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High-throughput screens identify a lipid nanoparticle that preferentially delivers mRNA to human tumors *in vivo*

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Abstract

Lipid nanoparticles (LNPs) are a clinically relevant way to deliver therapeutic mRNA to hepatocytes in patients. However, LNP-mRNA delivery to end-stage solid tumors such as head and neck squamous cell carcinoma (HNSCC) remains more challenging. While scientists have used *in vitro* assays to evaluate potential nanoparticles for HNSCC delivery, high-throughput

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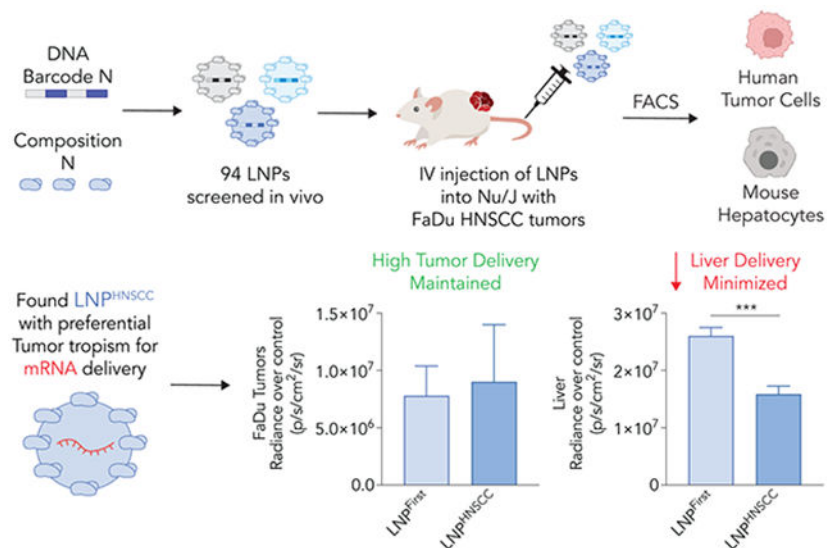
Conflict of Interest. J.E.D. is an advisor to GV. E.J.S. has ownership interest in PNP Therapeutics, Inc. and serves on the Board of Directors for the company, which develops products used in cancer research. Dr. Sorscher is also an inventor of technology being evaluated in studies described by this report. The terms of this arrangement for Dr. Sorscher have been reviewed and approved by Emory University in accordance with its conflict-of-interest policies. Dr. Jeong Hong also has minor equity interest in this company. All other authors declare no conflict of interest.

CRedit Author Statement

Sebastian G. Huayamares: Conceptualization, Methodology, Validation, Investigation, Writing – Original Draft. **Melissa P. Lokugamage:** Conceptualization, Methodology, Investigation, Writing – Original Draft. **Regina Rab:** Methodology, Investigation. **Alejandro Da Silva:** Investigation. **Hyejin Kim:** Investigation. **Afsane Radmand:** Investigation. **David Loughrey:** Investigation. **Liming Lian:** Investigation. **Yuning Hou:** Investigation. **Bhagelu R. Achyut:** Investigation. **Annette Ehrhardt:** Investigation. **Jeong S. Hong:** Investigation. **Cory D. Sago:** Investigation. **Kalina Paunovska:** Investigation. **Elisa Schrader Echeverri:** Investigation. **Daryll Vanover:** Investigation. **Philip J. Santangelo:** Supervision. **Eric J. Sorscher:** Conceptualization, Methodology, Supervision, Writing – Review & Editing, Project Administration. **James E. Dahlman:** Conceptualization, Methodology, Supervision, Writing – Review & Editing.

delivery assays performed directly *in vivo* have not been reported. Here we use a high-throughput LNP assay to evaluate how 94 chemically distinct nanoparticles delivered nucleic acids to HNSCC solid tumors *in vivo*. DNA barcodes were used to identify LNP^{HNSCC}, a novel LNP for systemic delivery to HNSCC solid tumors. Importantly, LNP^{HNSCC} retains tropism to HNSCC solid tumors while minimizing off-target delivery to the liver.

Graphical Abstract



Keywords

DNA barcode; mRNA delivery; lipid nanoparticles; cancer

1. Introduction

The efficacy of mRNA vaccines [1, 2] and the speed of their regulatory approval [3] have established lipid nanoparticle (LNP)-mRNA drugs as useful medicines. Complementing these approvals are data suggesting that systemically administered LNP-mRNA drugs can deliver Cas9-based gene editors in patients [4, 5]. Taken together, these clinical data hint at the potential impact of RNA therapeutics that can be delivered to a target tissue.

One tissue that, if targeted, could lead to important clinical advances is head and neck squamous cell carcinoma (HNSCC). Head and neck cancers are the sixth most common cancer worldwide [6] and account for 3–4% of cancers in the United States. A subset of these malignancies, end-stage HNSCC, can afflict otherwise healthy young patients infected by human papillomavirus, and is characterized by chronic pain, uncontrolled bleeding, and death. Unfortunately, there are few treatment options available besides chemotherapy or external beam radiation therapy, which lead to severe toxicity [7]. Scientists have therefore pursued gene-based oncolytic therapies that drive cytoreduction in HNSCC; these have worked in pre-clinical models as well as a Phase 1 clinical trial [8-14]. Notably, such efforts have used viral vectors to express the therapeutic gene. One alternative approach is

the use of LNPs to deliver therapeutic nucleic acids. LNP-mediated nucleic acid delivery could circumvent challenges associated with viral vectors including constrained payload size [15], pre-existing immunity [16], inability to redose [17], and complicated manufacturing at human scale [18, 19].

One acknowledged limitation of LNPs is their tendency to accumulate in the liver [20, 21]. We therefore sought an LNP that would deliver nucleic acid to HNSCC while minimizing off-target delivery to hepatic tissues. Given the fact that *in vitro* (i.e., cell culture) nanoparticle delivery can poorly predict *in vivo* (i.e., in an adult animal) nanoparticle delivery [22], we reasoned that the best approach would be to perform a direct *in vivo* high-throughput screen of many chemically diverse LNPs. Here we report how 94 LNPs delivered nucleic acids to HNSCC tumors derived from cancer cell lines or patient-derived tumors as well as hepatocytes, all *in vivo*. We also report the subsequent LNP named LNP^{HNSCC}, which delivers nucleic acids to tumor cells and minimizes delivery to liver hepatocytes *in vivo*, without the use of active targeting ligands such as antibodies or aptamers. These data suggest that high-throughput *in vivo* screens may identify nanoparticles with tumor tropism and de-targeted liver delivery following systemic administration.

