

# Carbon isotope fractionation by an ancestral rubisco suggests that biological proxies for CO<sub>2</sub> through geologic time should be reevaluated

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The history of Earth's carbon cycle reflects trends in atmospheric composition convolved with the evolution of photosynthesis. Fortunately, key parts of the carbon cycle have been recorded in the carbon isotope ratios of sedimentary rocks. The dominant model used to interpret this record as a proxy for ancient atmospheric CO<sub>2</sub> is based on carbon isotope fractionations of modern photoautotrophs, and longstanding questions remain about how their evolution might have impacted the record. Therefore, we measured both biomass ( $\varepsilon_p$ ) and enzymatic ( $\varepsilon_{Rubisco}$ ) carbon isotope fractionations of a cyanobacterial strain (*Synechococcus elongatus* PCC 7942) solely expressing a putative ancestral Form 1B rubisco dating to ≫1 Ga. This strain, nicknamed ANC, grows in ambient pCO<sub>2</sub> and displays larger  $\varepsilon_p$  values than WT, despite having a much smaller  $\epsilon_{Rubisco}$  (17.23 ± 0.61‰ vs. 25.18 ± 0.31‰, respectively). Surprisingly, ANC  $\epsilon_{n}$  exceeded ANC  $\varepsilon_{Rubisco}$  in all conditions tested, contradicting prevailing models of cyanobacterial carbon isotope fractionation. Such models can be rectified by introducing additional isotopic fractionation associated with powered inorganic carbon uptake mechanisms present in Cyanobacteria, but this amendment hinders the ability to accurately estimate historical pCO<sub>2</sub> from geological data. Understanding the evolution of rubisco and the CO<sub>2</sub> concentrating mechanism is therefore critical for interpreting the carbon isotope record, and fluctuations in the record may reflect the evolving efficiency of carbon fixing metabolisms in addition to changes in atmospheric CO<sub>2</sub>.

evolution | carbon isotopes | rubisco | cyanobacteria | Precambrian

Photoautotrophs have evolved over geologic time to harness energy from the sun in order to "fix" external, inorganic carbon  $(C_i)$  into reduced, organic carbon  $(C_o)$ , thereby creating biomass for growth and energy storage. Today, and likely for much of Earth's history (1), the most widespread strategy for carbon fixation is the Calvin-Benson-Bassham (CBB) cycle, where the key carbon fixation step is catalyzed by ribulose-1,5-bisphosphate (RuBP) carboxylase/oxygenase (rubisco) (2, 3). But rubisco's central role in the CBB cycle and oxygenic photosynthesis poses a conundrum because it is usually considered to be a nonspecific and slow enzyme. The first issue concerns rubisco's dual carboxylase and oxygenase activities: The RuBP intermediate (enediolate) is susceptible to both  $O_2$  and  $CO_2$  attacks (4). Consequently, instead of fixing a  $CO_2$  molecule during photosynthesis, rubisco can instead assimilate O2 to yield 2-phosphoglycolate (2-PG), which is not part of the CBB cycle and therefore must be salvaged through photorespiratory pathways that consume adenosine triphosphate (ATP), reducing power, and carbon (5). The second issue concerns rubisco's maximum carboxylation rate  $(V_c)$ , which is  $\approx 7$  to 10 times slower than other central metabolic enzymes (6), and displays very limited variation across large phylogenetic distances (7).

Both issues—its dual carboxylase/oxygenase activity and limited maximum carboxylation rate—are typically rationalized by considering its evolutionary history in the context of long-term changes in environmental  $CO_2$  and  $O_2$  concentrations. Rubisco is thought to have been the primary carboxylating enzyme of global photosynthesis since the Great Oxygenation Event and potentially far prior (1). It is also thought to have evolved when there was trace  $O_2$  and much higher  $CO_2$  concentrations in the atmosphere, in contrast to the modern atmosphere where  $O_2$  is roughly 20% while  $CO_2$  is only about 0.04% by partial pressure (1).

Likely in response to these changing environmental concentrations, many aquatic photoautotrophs evolved  $CO_2$  concentrating mechanisms (CCMs) that enhance carboxylation and suppress oxygenation by immersing rubisco in a high- $CO_2$  environment. Even with CCMs, the effective in vivo rates of extant rubiscos are estimated to be lower ( $\approx 1\%$  for

# Significance

Earth scientists rely on chemical fossils like the carbon isotope record to derive ancient atmospheric CO<sub>2</sub>concentrations, but interpretation of this record is calibrated using modern organisms. We tested this assumption by measuring the carbon isotope fractionation of a reconstructed ancestral rubisco enzyme (>1 billion years old) in vivo and in vitro. Our results contradicted prevailing models of carbon flow in Cyanobacteria, but our data could be rationalized if light-driven uptake of CO<sub>2</sub>is considered. Our study suggests that the carbon isotope record tracks both the evolution of photosynthetic physiology as well as changes in atmospheric CO<sub>2</sub>, highlighting the importance of considering both evolution and physiology for comparative biological approaches to understanding Earth's history.

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terrestrial and ≈15% for marine rubiscos) than the maximal catalytic rates measured at 25 °C (2). Today, all known Cyanobacteria have CCMs, as do many bacterial chemolithoautotrophs, many aquatic algae and some plants (8). The bacterial CCM has two main components: i)  $C_i$  pumps producing high cytosolic HCO<sub>3</sub><sup>-</sup> concentrations, and ii) coencapsulation of carbonic anhydrase (CA) and rubisco inside proteinaceous organelles known as carboxysomes (Fig. 1A) (9-11). These C<sub>i</sub> pumps include BCT1 (ATP-dependent powered HCO3<sup>-</sup> transporter), SbtA (Na<sup>+</sup>/ HCO<sub>3</sub><sup>-</sup> symporters), BicA (Na-dependent HCO<sub>3</sub><sup>-</sup> transporter), NDH-1MS, and NDH-1MS' (NADPH-dependent powered CO<sub>2</sub> uptake; see ref. 12 for review). It is unclear exactly when the bacterial CCM arose, with proposals ranging from the Proterozoic to the Phanerozoic Eon (8, 13). Therefore, for up to half of Earth's history, cyanobacterial rubiscos have functioned in concert with a system that pumps C<sub>i</sub> into and around the cell.

Rubisco displays a kinetic isotope effect (KIE) where it preferentially fixes <sup>12</sup>CO<sub>2</sub> over <sup>13</sup>CO<sub>2</sub> due in part to the  $V_C$  being slightly faster for <sup>12</sup>CO<sub>2</sub> than <sup>13</sup>CO<sub>2</sub> (21), leading the reaction product, 3-phosphoglycerate (3-PG), to be relatively depleted in <sup>13</sup>C by several percent (tens of ‰) relative to the isotopic composition of the CO<sub>2</sub> substrate. This effect is typically reported in delta ( $\delta^{13}$ C) and epsilon ( $\varepsilon$ ) notation in units of per mille (‰), where  $\delta^{13}$ C = [<sup>13</sup>R<sub>sa</sub>/<sup>13</sup>R<sub>ref</sub> - 1]\*1000 and <sup>13</sup>R is the ratio of <sup>13</sup>C/<sup>12</sup>C in the sample or reference, respectively; see *Materials and Methods*. The difference in  $\delta^{13}$ C of the CO<sub>2</sub> substrate and the 3-PG product is reported as  $\varepsilon_{Rubisco}$  and varies between 18 and 30‰ for extant rubiscos (22, 23), with the exception of the coccolithophore *E. huxleyi* with  $\varepsilon_{Rubisco} \approx 11\%$  (24). Because autotrophs utilizing the CBB cycle synthesize biomass from 3-PG, biomass is <sup>13</sup>C-depleted compared to external C<sub>i</sub> pools—the magnitude of this difference is called  $\varepsilon_p$ .

The KIE of rubisco, along with other and more minor processes that affect carbon isotope ratios, is recorded in the carbon isotope record, which is comprised of measurements of the relative ratios of  $^{13}$ C to  $^{12}$ C isotopes in C-bearing phases of sedimentary rocks

over time (25). Carbon isotope data have been assembled globally from myriad of ancient environments to cover  $\approx$ 3.8 billion years (Ga) of Earth's 4.5 Ga history (26). Contemporaneous C<sub>i</sub> pools are preserved as carbonate salts (e.g., limestones and dolomites), while contemporaneous biomass and C<sub>o</sub> pools are preserved in the organic-rich components (e.g., kerogen) of many different lithologies and are measured as rock total organic carbon (TOC) (25). There are additional fractionations associated with the preservation of biomass and C<sub>i</sub> as rocks, so the magnitude of fractionation between rock C<sub>i</sub> and C<sub>o</sub> is termed  $\varepsilon_{TOC}$  and differs slightly from  $\varepsilon_p$  (27). Therefore, if one can derive  $\varepsilon_p$  from the rock record ( $\varepsilon_{TOC}$ ) and pair it with a model relating  $\varepsilon_p$  to pCO<sub>2</sub>, in principle one can infer the history of atmospheric pCO<sub>2</sub> from the carbon isotope record.

