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Impact of Prostate Health Index Results for Prediction of Biopsy Grade Reclassification During Active Surveillance

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Abstract

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Objective: We assessed whether Prostate Health Index (*phi*) results improve prediction of grade reclassification for men on active surveillance.

Methods/Materials: We identified men in Canary Prostate Active Surveillance Study with Grade Group (GG) 1 cancer. Outcome was grade reclassification to GG2+ cancer. We considered decision rules to maximize specificity with sensitivity set at 95%. We derived rules based on clinical data (R_1) vs clinical data + *phi* (R_3). We considered an “or”-logic rule combining clinical score and *phi* (R_4), and a “two-step” rule using clinical data followed by risk stratification based on *phi* (R_2). Rules were applied to a validation set, where values of R_2 - R_4 vs R_1 for specificity and sensitivity were evaluated.

Results: We included 1532 biopsies (n=610 discovery; n=922 validation) among 1142 men. Grade reclassification was seen in 27% of biopsies (23% discovery, 29% validation). Among discovery set, at 95% sensitivity, R_2 yielded highest specificity at 27% vs 17% for R_1 . In validation set, R_3 had best performance vs R_1 with sensitivity = -4% and specificity = +6%. There was slight improvement for R_3 vs R_1 for confirmatory biopsy (AUC 0.745 vs R_1 0.724, AUC = 0.021, 95% CI 0.002–0.041) but not for subsequent biopsies (AUC = -0.012, 95% CI -0.031–0.006). R_3 did not have better discrimination vs R_1 among the biopsy cohort overall (AUC = 0.007, 95% CI -0.007–0.020).

Conclusions: Among active surveillance patients, using *phi* with clinical data modestly improved prediction of grade reclassification on confirmatory biopsy and did not improve prediction on subsequent biopsies.

Keywords

prostate cancer; active surveillance; biomarker

Introduction

Active surveillance is the preferred management strategy for men with low-risk prostate cancer.¹ It is recommended that men on surveillance undergo prostate-specific antigen (PSA) tests and biopsies after their diagnosis.¹ Repeat biopsies are invasive and burdensome but represent the only mechanism to identify grade reclassification and distinguish men at greater risk of disease progression. Some strategies—particularly those relying on annual biopsies—are likely too intense for patients. Ideally, repeat biopsies would be only recommended for men at greatest risk of harboring lethal cancer.

Risk calculators utilizing clinical information (e.g., patient age, PSA level) provide modest discrimination to predict those at risk for reclassification to Grade Group (GG) 2 or greater prostate cancer.^{2–4} It remains unclear whether prediction tools could be improved with information from biomarkers. For instance, a four-kallikrein panel in this setting gave mixed results, with some benefit with predicting higher-grade disease at confirmatory biopsy, but not subsequent biopsies.⁵ It is unknown whether other biomarkers, such as prostate health index (*phi*), would provide more robust improvement when added to existing calculators. Furthermore, it is unknown whether *phi* could outperform predictive capability of clinical data alone.

We evaluated how phi may improve prediction of which active surveillance patients would have higher-grade cancer on surveillance biopsies in combination with other clinical data, versus clinical data alone. We utilized data and serum from men in a multi-institutional, prospectively accrued active surveillance cohort (i.e., Canary Prostate Active Surveillance Study (PASS)) to address this question. The large sample of men permitted development and validation of decision rules that included phi results. We hypothesized that adding phi would increase discriminatory ability versus a validated predictive calculator. If confirmed, these results will help decrease burden of excessive surveillance biopsies among prostate cancer patients pursuing active surveillance.

Methods

Patients were identified from the Canary PASS, which is a cohort trial that has prospectively enrolled men with clinical stage T1-T2, GG1–2 prostate cancer eligible to pursue active surveillance (clinicaltrials.gov, NCT00756665).⁶ Participants are monitored with PSA testing and biopsies (12 months, 24 months, 48 months from diagnosis) and provide specimens for a biorepository every six months during the first 5 years on surveillance. We identified a preliminary cohort of men with GG1 cancer on biopsy. Our analysis was performed at biopsy-level, where men may have had multiple biopsies in the analysis.