2. Materials and Methods

Nanoparticle formulation.

7C1 was synthesized as described previously [23]. Briefly, C₁₅ lipids were combined with PEI₆₀₀ and heated to 90 °C in 100% ethanol for 48-72 hours. Products were characterized with MALDI-TOF and ¹H-NMR. Nanoparticles were formulated using a microfluidic device as previously described [24]. Specifically, citrate and ethanol phases were combined in a microfluidic device using syringes (Hamilton Company) at a flow rate of 3:1. DNA barcodes were diluted in 10 mM citrate buffer (Teknova) and purchased from Integrated DNA Technologies. Poly(ethylene glycol) (PEG)-lipids, cholesterol, and helper lipids were diluted in 100% ethanol and purchased from Avanti Lipids.

Nanoparticle characterization.

The diameter and polydispersity of formulated LNPs were measured using dynamic light scattering (DLS) (DynaPro Plate Reader II, Wyatt). LNPs were diluted in sterile 1X PBS and sterile purified using a 0.22 μm filter. LNPs were included only if they met three criteria: diameter >20 nm and <200 nm, polydispersity index (PDI) <0.5, and correlation function with 1 inflection point. For screens, particles that met these criteria were pooled. Particles were dialyzed in 20 kD dialysis cassettes (Thermo Scientific). Nucleic acid concentration was determined using NanoDrop (Thermo Scientific).

Cell culture.

FaDu and Cal-27 cells, human head and neck squamous cell carcinoma cells, were purchased from ATCC. FaDu cells were maintained with Dulbecco's modified Eagle's medium (DMEM) and 10% FBS. Cal-27 cells were maintained with Minimum Essential Medium Eagle (EMEM) and 10% FBS. Cell-related experiments were performed with cells from passage 5–20. Patient-derived mixed/crude (non-clonal) tumor cells (328373-195-R-

J1-PDC) were extracted from a lateral neck soft tissue mass obtained according to leading-edge National Cancer Institute (NCI) Patient-Derived Models Repository (PDMR) protocols (<https://pdmr.cancer.gov/>) based on banking of cryopreserved patient tumor samples. Cancer models from the PDMR undergo rigorous quality control of their profiling, which makes the PDX model used here ideal in terms of doubling time and carcinogenic pathology to assess antitumor activity in preclinical studies with reliable fidelity. HEK-293 cells (ATCC, CRL-1573TM) were cultured and transfected in DMEM supplemented with 10% fetal bovine serum (FBS) and 1% Primocin. AML-12 hepatocytes (ATCC, CRL-2254TM) were cultured and transfected in clear DMEM supplemented with 10% FBS, 1% Primocin, and Cell Maintenance Cocktail B (Thermo Fisher Scientific).

Animal experiments.

All animal experiments were performed in accordance with the Georgia Institute of Technology and Emory University School of Medicine IACUC. All animals were housed in the Georgia Institute of Technology or Emory University animal facilities. NU/J mice (00201) and NOD.Cg-Prkd^{scid} IL2rg^{tm1Wjl}/SzJ (NSG) mice were purchased from Jackson Laboratories (or Charles River). At least N = 3–4 mice/group were used for all studies, unless noted otherwise.

Xenograft models.

HNSCC tumors were inoculated in mice by subcutaneous injection of the cancer cells: 0.05 mL aliquots of sterilized saline containing 1×10^6 FaDu cells or Cal-27 cells were injected into both flanks of NuJ mice; 0.1 mL aliquots of sterilized saline containing 5×10^6 patient derived PDX cells were administered into the right flanks of NSG mice. Mice were evaluated daily and tumor measurements taken several times a week using calipers until up to size.

Cell isolation & staining for fluorescence-activated cell sorting (FACS).

When screening particles, tumors were harvested 24h after systemic injection with LNPs, unless otherwise noted. Mice were perfused with 20 mL of 1X PBS through the right atrium. Tumors or livers were finely cut and then placed in a digestive enzyme solution with collagenase type I (Sigma-Aldrich), collagenase XI (Sigma-Aldrich) and hyaluronidase (Sigma-Aldrich) at 37 °C at 550 rpm for 45 minutes. Cell suspension was filtered through 70 µm mesh and red blood cells lysed. Specific cell populations were sorted using the BD FACS Fusion equipment housed at the Georgia Institute of Technology Cellular Analysis Core. Antibody clones used for these studies include anti-CD31 (390, BioLegend) for murine endothelial cells, anti-CD45.2 (104 BioLegend) for murine immune cells, and anti-hCD47 (CC2C6, BioLegend) for the human cancer cells. Representative flow gates are shown in Supplementary Fig. S2A,B.

PCR amplification.

Samples were amplified and prepared for sequencing using a one-step PCR protocol as previously described [25]. More specifically, 1 µL of primers (5 µM for final reverse/forward, 0.5 µM for base forward) were added to 5 µL of Kapa HiFi 2X master mix and

4 μ L template DNA/water. If the PCR reaction did not produce clear bands in the gel, the primer concentrations, DNA template input, PCR temperature, and number of cycles were optimized for individual samples.

Deep sequencing.

Deep sequencing was performed on an Illumina MiniSeq™. Primers were designed based on Nextera XT adapter sequences.

Data normalization.

Counts for each particle, per tissue, were normalized to the barcoded LNP mixture injected into each mouse. This “input” DNA provided the DNA barcode counts, which were used to normalize DNA barcode counts from each of the cell types and tissues as shown in Fig. 2D-F.

Data analysis & statistics.

Sequencing results were processed using a custom Python-based tool to extract raw barcode counts for each tissue. These raw counts were then normalized with an R script prior to further analysis. Statistical analysis was done using GraphPad Prism 8. Data is plotted as mean \pm standard error of mean unless otherwise stated. Statistical tests and p-value significance associated with each figure are reported in the respective figure caption.

TNS assay.

The pKa of the LNP^{HNSCC} nanoparticle was measured as previously described [26]. Briefly, a stock solution of 10 mM HEPES (Sigma-Aldrich), 10mM MES (Sigma-Aldrich), 10 mM sodium acetate (Sigma), and 140 mM sodium chloride (Sigma-Aldrich) was prepared and pH adjusted with hydrogen chloride and sodium hydroxide to a range of pH between 4 and 10. Using 4 replicates for each nanoparticle at each pH, 140 μ L pH-adjusted buffer was added to a 96-well plate, followed by the addition of 5 μ L of 2-(p-toluidino)-6-naphthalene sulfonic acid (60 μ g/mL). Five microliters of each nanoparticle were added to each well. After 5 min of incubation under gentle shaking, fluorescence absorbance was measured using excitation wavelengths of 325 nm and emission wavelengths of 435 nm.

