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## Short Communication



# Altered tissue oxygenation in patients with post COVID-19 syndrome

Hendrik Schäfer, Marc Teschler, Frank C. Mooren<sup>1</sup>, Boris Schmitz<sup>\*,1</sup>

Department of Rehabilitation Sciences, Faculty of Health, University of Witten/Herdecke, Witten 58455, Germany DRV Clinic Königsfeld, Center for Medical Rehabilitation, Ennepetal 58256, Germany

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ABSTRACT

Background: Post COVID-19 syndrome (PCS) is a complex condition with partly substantial impact on patients' social and professional life and overall life quality. Currently, the underlying cause(s) of PCS are unknown. Since PCS-specific symptoms could be associated with systemic alterations in tissue oxygen supply, we aimed to investigate changes in tissue oxygenation in patients with PCS. Methods: A case-control study including 30 PCS patients (66.6 % males, 48.6  $\pm$  11.2 years, mean time after (first) acute infection: 324 days), 16 cardiologic patients (CVD) (65.5 % males, 56.7  $\pm$  6.3 years) and 11 young healthy controls (55 % males, 28.5  $\pm$  7.4 years) was conducted. Near infrared spectroscopy (NIRS) was used to assess changes in tissue oxygenation during an arterial occlusion protocol on the non-dominant forearm (brachioradialis, 760/850 nm, 5 Hz). The protocol included 10-min rest, a 2-min baseline measurement followed by a 3min ischemic period (upper-arm cuff, 50 mmHg above resting systolic blood pressure) and a 3-min reoxygenation period. PCS patients were grouped by presence of arterial hypertension and elevated BMI to assess the impact of risk factors. *Results*: No differences in mean tissue oxygenation in the pre-occlusion phase existed between groups (p > 0.566). During ischemia, comparisons of linear regressions slopes revealed slower oxygen desaturation for PCS patients (-0.064 %/s) compared to CVD patients (-0.08 %/s) and healthy subjects (-0.145 %/s) (p < 0.001). After cuff release, slowest speed for reoxygenation was detected in PCS patients at 0.84 %/s compared to CVD patients (1.04 %/s) and healthy controls (CG: 2.07 %/s) (p < 0.001). The differences between PCS patients and CVD patients during ischemia remained significant also after correction for risk factors. Analyses of complications during acute infection, persistence of PCS symptoms (time after acute infection), or PCS severity (number of lead symptoms) as confounding factors did not reveal a significant effect. Conclusions: This study provides evidence that the rate of tissue oxygen consumption is persistently altered in PCS and that PCS patients show an even slower decline in tissue oxygenation during occlusion than CVD patients. Our observations may at least partly explain PCS-specific symptoms such as physical impairment and fatigue.

#### 1. Introduction

Post COVID-19 syndrome (PCS) and the associated broad spectrum of clinical manifestations (profound fatigue, cognitive deficits, cardiopulmonal and physical impairment) represents a growing burden for global health and social systems (Nalbandian et al., 2021). PCS is defined by persistent symptoms  $\geq$ 12 weeks after start of the acute infection and may affect up to 10 % of COVID-19 patients independent of disease severity (Venkatesan, 2021). Currently, the underlying cause of PCS remains elusive. However, different pathophysiologic mechanisms such as viral toxicity, immune dysregulation, hyperinflammation, and hypercoagulability as well as endothelial damage have been suggested (Nalbandian et al., 2021). It has been reported that COVID-19 has significant detrimental effects on the cardiovascular system and longterm cardiac sequelae have been described (Chung et al., 2021). In this regard, up to 78 % of PCS patients have a cardiac involvement (Puntmann et al., 2020), which includes symptoms such as dysrhythmias, palpitations, angina/chest pain or shortness of breath (van der Sluijs et al., 2022). In terms of impaired cardiorespiratory function, PCS patients referred to our center for medical rehabilitation report with an equally high reduction of exercise capacity compared to patients with cardiovascular disease (CVD).

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<sup>\*</sup> Corresponding author at: Klinik Königsfeld, Holthauser Talstraße 2, 58256 Ennepetal, Germany.

E-mail address: Boris.Schmitz@uni-wh.de (B. Schmitz).

<sup>&</sup>lt;sup>1</sup> Contributed equally.

