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## Tolcapone Treatment for Cognitive and Behavioral Symptoms in Behavioral Variant Frontotemporal Dementia: A Placebo-Controlled Crossover Study

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### Abstract

**Background:** There are currently no disease-targeted treatments for cognitive or behavioral symptoms in patients with behavioral variant frontotemporal dementia (bvFTD).

**Objective:** To determine the effect of tolcapone, a specific inhibitor of Catechol-O-Methyltransferase (COMT), in patients with bvFTD.

**Methods:** In this randomized, double-blind, placebo-controlled, cross-over study at two study sites, we examined the effect of tolcapone on 28 adult outpatients with bvFTD. The primary

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SUPPLEMENTARY MATERIAL

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outcome was reaction time on the N-back cognitive test. As an imaging outcome, we examined differences in the resting blood oxygen level dependent (BOLD) functional magnetic resonance imaging (fMRI) signal intensity between subjects on placebo versus tolcapone performing the N-back test. Secondary outcomes included measures of cognitive performance and behavioral disturbance using the Repeatable Battery for the Assessment of Neuropsychological Status (RBANS), Neuropsychiatric Inventory-Questionnaire (NPI-Q), and Clinical Global Impressions scale (CGI).

**Results:** Tolcapone was well tolerated and no patients dropped out. The most frequent treatment-related adverse event during tolcapone treatment was elevated liver enzymes (21%). There were no significant differences between tolcapone treatment and placebo in the primary or imaging outcomes. However, there were significant differences between RBANS total scores ( $p < 0.01$ ), NPI-Q total scores ( $p = 0.04$ ), and CGI total scores ( $p = 0.035$ ) between treatment conditions which were driven by differences between baseline and tolcapone conditions. Further, there was a trend toward significance between tolcapone and placebo on the CGI ( $p = 0.078$ ).

**Conclusions:** Further study of COMT inhibition and related approaches with longer duration of treatment and larger sample sizes in frontotemporal lobar degeneration-spectrum disorders may be warranted.

### Keywords

COMT; dopamine; frontotemporal dementia; tolcapone; treatment

## INTRODUCTION

Frontotemporal lobar degeneration (FTLD) is the third most common type of neurodegenerative dementia [1]. The most prevalent clinical syndrome associated with FTLD is behavioral-variant frontotemporal dementia (bvFTD), characterized by early changes in personality, emotion, and social cognition [2]. Many of the most problematic symptoms of bvFTD, including apathy and cognitive dysfunction, respond poorly to current medication treatment [3-5].

Tolcapone is a centrally acting, reversible inhibitor of Catechol-O-Methyltransferase (COMT) that has been shown to improve neurocognitive task performance [6]. Prior studies have demonstrated that in healthy subjects, after only 7 days of tolcapone treatment there are measurable differences in executive function and verbal episodic memory [7]. In particular, performance on the N-back, a test of working memory, was improved after 7 days of tolcapone treatment [7]. It is thought that tolcapone's effects are due to augmented dopamine levels throughout the brain but perhaps especially in the prefrontal cortex where COMT plays a prominent role in dopamine regulation [8-10]. Previous studies suggest that FTLD patients have degeneration of the dopamine system [11-13]. However, no previous studies have examined whether altering dopamine tone with a COMT inhibitor would be beneficial in the treatment in bvFTD. Patients with bvFTD can have prominent impairment in working memory [2], and we hypothesized that 7 days of tolcapone treatment may be sufficient to improve working memory and other cognitive and behavioral symptoms in patients with bvFTD.

To determine whether tolcapone augmentation can improve cognitive and behavioral symptoms in bvFTD patients we performed a brief, proof of concept cross-over study. The study was designed to replicate a brief proof of concept study examining tolcapone in healthy control participants [7] with the goal of determining whether an expanded trial of tolcapone in bvFTD and related disorders is warranted. While certain *COMT* genotypes have been associated with greater degeneration of the dopamine system [12], this study on COMT inhibition was conceptualized as a symptomatic, rather than disease-modifying, trial for FTD, thus, the short duration of the current trial. The primary outcome of this study was performance on the N-back working memory task since prior reports have demonstrated that short term tolcapone treatment improves N-back performance in healthy controls [7]. To more generally assess differences in cognition during treatment phases, patients completed the Repeatable Battery Assessment of Neuropsychological Status (RBANS) [14]. Because behavioral symptoms directly impact quality of life and clinical outcomes for dementia patients and because current treatments are inadequate [15], we also assessed behavioral symptoms using the Neuropsychiatric Inventory-Questionnaire (NPI-Q). Finally, patients were evaluated on the Clinical Global Impressions scale (CGI).

## MATERIALS AND METHODS

### Study design

Twenty-eight participants with a diagnosis of bvFTD were enrolled in this phase II double-blind, placebo-controlled cross-over study in which all participants received both active treatment and placebo (Fig. 1). The study was registered at [ClinicalTrials.gov \(NCT00604591\)](https://clinicaltrials.gov/ct2/show/study/NCT00604591) before it was performed from January 30, 2008 to June 16, 2016. To obtain an adequate number of participants, patients were studied at two geographic locations, National Institute of Neurological Disorders and Stroke (NINDS) and Columbia University Medical Center (CUMC). We chose a cross-over design for several reasons. First, bvFTD is an uncommon illness and it is difficult to recruit the numbers of subjects required to sufficiently power a study in which subjects are randomized to only treatment or placebo. Also, the power of the within-subject analyses of a cross-over study is greater to detect small to medium effect sizes [16]. Using this design also allowed us to directly compare our results in bvFTD patients to a cross-over design study performed in healthy controls that had shown tolcapone improves cognition in association with functional magnetic resonance imaging (fMRI) changes [7].

