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**Background.** National guidelines recommend antiviral treatment for children with influenza at high risk for complications regardless of symptom duration. Little is known about concordance of clinical practice with this recommendation.

*Methods.* We performed a cross-sectional study of outpatient children (aged 1–18 years) at high risk for complications who were diagnosed with influenza during the 2016–2019 influenza seasons. High-risk status was determined using an existing definition that includes age, comorbidities, and residence in a long-term care facility. The primary outcome was influenza antiviral dispensing within 2 days of influenza diagnosis. We determined patient- and provider-level factors associated with guideline-concordant treatment using multivariable logistic regression.

**Results.** Of the 274 213 children with influenza at high risk for influenza complications, 159 350 (58.1%) received antiviral treatment. Antiviral treatment was associated with the presence of asthma (aOR, 1.13; 95% confidence interval [CI], 1.11–1.16), immunosuppression (aOR, 1.10; 95% CI, 1.05–1.16), complex chronic conditions (aOR, 1.04; 95% CI, 1.01–1.07), and index encounter in the urgent care setting (aOR, 1.3; 95% CI, 1.26–1.34). Factors associated with decreased odds of antiviral treatment include age 2–5 years compared with 6–17 years (aOR, 0.95; 95% CI, .93–.97), residing in a chronic care facility (aOR, .61; 95% CI, .46–.81), and index encounter in an emergency department (aOR, 0.66; 95% CI, .63–.71).

**Conclusions.** Among children with influenza at high risk for complications, 42% did not receive guideline-concordant antiviral treatment. Further study is needed to elucidate barriers to appropriate use of antivirals in this vulnerable population.

Keywords. influenza; oseltamivir; pediatrics; antiviral.

In the United States, approximately 25 000 children are hospitalized annually with influenza, accounting for up to 10% of all pediatric hospitalizations during the winter season [1, 2]. Complications of influenza can be life-threatening and include secondary bacterial infections, sepsis, neurologic complications such as meningitis, and death. Young children and those with underlying comorbidities are at higher risk for hospitalization, influenza complications, and death [3–8].

The preponderance of published data supports the use of antivirals, specifically oseltamivir, to treat influenza, especially in children at high risk for complications. Oseltamivir acts as neuraminidase inhibitor to prevent viral replication and reduce

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infectivity. Oseltamivir use is reported to decrease symptom duration, hospitalizations, hospital length of stay, and complications [1, 9-22]. Meta-analyses have concluded that oseltamivir is also associated with a decreased risk of otitis media [21, 22] and less antibiotic use in children [21].

The American Academy of Pediatrics (AAP), the Infectious Disease Society of America (IDSA), and the Centers for Disease Control and Prevention (CDC) state that oseltamivir or other influenza antiviral treatment may be considered in healthy, symptomatic children within 48 hours of symptoms onset [23, 24]. Importantly, the AAP, IDSA, and CDC strongly recommend antiviral treatment in all children at high risk for influenza complications regardless of duration of symptoms at diagnosis [23, 24].

Previous reports suggest that oseltamivir and other influenza antivirals may be underutilized in children [25–31]. For example, despite recommendations that all children hospitalized with influenza receive oseltamivir, almost 25% of children do not receive it during their hospitalization [32–34]. However, the frequency of outpatient oseltamivir use in children at

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#### Table 1. Cohort Demographic and Clinical Characteristics

