




# BMJ Open Prevalence of multimorbidity and its associations with hospitalisation or death in Japan 2014–2019: a retrospective cohort study using nationwide medical claims data in the middle-aged generation

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## ABSTRACT

**Objective** To describe the prevalence of multimorbidity and its associations with clinical outcomes across age groups.

**Design** Retrospective cohort study using nationwide medical claims data.

**Setting** Carried out in Japan between April 2014 and March 2019.

**Participants** N=246 671 Japanese individuals aged 20–74 enrolled in the health insurance were included into the baseline data set for fiscal year (FY) 2014. Of those, N=181 959 individuals were included into the cohort data set spanning FY2014–FY2018.

**Exposures** Multimorbidity was defined as having ≥2 of 15 chronic conditions according to the International Classification of Diseases 10th Revision codes of the Charlson Comorbidity Index.

**Primary and secondary outcomes** Primary outcome: the standardised prevalence of multimorbidity across age groups was evaluated using data from FY2014 and extrapolated to the Japanese total population. Secondary outcome: hospitalisation or death events were traced by month using medical claims data and insurer enrolment data. Associations between multimorbidity and 5-year hospitalisation and/or death events across age groups were analysed using a Cox regression model.

**Results** The standardised prevalence rate of multimorbidity in the nationwide Japanese total population was estimated to 26.1%. The prevalence rate with age was increased, approximately 5% (ages 20–29), 10% (30–39), 20% (40–49), 30% (50–59), 50% (60–69) and 60% (70–74). Compared with individuals aged 20–39 without multimorbidity, those with multimorbidity had a higher incidence of clinical events in any age group (HR=2.43 (95% CI 2.30 to 2.56) in ages 20–39, HR=2.55 (95% CI 2.47 to 2.63) in ages 40–59 and HR=3.41 (95% CI 3.23 to 3.53) in ages ≥60). The difference in the incidence of clinical events between multimorbidity and no multimorbidity was larger than that between age groups.

**Conclusions** Multimorbidity is already prevalent in the middle-aged generation and is associated with poor clinical outcomes. These findings underscore the

## STRENGTHS AND LIMITATIONS OF THIS STUDY

- ⇒ The current study covers a wide age range of individuals from a nationwide general population.
- ⇒ Japan's high medical insurance coverage rate made it possible to comprehensively identify chronic diseases from receipts.
- ⇒ The longitudinal analysis enabled the examination of clinical outcomes of multiple comorbidities.
- ⇒ The prevalence of multimorbidity may be underestimated because the target population comprised regular employees and their families and might accordingly be healthier than the general population.

significance of multimorbidity and highlight the urgent need for preventive intervention at the public healthcare level.

## INTRODUCTION

Ageing societies worldwide face the problem of how to provide adequate and affordable healthcare for a growing number of patients with multiple chronic conditions, termed multimorbidity.<sup>1 2</sup> Managing multimorbidity is becoming a global challenge on the clinical and public healthcare level not only in high-income countries, but also in low-income and middle-income countries.<sup>3</sup> Many epidemiological studies on multimorbidity have shown its association with age, sociodemographic and socioeconomic factors.<sup>4–7</sup> In addition, numerous studies have shown that multiple comorbidities are common in older people.<sup>8–11</sup> It has been reported that multimorbid older patients had more than two times as many contacts per year with physicians than those without multimorbidity<sup>12</sup> and that the likelihood of being hospitalised was increased by a factor of 5.6 due to multiple

comorbidities.<sup>8</sup> On the other hand, the accumulation of chronic diseases occurs continuously from middle age. A number of recent studies conducted in various countries have reported that the onset of multimorbidity is shifting toward younger age groups.<sup>6 13–15</sup> However, multimorbidity studies tend to focus on older people, and in-depth knowledge on multimorbidity in younger age groups is lacking.