### Participants

Subjects were initially screened for suitability for the study. Inclusion criteria included diagnosis of bvFTD [2] between the ages of 40–85 year, assigned durable power of attorney, willingness of caregiver to accept responsibilities involved in the study, and Mattis Dementia Rating Scale-2 (MDRS2) rating score less than 136. Exclusion criteria included diagnosis of any type of dementia besides bvFTD including but not limited to Alzheimer's disease, Lewy body dementia, vascular dementia, Parkinson's disease, corticobasal syndrome, and supranuclear palsy. Other exclusion criteria included known allergy or serious adverse reaction to tolcapone, acute liver disease, any clinical or laboratory evidence of hepatic dysfunction, current alcohol abuse, active substance use,

symptomatic cardiovascular disease (e.g., angina, transient ischemic attack, syncope), uncontrolled hypertension, uncontrolled hypotension, pregnant women, and patients with any known contraindication to tolcapone. Patient currently taking any medication that significantly affects the dopamine system including stimulants, antipsychotics, tolcapone or another COMT inhibitor, benserazide, alpha-methyl dopa, dobutamine, apomorphine, isoproterenol, a monoamine oxidase inhibitor (MAO-I), or clozapine were also excluded. The duration of the trial for all participants was 24 days since prior studies demonstrated effects of tolcapone on healthy controls over similar time periods [7, 17]. The CUMC subjects were recruited from The Lucy G. Moses Center for Memory and Behavioral Disorders in the Neurological Institute of Columbia University. The procedures and measures were identical between the two study sites.

### Adverse events

Tolcapone has been approved by the FDA in the treatment of Parkinson's disease as an adjunctive to levodopa/carbidopa. The most well-known and serious side effect related to tolcapone use is hepatotoxicity, including acute fulminant liver failure and increases in aspartate aminotransferase (AST) and alanine aminotransferase (ALT) [18]. Other side effects are dizziness, nausea, diarrhea, dyskinesia, dystonia, and sleep disturbance [19]. The risk of side effects was discussed with participants and caregivers before inclusion in the informed consent form. Trial continuation was to be stopped in individuals with a suspected serious adverse event of tolcapone including acute fulminant liver failure. There were no patients in which the trial was discontinued due to severe adverse side effects of tolcapone.

### Procedures

Figure 1 illustrates the visit schedule. Briefly, participants were randomly assigned to start on 100 mg of either tolcapone (Tasmar) or placebo. After randomization, participants took 100 mg of the assigned treatment orally three times a day during the first day and then 200 mg orally for the next six days. After the dose was tapered on the seventh and eighth days, a wash-out period for one week followed. After the wash-out period, the drug schedule resumed, but with the alternative treatment (for example, if the participant had started with tolcapone, they were then given placebo). Notably, prior studies have shown that tolcapone treatment on the scale of 7–10 days can improve N-back performance in healthy control subjects [7, 17]. The washout period in the Apud et al. study was also 7 days [7]. Therefore, to be able to compare the current trial with prior trials of tolcapone, a similar drug administration and washout schedule were performed. Measures including N-Back, cognitive, and behavioral assessments were performed within 4 hours of tolcapone administration. The subjects were given 100 mg of Vitamin B2 with each dose of tolcapone or placebo to mask the urine discoloration sometimes observed with tolcapone treatment. Drug ingestion was monitored with pill counts which were performed at each assessment point.

### Measures

We assessed the subjects at baseline and three time points thereafter during the trial (Fig. 1). We measured change in cognitive performance with the N-back cognitive test and

RBANS [20]. Neuropsychiatric symptoms were assessed with the NPI-Q [21]. Finally, general clinical status was determined using the CGI scale.

### **N back cognitive test**

To assess executive function, and to facilitate the comparison of data obtained in this study to data collected in healthy controls [7], the subjects performed the N-back task in the MRI scanner at Assessment points #2, 3, and 4 (Fig. 1). In the N-back task, subjects are given a response pad with response buttons numbered 1, 2, 3, and 4 at the points of a diamond-shaped box and shown a random series of numbers from 1 to 4 appearing for 500 ms every 1.8 s at locations corresponding to the positions of the numbers on the response pad. Instructions presented on the screen above the diamond instruct patients to recall the stimulus seen “N” numbers previously. In the 0-back condition, the subjects are instructed to press the button with the number on the screen. In the 1-back condition, the subjects are instructed to report the number presented one number back from the number displayed on the screen. In the 2-back condition, they are to report the number 2 back from the one presented on the screen (Fig. 2). As “N” increases, so does the cognitive load and the degree of dorsal prefrontal cortex activation observed in healthy subjects [22]. Normal control subjects can perform the 2- and 3-back conditions with difficulty, but we found in pilot testing that the 2- and 3-back conditions were too difficult for most bvFTD patients to perform. Thus, we limited the conditions to the 0- and 1-back conditions. The order of conditions was counterbalanced to control for order effects. Three blocks of 40 images were administered during the N-back task for a total of 120 images [22, 23]. Response time and accuracy were measured following [7].

### **Repeatable Battery for the Assessment of Neuropsychological Status [20]**

The RBANS is a brief individually administered test that was initially developed as tool for diagnosing and tracking dementia. The RBANS captures multiple cognitive domains including attention, language, visuospatial/constructional abilities, immediate memory, and delayed memory. The RBANS has been extensively used in patients with dementias [20] and generates index scores for the 5 domains tested and a total index score. The RBANS has been used before as a cognitive outcome measure in bvFTD patients in prior studies [24].

### **Neuropsychiatric Inventory-Questionnaire [21]**

The NPI-Q is a retrospective caregiver/informant-based interview lasting around 20 min that assesses 12 neuropsychiatric symptom domains including delusions, hallucinations, agitation/aggression, depression, anxiety, euphoria/elation, apathy/indifference, disinhibition, irritability/lability, aberrant motor behaviors, night-time behavioral disturbances, and appetite/eating disturbances. Neuropsychiatric symptoms within a domain are rated by the caregiver in terms of severity (1 = mild; 2 = moderate; 3 = severe). Caregiver distress is also determined but was not used to calculate final scores in this study. Therefore, total scores ranged from 0 (no symptoms) to 36.