Zeta potential.

The zeta potential of LNPs was measured using a Malvern Zetasizer Nano Z. Eight hundred microliters of the LNPs were loaded into a Malvern disposable folded capillary cell and the following settings were executed: material refractive index of 1.4, absorbance of 0.01, dispersant viscosity of 0.882 cP, refractive index of 1.33, and dielectric constant of 79.

Anchored nanoluciferase-encoding and anchored VHH-encoding mRNA.

Messenger RNA encoding anchored nanoluciferase and camelid-derived VHH were synthesized as described previously [27, 28]. Briefly, the GPI-anchored VHH or NanoLuc sequence was ordered as a DNA gBlock from IDT (Integrated DNA Technologies) with certain modifications including extensions to allow for Gibson assembly, a 3' UTR derived from the mouse alpha-globin sequence, and a 5' UTR with Kozak sequence. The IDT

website was used to human codon optimize the sequence. A NEBuilder with 3 molar excesses of insert was then used to clone the gBlock into a PCR amplified pMA7 vector through Gibson assembly. Prior to assembly reaction, Gibson assembly reaction transcripts were purified using a 0.8% agarose gel. Sanger sequencing of subsequent plasmids from each colony confirmed sequence identity. Plasmids were digested into a linear template using NotI-HF (New England Biolabs (NEB)) overnight at 37 °C. Linearized templates underwent purification by ammonium acetate (Thermo Fisher Scientific) precipitation and were resuspended with nuclease-free water. In vitro transcription was achieved overnight at 37 °C using the HiScribe T7 kit (NEB) following the manufacturer's directions. RNA product was then treated with Dnase I (Aldevron) and purified via lithium chloride precipitation (Thermo Fisher Scientific). RNA transcripts were heat-denatured at 65 °C for 10 min and capped with a Cap1 structure using guanylyl transferase (Aldevron) and 2'-O-methyltransferase (Aldevron). Transcripts were then polyadenylated enzymatically (Aldevron). Finally, mRNA was purified by lithium chloride precipitation, treated with alkaline phosphatase (NEB), and purified once more. Concentrations were measured using a NanoDrop, and stock concentrations were between 4 and 5 mg/mL. mRNA stocks were stored at -80 °C. Purity levels of RNA products were confirmed by gel electrophoresis.

Immunohistochemical staining of aVHH-transfected cells *in vitro*.

FaDu human cancer cells were plated at 80,000 cells per well, suspended in 0.5 mL of EMEM, in a coverglass-bottom 24-well plate (Cellvis). A day later, the wells were transfected with LNP^{HNSCC} carrying aVHH-encoding mRNA at 1 and 2 µg of RNA at 50 µL/well. On the day following the transfection, the FaDu cells were fixed with 4% PFA (in 1x PBS) for 10 min at room temperature, washed with PBS, permeabilized with 0.2% Triton X-100 in PBS for 5 min at room temperature, blocked with 5% BSA in PBS for 30 min at 37 °C, and incubated in rabbit anti-VHH primary (GenScript) diluted (1:250 dilution) in PBS for 30 min at 37 °C; 250 µL/well was used to cover the entire well. Next, the cells were washed twice with PBS, incubated in fluorescent donkey anti-rabbit secondary antibody diluted (1:250 dilution) in PBS for 30 min at 37 °C; 250 µL/well was used to cover the entire well. Cells were then washed twice with PBS, incubated in DAPI diluted in PBS for 10 min at room temperature with some shaking, and washed once with PBS, and a drop of Prolong Gold was placed onto the cells under a 12 mm circular coverslip (Electron Microscopy Sciences) on top. Finally, the stained aVHH-transfected cells were imaged using a PerkinElmer UltraVIEW spinning disk confocal microscope with a Zeiss ×63 numerical aperture (NA) 1.4 Plan-Apochromat objective lens with Volocity software. The images were linearly contrast-enhanced equally across all images in Volocity.

Whole organ imaging.

Tissues were isolated 24 h after administration of LNPs to measure bioluminescence. Harvested organs were submerged in Nano-Glo Luciferase Assay Substrate (Promega) for 5 minutes before being placed on solid black paper for imaging. Luminescence was measured using an IVIS imaging system (PerkinElmer, Waltham, MA) and quantified using Living Image software (PerkinElmer).

3. Results & Discussion

To explore the delivery of LNPs to human tumor models *in vivo*, we first performed high-throughput *in vivo* DNA barcoding screens [29]. We formulated 64 chemically distinct LNPs by mixing four components: the oligomer-lipid 7C1, cholesterol, 1,2-distearoyl-sn-glycero-3-phosphocholine (DSPC), and PEG-lipids with varying molar amounts, alkyl lengths, and molecular weights (Fig. 1A). 7C1 was chosen as the ionizable or cationic lipid due to evidence that it can deliver siRNA to solid tumors *in vivo* [23]. We chose DSPC given that it is the only helper lipid used in all FDA-approved LNPs (Onpatro[®], Spikevax[®], and Comirnaty[®]). Given the important role of PEG in controlling LNP opsonization and clearance [30], we tested LNPs containing four different PEG-lipids of compositions between 1% and 15% molar. Each LNP carried a unique DNA barcode (Fig. 1B): LNP 1, with chemical structure 1, was formulated with barcode 1, whereas LNP N, with chemical structure N, was formulated with barcode N. The formulation details and size for each LNP are detailed in Supplementary Figure S1. Quality control was conducted for each LNP using DLS. LNPs with hydrodynamic diameters between 20 and 200 nm and monodisperse DLS spectra were considered to pass quality control. Of the 64 LNPs formulated, 41 were deemed small and monodisperse; these were pooled together and filtered through a 0.22 μm pore. The diameter of the LNP pool was monodisperse and under 200 nm, providing one line of evidence that the LNPs did not come out of solution after pooling. Next, the pool was injected intravenously (IV) into two groups of immunocompromised Nu/J mice at a total dose of 0.5 mg/kg of DNA barcode (Fig. 1C) (i.e., 0.012 mg/kg/barcode on average, for all 41 barcodes). The two animal cohorts were previously inoculated with two different human cancer cell lines (FaDu or Cal-27 head and neck tumor models) to establish hind-leg xenograft tumors. FaDu cells are an immortalized line established from a punch biopsy of a hypopharyngeal tumor removed from a 56-year-old white male patient with squamous cell carcinoma in 1968. This model is commonly used in HNSCC research [31, 32]. Each mouse carried two tumors. Twenty-four hours following LNP pool administration, human tumor cells and liver hepatocytes were isolated via FACS (Supplementary Fig. S2). DNA barcodes were isolated and quantified via next-generation sequencing (NGS). Normalized DNA delivery (%) from tumor cells and hepatocytes for each tumor type was plotted (Fig. 1D). Normalized delivery was chosen since it allows scientists to quantify how many different LNPs work relative to one another in a single experiment. However, one limitation is that its readout could be different than the functional delivery of mRNA. As a negative control, we measured delivery of an unencapsulated barcode; as expected, this was delivered less efficiently than barcodes in LNPs (Fig. 1D). To identify LNP traits with a maximum ratio of tumor to liver delivery, we plotted hepatocyte delivery against human tumor cell delivery across both cancer models. We identified three LNPs (highlighted in red) with higher ratios of tumor-to-liver delivery than other LNPs in both tumor models (Fig. 1E,F). Importantly, none of these LNPs were the winner candidate of this first screen, termed LNP^{First}, with the highest delivery to tumor cells but also the highest delivery to hepatocytes, given that we were trying to identify properties that minimized hepatic delivery.