Here, to evaluate the current status of multimorbidity across age groups and examine its association with clinical outcomes, we analysed a large nationwide medical claims cohort. Our findings add to existing knowledge by showing that multimorbidity has a significant impact on health starting from middle age and underscore the need for preventive intervention on the public healthcare level.

## MATERIALS AND METHODS

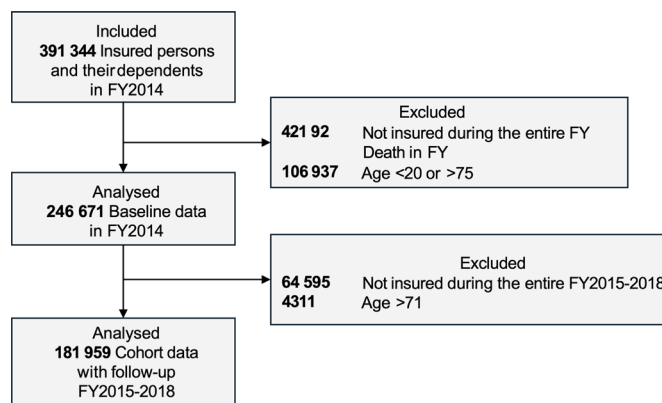
### Data source

We used the nationwide medical claims and enrolment data of the Health Insurance Association for Architecture and Civil Engineering companies (HIA<sup>2</sup>CE), which is one of the largest social insurance associations in Japan. HIA<sup>2</sup>CE is a comprehensive insurer which includes 1700 companies, from small engineering companies to middle and large construction companies across Japan. This claims database covers a total of 400 000 insured persons, consisting of employees and their dependents.

The insured-based database is used widely and one of the popular real-world data in Japan.<sup>16</sup> Japan has maintained a universal health coverage system since 1961. All medical information regarding clinical practice covered by this health insurance is included in the medical claims data, except for self-financed medical care and individuals who receive public assistance. Furthermore, medical facilities have been obliged since 2011 to submit medical claims data as an electronic record. Medical claims data include the names of the diagnosed diseases, the names of medical procedures and the names of prescribed medications, among others. In the present study, we extracted the age, sex, names and International Classification of Diseases 10th Revision (ICD-10) codes of diagnosed diseases, and hospitalisations and deaths from the medical claims data in HIA<sup>2</sup>CE from fiscal year (FY) 2014 to FY2018 (April 2014 to March 2019). The enrolment data from HIA<sup>2</sup>CE includes the medical characteristics and in-out information of insured persons as of April 2019.

### Research design and study population

We prepared two data sets for analysis. The first was a cross-sectional data set containing baseline data of FY2014, which we used to describe the diagnosed disease prevalence in FY2014. The study population for this baseline data set included individuals aged 20–74 years insured in FY2014 (April 2014 to March 2015). Since HIA<sup>2</sup>CE is a type of insurance for workers in Japan, the



**Figure 1** Participant selection flowchart. FY, fiscal year.

database include only under 75 years individuals. Therefore, the maximum age in this cohort was 74 years. Participants younger than 20 in FY2014 as well as participants who died during FY2014 were excluded (figure 1). The cohort data set contained longitudinal data for a 5-year period, FY2014 to FY2018 (April 2014 to March 2019). The second data set contained participants insured in whole period. We used this cohort data set to conduct Cox regression analysis and calculate HRs for clinical events (figure 1).