## Clinical Global Impressions Scale [25]

The CGI is often used in treatment studies as a proxy for global functioning and is a subjective score assigned by the treating physician that incorporates elements of illness severity, patient distress, patient impairment, and functioning. It has been shown that the CGI correlates with well-known research drug efficacy scales widely used in psychiatry (where the CGI is most often implemented). The CGI is given a numerical ranking each visit after the first with 4 being no change, 3 mild improvement, 5 mild worsening, 2 major improvement, and 6 major worsening. Because the CGI reflects the severity of symptoms, lower is better (i.e., higher reflects an increase in symptoms).

## Imaging

Echo-planar T2\*-weighted images with blood-oxygenation-level-dependent (BOLD) contrast was acquired on a 3 Tesla General Electric (at NIH) and a Philips Achieva Quasar Dual Magnet (at CUMC) scanner equipped with a standard head coil, high order manual shimming to temporal and ventral frontal lobes, 3 mm slice thickness,  $64 \times 64$  matrix, 37 slices, TR = 2.3 s, TE 20.5, FOV:  $220 \times 220$ , parallel to the anterior to posterior commissural line, whole brain coverage (not cerebellum). The first five volumes were discarded to allow for T1 equilibration effects. The combination of high-field MRI, thinner slices and high-order manual shimming were used to optimize the signal in anterior temporal and ventral frontal lobes. In addition, high resolution ( $1 \text{ mm}^3$ ) T1-weighted 3D MP-RAGE (Magnetization-Prepared Rapid Acquisition Gradient Echo) structural images were collected (1mm slice thickness, 128 slices, matrix  $224 \times 224$ , TE 2.964; FOV:  $220 \times 220$ ).

Resting BOLD time series were recorded for all patients at rest and during the N-back task. Data was collected for the on and off tolcapone conditions. For every time series, we temporally filtered both resting-BOLD time series and 6 motion parameters during the scan. The filter was a rectangular filter in the frequency range [0.009 Hz, 0.08 Hz] of order  $m = 20$ . As a set of nuisance voxels, we chose all voxels with gray-matter probability of  $p < 0.01$  (= "nuisance" voxels) as judged by the probabilistic gray-matter mask supplied by SPM12; these voxels are most likely white-matter or CSF voxels. We performed Principal Component Analysis and took the time course of the first Principal Component as an additional motion regressor.

We then regressed the filtered signal at all voxel locations with gray-matter probability of  $p > 0.5$  (= "signal" voxels) against the 7 motion regressors, i.e., the time series of the filtered white matter and CSF-signal plus the 6 filtered motion regressors, and formed the residual time series. The residual time series were used to compute 2 quantities: 1) the average amplitude of residual time series in the dorso-lateral prefrontal cortex, and 2) the functional connectome between all 34,716 possible pairs of 264 regions-of-interest, which were chosen according to the taxonomy put forth by Power et al. [26]. For (1), we averaged the standard deviation across time in the residual time series for all signal voxels in Brodmann areas 9 and 46, as indicated by the Anatomic Automated Labeling template [27]. To correct for arbitrary rescaling from scan to scan, we divided this mean amplitude by the mean amplitude of all signal voxels. We then checked whether this normalized amplitude correlated showed a significant difference as a function of medication status in

a paired-sample *T*-test. For (2), we computed the all 34,716 pairwise temporal correlations between all signal voxels at the 264 locations provided by Power et al. [26]. No correlation for scaling was necessary, since correlation is already scale-invariant. We then performed 1,000 split-sample analysis for which the ON and OFF tolcapone data sets were randomly split in half. Principal Component Analysis was performed for data reduction to use up to 20 Principal Component scores, rather than the full 34,716 pairwise connectivity values. The set of Principal Components used was varied from 1, 1:2, 1:3, and so on, till 1:20, and a Support Vector Machine [28] was fitted in the training, with subsequent application and venturing of a prediction in of the 1/0 judgment about medication status in the test set. Predictive accuracy was recorded with specificity, sensitivity and the balanced accuracy rate, i.e., an average of sensitivity and specificity, with respect to the 1/0 judgment.

For each participant, the N-back task was preprocessed in statistical parametric mapping software (SPM12) with slice-timing, motion correction, registration to T1 structural, normalizing to standard space and smoothing with 8 mm full-width-at-half-maximum kernel. Then, the data were modeled with block design corresponding to each block of 0- or 1-back. Each visit was modeled separately and then contrasted at the group level with a covariate for the two scanners used for obtaining the MRI data. Of the 16 participants that performed the N-back task while undergoing fMRI, four participants were scanned at NINDS and twelve at Columbia. 0- and 1-back activations were analyzed in separate group analyses examining the within-subject effects of tolcapone versus placebo, with the covariate of site included in both analyses.

### **Statistical analyses for change in cognition and behavior**

The means for the treatment, placebo, and washout conditions for the overall CGI were compared with a repeated measures analysis of variance (ANOVA) and *post-hoc* paired sample t-tests. *Post-hoc* sample t-tests were not corrected for multiple comparisons. For N-Back testing, despite the fact that no patients dropped out, some were unable to complete the N-Back even at the 0-back condition. Therefore, at each session patients were asked to perform as much as they were able and a mixed model analysis was performed to account for missing values.

### **Standard protocol approvals, registrations, and patient consents**

All participants were required to assign a research durable power of attorney prior to admission, and the assigned individuals gave written informed consent for the study. The patients gave assent for the study. All aspects of the study and the consent procedure were approved by the NINDS and CUMC Institutional Review Boards. [Clinicaltrials.gov](https://clinicaltrials.gov/ct2/show/study/NCT00604591) identifier: [NCT00604591](https://clinicaltrials.gov/ct2/show/study/NCT00604591).

### **Data availability statement**

All data is available to qualified investigators upon request to the corresponding author.

## RESULTS

### Participant characteristics

Between January 30, 2008 and June 16, 2016, 28 patients (14 female, 14 male, ages 51–81) completed the study in 24 days. No patients dropped out before the completion of the study. All patients were able to participate in MRI scanning and behavioral measures. Patient demographics are described in Table 1.