The carbon isotope record is particularly important for constraining ancient atmospheric pCO<sub>2</sub> (28, 29) because direct observations of the past atmosphere from trapped gas in ice cores only extends back ≈1 million years (30). One notable feature of the record from ≈3.8 Ga to the present is that rock C<sub>o</sub> is depleted in <sup>13</sup>C by ≈25‰ compared to C<sub>i</sub> (23, 25, 26), and this offset roughly matches the KIE of extant rubiscos (25). The dominant model used to derive ancient atmospheric CO<sub>2</sub> from the geological record (referred to as the "C Isotope Record Model" here; *SI Appendix*, Fig. S7 and Eq. 1) reflects this observation by fixing the maximum possible fractionation of biomass to be that of rubisco:

$$\epsilon_p = \epsilon_f - \frac{b}{\left[CO_2(aq)\right]},\tag{1}$$

where  $\varepsilon_f$  is the maximum isotopic fractionation for carbon fixation and is typically set to equal  $\varepsilon_{Rubisco}$ , [CO<sub>2</sub>(aq)] is the concentration of dissolved CO<sub>2</sub> in solution around the cells, and *b* is a fitted parameter derived from experiments (31). This physiological factor, *b* (‰ kg µM<sup>-1</sup>), is fit from pure culture experiments of eukaryotic and bacterial algae, and encompasses all physiological effects that may affect cellular isotopic fractionation including the CCM, growth rate, cell size and geometry, membrane permeability,



**Fig. 1.** Comparing the cyanobacterial CO<sub>2</sub> concentrating mechanism (CCM) to the traditional box model of photosynthetic C isotope discrimination. (*A*) Cyanobacterial CCMs rely on i) active C<sub>i</sub> uptake into the cell, and ii) coencapsulation of carbonic anhydrase (CA) and rubisco within the carboxysome. Independent, powered transporters for HCO<sub>3</sub><sup>-</sup> and CO<sub>2</sub> are shown in brown and purple; both work to increase cytosolic concentrations of HCO<sub>3</sub><sup>-</sup> (see ref. 12 for review). All CCM components work to produce a high carboxysomal CO<sub>2</sub> concentration that enhances CO<sub>2</sub> fixation by rubisco and suppresses oxygenation. Limited CO<sub>2</sub> escapes from the carboxysome—some is scavenged by CO<sub>2</sub> pumps while the rest leaves the cell. (*B*) Architecture of the traditional box model based on (14–17); see SI Appendix for full discussion of this model. Boxes denote carbon pools of interest, and fluxes between boxes are denoted by  $\Phi$ . Each flux has its own isotopic fractionation denoted by e; no fractionation is assumed for  $\Phi_{loss}$ . Model assumes an infinitely large external carbon pool, that carbon not fixed by rubisco (C<sub>lost</sub>) returns to this pool, and that fluxes are at steady state. Note that this architecture does not include a box for the carboxysome. (C) Model solution for the traditional model is  $e_P = a^* e_{equil} + f^* e_{Rubisco}$  (Eq. 2), where  $e_P$  is defined as the difference in  $\delta^{13}$ C of C<sub>external</sub> and C<sub>fixed</sub>, *f* is defined as the fraction of C<sub>1</sub> lost ( $\Phi_{loss}/\Phi_{los}/\Phi_{los}$ ), and *a* is the fractional contribution of HCO<sub>3</sub><sup>-</sup> to total C<sub>1</sub> uptake is as CO<sub>2</sub> (dotted line); when a = 1, all C<sub>1</sub> uptake is as HCO<sub>3</sub><sup>-</sup> (solid line). This model is presented in ref. 18, which is a generalization of  $e_{Rubisco} = 25\%$  and  $e_{equil} = -9\%$  were used for this illustration (20). Model outputs indicate that at high external CO<sub>2</sub> concentrations (dark wedge under graph), there is greater net C<sub>1</sub> leakage (larger *f* values) from the cell.

growth medium composition (e.g., pH, salinity, limiting nutrient), strain genetics, and physiological state (31–35). In the limit of high [CO<sub>2</sub>(aq)], the term *b*/[CO<sub>2</sub>(aq)] goes to zero and  $\varepsilon_{\rm p} = \varepsilon_{\rm f}$ , which is assumed to equal  $\varepsilon_{\rm Rubisco}$ . Therefore, with this model framework the maximum value of  $\varepsilon_{\rm p}$  is  $\varepsilon_{\rm Rubisco}$ , and the term *b* sets how quickly  $\varepsilon_{\rm p}$  approaches the limit of  $\varepsilon_{\rm Rubisco}$ .

The term *b* and the assumption that  $\varepsilon_{\text{Rubisco}}$  sets the upper limit of  $\varepsilon_{\text{P}}$  directly follows from the "traditional model" (Fig. 1 *B* and *C* and Eq. **2**) that was developed by measuring  $\varepsilon_{\text{P}}$  of plants and algae while parameters like pCO<sub>2</sub> were varied:

$$\epsilon_p = f * \epsilon_{Rubisco} + a * \epsilon_{equil}, \qquad [2]$$

where *f* is a ratio describing how much  $C_i$  exits vs. enters the organism (*f* = 1 is all  $C_i$  that enters is lost),  $\varepsilon_{equil}$  is the equilibrium isotope effect, and *a* is the fraction of  $C_i$  entering the cell as  $CO_2$  (*a* = 0) or  $HCO_3^-$  (*a* = 1) (18, 19). The diffusion isotope effect ( $\varepsilon_{Diffusion}$ ) is considered negligible. This model (Fig. 1 *B* and *C* and Eq. 2) is therefore the physiological underpinning Eq. 1 and subsequent interpretations of the C isotope record; both show a limit where the maximum  $\varepsilon_P$  is  $\varepsilon_{Rubisco}$ .

This traditional model was originally developed from studies of C isotope fractionation in plants (dotted line in Fig. 1C; all C<sub>i</sub> uptake is as CO<sub>2</sub> for plants) and was later adapted to eukaryotic and bacterial algae. The primary architecture of the traditional model stems from a seminal study by Park and Epstein (16) who proposed a "two step model" to explain  $\varepsilon_{\rm P}$  of tomato plants grown in varied CO<sub>2</sub> concentrations and light levels. In this model, carbon can be viewed as residing in one of three pools or "boxes" (Fig. 1*B*)— $C_i$  outside the cell ( $C_{ext}$ ),  $C_i$  inside the cell ( $C_{internal}$ ), or  $C_o$  as biomass ( $C_{fixed}$ ). A "leakiness" term, *f*, is defined as the ratio of fluxes ( $\Phi$ ) of C<sub>i</sub> exiting or entering the plant, where all of the  $C_i$  that entered the cell is lost when f = 1. In this simplified model,  $\varepsilon_{p}$  is determined by the isotopic effect of two distinct steps: i) the diffusion of CO<sub>2</sub> into the plant [ $\varepsilon_{\text{Diffusion}}$ ; <1‰ across a diaphragm cell in water at 25 °C (36)]; and ii) the carbon fixation step catalyzed by rubisco ( $\varepsilon_{Rubisco}$ ;  $\approx 18$  to 30‰). Notably, Park and Epstein proposed that the isotopic fractionations of these two steps are not additive in vivo (i.e.,  $\varepsilon_p \neq \varepsilon_{\text{Diffusion}} + \varepsilon_{\text{Rubisco}}$ ) but instead reflects the process by which photosynthesis is limited, either entry of CO<sub>2</sub> into the cell ( $\varepsilon_p = \varepsilon_{\text{Diffusion}}$ ) or CO<sub>2</sub> fixation by rubisco ( $\varepsilon_{\rm p} = \varepsilon_{\rm Rubisco}$ ) (16).

