly changing demographics in the United States have led to an increasing need for solutions to promote rehabilitation outside clinical settings [1]. Increasingly, wearable sensors have been recognized as a potential response to this growing need [2, 3], due to their capacity to capture functional movement behavior (both locomotion and arm and hand use) and provide timely, meaningful feedback to the user about motor performance. Understanding how stroke survivors perform in the home and community, within its unstructured and often unpredictable context, can be useful to guide personalized clinical interventions [4]. Wearable technology can be used as both a contextually relevant assessment method and simultaneously an intervention modality to facilitate behavior change through provision of direct feedback to the user about their activity [5, 6]. Mobile applications can often be combined with wearable sensors to offer reminders, encouragement, education, or messages to indicate how many more steps/minutes of activity are needed to achieve personal activity goals. The benefit of wearable technology (i.e., digital therapeutic) for stroke rehabilitation include their unobtrusive nature (i.e. data can be captured without hindering everyday activities) and most importantly the possibility to deliver therapy in the context of an individuals' everyday life [7, 8].

Feedback provided by wearable sensors can encourage health-promoting behaviors for stroke survivors, such as physical activity and the choice to engage functional behaviors (e.g., upper limb use, mobility activities) [2]. For stroke survivors, strong evidence supports the provision of extrinsic feedback to elicit motor learning processes and improve motor recovery [9]. There is growing evidence that extrinsic feedback can positively influence motivation, self-efficacy, and compliance [10, 11]. Feedback provided through wearable sensors may include objective measures of activity (e.g., step or movement count, sedentary time, time in active movement for each arm), graphs of daily activity, or reminders/encouragement towards activity goals (e.g., encouragement to close your activity ring) [2, 12]. Feedback frequency (e.g., daily, on-demand, when someone is inactive for a prolonged period), and modality (e.g., auditory, haptic, or visual) can vary from one system to another. However, while these attractive features of a wearable sensing system are all possible, it does not follow that they are all inevitable! On the contrary, a wearable sensing system must be carefully designed to foster these desirable features and attributes. Moreover, due to the many options of feedback types and modalities, it can be challenging to make informed design decisions on what may be best to drive behavior change for an individual stroke survivor with their unique demographic, psychosocial and clinical profile.

User centered design is critical for considering and addressing the clinical and personal needs of the target population [13]. To date, few studies have identified feedback preferences from stroke survivors. In a stakeholder survey of a wearable activity monitor for upper limb recovery, 35% of stroke survivors preferred feedback via a combination of vibration and sound whereas 29% preferred a visual message [14]. In a systematic review on wearable sensors for upper limb rehabilitation across different health conditions, visual display was the most common way to provide feedback. Most systems attempt (either intentionally or intuitively) to indicate progress towards an understood skill or goal, an approach known in the field of psychology as Knowledge of Results [12]. To promote skilled motor recovery and maximize community participation, wearable sensors need to provide actionable feedback about movement quantity and quality that users can understand and implement to motivate activity and change behavior. If the ultimate goal is to personalize the digital therapeutic, it is equally important to understand the

feedback preferences (type, delivery schedule, and meaningfulness) from the user; in this case, community-dwelling stroke survivors.

This project aims to: 1) determine stroke survivors' satisfaction with feedback from wearable sensors (both arm/hand use and mobility), 2) identify stroke survivors' preferences for feedback type and delivery schedule that has the potential to drive health-supporting behavior change.

## 2. Methods

# 2.1. Study design:

This study used a mixed-methods convergent design [15] to identify feedback preferences of stroke survivors. This study is part of a larger project aimed to develop a data-driven and clinically informed behavioral intervention strategy for a wearable sensor system that uses actionable quantitative and qualitative feedback to maximize physical function after stroke [16, 17]. We used a quantitative survey to identify ease of understanding and actionability of each feedback metric, whereas qualitative semistructured interviews allowed a deeper understanding of users' feedback preferences needed to drive lasting behavior changes. The collection of qualitative and quantitative data allowed a rich, comprehensive, and actionable dataset to inform future development of a user-centered digital therapeutic intervention.

# 2.2. Participants:

Community-dwelling stroke survivors aged > 18 years old, independent with home or community ambulation (with or without supervision) and fluent in English were included. We excluded participants with unilateral spatial neglect, severe cognitive or language impairments or other medical condition that may interfere with participation. Communication facilitation strategies were used to allow participants with mild-moderate aphasia to participate. The sample size was based on the concept of data saturation for the semi-structured interview data. It was estimated that a sample of 25–30 stroke survivors would be sufficient to reach saturation. Stroke survivors were recruited from the IRB-approved Registry for Healthy Aging Database (RARE). We also recruited a convenience sample of ten age-matched non-disabled neurotypical participants through contacts of the research team and email advertisement. All participants were fully informed of the procedures involved and provided informed consent. Study procedures were approved by the Institutional Review Board at the University of Southern California (HS 19–00984 and HS  $20 - 00015$ ).