### Adherence to tolcapone

Overall, tolcapone was well-tolerated. Six subjects (21.4%) had at least one reading of a liver enzyme level above the upper end of normal range at some point in their participation. All of these returned back to the normal range by later measurements. No subjects had liver function tests greater than 100% of the upper normal limit during their participation in the study. No subjects had any clinical signs or symptoms of hepatic impairment at any point during the study. Three subjects (10.7%) reported involuntary movements at baseline, which either persisted or resolved during the study (none with reported worsening). The most common side effects reported were nausea ( $n = 4$ , 14.3%), diarrhea ( $n = 4$ , 14.3%), headache ( $n = 4$ , 14.3%), constipation ( $n = 3$ , 10.7%), upset stomach ( $n = 2$ , 7.1%), hyperactive bowel syndrome ( $n = 2$ , 7.1%), and falls ( $n = 2$ , 7.1%, moderate falls causing no injury, not requiring hospitalization). Nearly all reported side effects were mild. Two subjects (7.1%) entered the study with notable long-standing gastrointestinal problems. Two subjects (7.1%) entered the study with history of chronic coughing. Two subjects (7.1%) entered the study with chronic headaches. No subjects exhibited signs of liver toxicity, dyskinesia, or neuroleptic malignant syndrome while in the study. One adverse event occurred during the study. A single subject (3.5%) experienced a seizure while enrolled in the study. This occurred during the washout week of participation, thus resulting in the postponement of a study visit. The adverse event was not deemed to be the result of the study medication, and the patient completed the study protocol without further incident. None of the patients experienced serious adverse events that required them to be removed from the trial.

### Differences in N-back test performance and imaging results

The primary outcome measure in this study was performance on the N-back test of working memory and updating. Reaction time for the 0- and 1- back conditions showed no change (Table 2). The mean reaction time for 0-back condition was 8322 ms (standard deviation, [SD] = 1940 ms) for tolcapone and 7935 ms (SD = 2563 ms) for placebo, while the mean reaction for 1-back condition was 8226 ms (SD = 2842 ms) for tolcapone and 7060 ms (SD = 2475 ms) for placebo. For both 0- and 1-back conditions, there was no significant effect of tolcapone treatment when compared to baseline or placebo. There were no significant differences in accuracy on the N-back test between the tolcapone or treatment conditions for 0-back (both 83% accurate) or the 1-back (37% accurate on placebo, 35% accurate on tolcapone). We did not find significant effects of tolcapone treatment on network connectivity or resting BOLD signal in any of the regions of interest examined (data not shown).



### Differences in cognitive performance

Overall, there was a significant difference in the RBANS total score between the treatment conditions [ $F(4,27) = 6.86, p < 0.001$ ]. This estimated effect was driven by an improvement in the tolcapone treatment condition compared to baseline [ $t(27) = 3.86, p = 0.001$ , Effect Size, [ES] = 0.60, Fig. 3]. There were significant estimated sub-effects on working memory [ $t(27) = 2.55, p = 0.02$ ], language [ $t(27) = 2.88, p = 0.01$ ], and attention [ $t(27) = 2.45, p = 0.02$ ], which all showed improvement on tolcapone, but not on visuospatial or delayed memory subtests (Supplementary Figure 1). There was not a significant difference on the total RBANS between tolcapone treatment and placebo conditions [tolcapone versus placebo:  $t(27) = 1.17, p = 0.25, ES = 0.22$ ]. There were no significant differences between tolcapone and placebo on RBANS subdomains (Table 2). There was a trend towards significance for language which was improved with tolcapone treatment compared to placebo [ $t(27) = 1.79, p = 0.084, ES = 0.14$ ].

### Differences in behavioral symptoms

To explore whether tolcapone may improve behavioral disturbances in bvFTD patients, participants were assessed on the NPI-Q. There was a significant difference in total NPI-Q between the different treatment conditions [ $F(1,25) = 10.19, p = 0.004$ ]. This was driven by a difference in NPI-Q scores between the tolcapone treatment and baseline conditions [ $t(25) = 3.19, p = 0.004, ES = 0.63$ ; Table 2, Fig. 4]. In particular, there was a significant improvement in the depression subdomain when comparing tolcapone treatment to baseline [ $t(27) = -2.51, p = 0.017, ES = 0.28$ ]. There was no significant difference between tolcapone and placebo treatment in the NPI-Q [ $t(25) = 1.28, p = 0.21, ES = 0.25$ ]. There was a trend toward improvement in the subdomain of depression [tolcapone versus placebo] [ $t(27) = 1.65, p = 0.11, ES = 0.27$ ] and a trend toward improvement in irritability [tolcapone versus placebo] [ $t(27) = 1.99, p = 0.057, ES = 0.22$ ] which were both decreased by treatment when compared to placebo (Table 2, Supplementary Figure 2). There was also a trend toward increased apathy with treatment [tolcapone versus placebo] when compared to placebo [ $t(27) = 1.76, p = 0.090, ES = 0.24$ ].

### Differences in clinical improvement between tolcapone and placebo

To evaluate for clinical improvement in bvFTD patients treated with tolcapone, we administered the CGI to all the subjects at each assessment point. There was a significant effect of treatment on the overall CGI [ $F(2,27) = 3.59, p = 0.035$ ; Fig. 5]. *Post-hoc t*-tests showed a significant difference on the CGI between tolcapone and baseline [ $t(27) = 2.90, p = 0.008, ES = 0.57$ ] and a trend toward improvement on tolcapone when compared to placebo [ $t(27) = 1.83, p = 0.078, ES = 0.35$ ].

