Loss-of-function mutation in Omicron variants reduces spike protein expression and attenuates SARS-CoV-2 infection

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24 Abstract

25 SARS-CoV-2 Omicron variants emerged in 2022 with >30 novel amino acid mutations in the spike 26 protein alone. While most studies focus on receptor binding domain changes, mutations in the Cterminus of S1 (CTS1), adjacent to the furin cleavage site, have largely been ignored. In this 27 study, we examined three Omicron mutations in CTS1: H655Y, N679K, and P681H. Generating 28 29 a SARS-CoV-2 triple mutant (YKH), we found that the mutant increased spike processing, 30 consistent with prior reports for H655Y and P681H individually. Next, we generated a single N679K mutant, finding reduced viral replication in vitro and less disease in vivo. Mechanistically, 31 the N679K mutant had reduced spike protein in purified virions compared to wild-type; spike 32 33 protein decreases were further exacerbated in infected cell lysates. Importantly, exogenous spike 34 expression also revealed that N679K reduced overall spike protein yield independent of infection. Although a loss-of-function mutation, transmission competition demonstrated that N679K had a 35 replication advantage in the upper airway over wild-type SARS-CoV-2 in hamsters, potentially 36 37 impacting transmissibility. Together, the data show that N679K reduces overall spike protein 38 levels during Omicron infection, which has important implications for infection, immunity, and 39 transmission.

40 Introduction

Since its introduction, SARS-CoV-2 has continuously evolved giving rise to multiple 41 Variants of Concern (VOCs) with diverse mutations in the spike protein ¹ (Extended Fig. 1A). 42 Present as a trimer on virions, spike is composed of S1 and S2 subunits, responsible for receptor 43 44 binding and membrane fusion, respectively ^{2,3}. The S1 subunit contains the N-terminal domain (NTD), receptor binding domain (RBD), and the C-terminus of the S1 subunit (CTS1), which 45 harbors a furin cleavage site (FCS) in SARS-CoV-2. Following receptor binding, the spike is 46 cleaved at the S1/S2 site by host proteases to expose the fusion machinery for entry. With the 47 diverse mutations in the spike protein (Extended Fig. 1B), most Omicron studies have focused 48 49 on the RBD and the impact on vaccine- or infection-induced immunity. However, mutations surrounding the FCS and S1/S2 cleavage site have been demonstrated to drive SARS-CoV-2 50 pathogenesis ⁴⁻⁹ and have been largely unstudied in the context of Omicron. 51

With this in mind, we set out to evaluate the role of Omicron CTS1 mutations on infection 52 53 and pathogenesis. Omicron maintains three mutations adjacent to the FCS and S1/S2 cleavage 54 site: H655Y, N679K, and P681H (Extended Fig. 1B). Both H655Y and P681H have previously been observed in the Gamma and Alpha variants ^{10,11}; in contrast, N679K is unique to and 55 maintained by all Omicron subvariants ¹². To evaluate the role of these mutations, we used 56 57 reverse genetics to generate SARS-CoV-2 mutants with all three CTS1 mutations (YKH) or N679K alone in the original WA1 backbone from early 2020. While YKH modestly increases viral 58 replication and spike processing in vitro. N679K results in a loss-of-function mutation that 59 attenuates viral replication in vitro and disease in vivo while skewing replication toward the upper 60 61 airways through reduced spike protein expression. Given the importance of spike protein for immunity, our finding may have major implications for vaccine efficacy and breakthrough 62 infections. 63

65 Results

66 H655Y, N679K, and P681H together increase viral replication and spike processing.

While the majority of the > 30 spike mutations Omicron acquired are localized to the RBD, 67 three are harbored in the CTS1 adjacent to the furin cleavage site – H655Y, N679K, and P681H 68 69 (Fig. 1A). Both H655Y and P681H have been observed individually in Gamma and Alpha variants and are associated with increased spike processing. In contrast, N679K is a mutation unique to 70 71 Omicron and is maintained in all subsequent Omicron subvariants despite involving a single nucleotide change (T/C to A/G) in the wobble codon position ¹². Importantly, N679K is adjacent 72 to an important O-linked glycosylation site at T678^{13,14}; our group has previously shown this 73 glycosylation is important for SARS-CoV-2 infection and protease usage⁸. 74

Several motifs within the CTS1 spike domain, including the furin cleavage site and the 75 upstream QTQTN motif, are key to spike cleavage and host protease interactions, which drive 76 SARS-CoV-2 infection and pathogenesis. All three Omicron mutations in the CTS1, H655Y, 77 N679K, and P681H, are adjacent to or within these motifs and may impact their function (Fig. 1A 78 and 1B). To evaluate this, we generated a mutant SARS-CoV-2 harboring H655Y, N679K, and 79 P681H in the original WA1 backbone (YKH) (Fig. 1C) ^{15,16}. Plaques produced by the YKH mutant 80 81 were smaller compared to the parental WA1 (WT) (Fig. 1D). However, the YKH mutant did not attenuate stock titers nor replication kinetics in Vero E6 cells as compared to wild-type (WT) 82 83 SARS-CoV-2 (Fig. 1E and 1F). Notably, while replication was slightly reduced at 24 hpi, end point 84 titers for YKH were augmented at 48 hpi in Calu-3 2B4 cells compared to WT (Fig. 1G). The 85 results suggest that the combination of the three mutations alters infection dynamics, which may offer some advantages to the Omicron variant in human respiratory cells (Fig. 1G). As H655Y 86 and P681H have individually been shown to increase spike processing, we next evaluated spike 87 processing on purified virions from YKH and WT infection. Similar to Delta and Omicron, YKH 88 89 spike was more processed than WT (Fig. 1H and 1I). At 24 hpi, the S1/S2 cleavage ratio to full length spike ratio was ~2.4:1 for the YKH spike (55% S1/S2 product, 23% full-length); in contrast, 90

91 WT had roughly equivalent amounts of S1/S2 product and full length. Overall, the combination of

92 H655Y, N679K, and P681H in the YKH mutant resulted in increased viral endpoint yields in human

respiratory cells and contributed to Omicron's enhanced spike processing.