Characteristic	All Patients With an Influenza Diagnosis and at High Risk for Complications	No Antiviral Treatment, N (%)	Antiviral Treatment, N (%)	
Ν	274 213	114 863 (41.9)	159350 (58.1)	
Age				
Median (interquartile range), years	4 (3–9)	4 (3–8)	4 (3–9)	
1	19 725 (7.2)	8530 (43.2)	11 195 (56.8)	
2–5	145 124 (52.9)	63 436 (43.7)	81 688 (56.3)	
6–11	67 693 (24.7)	26 632 (39.3)	41 061 (60.7)	
12–17	41 671 (15.2)	16 265 (39)	25 406 (61)	
Male gender	146 709 (53.5)	60 738 (41.4)	85971 (58.6)	
Race/Ethnicity				
Non-Hispanic White	125049 (45.6)	53 130 (42.5)	71 919 (57.5)	
Non-Hispanic Black	87 306 (31.8)	36 336 (41.6)	50 970 (58.4)	
Hispanic	23 262 (8.5)	7984 (34.3)	15278 (65.7)	
Other	10 929 (4)	4510 (41.3)	6419 (58.7)	
Unknown	27 667 (10.1)	12 903 (46.6)	14764 (53.4)	
Risk factor				
Age <5 years	164 849 (60.1)	71 966 (62.7)	92 883 (58.3)	
Complex chronic conditions	26 986 (9.8)	10 937 (40.5)	16049 (59.5)	
Asthma	67 593 (24.6)	25 694 (38)	41 899 (62)	
Immunosuppressed condition	7235 (2.6)	2874 (39.7)	4361 (60.3)	
Pregnancy or post-partum	2907 (1.1)	1236 (42.5)	1671 (57.5)	
Obesity	39 778 (14.5)	16 147(40.6)	23 631 (59.4)	
Nursing homes and other chronic care facilities	191 (.1)	96 (50.3)	95 (49.7)	
Neurologic comorbidity	15262 (5.6)	6297 (41.3)	8965 (58.7)	
Location of care				
Emergency department	93 829 (34.2)	46 019(49)	47810 (51)	
Urgent care	24 164 (8.8)	8190 (33.9)	15974 (66.1)	
Office	156 220 (57)	60 654 (38.8)	95 566 (61.2)	
Hospitalization ≤7 days of diagnosis	843 (.3)	458 (.4)	385 (.2)	

high risk for influenza complications in the United States is unknown. We sought to determine the prevalence of and factors associated with guideline-concordant antiviral treatment in outpatient children at high risk for influenza complications in the United States.

# METHODS

## **Study Design and Population**

We conducted a cross-sectional study using the MarketScan Medicaid database (IBM Watson Health, Armonk, NY), which includes data on paid Medicaid claims for all covered health-care encounters, including both outpatient and inpatient settings [35]. We included children aged 1–18 years at high risk for influenza complications with an influenza diagnosis (Supplementary Table 1) between 2016 and 2019. Included children were continuously enrolled ( $\geq$ 12 months) in Medicaid or the Children's Health Insurance Program fee-for-service and managed care plans from 12–15 states (depending on the year) within all geographic regions of the United States. Those evaluated in the emergency department (ED) were included only if they were discharged from the ED and not if they were admitted to the hospital directly from

the ED. We required continuous enrollment during the 12 months prior to the qualifying diagnosis in order to comprehensively capture healthcare utilization and accurately classify comorbidities. Exclusion criteria included hospitalization at the time of influenza diagnosis (eg, hospitalization and diagnosis on the same day), prior influenza infection diagnosis within 30 days, radiology or laboratory visit with an International Classification of Diseases, 10th Edition (ICD-10), Clinical Modification, claim for influenza, and infants aged <1 year. Radiology and laboratory visit diagnoses were excluded as these are not typically provider encounters and reflect carryover diagnoses from provider encounters. Infants were excluded because oseltamivir had not been approved by the US Food and Drug Administration (FDA) in all children aged <1 year during the study period. This study of deidentified data was considered exempt from review by the Vanderbilt University Medical Center Institutional Review Board.

#### Influenza Infection and Season Definition

Outpatient influenza infections were identified using ICD-10 diagnoses codes [33, 36] for influenza (Supplementary Table 1). Recent studies demonstrate that ICD-10 codes have greater validity than ICD-9 codes for influenza infection

[37–40] and influenza ICD-10 codes have been successfully implemented as influenza surveillance systems [40, 41]. The influenza season was defined as the period from 1 October through 30 April in 2016–2017 and 2017–2018. The 2018–2019 influenza season encompassed 1 October through 31 December due to lack of available data in calendar year 2019.