Solving the traditional model at steady state results in a linear relationship between  $\varepsilon_p$  and f where the minimum and maximum  $\varepsilon_p$  values are  $\varepsilon_{\text{Diffusion}}$  and  $\varepsilon_{\text{Rubisco}}$ , respectively (Fig. 1*C*). This allows experimentally measured values of  $\varepsilon_p$  to be used to solve for CO<sub>2</sub> leakage (f, Fig. 1*C*). When  $\varepsilon_p \approx \varepsilon_{\text{Diffusion}}$ , nearly all carbon entering the cell is used ( $f \approx 0$ ) and rubisco's <sup>12</sup>C preference is not "expressed"; conversely, when  $\varepsilon_p \approx \varepsilon_{\text{Rubisco}}$ , very little of the carbon entering the cell is fixed ( $f \approx 1$ , nearly all carbon leaks from the cell) and rubisco can "choose" between <sup>12</sup>C and <sup>13</sup>C substrates so that rubisco's KIE is fully expressed. Farquhar et al. (17) later derived a relationship between  $\varepsilon_p$  and the ratio of external vs. intracellular CO<sub>2</sub> partial pressures, allowing CO<sub>2</sub> concentrations at the site of rubisco to be roughly estimated from  $\varepsilon_p$ . Therefore, given the assumption that C<sub>i</sub> is taken up passively, it is possible to derive an increasing relationship between C<sub>ext</sub> and  $\varepsilon_p$  from this model, where large  $\varepsilon_p$  indicates that high external CO<sub>2</sub> concentrations generate excess CO<sub>2</sub> at rubisco and ultimately cause more CO<sub>2</sub> to leak out of the cell than can be fixed [see *SI Appendix* and (15)].

This model was later adapted to algae to account for CCMs mainly active uptake of  $C_i$  as HCO<sub>3</sub><sup>-</sup> and/or CO<sub>2</sub>—and physiological parameters including growth rate and cell geometry (19, 32, 33, 37, 38). These studies grew eukaryotic and bacterial

algae in a range of pCO<sub>2</sub> and culturing conditions to test if the linear relationship between  $\epsilon_p$  and  $p C \bar{O}_2$  observed in plants still held. Interestingly, cyanobacterial  $\boldsymbol{\epsilon}_p$  was found to be roughly constant independent of environmental  $pCO_2$  and growth rate (32). Because cyanobacterial  $\varepsilon_{p}$  values were less than known corresponding  $\varepsilon_{Rubisco}$  values, additional isotopic fractionation factors were not needed to explain  $\varepsilon_{\rm p}$ , even though some active C<sub>i</sub> transport processes, which may fractionate carbon isotopes, were known in cyanobacteria at the time (39-41). Therefore, though different versions of this "traditional model" exist, all variations essentially modified the plant model by shifting the y-intercept of Fig. 1C to account for uptake of  $HCO_3^-$  in addition to  $CO_2$ . If C<sub>i</sub> entering the cell is primarily CO<sub>2</sub>, the model effectively represents plants (dotted line in Fig. 1*C*). If  $C_i$  is taken up primarily as HCO<sub>3</sub><sup>-</sup>, as in many algae,  $\varepsilon_p$  is shifted to lower values (solid line in Fig. 1*C*) because of the equilibrium isotopic effect ( $\epsilon_{\text{equil}})$  between  $\text{CO}_2$  and  $HCO_3^{-}$  [ $\approx -9\%$  (20)]. In Fig. 1*C*, we plot the traditional model as derived in Eichner et al. (18), which is an adaptation of (19).

The C Isotope Record Model (Eq. 1 and *SI Appendix*, Fig. S7) and the traditional model (Eq. 2 and Fig. 1*C*) have a limit where  $\varepsilon_{\rm p}$  cannot exceed  $\varepsilon_{\rm Rubisco}$ . Yet, the largest  $\varepsilon_{\rm p}$  values observed in the Archaean Eon exceed 30‰ (25, 26) and also exceed all current measurements of  $\varepsilon_{\rm Rubisco}$  (23). In addition, recent studies in dinoflagellates have shown that  $\varepsilon_{\rm p}$  can regularly exceed  $\varepsilon_{\rm Rubisco}$  under certain growth conditions (22), and detailed studies of Cyanobacteria imply that leakage estimates derived from  $\varepsilon_{\rm p}$  are not physiologically possible (18). These studies motivated updated models of algal carbon isotope fractionation that account for the isotopic fractionations associated with different C<sub>i</sub> uptake mechanisms in order to rationalize anomalous  $\varepsilon_{\rm p}$  values (18, 22).

These experiments made clear that the physiology of algae and Cyanobacteria-e.g., how they take up C<sub>i</sub> as CO<sub>2</sub> or HCO<sub>3</sub><sup>-</sup> and by which mechanism—affects the C isotopic content of biomass,  $\varepsilon_p$ . Further, these C<sub>i</sub> transporters and other integral components of modern CCMs were once absent from ancient autotrophs, who used various forms of rubisco alone to grow in Archaean or Proterozoic atmospheres (42). Efforts to draw inferences about the ancient Earth from the C isotope record must, therefore, include some understanding of the physiology and evolution of CCMs in Cyanobacteria and eukaryotic algae (22, 42, 43). Recent studies have attempted to address this issue by characterizing model organisms that may better resemble an ancestral counterpart, including a cyanobacterial strain lacking a CCM (43), a strain that overexpresses rubisco (44), and a strain expressing an inferred ancestral rubisco dating from ≈1 to 3 Ga (45, 46).

Here, we measured the  $\varepsilon_p$  of a control strain of *Synechococcus* elongatus PCC 7942 expressing the wild-type rubisco (NS2-KanR, referred to as "WT" for "wild-type", see Materials and Methods), as well as a strain, nicknamed "ANC" for "ancestral", engineered to express an inferred ancestral Form 1B enzyme (dating to >1 Ga) as its sole rubisco (47) in varied  $CO_2$  and light conditions. This putative ancestral rubisco was previously purified and its kinetics were characterized in vitro. Its sequence was then inserted into the genome of a modern cyanobacterium, though the genome of the strain in that study contained both extant and ancestral rubisco sequences (47). Here we study a strain where the extant rubisco was fully removed and replaced with the reconstructed ancestor. In contrast to (46), we also measured  $\varepsilon_{Rubisco}$  of the present-day and ancestral rubiscos in vitro. We observed that: i) biomass  $\varepsilon_{p}$  is greater for ANC than WT for all conditions tested, even though ANC  $\varepsilon_{Rubisco}$  (17.23 ± 0.61‰) is considerably smaller than WT  $\varepsilon_{\text{Rubisco}}$  (25.18 ± 0.31‰); ii) ANC  $\varepsilon_{\text{p}}$  increases with light levels while WT  $\varepsilon_p$  increases with CO<sub>2</sub>; iii) ANC displays a growth defect at ambient pCO<sub>2</sub> that is rescued at high pCO<sub>2</sub>; and iv) ANC growth is severely inhibited in high light. Consistent with recent studies of eukaryotic algae (18, 22), ANC  $\varepsilon_p$  exceeding  $\varepsilon_{Rubisco}$  in all conditions implies that the traditional box model is incomplete and additional isotope fractionations are needed to rationalize measured  $\varepsilon_p$ . In addition, modulation of ANC  $\varepsilon_p$  with light suggests that some light-powered component of the CCM is responsible for excess fractionation beyond  $\varepsilon_{Rubisco}$ . We posit that fractionation due to C<sub>i</sub> uptake might explain isotopic measurents that deviate from traditional model predictions in both extant and ancient organisms.

## **Results and Discussion**

Ancestral Rubisco Enzyme Fractionates Less Than the Modern Rubisco. We measured the carbon isotope fractionations of WT and ANC rubiscos in vitro using the substrate depletion method (48-51). Note that there exists experimental variation in  $\epsilon_{\text{Rubisco}}$  measurements, both within and across studies, and its cause remains uncertain at present [see SI Appendix, section 4a and (52)]; so we employed the same general approach as others (the substrate depletion method) to be consistent with prior literature. Previous work on rubisco isotope discrimination predicted that  $\varepsilon_{Rubsico}$  should correlate positively with specificity  $(S_{C/O})$ , a unitless measure of the relative preference for  $CO_2$  over  $O_2$  (53). We therefore expected ANC and WT  $\epsilon_{Rubisco}$  values to be the same within uncertainty because of their similar  $S_{C/O}$ values (previously measured in ref. 47), but found that the fractionation factor ( $\varepsilon_{Rubisco}$ ) of the ancestral rubisco (17.23 ± 0.61‰) was about 8‰ lower than that of the extant rubisco  $(25.18 \pm 0.31\%)$ , Table 1).

#### Ancestral Rubisco Strain Grows at Ambient CO<sub>2</sub> Concentrations.

Working in *S.elongatus* PCC 7942, we produced a mutant strain lacking the native Form 1B rubisco and expressing instead an ancestral Form 1B rubisco produced by computational ancestral sequence reconstruction (47) as its sole rubisco enzyme. We then grew this strain, termed ANC, and a control strain, termed wild-type or "WT" (*Materials and Methods*), in a variety of light and CO<sub>2</sub> levels: i) a reference condition (ambient pCO<sub>2</sub> of 0.04% v/v, standard light flux (120 µE)); ii) high CO<sub>2</sub> (5% pCO<sub>2</sub>, 120 µE); and iii) high light (0.04% pCO<sub>2</sub>, 500 µE). The CO<sub>2</sub> gas at ambient and high CO<sub>2</sub> conditions had  $\delta^{13}$ C values of –12.46‰ and –36.84‰, respectively.

