

# Waning Effectiveness of the BNT162b2 Vaccine Against Infection in Adolescents in Israel

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**Background.** The short-term effectiveness of a 2-dose regimen of the BioNTech/Pfizer BNT162b2 vaccine for adolescents has been demonstrated. However, little is known about the long-term effectiveness in this age group. It is known, however, that waning of vaccine-induced immunity against infection in adult populations is evident within a few months.

**Methods.** Leveraging the database of Maccabi Healthcare Services (MHS), we conducted a matched case-control design for evaluating the association between time since vaccination and the incidence of infections, where 2 outcomes were evaluated: documented SARS-CoV-2 infection (regardless of symptoms) and symptomatic infection (COVID-19). Cases were defined as individuals aged 12–16 with a positive polymerase chain reaction (PCR) test occurring between 15 June and 8 December 2021, when the Delta variant was dominant in Israel. Controls were adolescents who had not tested positive previously.

**Results.** We estimated a peak vaccine effectiveness between 2 weeks and 3 months following receipt of the second dose, with 85% (95% confidence interval [CI]: 84–86%) and 90% (95% CI: 89–91%) effectiveness against severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) infection and coronavirus disease 2019 (COVID-19), respectively. However, in line with findings for adults, waning effectiveness was evident. Long-term protection was reduced to 73% (95% CI: 68–77%) against infection and 79% (95% CI: 73–83%) against COVID-19 3–5 months after the second dose and waned to 53% (95% CI: 46–60%) against infection and 66% (95% CI: 59–72%) against COVID-19 after 5 months.

**Conclusions.** Although vaccine-induced protection against both infection and COVID-19 continues over time in adolescents, the protection wanes with time since vaccination, starting 3 months after inoculation and continuing for more than 5 months.

**Keywords.** SARS-CoV-2; COVID-19 vaccine; waning of vaccine-induced immunity.

The BioNTech/Pfizer mRNA BNT162b2 vaccine was approved for adolescents by the US Food and Drug Administration (FDA) and the European Medicines Agency in May 2021 [1, 2]. Shortly after, on 2 June 2021, Israel, an early adopter of the vaccination campaign in adults, launched a vaccination campaign for adolescents [3] and has since continued to promote it [4].

The short-term effectiveness of a two-dose regimen of the BioNTech/Pfizer mRNA BNT162b2 vaccine for adolescents has been demonstrated both in clinical trials [5] and real-world studies [6]. However, little is known about the long-term effectiveness of the vaccine in this age group. It is known, though, that waning of vaccine-induced immunity of the BNT162b2 vaccine in adult populations is evident within a few months of administration [7–11], although protection against severe disease is more sustained [12]. The question of waning immunity in the adolescent

population has important implications for future areas of vaccine research and policy. For example, the US FDA and Israel have already extended the eligibility of the booster (third) dose for ages 12–15 [13, 14], and other countries are considering this decision.

To address this issue, we conducted a retrospective matched case-control study aimed at evaluating the duration of protection conferred by the BNT162b2 vaccine on adolescents aged 12 to 16, leveraging data from Maccabi Healthcare Services (MHS), Israel's second largest Health Maintenance Organization, which covers 2.5 million members. The 6-month follow-up period of the study, from 15 June to 8 December 2021, represents the longest published on this age group to date, and corresponds to a time when the Delta (B.1.617.2) variant was dominant in Israel, accounting for >90% isolates as of 8 December 2021, prior to the surge of the Omicron variant [15]. By the end of the study period, the Omicron variant was already present though still at low levels, with percentages increasing from 0.30% on 29 November 2021, to 7.2% on 13 December 2021 [16].

## METHODS

### Data Sources

MHS is a 2.5-million-member, not-for-profit health-fund in Israel. It is the second largest in Israel, covering 26.7% of the population and providing a representative sample of the Israeli

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population. MHS has maintained a centralized database of Electronic Medical Records (EMRs) for 3 decades, with <1% disengagement rate among its members, allowing for a comprehensive longitudinal medical follow-up. The centralized dataset includes extensive demographic data, clinical measurements and evaluations, outpatient and hospital diagnoses and procedures, medications dispensed, imaging performed and comprehensive laboratory data from a single central laboratory.