## **Children at High Risk for Influenza Complications**

Children at high risk for influenza complications were identified using the AAP/IDSA definitions for high-risk children (Table 1) and included age <5 years, chronic neurological conditions (neurological conditions defined using the pediatric complex chronic conditions [CCCs] classification system version 2) [42], asthma diagnosis, pregnancy or post-partum status, obesity diagnosis [43], residing in a nursing home or other chronic care facility, CCCs (categorized as immunosuppressive CCC and nonneurologic CCCs), neurologic comorbidity (defined as presence of a neurologic CCC or high-intensity neurologic impairment diagnosis [44]; Supplementary Table 2) [42]. The neurologic comorbidity definition comprised both CCC and high-intensity neurologic impairment diagnosis to comprehensively capture children with neurologic comorbidities as this is an especially high-risk population at increased risk for morbidity and mortality with influenza disease [33, 45-47]. A lookback period of 1 year prior to influenza diagnosis was used to identify high-risk comorbid conditions. Indigenous American and Alaska Native race and ethnicity were not considered as they are not separately identifiable in the race/ethnicity categorization in the database. Children with long-term aspirin use were excluded due to the low frequency of aspirin use in children. Hospitalization was excluded as a risk factor given that the database lacks inpatient medication dispensing information.

## Outcomes

The primary outcome was antiviral treatment defined as a dispensed pharmacy claim for any influenza antiviral (oseltamivir or zanamivir) within 2 calendar days of influenza diagnosis. Peramivir was excluded due to its limited use in the outpatient setting as an intravenous medication, and baloxavir was excluded due to its FDA approval late in the study period and limited inclusion Medicaid formularies. Antivirals were identified using a National Drug Code. Our secondary analysis focused on identifying factors associated with influenza antiviral prescribing. These included (determined a priori) category of known risk factors for influenza complications (age <5 years, asthma, CCC, immunosuppressed condition, neurologic comorbidity, pregnancy or post-partum, obesity, nursing homes and other chronic care facilities), influenza season, and location of care (office, urgent care, and ED).

## **Statistical Analyses**

Demographic characteristics were summarized using frequencies and percentages for categorical variables and geometric means with standard deviations for continuous variables. The proportion of children at high risk for complications with antiviral prescribing was calculated by dividing the number of high-risk individuals with a qualifying influenza diagnosis and antiviral dispensing by the total number of high-risk individuals with a qualifying influenza diagnosis. Factors independently associated with antiviral treatment were identified a priori and evaluated using multivariable logistic regression incorporating the following covariates: age, sex, race/ethnicity, AAP/IDSA risk factor category, concurrent lower respiratory infection (defined as the presence of a pneumonia, empyema, or lower respiratory tract diagnosis [48]), concurrent antibiotic use, number of CCCs, influenza season, and location of care (office, urgent care, and ED). Adjusted odds ratios (aORs) for influenza risk factors and location of care were generated using logistic regression. All analyses were performed using SAS version 9.4 (SAS Institute, Inc, Cary, NC), and P < .05 was considered statistically significant.

# RESULTS

## **Study Population**

Of 601 847 children with an influenza diagnosis, 274 213 were identified as high risk for influenza complications and included in the study. Median age was 4 years (interquartile range, 3–9), and the majority of included children were male, of White race or ethnicity, and were cared for in the office setting. Individuals who received antiviral treatment were less likely to be hospitalized within 7 days of diagnosis compared with those who did not receive antiviral treatment (P < .001). Additional demographic and clinical characteristics can be found in Table 1.

## **Prevalence of Antiviral Treatment**

Of the 274 213 individuals at high risk for influenza complications, 159 350 (58.1%) received antiviral treatment. Of the antivirals prescribed, oseltamivir was used almost exclusively (159 344, 99.9%). There were statistically significant differences in the proportion of children prescribed antivirals by influenza season (Table 2).

#### **Factors Associated With Antiviral Treatment**

Factors associated with antiviral treatment in children at high risk for influenza complications included the presence of an asthma diagnosis, immunosuppression, other CCCs, and treatment in the urgent care setting (compared with the office setting; Figure 1). Factors associated with decreased odds of antiviral treatment included age 2–5 years compared with age 6–17 years, residing in a chronic care facility, and being

Treatment	All Patients	2016–2017ª	2017–2018ª	2018–2019 <sup>b</sup>	P Value
Any	159350 (58.1%)	52 499 (62.9%)	60 182 (60.6%)	46 669 (51%)	<.001
Oseltamivir	159344 (58.1%)	52 498 (62.9%)	60 179 (60.6%)	46667 (51%)	<.001
Zanamivir	7 (0%)	2 (0%)	3 (0%)	2 (0%)	.931

evaluated in an ED (Figure 1). To determine whether children aged <5 years without comorbidities were driving the lower odds of antiviral treatment, we compared antiviral use in children aged <5 years with and without CCCs and found similar rates of antiviral use (59.4% vs 57.9%, respectively; P < .001; Supplementary Table 3).