Remarkably, as in ref. 46, the ANC strain managed to grow in ambient  $pCO_2$  and standard light conditions (Fig. 2), even though the ancestral rubisco has a  $V_C$  roughly half that of WT (Table 1). This implies that its rubisco enzyme is properly encapsulated in the carboxysome, since improper carboxysome formation prohibits growth in ambient air (54, 55). Additional characterization of the physiology of the ANC could be valuable, but our inference of proper carboxysome encapsulation is supported by several experiments and analyses as follows. First, electron micrographs of WT and ANC cells grown in ambient  $CO_2$  and light conditions (*Materials and Methods*) showed multiple carboxysomes per cell in both strains (Fig. 3 and *SI Appendix*, Fig. S13). Rubisco density can be seen within some of the carboxysomes (Fig. 3*C*). Second, the rubisco amino acid residues necessary for protein interactions mediating  $\beta$ -carboxysome encapsulation were recently identified (56), and the ANC sequence retains fourteen of the sixteen residues involved (*SI Appendix*, Tables S8 and S9 and Fig. S14). In addition, WT and ANC strains harvested during exponential growth in the reference condition exhibit similar photosystem stoichiometry, as indicated by absorbance spectra (*SI Appendix*, Fig. S15). Taken together, these data indicated that carboxysomes form in ANC and the ancestral rubisco is encapsulated within these structures.

In addition, the difference in  $V_C$  between the ancestral and modern rubiscos was mirrored in the doubling times of WT and ANC strains (Fig. 2B and SI Appendix, Table S2), where ANC doubling times were roughly twice that of WT in the reference condition (20.8 ± 1.2 vs. 12.0 ± 1.4 h, respectively). This suggested that ANC's growth was limited by its ability to fix CO<sub>2</sub> from ambient air. This growth defect was ameliorated by high pCO<sub>2</sub>, where doubling times for both strains were the same within uncertainty (WT 11.8 ± 0.8 h; ANC 12.0 ± 0.6 h). In contrast to WT, elevated CO<sub>2</sub> greatly accelerated the growth of ANC, reducing its doubling time from  $\approx 21$  to  $\approx 12$  h (Fig. 2B), supporting our inference that CO<sub>2</sub> availability limits the growth of ANC in ambient air, implicating the CCM in its growth defect. Similar results were found in ref. 46.

We observed the greatest differences in doubling times between ANC and WT when the strains were grown in high light (500 µmol photons m<sup>-2</sup> s<sup>-1</sup>, Fig. 2 and *SI Appendix*, Table S2). In these conditions, WT cultures were a dark, blue-green color typical of healthy cyanobacterial cells while ANC cultures were yellow-green (*SI Appendix*, Fig. S11), suggesting degradation of phycobilisomes via a known starvation pathway to reduce the cell's capacity for light harvesting and photochemical electron transport (58, 59). Note that this is a very high light intensity for Cyanobacteria and may induce a severe photoinhibitory response (60). We therefore inferred that ANC could not fix CO<sub>2</sub> at a rate matching its light harvesting capability, and hence expressed this regulatory pathway to decrease light harvesting capacity. WT, in contrast, grew rapidly in high light.

**The ANC Strain Fractionates More than WT.** Counter to expectations based on  $\varepsilon_{\text{Rubisco}}$  (Table 1), ANC  $\varepsilon_{\text{p}}$  was as large or larger than WT  $\varepsilon_{\text{p}}$  in all conditions tested (Fig. 4). This was consistent with recent results from a similar ancestral mutant, where that mutant's  $\varepsilon_{\text{p}}$  values exceeded WT in ambient and elevated CO<sub>2</sub> levels (46). In this study, the highest ANC  $\varepsilon_{\text{p}}$  values were observed for cultures grown in high light, where growth was significantly slower than the WT (doubling time  $\approx$  50 vs. 4 h, respectively, Fig. 3 and *SI Appendix*, Table S3). ANC  $\varepsilon_{\text{p}}$  values were indifferent to the reference condition, WT  $\varepsilon_{\text{p}}$  values were indifferent to high light and only increased in high CO<sub>2</sub> (Fig. 4*A*). In contrast, ANC  $\varepsilon_{\text{p}}$  values did not increase in high CO<sub>2</sub> and only

## Table 1. Rubisco characteristics

Rubisco	ε <sub>Rubsico</sub> (‰)	V <sub>C</sub> (s <sup>-1</sup> )	K <sub>C</sub> <sup>Air</sup> (μM)	$V_{C}/K_{C}^{Air}$ (s <sup>-1</sup> mM <sup>-1</sup> )	S <sub>C/O</sub>
Ancestral form IB	17.23 ± 0.61	4.72 ± 0.14	168.7	28	49.6 ± 1.8
Modern form IB	25.18 ± 0.31*	9.78 ± 0.48*	184.1*	53.1*	50.3 ± 2.0*

Starred values (\*) for the modern Form 1B were measured in rubiscos purified from *Synechococcus* sp. PCC 6301, which has the same small and large subunit (*RbcS RbcL*) sequences as our working WT strain, *Synechococcus* sp. PCC 7942 (47). Kinetic isotope effect ( $\epsilon_{Rubisco}$  avg.  $\pm$  SE) was measured in this study using the substrate depletion method (48–51). Carboxylation turnover under substrate-saturated conditions (V<sub>c</sub>); Michaelis constant for CO<sub>2</sub> in ambient levels of O<sub>2</sub> (K<sub>c</sub><sup>Air</sup>); the catalytic efficiency toward CO<sub>2</sub> in ambient air (V<sub>c</sub>/K<sub>c</sub><sup>Air</sup>); and specificity, a unitless measure of the relative preference for CO<sub>2</sub> over O<sub>2</sub>; (S<sub>C/O</sub>) are from ref. 47.



**Fig. 2.** Growth curves for WT and ANC strains across experimental conditions. (*A*) Averaged growth curves shown for WT and ANC strains to 80 h, colored by growth condition as indicated in figure. Data were smoothed with a rolling median (*Materials and Methods*); see full ANC growth curves in *SI Appendix*, Fig. S12. (*B*) Average doubling times with SDs. See *SI Appendix* for details of doubling time calculation. ANC displayed a growth defect relative to the WT at the reference condition, which was rescued by high CO<sub>2</sub>. ANC grew slowest in high light, while WT grew fastest in that condition.

increased in high light (Fig. 4*B*). This result contrasted with the ancestral mutant in ref. 46 where  $\varepsilon_p$  values increased by  $\approx 10\%$  at 2% CO<sub>2</sub>.

As discussed above, the traditional box model cannot accommodate  $\varepsilon_p$  values in excess of  $\varepsilon_{\text{Rubisco}}$  (Fig. 1*C*). However, average ANC  $\varepsilon_p$  values exceeded ANC  $\varepsilon_{\text{Rubisco}}$  in all growth conditions (Fig. 4), particularly under high-light conditions where the largest difference was seen ( $\varepsilon_p = 24.30 \pm 0.12\%$  vs.  $\varepsilon_{\text{Rubisco}} = 17.23 \pm 0.61\%$ ). The traditional box model also states that  $\varepsilon_p$  values are solely modulated by changing external pCO<sub>2</sub> concentrations, which is plainly contradicted by Fig. 4*B*.

**Proposed Influence of a Light-Powered, Vectoral Carbonic-Anhydrase.** Recent studies in extant bacterial and eukaryotic algae have shown that  $\varepsilon_p$  can regularly exceed  $\varepsilon_{Rubisco}$  under certain growth conditions (22), motivating updated models of carbon isotope fractionation in both eukaryotic and bacterial algae (18, 22, 61). Taken together, these studies indicated that observed  $\varepsilon_p$  values could only be rationalized if an additional fractionation factor was present. Several studies argued that this factor is an energy-coupled CA catalyzing the vectoral hydration of intracellular CO<sub>2</sub> to HCO<sub>3</sub><sup>-</sup>, as this reaction is calculated to have a large isotopic effect and would allow  $\varepsilon_p$  to exceed  $\varepsilon_{Rubisco}$  (18, 22, 61). Energy-coupled CAs can facilitate CO<sub>2</sub> uptake by converting extracellular CO<sub>2</sub> that passively translocates the membrane to intracellular HCO<sub>3</sub><sup>-</sup> (Fig. 1*A*), which is advantageous in acidic conditions where CO<sub>2</sub> is the dominant form of extracellular C<sub>1</sub> (10, 62, 63). Vectoral CAs are also thought to potentially "recycle"  $CO_2$  that leaks from the carboxysome by converting it to  $HCO_3^-$  (12).