## 2.3. Procedures:

Stroke survivors wore a wearable sensor system (MiGo, Flint Rehabilitation Devices, Irvine, CA) for a oneweek, whereas neurotypical participants wore the system for 24h. The MiGo system consists of two wristwatches and a sensor strapped around each ankle. While the MiGo has feedback capability, feedback from the wristwatch was intentionally disabled during the monitoring period. To facilitate donning/doffing, the wristband on the less affected side was replaced by an elastic strap. Prior to the

monitoring period, the research team collected demographic and clinical data and provided information on how to don/doff and charge the sensors. Participants were instructed to wear the sensors for 12h/day and continue their typical activities.

2.3.1: Data acquisition from wearable sensors. During the monitoring period, the watches logged upper limb active time and the ankle sensors logged both step counts and the average percent of the gait cycle spent in the stance phase. Logs were saved every quarter hour. For upper limb active time and step count, logs recorded the current daily total. For stance percent, the estimate was reset every 15 minutes and a new estimate was collected in every log period.

Participants were given a cellular gateway (Tenovi Health, Irvine, CA) that automatically retrieved logs from the MiGo devices every 3 hours and conveyed them to a secure, HIPAA compliant server accessible only to members of the research team.

After the monitoring period, participants returned the equipment and met with a member of the research team in-person or remotely using the Zoom Meetings platform (Zoom Video Communications, San Jose, CA). Before the meetings, the team used a custom-built client application (app) to request data from the server and process it to generate individualized movement reports. (Fig. 1). For the upper limb reports, the client would request all the available active time logs for a given participant on a given day. The difference from one log to the next was taken to determine the amount of active time in each 15-minute bin. For each bin we computed the ratios of the left and right arm active times to the total combined active time to obtain the normalized use ratio. For each day in the dataset, we resampled the data into 1 hour bins and generated a report showing the hourly active time, the total active time for the day, the normalized active time ratio for the day, and the hourly active time ratio. We resampled the data into oneday bins and generated a report for the entire week showing the total active time for each day, the average normalized use ratio for the week. In the weekly report, we also included a 2D histogram analogous to those presented by Lang et al. [18] representing all 15-minute bins from the week with the normalized use ratio on the x-axis and combined (left and right) active time on the y-axis. Except for the 2D histogram, all plots were designed to emphasize ease of interpretation by end users. Data from the left and right sensors were color coded as blue and orange respectively and whenever possible data from the left and right sensors were shown on corresponding sides of the plots. Because the plots were intended for interpretation by the end users, more complicated features like error bars that might normally increase depth of interpretation were omitted in favor of simplicity.

The client app generated similar reports for mobility. For each day, it created a report showing the hourly step count and the average stance percent estimates for both legs. It also generates a report for the week showing the daily step counts and the stance percent estimates for each day. Stance percent estimates from logs containing less than 25 steps in a 15-minute period were excluded from the reports.

In the follow-up visit, participants were oriented to each graph on the movement report and reviewed the report with a research therapist. A 24-hour movement report from an age- and gender-matched neurotypical participant was also presented as a comparison to assist participants in the interpretation of their individualized report and to begin a discussion about their goals. Once the participant understood the movement report, they were asked to complete the movement report survey. We then conducted a 30 minute semi-structured interview about feedback preferences with each participant.

2.3.2. Quantitative: Participants completed the Montreal Cognitive Assessment [19] and the National Institutes of Health Stroke Scale [20], as descriptive measures of cognitive function and stroke severity, respectively. Arm and hand motor impairments were characterized using the Fugl-Meyer Assessment upper extremity (FMA-UE; severe: 0–28, moderate: 29–42, mild: 43–66) [21]. Walking ability was categorized using the Functional Ambulation Category (FAC; 3: walk with supervision; 4: walk independently on ground level; 5: walk independently anywhere) [22] and the 10-meter walk test [23].

Stroke survivors answered a movement report survey, which consisted of 19 visual analogue scales on a 10-cm line representing their ranking. They graded their responses based on ease of understanding (from very difficult to very easy) and movement encouragement (strongly disagree to strongly agree) for all arm/hand and mobility metrics. Higher scores indicated greater satisfaction. Participants were also asked to rank what they would prefer to see in the future by numbering each metric from 1 (highest) to 4 (lowest). Scores were derived from the visual analogue scales by measuring with a ruler the distance in millimeters between the participant's mark and the 0.

2.3.3. *Qualitative*: Semi-structured interviews were conducted in a closed room and were audio-recorded to permit verification and clarification of content. Interview questions were based on an interview guide and structured to identify feedback preferences, usefulness of the movement report, and how feedback would be used in daily activities (see the Interview Guide in Additional file 1). The main ideas expressed during the interview were summarized at the end of each interview for member checking.

# 2.4. Data analysis:

2.4.1. Quantitative: Descriptive statistics were used to characterize our sample and report understanding and movement encouragement of each feedback metric. The means and standard deviations of the scores were determined from the visual analogue scales.

