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## First-line Nivolumab plus Ipilimumab Versus Sunitinib in Patients Without Nephrectomy and with an Evaluable Primary Renal Tumor in the CheckMate 214 Trial

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Laurence Albiges had full access to all the data in the study and takes responsibility for the integrity of the data and the accuracy of the data analysis.

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## Abstract

We present an exploratory post hoc analysis from the phase 3 CheckMate 214 trial of first-line nivolumab plus ipilimumab (NIVO + IPI) versus sunitinib in a subgroup of 108 patients with advanced renal cell carcinoma (aRCC) without prior nephrectomy and with an evaluable primary tumor, a population under-represented in clinical trials. Patients with clear cell aRCC were randomized to NIVO + IPI every 3 week for four doses followed by NIVO monotherapy, or sunitinib every day for 4 wk (6-wk cycle). Overall survival (OS), progression-free survival (PFS), objective response rate (ORR), and primary tumor shrinkage were assessed. PFS and ORR were assessed per independent radiology review committee using RECIST version 1.1. With minimum study follow-up of 4 yr for intent-to-treat patients, OS favored NIVO + IPI ( $n = 53$ ) over sunitinib ( $n = 55$ ; hazard ratio 0.63, 95% confidence interval 0.40–1.0) among patients without prior nephrectomy. ORR was higher (34% vs 15%;  $p = 0.0041$ ) and median duration of response was longer with NIVO + IPI versus sunitinib (20.5 vs 14.1 mo); the best overall response was partial response in each arm. A 30% reduction in the diameter of intact target renal tumors was achieved in 35% of patients with NIVO + IPI versus 20% with sunitinib. This trial is registered at [ClinicalTrials.gov](https://clinicaltrials.gov) as [NCT02231749](https://clinicaltrials.gov/ct2/show/study/NCT02231749). Safety was consistent with the global study population. In conclusion, in patients with aRCC without prior nephrectomy and with an evaluable primary tumor, NIVO + IPI showed survival benefits and renal tumor reduction versus sunitinib.

## Patient summary:

In an exploratory analysis of a large global trial (CheckMate 214), we observed positive outcomes (both survival and tumor response to treatment) with nivolumab plus ipilimumab over sunitinib in a subgroup of patients with advanced kidney cancer who did not undergo removal of their primary kidney tumor. This subset of patients represents a population that has not been studied in clinical trials and for whom outcomes with new immunotherapy combination regimens are not yet known. We conclude that treatment with nivolumab plus ipilimumab offers these patients a survival benefit versus sunitinib, consistent with that observed in the overall study, as well as a notable kidney tumor reduction.

## Keywords

Advanced renal cell carcinoma; CheckMate 214; Cytoreductive nephrectomy; Ipilimumab; Nivolumab

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Patients with advanced renal cell carcinoma (aRCC) who do not undergo upfront cytoreductive nephrectomy usually have poor prognosis and clinical trial data are limited for this population [1–3]. However, evidence from the prospective CARMENA trial showed that sunitinib (SUN) alone was noninferior to initial nephrectomy followed by treatment with SUN in patients with aRCC and Memorial Sloan Kettering Cancer Center intermediate-risk or poor-risk disease [4]. In addition, a notable renal tumor reduction was observed with cabozantinib compared with everolimus in pretreated patients without prior nephrectomy in the METEOR trial [5]. Nivolumab plus ipilimumab (NIVO + IPI) is approved for first-line treatment of patients with International Metastatic Renal Cell Carcinoma Database Consortium (IMDC) intermediate-/poor-risk aRCC on the basis of results from the randomized phase 3 CheckMate 214 trial [6,7]. In this exploratory post hoc analysis, we assessed the efficacy and safety outcomes with NIVO + IPI versus SUN in a subgroup of patients in CheckMate 214 without prior nephrectomy and with an evaluable primary tumor that is based on minimum study follow-up of 4 yr for intent-to-treat (ITT) patients. The study design details for CheckMate 214 have been published previously [6]. In brief, adults with treatment-naïve aRCC with a clear cell component were stratified by geographic region and IMDC risk (favorable, 0; intermediate, 1–2; poor, 3–6). Patients were randomized 1:1 to intravenous NIVO 3 mg/kg and IPI 1 mg/kg every 3 wk (four doses) followed by NIVO 3 mg/kg every 2 wk or to oral SUN 50 mg every day (4 wk on and 2 wk off; 6-wk cycle). The trial was conducted in accordance with the required institutional review boards and independent ethics committees in accordance with Good Clinical Practice guidelines and is registered at [ClinicalTrials.gov](https://clinicaltrials.gov) as [NCT02231749](https://clinicaltrials.gov/ct2/show/study/NCT02231749). All patients provided written informed consent [6].