Cyanobacteria and eukaryotic algae have two general modes of active C<sub>i</sub> uptake: uptake of hydrated C<sub>i</sub> (predominantly H<sub>2</sub>CO<sub>3</sub> and  $HCO_3^{-}$ ) and of  $CO_2$  (63). In order for the CCM to function, either mode must produce a high, nonequilibrium concentration of  $HCO_3^-$  in the cytoplasm (8, 10). This is thought to be achieved by coupling CA to an energy source (e.g., light or an ion gradient) that drives the vectoral hydration of CO<sub>2</sub> to HCO<sub>3</sub><sup>-</sup> in the cytoplasm (64). There is now excellent data supporting this hypothesis in Cyanobacteria, where accessory proteins that bind to the NDH complex, the cyanobacterial homolog of the respiratory Complex I NADH-dehydrogenase, are known to mediate CO<sub>2</sub> uptake specifically (65-67). Additionally, one of these accessory proteins, CupA/B, is reminiscent of a CA and contains a telltale zinc active site situated near a proton channel in a membrane subunit (68). The prevailing understanding of these data is, therefore, that these complexes couple C<sub>i</sub> uptake to energy supplied by photochemical electron transport (68, 69). Moreover, a similar protein complex has been described in proteobacterial chemoautotrophs, suggesting that energy-coupled  $CO_2$  hydration is widespread (62).

A vectoral CA would affect  $\varepsilon_p$  for two reasons. First, CO<sub>2</sub> and HCO<sub>3</sub><sup>-</sup> are isotopically distinct. At equilibrium in standard conditions, HCO<sub>3</sub><sup>-</sup> is ≈9‰ more enriched in <sup>13</sup>C than CO<sub>2</sub> (20, 70, 71). Therefore, if a cyanobacterium is predominantly taking up CO<sub>2</sub>, the internal C<sub>i</sub> pool from which biomass is formed would be isotopically lighter (<sup>13</sup>C-depleted) than if HCO<sub>3</sub><sup>-</sup> is the



**Fig. 3.** WT and ANC strains both produce carboxysomes at ambient  $pCO_2$ . Transmission electron micrographs of WT (*A*) and ANC (*B* and *C*) strains that were harvested during exponential growth in the reference condition (ambient  $pCO_2$ , standard light flux). Both strains show multiple carboxysomes per cell, as indicated by white arrows, and carboxysomes exhibit the typical hexagonal shape (55). (*C*) is the same image as in (*B*) but enlarged to show that rubisco density seen can be within the carboxysomes of ANC. The dark internal body in (*A*) is likely a polyphosphate body (57). See *SI Appendix*, Fig. S13 for additional images.



**Fig. 4.** Whole-cell carbon isotope fractionation by WT and ANC strains.  $\varepsilon_p$  (‰) values (avg. ± SE) for (*A*) WT and (*B*) ANC strains across growth conditions. For each strain, the maximum  $\varepsilon_p$  possible based on the traditional model ( $\varepsilon_p = \varepsilon_{\text{Rubisco}}$ ) is shown as a gray line (avg. ± SE). Most measured ANC  $\varepsilon_p$  values exceed the theoretical limit ( $\varepsilon_p > \varepsilon_{\text{Rubisco-ANC}} + SE$ ), while WT  $\varepsilon_p$  values do not ( $\varepsilon_p < \varepsilon_{\text{Rubisco-ANC}} + SE$ ). WT  $\varepsilon_p$  values do not ( $\varepsilon_p < \varepsilon_{\text{Rubisco-ANC}} + SE$ ), while ANC  $\varepsilon_p$  values increase in response to elevated light flux. See *SI Appendix*, Table S3 for full results.

dominant source of C<sub>i</sub>. We focused only on C<sub>i</sub> uptake as CO<sub>2</sub> because we were interested in a modification to the traditional model that could achieve large  $\varepsilon_{p}$  values (indicating <sup>13</sup>C-depleted biomass) to account for at least an additional ~8‰ of fractionation in  $\varepsilon_p$  (maximum of ~25‰ in the high-light condition) greater than  $\varepsilon_{\text{Rubisco}}$  (~17‰) in ANC. Though HCO<sub>3</sub><sup>-</sup> uptake through bicarbonate transporters (e.g., SbtA) was likely occurring under our experimental conditions (65), isotopically it would not help us achieve the measured large  $\varepsilon_{p}$  values because it would shift all  $\epsilon_p$  values to be maximally 9‰ more negative (i.e.,  $^{13}\text{C-enriched}$ biomass, Fig. 1C) when we seek to explain values that are -8%more positive. Second, unidirectional  $CO_2$  hydration ( $CO_2 + H_2O$ )  $\rightarrow$  HCO<sub>3</sub><sup>-</sup> + H<sup>+</sup>) is expected to impart a substantial KIE, with calculated values ranging from  $\approx 19$  to 32‰ (70, 72–75). Therefore, there are two mechanistic reasons (CO<sub>2</sub> vs.  $HCO_3$ ) uptake; unidirectional CO\_2 hydration) that  $\epsilon_{\rm p}$  could exceed  $\epsilon_{\rm Rubisco}$ in conditions where energized  $CO_2$  uptake and hydration is active. Indeed, a recent model of C-isotope fractionation in Cyanobacteria specifically invoked the NDH complex to rationalize  $\varepsilon_{p}$  values that exceed  $\varepsilon_{\text{Rubisco}}$  (18).

Because energy-coupled CO2 uptake and hydration by the NDH complex is driven by light energy, e.g., via cyclic electron flow around photosystem I (68), and because the vectoral hydration of  $CO_2$  to  $HCO_3^{-}$  is thought to have a large carbon isotope fractionation (70, 72–75),  $\varepsilon_{p}$  should increase with light intensity. Indeed, we observed the largest ANC  $\varepsilon_{p}$  values in the high-light condition and found that ANC  $\varepsilon_p$  varies primarily with light and not  $CO_2$  (Fig. 4). This observation is counter to the traditional model, which proposes  $\varepsilon_p$  as a direct correlate of external pCO<sub>2</sub> (14, 15). Furthermore, on short timescales (≈minutes) cyanobacterial C<sub>i</sub> uptake can be modulated by light intensity alone, fully independent of external C<sub>i</sub> concentrations (76), and CO<sub>2</sub> uptake can occur in the absence of carbon fixation (77, 78). Based on these physiological and isotopic observations, our study also supports the hypothesis that an energy-coupled vectoral CA like the NDH complex permits  $\varepsilon_p > \varepsilon_{Rubisco}$ , as observed here for ANC in all growth conditions.

**Conceptual Model for Carbon Isotope Fractionation in Cyanobacteria.** As discussed above, the traditional box model cannot produce  $\varepsilon_p > \varepsilon_{\text{Rubisco}}$  (Fig. 1*C*). In this model, the C<sub>i</sub> leakage term (*f*) is fit from measured  $\varepsilon_p$  values and *f* = 1 implies that all carbon uptake leaks out of the cell. Though the traditional box

model to rationalize WT data: fitting the model gave f < 1 in ambient pCO<sub>2</sub> conditions, but high-CO<sub>2</sub> conditions yielded f > 1unless all C<sub>i</sub> uptake was assumed to be as HCO<sub>3</sub><sup>-</sup> (see *SI Appendix*, Fig. S8 for discussion). Therefore, to rationalize our results, we developed a simple modified box model that permits  $\varepsilon_p > \varepsilon_{Rubisco}$ by including fractionation due to C<sub>i</sub> uptake through vectoral CAs. In this modified model, we explicitly represent the CCM by distinguishing between carbon in the cytosol (C<sub>int</sub>) and carbon in the carboxysome (C<sub>carb</sub>), allowing carbon to be lost from the carboxysome ( $\Phi_{Loss2}$ , Fig. 5*B*). Therefore, external C<sub>i</sub> enters the cell (flux  $\Phi_{in}$ ) where it can either leak out (flux  $\Phi_{Loss1}$ ) or undergo active hydration (flux  $\Phi_{VCA}$ , where *VCA* denotes *V*ectoral *CA*). Intracellular C<sub>i</sub> can then enter the carboxysome, where it is either fixed (flux  $\Phi_{Rubisco}$ ) or ultimately leaks out of the cell (flux  $\Phi_{Loss2}$ ).

> We made similar simplifying assumptions as the traditional box model: i) an infinite supply of external carbon, ii) no isotopic fractionation for carbon lost from the cell, iii)  $\Phi_{in}$  has the isotopic fractionation associated with  $\varepsilon_{\text{Diffusion}}$ , and iv) the system is at steady state. We did not add an explicit term for light energy used to power C<sub>i</sub> uptake. Instead, the model included an energized CA (denoted VCA) and its associated isotopic fractionation as free parameters. In modeling each strain, we used the appropriate  $\varepsilon_{Ru}$ bisco measurements (Table 1). We do not know the true value for  $\varepsilon_{VCA}$ , but used a value of 30% similar to a recent model that explicitly invoked the NDH complex in Cyanobacteria (18). For comparison with the traditional model, we plotted Fig. 5C with  $f_I = 0.1$  so that it could be represented in two dimensions; see SI Appendix, Fig. S10 for full model outputs. In this updated model, each value of  $\varepsilon_{p}$  corresponds to a set of feasible  $f_{1}$  and  $f_{2}$ values that fall along a line (SI Appendix, Fig. S10). Therefore, our model constrains but does not uniquely determine  $f_1$  and  $f_2$ , nor does it allow for estimation of external C<sub>i</sub> levels because many pairs of  $f_1$  and  $f_2$  values can produce the same  $\varepsilon_p$ .