### Study Population and Data Collection

The study population consisted of MHS members, aged 12–16 years, who received either 1 or 2 doses of the BNT162b2 vaccine. The fraction of adolescents receiving 3 doses was negligible. We excluded adolescents aged 17 years or older to examine a more coherent exposure group, because the former group became eligible for vaccination months earlier (ie, February 2021), and thus exposure and time since-vaccination was considerably different for this age group. Anonymized EMRs were retrieved from MHS's centralized computerized database. Analyses focused on the period from 15 June 2021, to 8 December 2021, when the Delta variant was dominant in Israel. Participants were those enrolled in MHS as of 15 June 2021, who had not tested positive for severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) by polymerase chain reaction (PCR) test prior to the start of the follow-up period.

Individual-level data for the study population included age, biological sex, and a coded geographical statistical area (GSA; the smallest geostatistical unit of the Israeli census, which correspond to neighborhoods assigned by Israel's National Bureau of Statistics). Data collected also encompassed the last documented body mass index (BMI); obesity was defined as BMI  $\geq$  30. Coronavirus disease 2019 (COVID-19)-related information included dates of the first and second dose of the BNT162b2 vaccine and results of any PCR tests for SARS-CoV-2, including tests performed outside of MHS. PCR tests were freely available to MHS members during the study period, for reasons including clinical symptoms, suspected exposure to infected individuals, event attendance requirements, and so forth. Additionally, the data set contained information on symptoms for some of the participants who tested positive. The information about COVID-19-related symptoms was extracted from EMRs, where they were recorded by the primary care physician or a certified nurse who conducted in-person or phone visits with each infected individual. Information on symptoms was only available for individuals who had a positive test for SARS-CoV-2. For individuals who had multiple positive tests, the date of diagnosis was defined as the date of the first positive PCR.

### Statistical Analysis

Two main outcomes were evaluated: SARS-CoV-2 infection (regardless of the presence of symptoms) and symptomatic SARS-CoV-2 infection (COVID-19).

### Analysis 1: Matched Case-Control Analysis for Breakthrough Infections

We used a matched case-control design [17–19] for evaluating the association between time since vaccination and the incidence of infections. Cases were defined as individuals with a positive PCR test occurring after 15 June 2021, among those 12–16 years of age who did not have a previous positive test recorded. Eligible controls were individuals who had not tested positive prior to the date of the positive PCR of their matched case, that is, individuals who either had a negative PCR test result or who did not obtain a PCR test. Controls were matched by residential socioeconomic status and biological sex. We attempted to match up to 20 controls per case from the entire population, resulting in 90% of the cases matched to 20 controls, 96% of the cases had at least 10 controls, and 99% of the cases had at least 5 controls; 99.8% of cases were matched to at least 1 control.

The analysis sought to estimate the reduction in the odds of a positive test at different time intervals following receipt of the first and second vaccine doses (0–6 days following the first dose; 7 days after dose 1 to 13 days after dose 2; and 14–89 days, 90–149 days and 150–180 days following the second dose). The 7-day and 14-day cutoffs for the first and second doses were chosen based on previous research on vaccine effectiveness (VE) in adults [20, 21]; the 0–6-day period following the first dose was intended to be used as a negative control [22]. The reference group in the analysis was those who were unvaccinated. We included age (in years) and obesity (ie, BMI  $\geq$  30, which has been linked to severity of COVID-19 symptoms) as covariates [23–25]. We also tested our model by including an additional covariate consisting of the number of tests performed before the study period, that is, between 1 March 2020, and 14 June 2021, to adjust for potential differences in health-seeking behavior [17]. The rationale is that there is a correlation between the number of tests before the study period and the one during it, potentially correcting for a possible detection bias [7, 9]. However, repeated testing was significantly lower compared to adults [7, 17, 26], and results were not materially changed.

A conditional logistic regression model was fit to the data. The VE of the first and second doses (compared to being unvaccinated) was calculated as  $100\% \times [1 - (\text{odds ratio})]$  for each of the time-since-vaccination categories.