2.4.2. Qualitative: Interviews were transcribed verbatim. Two independent researchers (MD and AC) used inductive thematic content analysis to interpret meaning from the context of textual data [24]. The Braun & Clark framework [25] was followed and a detailed code book listing all the codes and definitions was developed to facilitate thematic content analysis. The initial coding was initiated after the first five participants were collected and performed until data saturation was reached. Data saturation was determined when no new codes emerged, and the ideas were repeated among participants. Repeated discussions occurred between the two coders to clarify interpretation of the data. A third reviewer (CJW) participated in the discussion related to refining the themes and data interpretation. Qualitative data analysis was performed using the NVivo software (QSR International Pty Ltd, Melbourne, Australia). Any disagreement was resolved by discussion and an audit trail was kept for the rationale behind every decision.

2.4.3. Integration of qualitative and quantitative data: Quantitative and qualitative data about feedback preferences were integrated once the data analysis was completed to identify metrics that are intuitive, actionable, and meaningful to drive positive behavior change. Qualitative data provided more nuances as to why one metric was preferred over another.

### 3. Results

A total of 30 chronic stroke survivors (mean 7.6 years post-stroke) took part in this study (see Table 1 for participant characteristics). The mean and standard deviation age was 58.6 ± 13.1 years. Participants had mild-severe motor impairments, with an average FMA-UE score of 41.2/66. Most participants were independent in ambulation (60% with an FAC of 5) and the average self-paced gait speed was 0.75 ± 0.40 m/s. Here we are reporting quantitative and qualitative results from surveys and interviews evaluating the feedback preferences of the participants.

<b>Characteristic</b>	Mean ± SD or %
Gender (%)	Men: 60.0
	Women: 36.7
	Non-binary: 3.3
Age (years)	$58.6 \pm 13.1$
Race $(\%)$	American Indian or Alaska Native: 0
	Asian: 16.7
	<b>Black: 16.7</b>
	Native Hawaiian or Pacific Islander: 10
	White or Caucasian: 36.7
	More than one Race: 16.7
	Not reported/unknown: 3.3
Ethnicity (%)	Hispanic: 30.0
	Non-Hispanic: 70.0
Time since stroke (years)	$7.6 \pm 4.5$ (range: 1.0-21.2)
Hemisphere affected by the stroke (%)	Left: 60.0
	<b>Right: 40.0</b>
Stroke classification (%)	Hemorrhagic: 23.3
	Ischemic: 70.0
	Not reported: 6.7
Limb concordance (%)	63.3
National Institutes of Health Stroke Scale (/42)	$2.8 \pm 2.0$
Montreal Cognitive Assessment (/30)	$24.7 \pm 3.4$
Fugl-Meyer Assessment Upper Extremity (/66)	$41.2 \pm 18.0$ (Range: 18-66)
Functional Ambulation Category (%)	3:13.3
	4:26.7
	5:60.0

Table 1 – Participant characteristics



## 3.1. Quantitative

All four arm/hand metrics were easy to understand (score range: 8.0-8.7/10) and three encouraged participants to move their arm/hand more (7.5–7.9). However, the 'Use Ratios 2D histograms' were less actionable (6.8 ± 2.4). For mobility, all four metrics were easy to understand (8.6–8.9) and actionable (7.8–8.3). When participants were asked to rank preferences for arm/hand movement encouragement, the 'Hourly Arm Use Bar Plot' (Fig. 1A and B) was ranked the highest by 40.0% of participants followed by the 'Daily Arm Use Bar Plot' (Fig. 1, A and B; 26.7%) and the 'Arm Use Ratio' (Fig. 1A and B; 23.3%). For Mobility, 'Hourly Steps Bar Plot' (Fig. 1C and D) was preferred by 40.0% of participants, followed by 'Daily Steps Bar Plot' (36.7%) and 'Stance % This Week' (13.3%; see Fig. 3 for ranking preferences).

# 3.2. Qualitative

Three main themes emerged from the qualitative data analysis: 1) Motivation for behavior change, 2) Need for real-time feedback based on individual goals and 3) Value of guidance from experienced clinicians for prescription and accountability. In the next section, each emergent theme is summarized along with exemplar quotes from each individual participants (i.e., S#).

3.2.1 Theme 1: Motivation for behavior change. This theme encompassed the perceived benefits of the wearable technology to encourage healthier movement behavior. The wearable technology was perceived as motivating and as a complement to rehabilitation care. Participants reported that the feedback metrics were easy to understand after they were oriented to each metric, with mobility metrics being easier to understand than the arm/hand metrics.

S44: 'The report is very clear and easy to understand.'

S17: '[The mobility graphs] were more understanding than the arm graphs.'

While the feedback capability was deactivated during the data collection period, motivation for behavior change and the prospect to track one's progress was identified as a potential benefit of the wearable technology. Participants were encouraged to see their motor performance on the movement report. For some, it validated their own performance assessment, while others were surprised about their daily performance and indicated that it provided hope for recovery.

S03: 'Knowing how much movement you do during the day and the week is very helpful and kind of gets you to want to do a little bit more. [The sensors] are a tool to find out how you're doing. That's motivation.' S12: 'I liked the graphs. They were very encouraging because I didn't know I was moving that much.'