> model can accommodate both CO2 and HCO3<sup>-</sup> uptake, which

differ in their equilibrium isotopic composition, it does not

account for the isotopic effect of vectoral CO<sub>2</sub> hydration. As such,

even modeling 100% CO<sub>2</sub> uptake gave physiologically infeasible

values of f > 1 for ANC in all conditions (Fig. 5A and SI Appendix,

Fig. S8), yet ANC grew reproducibly in all conditions tested

(Fig. 2). We also encountered challenges using the traditional

The modified model was able to rationalize our experimental data of  $\varepsilon_p > \varepsilon_{Rubisco}$  with leakage values compatible with cell growth  $(f_2 < 1, Fig. 5C)$ . It may also explain why ANC and WT responded so differently to high light. Our model results implied that ANC lost more carbon than WT at the branch point before rubisco  $(\Phi_{Loss^2})$ ; i.e., even though carbon was present in the cell, it could not be fixed by the ancestral rubisco, perhaps due to its lower  $V_C$ (Table 1). Excess  $CO_2$  allowed rubisco's KIE ( $\varepsilon_{Rubisco}$ ) to be expressed in  $\varepsilon_p$ . These results indicated that, in high light, the vectoral CA was delivering high amounts of CO<sub>2</sub> to both the WT and ANC rubiscos. The faster WT rubisco was able to match this flux, which was reflected in its fast growth rate (Fig. 2) and no change in  $\boldsymbol{\epsilon}_p$  vs. the reference condition (Fig. 4). However, the slower ANC rubisco was not, which led to its slowest growth rate (Fig. 2), and highest  $\varepsilon_p$  values across all conditions (Fig. 4). Conditions where  $\varepsilon_p$  exceeded  $\varepsilon_{Rubisco}$  in ANC suggested that, in addition to  $\Phi_{\text{Loss2}}$  being large (allowing  $\epsilon_{\text{Rubisco}}$  to be expressed),  $\Phi_{\text{Loss1}}$  was high as well, which allows  $\epsilon_{\text{VCA}}$  to be expressed. However, since we could not independently determine  $\Phi_{Loss1}$  and  $\Phi_{Loss2}$  —i.e., what proportion of  $\varepsilon_p$  reflects the contribution of  $\epsilon_{Rubisco}$  vs.  $\epsilon_{VCA}$  —we could only conclude that overall the slower ANC rubisco created a "backup" where leakage increased all along the  $CO_2$  fixation pathway and that this effect was exaggerated at high light.



**Fig. 5.** Proposed box model based on experimental results. (A) Experimental results (circles and crosses) plotted onto traditional box model outputs (solid and dashed lines) for WT and ANC, respectively, if  $C_1$  uptake is all  $CO_2$ . See *SI Appendix*, Table S11 for quantification of uncertainty. Colors indicate growth conditions as in Fig. 2. The red shaded region demarcates the physiologically infeasible region where f > 1. (*B*) Our proposed box model architecture. Subscripts indicate external (*ext*), internal (*int*), carboxysome (*carb*), and fixed (*fixed*) carbon pools. Fluxes are denoted by  $\Phi$  where subscripts indicate fluxes into the cell (*in*), out of the cell (*Loss1*, *Loss2*), into the carboxysome (*VCA* for Vectoral Carbonic Anhydrase), and into fixed biomass (*Rubisco*), each with a corresponding isotopic fractionation denoted with  $\varepsilon$ . Loss fluxes were assumed to have no isotopic fractionation. In this model,  $f_1$  is defined as  $\Phi_{Loss1}/\Phi_{in}$ , and  $f_2$  is defined as  $\Phi_{Loss2}/\Phi_{VCA}$ . (*C*) Experimental results plotted onto proposed box model outputs for  $f_1 = 0.1$ ; colors and symbols are the same as Panel *A*; see *SI Appendix*, Table S11 for quantification of uncertainty.  $\varepsilon_p$  is defined as the difference in  $\delta^{13}$ C between  $C_{ext}$  and  $C_{fixed}$ . Here only results for  $f_1 = 0.1$  are shown; see *SI Appendix*, *Supplementary Text* for full description of model assumptions and results.

We also note that our use of the term "vectoral" CO<sub>2</sub> hydration connotes a net flux that is dominantly in the direction of  $CO_2$ hydration (CO<sub>2</sub> + H<sub>2</sub>O  $\rightarrow$  HCO<sub>3</sub><sup>-</sup> + H<sup>+</sup>), rather than implying that the flux of  $HCO_3^-$  dehydration ( $HCO_3^- + H^+ \rightarrow CO_2^- +$  $H_2O$  is zero. As such, there is likely some bidirectional activity  $(CO_2 + H_2O \Rightarrow HCO_3^- + H^+)$  of the NDH complex. It is difficult to experimentally measure the isotope effect associated with the CO<sub>2</sub> hydration reaction, but transition state theory and quantum chemical modeling (70, 71, 74) suggest that the value is large (roughly 25‰, see ref. 22 for review). HCO<sub>3</sub><sup>-</sup> dehydration, and equilibration in general, would tend to reduce the isotopic fractionation (70). Our results here do not require a larger isotopic effect, however. Rather, a smaller value of  $\varepsilon_{VCA} = 10\%$  (SI Appendix, Fig. S10) would have allowed us to rationalize our measurements, as we need only account for an additional ≈8‰ of fractionation in  $\varepsilon_p$  (maximum of  $\approx 25\%$ ) above  $\varepsilon_{Rubsico}$  ( $\approx 17\%$ ) in ANC. See SI Appendix, Fig. S10 for further discussion.

In addition, ours is not the only model structure that can permit  $\varepsilon_p > \varepsilon_{Rubisco}$ . We tested other models by fitting our data to them (*SI Appendix*, Fig. S9). Models that incorporated an explicitly one-way, "CA-like" enzyme (61) or the NDH complex specifically (18) were mostly able to rationalize our data as well. The poorest fits are when  $C_i$  uptake was mostly as  $HCO_3^-$  (*SI Appendix*, Fig. S9) which is not surprising since we need more positive  $\varepsilon_p$  values and  $HCO_3^-$  uptake would shift all  $\varepsilon_p$  values to be 9% more negative (Fig. 1*C*). Altogether, model fitting indicates adding an additional carbon isotope fractionation step produces a model capable of rationalizing our data by enabling  $\varepsilon_p > \varepsilon_{Rubisco}$  with plausible leakage values f < 1.

## Consequences for Understanding the Evolution of Carbon-Fixing

**Metabolisms.** Our goal was to test if prevailing models of carbon fixation and isotopic fractionation apply to an ancestral analogue strain that may be relevant to understanding the carbon cycle over geologic time. We did so by measuring the isotopic fractionation of a reconstructed ancestral rubisco both inside and outside a living cyanobacterium. We emphasize that ANC is not a true ancestral Cyanobacteria; rather it is a chimeric construct—a modern strain

saddled with a predicted Precambrian enzyme. This reconstructed ancestral rubisco is characterized by slower carboxylation kinetics (47) and a much lower  $\varepsilon_{rubisco}$  than the modern strain's native enzyme (17.23 ± 0.61‰ vs. 25.18 ± 0.31‰, Table 1).

Recent studies in extant bacterial (18) and eukaryotic algae (22) have motivated updated models of C isotope fractionation in cells; these models address observations that: i)  $\varepsilon_p$  can exceed  $\varepsilon_{Rubisco}$  in certain conditions; ii) factors other than pCO<sub>2</sub> can modulate  $\varepsilon_p$ . Our results emphasize that similar caveats apply to Cyanobacteria, where ANC  $\varepsilon_p$  exceeded  $\varepsilon_{Rubisco}$  in all conditions tested. Inference of Archaean and early Proterozoic pCO<sub>2</sub> from the C isotopic record relies intimately on models of cyanobacterial physiology due to their distinction as the oldest oxygenic photoautotrophs (1). Yet, our results show that the traditional form of these models is not generally reliable.