Additionally, we carried out a complementary analysis utilizing a test-negative design. Details can be found in the [Supplementary Materials](#).

### Analysis 2: Matched Case-Control Analysis for Symptomatic Breakthrough Infections

Similar to the analysis of infections, we performed a matched case-control analysis for symptomatic infection (COVID-19). Cases were individuals who tested positive and exhibited

COVID-19-related symptoms after 15 June 2021. Eligible controls were individuals who had not tested positive. Matching was performed as for the infection case-control analysis. Again, at least 1 and up to 20 controls per case were drawn from the entire population; 89% of cases were matched to 20 controls, 96% of cases had at least 10 controls, and 99% of cases had at least 5 controls; 99.8% of cases were matched to at least 1 control. A conditional logistic regression was fit to the data, adjusting for age and obesity, as specified in analysis 1.

Analyses were performed using R version 4.0.5. The analysis conformed to the STROBE checklist for case-control studies.

#### Ethics Declaration

This study was approved by the MHS (Maccabi Healthcare Services) Institutional Review Board (IRB). Due to the retrospective design of the study, informed consent was waived by the IRB, and all identifying details of the participants were removed before computational analysis.

#### RESULTS

During the follow-up period, 274 431 PCR tests were performed among 129 909 MHS members 12–16 years of age who did not have a previous documented infection. Baseline characteristics of the participants are given in [Table 1](#). Overall, vaccinated individuals had a notably lower percent of tests that were positive; 6.6% of tests among unvaccinated individuals were positive, compared with <1.4% for those who received their second dose 14–149 days before the test, and 3.6% for those who received their second dose  $\geq$ 150 days before the test ([Supplementary Table 1](#)). There were 14 hospitalizations for COVID-19 and no deaths reported.

#### Vaccine Effectiveness Against Breakthrough Infections

The effectiveness of the first and second doses compared to the unvaccinated population initially increased over time following receipt of the vaccine, with a small reduction in the odds of testing positive in days 0–6 following the first dose (VE = 12%, 95% confidence interval [CI]: 2%, 21%), moderate effectiveness for the period from day 7 after the first dose to day 13 after the second dose (VE = 52%, 95% CI: 48%, 55%), and high effectiveness in the period from days 14 to 89 following dose 2 (VE = 85%, 95% CI: 84%, 86%) ([Supplementary Table 2](#), [Figure 1](#)). The effectiveness of the vaccine against infection was subsequently reduced to 73% (95% CI: 68%, 77%) and 53% (95% CI: 46%, 60%) after 90–149 days and 150–180 days following receipt of the second dose, respectively. The results of the test-negative design showed a similar decline in vaccine effectiveness ([Supplementary Table 3](#)).

#### Vaccine Effectiveness Against Symptomatic Breakthrough Infections

We next evaluated vaccine effectiveness against symptomatic infection, comparing vaccinated to unvaccinated individuals.

In those partially vaccinated (ie, persons between 7 days after the first dose up to 13 days after the second dose), we observed a 56% (95% CI: 52%, 60%) reduction in the odds of having a symptomatic SARS-CoV-2 infection compared to unvaccinated adolescents. This reduction was more marked 14–89 days following the second dose, where the vaccine effectiveness against COVID-19 was 90% (95% CI: 89%, 91%) ([Supplementary Table 4](#)). Similar to the analysis of all infections (regardless of symptomatic presentation), the estimated effectiveness of the vaccine against COVID-19 subsequently decreased, with VE = 79% (95% CI: 73%, 83%) for days 90–149 and VE = 66% (95% CI: 59%, 72%) for days 150–180 after the second dose.