S09: 'I didn't even know [my paretic arm] was moving that much. I'm very happy.'

Participants with severe motor impairments perceived that they were already using their paretic upper limb at their maximum capacity. They indicated that receiving feedback would have limited usefulness, as they did not have enough function of their paretic limb to use it more. They did not intuit a way that they could act on the provided feedback. Improving capacity or problem-solving with participants on how the more affected arm can be incorporated in daily activities may be more useful for participants with severe motor impairments.

S18: 'I don't think any report about using my arm would do anything, because I use my arm as much as I can.'

3.2.2 Theme 2 - Real-time feedback based on individual goals: The lack of real-time feedback delivery was identified by many participants as a limitation of our study design, as participants preferred to get something out of the wearable technology. Participants discussed the relevance to receive personalized feedback when needed (i.e., self-controlled feedback). For some participants, a daily summary sent by email was judged sufficient, while most preferred to receive feedback in real-time, directly on the watch or a companion app.

S22: 'I liked what I saw. I would just want it sooner, so at the end of the day, you could see: "Oh, I haven't moved".'

S37: 'An app would be really good, because I usually always have my phone with me. I could kind of look and say 'Oh, you didn't move too much today. Maybe, you need to move a little bit more'.'

Participants valued personalized goals. Most expressed wanting to receive feedback related to the accomplishment of their own goals and embed new goals as they progress.

S06: 'For me, it would be more like, convincing me more. Like you're giving me a certain [number] of steps that I will do. It challenges me.'

S05: 'I set myself goals that I do 12,000 steps a day, and I have to reach that goal.'

3.2.3 Theme 3 - Value of experienced clinicians for prescription and accountability: Despite the potential of wearable technology to provide useful feedback directly to its users, participants reported that they would value meeting with experienced clinicians to help them set goals, to guide their progression and to help them problem solve ways to improve their upper extremity use habits. Many stroke survivors also reported that having goals and frequent meetings with therapists to monitor behavior was ideal to promote behavior change, foster accountability and offer strategies to facilitate movement during daily activities.

S12: '[Wearable technology] would be huge along with what I received in therapy. This would be huge. That would be a powerful tool in rehabilitation.'

S17: 'I have to ask [therapists] questions. It'd be nice if I can meet with somebody.'

S31: 'The therapist would actually see how you're walking and stuff and give you tips.'

## 3.3. Quantitative and qualitative

While stroke survivors valued feedback on motor performance (i.e., time in active movement, arm use ratio, step counts or stance time symmetry), a single metric was not consistently identified in the surveys or interviews as being best for movement encouragement. For mobility, both quantitative and qualitative metrics were seen as useful and provided complementary information about gait. Visual feedback was appreciated by participants and a few reported that it could be enhanced by providing haptic or auditory feedback when a goal was met or to remind the participant to move. However, there was no consensus on whether people preferred positive (e.g., you're doing great!) or negative (e.g., you haven't moved in a while) feedback.

## 4. Discussion

This study aimed to identify stroke survivors' preferences for feedback metrics. Multiple sensor-derived metrics were identified as easy to understand and actionable to encourage arm/hand movements and mobility. Participants perceived that real-time feedback and daily summaries may be useful to induce behavior change. Stroke survivors stressed the importance to provide feedback in the context of individual goals to motivate engagement. Meeting with experienced clinicians in conjunction with wearable technology were valued to foster accountability and offer strategies to facilitate movement during daily activities. To our knowledge, this is the first study to incorporate both qualitative and quantitative methods to inform feedback preferences design of wearable technology.

Individual preferences are important to consider in the design of an intervention [13]. Our results demonstrate that many different visual plots may be intuitive and actionable. Since data from wearable technology can be used by both stroke survivors and clinicians, the balance between ease of understanding for stroke survivors and relevant information to guide individualized treatment is delicate. A companion app for stroke survivors and clinicians could be a viable solution to allow users to access their preferred visual plot with advanced options for clinicians. Apps offer many possibilities to encourage health-promoting behavior. App features and characteristics may include activity data visualization, self-monitoring, personalized goal setting, general or tailored education, reminders, and social support via social media or a community forum [26]. Simple metrics such as percentage of paretic arm use, step counts or stance time symmetry, identified as being actionable to stroke survivors, could be displayed directly on an activity watch and accessed when needed.

Consistent with the findings from Wang et al. [12], visual displays were valuable and could be combined with auditory or haptic feedback to draw attention to specific behavior (i.e., long periods of inactivity or

goal achievement). Self-controlled feedback (i.e., ability to access information when desired) was preferred by our participants. In the motor learning literature, evidence supports to use of self-controlled feedback to enhance motor learning [11]. Self-controlled feedback may encourage intrinsic motivation, support for autonomy and competence, as the individual takes charge of their own learning [11, 27, 28]. Our results also highlight the need to offer feedback in the context of individual goals. Goal setting is an integral part of stroke rehabilitation [29, 30]. Specifically, individualized goal setting may enhance motivation, adherence and autonomy, positively influence stroke survivors' perceptions of participation, and improve recovery and performance [31]. Consistent with the growing efforts for precision medicine in healthcare [32–35], personalized approaches to stroke recovery are needed to account for the heterogeneity of impairments and disability after stroke.