Creation of Discovery/Validation Sets

We established a discovery set of biopsies where serum specimen was drawn prior to biopsy. We randomly selected biopsies to meet a pre-determined sample size based on power calculations. For validation, we used a two-stage process. First, we identified a unique set of biopsies (n=821) distinct from discovery set where sample size was again pre-determined by power calculations. We then supplemented this preliminary set with a purposefully sampled subset of biopsies (n=101) with our outcome of interest (i.e., grade reclassification) to ensure adequate precision for our validation. Details are described in the Appendix.

Prostate Health Index (*phi*)

Blood was collected in Serum Separator Tubes, allowed to clot for 45 min, centrifuged at 1600g-force, 4°C, and frozen at –70°C within 4 hours of collection. Frozen serum was stored until shipment on dry ice to the Johns Hopkins University EDNRN Biomarker Reference Lab (Baltimore, MD) for analysis. Specimens were analyzed for PSA, free PSA (fPSA), and [–2]proPSA (p2PSA) on the Access 2 Immunoassay Analyzer (Beckman Coulter, Inc., Brea, CA, United States). The prostate health index (*phi*) was calculated as $(p2PSA/fPSA) \times PSA^{1/2}$. The analysis lab was blinded to all specimen and clinical information.

Outcome

Outcome was grade reclassification to GG2–5 prostate cancer on a biopsy. Biopsies were *confirmatory* (i.e., first biopsy after diagnosis) or *subsequent*.

Model Building and Decision Rule Derivation

To identify decision rules in our discovery cohort with clinical information, we fit a multivariable logistic regression model for predicting grade reclassification using factors validated in the most recent PASS risk calculator (i.e., clinical model).⁵ These included patient age, body mass index (BMI), PSA (log-transformed), and prostate volume (log-transformed) as continuous variables, confirmatory versus subsequent biopsy, having more than one prior negative biopsy, and a previous biopsy with more than 20% of cores on biopsy with cancer as dichotomous variables. We fit a multivariate model that included *phi* results plus clinical factors (clinical factors plus *phi* model). Generalized estimating equations were used to account for clustered nature of data for men with more than one biopsy.

We developed decision rules using clinical data alone or in combination with *phi* results in a variety of ways. Since rules are intended for clinical decision of avoiding an unnecessary biopsy, our objective was to identify rules with the highest observed specificity in the discovery cohort while keeping the false negative rate at or below 5% (i.e., at least 95% sensitivity). R₁ was based on the risk scores from the clinical model. R₂ was built in two steps. First, two thresholds were determined to divide patients into three risk groups using clinical data. Patients in the highest risk group would be recommended to undergo biopsy; patients with lowest risk would be recommended to forgo biopsy. For those with intermediate risk, a threshold for *phi* was identified for selecting higher-risk patients to undergo biopsy. R₃ was derived based on the clinical factors plus *phi* in a single linear regression model. The final rule (R₄) is a “or”-logic rule, having two thresholds (one based on the risk score from the clinical model, and one based on *phi*) where biopsy was recommended if risk exceeded either threshold. R₂ required *phi* measurements only for the intermediate risk group. For R₂ and R₄, thresholds were determined via grid search for the highest specificity at 95% sensitivity (i.e., 5% false-negative rate).

Receiver Operating Characteristic (ROC) and Decision Curve Analyses (DCA)

We assessed absolute and incremental performance of each rule using the validation biopsy cohort. Rules were implemented using the same coefficients from models and thresholds from analyses performed on the discovery cohort. The sensitivity and specificity of the four rules (R₁–R₄) were determined using the validation cohort. These were compared to a base rule (R₀), performing biopsy no matter what the clinical factors or PHI result (i.e., “biopsy all”), or R₁. For R₁ and R₃, areas under the Receiver Operating Characteristic curve (AUC of ROC) were evaluated and compared using risk scores from multivariable models. As an exploratory analysis, we planned to validate rules in a stratified analysis by confirmatory and subsequent biopsies among the validation cohort. We performed a DCA to display net benefit of using R₁ – R₄ across a spectrum of probabilities of reclassification for all, confirmatory, and subsequent biopsies from the validation set. 95% confidence intervals of sensitivities, specificities, AUC, and their difference between rules were calculated via bootstrap resampling at the patient-level. Analyses were conducted using R v4.0.4. Participants consented to collection of research specimens under institutional regulatory board approval (IRB) at all participating study sites and this was approved by the central site IRB.