### Definition of diagnosed diseases and multimorbidity

There are a variety of definitions for chronic conditions in multimorbidity studies.<sup>17–19</sup> We used the Charlson Comorbidity Index (CCI) which is a validated tool to assess the diseases associated with a significant risk of clinical events.<sup>20 21</sup> The reason, we used CCI, was that we focused on describing the prevalence of each disease and also assessing the association of multimorbidity on hospitalisation or death. The CCI Canadian version has been reported to be applicable to Japanese claims data.<sup>22</sup> We therefore defined diagnosed diseases using medical claims data following ICD-10 codes of the CCI Canada version. We merged the conditions ‘diabetes with chronic complication’ and ‘diabetes without chronic complication’ into ‘diabetes mellitus’, and ‘mild liver disease’ and ‘moderate or severe liver disease’ into ‘liver disease’. The following 15 chronic conditions were included: AIDS/HIV, any malignancy (including lymphoma and leukaemia), cerebrovascular disease, chronic pulmonary disease, congestive heart failure, dementia, diabetes mellitus, hemiplegia or paraplegia, metastatic solid tumour, liver disease, myocardial infarction, peptic ulcer disease, renal disease and rheumatological disease. The ICD-10 codes of these diseases are shown in online supplemental eTable 1. Multimorbidity status was defined as the concurrent presence of two or more ( $\geq 2$ ) diagnosed diseases among these conditions.<sup>23 24</sup> We only used confirmed diagnoses, not including suspected diagnoses, in Japanese claims data.

### Definition of outcome events: hospitalisation or death

We defined two composite outcomes, hospitalisation or death, which occurred during the period from FY2015 to

FY2018. Using the medical claims data, both events were traced by month. In Japan, the validity of death event information is reported to be less sensitive if derived from medical claims data only.<sup>25 26</sup> Therefore, we also used death information from enrolment data recorded by the insurer: if either contained death information, this was defined as a death event.

### Estimation of diagnosed disease prevalence to a nationwide scale

Diagnosed disease prevalence from baseline data was standardised to the nationwide Japanese total population. We calculated prevalence rates according to groups by 5-year age brackets and sex. Then, we estimated the prevalence rates standardised to Japanese total population (age-sex standardised prevalence rate), using the number from the vital statistics 2014 in Japan.<sup>27</sup>

### Association of multimorbidity with outcome by age group

To examine the association of multimorbidity with outcome by age group, we performed Cox regression analysis adjusted by sex using cohort data from four consecutive years (FY2015 to FY2018). The independent and additive effect of multimorbidity and ageing, we defined combined categories according to three age groups representing 'young', 'middle' and 'old' ages (20–39, 40–59 and ≥60, respectively) and the binary status of multimorbidity, with the reference set as no multimorbidity individuals aged 20–39. This model was able to show HR for ageing alone (eg, HR for 40–59 ages without multimorbidity vs 20–39 ages without multimorbidity) and complex of ageing and morbidity (eg, HR for 40–59 ages with multimorbidity vs 20–39 ages without multimorbidity).

### Statistical analysis

Cox regression was conducted for the association of multimorbidity with outcome by age group. Our hypothesis was ageing and multimorbidity or these combination leads to worsen clinical events. Therefore, we defined six groups which were a combination of three categories of generation and multimorbidity, and we estimated HR in each group in reference of young (aged 20–39) without multimorbidity. Regarding this model, we interpreted both the independent impact of generation and multimorbidity on outcomes and the impact of multimorbidity in each generation. Results were considered statistically significant at a two-sided p value of less than 0.05. All analyses were conducted using Stata software V.15.1 (StataCorp).

### Patient and public involvement

Patients or the public were not involved in this research. However, the results of this study will be disseminated to the public through various means including published papers and presentations.

## RESULTS

### Study participants

We analysed n=246 671 individuals in the baseline data set in FY2014 (table 1) and n=181 959 individuals in the cohort data set FY2014–FY2018. Because the follow-up was 4 years, the cohort data set was slightly smaller than the baseline data set, especially as a number of young individuals aged 20–24 and older individuals aged >60 dropped out. This may be due to raising children or early retirement, and explains the higher proportion of men in the cohort data set. Mean age and comorbidity numbers among CCI diseases were mostly comparable between the two data sets, although the prevalence differed for diabetes mellitus, cerebrovascular disease and chronic pulmonary disease (online supplemental eTable 2). In the cohort data set, differences in disease prevalence between genders were observed. Notably, men had a higher prevalence of diabetes mellitus (p=0.001) whereas women had a higher prevalence of chronic pulmonary disease (p=0.002).