To date, such anomalous  $\varepsilon_p$  values have been observed during relatively slow growth; in ref. 61  $\varepsilon_p > \varepsilon_{\text{Rubisco}}$  occurred early in the growth curve as cells were acclimating to fresh culture media, in ref. 22  $\varepsilon_p > \varepsilon_{\text{Rubisco}}$  occurred during nitrogen and phosphorus limitation, and in this study  $\varepsilon_p > \varepsilon_{\text{Rubisco}}$  was observed in a mutant strain growing slowly while expressing a reconstructed ancestral rubisco. These observations indicated that growth physiology affects isotopic fractionation by photosynthetic algae and, in all cases, motivated a rethinking of the traditional box model (Fig. 1 *B* and *C*) to include more physiological detail relating to the presence of a CCM.

As high light consistently slowed growth of ANC, induced chlorosis (yellowing of cultures, *SI Appendix*, Fig. S11), and increased  $\varepsilon_p$ , we were motivated to consider the effects of light-related physiology on  $\varepsilon_p$ . The yellowing of ANC cultures in high light was consistent with starvation and taken to indicate that light levels exceeded the downstream capacity for CO<sub>2</sub> fixation (79, 80). We interpreted these observations as indicating that the replacement of the native rubisco with a slower enzyme decreased capacity for CO<sub>2</sub> fixation (Table 1).

Low-CO<sub>2</sub> fixation capacity would not, on its own, explain anomalously high  $\varepsilon_p$  values, however. An additional fractionating process is required to explain  $\varepsilon_p$  values in excess of  $\varepsilon_{Rubisco}$ , which we assume is due to light-coupled vectoral hydration of  $CO_2$ , which has a large calculated isotope effect (70, 72–75). It is well established that modern Cyanobacteria have light-coupled  $CO_2$ uptake systems (65, 68) and in model Cyanobacteria, this activity is due to the Cup proteins (CupAS/B, also known as Chp proteins), which bind the NDH complex (68, 81). In order for  $CO_2$ uptake to drive the CCM and promote  $CO_2$  fixation, it would need to produce a high, nonequilibrium  $HCO_3^-$  concentration in the cytoplasm (8, 10). We and others therefore assumed that the complex of NDH-1 and CupAS/B couples light energy to the vectoral hydration of  $CO_2$  to  $HCO_3^-$  at a CA-like active site (68). Disruption of a Cup protein by point mutation was also shown to largely affect cell growth (69), suggesting that the energy-induced directionality is important for Cyanobacteria.

It is apparent from our experiments that  $\epsilon_{Rubisco}$  does not set an upper bound on  $\varepsilon_{p}$ , nor does it predict which strains will have larger  $\varepsilon_{\rm p}$  values (Fig. 4). This was only apparent because we measured the isotopic fractionation due to the ancestral rubisco enzyme ( $\varepsilon_{Rubisco}$ ) and compared it to ANC strain biomass ( $\varepsilon_p$ ), in contrast with (46), which measured  $\varepsilon_p$  but not  $\varepsilon_{Rubisco}$ . While our ANC  $\varepsilon_p$  values ( $\approx 18$  to 24‰) fell within the range of  $\varepsilon_p$  values derived from the carbon isotope record (43), they exceeded ANC  $\epsilon_{\text{Rubisco}}$  (Fig. 2). Attention has been paid to outliers in the carbon isotope record where  $\varepsilon_p$  exceeds  $\varepsilon_{Rubisco}$  precisely because they violate the assumptions underlying the dominant models Eqs. 1 and 2) used to interpret the record (22). In addition, ANC  $\epsilon_{Rubsico}$  (17.23 ± 0.61‰) is anomalously low; not only is it ≈8‰ less than WT  $\epsilon_{Rubsico}$  (25.18 ± 0.31‰) but it is among the lowest measured rubisco KIEs. However, only thirteen unique rubisco KIEs have been measured thus far (23) while  $\approx 300$  distinct rubiscos have been kinetically characterized (7, 82), suggesting that measuring the isotopic effects of several well-chosen rubisco variants is worthwhile.

Turning to trends in carbon isotope data from the geological record, our results suggested there are at least two nonunique ways to achieve the large  $\varepsilon_p$  values observed earlier in Earth history: i) High external concentrations of  $C_i$ , or ii) Active  $CO_2$  uptake driven by photochemical electron transport. Our proposed model (an idealized extension of the traditional model, Eq. 2) cannot be applied readily to the C Isotope Record Model (Eq. 1). Doing so currently gives nonsensical values of b because ANC  $\varepsilon_{\rm p}$  >  $\varepsilon_{\rm Rubisco}$ (see SI Appendix, section 6 and Fig. S16 for further discussion), and because we cannot independently constrain the extra degree of freedom introduced (two loss fluxes,  $\Phi_{Loss1}$  and  $\Phi_{Loss2}$ , instead of one, f). In addition, these parameters could vary over evolutionary history as the CCM and the efficiency of carbon fixation evolves. Additional measurements that constrain these parameters (i.e.,  $\Phi_{\text{Loss1}}$  and  $\Phi_{\text{Loss2}})$  could enable pCO\_2 to be back-calculated from  $\varepsilon_p$ , but further work must be done to then adapt those observations to the C Isotope Record Model (Eq. 2). Importantly, the modified model framework proposed here is not the only approach to producing  $\varepsilon_{p} > \varepsilon_{Rubisco}$  with physiologically feasible leakage fluxes. Rather than advocating for our specific model, we offer it as an example form of a solution – showing that  $\varepsilon_p$  can only exceed  $\varepsilon_{Rubisco}$ if additional fractionating process is considered. As shown in SI Appendix, Fig. S9, several approaches to extending the traditional box model can accommodate  $\varepsilon_{p} > \varepsilon_{Rubisco}$  (18, 61), yet all of these models represent substantial simplifications of bacterial and algal CCMs. Overall, our study supports the conclusion of prior studies (18, 22) that a modified traditional model that engages more fully with photosynthetic physiology, like the CCM, is required to more accurately constrain environmental  $C_i$  concentrations from  $\varepsilon_p$ .

In addition, this study and other recent work (43, 46) have raised a greater question for the Earth Sciences: What is uniformitarianism for biology? Earth scientists often apply uniformitarian

assumptions-assuming that physical and chemical processes behave the same now as they did billions of years ago—in order to reason about the past. This approach is powerful, but these assumptions are challenged by biological processes that undergo substantial evolution on geologic timescales. Ongoing discoveries of novel metabolisms have supported some principles like "the principle of microbial infallibility"-that microbes will always find a way to take advantage of available energy sources (83)—but it is not clear what principles apply to the details of metabolism. Take rubisco, for example - most extant autotrophs use rubisco to fix carbon, but rubisco sits within a variety of physiologies-e.g., C3, C4, CAM in plants—that temper the effect of  $\varepsilon_{\text{Rubisco}}$  on  $\varepsilon_{\text{p}}$  (23). We are far from having a clear answer to this question, but recent work at the interface of molecular biology and isotope geochemistry show that these ideas can be tested in the lab. Here and in other recent papers (42, 43, 46), we used synthetic biology to construct organisms with ancestral components so that specific aspects of ancient organisms can be isolated and tested. These "ancestral-like" organisms helped sharpen our understanding of the physiological and environmental factors determining growth (42) and isotopic fractionation (this work) in both ancient and modern autotrophs, showing that models rigidly based on modern taxa are likely not universally applicable across geologic time.

Overall, carbon fixation was a fundamental challenge that autotrophs overcame early in the history of Earth's biosphere (1). These early processes were recorded in some fashion in the carbon isotope record, but robust interpretation of this record must grapple with the fact that the carbon cycle is an amalgam of both environmental changes and evolutionary processes, mediated by physiology. We now have synthetic biological approaches that offer a way to probe these long timescale coevolutionary problems by producing ancient process analogs of carbon fixation in the laboratory. Utilizing these tools will enable us to better understand how the evolution of key metabolisms have shaped Earth's chemistry over time.

## **Materials and Methods**

Ancestral Enzyme Reconstruction. Ancestral Rubisco enzyme sequences were previously reported and characterized by Shih et al. (47). Briefly, for both the large subunit and small subunit of Rubisco, encoded by *rbcL* and *rbcS*, respectively, the most recent common ancestor (MRCA) for Form 1A ( $\alpha$ ), 1B ( $\beta$ ), and 1A/B ( $\alpha$ / $\beta$ ) clades were predicted from independently derived phylogenetic trees for RbcL and RbcS containing a broad diversity of Form 1A and 1B Rubisco (>100 sequences). Maximum-likelihood algorithms were used to reconstruct the most probable ancestral sequence for each clade. Ancestral sequences were then expressed in *Escherichia coli* and purified, and enzyme kinetics were measured.