Results

We evaluated 1,532 biopsies among 1,142 men with GG1 prostate cancer. There were 610 biopsies in the discovery set and 922 biopsies in the validation set. The characteristics of the biopsy sets are in Table 1. Overall, 23.1% (141/610) and 29.4% (271/922) biopsies had grade reclassification in the discovery and validation sets, respectively (Supplemental Tables 1 and 2). Among the discovery set, grade reclassification was associated with age (66 vs 64 years, OR 1.03 per year, 95% CI 1.00 – 1.07) and PSA (4.9 vs 4.3 ng/mL, OR 1.62 per ng/mL, 95% CI 1.07 – 2.45). There were associations between reclassification and prostate volume (38.1 vs. 41.7 cc, OR 0.34, 0.20 – 0.58), prior biopsy with 20% positive cores (35% vs. 18%, OR 1.98, 95% CI 1.26 – 3.10), and 2+ prior negative biopsies (2% vs. 11%, OR 0.24, 95% CI 0.07 – 0.86). There was not a significant association between biopsy type (confirmatory vs surveillance; OR 1.20, 95% CI 0.79 – 1.84). For the validation set, biopsies with reclassification were more likely to be confirmatory (61% vs 46% no reclassification, $p < 0.001$). There was a significant association between ϕ and grade reclassification in both sets (discovery: 44.9 vs 33.7, adjusted OR 2.09, 95% CI 1.24 – 3.53; validation: 40.8 vs 31.8, $p < 0.001$). Results from calibration of multivariable model for the validation set are in Supplemental Figure 1.

The performance of the selected decision rules in the discovery and validation sets is in Table 2. In the discovery set, the two-step rule (R_2) had the greatest specificity with sensitivity fixed at 95% (27% vs. 17% R_1 , 21% R_3 and 25% R_4). In the validation set, R_1 and R_3 performed similarly to counterparts in the discovery set: R_1 yielded sensitivity of 97% (95% CI 95% – 99%) and specificity at 15% (95% CI 11 – 18%), and R_3 had sensitivity of 93% (95% CI 90–96%) and specificity of 21% (95% CI 17–24%). Compared to R_1 , R_3 had sensitivity = –4% (95% CI –6–2%) and specificity = +6% (95% CI 4–8%), whereas R_2 had decreased sensitivity (sensitivity = –7%, 95% CI –1 – –4%) and specificity = +14% (95% CI 10–18%). Performance of the “or”-logic rule R_4 was comparable to R_3 . When stratified by biopsy type, R_3 had higher sensitivity (97%; 95% CI 95–99%) but lower specificity (12%; 8–16%) among confirmatory biopsies. Among subsequent biopsies, R_3 had lower sensitivity (88%; 95% CI 81–93%) but higher specificity (28%; 95% CI 22–33%).

AUC values and clinical consequences of applying different rules are displayed in Table 3. There was slight improvement for R_3 vs R_1 for men receiving confirmatory biopsy (AUC 0.745 vs R_1 0.724, $AUC = 0.021$, 95% CI 0.002–0.041). R_3 did not have better discrimination vs R_1 among the entire cohort (AUC 0.727 vs R_1 0.720, $AUC = 0.007$, 95% CI –0.007–0.020), nor for subsequent biopsies (AUC 0.681 vs R_1 0.693, $AUC = -0.012$, 95% CI –0.031–0.006). Due to R_2 and R_4 having multiple decision thresholds, we could not generate ROC curves. Applying R_3 for every 1000 confirmatory biopsies would avoid 97 biopsies (95% CI 69–125) and miss 8 reclassifications (95% CI 2–16). Applying R_1 for every 1000 subsequent biopsies would avoid 193 biopsies (95% CI 147–236) and miss 10 reclassifications (95% CI 3 – 18). Results from the DCA are in Figure 1. We also investigated potential heterogeneity in the influence of ϕ based on biopsy type (confirmatory vs surveillance). In a model with interaction term between ϕ and biopsy type, the estimate was not statistically significant (adjusted OR 0.81, 95% CI 0.33 – 2.01).

Discussion

In this analysis, we evaluated whether an established serum-based biomarker (*phi*) could improve the ability to discriminate whether a man with low-grade prostate cancer would be reclassified on a subsequent biopsy. There were two key findings from this analysis. First, addition of *phi* to clinical factors did not improve discrimination of grade reclassification overall. Second, we observed slightly improved discrimination with *phi* added to clinical factors among confirmatory biopsies, but not among subsequent biopsies.