### Effect of COMT genotype on association between tolcapone treatment and cognitive, behavioral, and clinical improvement

COMT inactivates released dopamine through enzymatic conversion to 3-methoxytyramine and is a key enzyme regulating dopamine in the prefrontal cortex [29-31]. The COMT gene has a common functional methionine (met) for valine (val) substitution at codon 158, referred to as the val158met polymorphism. In general populations, the met and val

allele frequencies are approximately equal [32, 33]. The enzyme in individuals with the met/met genotype is less active than in individuals with the val/val genotype [34]. Thus, healthy individuals with the met allele have greater amounts of available dopamine and functionally demonstrate better performance on tests of frontal cognitive function in an allele dose-dependent fashion [22, 35-40]. Prior studies have suggested that a patient's *COMT* genotype may be relevant to the effectiveness of tolcapone treatment [7] (although see [41] as a counterexample). We found that there was no significant effect of *COMT* genotype on the effects of tolcapone treatment on the RBANS or NPI-Q. There was a trend in CGI data whereby *COMT* val dosage was associated with improved CGI with tolcapone treatment ( $r = -0.343$ ,  $p = 0.074$ , Fig. 5). This trend was not seen for other measures (data not shown).

## DISCUSSION

This is the first double-blinded, placebo-controlled proof of concept trial of tolcapone in bvFTD. Tolcapone was well-tolerated in all participants, without serious adverse effects. The results of this study were negative for the efficacy of short term tolcapone treatment in improving N-Back performance (primary outcome). There were no significant differences in total RBANS, NPI-Q, or CGI scores between tolcapone treatment and placebo conditions. However, compared to baseline, tolcapone treatment was associated with a small to medium effect size short-term improvement in clinical status and a small effect size improvement in neuropsychiatric symptoms when compared to baseline (Table 3). There was no significant effect of *COMT* genotype on the effects of tolcapone on cognitive or behavioral symptoms, which is consistent with some prior studies [42]. However, there was a trend for improvement of clinical functioning in tolcapone treated patients that appeared to be related to *COMT* genotype. This is consistent with prior data demonstrating that healthy patients with the val/val *COMT* genotype showed greater improvement in cognitive performance with tolcapone treatment [43].

When NPI scores were broken down by subdomain, there were trends toward differences between tolcapone and placebo conditions. Interestingly, depression and irritability were decreased with tolcapone treatment but apathy was increased. The fact that there were bidirectional changes in the NPI sub-scales may explain, at least in part, why there were not significant differences in the NPI overall when treatment was compared to placebo. These findings may also speak to the potential use of tolcapone in treatment of specific psychiatric symptoms in FTLD including irritability and depression. Indeed, one animal study has suggested that tolcapone administration may prevent the development of depression-like symptoms in chronically-stressed rats [44] and one small open label study showed patients with major depressive disorder improved on tolcapone [45]. Although there have not been any studies that specifically report on the effect of tolcapone on irritability prior to our trial, one report demonstrated that addition of tolcapone could significantly improve non-motor symptoms in Parkinson's disease with improved quality of life and reduced caregiver burden [46]. This may speak to the ability of tolcapone to improve multiple, but perhaps restricted, cognitive and behavioral domains that ultimately result in improved function across neurological disorders; as was seen in [46] and suggested in our study. Further work

should be conducted to replicate these findings and to elucidate the relationship between tolcapone and behavioral measures.

There are multiple possibilities for why there were significant differences between tolcapone treatment and baseline but not between tolcapone and placebo. First, in the group treated with tolcapone first, there were improvements in clinical and behavioral symptoms that persisted through the washout and placebo period which may have confounded comparisons (Figs. 3 and 4). While the half-life of tolcapone is thought to be short [47], some studies suggest that tolcapone may have long-term effects [48, 49]. Therefore, further studies exploring long-term effects of tolcapone treatment may be warranted. That being said, the improvement observed with tolcapone treatment compared to baseline is approximately the same as that for cholinesterase-inhibitor treatment for Alzheimer's disease [50]. Therefore, this study may be interpreted as providing some evidence that tolcapone could be effective at improving symptoms in bvFTD despite the fact that there was no significant difference between tolcapone treatment and placebo.

This study has several limitations. With regard to the N-back task specifically, we were forced to use a modified and simplified version of the task because patients with bvFTD were largely incapable of performing the original version. However, in the original study that demonstrated a difference in N-back performance with tolcapone treatment in healthy controls, this difference was only noted on the 3- and 4-back conditions, possibly related to higher cognitive demand [7]. Therefore, the modified N-back used in this study may not have been cognitively demanding enough for a difference due to tolcapone treatment to have been detected. Also, one of our measures was N-back reaction time, and since reaction time can be affected by motor effects which we did not evaluate, this is another limitation of the study. More generally, it is notable that in our sample patients had symptoms for a number of years prior to enrolling in the trial (see Table 1). While there is no clear consensus regarding when symptomatic treatment should be started in FTD [4], it is possible that tolcapone may have more of an effect on cognitive and psychiatric symptoms in patients who are in an earlier stage of the disorder. Another limitation of this study was the relatively brief duration of treatment conditions and washout. While these durations were chosen strategically to mimic the conditions of the Apud et al. study, any subsequent studies may want longer treatment durations and washout as there is some evidence that tolcapone may have longer-term effects on the brain that remain poorly understood. Also, it is worth noting that some of the NPI-Q and RBANS subdomains were close to significance and future studies with higher sample sizes may be able to determine whether the trends noted in this study are reproducible and clinically meaningful as the trend toward improved CGI might suggest. One other limitation of this study is that some of the measures used involved neuropsychiatric testing which, in short intervals, can lead to significant practice effects. However, since we used the RBANS, we used different forms of the test for each point of evaluation in the trial which should minimize this concern.