94 N679K mutation attenuates SARS-CoV-2 infection.

95 The increase in spike processing found in the YKH mutant is consistent with prior work examining H655Y and P681H mutations individually; however, the contribution of N679K had yet 96 to be evaluated. Based on its location adjacent to a key O-linked glycosylation site⁸, we 97 hypothesized that N679K might impact SARS-CoV-2 infection (Fig. 2A). To evaluate potential 98 changes, we generated a SARS-CoV-2 mutant with only N679K in the WA1 backbone (N679K) 99 100 (Fig. 2B). Our initial characterization found that the N679K plague sizes were distinctly smaller at days 2 and 3 post-infection (Fig. 2C), and stock titers were also slightly lower than WT (Fig. 2D). 101 These differences in plaque size and stock titers are consistent with observations of most Omicron 102 strains ¹⁷⁻²⁰. Notably, unlike the minimal differences seen in YKH replication kinetics, the N679K 103 mutant had attenuated replication in both Vero E6 and Calu-3 2B4 cells at 24 hpi (Fig. 2E and 104 105 **2F**). Although N679K viral titer recovered by 48 hpi, the results suggest that N679K is a loss-offunction mutation in terms of replication in both cell lines. 106

107 We next evaluated N679K in vivo by infecting 3-to-4-week-old golden Syrian hamsters 108 and monitored weight loss and disease over 7 days (Fig. 2G). Hamsters infected with N679K 109 displayed significantly attenuated body weight loss compared to those infected with WT (Fig. 2H). 110 Despite the stark attenuation seen in weight loss, N679K viral titers in the lungs were equivalent to WT at 2 dpi and 4 dpi (Fig. 2I). Similarly, N679K viral titers were comparable to WT at 2 dpi in 111 nasal washes; however, the mutant virus resulted in reduced replication at 4 dpi (Fig. 2J). In 112 addition, analysis of lung histopathology showed a modest, but not significant reduction in disease 113 of the N679K infected hamsters as compared to control (Extended Fig. 2). Taken together, our 114 115 results indicate that N679K has a distinct loss-of-function phenotype in vitro and in vivo.

116 **N679K mutation results in decreased spike protein expression.**

We next sought to determine the mechanism driving the loss-of-function observed with 117 the N679K mutant. Given its location adjacent to the FCS, we first evaluated N679K effects on 118 119 proteolytic spike processing. Virions were purified from WT, N679K or the Omicron variant BA.1 120 (Omicron) and blotted for spike processing. Nearly identical to YKH, the N679K mutant had 121 increased spike processing with a ~2.5:1 ratio of S1/S2 cleavage product to full-length spike compared to 1:1 ratio for WT at 24 hpi (Fig. 3A and 3B). However, we noted distinct differences 122 123 in total spike protein with N679K and Omicron compared to WT, despite similar levels of nucleocapsid protein. Densitometry analysis revealed that the total spike to nucleocapsid (S/N) 124 125 ratio of N679K and Omicron virions was reduced 21% and 36%, respectively, as compared to WT 126 (Fig. 3C). Overall, our results indicate that the N679K mutant and Omicron variant incorporate 127 less spike protein into their virions.

128 We then sought to determine if changes in the virion spike were due to changes to total protein expression in the cell or spike incorporation into the particle. To examine spike protein 129 expression, we measured total spike relative to nucleocapsid from infected Vero E6 cell lysates 130 131 24 hpi (Fig. 3D and 3E). N679K resulted in a S/N ratio 66% less than WT, displaying an even further decrease in spike protein compared to the reduction in purified virions. Additionally, a 132 133 similar decrease in S/N ratio was observed in Omicron, indicating that the phenotype is 134 maintained in the context of all the Omicron mutations (Fig. 3D and 3E). Importantly, the RNA 135 transcript ratio for both spike and N following infection of WT and N679K were nearly identical indicating no deficits in RNA expression of spike in the mutant (Fig. 3F). Together, the results 136 indicate that the N679K mutation reduces the Omicron spike protein levels compared to WT 137 following infection. 138

Having established reduced spike protein in the context of N679K, we next wanted to determine if this reduction only occurs in the context of virus infection or is inherent to the protein. Therefore, we introduced the mutation into the Spike HexaPro plasmid to exogenously express spike protein and separate N679K driven changes from other aspects of viral infection ²¹. Vero

E6 cells were transfected with the WT or N679K mutant spike HexaPro and harvested at 24 and 48 hours post transfection (hpt). Similar to what was observed in viral infection, N679K spike was reduced 43% at 24 hpt and 46% at 48 hpt (**Fig. 3G and 3H**). Overall, the results across virions, cell lysates, and overexpression systems demonstrate that the reduction in spike protein is governed by the N679K mutation in a manner independent of viral infection (**Fig. 3I**).

148 **N679K mutation results in preference for the upper airways.**

Recognizing that decreased spike expression impacts virus infection, we next evaluated 149 the role of N679K on SARS-CoV-2 transmissibility. Using transmission competition, donor 150 hamsters were infected with a 1:1 ratio of WT:N679K SARS-CoV-2 at a total of 10⁵ pfu (Fig. 4A). 151 152 At 24 hpi, donors were paired with naïve recipients, cohoused for 8 hrs, separated, and donors 153 nasal washed. Nasal wash, trachea, and lung were collected to measure viral RNA populations at 2 and 4 days post infection (dpi) for donors and post contact (dpc) for recipients. Surprisingly, 154 while both viruses transmitted, WT and N679K demonstrated distinct replication sites along the 155 respiratory tract (Fig. 4B). N679K dominated the nasal washes and upper airways while WT 156 157 primarily seeded the lungs and lower airways. The trachea serves as a midpoint, with no clear delineation between the viruses (Fig. 4B). Having observed this gradation, we returned to the 158 159 prior hamster study and examined antigen staining of the lung (Fig. 4C). While no significant 160 differences in total antigen were noted, the localization of viral antigen in the N679K infection was 161 distinct and concentrated in the large airways. In contrast, WT was more uniformly distributed in the parenchyma and airways (Fig. 4D). Overall, the results indicate that the N679K mutation shifts 162 163 viral replication towards airway replication.