The date of randomization of the last patient in the ITT population was February 23, 2016, and the current database lock was February 25, 2020, which provided an extended minimum study follow-up of 4 yr (the primary analysis reported minimum study follow-up of 17.5 mo; database lock August 7, 2017) [6]. In this post hoc analysis, overall survival (OS), progression-free survival (PFS), and the objective response rate (ORR) were evaluated for ITT patients without prior nephrectomy and one or more evaluable intact renal tumors at baseline. This subgroup is referred to hereafter as “patients without prior nephrectomy

and with an evaluable primary tumor.” PFS and ORR were assessed by the independent radiology review committee. Statistical analysis for this exploratory subgroup follows the overall trial methodology, as previously described [6].

In total, 1096 patients were randomized in CheckMate 214 (550 to NIVO + IPI; 546 to SUN) [6]. Of the 108 patients without prior nephrectomy and with an evaluable primary tumor, 53 were randomized to NIVO + IPI and 55 to SUN (Supplementary Fig. 1). Baseline characteristics were largely balanced between the treatment arms (Table 1) [6]. In the subgroup without prior nephrectomy, 58 patients were classified as having IMDC intermediate-risk (NIVO + IPI,  $n = 26$ ; SUN,  $n = 32$ ), 48 had poor-risk (NIVO + IPI,  $n = 26$ ; SUN,  $n = 22$ ), and two had favorable-risk (NIVO + IPI,  $n = 1$ ; SUN,  $n = 1$ ) disease. At baseline the median tumor size by sum of the diameters of target renal tumors was 78.9 mm (range 21–190) for NIVO + IPI patients and 89.3 mm (range 21–246) for SUN patients. The severity of disease burden, as measured by the proportion of patients with at least two sites of target/nontarget tumors, was higher in the subgroup of patients without prior nephrectomy (NIVO + IPI, 96%; SUN, 98%) in comparison to the ITT population (NIVO + IPI, 78%; SUN, 78%), but was balanced between the treatment arms. Of note, the distribution of patients with tumor PD-L1 expression 1% across treatment arms was similar between the subgroup and the ITT population (24% vs 22%), although the proportion of patients with IMDC poor-risk disease was greater in the subgroup (44% vs 16%; Table 1).

Among patients without prior nephrectomy and with an evaluable primary tumor, the hazard ratio (HR) for OS for NIVO + IPI versus SUN was 0.63 (95% confidence interval [CI] 0.40–1.0; Fig. 1A). Median OS was 26.1 mo (95% CI 14–35) versus 14.3 mo (95% CI 9.7–23), respectively. The HR for PFS was 0.99 (95% CI 0.59–1.7; Fig. 1B). The ORR (95% CI) was higher with NIVO + IPI (34%, 95% CI 22–48%) versus SUN (15%, 95% CI 6.5–27%; Supplementary Table 1), with a best overall response of partial response observed in each arm. The median time to response was 2.8 versus 5.4 mo and the median duration of response (DOR) was 20.5 versus 14.1 mo with NIVO + IPI versus SUN, respectively (Supplementary Fig. 2); the HR for DOR was 0.69 (95% CI 0.17–2.9). Approximately two-thirds of patients in each treatment arm received subsequent therapy (Supplementary Table 2). Eight patients (15%) in the NIVO + IPI arm and four (7.3%) in the SUN arm underwent delayed nephrectomy after their last dose of treatment.