It has been suggested that peripheral factors limiting  $O_2$  supply and utilization in the skeletal muscle may explain the observed physical limitations in PCS and the need for direct measurements of potential changes also including the microcirculation has been repeatedly expressed (Serviente et al., 2022). Of note, for acute COVID-19 patients, systemic microcirculatory alterations have recently been shown (Mesquida et al., 2021). However, if alterations of the peripheral microcirculation and tissue oxygenation persist in PCS patients has not been reported. Thus, we aimed to investigate changes in tissue oxygenation in patients with PCS as a potential factor contributing to PCS-specific symptoms. We hypothesized that reductions in tissue oxygenation would be comparable to CVD patients.

#### 2. Materials and methods

#### 2.1. Study design

A case-control study was conducted at medical rehabilitation Clinic Königsfeld, Ennepetal, Germany between 11/2021 and 05/2022. Patients with an indication for medical rehabilitation based on a PCS diagnosis were consecutively recruited. Patients with a CVD diagnosis and no signs or symptoms of PCS were used as control group based on comparable reductions in exercise capacity (i.e.  $\sim$ 70 % of age- and sexadjusted reference). Young healthy controls were included for comparison to unimpaired tissue oxygenation. All participants gave their written informed consent. The study was approved by the local ethics committee (University of Witten/Herdecke, 195/2021) and was conducted in accordance with the Declaration of Helsinki.

#### 2.2. Measurements and analysis

Near infrared spectroscopy (NIRS) was used to assess changes in tissue oxygenation based on the Beer-Lambert law and wavelengths of 760 and 850 nm (sampling rate 5 Hz) using an established vascular occlusion protocol (VOT) as described (Mesquida et al., 2021; McManus et al., 2018; Iannetta et al., 2019). In brief, a NIRS sensor (PortaMon, Artinis Medical Systems, The Netherlands) was applied to the nondominant forearm in a circumferential orientation over the brachioradialis muscle, 5-10 cm distal to the proximal head of the radius. After 10 min of rest, the arterial occlusion protocol was performed including a 2 min baseline measurement followed by a 3 min ischemic period (upper-arm cuff, 50 mmHg above resting systolic blood pressure) and a 3 min reoxygenation period (Mesquida et al., 2021). All measurements were performed in the morning, in a quiet room (20  $\pm$  2 °C) in supine position after an overnight fast. Participants were asked to refrain from caffeine, nicotine, and exercise on the day of measurement (Soares et al., 2020). Bioimpedance analysis (InBody 770, InBody Europe B.V., Germany) were performed to assess body fat mass and fat mass of the investigated arm. The absolute concentration of tissue oxyhemo(+myo) globin ( $O_2Hb$ ), deoxyhemo(+myo)globin (HHb), and total hemo(+myo) globin (tHb) was determined and expressed as tissue oxygen saturation (TSI %) calculated as  $O_2Hb/(O_2Hb + HHb) \times 100$  (Puntmann et al., 2020). TSI thus reflects the dynamic balance between O<sub>2</sub> supply and consumption.

#### 2.3. Statistical analysis

Prism 9 (GraphPad Software, USA) was used for statistical analysis and data presentation. Data is presented as mean and SD, n (%) or 95 % CI as indicated. Group means were compared using one-way ANOVA corrected for multiple comparison (Tukey's test), unpaired two-sided *t*test or ANCOVA (for sex as covariate). Linear regression analysis and calculation of regression slopes were performed to compare changes in TSI over time during occlusion and reoxygenation. Slopes were calculated for the first minute after occlusion as well as during the entire exponential period of ischemia. Time between the (second) rapid increase and maximum was considered for the reperfusion slope. Normalization was conducted in case of non-normal distribution. Pearson's correlation coefficient was used for correlation analysis. To assess the impact of risk factors, PCS patients were grouped by presence of arterial hypertension (HPT) or BMI ( $\leq$ /> median BMI = 31.0 kg \* m<sup>-2</sup>).

#### 3. Results

Thirty patients diagnosed with PCS were recruited during their first week of inpatient medical rehabilitation and NIRS was applied to assess tissue oxygenation. Mean time after (first) acute infection was 324 days (range: 75–774 days). Sixteen patients with CVD (mainly coronary artery disease) and eleven healthy individuals (CG) served as controls (Table 1).