164 **Discussion**

Most Omicron studies have focused on determining the impact that the RBD mutations have on immune escape, largely overlooking mutations in other spike domains like the CTS1. Harboring the FCS and S1/S2 cleavage site, the CTS1 has been demonstrated as a hotspot for both attenuating and augmenting mutations ⁴⁻⁹. Focusing on Omicron's three CTS1 mutations – 169 H655Y, N679K, and P681H, we generated infectious clones with all three (YKH) or N679K alone 170 in the SARS-CoV-2 WA1 background. The combination of YKH produced a modest increase in endpoint titers after infection of human respiratory cells, and augmented spike processing, 171 consistent with prior studies that tested the effects of H655Y and P681H individually ^{10,11}. 172 173 However, the N679K mutant reduced viral replication in vitro and weight loss in vivo. Mechanistic 174 studies determined that both N679K and Omicron have reduced spike protein incorporated into their virions, less spike protein in infected cell lysates, and inferior production using exogenous 175 spike protein expression systems. Our results argue that reduced spike protein in the context the 176 177 N679K mutation attenuates Omicron strains and may have implications for SARS-CoV-2 178 immunity by reducing spike antigen thus shifting immune recognition. Additionally, while the 179 N679K mutation attenuated the virus *in vivo*, our studies indicate a shift toward the upper airways 180 replication. Overall, the data argue that N679K acts as a loss-of-function mutation that has a significant impact on SARS-CoV-2 Omicron infection, pathogenesis, and transmission dynamics. 181

N679K is likely attenuated because of its decrease in spike protein production. Starting 182 183 with ~20-30% less spike in its virions, one possibility was a change in spike incorporation. However, an even greater decrease (66%) in spike protein was present in infected Vero E6 cell 184 185 lysates, indicated that overall spike protein levels were affected. In addition, we found no change 186 in the ratio of spike message relative to N transcript, suggesting the N679K mutation impacts the protein itself. To confirm that the reduction in spike was not a product of virus infection or host 187 188 immune interactions, we exogenously expressed the spike protein to demonstrate that the N679K spike protein itself was less stable than the WT control. One possible mechanism is that the 189 190 asparagine-to-lysine change introduces a ubiquitination site that could lead to spike degradation. Another possible mechanism is that the N679K mutation itself may destabilize the protein 191 structurally. Additionally, the N679K substitution adds another basic amino acid to the stretch 192 193 including the furin cleavage site; the positively charged lysine extends the polybasic cleavage motif and may facilitate cleavage by additional host proteases ²². Overall, while the exact 194

mechanism is unclear, the N679K mutation results in a less stable spike protein that impactsinfection and pathogenesis of SARS-CoV-2.

Surprisingly, N679K is uniformly found in 100% of Omicron sequences in GSAID, despite 197 being a single nucleotide change in the wobble position ¹². Though attenuated *in vitro*, N679K 198 199 does replicate to similar titers as WT in hamster lungs and at day 2 in nasal washes. Notably, 200 N679K outcompetes WT in the upper airways when in direct transmission competition. These 201 results suggest no deficits in transmission and may augment spread as virus replication in the upper airway is more likely to seed new infections. These results also potentially explain why 202 203 N679K is maintained despite clear attenuation of SARS-CoV-2 infection. Notably, addition of 204 H655Y and P681H in the YKH mutant rescues replication in Calu-3 cells, suggesting that other 205 Omicron mutations may compensate for N679K. However, it is unclear if reverting N679K in the Omicron strains would result in a gain in terms of in vitro replication or in vivo pathogenesis. While 206 N679K in SARS-CoV-2 WA1 produces a clear loss-of-function, the constellation of spike 207 mutations and epistatic interactions may mitigate the deficit in Omicron strains. Importantly, the 208 complete conservation of N679K in Omicron also implies some fitness advantage ²³⁻²⁸. From our 209 data, the shift toward upper airway replication by N679K may explain how it is maintained despite 210 211 lower overall spike protein expression.

212 In addition to impacting primary infection, the reduction in spike protein may have 213 important implications for SARS-CoV-2 and human immunity. Compared to WT, the N679K mutant produces less spike protein upon infection and can potentially skew the ratio of antibodies 214 215 targeting spike and nucleocapsid. Prior work with SARS-CoV had shown that an altered spike/nucleocapsid antibody ratio contributed to vaccine failure in aged mice ²⁹. Therefore, 216 infection with Omicron could increase N targeting antibodies at the expense of spike antibodies. 217 The result would be less protective neutralizing antibody, which may facilitate more breakthrough 218 219 infections. Furthermore, SARS-CoV-2 vaccines based on the Omicron spike may produce less spike protein due to N679K mutation. In the context of the mRNA bivalent vaccines, the N679K 220

mutation may alter the 1:1 ratio of WT to Omicron spike protein; N679K may bias immune responses towards WT spike protein instead of equally between both spike proteins. In addition, the total amount of spike protein produced may be less than previous vaccines formulations, thus diminishing the overall antibody response. These factors potentially contribute to the less than expected increase in immunity against Omicron strains despite the new bivalent vaccine formulations. Moving forward, reverting K679 back to N679 in vaccine may improve spike protein yields and subsequently improve vaccine response to the Omicron variants.

Together, our results demonstrate that Omicron N679K is a loss-of-function mutation 228 229 consistently maintained in subvariants. Mechanistically, the N679K mutation attenuates the virus 230 in vitro and in vivo by increasing spike degradation. While the N679K mutation is attenuating in isolation, other Omicron mutations like H655Y and P681H may compensate for the N679K loss 231 232 of function by amplifying spike processing and infection. However, the decreased spike protein expression by N679K may have implications for immunity induced by infection and vaccines. In 233 addition, while N679K attenuated viral pathogenesis, the shift to the upper airway replication may 234 235 have enhanced transmissibility and contribute to Omicron emergence. Overall, the data highlight that the Omicron CTS1 mutations have a significant impact on SARS-CoV-2 infection and are 236 237 worthy of continued study and surveillance.

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335 Competing Interest Statement

- 336 VDM has filed a patent on the reverse genetic system and reporter SARS-CoV-2. MNV and VDM
- have filed a provisional patent on a stabilized SARS-CoV-2 spike protein. Other authors declareno competing interests.
- 340 Conceptualization: MNV, VDM

Author contributions

- 341 Formal analysis: MNV, VDM
- 342 Funding acquisition: MNV, ALR, SCW, VDM
- 343 Investigation: MNV, REA, DRM, KL, CS, JAP, ALM, LKE, AMM, YPA, WMM, PAVC, JM, DHW,
- 344 KP, ALR

- 345 Methodology: MNV, KSP, VDM
- 346 Project Administration: MNV, VDM

- 347 Supervision: MNV, SCW, DHW, KSP, VDM
- 348 Visualization: MNV, DHW, VDM
- 349 Writing original draft: MNV, VDM
- 350 Writing review and editing: MNV, REA, CS, DHW, VDM, SCW

351 Figure Legends

352 Figure 1. The combination of Omicron mutations H655Y, N679K, and P681H increases viral

353 replication and spike processing.