In the current trial, we assessed the short-term effects of COMT inhibition on the symptoms of bvFTD. The effects of long-term COMT inhibition are unknown, although previous studies have shown that high COMT activity is associated with greater degeneration of dopaminergic brain structures in bvFTD [12]. Tolcapone has disadvantages for use,

including the potential for hepatotoxicity. However, in this trial, tolcapone was well-tolerated. The other available COMT inhibitors developed for use in Parkinson's disease have a better adverse effect profile than tolcapone, but do not have as good central nervous system (CNS) penetration. COMT inhibitors with good CNS penetration that are not associated with hepatotoxicity are currently under development. In this study, there was no significant effect of tolcapone treatment when compared to placebo, but there were differences between tolcapone and placebo treatment in certain sub-domains of the NPI. Also, there were significant differences between tolcapone treatment and baseline levels of cognitive, behavioral, and clinical measures. Trials involving a longer exposure to tolcapone, or using newer COMT inhibitors, may be warranted in FTLN-spectrum disorders. The results of this study support further investigation into the effect of tolcapone on cognitive, neuropsychiatric, and clinical features of FTLN-spectrum disorders. An important aspect for future studies will be determining an appropriate and meaningful primary outcome measure which will both capture the complexity of symptoms in these patients and be sensitive to changes in those symptoms.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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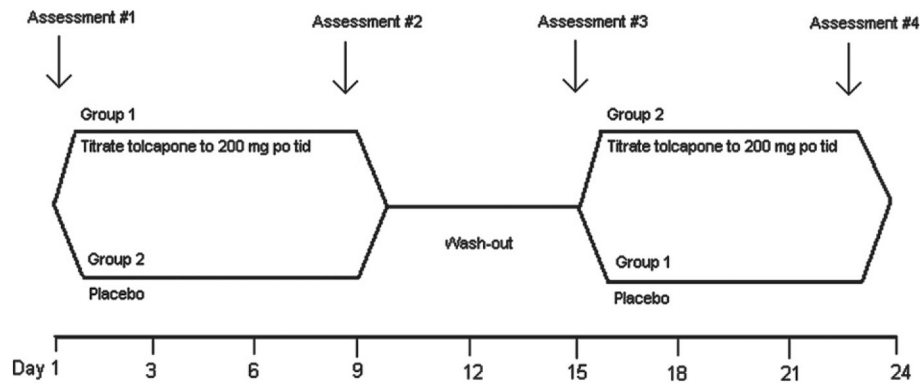
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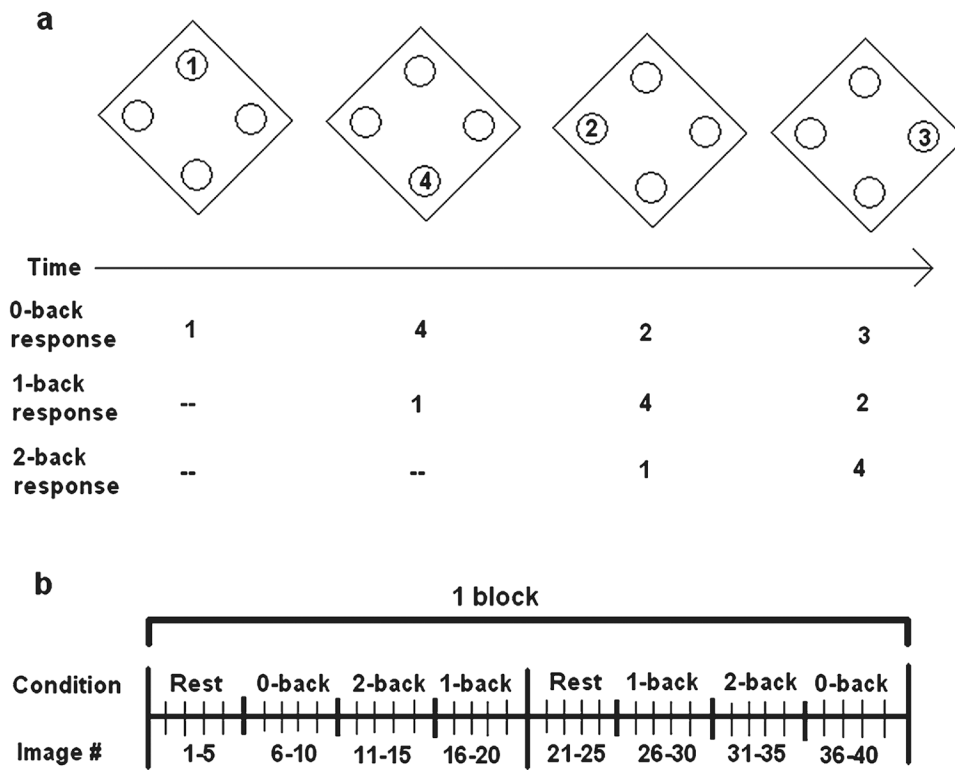
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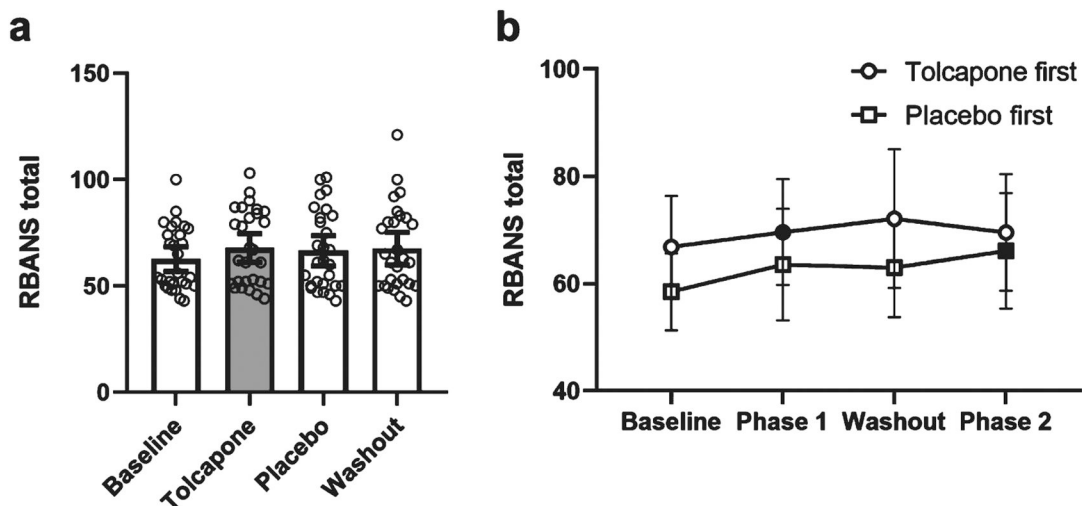


**Fig. 1.** Study design. MRI scans performed at Assessments #2, 3, and 4. Clinical and neuropsychological study measures performed at screening and Assessments #2, 3, and 4. Side effect evaluation, vital signs, physical examination, Liver Function Tests, and AIMS performed at all assessment points. mg po tid, milligrams per oral three times a day.