At rest, participants showed similar mean tissue oxygenation in terms of TSI (pre-occlusion phase, PCS: 68.3  $\pm$  3.7 %; CVD: 67.3  $\pm$  4.7 %; CG: 66.5  $\pm$  7.8 %;  $p \ge$  0.566) (Fig. 1A/B). Comparisons of linear regressions slopes during arterial occlusion showed significant differences between the three groups (p < 0.001), indicating the smallest decrease in oxygen saturation for PCS patients at -0.064 %/s compared to CVD patients at -0.08 %/s and healthy subjects at -0.145 %/s (Fig. 1A). While healthy subjects showed a significantly lower minimum  $O_2$  saturation in ischemia (p < 0.002), no significant difference (p =0.253) was detected between PCS and CVD patients (CG: 41.3  $\pm$  14 %; PCS: 58.5  $\pm$  5.4 %; CVD: 54.1  $\pm$  9.2 %) (Fig. 1B). Of note, results were consistent between analysis of the initial 1-min period or the entire exponential ischemic phase. Comparisons of linear regressions slopes after cuff release also indicated significant differences between the three groups (p < 0.001) with the slowest speed for reoxygenation in PCS patients at 0.84 %/s compared to CVD patients (1.04 %/s) and healthy controls (2.07 %/s) (Fig. 1A). Reperfusion during the first 10 s after cuff release was also lower in PCS (0.78 %/s) compared to CVD (0.91 %/s) but lacked significance (p = 0.821), while reperfusion was highest in the CG (1.76 %/s,  $p \le 0.007$ ). Mean maximum TSI after reperfusion suggested no significant difference between the groups (PCS: 74.2  $\pm$  3.7 %; CVD:  $73.7 \pm 3.5$  %; CG:  $77.1 \pm 6.0$  %) (Fig. 1B). Analyses of the impact of risk factors revealed significantly slower oxygen consumption in ischemia in PCS patients with HPT or elevated BMI compared to PCS patients without HPT or lower BMI, respectively (p < 0.05) (Fig. 1C/D). However, the significant difference between PCS patients and CVD patients was unaffected by risk factors.

Of the analyzed PCS patient group, ten PCS patients had experienced complications such as pneumonia, pulmonary embolism and/or needed mechanical ventilation during acute infection (Table 1). These patients had comparable desaturation (-0.066 %/s) and reperfusion slopes (0.891 %/s) to the group without complications (-0.063 %/s and 0.809)%/s) ( $p \ge 0.62$ ). Analysis of the severity of PCS, assessed by the number of lead symptoms, on tissue oxygenation revealed no differences. Correlation analyses of the desaturation and reperfusion slope with the time after acute infection (persistence of PCS symptoms) did not reveal a significant association. Of note, PCS patients were significantly younger than CVD patients (p = 0.012), which is of relevance since TSI has been reported to decrease with age (Mesquida et al., 2013; Rosenberry and Nelson, 2020). No significant difference in the number of females existed between the three groups (p = 0.774) and results were unaffected by sex. There were no differences in the fat mass of the investigated arm between PCS and CVD patients (PCS: 3.6  $\pm$  2.5 kg; CVD: 2.6  $\pm$  1.6 kg; p = 0.170).

#### 4. Discussion

This study provides evidence that the rate of tissue oxygen consumption is altered in PCS patients. Using a standardized arterial occlusion protocol and continuous assessment of oxygenated hemoglobin by NIRS revealed, that PCS patients show an even slower decline in

#### Table 1

Clinical and demographic characteristics of patients with Post COVID-19 Syndrome (PCS), cardiovascular disease patients (CVD) and control group (CG).