- (A) Comparison of CTS1 region near the S1/S2 cleavage site between SARS-CoV-2 variants.
- 355 (B) Structure of loop containing the S1/S2 cleavage site on SARS-CoV-2 spike protein. The
- residues that are mutated in Omicron are shown: H655 (magenta), N679 (green), and P681
- 357 (blue). The furin cleavage site RRAR (cyan) and QTQT motif (red) are also shown.
- 358 **(C)** Schematic of WT and YKH SARS-CoV-2 mutant genomes.
- 359 (D) WT and YKH SARS-CoV-2 plaques on Vero E6 cells at 2 dpi.

360 (E) Viral titer from WT and YKH virus stocks representing the highest yield generated from
 361 TMPRSS2-expressing Vero E6 cells.

362 (F-G) Replication kinetics of WT and YKH in Vero E6 (F) and Calu-3 2B4 (G) cells. Cells were

infected at an MOI of 0.01 infectious units/cell (n=3). Data are mean ± s.d. Statistical analysis
 measured by two-tailed Student's t-test.

365 **(H)** Purified WT, YKH, Delta isolate (B.1.617.2), and Omicron (BA.1) virions from Vero E6 366 supernatant were probed with α -Spike and α -Nucleocapsid (N) antibodies in Western blots. Full-367 length spike (FL), S1/S2 cleavage product, and S2' cleavage product are indicated.

(I) Densitometry of FL and S1/S2 cleavage product was performed, and quantification of FL and
S1/S2 cleavage product percentage of total spike is shown. Quantification was normalized to N
for viral protein loading control. WT (black), YKH (blue), Delta isolate (purple), Omicron (orange).
Results are representative of two experiments.

372 Figure 2. N679K attenuates SARS-CoV-2 replication and disease.

- 373 (A) Structural modeling of O-linked glycosylation of threonine 678 (yellow) of QTQTN motif (red)
- and the residues mutated in Omicron H655 (magenta), N679 (green), and P681 (blue) with
- N679 adjacent to the glycosylation. The furin cleavage site RRAR is also shown (cyan).
- 376 **(B)** Schematic of WT and N679K SARS-CoV-2 genomes.
- (C) WT and N679K SARS-CoV-2 plaques on Vero E6 cells at 2 (left) and 3 dpi (right). Average
 plaque sizes noted below.

(D) Viral titer from WT and N679K virus stocks with the highest yield generated form TMPRSS2 expressing Vero E6 cells.

(E-F) Replication kinetics of WT and N679K in Vero E6 (E) and Calu-3 2B4 (F) cells. Cells were
 infected at an MOI of 0.01 infectious units/cell (n=3). Data are mean ± s.d. Statistical analysis
 performed using two-tailed Student's t-test.

(G) Schematic of experimental design for golden Syrian hamster infection with WT (black) or
 N679K (green) SARS-CoV-2. Three- to four-week-old male hamsters were infected with 10⁵ pfu
 and monitored for weight loss over 7 days. At 2, 4, and 7 dpi, nasal washes and lungs were
 collected for viral titer, and lung was collected for histopathology.

388 (H) Weight loss of hamsters infected with WT (black) or N679K (green) SARS-CoV-2 over 7 days.

389 Data are mean ± s.e.m. Statistical analysis measured by two-tailed Student's t-test.

(I-J) Viral titers of lungs (I) and nasal washes (J) collected at 2 and 4 dpi from hamsters infected
with WT (black) or N679K (green) SARS-CoV-2. Data are mean ± s.d. Statistical analysis
measured by two-tailed Student's t-test.

393 Figure 3. N679K results in decreased spike expression on virions and in infected cells.

- (A) Purified WT, N679K, and Omicron (BA.1) virions from Vero E6 supernatants were probed with
 α-Spike and α-Nucleocapsid (N) antibodies in Western blots. Full-length spike (FL), S1/S2
 cleavage product, and S2' cleavage product are indicated.
- (B) Densitometry of spike processing from purified virions applied to Western blots in (A) was
 performed, and quantification of FL and S1/S2 cleavage product percentage of total spike is
 shown. Quantification was normalized to N as viral protein loading control. WT (black), N679K
 (green), Omicron (orange). Results are representative of two experiments.
- 401 (C) Densitometry of spike expression from purified virion Western blots in (A) was performed, and

402 guantification of total spike protein to nucleocapsid ratio is shown. Spike/N ratio is relative to WT.

403 WT (black), N679K (green), Omicron (orange). Results are representative of two experiments.

404 (D) Vero E6 cells were infected with WT, N679K, or Omicron at an MOI of 0.01 infectious
405 units/cell. Cell lysate was collected at 24 hpi and probed with α-Spike and α-Nucleocapsid (N)
406 antibodies in Western blots. Full-length spike (FL), S1/S2 cleavage product, and S2' cleavage
407 product are indicated.

(E) Densitometry of spike expression from infected cell lysate Western blots in (D) was performed,
and quantification of total spike protein to nucleocapsid ratio is shown. Spike/N ratio is relative to
WT. WT (black), N679K (green), Omicron (orange). Results are representative of three biological
replicates.

412 **(F)** Vero E6 cells were infected with WT or N679K at an MOI of 1 infectious units/cell. Cell lysate 413 was collected at 8 hpi in Trizol to extract RNA. RNA transcripts for spike, nucleocapsid and 18S 414 were measured using RT-qpCR. The ratios of $\Delta\Delta$ Ct spike to $\Delta\Delta$ Ct nucleocapsid are shown. Data 415 are mean ± s.d. Statistical analysis measured by two-tailed Student's t-test.

416 **(G)** Vero E6 cells were transfected with Spike HexaPro WT and N679K and cell lysate was 417 collected at 8, 24, and 48 hpt. Lysates were probed with α -Spike and α -GAPDH antibodies in 418 Western blots.