Active surveillance for men with low-risk prostate cancer is the preferred approach by contemporary guidelines.¹ This is reflected by expanding uptake of this strategy at a population-level in the U.S.; nearly half of men diagnosed with lower-risk tumors in 2015 were managed with active surveillance.^{7,8} The question emerging now is not *whether* to perform active surveillance for men with low-risk prostate cancer, but *how* to perform it. Guidelines are less clear on the optimal frequency of PSA tests and biopsies.⁹ Current biopsy protocols for surveillance might be too “intense”, exposing patients to increased anxiety, potential biopsy complications, and burdensome costs of care.^{10,11} Prior work using data from the PASS cohort showed that clinical factors can help predict the likelihood of grade reclassification on subsequent biopsies and perhaps help “de-intensify” surveillance by avoiding biopsies for some men.¹¹

It is less clear whether biomarkers—beyond PSA—can supplement clinical factors to improve our ability to discriminate which men may or may not benefit from a repeat biopsy while on active surveillance. For instance, use of a four-kallikrein biomarker panel plus clinical factors improved ability to predict reclassification at confirmatory biopsy only slightly, and did not provide any additional benefit for men considering subsequent biopsies.⁵ The *phi* biomarker represents a promising candidate to augment biopsy decision-making for men on active surveillance. This biomarker helps predict the presence of significant prostate cancer for men considering initial prostate biopsy.¹² Among institutional cohorts, *phi* has shown promise in predicting grade reclassification among active surveillance patients.^{13,14} However, among a large cohort of men across different practice sites, we did not observe a marked improvement in the ability to predict grade reclassification with the inclusion of *phi* in addition to other previously-validated clinical factors. Like the four-kallikrein panel, we did observe some improved discrimination for active surveillance patients undergoing their confirmatory biopsy after their prostate cancer diagnosis.

Our findings must be placed within the context of the limitations of this analysis. First, our primary outcome was grade reclassification, and there may be other critical factors (i.e., biopsy technique, ultrasound findings, etc.) left out of our models. However, the clinical factors that were included have been validated as important to predicting grade reclassification. Second, we retrospectively assessed *phi* among samples drawn months to years ago, and there may have been variation in the *phi* values over time. However, we ran sensitivity analyses assessing repeated measures of blinded duplicate samples and found very high correlation with re-testing. Finally, though grade reclassification is a clinically

actionable endpoint, it may not always reflect the overall aggressiveness of the cancer based on sampling error and divergence between clinical risk and genomic risk.

Despite those limitations, we found *phi* may have a role in helping decide whether a man considering active surveillance needs a confirmatory biopsy. The clinical implications of finding a biomarker that can help decide whether to perform confirmatory biopsy are unclear. Among our cohort, grade reclassification was more common with confirmatory biopsy versus surveillance biopsy. Guidelines recommend the initial confirmatory biopsy most strongly for active surveillance patients, and efforts to “de-intensify” prostate biopsies focused on subsequent prostate biopsies. Notably, most biopsies among our cohort were not aided by MRI guidance, now being used more frequently during active surveillance.¹⁵ Among the PASS cohort, MRI findings did not improve discrimination for grade reclassification compared to clinical factors alone, but the presence of a suspicious PIRADS 5 lesion was associated with nearly a 3-fold increased odds for reclassification.¹⁶ A recent randomized trial showed that active surveillance patients randomized to an MRI to help guide their confirmatory biopsy had fewer grade reclassifications at 2 years and less frequent transition to treatment.¹⁷ It is unlikely that providers would recommend against a confirmatory biopsy with a favorable risk prediction based on biomarker results, in the face of an unfavorable MRI finding. With the modest observed effect, it is possible that incorporation of MRI findings into models could eliminate the impact *phi* has in predicting grade reclassification. *It* will be crucial to understand the interplay between serum and urine biomarkers and radiographic findings related to characterizing risk of grade reclassification for active surveillance patients, particularly for subsequent biopsies after the confirmatory biopsy.