### Estimated prevalence of multimorbidity in the Japanese total population

The prevalence of diagnosed diseases in FY2014 was applied to the vital statistics of the Japanese population in 2014. The standardised prevalence of multimorbidity was estimated to 26.1% (26.1% in men, 26.0% in women) in the Japanese total population (online supplemental eTable 3A). The prevalence rate with age was increased, that is, approximately 5% (25–24 (3.9%), 25–29 (7.7%)), 10% (30–34 (9.7%), 35–39 (12.5%)), 20% (40–44 (14.6%), 45–49 (19.0%)), 30% (50–54 (25.9%), 55–59 (33.2%)), 50% (60–64 (40.7%), 65–69 (49.9%)) and 60% (70–74) (figure 2A). Details of the prevalence of diseases as well as the below results are shown in online supplemental eTable 3B. Figure 2B shows the types of diseases and their prevalence across age groups. The top five diseases across the age groups 'young' (20–39), 'middle-aged' (40–59) and 'old' (60–74) in order of prevalence were 'young': chronic pulmonary disease, peptic ulcer disease, liver disease, diabetes mellitus and any malignancy; 'middle-aged': chronic pulmonary disease, diabetes mellitus, liver disease, peptic ulcer disease and any malignancy; and 'old': diabetes mellitus, chronic pulmonary disease, liver disease, peptic ulcer disease and cerebrovascular disease. Notably, diabetes mellitus moved up across the age groups from ranking fourth to first. In figure 2C, disease prevalence is shown in comparison to disease prevalence in the 40–44 age group. After the age of 40–44, the top five accelerating diseases were dementia, cerebrovascular disease, peripheral vascular disease, metastatic tumour and congestive heart failure.

The association of multimorbidity with outcome by age group.

The composite outcomes occurred 17.2% (death 0.8%, hospitalisation 16.9%) in the follow-up period (online supplemental eTable 2). Cox regression analysis showed that young individuals aged 20–39 with multimorbidity