# DISCUSSION

In this large, multicenter study of antiviral treatment among children with influenza at high risk for influenza complications, we found that 4 in 10 children with an influenza diagnosis and at high risk for influenza complications did not receive antiviral therapy as recommended broadly by national organizations and treatment guidelines. Prescribing was higher among children with selected comorbidities but less common in children aged 2–5 years and in those residing in a chronic care facility or being evaluated in the ED.

Our finding of limited use of antiviral treatment in children and adolescents at high risk for influenza complications is concerning. The AAP, IDSA, and CDC recommend treatment based on strong evidence that high-risk children disproportionately experience poor influenza outcomes [24, 49]. For example, children with underlying neurologic or neuromuscular conditions are more likely to experience respiratory failure, shock, encephalopathy, and death from influenza compared with children without these conditions [33, 45–47]. Children with nonneurologic medical conditions are also more likely to require hospitalization, mechanical ventilation, and intensive care and to develop neurologic complications [11, 24, 46]. Children aged <2 years are at higher risk of hospitalization and death from influenza compared with older

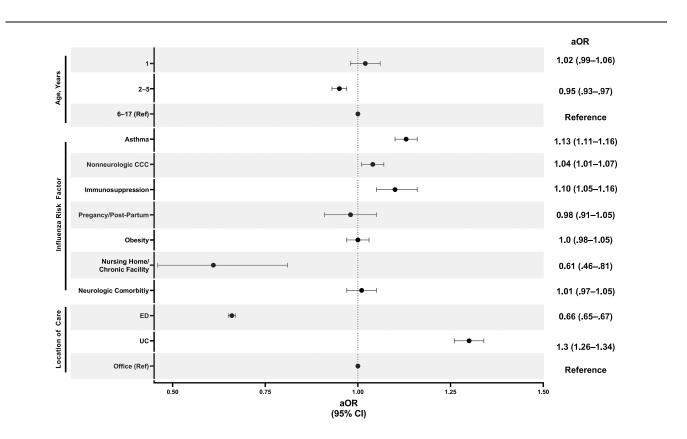


Figure 1. Adjusted odds of receiving antiviral treatment.

children and adolescents [24]. There is strong evidence that guideline-concordant antiviral therapy improves outcomes in this population. A meta-analysis of 11 randomized, controlled trials found that antiviral therapy was associated with an approximately 65% reduction in influenza-related complications in high-risk children [22]. In hospitalized children, antiviral treatment has been associated with decreased prevalence of respiratory failure and death, as well as intensive care unit and overall hospital length of stay [18, 50, 51]. In children with CCCs, antiviral use was associated with significant reductions in pneumonia, otitis media, and hospitalization [11, 49]. There is a wealth of data that support the high-risk status in the pediatric population for other risk factors such as pregnancy, asthma, immunosuppression, obesity, and others. We did find that certain high-risk groups (those with immunocompromised conditions, asthma, and nonneurologic CCCs) did have a greater odds of treatment [24, 52, 53]. However, given these data, our finding that a substantial proportion of high-risk children with influenza do not receive antiviral treatment is alarming and provides a high-value target for care improvement.

We identified several factors associated with decreased odds of guideline-concordant antiviral treatment in high-risk children that may provide targeted areas for improvement. High-risk children aged 2-5 years, those residing in a chronic care facility, and those cared for in the ED were less likely to receive antiviral treatment. The underlying reasons for these groups receiving less treatment are multifactorial and likely include patient-, clinician-, and systems-level factors. For example, for a child being discharged from the ED, a common patient-level factor may be severity of illness. Children who present with mild symptoms or during convalescence of illness may be prescribed less antivirals. The spectrum of illness and risk tolerance experienced by providers (eg, general pediatricians, ED providers) varies and may also lead to differential prescribing. An ED provider may be less inclined to treat a patient being discharged home who is late in the disease course and improving without treatment. An example of a systems-level factor is that the capability and accuracy of influenza testing may vary by provider type and location of care. However, establishing a diagnosis through testing is not a requirement to treat with oseltamivir, although it is recommended in high-risk individuals. Finally, it is probable that some of the decreased dispensed pharmacy claims for antivirals were due to pharmacies being closed in the evening or patient improvement by the time caregivers were able to pick up their prescriptions, rather than lack of prescribing by providers. These situations may all ultimately lead to fewer dispensed pharmacy claims for influenza antivirals despite a diagnosis of influenza.