(H) Densitometry of spike expression from transfected cell lysates by Western blot in (G) was
performed, and quantification of relative total spike protein is shown. Spike protein levels were
normalized to GAPDH and are relative to WT. WT (black), N679K (green). Results are
representative of three biological replicates.

(I) While WT virus and exogenous spike plasmid produces abundant spike protein, the N679K
mutation results in less spike protein expression in virions, intracellularly by infection and
transfection of exogenous spike plasmid.

426 Figure 4. N679K results in preference for upper airways.

- (A) Schematic of experimental design of transmission competition in golden Syrian hamsters.
 Donor three- to four-week-old male hamsters were intranasally infected with 10⁵ pfu of WT:N679K
 SARS-CoV-2 in a 1:1 ratio and housed singly. Donors were paired with recipients 24 hpi and
 cohoused for 8 hrs before separating and nasal washing donors. Nasal washes, tracheas, and
 lungs were collected at 2 and 4 days post infection for donors (dpi) and post contact for recipients
 (dpc).
- (B) Next generation sequencing was performed on extracted RNA to measure the percentage of
- 434 WT (black) and N679K (green) present in nasal wash (left), trachea (middle), and lung (right) of
- 435 donors (top) and recipients (bottom).
- 436 (C) Immunohistochemistry of left lung lobes at 2, 4 and 7 dpi staining for nucleocapsid. Hamsters
 437 were singly infected with 10⁵ pfu of either WT or N679K SARS-CoV-2.
- (D) Immunohistochemistry staining of left lung lobes form hamsters infected with WT (black) or
 N679K (green) SARS-CoV-2 were scored by total section (left), airway (middle), or parenchyma
 (right) staining. Data are mean showing minimum and maximum (n=5). Statistical analysis
 measured by two-tailed Student's t-test.

443 **Extended Figure 1. Emergence of Omicron subvariants.**

- (A) Timeline of SARS-CoV-2 variants emergence by earliest documented case reported by the
- 445 WHO.
- (B) Spike mutations across Omicron subvariants with shared mutations across all subvariants
- 447 (gray box) and mutations unique to the specific variant (bolded) indicated. Mutations key to this
- study indicated in bold red.

449 Extended Figure 2. Histopathology of hamsters infected with WT or N679K SARS-CoV-2.

- (A) H&E staining of left lung of hamsters infected with 10^5 pfu of WT (top) or N679K (bottom)
- 451 SARS-CoV-2 at 2 (left), 4 (middle), and 7 (right) dpi. Lungs for both WT and N679K show
- 452 bronchiolitis and interstitial pneumonia at 2 dpi that become more severe at 4 and 7 dpi.
- 453 **(B)** H&E staining of left lung of hamsters infected with 10⁵ pfu of WT (black) or N679K (green)
- 454 were scored for histopathological analysis.

456 Methods

457 Cell Culture

Vero E6 cells were grown in high glucose DMEM (Gibco #11965092) with 10% fetal bovine serum

and 1x antibiotic-antimycotic. TMPRSS2-expressing Vero E6 cells were grown in low glucose

460 DMEM (Gibco #11885084) with sodium pyruvate, 10% FBS, and 1 mg/mL Geneticin[™] (Invitrogen

461 #10131027). Calu-3 2B4 cells were grown in high glucose DMEM (Gibco #11965092) with 10%

462 defined fetal bovine serum, 1 mM sodium pyruvate, and 1x antibiotic-antimycotic.

463 Viruses

The SARS-CoV-2 infectious clones were based on the USA-WA1/2020 sequence provided by 464 465 the World Reference Center of Emerging Viruses and Arboviruses and the USA Centers for Disease Control and Prevention ³⁰. Mutant viruses (YKH and N679K) were generated with 466 restriction enzyme-based cloning using gBlocks encoding the mutations (Integrated DNA 467 Technologies) and our reverse genetics system as previously described ^{15,16}. Virus stock was 468 generated in TMPRSS2-expressing Vero E6 cells to prevent mutations from occurring at the 469 470 FCS. Viral RNA was extracted from virus stock and cDNA was generated to verify mutations by Sanger sequencing. 471

472 Delta isolate (B.1.617.2) was obtained from the World Reference Center of Emerging Viruses and
473 Arboviruses. Infectious clone of Omicron (BA.1) was obtained from Dr. Pei Yong Shi and Dr.
474 Xuping Xie.

475 *In vitro* Infection

Vira infections in Vero E6 and Calu-3 2B4 were carried out as previously described ⁸. Briefly, growth media was removed, and cells were infected with WT or mutant SARS-CoV-2 at an MOI of 0.01 for 45 min at 37°C with 5% CO₂. After absorption, cells were washed three times with PBS and fresh complete growth media was added. Three or more biological replicates were collected at each time point and each experiment was performed at least twice. Samples were titrated with plague assay or focus forming assays.

482 Plaque Assay

Vero E6 cells were seeded in 6-well plates and grown to 80-100% confluency in complete growth media. Ten-fold serial dilutions in PBS were performed on virus samples. Growth media was removed from cells and 200 µl of inoculum was added to monolayers. Cells were incubated for 45 min at 37°C with 5% CO₂. After absorption, 0.8% agarose overlay was added, and cells were incubated at 37°C with 5% CO₂ for 2 days. Plaques were visualized with neutral red stain. Average plaque size was determined using ImageJ.

489 Focus Forming Assay

Focus forming assays (FFAs) were performed as previously described ³¹. Briefly, Vero E6 cells 490 491 were seeded in 96-well plates to be 100% confluent. Samples were 10-fold serially diluted in serum-free media and 20 µl was to infect cells. Cells were incubated for 45 min at 37°C with 5% 492 CO2 before 100 µl of 0.85% methylcellulose overlay was added. Cells were incubated for 24 h 45 493 min at 37°C with 5% CO₂. After incubation, overlay was removed, and cells were washed three 494 times with PBS before fixed and virus inactivated by 10% formalin for 30 min at room temperature. 495 496 Cells were then permeabilized and blocked with 0.1% saponin/0.1% BSA in PBS before incubated with α -SARS-CoV-2 Nucleocapsid primary antibody (Cell Signaling Technology) at 1:1000 in 497 498 permeabilization/blocking buffer overnight at 4°C. Cells are then washed three times with PBS before incubated with Alexa Fluor[™] 555-conjugated α-mouse secondary antibody (Invitrogen 499 500 #A28180) at 1:2000 in permeabilization/blocking buffer for 1 h at room temperature. Cells were washed three times with PBS. Fluorescent foci images were captured using a Cytation 7 cell 501 502 imaging multi-mode reader (BioTek), and foci were counted manually.