**Table 1** Prevalence of diagnosed diseases in the baseline data in FY2014

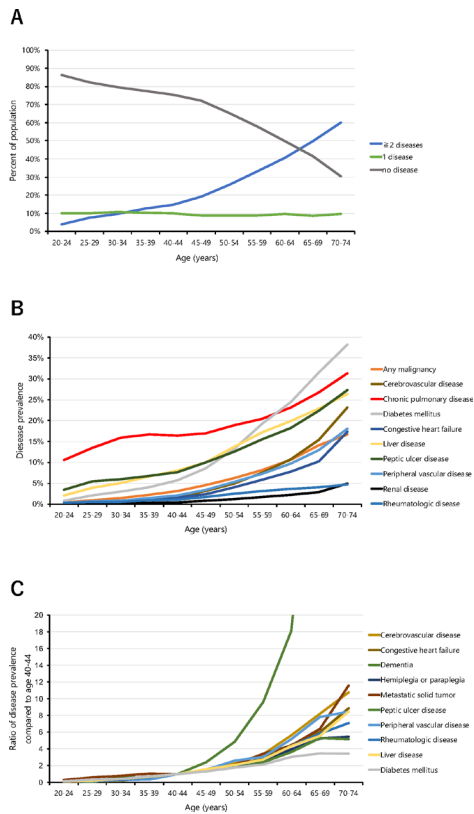
	Baseline data in FY2014					
	Overall		Men		Women	
	N=2 46 671	%	N=1 44 237	%	N=1 02 434	%
Men	144 237	58.5	–	–	–	–
Age (mean, SD)	45.0	12.9	44.6	12.9	45.7	12.8
20–24	18 524	7.5	11 315	7.8	7209	7.0
25–29	17 251	7.0	12 014	8.3	5237	5.1
30–34	18 093	7.3	11 104	7.7	6989	6.8
35–39	23 878	9.7	13 278	9.2	10 600	0.3
40–44	39 721	16.1	21 640	15.0	18 081	17.7
45–49	40 908	16.6	24 191	16.8	16 717	16.3
50–54	29 466	11.9	17 577	12.2	11 889	11.6
55–59	20 149	8.2	11 343	7.9	8806	8.6
60–64	21 278	8.6	12 706	8.8	8572	8.4
65–69	11 931	4.8	6768	4.7	5163	5.0
70–74	5472	2.2	2301	1.6	3171	3.1
AIDS/HIV	96	0.0	62	0.0	34	0.0
Any malignancy*	12 047	4.9	5611	3.9	6436	6.3
Cerebrovascular disease	10 866	4.4	6510	4.5	4356	4.3
Chronic pulmonary disease	43 216	17.5	22 484	15.6	20 732	20.2
Congestive heart failure	8497	3.4	5515	3.8	2982	2.9
Dementia	447	0.2	210	0.1	237	0.2
Diabetes mellitus	27 344	11.1	17 881	12.4	9463	9.2
Hemiplegia or paraplegia	813	0.3	533	0.4	280	0.3
Liver disease	27 127	11.0	16 954	11.8	10 173	9.9
Metastatic solid tumour	2532	1.0	1263	0.9	1269	1.2
Myocardial infarction	1628	0.7	1325	0.9	303	0.3
Peptic ulcer disease	26 047	10.6	14 511	10.1	11 536	11.3
Peripheral vascular disease	10 407	4.2	5723	4.0	4684	4.6
Renal disease	2573	1.0	1751	1.2	822	0.8
Rheumatological disease	4146	1.7	1397	1.0	2749	2.7
≥1 disease among top 5	71 880	29.1	40 833	28.3	31 047	30.3
Disease no. among CCI						
No disease	171 140	69.4	101 857	70.6	69 283	67.6
1 disease	22 947	9.3	12 032	8.3	10 915	10.7
2 diseases	17 120	6.9	8994	6.2	8126	7.9
3 diseases	12 822	5.2	7273	5.0	5549	5.4
4 diseases	9588	3.9	5874	4.1	3714	3.6
≥5 diseases	13 054	5.3	8207	5.7	4847	4.7
Multimorbidity (≥2 diseases among CCI)	52 584	21.3	30 348	21.0	22 236	21.7

Values are numbers (%) unless otherwise stated.  
 \*Any malignancy includes leukaemia and lymphoma.  
 CCI, Charlson Comorbidity Index; FY, fiscal year.

had a higher HR compared with the same age group without multimorbidity (HR=2.43 (95% CI 2.30 to 2.56)). Further, HRs increased across age groups (HR=2.55 (95%

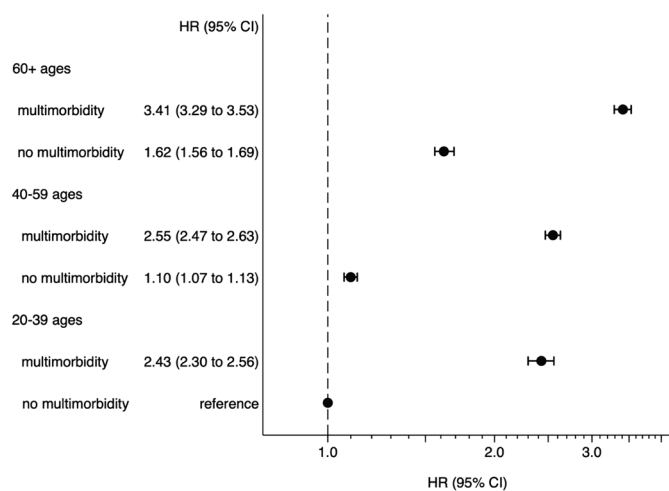
CI 2.47 to 2.63) ages 40–59; HR=3.41 (95% CI 3.23 to 3.53) ages ≥60) ([figure 3](#)). The impact of multimorbidity on outcome exceeded that of ageing (HR=1.62 (95% CI





**Figure 2** Multimorbidity across age groups in the Japanese total population aged 20–74. (A) Percentage of the population having 0 to  $\geq 5$  chronic diseases by age group. (B) Prevalence of the top 10 chronic diseases by age group. (C) The top 10 chronic diseases with the steepest increase after age 40–44 years.