We then examined the chemical composition of three lead LNPs. All three contained 50% molar ratio of 7C1 and C₁₈PEG₂₀₀₀ at 3%, 7%, and 11% molar ratio (Supplementary

Fig. S1C), all ratios larger than the traditional 1.5-1.6% molar ratio of PEG used in the FDA-approved LNPs (Onpatro[®], Spikevax[®], and Comirnaty[®]) [33]. Next, we characterized traits within the top five LNPs of the whole screen that had the highest delivery to tumors, irrespective of their tumor/liver delivery ratio, to identify traits associated with successful systemic delivery to HNSCC tumors among the LNPs screened. We found that most of them used C₁₈PEG₂₀₀₀ (Fig. 2A) and all of them had a 50% 7C1 mole ratio (Fig. 2B). Based on these findings, we designed a second, iterative screen around C₁₈PEG₂₀₀₀ at 1–8.5% molar ratio and 7C1 at 50% molar ratio. We also included an additional helper lipid, DOPE (1,2-dioleoyl-sn-glycero-3-phosphoethanolamine) based on data suggesting it could improve tumor delivery [34, 35]. In this second screen, 64 different 7C1-based LNPs were barcoded using the same methodology employed in the first screen (Fig. 2C). Of these 64 LNPs, 53 were considered small and stable enough to be pooled (Supplementary Fig. S1D). Once again, two groups of immunocompromised mice were implanted with either Cal-27 or FaDu human tumor cells to establish hind-leg xenograft tumors (two per animal). Mice were injected with the stable LNP pool at a dose of 0.5 mg/kg of DNA barcode. Human tumor cells and hepatocytes were then isolated via FACS, and barcodes were sequenced. DNA barcodes across tumor cells and hepatocytes in both cell lines were quantified (Fig. 2D). From this experiment, we plotted the normalized delivery to hepatocytes against normalized delivery to human tumor cells (Fig. 2E,F). We identified an LNP, highlighted in red, that delivered nucleic acid selectively to tumor cells while minimizing hepatocyte delivery in both tumor models (Fig. 2E,F).

These iterative screens allowed us to identify an LNP, termed LNP^{HNSCC}, that delivers nucleic acid to human tumor cells *in vivo* (Fig. 3A). We characterized the size, dispersity, pKa, and zeta potential of LNP^{HNSCC} to validate its stability (Fig. 3B). LNP^{HNSCC} had a relatively neutral zeta potential and a pKa of 4.8, as well as a low PDI indicating a monodisperse diameter. Interestingly, LNP^{HNSCC} had a bigger diameter and PDI than LNP^{First} (Fig. 3C), the winner of the first screen with the highest delivery to tumor cells and liver hepatocytes. These data present one line of evidence that PEG content of the LNPs may contribute to their diameter.

Next, we sought to demonstrate that LNP^{HNSCC} can deliver mRNA to human head and neck cancer cells. We first encapsulated GFP-encoding mRNA in LNP^{HNSCC} and transfected FaDu cancer cells *in vitro* (Fig. 3D). We administered the LNPs at a dose of 0.5, 1, and 2 µg/well seeded at 80K cells/well in 24-well plates. Sixteen hours later, we measured GFP protein using flow cytometry and observed that 60% of the cells expressed GFP compared to untreated cells at 0.5 µg/well doses (Fig. 3E). To ensure the results were not GFP-specific, we repeated the experiment using a different mRNA. We formulated LNP^{HNSCC} with mRNA encoding an anchored VHH (aVHH) [27, 28, 36] and observed that 80% of the cells expressed aVHH at the 0.5 µg/well dose (Fig. 3F) using flow cytometry. We confirmed aVHH transfection using immunohistochemical staining (Fig. 3G), which showed functional aVHH protein expression throughout FaDu cell membranes.

We also tested whether the transfection efficacy of LNP^{HNSCC} was cell line-dependent and compared it against LNP^{First}. We formulated LNP^{HNSCC} and LNP^{First} carrying aVHH mRNA and used them to transfect FaDu human cancer cells (Fig. 3H), AML-12 murine

hepatocytes (Fig. 3I), and human embryonic kidney (HEK-293) cells (Fig. 3J) *in vitro* at 0.1, 0.25, and 0.5 $\mu\text{g}/80\text{K}$ cells. LNP^{HNSCC} yielded superior transfection of both human cell lines (FaDu and HEK-293), while LNP^{First} showed superior transfection of AML-12 murine hepatocytes. While the percent transfected levels of LNP^{First} were high for both FaDu and HEK-293 cells, their mean fluorescence intensity (MFI) was lower than the MFI observed in FaDu and HEK-293 cells transfected with LNP^{HNSCC} (Fig. 3H,J). MFI differences were not as pronounced for the AML-12 murine hepatocytes, where LNP^{First} yielded superior transfection versus LNP^{HNSCC} (Fig. 3I). One limitation to these data is that *in vitro* nucleic acid delivery does not predict *in vivo* delivery for LNPs [22]. Nevertheless, this suggested that LNP^{HNSCC} may have better affinity for human cell lines, particularly FaDu cancer cells, while LNP^{First} may have better affinity for murine hepatocytes.