503 Hamster Infection

504 Three- to four-week-old male golden Syrian hamsters (HsdHan:AURA strain) were purchased 505 from Envigo. All studies were conducted under a protocol approved by the UTMB Institutional 506 Animal Care and Use Committee and complied with USDA guidelines in a laboratory accredited 507 by the Association for Assessment and Accreditation of Laboratory Animal Care. Procedures

involving infectious SARS-CoV-2 were performed in the Galveston National Laboratory ABSL3 facility. Hamsters were intranasally infected with 10^5 pfu of WT or N679K SARS-CoV-2 in 100 µl. Infected hamsters were weighed and monitored for illness over 7 days. Hamsters were anesthetized with isoflurane and nasal washes were collected with 400 µl of PBS on endpoint days (2, 4, and 7 dpi). Hamsters were euthanized by CO₂ for organ collection. Nasal wash and lung were collected to measure viral titer and RNA. Left lungs were collected for histopathology.

514 Transmission Competition

Three- to four-week-old male golden Syrian hamsters (HsdHan:AURA strain) were purchased 515 516 from Envigo. Ten donor hamsters were intranasally infected with a 1:1 ratio of WT:N679K SARS-CoV-2 totaling 10⁵ pfu in 100 µl and were subsequently singly housed. After 24 hrs post infection, 517 individual donor hamsters were cohoused with a recipient hamster for 8 hrs for contact 518 transmission. Following 8 hrs, hamster pairs were separated and housed singly, and nasal 519 washes were collected from donors. At 2 and 4 days post infection for donors and post contact 520 for recipients, hamsters were nasal washed with 400 µl of PBS and euthanized for trachea and 521 522 lung collection. Nasal washes, tracheas, and lungs were processed in TRIzol and RNA was extracted to perform next generation sequencing. 523

524 Virion Purification

Vero E6 cells were grown in T175 flasks to be 100% confluent at time of infection. Cells were infected with 50 μ l of virus stock in PBS for 45 min at 37°C with 5% CO₂, and growth media with 5% FBS was added after absorption. Supernatant was harvested at 24 hpi and clarified by lowspeed centrifugation. Virions were purified from supernatant by ultracentrifugation through a 20% sucrose cushion at 26,000 rpm for 3 hrs using a Beckman SW28 rotor. Pellets were resuspended with 2x Laemmli buffer to obtain protein samples for Western blot.

531 Western Blot

Protein levels were determined by SDS–PAGE followed by western blot analysis as previously
 described ⁸. In brief, sucrose-purified SARS-CoV-2 virions were inactivated by resuspending in

534 2x Laemmli buffer and boiling. SDS-PAGE gels were run with equal volumes of samples on Mini-PROTEAN TGX gels (Bio-Rad #4561094) followed by transfer onto PVDF membrane. 535 536 Membranes were incubated with α-SARS-CoV S primary antibody (Novus Biologicals #NB100-56578) at 1:1000 dilution in 5% BSA in TBST to measure spike protein processing and 537 538 expression. For loading control, α-SARS Nucleocapsid primary antibody (Novus Biologicals #NB100-56576) at 1:1000 in 5% BSA in TBST was used for viral loading control and α -GAPDH 539 primary antibody (Invitrogen #AM4300) at 1:1000 in 5% BSA in TBST for cellular loading control. 540 Primary antibody incubation was followed by HRP-conjugated α -rabbit secondary antibody (Cell 541 542 Signaling Technology #7074) or HRP-conjugated α-mouse secondary antibody (Cell Signaling 543 Technology #7076) at 1:3000 in 5% milk in TBST. Chemiluminescence signal was developed 544 using Clarity Western ECL substrate (Bio-Rad #1705060) or Clarity Max Western ECL substrate 545 (Bio-Rad #1705062) and imaged with a ChemiDoc MP System (Bio-Rad). Densitometry analysis was performed using ImageLab 6.0.1 (Bio-Rad). 546

547 **RT-qPCR**

Vero E6 cells were infected with an MOI of 1 as detailed above in *in vitro* infection. Cell lysate 548 was collected at 8 hpi in TRIzol. RNA was extracted from TRIzol samples using Direct-zol RNA 549 550 Miniprep Plus kit (Zymo #R2072) to be used in two-step RT-qPCR. cDNA was reverse transcribed 551 from 1 µg of total RNA using LunaScript RT Supermix kit (NEB #E3010) according to 552 manufacturer's instructions. RT-gPCR was performed using Luna Universal gPCR Master mix (NEB #M3003) according to manufacturer's instructions. RT-qPCR cycle was performed as 553 554 follows: 95°C for 60 s (1 cycle), 95°C for 15 s and 51°C for 30 s then plate read (40 cycles), and melt curve from 65°C to 95°C for 5 s. For spike and nucleocapsid transcripts, a forward primer 555 556 binding upstream of the transcription regulatory sequence (TRS) leader region (ACCAACCAACTTTCGATCTCT) 557 was used with reverse primers for spike 558 (TGCAGGGGGTAATTGAGTTCT) and nucleocapsid (CCCACTGCGTTCTCCATTCT). The 18S ribosomal RNA primers were forward (CCGGTACAGTGAAACTGCGAATG) and reverse 559

560 ((GTTATCCAAGTAGGAGAGGAGCGAG). RNA transcript levels for spike and nucleocapsid 561 were determined by $\Delta\Delta$ Ct method with 18S as the internal control. Ratios of $\Delta\Delta$ Ct spike over 562 $\Delta\Delta$ Ct nucleocapsid was reported for each sample.

563 Spike HexaPro Cloning and Transfection

564 SARS-CoV-2 S HexaPro was a gift from Jason McLellan (Addgene plasmid #154754) ²¹. The 565 N679K mutation was cloned into spike HexaPro using a gBlock encoding the mutation (Integrated 566 DNA Technologies) and restriction enzyme-based cloning. Sequences were verified by Sanger 567 sequencing.