Among patients with an evaluable primary tumor at baseline and one or more on-treatment tumor assessments, a reduction of 30% in target renal tumors was achieved in 35% of patients with NIVO + IPI versus 20% with SUN, while an increase in target renal tumors of 20% from baseline occurred in 4.1% of patients with NIVO + IPI and zero patients with SUN (Fig. 1C). The effect of NIVO + IPI on the primary tumor is illustrated in radiographic scans of a patient with aRCC in Supplementary Figure 3.

Any-grade treatment-related adverse events (AEs) occurred in 51/53 patients (96%) treated with NIVO + IPI versus 50/54 (93%) treated with SUN, with grade 3–4 treatment-related AEs in 22/53 (42%) versus 32/54 (59%) patients, respectively. The incidence of treatment-related select AEs (potentially immune-mediated) was consistent with the overall study population (Supplementary Table 3).

In this exploratory subgroup of aRCC patients without prior nephrectomy and with an evaluable primary tumor, a population with poor prognosis and high unmet medical need [1,2], first-line NIVO + IPI showed survival benefits over SUN similar to those for the ITT and intermediate-/poor-risk patients in the overall study [8]. ORR, DOR, and primary tumor shrinkage were also notably improved with NIVO + IPI versus SUN in this subgroup, with an anticipated best overall response of partial response achieved in each arm, consistent with previous findings of lower complete response rates [9,10]. Safety outcomes were generally consistent with the overall study population. Limitations of this analysis include its non-prespecified, post hoc nature and small patient subgroup numbers, although the distribution of patients with unresected primary tumors was well balanced between the treatment arms. In addition, while SUN monotherapy was used as the comparator arm in CheckMate 214, newer tyrosine kinase inhibitor–based therapies are now available [11].

Recent prospective studies are exploring the role and sequencing of nephrectomy for patients with aRCC who receive systemic therapy [4,12,13]. Although underpowered, the SURTIME trial results suggest that pretreatment with SUN improves outcomes in some patients with primary metastatic RCC, and these results help to inform optimal treatment sequencing strategies [12,13]. In addition, the NORDIC-SUN trial (NCT03977571) is investigating deferred nephrectomy in aRCC patients with three or more IMDC risk factors who respond to or have stable disease after upfront NIVO + IPI systemic therapy. Although upfront nephrectomy is not currently recommended for patients with aRCC with poor performance status and intermediate-/poor-risk disease [4,13], prospective studies investigating the role of nephrectomy in the context of contemporary checkpoint inhibitors will continue to inform optimal treatment strategies.