	PCS ( <i>n</i> = 30)	CVD ( <i>n</i> = 16)	CG ( <i>n</i> = 11)	Р
Age, years	$48.6 \pm 11.2$	$56.7\pm6.3$	$28.5^{\rm b}\pm7.5$	< 0.001
Sex, n (%)				0.774
Female	10 (33.3)	6 (37.5)	5 (45)	
Male	20 (66.6)	10 (62.5)	6 (55)	
Height, cm	$174.3\pm8.6$	$174.9\pm9.7$	$174.4 \pm 5.4$	0.973
Weight, kg	$101.1\pm22.5$	$93.3 \pm 17.1$	$70.9^{\rm a}\pm12.3$	< 0.001
BMI, kg * $m^{-2}$	$33.1\pm 6.3$	$30.5\pm4.9$	$23.2^{\rm a}\pm3.6$	< 0.001
Fatmass investigated arm <sup>c</sup> , %	$31.1 \pm 24.8$	$26.3\pm16.3$	$7.1^{a} \pm 5.3$	0.009
$\dot{V}O_{2peak}^{d}$ , mL·kg <sup>-1</sup> ·min <sup>-1</sup>	$18.5\pm4.6$	$20.7\pm3.4$	-	0.154
Complications during acute				
SARS-CoV-2 infection, n (%)				
Hospitalization	7 (23.3)	_	_	
Invasive mechanical ventilation	7 (23.3)	-	_	
Pneumonia	6 (20)	-	_	
Pulmonary embolism	3 (10)	-	_	
PCS lead symptoms <sup>e</sup> , n (%)				
Physical impairment	21 (70)	_	_	
Dyspnea	23 (76.7)	_	_	
Cognitive impairment	11 (36.7)	_	_	
Arterial hypertension, n (%)	18 (60)	13 (81.3)	_	0.143
Coronary artery disease, n (%)	2 (6.6)	14 (87.5)	_	< 0.001
Non-hemodynamically stenosis	1 (3.3)	-	_	0.460
One vessel disease	1 (3.3)	6 (37.5)	-	0.002
Two vessel disease	-	6 (37.5)	-	< 0.001
Three vessel disease	-	2 (12.5)	-	0.480
STEMI/NSTEMI, n (%)	0 (0)	6 (37.5)	-	< 0.001
Treatment, n (%)				
PCI performed	1 (3.3)	13 (75)	-	< 0.001
Bypass performed	-	1 (6.3)	-	0.166
LVEF, n (%)				
Slightly reduced (41–50 %)	-	3 (18.8)	-	0.014
Medication, n (%)				
ACE-inhibitor	11 (36.7)	4 (25)	-	0.421
Anticoagulant	7 (23.3)	12 (75)	-	< 0.001
Statin	7 (23.3)	13 (81.3)	-	< 0.001
Beta blocker	15 (50)	15 (93.8)	-	0.003
Angiotensin II receptor blocker	5 (16.7)	11 (68.8)	-	< 0.001
Calcium channel blocker	7 (23.3)	7 (43.8)	-	0.152
Diuretic	9 (30)	7 (43.8)	-	0.351
Glucocorticoid	5 (16.7)	0 (0)	-	0.084
Analgesic drug	12 (40)	14 (87.5)	-	0.002
Antidepressant	7 (23.3)	2 (12.5)	-	0.378
Active smoker, n (%)	4 (13.3)	2 (12.5)	-	0.936
Elixhauser Comorbidity Index (ECI)	$-0.1\pm3.4$	$-0.9\pm3.8$	-	0.515
Multidimensional fatigue inventory (MFI)				
Overall score	$70 \pm 10.7$	$50.3\pm12.8$	-	< 0.001
Physical fatigue	$\textbf{76.1} \pm \textbf{12.8}$	$59.5 \pm 19.4$	-	0.007
Mental fatigue	$64.1\pm20.7$	$47 \pm 11.1$	-	0.020

Data is presented as n (%) or mean ± SD. *P* values were calculated using independent t-test, Chi-squared test or one-way ANOVA corrected for multiple comparison if indicated. ECI: according to the modified Van Walraven comorbidity index (van Walraven et al., 2009). The 20-item self-report MFI was used to assess fatigue (Smets et al., 1995).

<sup>a</sup> Significantly difference with PCS and CVD.

<sup>b</sup> Significantly difference with PCS.

<sup>c</sup> Assessed with Bioelectrical impedance analysis.

<sup>d</sup> PCS: n = 26; CVD: n = 11.

<sup>e</sup> Four PCS patients reported other disease-specific symptoms.

tissue oxygenation during ischemia at rest, compared to CVD patients. Our data suggest a persistently impaired systemic tissue oxygen consumption beyond an acute COVID-19 infection which may contribute to the described PCS symptoms, predominantly the severely reduced cardiovascular fitness and muscular weakness.

In our center for medical rehabilitation, PCS patients present with considerably impaired physical exercise capacity, indicated by a maximal oxygen uptake capacity of  $\sim$ 70 % of the age- and sex-adjusted reference, comparable to patients with diagnosed CVD. Of note, maximal aerobic capacity has been shown to correlate with microvascular reactivity, expressed by higher reperfusion slopes (Rasica et al., 2022), which may at least partly explain this observation. Impaired oxygen consumption in tissues and in general may be based on two main and potentially synergistic physiological alterations. First, tissue concentration of microvessels may be reduced in PCS leading to a reduction in gas exchange. Second, a reduction in mitochondrial number or function could lead to a lower oxygen pressure gradient following recent findings that mitochondrial represent an "oxygen sink" and that uncoupling of the mitochondrial respiratory chain leads to alterations in O<sub>2</sub> gradients within the cellular microenvironment (Mori et al., 2021). Of note, processes during acute COVID-19 infections such as entry of viral RNA into mitochondria, have been postulated to harm mitochondrial function (Shang et al., 2021) potentially leading to mitochondrial uncoupling. The involvement of endothelial dysfunction (ED) in COVID-19 has also been discussed (Nägele et al., 2020) and reperfusion after ischemia and hemoglobin resaturation seem to depend on ED. (Mesquida et al., 2013) However, the velocity of reperfusion is influenced by a hypoxic stimulus (Mesquida et al., 2013). Higher TSI values in PCS



Fig. 1. (A/B) Tissue oxygenation is altered in patients with Post COVID-19 Syndrome.