Vero E6 cells were grown in 24-well plates to be 100% confluent at time of transfection. Cells were transfected with spike HexaPro WT or N679K plasmid and Lipofectamine 2000 following manufacturer's instructions (Invitrogen). Briefly, 100 ng of spike HexaPro plasmid and 1.5 µl of Lipofectamine 2000 were separately diluted in 50 µl Opti-MEM (Gibco #31985070) before mixing together. After 20 min of room temperature incubation, 100 µl of the transfection mixture was added to cells, and cells were incubated at 37°C with 5% CO₂. Cell lysate was harvested with 2x Laemmli buffer at 24 and 48 hours post transfection to be analyzed by Western blot.

575 Structural Modeling

576 Structural models previously generated were used as a base to visualize residues mutated in 577 Omicron ⁸. Briefly, structural models were generated using SWISS-Model to generate homology 578 models for WT and glycosylated SARS-CoV-2 spike protein on the basis of the SARS-CoV-1 579 trimer structure (Protein Data Bank code 6ACD). Homology models were visualized and 580 manipulated in PyMOL (version 2.5.4) to visualize Omicron mutations.

581 Next Generation Sequencing

582 Next generation sequencing to determine viral RNA populations was performed as previously 583 described ³¹. Briefly, RNA samples were extracted and prepared for Tiled-ClickSeq libraries ³². A 584 modified pre-RT annealing protocol was applied as previously described³¹. The final libraries 585 comprising of 300–700 bps fragments were pooled and sequenced on an Illumina NextSeq platform with paired-end sequencing. The raw Illumina data of the Tiled-ClickSeq libraries were processed with previously established bioinformatics pipelines³². One modification is the introduction of ten wild cards ("N") covering the N679K mutation in the reference genome to allow *bowtie2*³³ to align reads to wild type or variant genomes without bias. PCR duplications were removed using *UMI-tools*³⁴, and the number of unique reads representing WT and N679K variants were counted thereafter.

592 Histology

Left lung lobes were harvested from hamsters and fixed in 10% buffered formalin solution for at least 7 days. Fixed tissue was then embedded in paraffin, cut into 5 µM sections, and stained with hematoxylin and eosin (H&E) on a SAKURA VIP6 processor by the University of Texas Medical Branch Surgical Pathology Laboratory.

597 Immunohistochemistry

Fixed and paraffin-embedded left lung lobes from hamsters were cut into 5 µM sections and 598 mounted onto slides by the University of Texas Medical Branch Surgical Pathology Laboratory. 599 600 Paraffin-embedded sections were warmed at 56°C for 10 min, deparaffinized with xylene (3x 5min washes) and graded ethanol (3x 100% 5-min washes, 1x 95% 5-min wash), and rehydrated 601 602 in distilled water. After rehydration, antigen retrieval was performed by steaming slides in antigen 603 retrieval solution (10 mM sodium citrate, 0.05% Tween-20, pH 6) for 40 min (boil antigen retrieval 604 solution in microwave, add slides to boiling solution, and incubate in steamer). After cooling and 605 rinsing in distilled water, endogenous peroxidases were quenched by incubating slides in TBS 606 with 0.3% H₂O₂ for 15 min followed by 2x 5-min washes in 0.05% TBST. Sections were blocked with 10% normal goat serum in BSA diluent (1% BSA in 0.05% TBST) for 30 min at room 607 temperature. Sections were incubated with primary anti-N antibody (Sino #40143-R001) at 1:1000 608 in BSA diluent overnight at 4°C. Following overnight primary antibody incubation, sections were 609 610 washed 3x for 5 min in TBST. Sections were incubated in secondary HRP-conjugated anti-rabbit antibody (Cell Signaling Technology #7074) at 1:200 in BSA diluent for 1 hour at room 611

temperature. Following secondary antibody incubation, sections were washed 3x for 5 min in TBST. To visualize antigen, sections were incubated in ImmPACT NovaRED (Vector Laboratories #SK-4805) for 3 min at room temperature before rinsed with TBST to stop the reaction followed by 1x 5-min wash in distilled water. Sections were incubated in hematoxylin for 5 min at room temperature to counterstain before rinsing in water to stop the reaction. Sections were dehydrated by incubating in the previous xylene and graded ethanol baths in reverse order before mounted with coverslips.



619

620 621 Figure 1, The combination of Omicron mutations H655Y, N679K, and P681H increases viral replication and spike processing. (A) Comparison of CTS1 region near the S1/S2 cleavage site between SARS-CoV-2 variants. (B) Structure of loop containing the 622 S1/S2 cleavage site on SARS-CoV-2 spike protein. The residues that are mutated in Omicron are shown - H655 (magenta), N679 623 (green), and P681 (blue). The furin cleavage site RRAR (cyan) and QTQT motif (red) are also shown. (C) Schematic of WT and YKH 624 SARS-CoV-2 mutant genomes. (D) WT and YKH SARS-CoV-2 plagues on Vero E6 cells at 2 dpi. (E) Viral titer from WT and YKH 625 626 627 628 virus stock with the highest yield generated from TMPRSS2-expressing Vero E6 cells. (F-G) Growth kinetics of WT and YKH in Vero E6 (F) and Calu-3 2B4 (G) cells. Cells were infected at an MOI of 0.01 (n=3). Data are mean ± s.d. Statistical analysis measured by two-tailed Student's t-test. (H) Purified WT, YKH, Delta isolate (B.1.617.2), and Omicron (BA.1) virions from Vero E6 supernatant were probed with α-Spike and α-Nucleocapsid (N) antibodies in Western blots. Full-length spike (FL), S1/S2 cleavage product, and S2' 629 cleavage product are indicated. (I) Densitometry of FL and S1/S2 cleavage product was performed, and quantification of FL and S1/S2 630 cleavage product percentage of total spike is shown. Quantification was normalized to N for viral protein loading control. WT (black), 631 YKH (blue), Delta isolate (purple), Omicron (orange). Results are representative of two experiments.