1.56 to 1.69) ages  $\geq 60$  and HR=1.10 (95% CI 1.07 to 1.13) ages 40–59 without multimorbidity) (figure 3). That was to say, even in aged 20–39 with multimorbidity has a risk more than ages  $\geq 60$  without multimorbidity.



**Figure 3** Hazard ratios of no multimorbidity (0–1 disease) versus multimorbidity ( $\geq 2$  diagnosed diseases) in three age groups in a 5-year cohort of  $n=181\,959$  Japanese aged 20–71. Cox regression analysis.

We also assessed HRs for non-multimorbid and multimorbid women and men separately and found that women had a lower HR than men in the 20–39 age group but a higher HR than men in the  $\geq 60$  age group (online supplemental eTable 4).

## DISCUSSION

In this study, we analysed nationwide medical claims data for 15 chronic diseases in a large cohort of the general population of Japan. As key findings, standardised prevalence rates for multimorbidity were estimated to 26.1% for men and 26.0% for women. Further, age group-specific prevalence rates for multimorbidity ranged from 3.9% (20–24 years) to 14.6% (40–44 years) and 60.1% (70–74 years), showing an accelerating increase after age 40. Importantly, significant differences in the clinical outcomes of multimorbidity versus no multimorbidity were already present in young and middle-aged individuals.

The present study drew individuals covering a wide age range from a nationwide general population. This allowed us to examine the burden of multiple comorbidities in young, middle-aged and old age groups in the real world. In addition, because Japan has a high medical insurance coverage rate, it was possible to comprehensively identify chronic diseases from receipts. Further, longitudinal analysis enabled us to examine the clinical outcomes of multiple comorbidities. With regard to limitations, the target population comprised regular employees and their families and might accordingly be healthier than the general population. Also, we defined multimorbidity by disease list included in CCI most likely to lead to death, hence, we were not able to consider other diseases associated with health-related quality of life loss. CCI originally includes comorbidities that have a strong impact on mortality, not quality of life and well-being. The presence of mental or psychosomatic disorders, which have been shown to be increasing, particularly in individuals already suffering from other chronic diseases,<sup>28</sup> younger people<sup>29</sup> and people with a low socioeconomic status.<sup>30</sup> Such diseases often remain undiagnosed or under-reported in health records.<sup>31</sup> Also, we collected diseases which were occurred during the year (FY2014). Therefore, patients who were untreated, undiagnosed or discontinued treatment cannot be picked up. These limitations likely contributed to an underestimation of multimorbidity in our cohort. Further, because we did not manually verify the presence of disease using the physician's medical records data or medication information, disease names extracted from the medical claims data might be incorrect in some cases. In particular, Japanese physicians sometimes change the name of the disease in the medical record to the 'correct' disease name for the medication they wish to prescribe, a practice called 'disease name for claims data'.

Because of differences in data sources and study populations, direct comparison of population-based prevalence