Our findings are similar to those in the adult population. A meta-analysis of 26 studies found a wide range in use of antiviral treatment among adults with influenza [26]. A recent study of a population that included both adults and children revealed

that approximately 40% of individuals at high risk for influenza complications did not receive treatment [25]. The reason for low prescribing overall and for high-risk children in particular is likely multifactorial. Qualitative studies reveal oseltamivir underprescribing by physicians is driven by concerns of its risk-benefit profile [54]. Concern surrounding the effective-ness of oseltamivir, overall, as well as the safety of oseltamivir, in particular, neuropsychiatric adverse events, may mitigate prescribing efforts among providers [26, 55]. Given controversary surrounding manufacturer data transparency regarding oseltamivir effectiveness [56], it is also possible that some prescribers do not routinely prescribe influenza antivirals. For these same reasons, parental skepticism on the safety and effectiveness of oseltamivir may lead to caregivers not filling the prescription [26].

Our results must be considered in the context of several limitations. First, it is possible that some Medicaid enrollees may have supplemental commercial insurance or pay for certain prescriptions out of pocket, which would not be captured in these data. However, the high cost of oseltamivir in this public insurance mitigates this concern. Our findings will generalize best to children with only Medicaid only insurance. Second, we identified antiviral use by the presence of a pharmacy claim, which indicates a prescription was dispensed but we cannot identify if a prescription was written but never filled. As mentioned previously, this limitation would miss patients who may have improved without antiviral treatment and whose caregivers opted not to fill the prescription, despite adherence to guidelines by providers. Third, we used ICD-10 diagnosis codes to identify influenza infections, the validity of which is not well characterized in the outpatient setting in the United States; it is possible there is misclassification of influenza diagnoses. We likely have underestimated cases of influenza as pediatric patients without influenza testing may be diagnosed with influenza-like illness or viral illness in the outpatient setting. Fourth, we used ICD-10 codes to identify children with obesity. Diagnosis codes have high specificity but relatively low sensitivity for capturing children with obesity [57, 58]. Therefore, we underestimated the prevalence of obesity in our study, which would likely bias our results toward a null finding. Fifth, the Truven MarketScan database does not contain zip code-level data. There may be differences in prescribing by urban/rural status (rural, metropolitan, urban regions) that could not be accounted for in the analysis. We were unable to identify all children and adolescents at high risk for influenza complications including those with prolonged aspirin use, those of Indigenous American or Alaska heritage, and hospitalized patients. Furthermore, the Truven database contains limited sociodemographic information, including limited race and ethnicity data. Therefore, we were unable to perform a rigorous evaluation on the role of race and ethnicity in antiviral use among high-risk children. Further study in needed to evaluate race, ethnicity, and other sociodemographic factors in the management of children with influenza. Finally, in an unadjusted analysis, we found a statistically significant difference in hospitalization among children exposed to oseltamivir. Additional rigorous evaluation of the relationship between oseltamivir and risk of hospitalization is warranted to determine the accuracy of this association after adjusting for other clinical factors. Nevertheless, these findings highlight a need to improve care for children at high risk for influenza complications, especially those in chronic care facilities, cared for in the ED, and young children.

# CONCLUSIONS

Among children with influenza at high risk for complications, 42% did not receive guideline-recommended antiviral treatment. Antiviral treatment varied according to a number of patient- and provider-level factors. Future studies should evaluate why certain high-risk individuals were less likely to receive antiviral treatment. Further study is needed to elucidate the role of provider and caregiver perceptions, preferences, and other determinants to improve guideline-concordant use of antivirals in these vulnerable populations.

#### Supplementary Data

Supplementary materials are available at *Clinical Infectious Diseases* online. Consisting of data provided by the authors to benefit the reader, the posted materials are not copyedited and are the sole responsibility of the authors, so questions or comments should be addressed to the corresponding author.

#### Notes

**Disclaimer.** The content presented here is solely the responsibility of the authors and does not necessarily represent the official views of the National Institutes of Health (NIH).

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