Figure 2. N679K attenuates SARS-CoV-2 replication and disease when isolated.
(A) Structural modeling of O-linked glycosylation of threonine 678 (yellow) of QTQTN motif (red) and the residues mutated in Omicron – H655 (magenta), N679 (green), and P681 (blue) – with N679 adjacent to the glycosylation. The furin cleavage site RRAR is also shown (cyan). (B) Schematic of WT and N679K SARS-CoV-2 genomes. (C) WT and N679K SARS-CoV-2 plaques on Vero E6 cells at 2 dpi (left) and 3 dpi (right). Average plaque size noted below. (D) Viral titer from WT and N679K virus stock with the highest yield generated form TMPRSS2-expressing Vero E6 cells. (E-F) Growth kinetics of WT and N679K in Vero E6 (E) and Calu-3 2B4 (F) cells. Cells were infected at an MOI of 0.01 (n=3). Data are mean ± s.d. Statistical analysis measured by two-tailed Student's t-test. (G) Schematic of experiment design for golden Syrian hamster infection with WT (black) or N679K (green) SARS-CoV-2. Three- to fourweek-old make hamsters were infected with 10⁵ pfu and monitored for weight loss over 7 days. At 2, 4, and 7 dpi, nasal wash and lung was collected for viral titer, and lung was collected for histopathology. (H) Weight loss of hamsters infected with WT (black) or N679K (green) SARS-CoV-2. over 7 days. Data are mean ± s.e.m. Statistical analysis measured by two-tailed Student's t-test. (I-J) Viral titer of lung (I) and nasal wash (J) collected at 2 and 4 dpi from hamsters infected with WT (black) or N679K (green) SARS-CoV-2. Data are mean ± s.d. Statistical analysis measured by two-tailed Student's t-test.



Figure 3. N679K results in decreased spike expression on virions and in cell lysate.

648 649 (A) Purified WT, N679K, and Omicron (BA.1) virions from Vero E6 supernatant were probed with α-Spike and α-Nucleocapsid (N) antibodies in Western blots. Full-length spike (FL), S1/S2 cleavage product, and S2' cleavage product are indicated. (B) Densitometry 650 of spike processing from purified virion Western blot in (A) was performed, and quantification of FL and S1/S2 cleavage product 651 652 653 654 655 656 657 percentage of total spike is shown. Quantification was normalized to N as viral protein loading control. WT (black), N679K (green), Omicron (orange). Results are representative of two experiments. (C) Densitometry of spike expression from purified virion Western blot in (A) was performed, and quantification of total spike protein to nucleocapsid ratio is shown. Spike/N ratio is relative to WT. WT (black), N679K (green), Omicron (orange). Results are representative of two experiments. (D) Vero E6 cells were infected with WT, N679K, or Omicron at an MOI of 0.01. Cell lysate was collected at 24 hpi and probed with α-Spike and α-Nucleocapsid (N) antibodies in Western blots. Full-length spike (FL), S1/S2 cleavage product, and S2' cleavage product are indicated. (E) Densitometry of spike expression from infected cell lysate Western blot in (D) was performed, and quantification of total spike protein to nucleocapsid ratio 658 659 is shown. Spike/N ratio is relative to WT. WT (black), N679K (green), Omicron (orange). Results are representative of three biological replicates. (F) Vero E6 cells were infected with WT or N679K at an MOI of 1 infectious units/cell. Cell lysate was collected at 8 hpi in 660 Trizol to extract RNA. RNA transcripts for spike, nucleocapsid and 18S were measured using RT-qpCR. The ratios of ΔΔCt spike to 661 ΔΔCt nucleocapsid are shown. Data are mean ± s.d. Statistical analysis measured by two-tailed Student's t-test. (G) Vero E6 cells 662 663 were transfected with Spike HexaPro WT and N679K and cell lysate was collected at 8, 24, and 48 hpt. Lysates were probed with α-Spike and α-GAPDH antibodies in Western blots. (H) Densitometry of spike expression from transfected cell lysates by Western blot 664 665 in (G) was performed, and quantification of relative total spike protein is shown. Spike protein levels were normalized to GAPDH and are relative to WT. WT (black), N679K (green). Results are representative of three biological replicates. (I) While WT virus and 666 exogenous spike plasmid produces abundant spike protein, the N679K mutation results in less spike protein expression in virions and 667 intracellularly by infection and transfection of exogenous spike plasmid.



669

670 Figure 4. N679K results in preference for upper airways.

671 (A) Schematic of experimental design of transmission competition in golden Syrian hamsters. Donor three- to four-week-old male 672 673 674 675 hamsters were intranasally infected with 10⁵ pfu of WT:N679K SARS-CoV-2 in a 1:1 ratio and housed singly. Donors were paired with recipients 24 hpi and cohoused for 8 hrs before separating and nasal washing donors. Nasal washes, tracheas, and lungs were collected at 2 and 4 days post infection for donors (dpi) and post contact for recipients (dpc).

(B) Next generation sequencing was performed on extracted RNA to measure the percentage of WT (black) and N679K (green) 676 677 present in nasal wash (left), trachea (middle), and lung (right) of donors (top) and recipients (bottom).

(C) Immunohistochemistry of left lung lobes at 2, 4 and 7 dpi staining for nucleocapsid. Hamsters were singly infected with 105 pfu of 678 either WT or N679K SARS-CoV-2.

679 (D) Immunohistochemistry staining of left lung lobes form hamsters infected with WT (black) or N679K (green) SARS-CoV-2 were

680 scored by total section (left), airway (middle), or parenchyma (left) staining. Data are mean showing minimum and maximum (n=5). 681 Statistical analysis measured by two-tailed Student's t-test.





682

683 Extended Figure 1. Emergence of Omicron subvariants.

684 (A) Timeline of SARS-CoV-2 variants emergence by earliest documented case reported by the WHO.

685 (B) Spike mutations across Omicron subvariants with shared mutations across all subvariants (gray box) and mutations unique to 686

the specific variant (bolded) indicated. Mutations key to this study indicated in bold red.



Extended Figure 2. Histopathology of hamsters infected with WT or N679K SARS-CoV-2.

687 688 689 690 691 692 693 (A) H&E staining of left lung of hamsters infected with 10⁵ pfu of WT (top) or N679K (bottom) SARS-CoV-2 at 2 (left), 4 (middle), and 7 (right) dpi. Lungs for both WT and N679K show bronchiolitis and interstitial pneumonia at 2 dpi that become more severe at 4 and 7 dpi.

(B) H&E staining of left lung of hamsters infected with 10⁵ pfu of WT (black) or N679K (green) were scored for histopathological analysis.