Conclusions

Among active surveillance patients, using *phi* with clinical data only modestly improved ability to assess risk of grade reclassification on confirmatory biopsy versus clinical information alone. In addition, *phi* did not improve prediction of grade reclassification on subsequent biopsies.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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Abbreviation Key

AUC	Area Under Curve
GG	Grade Group
IRB	Institutional Regulatory Board
IQR	Interquartile range
MRI	Magnetic resonance imaging
OR	Odds ratio
PASS	Prostate Active Surveillance Study
phi	Prostate Health Index
PSA	Prostate specific antigen
ROC	Receiving Operating Characteristic

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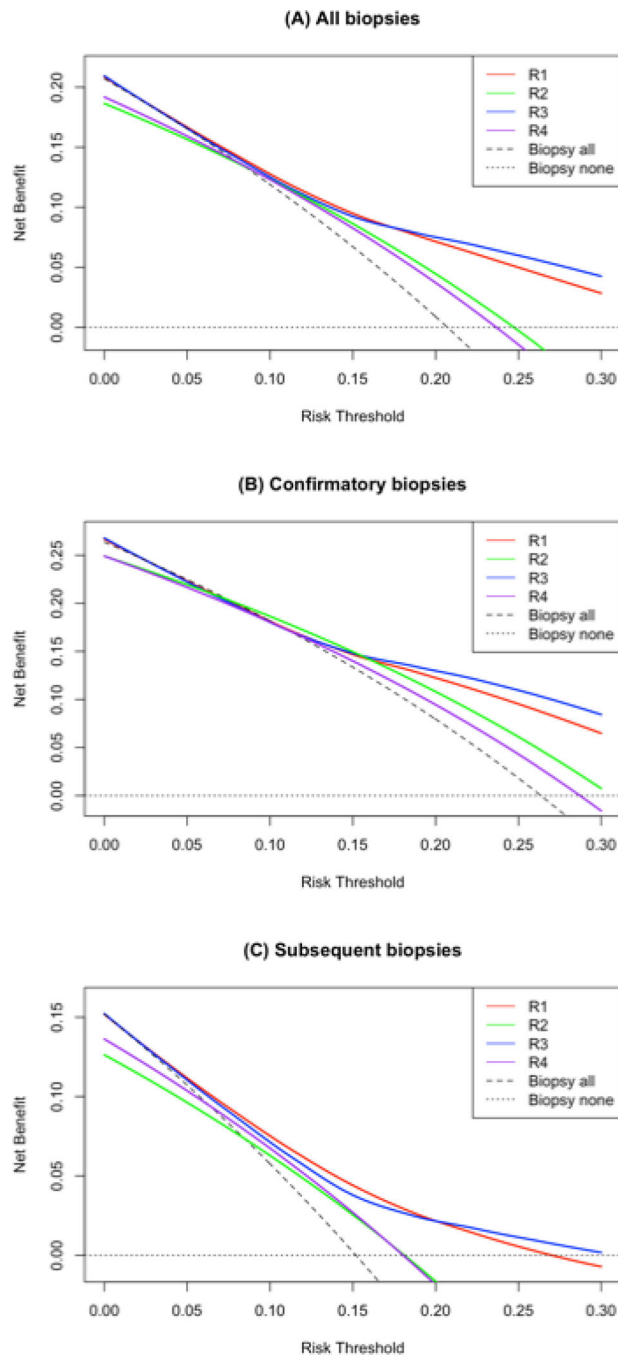


Figure 1. DCA from best performing prediction rule (R_3 , blue line) versus clinical-only rule (R_1 , red line). This figure demonstrates DCA for R_3 (clinical data plus *phi*) compared to R_1 (clinical data alone) for all biopsies (A), confirmatory biopsies (B), and subsequent biopsies (C). Of note this DCA was performed on 821 biopsies randomly sampled for the validation set and excluded 101 biopsies that were purposefully sampled for having GG2 on biopsy.

Table 1:

Characteristics of discovery and validation cohorts.

	Discovery Cohort ¹ (n=610 biopsies)	Validation Cohort ² (n=922 biopsies)
Age at Biopsy (median, IQR)	64 (59 – 68)	65 (60 – 69)
Body Mass Index (kg/m ²) (median, IQR)	27.2 (25.1 – 30.0)	27.2 (25.0 – 30.6)
Prostate volume (cc) (median, IQR)	40.4 (30.3 – 57.3)	46.3 (32.5 – 62.2)
PSA (ng/mL) (median, IQR)	4.5 (3.0 – 6.3)	5.2 (3.6 – 7.3)
Biopsy Type (n, %)		
Confirmatory Biopsy	298 (49%)	463 (50%)
Second Surveillance Biopsy	218 (36%)	285 (31%)
Third Surveillance Biopsy	67 (11%)	110 (12%)
Fourth Surveillance Biopsy	18 (3%)	42 (5%)
Fifth Surveillance Biopsy	9 (1%)	12 (1%)
Sixth Surveillance Biopsy	0	7 (1%)
Seventh Surveillance Biopsy	0	3 (<1%)
Prior Biopsy with 20% or more cores positive (n, %)	132 (22%)	240 (26%)
2+ Prior Negative Biopsies (n, %)	55 (9%)	60 (7%)
Prostate Health Index (median, IQR)	35.7 (27.4 – 49.6)	34.0 (24.7 – 46.8)

¹Comprised of 513 men.²Comprised of 629 men and includes 821 randomly sampled biopsies and 101 biopsies purposefully sampled for presence of GG2 disease.