#### DISCUSSION

In this study, we found that the BioNTech/Pfizer mRNA BNT162b2 vaccine provided strong short-term protection against any SARS-CoV-2 infection and symptomatic infection (COVID-19) with the Delta variant in adolescents, confirming previous findings [5, 6]. Using a matched case-control analysis, we estimated a peak vaccine effectiveness between 2 weeks and 3 months following receipt of the second dose, with 85% (95% CI: 84%, 86%) and 90% (95% CI: 89%, 91%) effectiveness against SARS-CoV-2 infection and COVID-19, respectively. However, in line with previous findings for adults [7–9], waning of vaccine effectiveness was evident in adolescents as well. Long-term protection conferred by the vaccine was reduced to 73% (95% CI: 68%, 77%) against infection and 79% (95% CI: 73%, 83%) against COVID-19 3 to 5 months after the second dose and waned to 53% (95% CI: 46%, 60%) against infection and 66% (95% CI: 59%, 72%) against symptomatic infection after 5 months.

We evaluated vaccine effectiveness against infection and COVID-19 but did not evaluate effectiveness against severe disease. Even in the absence of vaccination, adolescents have a much lower risk of hospitalization and death compared to adults [27, 28], and the number of events in this population was small [29]. Other studies in adults have found that vaccine effectiveness against severe outcomes has been maintained at higher levels than effectiveness against infection [12]. Decisions to vaccinate and to use a booster dose among adolescents, as well as prioritization of vaccines among different age and risk groups, will therefore depend on the policy goals, that is, reducing transmission of SARS-CoV-2 in the population in the short term versus reducing the burden of disease in the population.

This study has several limitations. The estimates of vaccine-induced protection against symptomatic infection should be interpreted with caution, because a protective effect of the vaccine was already evident a few days after the receipt of the first dose (53% effectiveness at 0–6 days with 95% CI: 43%,

**Table 1. Demographic Characteristics of Individuals Who Were Tested Between 15 June and 8 December 2021**

Characteristics	Control (n = 226 201)	Case (n = 11 822)	P Value	Overall (n = 238 023)
Age, y, mean (SD)	14.0 (1.4)	13.7 (1.4)	<.0001 <sup>a</sup>	14.0 (1.4)
Sex, no. (%)			.9 <sup>b</sup>	
Female	117 697 (52.0%)	6143 (52.0%)		123 840 (52.0%)
Male	108 504 (48.0%)	5679 (48.0%)		114 183 (48.0%)
Comorbidities, no. (%)				
Obesity (BMI ≥30)	7633 (3.4%)	327 (2.8%)	<.0001 <sup>b</sup>	7960 (3.3%)
Socioeconomic status by GSA			.4 <sup>c</sup>	
High (7–10)	94 810 (41.9%)	4902 (41.5%)		99 712 (41.9%)
Medium (5–6)	82 813 (36.6%)	4360 (36.9%)		87 173 (36.6%)
Low (0–4)	45 034 (19.9%)	2377 (20.1%)		47 411 (19.9%)
Missing	3544 (1.6%)	183 (1.5%)		3727 (1.6%)

Case: Positive polymerase chain reaction (PCR) test.

Abbreviations: BMI, body mass index; GSA, geographical statistical area; SD, standard deviation.

<sup>a</sup>Based on 2 sample t test.

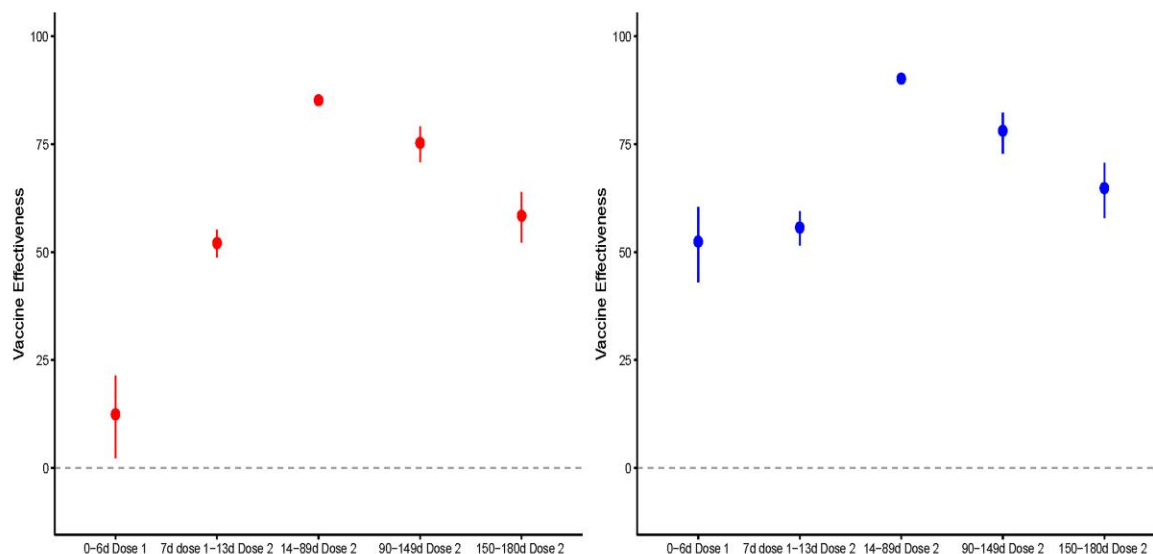
<sup>b</sup>Based on 2 proportion z-test.