We then evaluated whether LNP^{HNSCC} delivered mRNA *in vivo* and compared it with LNP^{First} to verify whether the sequential high-throughput screens yielded an LNP with preferential tumor tropism. Once more, we established two FaDu xenograft tumors in Nu/J mice. We then formulated LNP^{HNSCC} and LNP^{First} with an mRNA that encoded for an anchored nanoluciferase (anNanoLuc) [27]. Mice with FaDu flank tumors were intravenously administered with LNP^{HNSCC} or LNP^{First} at a dose of 2 mg/kg anNanoLuc mRNA; control mice for background luminescence were injected with 1X PBS. Thirty-six hours later, we harvested tumors and livers and used an *in vivo* imaging system (IVIS) to quantify the luciferase expression by measuring whole-organ bioluminescence (Fig. 4A). FaDu tumors transfected with LNP^{HNSCC} had comparable bioluminescence to those transfected with LNP^{First}, as measured by the fold change in total flux compared to the control tumors and by the radiance after subtracting the controls' background radiance (Fig. 4B). When imaging the livers, LNP^{HNSCC} yielded significantly lower bioluminescence than LNP^{First} (Fig. 4C). To quantify this preferential tumor tropism, we computed the tumor-to-liver ratios using fold change and radiance over control for both LNPs and observed that LNP^{HNSCC} had higher ratios than LNP^{First} under both measurement criteria (Fig. 4D). These data were consistent with the goal of maximizing tumor delivery and minimizing liver delivery.

To test whether LNP^{HNSCC} functionally delivered mRNA independently of the HNSCC tumor model, we injected anNanoLuc-carrying LNP^{HNSCC} intravenously at 2 mg/kg to NSG mice inoculated with HNSCC patient-derived xenograft (PDX) tumors. Patient-derived cells (328373-195-R-J1-PDC) obtained from the National Cancer Institute Patient-Derived Models Repository protocols were subcutaneously administered into hind legs to create one xenograft per mouse. When the tumors reached 150–250 mm³, they were treated; thirty-six hours later, tissues were isolated (Supplementary Fig. S3A). PDX tumors again displayed significant bioluminescence compared to PBS-treated mice, with some delivery to the liver (Supplementary Fig. S3B), providing another line of evidence that LNP^{HNSCC} effectively transfects HNSCC tumor masses *in vivo*. We did not observe acute systemic toxicity from LNP^{HNSCC} in NSG mice with PDX tumors, which we measured with animal weights and histological staining (Supplementary Fig. S4). We also quantified delivery in the spleen, which is another common off-target organ. We observed higher transfection in the spleen than in the liver (Supplementary Fig. S3C). This made us wonder whether this was also the case in the Nu/J mice inoculated with FaDu tumors. Thus, we re-confirmed

the results by injecting LNP^{HNSCC} carrying NanoLuc mRNA to Nu/J mice bearing FaDu tumors (Supplementary Fig. S5A). We found that the tumors were still transfected while liver delivery decreased (Supplementary Fig. S5B). However, the spleen was transfected at higher levels than the liver (Supplementary Fig. S5C). This suggests spleen de-targeting could also be required.

As a final control, we formulated LNP^{HNSCC} and LNP^{First} carrying aVHH mRNA and injected them intravenously into Nu/J mice with bilateral FaDu flank tumors at a dose of 2 mg/kg mRNA (Fig. 4E). Sixteen hours later, we harvested tumors and livers, digested them into single-cell suspensions, stained them with cell marker antibodies and anti-VHH antibody, and quantified the aVHH protein expression via flow cytometry (Fig. 4E). Within FaDu tumors, we looked at aVHH transfection levels (% transfected and MFI) in human FaDu HNSCC cells (anti-human CD47+), murine ECs (CD31+), and murine immune cells (CD45+). Both LNP^{First} and LNP^{HNSCC} transfected ECs with highest efficiency in the FaDu tumors, as expected given the physiological fate of LNPs traveling through vasculature after systemic administration (Fig. 4F). FaDu HNSCC and immune cells were transfected at lower efficacy, but significantly higher MFI was observed for both LNPs compared to PBS-treated control tumors across all cell types. Within the livers, we looked at aVHH transfection levels in murine ECs (CD31+), murine immune cells (CD45+), and murine hepatocytes (CD31-, CD45-) after gating for apoptotic cells and red blood cells. LNP^{HNSCC} had significantly lower aVHH transfection levels than LNP^{First} for both ECs and hepatocytes (Fig. 4G), further validating the liver de-targeting effect observed with the bioluminescence measurements. To quantify this preferential tumor tropism, we computed the tumor-to-liver ECs and FaDu-to-hepatocyte ratios using % transfected and MFI for both LNPs and observed that LNP^{HNSCC} had higher ratios than LNP^{First} under both measurement criteria (Fig. 4H). When looking at aVHH transfection levels in the spleen, off-target delivery was high, consistent with the bioluminescence measurements in the spleen (Supplementary Fig. S6). We noted that LNP^{HNSCC} had significantly lower spleen delivery than LNP^{First} (Supplementary Fig. S6B), similar to observations in the liver. Overall, these data further support the goal of maximizing tumor delivery and minimizing liver delivery, now validated with a second mRNA and quantified via flow cytometry. Sequential *in vivo* barcoded screens guided us to an LNP that de-targeted the liver while still maintaining optimal mRNA delivery to human tumor models after systemic administration, as demonstrated in these studies.

4. Conclusion

LNPs are clinically relevant delivery vehicles for mRNA. While intramuscular vaccines and systemic mRNA drugs that target hepatocytes are already in the clinic, delivery to other tissues remains an important challenge. Given the increasing research on mRNA-LNP therapies for oncology [3, 37, 38], identifying LNPs with reduced off-target delivery could be important. To maximize tumor delivery while minimizing liver delivery, we performed two iterative, high-throughput *in vivo* screens in mice inoculated with different HNSCC tumor models. This direct *in vivo* approach identified LNP^{HNSCC}, a novel LNP. Notably, when we evaluated LNP^{HNSCC} against LNP^{First}, the winner of the first screen with the highest tumor delivery but also highest liver delivery, the results were consistent with the

barcode data: high FaDu transfection was maintained while significantly reducing liver transfection. Even though LNP^{HNSCC} reduced liver delivery *in vivo*, we found evidence for the importance of considering the spleen. These data provide one line of evidence that future barcoding screens could efficiently identify LNPs with improved on- and off-target delivery ratios.