While wearable technology enables stroke survivors to track their progress, feedback alone was not judged as sufficient to drive behavior change. Interventions should be carefully designed due to the complexity of behavior change. Simple feedback from wearable sensors alone (e.g., step counts) is not sufficient to change physical activity behavior of community-dwelling stroke survivors [37–39]. This emphasizes the importance to develop interventions using wearable technology that are grounded in strong theoretical foundations. Wearable technology should be integrated in clinical care to augment, not replace clinicians. Clinicians play a crucial role to offer personalized education and work collaboratively with stroke survivors to identify strategies to encourage movement performance and prescribe exercises. Patient-therapist interaction and therapeutic alliance were shown to increase treatment adherence and satisfaction, and is directly linked to positive rehabilitation outcomes [36].

Finally, wearable technology may not be for everyone. From our results, stroke survivors with severe arm motor impairments may not benefit to the same extent from real-time feedback about paretic arm use. Previous work indicates that there might be a minimal threshold in motor capacity for stroke survivors to incorporate their paretic arm in daily activities [40–43]. For example, Chen et al. [42] demonstrated that a score of approximately 50 on the FMA-UE may be a significant cut-off point for engaging unimanual paretic movements in the unsupervised home environment. The predominant UE spontaneous movements that are seen in patients with FMA-UE lower than 50 are bimanual tasks for which the paretic limb acts as an assist to the less-impaired side [42]. The characteristics of stroke survivors most likely to benefit from interventions using wearable technology remain to be empirically tested.

# 4.1. Limitations

A limitation to this study is that the participants were recruited from a database of survivors of stroke who volunteered to participate in research, limiting the generalizability of the results to the general stroke populations. These individuals may be more motivated to use the MiGo sensors and may respond more favorably to them. Therefore, we cannot exclude the possibility of a social desirability bias. We also noticed a discrepancy between the visual analogue scale and the ranking (i.e., some participants gave high scores on a given plot on the visual analogue scale but ranked them low in the ranking preferences). This may show a lack of understanding of the movement report survey. Despite these limitations, the

qualitative methods that are used during the study provided a more in-depth understanding of the participants' preferences of the metrics on the movement report. Moreover, we limited the number of visual plots we presented to participants to avoid exhausting them or biasing their interpretation of other plots. This limited our ability to test more complex plots that could be useful to clinicians. It should also be noted that the feedback preferences identified by stroke survivors may not directly translate to improved motor performance in daily activities, as we did not provide real-time feedback to our participants. In future studies, stance percent should be averaged over longer time intervals and samples from short bouts of steps should be excluded from the averages.

### 5. Conclusions

Using the Movement report as a starting place, we identified that stroke survivors found sensor-derived metrics intuitive and actionable to incorporate their paretic arm/hand into daily activities and increase walking behavior (amount and symmetry). Our findings underscore the importance of using wearable sensors along with personalized goals to motivate engagement outside the clinical environment. Wearable technology could be introduced earlier in the rehabilitation process as a complement to clinical care, as therapist-patient interaction is crucial to foster accountability and motivation from the beginning. This work will establish the groundwork for the development of a robust personalized intervention strategy that leverages technology in the unsupervised setting to foster lasting behavior change in stroke survivors. Future work should assess whether feedback provided directly on the activity watch, by email or a companion app is effective for maximizing functional movement behavior.

## Abbreviations

App application FAC Functional Ambulation Category FMA-UE Fugl-Meyer Assessment Upper Extremity

### **Declarations**

### Ethics approval and consent to participate:

All participants were fully informed of the procedures involved and provided informed consent. The study complies with standards of the Declaration of Helsinki. Study procedures were approved by the Institutional Review Board at the University of Southern California (HS 19-00984 and HS 20-00015).

### Consent for publication:

Not applicable

#### Availability of data and materials:

The datasets used and/or analysed during the current study are available from the corresponding author on reasonable request.

#### Competing interests:

CJW is a member of the data safety and monitoring board for Enspire DBS Therapy, Inc (DBS is Deep Brain Stimulation) and receives an honorarium for her services. She is a member of the external advisory board for MicroTransponder, Inc. and receives payment for her consulting. She is Editor of the 6th edition of Motor Control and Learning, published by Human Kinetics, Inc and receives royalty payments. She is an Editor for the 2nd Edition of Stroke Recovery and Rehabilitation, published by DemosMedical Publishers and receives royalty payments.

JBR is a full-time employee of Flint Rehabilitation Devices, LLC.

DZ is a full-time employee and co-owner of Flint Rehabilitation Devices, LLC.