ANC Strain Generation. The "ANC" strain studied here was generated by replacing the native large and small rubisco subunits (cbbL and cbbS, respectively) of the parent strain (Synechococcus elongatus PCC 7942) with the reconstructed  $\beta$ ancestral cbbL and cbbS sequences. The NS2-KanR ("WT" strain) was generated by inserting a KanR cassette into neutral site 2 (NS2) (GenBank: U44761.1). This was done as a control for having the KanR in the neutral site. Synechococcus elongatus PCC 7942 were transformed from the WT strain using the approach of Golden and Sherman (84). Briefly, cultures were grown to OD750 nm = 0.5. Cultures were centrifuged at 18,000 x g for 2 min. Pellets were washed with 100 mM CaCl<sub>2</sub> and spun again at 18,000 x g for 2 min. Pellets were resuspended in BG-11 media followed by addition of plasmid and grown for 16 h in the dark at 30 °C. Transformants were then plated onto BG-11 + KAN100 agar plates and placed under 100 µE of light at 30 °C. Single colonies were selected in media with antibiotic until segregation and then genotyped by PCR amplification of the rubisco locus followed by sequencing to confirm homoplasmic ANC strain rubisco sequence. SI Appendix, Table S1 lists plasmids and primers used in this study.

Growth Conditions. For ambient  $CO_2$  growth, NS2-KanR ("WT") and  $\beta$  Ancestral Rubisco-KanR ("ANC") strains were grown in quadruplicate in a photobioreactor

(Photon Systems Instruments-MC 1000) at the University of California, Berkeley (UC Berkeley) for four biological replicates total. Cultures were grown in buffered BG-11 media with 50mM HEPES at pH 8. Cultures were inoculated at a starting OD720 nm = 0.015 and cultivated at 120  $\mu$ mol photons m<sup>-2</sup> s<sup>-1</sup>, 30 °C, and bubbled with ambient air. High CO<sub>2</sub> growth was performed using the same conditions as ambient growth with the exception of placing the photobioreactor in a 5% CO<sub>2</sub> chamber (Percival AR22L) and bubbling in air from the chamber. High-light growth was performed using the ambient conditions above with the exception of using 500 µmol photons m<sup>-2</sup> s<sup>-1</sup> for light intensity. Cells were harvested by centrifugation at 6000 x g for 20 min at 4 °C. Decanted pellets were then flash frozen with liquid N<sub>2</sub> and lyophilized overnight with the Millrock Technology Model BT85A freeze dryer. Doubling time was calculated by fitting the exponential phase of growth (k) using a Markov Chain Monte Carlo (MCMC) approach, using the generic model y = a\*EXP(k\*x)+b. Growth curves displayed in Fig. 3 were smoothed with a rolling median (n = 12) to remove errant readings caused by bubbles advected in front of the detector. See *SI Appendix* for more information.

Carbon Isotope Analysis. Carbon isotope data are reported using delta notation  $(\delta^{13}C)$  in units of per mille (‰) where  $\delta^{13}C = [({}^{13}C/{}^{12}C)_{s}/({}^{13}C/{}^{12}C)_{ref} 1]*1000$ , where the subscripts "sa" and "ref" denote sample and reference respectively. The reference used is the Vienna Pee Dee Belemnite (VPDB).  $\delta^{13}$ C values of cyanobacterial cells were measured on an EA-IRMS (Elemental Analyzer Isotope Ratio Mass Spectrometer; Costech Thermo Delta-V) at the California Institute of Technology (Caltech) in Pasadena, CA. Each biological replicate was run four times with two different isotope standards-urea (-27.8‰) and sucrose (-10.45‰). A suite of urea and sucrose standards were run at the beginning, middle, and end of run for sample bracketing and to assess drift throughout the run. An average  $\delta^{13}$ C and SE were calculated and reported for each biological replicate (see SI Appendix for more information). The  $\dot{\delta^{13}}C$  of the starting  $CO_2$  gas was measured on the Thermo Mat 253 Ultra at Caltech; the CALT-2049C standard was used, which has a  $\delta^{13}C_{VPDB}$  value of -3.62%. CO<sub>2</sub> gas from high-pCO<sub>2</sub> experiments was sourced from a CO<sub>2</sub> tank, while the CO<sub>2</sub> gas in ambient pCO<sub>2</sub> experiments was distilled from ambient lab air through cryogenic distillation at Caltech. In addition, we labored to keep gas pressures approximately constant during our experiments (i.e., equilibrating to ambient pressure by bubbling) because of potential unwanted isotopic pressure effects.  $\varepsilon_{p}$ , the carbon isotope fractionation between CO<sub>2</sub> gas and bulk cyanobacterial cells, was calculated as  $(\alpha_{CO2/bio} - 1)*1000$ , where  $\alpha_{CO2/bio} = {}^{13}R_{CO2}/{}^{13}R_{bio}$ , where  ${}^{13}R$  is the ratio of  ${}^{13}C$  to  ${}^{12}C$  in the analyte. We note this in contrast to other isotope literature where  $\varepsilon_{p}$  is calculated as  $\alpha_{bio/CO2}$  - 1)\*1000, which would cause the positive values in this study to be negative. In this study, more positive  $\varepsilon_{n}$  values indicate more <sup>13</sup>C-depleted; see *SI Appendix* for more detail.

**Rubisco KIE Assay.** Syn6301 and  $\beta$ -MRCA rubisco were purified according to previous methodologies (85, 86) at University of California, Davis and then shipped on dry ice to Caltech. Clarified lysate from a BL21 DE3 Star E. coli culture expressing rubisco was subjected to ammonium sulfate precipitation, at the 30 to 40% cut for Syn6301 and at the 40 to 50% cut for  $\beta$ -MRCA, followed by anion exchange chromatography and size exclusion chromatography. We then used the substrate depletion method to measure the KIE of the Syn6301 and  $\beta\text{-MRCA}$  rubiscos ( $\epsilon_{\text{Rubisco}}$ ), as used previously in similar studies (48–51). Briefly, an assay mix of HCO<sub>3</sub><sup>-</sup>, bovine CA, rubisco, ribulose 1,5-bisphosphate (RuBP), MgCl<sub>2</sub>, bicine, and dithiothreitol (DTT) was prepared. As the reaction progressed to completion, aliquots of that assay mix were injected into prefilled exetainers containing phosphoric acid that both stopped the reaction and converted all inorganic carbon species to gaseous CO<sub>2</sub>. The  $\delta^{13}$ C of these CO<sub>2</sub> aliquots was then measured on a Delta-V Advantage with Gas Bench and Costech elemental analyzer at Caltech. Here, instead of RuBP being given in excess, CO<sub>2</sub> was given in excess. In addition, instead of determining the fraction of  $CO_2(f)$  consumed

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independently to create a Rayleigh plot, we fit the curvature of the  $\delta^{13}$ C results to find *f* before converting to a Rayleigh plot to calculate  $\varepsilon_{\text{Rubiscor}}$  similar to previous studies (49). See *SI Appendix* for more information.

Transmission Electron Microscopy Imaging of Whole Cells. WT and ANC strains were grown in the reference condition-buffered BG-11 media, shaking at 250 rpm, with white cool fluorescent light at 120 µE, 30 °C, ambient air (0.04% CO<sub>2</sub> (v/v). WT and ANC cells were collected at mid-log (40 and 80 h, respectively) at OD730 nm = 0.4 and pelleted by centrifugation (10,000 x g for 10 min). Pelleted cells were then resuspended in 1 mL cold solution 2.5% Glutaraldehyde in 0.1M Sodium Cacodylate Buffer, pH 7.4 (Electron Microscopy Sciences) and stored in the fixative solution at 4 °C until imaging. Sample preparation and sectioning were performed in the Electron Microscope Laboratory core facility at the University of California Berkeley. Briefly, samples were stabilized in 1% low melting-point agarose, cut into small cubes, and then washed at room temperature with 0.1 M sodium cacodylate buffer, pH 7. Samples were then mixed with 1% osmium tetroxide, 1.6% potassium ferricyanide and 0.1 M cacodylate buffer pH 7.2 for an hour in the dark with rotation. These were washed again with a cacodylate buffer pH 7.2, then DI water, and subjected to a 1-h incubation with uranyl acetate 0.5% solution. After a new wash with DI water, samples were dehydrated by an ascending series of acetone concentration (35%, 50%, 75%, 80%, 90%, 100%, 100%). Later, samples were progressively infiltrated in resin (Epon solution: Eponate 12, DDSA NMA and BDMA (Electron Microscopy Sciences) with rotation, followed by a final step at 60°C until polymerized. Thin sections (70 nm) were cut using a Reichert Ultracut E (Leica Microsystems) and collected on 100 mesh formvar-coated copper grids. Sections were poststained using 2% uranyl acetate in 70% methanol and followed with Reynold's lead citrate. The sections were imaged using a FEI Tecnai 12 transmission electron microscope operated at 120 kV (FEI). Images were collected using UltraScan 1000 digital micrograph software (Gatan Inc).

**Data, Materials, and Software Availability.** All study data are included in the article and/or *SI Appendix*.

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