It is important to recognize several limitations of this work. First, we screened and confirmed LNP^{HNSCC} in mice, which may exhibit delivery profiles different from non-human primates and humans [28]. Second, our screens were performed using DNA barcodes only, which may not represent functional mRNA delivery. Third, we did not identify the molecular mechanism driving LNP delivery into the human cancer cells, or extracellular drug interactions with the heterogeneous tumor microenvironment [39-41], or the effects of immune system competency in systemic delivery of LNPs to solid tumors; this may require more complex datasets that combine delivery and RNA sequencing [42-44] and immunocompetent preclinical cancer models [45]. Fourth, the hydrodynamic diameter of LNP^{HNSCC} was inconsistent at times. Future work will be needed to optimize the consistency of the formulation as well as the robustness of relationships between LNP diameter and delivery. Despite these limitations, we believe the approach described here supports future efforts to more efficiently identify LNPs that can reach solid tumors while minimizing off-target delivery to the liver.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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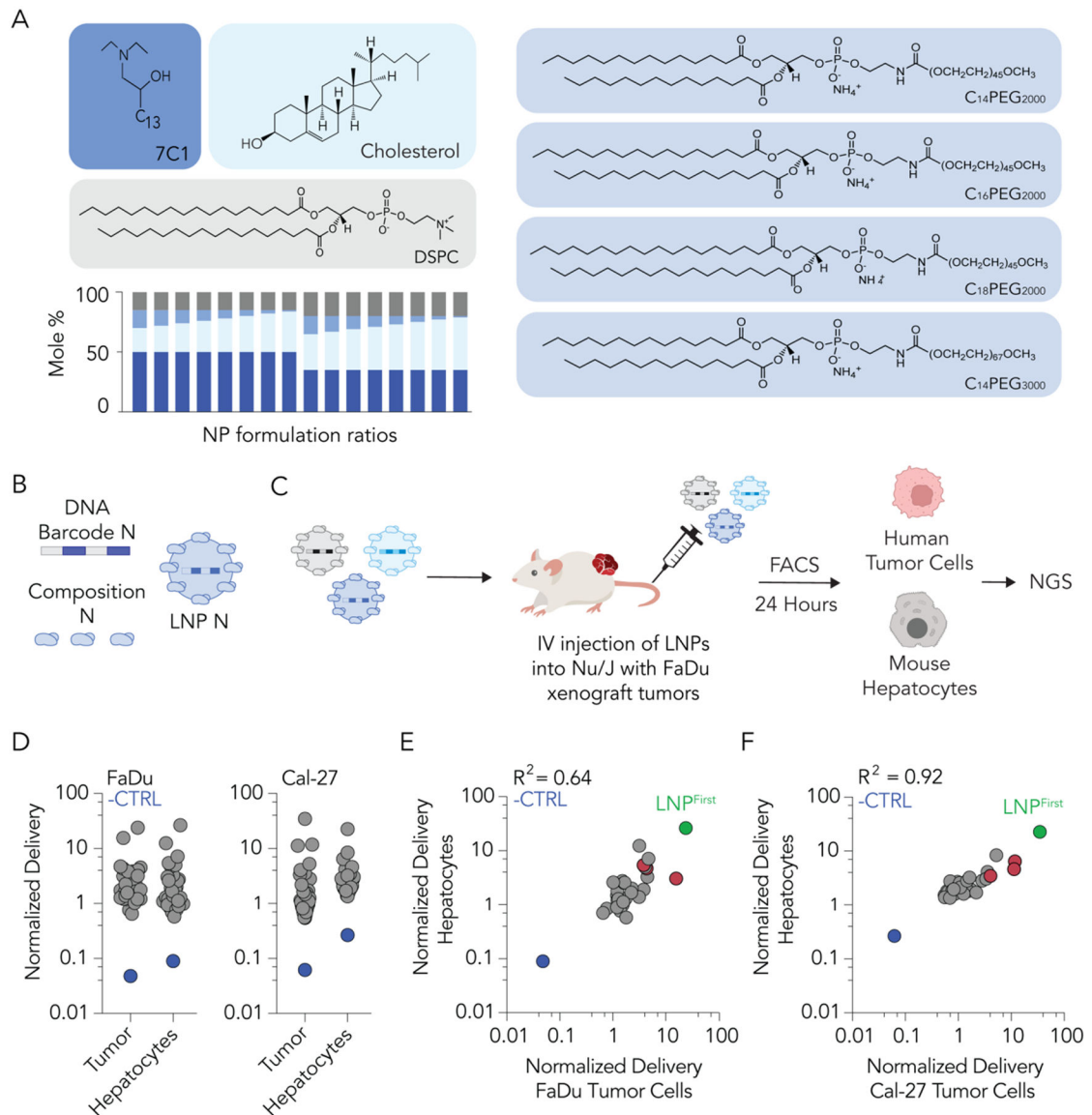


Fig. 1. High-throughput DNA barcoding can be used to study the delivery of LNPs to solid tumors *in vivo*.

(A) A diverse library of LNPs was formulated using 7C1, cholesterol, DSPC, and PEG-lipid variants at various mole ratios. (B) Each LNP was formulated to carry a distinct DNA barcode. (C) Small, monodisperse LNPs were pooled together. Human head and neck cancer cells (FaDu or Cal-27) were subcutaneously injected into Nu/J mice to establish xenograft hind-leg tumors. Pooled LNP libraries were intravenously administered to mice at a dose of 0.5 mg/kg of DNA barcode. Twenty-four hours later, human cancer cells and liver hepatocytes were isolated for sequencing. (D) Normalized delivery of all LNPs in host tumor cells and hepatocytes. To find an LNP that preferentially delivered to human cancer cells, we quantified the correlation between delivery for all LNPs in mouse hepatocytes and human tumor cells. Normalized delivery in (E) FaDu and (F) Cal-27 tumor cells was plotted. Red-highlighted dots indicate an LNP that preferentially delivered to human cancer cells. The LNP with highest delivery to tumors but also to hepatocytes is represented by the

green-highlighted dot, and termed LNP^{First} as the winner of this first screen. The blue dot represents unencapsulated DNA barcode, which did not deliver well to either cell type and acted as a control establishing that LNP encapsulation increases delivery of barcodes tested here.