In conclusion, the results presented here show improved efficacy outcomes with NIVO + IPI versus SUN in patients without prior nephrectomy, and further support the established long-term benefits of first-line NIVO + IPI in patients with aRCC.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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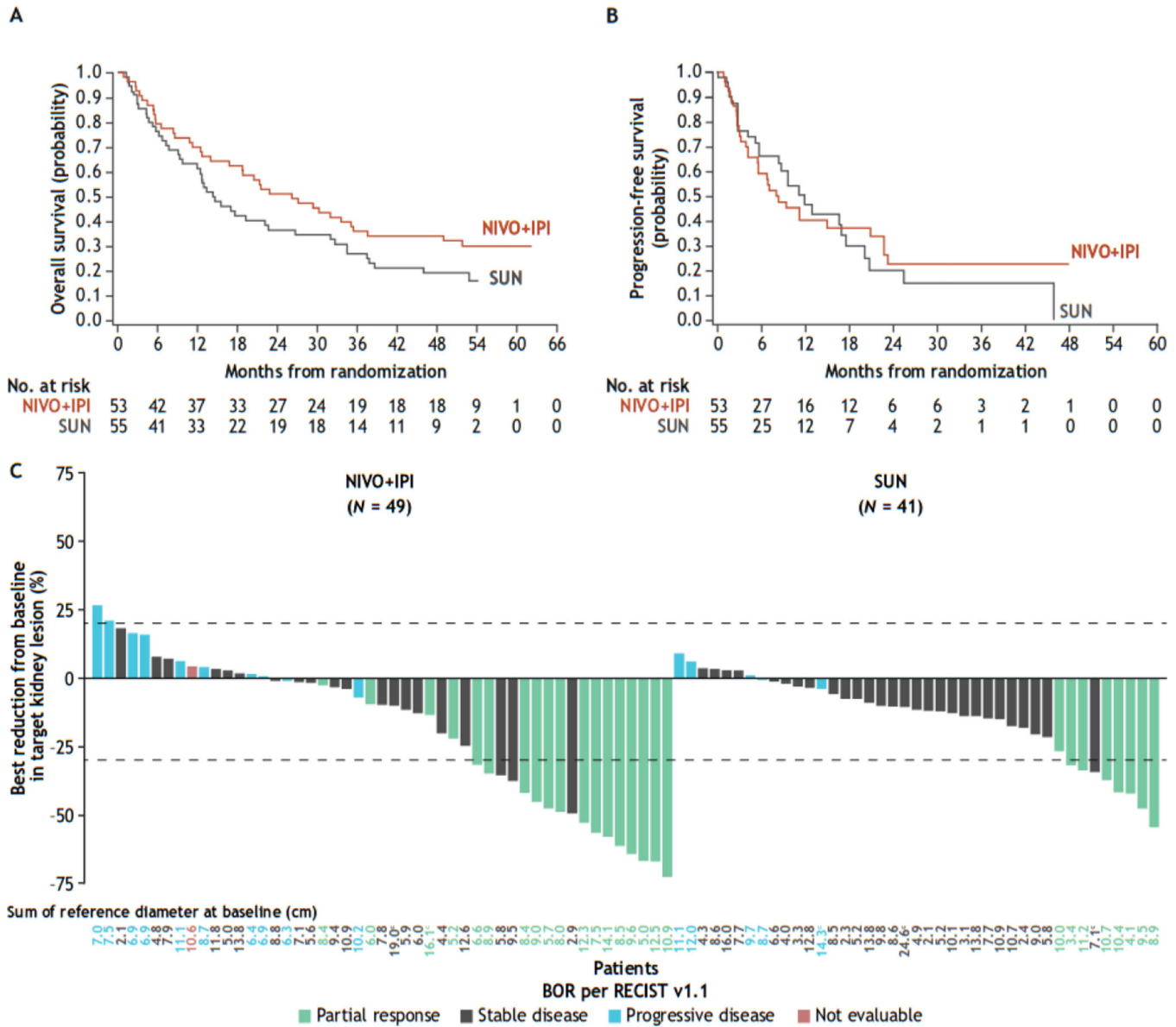
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**Fig. 1 –** Kaplan-Meier curves for (A) OS<sup>a</sup> and (B) PFS per IRRC using RECIST version 1.1<sup>b</sup> in patients without prior nephrectomy and with an evaluable primary tumor, and (C) maximum reduction in target renal tumors in all response-evaluable patients without prior nephrectomy and with an evaluable primary tumor. <sup>c–g</sup> BOR = best overall response; CI = confidence interval; HR = hazard ratio; IRRC = independent radiology review committee; NIVO + IPI = nivolumab plus ipilimumab; OS = overall survival; PFS = progression-free survival; RECIST = Response Evaluation Criteria in Solid Tumors; SUN = sunitinib. <sup>a</sup> Median (95% CI) OS was 26.1 (14–35) mo with NIVO + IPI versus 14.3 (9.7–23) mo with SUN (HR 0.63, 95% CI 0.40–1.0); there were 37 events/53 patients versus 44 events/55 patients, respectively.



<sup>b</sup> Median (95% CI) PFS was 8.1 (5.5–21) mo with NIVO + IPI versus 11.9 (8.4–18) mo with SUN (HR 0.99, 95% CI 0.59–1.7); there were 33 events/53 patients versus 29 events/55 patients, respectively.

<sup>c</sup> Patients with a primary tumor at baseline and one or more on-treatment tumor assessments were included.

<sup>d</sup> Of the 108 patients without nephrectomy, 49/53 patients in the NIVO + IPI arm and 41/55 patients in the SUN arm had a primary tumor at baseline and one or more on-treatment tumor assessments.

<sup>e</sup> Two patients (3.8%) patients in the NIVO + IPI arm versus three (5.5%) in the SUN arm had more than one evaluable target renal tumor.