<sup>c</sup>Based on  $\chi^2$  test.

60%). We did not expect to find a significant effect of vaccination in the first week after receipt of the first dose, because it presumably takes time for the vaccine to induce an immune response. We observed a small but significant reduction in the odds of infection in the 6 days following the first dose and a larger reduction in the odds of symptomatic infection, which could indicate a potential bias. It is possible that individuals are less likely to be tested immediately after vaccination (e.g., because they attribute symptoms to temporary side effects) and are therefore less likely to be detected (rather than less likely to be infected) compared to unvaccinated individuals,

especially symptomatic ones. This effect could also be explained as a healthy user bias, that is, those who are experiencing symptoms may be less likely to get vaccinated. However, the bias would most likely be short-lived, so the estimates of vaccine effectiveness against COVID-19 could be more reliable a couple of weeks after receipt of the first dose [9, 17].

A second limitation is with regards the generalizability of our findings in light of the emergence of novel SARS-CoV-2 variants. Our study only estimates the short- and long-term vaccine effectiveness against the Delta variant, which was the dominant strain in Israel at the time of our study [15]. The protection



**Figure 1.** Reduction in the odds of testing positive for SARS-CoV-2 (*left panel*) and in having a positive test with symptoms (*right panel*) among individuals who had received 1 or 2 doses of BNT162b2 vaccine compared to unvaccinated adolescents, by time since vaccination. In red, vaccine effectiveness against SARS-CoV-2 infection, and in blue, against symptomatic infection. Vertical bars represent 95% confidence intervals. Abbreviation: SARS-CoV-2, severe acute respiratory syndrome coronavirus 2.

conferred against other strains, including the Omicron variant, cannot be inferred, although recent studies show an important reduction of vaccine effectiveness against SARS-CoV-2 infection with the Omicron variant, and particularly symptomatic infection, following 2 doses of BNT162b2 in the adult population (ie, 18+ years old) [30].

## CONCLUSION

Our analyses suggest that, compared to those who are unvaccinated, adolescents aged 12–16 who received 2 doses of the BNT162b2 vaccine have a lower risk of contracting SARS-CoV-2 infection, as detected by PCR, and a lower risk of symptomatic infection. However, like adults, vaccine-induced protection against both SARS-CoV-2 infection and symptomatic infection wanes with time, starting 3 months after inoculation and continuing for more than 5 months.

## Supplementary Data

Supplementary materials are available at *Clinical Infectious Diseases* online. Consisting of data provided by the authors to benefit the reader, the posted materials are not copyedited and are the sole responsibility of the authors, so questions or comments should be addressed to the corresponding author.

## Notes

**Data availability statement.** According to the Israel Ministry of Health regulations, individual-level data cannot be shared openly. Specific requests for remote access to de-identified community-level data should be referred to KSM, Maccabi Healthcare Services Research and Innovation Center.

**Code availability statement.** Specific requests for remote access to the code used for data analysis should be referred to KSM, Maccabi Healthcare Services Research and Innovation Center.

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All authors have submitted the ICMJE Form for Disclosure of Potential Conflicts of Interest. Conflicts that the editors consider relevant to the content of the manuscript have been disclosed.

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