(A) Changes of tissue oxygenation determined by near infrared spectroscopy (NIRS) before, during, and after standardized arterial occlusion. Inserts (A1) and (A2) show statistical comparison of linear regression slopes between patients with Post COVID-19 Syndrome (PCS), cardiovascular disease patients (CVD) and healthy controls during ischemia (3 min) and reperfusion. Respective linear regression slopes during ischemia are given as 1/slope for visualization indicating significantly slower oxygen consumption in PCS patients. (B) Comparison of tissue oxygenation before and after ischemia and reperfusion by tissue saturation index (TSI) between PCS and CVD patients and healthy controls. Individual data is presented with group mean and standard deviation. (C/D) Analyses of the effect of comorbidities on altered tissue oxygenation in PCS patients. (C) PCS patients were grouped by presence of arterial hypertension (HPT). Inserts (C1) and (C2) show statistical comparison of linear regression slopes between PCS patients (+) with (n = 18) and without (-) HPT (n = 12), and CVD patients during ischemia. Respective linear regression slopes during ischemia indicated significantly slower oxygen consumption in PCS patients with BMI = 31.0 kg \* m<sup>-2</sup>). Inserts (D1) and (D2) show statistical comparison of linear regression slopes during ischemia indicated significantly slower oxygen consumption in PCS patients with HPT and CVD patients. (D) PCS patients with higher BMI compared to PCS patients with HPT compared to PCS patients. Data is presented as group mean values with 95 % confidence interval. Tissue saturation index was calculated as  $O_2Hb/(O_2Hb + HHb) \times 100$ . *P*-values were calculated by comparison of linear regression slopes (in A, C, D) or one-way ANOVA corrected for multiple comparison (in B).  $\downarrow$  start of ischemia phase (3 min),  $\uparrow$  end of occlusion. \*p < 0.05 for three-group comparison; \*p = 0.01, \*\*\*p < 0.0001 for two-group comparison; ns, not significant.

patients at the end of ischemia suggest a lower hypoxic stimulus, which could explain the difference in the upslope but may not explain differences in oxygen saturation during ischemia. The dependency of the oxygen saturation at the end of ischemia and the reperfusion slope was recently shown (Rosenberry and Nelson, 2020). Our investigation on the impact of risk factors such as arterial hypertension or elevated BMI suggested, that these further impair the rate of tissue oxygen consumption in PCS, while PCS patients without these risk factors still presented lowered rates compared to CVD patients. Of note, complications during acute infection, time after acute infection, or PCS severity did not affect the observed alterations in our series.

Some limitations of this initial report on altered tissue oxygenation in PCS patients may apply. The study consisted of a small heterogenous sample of patients referred to medical rehabilitation based on persisting PCS-specific symptoms with large variability in the period after (first) acute infection. Any secondary analyses of possible confounding factors including severity of the acute infection, time after infection, and risk factors (hypertension, elevated BMI) should be seen as hypothesis generating. In addition, the possible pathophysiological link between altered tissue oxygenation and PCS remains a hypothesis.

We conclude that our observations may be based on changes in the systemic microcirculation and/or mitochondrial dysfunction in PCS rather than ED. Future larger studies also focusing on cellular and molecular alterations in PCS will be needed to gain detailed insight in confounding variables and underlying physiological changes. Additionally, there is the need to identify appropriate interventions including exercise-based medical rehabilitation tailored to stimulate improved microcirculation and mitochondrial function.

#### CRediT authorship contribution statement

Conceptualization: Hendrik Schäfer, Boris Schmitz, Frank C. Mooren.

Data collection and analysis: Hendrik Schäfer, Marc Teschler, Boris Schmitz.

Interpretation of results: Boris Schmitz, Frank C. Mooren, Hendrik Schäfer.

Writing - original draft: Hendrik Schäfer.

Writing – review & editing: Hendrik Schäfer, Boris Schmitz, Frank C. Mooren.

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#### Declaration of competing interest

We confirm that there is no conflict of interest, financial or otherwise, in our submission of the manuscript.

#### Data availability

Data is available from the corresponding author upon reasonable request.

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