#### Funding:

This study was supported by the Southern California Clinical and Translational Science Institute (SC CTSI) Voucher program and the NIH R41 Small Business Technology Transfer funds (# HD104296) to JBR and CJW. MD is supported by the Fonds de la Recherche en Santé du Québec and the Division of Biokinesiology and Physical Therapy, University of Southern California. TG is supported by the DIA JumpStart program, University of Southern California. The support for the REDCap platform was provided by the National Center for Advancing Translational Science (NCATS) of the NIH (#UL1TR001855 and #UL1TR000130).

### Authors' contributions:

MD: conception and design, collection of data, analysis and interpretation of data, manuscript preparation-writing; AC: collection of data, analysis and interpretation of data, manuscript preparationreviewing and editing; LB: conception and design, collection of data, manuscript preparation-reviewing and editing; TG: analysis and interpretation of data, manuscript-writing; JBR: conception and design, technology development, technical support, analysis and interpretation of data, manuscript preparationreviewing and editing; DZ: conception and design, technology development, analysis and interpretation of data, manuscript preparation-reviewing and editing; CJW: conception and design, supervision, analysis and interpretation of data, manuscript preparation-reviewing and editing. All authors read and approved the final manuscript.

### Acknowledgements:

We want to acknowledge the contributions of Courtney Koleda and Justine Buenaventura for their assistance with verbatim transcription and data verification.

Understanding preferences of stroke survivors for feedback provision about functional movement behavior from wearable sensors: a mixed-methods study

## **References**

- 1. Rodgers MM, Alon G, Pai VM, Conroy RS. Wearable technologies for active living and rehabilitation: Current research challenges and future opportunities. Journal of Rehabilitation and Assistive Technologies Engineering. 2019 Jan 1;6:2055668319839607. DOI: 10.1177/2055668319839607
- 2. Lynch EA, Jones TM, Simpson DB, Fini NA, Kuys SS, Borschmann K et al. Activity monitors for increasing physical activity in adult stroke survivors (Review). Cochrane Database of Systematic Reviews. 2018;(7). DOI: 10.1002/14651858.CD012543.pub2.
- 3. Torriani-Pasin C, Demers M, Polese JC, Bishop L, Wade E, Hempel S et al. mHealth technologies used to capture walking and arm use behavior in adult stroke survivors: a scoping review beyond measurement properties.Disability and Rehabilitation. 2022 Sep25;44(20):6094–6106. DOI: 10.1080/09638288.2021.1953623
- 4. Winstein C, Varghese R. Been there, done that, so what's next for arm and hand rehabilitation in stroke? NeuroRehabilitation. 2018;43(1):3–18. DOI:10.3233/NRE-172412
- 5. Larsen RT, Wagner V, Korfitsen CB, Keller C, Juhl CB, Langberg H et al. Effectiveness of physical activity monitors in adults: systematic review and meta-analysis.BMJ. 2022 Jan26;e068047. DOI: 10.1136/bmj-2021-068047
- 6. Walsh JC, Groarke JM. Integrating Behavioral Science with Mobile (mHealth) Technology to Optimize Health Behavior Change Interventions. European Psychologist. 2019. DOI: oi.org/10.1027/1016- 9040/a000351
- 7. Demers M, Winstein CJ. A perspective on the use of ecological momentary assessment and intervention to promote stroke recovery and rehabilitation.Topics in Stroke Rehabilitation. 2021 Nov17;28(8):594–605. DOI: 10.1080/10749357.2020.1856557
- 8. Moon NW, Baker PM, Goughnour K. Designing wearable technologies for users with disabilities: Accessibility, usability, and connectivity factors. J Rehabilitation Assist Technol Eng. 2019;6:205566831986213. 10.1177/2055668319862137.
- 9. Subramanian SK, Massie CL, Malcolm MP, Levin MF. Does provision of extrinsic feedback result in improved motor learning in the upper limb poststroke? a systematic review of the evidence. Neurorehabilit Neural Repair. 2010;24(2):113–24. 10.1177/1545968309349941.
- 10. Annesi JJ. Effects of computer feedback on adherence to exercise. Perceptual and Motor Skills. 1998 Oct 1;87(2):723–30.
- 11. van Vliet PM, Wulf G. Extrinsic feedback for motor learning after stroke: What is the evidence? Disabil Rehabil. 2006;28(13–14):831–40. 10.1080/09638280500534937.
- 12. Wang Q, Markopoulos P, Yu B, Chen W, Timmermans A. Interactive wearable systems for upper body rehabilitation: A systematic review. J Neuroeng Rehabil. 2017. Dec;14(1):1–21. 10.1186/s12984-017-

0229-y.