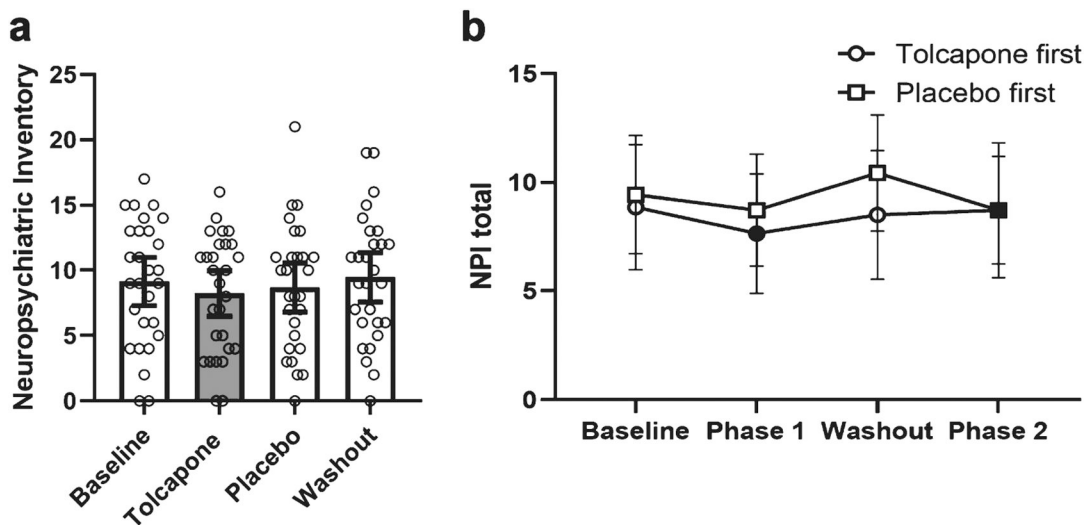




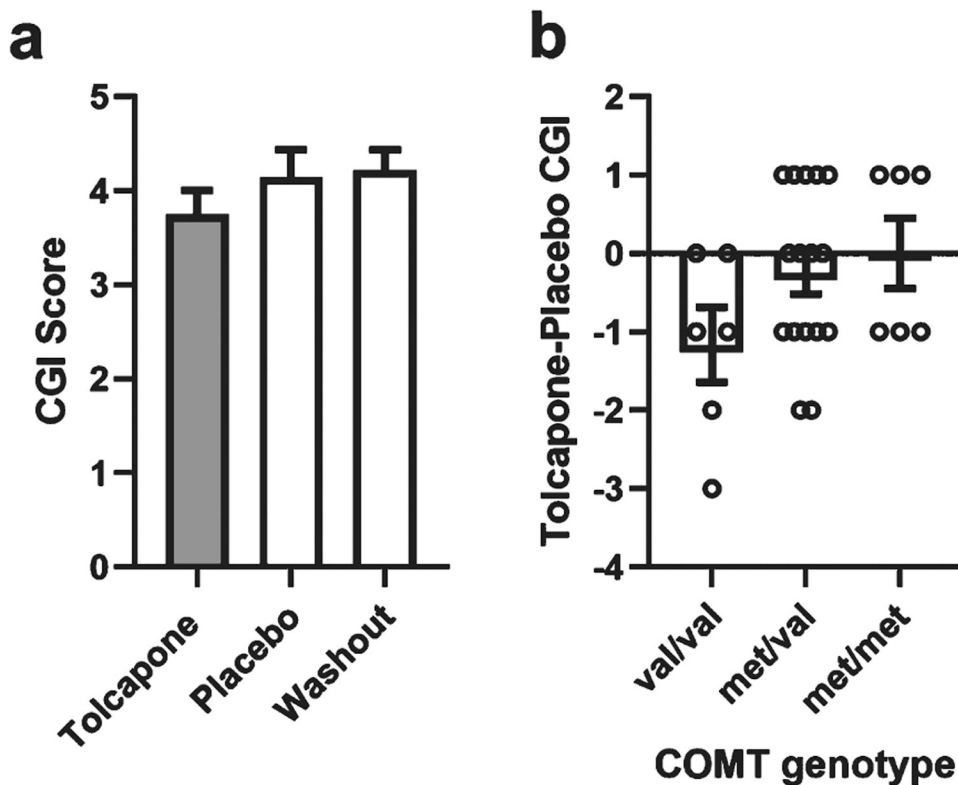
**Fig. 2.** Structure of the N-back test. a) N-back test. b) One block of the N-back. Three counterbalanced blocks were administered. The rest condition had blank screens without a task.



**Fig. 3.** Effects of treatment phase on the Repeatable Battery for the Assessment of Neuropsychological Status (RBANS). a) Mean score at Baseline, Treatment (Tolcapone, in gray), Placebo, and Washout phases. Open circles are individual data points. Error bars represent 95% confidence intervals. b) Mean score during each phase of the trial for Tolcapone first (circle) and Placebo first (square) conditions. Filled symbols indicate active (tolcapone) phase. Error bars represent 95% confidence intervals.



**Fig. 4.** Effects of treatment phase on the Neuropsychiatric Inventory-Questionnaire (NPI-Q). a) Mean score at Baseline, Treatment (Tolcapone, in gray), Placebo, and Washout phases. Open circles are individual data points. Error bars represent 95% confidence intervals. b) Mean score during each phase of the trial for Tolcapone first (circle) and Placebo first (square) conditions. Filled symbols indicate active (tolcapone) phase. Error bars represent 95% confidence intervals.