Table 2.

Performance of prediction rules among discovery and validation biopsy cohorts.

	Discovery (n=610)	Validation (n=922)							
		Rule	Specificity ^J	Overall		Confirmatory Biopsy		Subsequent Biopsy	
				Sensitivity (95% CI)	Specificity (95% CI)	Sensitivity (95% CI)	Specificity (95% CI)	Sensitivity (95% CI)	Specificity (95% CI)
R ₁ : clinical only		Biopsy if clinical score (CS) > 0.083	17%	97% (95–99%)	15% (11–18%)	99% (98–100%)	7% (4–10%)	93% (88–98%)	22% (16–26%)
R ₂ : clinical then PHI		Biopsy if (CS>0.185) or (CS>0.05 and phi>27.6)	27%	90% (86–93%)	28% (24–33%)	95% (91–98%)	23% (19–28%)	83% (76–90%)	33% (27–39%)
R ₃ : clinical + PHI(linear)		Biopsy if CS + phi score > 0.092	21%	93% (90–96%)	21% (17–24%)	97% (94–99%)	12% (8–16%)	88% (81–93%)	28% (22–33%)
R ₄ :clinical or PHI		Biopsy if (CS > 0.12) or (phi>39.0)	25%	93% (89–96%)	22% (18–26%)	95% (91–98%)	16% (12–20%)	90% (83–95%)	27% (21–33%)

^J Sensitivity fixed at 95% for performance assessment based on fitted model coefficients for discovery cohort.

* CS = $\text{expit}(-1.77 + 0.80*\log \text{PSA} + 0.19*\text{Initial Biopsy} + 0.03*\text{Age} + 0.06*\text{BMI} + 0.75*\text{Prior Cores ratio} - 0.2 - 1.57*\text{Neg Bx} - 2 - 1.29*\log \text{prostate volume})$

** CS + phi = $\text{expit}(-4.47 + 0.74*\log \text{phi} + 0.48*\log \text{PSA} + 0.18*\text{Initial Biopsy} + 0.033*\text{Age} + 0.05 * \text{BMI} + 0.68 * \text{Prior Cores ratio} - 0.2 - 1.42 * \text{Neg Bx} - 2 - 1.07 * \log \text{prostate volume})$

Table 3.

Clinical consequences for decision rules with and without *phi*.

Rule	Overall			Confirmatory			Subsequent		
	Biopsies avoided [#] (n, 95% CI)	Reclassifications Missed [#] (n, 95% CI)	AUC	Biopsies avoided (n, 95% CI)	Reclassifications Missed (n, 95% CI)	AUC	Biopsies avoided (n, 95% CI)	Reclassifications Missed (n, 95% CI)	AUC
R ₁ : clinical only	123 (95 – 151)	6 (2 – 11)	0.720	51 (30 – 73)	2 (0 – 5)	0.724	193 (147 – 236)	10 (3 – 18)	0.693
R ₂ : clinical then PHI	246 (210 – 282)	21 (14 – 28)	*	187 (149 – 225)	14 (6 – 24)	*	303 (252 – 356)	26 (15 – 37)	*
R ₃ : clinical + PHI (linear)	177 (145 – 209)	14 (8 – 20)	0.727	97 (69 – 125)	8 (2 – 16)	0.745	255 (205 – 301)	18 (10 – 28)	0.681
R ₄ : clinical or PHI	189 (158 – 222)	15 (9 – 22)	*	133 (102 – 163)	14 (6 – 24)	*	245 (195 – 297)	16 (8 – 26)	*

[#]The number of biopsies avoided, and reclassifications missed from a population of 1000 biopsies with an event rate of 21%, 26% and 15% for the overall, confirmatory, and subsequent biopsies respectively, which are estimated from the cohort of 821 biopsies from the validation set.

* Unable to calculate due to multiple thresholds in rule.