rates between studies is not straightforward. Nonetheless, the standardised prevalence rates for multimorbidity as reported in the present study—26.1% for men and 26.0% for women—are similar to those reported by recent studies in other high-income countries, such as the USA (25% in men, 25% in women),<sup>32</sup> England (24.4% in men, 30% in women),<sup>30</sup> Canada (24.3% whole population)<sup>5</sup> and Denmark (19.3% in men, 23.7% in women).<sup>6</sup> Also recently some Asian countries reported similar prevalence, Iran (13.4% in men, 25.0% in women),<sup>33</sup> India and Bangladesh (53.7%–56.5% in both genders, over aged 60).<sup>34</sup> Many previous studies on multimorbidity focused on the older generation, aged 65 and up, because of the larger number of chronic diseases in this age group and the increasing number of people entering it. However, our present data show that already approximately 10% of 30–34, 19% of 45–49 and 33% of 55–59 years old have  $\geq 2$  chronic diseases. Further, 1% of 30–34, 4% of 45–49 and 9% of 55–59 years old have  $\geq 5$  chronic diseases. These results show that multimorbidity is already prominent in the middle-aged population. Recent studies reported similar or slightly higher prevalence rates for  $\geq 2$  chronic diseases in an American (8% of 30, 20% of 45 and 37% of 55 years old)<sup>35</sup> and a Canadian (10.6% of 18–44, 27.4% of 45–54 and 46.6% of 55–64 years old)<sup>5</sup> population, although these two studies also included mental diseases and osteoporosis, which our present study did not. Our present study shows that, among 15 chronic diseases, the top five diseases in the 55–64 age group are chronic pulmonary disease (20.4%–23.1%), diabetes mellitus (19.3%–24.5%), liver disease (17.2%–19.9%), peptic ulcer disease (15.6%–18.2%) and any malignancy (8.2%–10.7%). With regard to diabetes mellitus, the prevalence in the present study is similar to that previously reported in an American population (15%–30% in individuals aged 55–65)<sup>35</sup> but higher than that in a Canadian population (16.6% in individuals aged 55–64).<sup>5</sup> The prevalence of chronic pulmonary disease in our present 55–65 years old was almost two times as high as those seen for the combined prevalence of asthma and chronic obstructive pulmonary disease (COPD) in an American population aged 55–65 years (2–3%)<sup>35</sup> and in a Canadian population aged 55–64 years (3.3%).<sup>5</sup> This difference might have arisen due to our inclusion of various other pulmonary diseases besides asthma and COPD. Regarding liver disease, the prevalence seen for 55–65 years old in the present study was comparable to that seen in an adult population in Northern Italy<sup>36</sup> and in an adult population in Korea,<sup>37</sup> although this comparison requires care since the types of liver disease in these studies and the age groups included vary.

Analysis of clinical outcomes using Cox regression revealed that the presence of multimorbidity increased HRs in all age groups, including young individuals. In addition, the comparison of the increased HRs resulting from multimorbidity versus no multimorbidity showed that the impact of multimorbidity exceeds that of increasing age. These results indicate that multimorbidity places a burden on all age groups.

The five most prevalent diseases (diabetes mellitus, chronic pulmonary disease, liver disease, peptic ulcer disease and any malignancy) in the present study are lifestyle-related diseases that develop slowly over time. This trend should be greeted with alarm. We trust that this study raises awareness of the potential health risks and burden associated with the early onset of multimorbidity in young and middle-aged, the period when one is busy working and raising children. Future studies should investigate the specific lifestyle factors associated with an elevated risk of multimorbidity in the Japanese working population. Ultimately, public healthcare policies should be aimed at efforts to reverse the trend toward early multimorbidity onset.

In conclusion, the present study confirmed the prevalence of multimorbidity by including in the denominator those who did not have the receipt of medical claims and to estimate the prevalence of multimorbidity in the general population. Furthermore, we revealed that the impact of multimorbidity is already clinically significant in middle-aged Japanese, with elevated adverse events such as hospitalisation or death. In addition, the risk posed by multimorbidity exceeds that of ageing in all age groups. These results underscore the need to undertake healthcare intervention against the onset of multimorbidity before middle-aged, and not to leave it as a problem for geriatricians.

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**Patient consent for publication** Not applicable.

**Ethics approval** This study involves human participants and was approved by the Institutional Review Board (IRB) of Kyoto University (approval number: R0817). The IRB waived informed consent for this observational study.

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**Data availability statement** Data may be obtained from a third party and are not publicly available.

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