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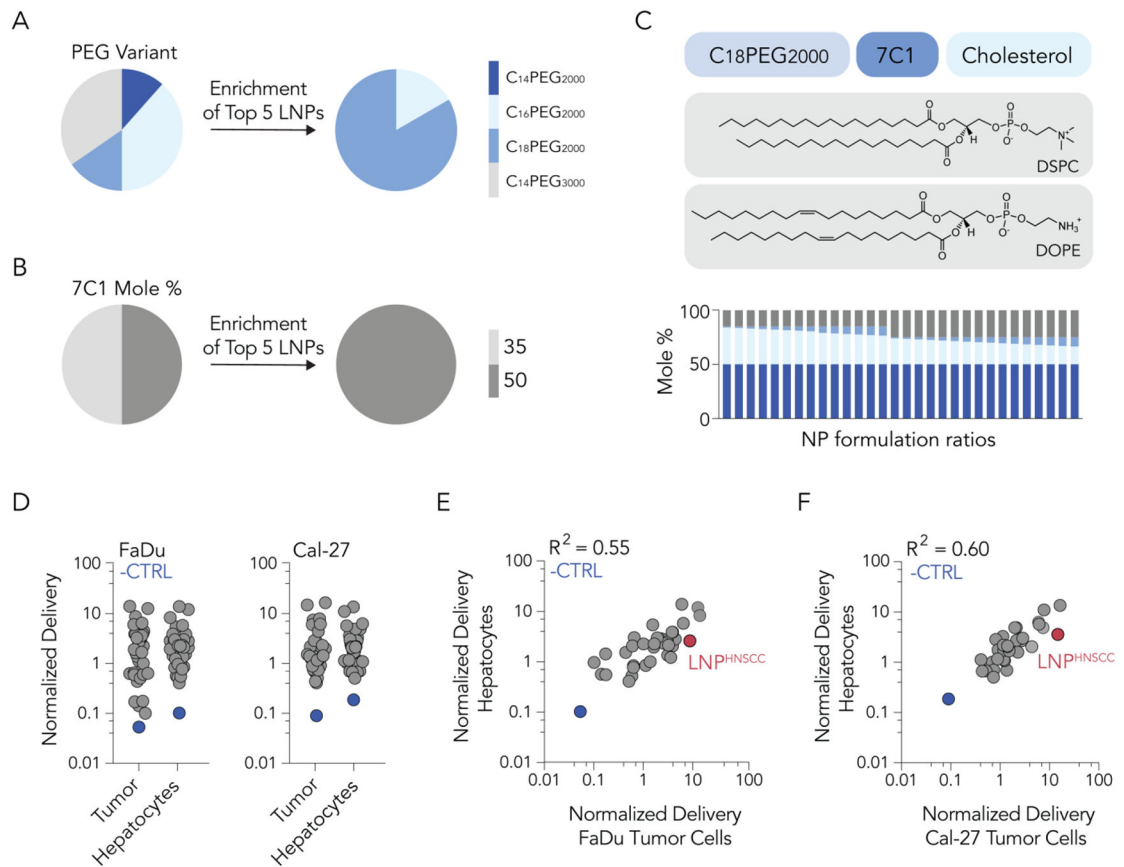


Fig. 2. A second high-throughput LNP screen was designed to optimize LNPs that preferentially deliver to human tumor cells *in vivo*.

(A) Enrichment in the top 5% of LNPs, subdivided by the PEG type and (B) mole ratio of 7C1 suggests that C₁₈PEG₂₀₀₀ and mole ratio 50 were enriched in human tumor tissues *in vivo*. (C) A second screen was designed based on enriched characteristics. (D) As expected, normalized delivery of the negative control (unencapsulated DNA, shown in blue) was much lower than normalized delivery for all LNPs. Correlation between delivery of LNPs in mouse hepatocytes and human tumor cells in (E) FaDu and (F) Cal-27 tumor cell lines. LNP^{HNSCC} is highlighted in red.

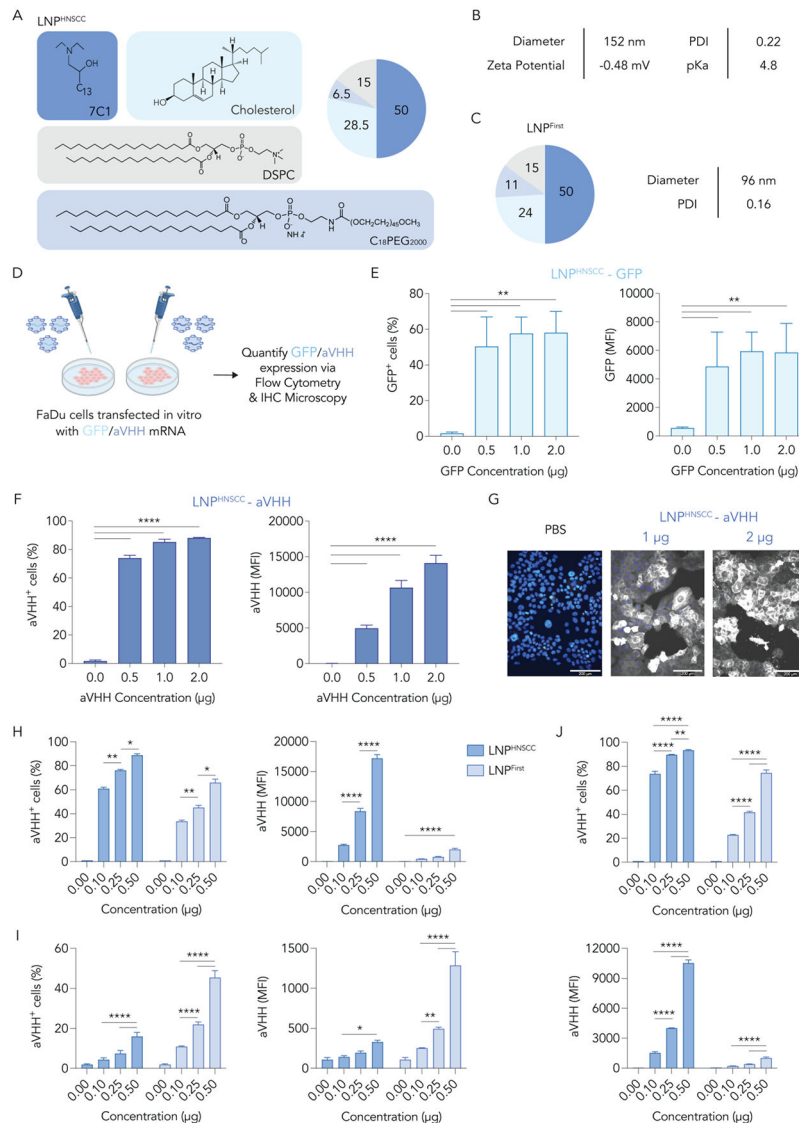


Fig. 3. LNP^{HNSCC} efficiently delivers mRNA to cancer cells *in vitro*. (A) A lead LNP from screen 2, LNP^{HNSCC}, was identified and characterized. (B) Diameter (nm), polydispersity index (PDI), pKa, and zeta potential of LNP^{HNSCC}. (C) Composition, diameter (nm), and PDI of LNP^{First}, the winner of the first screen with highest tumor and hepatocyte delivery. (D) LNP^{HNSCC} was formulated with a GFP mRNA and an anchored VHH (aVHH) mRNA and used to transfect FaDu cancer cells *in vitro* at 0.50, 1, and 2 µg/80K cells. Sixteen hours later, (E) GFP and (F) aVHH expression (% transfected and MFI) were quantified via flow cytometry. (G) aVHH transfection of FaDu cells was also validated via immunohistochemical staining and microscopy. LNP^{HNSCC} and LNP^{First} were formulated with aVHH mRNA and used to transfect (H) FaDu human cancer cells, (I) murine AML-12 hepatocytes, and (J) human embryonic kidney (HEK-293) cells *in vitro* at 0.10, 0.25, and 0.50 µg/80K cells. LNP^{HNSCC} was better at transfecting human cell lines, while LNP^{First} showed superior transfection of murine hepatocytes. MFI: Mean fluorescence

intensity. Two-way ANOVA, Tukey's multiple comparisons test, *P < 0,05, **P < 0.01, ***P < 0.001, ****P < 0.001, average ± S.D.