**Fig. 5.** Effects of treatment phase on the Clinical Global Impressions Scale. a) Mean score at Treatment (Tolcapone, Gray), Placebo, and Washout phases. CGI of 4 is no change, scores lower than 4 represent improvement, greater than 4 represent worsening. Error bars represent 95% confidence intervals. b) Mean within-subject CGI difference of Treatment - Placebo CGI by genotype. Negative Treatment-Placebo CGI is an improvement. Open circles represent individual data points. Error bars represent standard error of the mean.

**Table 1**

Demographics of the 28 patients included in the study

Demographic	Tolcapone First (n = 14)	Placebo First (n = 14)	Total (n = 28)
Average Age (SD)	62.7 (6.7)	63.6 (9.1)	63.1 (7.8)
Average Age of Onset (SD)	54.2 (3.0)	52.5 (9.0)	53.3 (6.7)
Sex (M/F)	6/8	8/6	14/14
Race (white/other)	13/1 (African American)	12/2 (Asian-Indian)	25/3 (one African American, two Asian-Indian)
Average Education Years (SD)	17.4 (1.6)	16.8 (2.0)	17.1 (1.8)
Average MDRS Baseline (SD)	109.2 (23.3)	105.0 (27.1)	107.1 (24.8)
Site (NINDS/Columbia)	4/10	5/9	9/19

SD, standard deviation; M, male; f, female; NINDS, National Institute of Neurological Disorders and Stroke.

Characteristics of all 28 patients before and during treatment. Means and (SD) reported. For CGI, Wash-out rather than Baseline reported

Table 2

Outcomes	Baseline	Washout	Treatment (Tolcapone)	Placebo	p-value for difference between tolcapone and placebo
<b>CGI</b>					
CGI Total	—	4.23 (0.59)	3.75 (0.65)	4.14 (0.76)	0.078
<b>NPI-Q</b>					
NPI-Q Total	9.14 (4.76)	9.46 (4.88)	8.21 (4.50)	8.68 (4.83)	0.211
Delusions	0.11 (0.31)	0.07 (0.26)	0.11 (0.32)	0.07 (0.26)	0.326
Hallucinations	0.00 (0)	0.00 (0)	0.00 (0)	0.00 (0)	>0.999
Agitation	0.71 (0.81)	0.71 (0.90)	0.61 (0.83)	0.75 (0.89)	0.443
Depression	0.75 (0.97)	0.79 (0.92)	0.46 (0.79)	0.68 (1.09)	0.110
Anxiety	0.96 (0.96)	1.14 (1.04)	0.79 (0.83)	0.93 (0.98)	0.355
Euphoria	0.18 (0.67)	0.21 (0.57)	0.14 (0.53)	0.14 (0.53)	>0.999
Apathy	1.79 (0.99)	1.78 (1.10)	1.89 (0.99)	1.61 (1.07)	0.090
Disinhibition	1.00 (1.05)	1.14 (1.01)	0.86 (0.93)	0.86 (0.97)	>0.999
Irritability	0.68 (0.77)	0.68 (0.77)	0.61 (0.74)	0.79 (0.88)	0.057
Motor Behavior	1.07 (1.15)	1.14 (1.18)	1.07 (0.98)	1.07 (1.12)	>0.999
Nighttime behaviors	0.57 (0.92)	0.54 (0.92)	0.43 (0.84)	0.57 (0.92)	0.460
Appetite and Eating	1.32 (1.30)	1.25 (1.27)	1.29 (1.21)	1.21 (1.29)	0.537
<b>RBANS</b>					
Total	62.68 (14.96)	67.57 (19.63)	66.57 (18.32)	67.89 (17.66)	0.250
Working memory	61.75 (20.79)	67.07 (25.25)	67.11 (24.11)	64.32 (22.55)	0.164
VS	79.07 (18.10)	84.00 (20.99)	82.46 (23.55)	82.82 (23.34)	0.872
Language	67.25 (19.80)	68.89 (19.95)	74.18 (20.74)	71.46 (18.89)	0.084
Attention	70.82 (15.77)	73.79 (18.05)	75.61 (18.85)	74.50 (19.31)	0.487
Delayed memory	64.43 (22.10)	69.93 (26.18)	68.71 (24.29)	67.75 (25.32)	0.515
<b>NBACK</b>					
0-back accuracy (percentage correct)	0.747 (0.280)	—	0.831 (0.242)	0.834 (0.196)	0.948
1-back accuracy (percentage correct)	0.351 (0.248)	—	0.391 (0.303)	0.380 (0.274)	0.436
0-back reaction time (ms)	9141 (2225)	—	8322 (1940)	7935 (2563)	0.8624

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Outcomes	Baseline	Washout	Treatment (Tolcapone)	Placebo	<i>p</i> -value for difference between tolcapone and placebo
1-back reaction time (ms)	7863 (2323)		8226 (2842)	7060 (2475)	0.2011

CGI, Clinical Global Impressions scale; NPI-Q, Neuropsychiatric Inventory-Questionnaire; RBANS, Repeatable Battery for the Assessment of Neuropsychological Status; VS, visuospatial. Note: Total means a summary score for the particular scale. For RBANS, sub-scale scores were the totals of 2 items for working memory, 2 items for language, 2 for attention, and 4 for delayed memory. For NPI-Q, sub-scales scores were taken from a single item score for each domain. *p*-value was calculated using a *t*-test. There were no significant differences in any of the measures examined between baseline and washout.

**Table 3**

## Summary of results

Domain	Measure	Tolcapone effect size versus placebo	Predicted COMT genotype effect
Clinical	CGI	0.35 (small to medium sized)	Yes
Cognitive out of scanner	RBANS	0.22 (small)	No
Behavioral	NPI	0.25 (small)	Yes
Cognitive (in scanner)	N-back	–	–

CGI, Clinical Global Impressions scale; RBANS, Repeatable Battery for the Assessment of Neuropsychological Status; NPI, Neuropsychiatric Inventory-Questionnaire; COMT, catechol-o-methyltransferase.