- 13. van Ommeren AL, Smulders LC, Prange-Lasonder GB, Buurke JH, Veltink PH, Rietman JS. Assistive technology for the upper extremities after stroke: systematic review of users' needs. JMIR Rehabilitation and Assistive Technologies. 2018;5(2):e10510. 10.2196/10510.
- 14. Lee SI, Adans-Dester CP, Grimaldi M, Dowling AV, Horak PC, Black-Schaffer RM, et al. Enabling stroke rehabilitation in home and community settings: A wearable sensor-based approach for upper-limb motor training. IEEE J Translational Eng Health Med. 2018;6:1–11. 10.1109/JTEHM.2018.2829208.
- 15. Creswell JW, Plano-Clark VL. Designing and Conducting Mixed Methods Research. 3rd ed. Thousand Oaks, CA: Sage Publications; 2018.
- 16. Bishop L, Demers M, Rowe JB, Zondervan D, Winstein CJ. A novel, wearable IMU for stroke survivors: Evaluation of accuracy, acceptability, and usability. (in review).
- 17. Demers M, Bishop L, Cain A, Saba J, Rowe J, Zondervan D et al. Wearable technology to capture arm use of stroke survivors in home and community settings: feasibility and insights on motor performance [Internet]. medRxiv; 2023 [cited 2023 Jan 30]. p. 2023.01.25.23284790. Available from: https://www.medrxiv.org/content/10.1101/2023.01.25.23284790v1
- 18. Lang CE, Waddell KJ, Klaesner JW, Bland MD. A method for quantifying upper limb performance in daily life using accelerometers. JoVE (Journal of Visualized Experiments). 2017 Apr;21(122):e55673. 10.3791/55673.
- 19. Nasreddine ZS, Phillips NA, Bédirian V, Charbonneau S, Whitehead V, Collin I, et al. The Montreal Cognitive Assessment, MoCA: a brief screening tool for mild cognitive impairment. J Am Geriatr Soc. 2005;53(4):695–9. 10.1111/j.1532-5415.2005.53221.x.
- 20. Brott T, Adams HP, Olinger CP, Marler JR, Barsan WG, Biller J, et al. Measurements of acute cerebral infarction: a clinical examination scale. Stroke. 1989 Jul;20(7):864–70.
- 21. Woytowicz EJ, Rietschel JC, Goodman RN, Conroy SS, Sorkin JD, Whitall J, et al. Determining levels of upper extremity movement impairment by applying a cluster analysis to the Fugl-Meyer Assessment of the Upper Extremity in chronic stroke. Arch Phys Med Rehabil. 2017;98(3):456–62. 10.1016/j.apmr.2016.06.023.
- 22. Mehrholz J, Wagner K, Rutte K, Meißner D, Pohl M. Predictive validity and responsiveness of the functional ambulation category in hemiparetic patients after stroke. Archives of Physical Medicine and Rehabilitation. 2007 Oct 1;88(10):1314–1319. DOI: 10.1016/j.apmr.2007.06.764
- 23. Flansbjer UB, Holmbäck AM, Downham D, Patten C, Lexell J. Reliability of gait performance tests in men and women with hemiparesis after stroke. Journal of Rehabilitation Medicine. 2005 Mar 1;37(2):75–82. DOI: 10.1080/16501970410017215
- 24. Hsieh HF, Shannon SE. Three approaches to qualitative content analysis. Qual Health Res. 2005 Nov;15(9):1277–88.
- 25. Braun V, Clarke V. Using thematic analysis in psychology. Qualitative Res Psychol. 2006 Jan;3(2):77– 101. 10.1191/1478088706qp063oa.
- 26. Mendiola MF, Kalnicki M, Lindenauer S. Valuable Features in Mobile Health Apps for Patients and Consumers: Content Analysis of Apps and User Ratings. JMIR Mhealth Uhealth. 2015 May 13;3(2):e40. DOI: 10.2196/mhealth.4283
- 27. Grand KF, Bruzi AT, Dyke FB, Godwin MM, Leiker AM, Thompson AG et al. Why self-controlled feedback enhances motor learning: Answers from electroencephalography and indices of motivation. Human Movement Science. 2015 Oct 1;43:23–32. DOI: 10.1016/j.humov.2015.06.013
- 28. Sanli EA, Patterson JT, Bray SR, Lee T. Understanding self-controlled motor learning protocols through the self-determination theory. Front Psychol. 2013;3(611):1–17. 10.3389/fpsyg.2012.00611.
- 29. Winstein CJ, Stein J, Arena R, Bates B, Cherney LR, Cramer SC, et al. Guidelines for Adult Stroke Rehabilitation and Recovery: A Guideline for Healthcare Professionals from the American Heart Association/American Stroke Association. Stroke. 2016;47:e98–e169. 10.1161/STR.0000000000000098.
- 30. Teasell R, Salbach NM, Foley N, Mountain A, Cameron JI, de Jong A et al. Canadian stroke best practice recommendations: rehabilitation, recovery, and community participation following stroke. part one: rehabilitation and recovery following stroke; 6th Edition update 2019. International Journal of Stroke. 2020 Oct 1;15(7):763–788. DOI: 10.1177/1747493019897843
- 31. Sugavanam T, Mead G, Bulley C, Donaghy M, van Wijck F. The effects and experiences of goal setting in stroke rehabilitation - a systematic review. Disability and Rehabilitation. 2013 Feb 1;35(3):177–190. DOI: 10.3109/09638288.2012.690501
- 32. Bonkhoff AK, Grefkes C. Precision medicine in stroke: towards personalized outcome predictions using artificial intelligence. Brain. 2022 Feb 1;145(2):457–475. DOI: 10.1093/brain/awab439
- 33. Collins FS, Varmus H. A new initiative on precision medicine.New England Journal of Medicine. 2015 Feb26;372(9):793–795. DOI: 10.1056/NEJMp1500523
- 34. National Research Council. Toward Precision Medicine: Building a Knowledge Network for Biomedical Research and a New Taxonomy of Disease. National Academies Press; 2011. p. 142.
- 35. French MA, Roemmich RT, Daley K, Beier M, Penttinen S, Raghavan P et al. Precision rehabilitation: optimizing function, adding value to health care. Archives of Physical Medicine and Rehabilitation. 2022 Jun 1;103(6):1233–1239. DOI: 10.1016/j.apmr.2022.01.154
- 36. Hall AM, Ferreira PH, Maher CG, Latimer J, Ferreira ML. The influence of the therapist-patient relationship on treatment outcome in physical rehabilitation: a systematic review. Physical therapy. 2010 Aug 1;90(8): 1099–1110. DOI: 10.2522/ptj.20090245
- 37. Danks KA, Roos MA, McCoy D, Reisman DS. A step activity monitoring program improves real world walking activity post stroke. Disabil Rehabil. 2014;36(26):2233–6. 10.3109/09638288.2014.903303.
- 38. Lynch E, Jones T, Simpson D, Fini N, Kuys S, Borschmann K, et al. Do physical activity monitors increase physical activity in adults with stroke? A cochrane systematic review. Int J Stroke. 2018;13(1):9–10.
- 39. Powell L, Parker J, St-James MM, Mawson S. The effectiveness of lower-limb wearable technology for improving activity and participation in adult stroke survivors: A systematic review.Journal of