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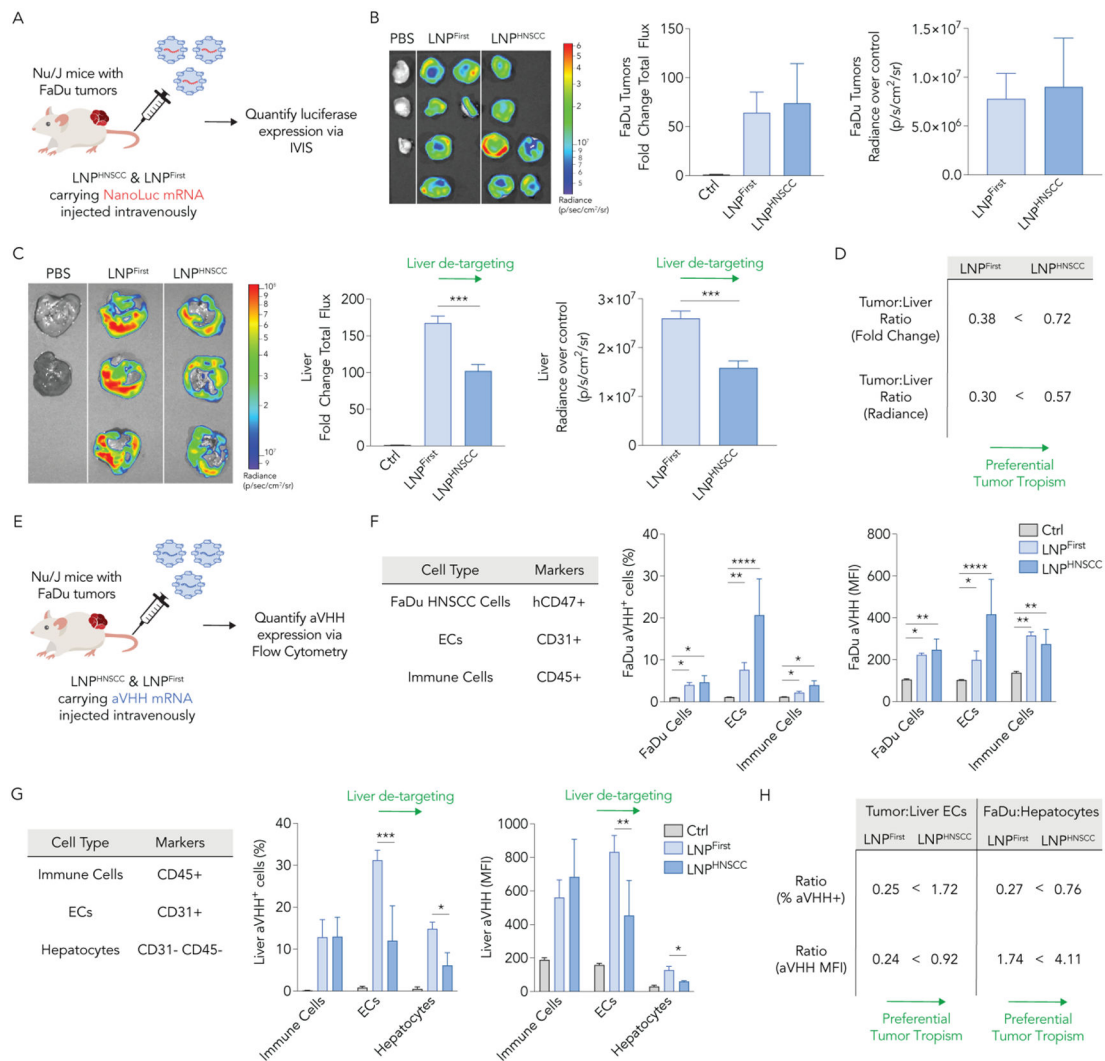


Fig. 4. LNP^{HNSCC} shows preferential systemic delivery of mRNA to human solid tumors while de-targeting the liver *in vivo*.

(A) LNP^{HNSCC} and LNP^{First}, the winner LNP of the first screen, were formulated with an anchored NanoLuc mRNA and administered intravenously to Nu/J mice carrying FaDu xenograft tumors at 2 mg of RNA/kg. Thirty-six hours later, luciferase expression was quantified via whole-organ imaging in (B) FaDu tumors and (C) livers. Fold change total flux and radiance over control organs' background (p/s/cm²/sr) in tumors were comparable while LNP^{HNSCC} had lower NanoLuc expression in the livers, demonstrating de-targeted delivery to the liver. (D) Tumor tropism was quantified by computing the tumor-to-liver ratio using fold change and radiance over control for both LNPs, demonstrating LNP^{HNSCC} had superior tumor preferential delivery. (E) LNP^{HNSCC} and LNP^{First} were formulated with an aVHH mRNA and administered intravenously to Nu/J mice carrying FaDu xenograft tumors at 2 mg of RNA/kg. Sixteen hours later, aVHH protein expression (% transfected and MFI) was quantified via flow cytometry in (F) FaDu tumors (human FaDu cells, ECs, and immune cells) and (G) livers (immune cells, ECs, and hepatocytes). LNP^{HNSCC} and LNP^{Liver} both functionally delivered aVHH mRNA to tumor cell types comparably while LNP^{HNSCC} had

lower aVHH expression in liver ECs and hepatocytes, demonstrating de-targeted delivery to the liver. **(H)** Tumor tropism was quantified by computing the tumor-to-liver ECs and FaDu-to-hepatocyte ratios using % transfected and MFI for both LNPs, demonstrating LNP^{HNSCC} had superior tumor preferential delivery ECs: Endothelial cells, MFI: Mean fluorescence intensity. Two-way ANOVA, Tukey's multiple comparisons test, *P < 0,05, **P < 0.01, ***P < 0.001, ****P < 0.001, average \pm S.D.

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