<sup>f</sup> Best reduction shown is the maximum reduction in the sum of the diameters of target renal tumors (a negative value indicates a true reduction; a positive value indicates an increase only observed over time). The horizontal reference line at +20% indicates a 20% increase and the horizontal reference line at –30% indicates a 30% reduction, both consistent with a RECIST version 1.1 response.

<sup>g</sup> Different colored bars represent overall systemic responses (including but not limited to responses in the primary tumor) according to RECIST version 1.1.

**Table 1 –**

Select baseline characteristics of patients without prior nephrectomy and with an evaluable primary tumor and the intent-to-treat population

| Characteristic <sup>a</sup>                            | Patients without prior nephrectomy and with an evaluable primary tumor |              | Intent-to-treat patients [6] |               |
|--|--|--------------|------------------------------|---------------|
|  | NIVO + IPI (N = 53)  | SUN (N = 55) | NIVO + IPI (N = 550)         | SUN (N = 546) |
| Median age, yr (range)                                 | 64 (40–84)   | 64 (34–85)   | 62 (26–85)                   | 62 (21–85)    |
| Sex, n (%)   |  |              |                              |               |
| Male   | 39 (74)  | 43 (78)      | 413 (75)                     | 395 (72)      |
| Female   | 14 (26)  | 12 (22)      | 137 (25)                     | 151 (28)      |
| IMDC risk factors, n (%) <sup>b</sup>                  | 1 (2.0)  | 1 (2.0)      | 125 (23)                     | 124 (23)      |
| Favorable (0) <sup>c</sup>                             | 26 (49)  | 32 (58)      | 334 (61)                     | 333 (61)      |
| Intermediate (1–2)                                     | 26 (49)  | 22 (40)      | 91 (17)                      | 89 (16)       |
| Poor (3–6)   |  |              |                              |               |
| Region, n (%)  |  |              |                              |               |
| United States  | 17 (32)  | 11 (20)      | 154 (28)                     | 153 (28)      |
| Canada/Europe  | 16 (30)  | 22 (40)      | 201 (37)                     | 199 (36)      |
| Rest of the world                                      | 20 (38)  | 22 (40)      | 195 (35)                     | 194 (36)      |
| Prior nephrectomy, n (%)                               | 0  | P0           | 453 (82)                     | 437 (80)      |
| Sites with target/nontarget tumors, n (%) <sup>d</sup> | 2 (4.0)  | 1 (2.0)      | 123 (22)                     | 118 (22)      |
| 1  | 51 (96)  | 54 (98)      | 427 (78)                     | 427 (78)      |
| 2  |  |              |                              |               |
| Sites of metastasis, n (%) <sup>e</sup>                |  |              |                              |               |
| Lung   | 35 (66)  | 33 (60)      | 381 (69)                     | 373 (68)      |
| Lymph node   | 17 (32)  | 32 (58)      | 246 (45)                     | 268 (49)      |
| Bone <sup>f</sup>                                      | 14 (26)  | 18 (33)      | 112 (20)                     | 119 (22)      |
| Liver  | 14 (26)  | 10 (18)      | 99 (18)                      | 107 (20)      |
| Quantifiable tumor PD-L1 expression, n (%)             | N = 48   | N = 54       | N = 499                      | N = 503       |
| <1%  | 36 (75)  | 40 (74)      | 386 (77)                     | 376 (75)      |
| 1%   | 12 (25)  | 14 (26)      | 113 (23)                     | 127 (25)      |

IMDC = International Metastatic Renal Cell Carcinoma Database Consortium; NIVO + IPI = nivolumab plus ipilimumab; SUN = sunitinib.

<sup>a</sup>Information shown is based on data collected using an interactive voice-response system.

<sup>b</sup>The case report form was the source for the baseline IMDC score.

<sup>c</sup>Two patients in the subgroup without prior nephrectomy and with an evaluable primary tumor were classified as favorable risk per the case report form. Both patients were determined to have one IMDC risk factor present, which was <1 yr from the time of diagnosis to first dose, consistent with intermediate risk categorization. Hence, these patients were not excluded from the current analysis.

<sup>d</sup>The number of target or nontarget tumors at baseline was not reported for one intent-to-treat patient in the SUN arm.

<sup>e</sup>Includes both target and nontarget tumors.

<sup>f</sup>Bone with and without soft-tissue component.

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