Medical Internet Research. 2016 Oct7;18(10):e259. DOI:10.2196/jmir.5891

- 40. Han CE, Kim S, Chen S, Lai YH, Lee JY, Osu R, et al. Quantifying arm nonuse in individuals poststroke. Neurorehabilit Neural Repair. 2013;27(5):439–47. 10.1177/1545968312471904.
- 41. Schweighofer N, Han CE, Wolf SL, Arbib MA, Winstein CJ. A functional threshold for long-term use of hand and arm function can be determined: predictions from a computational model and supporting data from the extremity constraint-induced therapy evaluation (EXCITE) Trial. Phys Ther. 2009;89(12):1327–36. 10.2522/ptj.20080402.
- 42. Chen YA, Lewthwaite R, Schweighofer N, Monterosso JR, Fisher BE, Winstein C. Essential role of social context and self-efficacy in daily paretic arm/hand use after stroke: an ecological momentary assessment study with accelerometry. Archives of Physical Medicine and Rehabilitation. 2023 Mar 1;104(3):390–402. DOI: 10.1016/j.apmr.2022.09.003
- 43. Schwerz de Lucena D, Rowe J, Chan V, Reinkensmeyer DJ. Magnetically counting hand movements: validation of a calibration-free algorithm and application to testing the threshold hypothesis of realworld hand use after stroke. Sensors (Basel). 2021 Feb 22;21(4):1502. DOI: 10.3390/s21041502.

### **Figures**



#### Daily upper limb Movement Report

### Figure 1

Example of daily Movement reports: Example of two daily movement reports generated after a one-week monitoring period for two representative participants with <sup>a</sup> right hemispheric stroke: one with severe

motor impairments (A and C; Fugl-Meyer Assessment score of 28/66, Functional Ambulation Category of 3 – supervision) and one with mild motor impairments (B and D; Fugl-Meyer Assessment score of 64/66, Functional Ambulation Category of 5 – independent). Left (paretic) arm/leg movements are in blue (dark), and Right (less paretic) arm/leg movements are in orange (light). <sup>A</sup> and B: The top graphs represent the daily upper limb, movement report with an hourly arm use bar graph (top), <sup>a</sup> dial plot (bottom left), <sup>a</sup> daily active time bar graph (bottom center) and an arm use ratio horizontal bar plot (bottom right). C and D: The bottom graphs represent the daily mobility Movement report with the hourly step counts on the left and the time spent in stance on the right.



#### Figure 2

Rating of ease of understanding and movement encouragement of each feedback metric: The average scores were rated out of 10 for ease of understanding and movement encouragement from the visual analogue scales on the Movement report survey. Ease of understanding scores are in dark blue, and movement encouragement scores are in light blue for each (A) arm/hand and (B) mobility metric.



### Figure 3

Ranking preferences for each feedback metric: Ranking preferences (1 is the most preferred and 4 is the least preferred) for ease of understanding (A and B) and movement encouragement (C and D) for arm/hand (A and C) and mobility (B and D) metrics.

### Supplementary Files

This is a list of supplementary files associated with this preprint. Click to download.

[Additionalfile1.pdf](https://assets.researchsquare.com/files/rs-2789807/v1/cb6c08d4d9a5fc2e926cbad9.pdf)