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Disclosures

Writing Group Disclosures

Sleep-Disordered Breathing and Cardiac Arrhythmias in Adults: Mechanistic Insights and Clinical Implications: A Scientific Statement From the American Heart Association

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*Modest.

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Abstract

Sleep-disordered breathing (SDB), characterized by specific underlying physiological mechanisms, comprises obstructive and central pathophysiology, affects nearly 1 billion individuals worldwide, and is associated with excessive cardiopulmonary morbidity. Strong evidence implicates SDB in cardiac arrhythmogenesis. Immediate consequences of SDB include autonomic nervous system fluctuations, recurrent hypoxia, alterations in carbon dioxide/acid-base status, disrupted sleep architecture, and accompanying increases in negative intrathoracic pressures directly affecting cardiac function. Day-night patterning and circadian biology of SDB-induced pathophysiological sequelae collectively influence the structural and electrophysiological cardiac substrate, thereby creating an ideal milieu for arrhythmogenic propensity. Cohort studies support strong associations of SDB and cardiac arrhythmia, with evidence that discrete respiratory events trigger atrial and ventricular arrhythmic events. Observational studies suggest that SDB treatment reduces atrial fibrillation recurrence after rhythm control interventions. However, high-level evidence from clinical trials that supports a role for SDB intervention on rhythm control is not available. The goals of this scientific statement are to increase knowledge and awareness of the existing science relating SDB to cardiac arrhythmias (atrial fibrillation, ventricular tachyarrhythmias, sudden cardiac death, and bradyarrhythmias), synthesizing data relevant for clinical practice and identifying current knowledge gaps, presenting best practice consensus statements, and prioritizing future scientific directions. Key opportunities identified that are specific to cardiac arrhythmia include optimizing SDB screening, characterizing SDB predictive metrics and underlying pathophysiology, elucidating sex-specific and backgroundrelated influences in SDB, assessing the role of mobile health innovations, and prioritizing the conduct of rigorous and adequately powered clinical trials.

Keywords

AHA Scientific Statements; arrhythmia; atrial fibrillation; autonomic; hypoxia; sleep apnea

Sleep-disordered breathing (SDB) is characterized by alterations in breathing during sleep. SDB subtypes relevant to this scientific statement include obstructive sleep apnea (OSA),

central sleep apnea (CSA), and CSA–Cheyne-Stokes breathing (CSB). SDB adversely affects cardiovascular and neuroendocrine physiology, quality of life, and mood and thus is an important clinical and public health problem. OSA, in particular, is highly prevalent, affecting an estimated 1 billion adults worldwide¹; it continues to be largely undiagnosed,² especially among racial and ethnic groups that have faced historic and systemic marginalization.³ Immediate sequelae of SDB include repetitive episodes of hypoxia, intrathoracic pressure alterations (in OSA), autonomic fluctuations, hypercapnia, and disturbed sleep architecture. Over time, SDB physiological stresses result in sustained biological effects, culminating in cardiovascular substrate alterations, increasing risk for cardiac arrhythmogenesis, and thus drives the focus of this scientific statement (Figure 1).

In epidemiological studies, some of the strongest associations of SDB and cardiac outcomes are with cardiac arrhythmias, which are associated with both OSA and CSA. The demonstration that discrete respiratory events can trigger arrhythmia, combined with longitudinal associations between SDB and incident arrhythmias, provides temporally consistent support for causal associations. Clinical and experimental animal studies have identified discrete SDB-related mechanistic arrhythmogenic triggers, the attenuation of which appears to ameliorate these effects of SDB. Uncontrolled studies suggest that SDB treatment reduces arrhythmia recurrence after rhythm control interventions such as catheter ablation and cardioversion in atrial fibrillation (AF). These findings support the need to consider SDB in cardiac arrhythmia risk factor modification. However, there are also clear bidirectional associations (cardiac disease can exacerbate SDB), and most existing data are observational, underscoring the need for rigorous, adequately powered, randomized interventional trials.

The goals of this scientific statement are to increase knowledge and awareness of the existing science relating SDB to cardiac arrhythmias (AF, ventricular tachyarrhythmias [VTAs], sudden cardiac death [SCD], and bradyarrhythmias) specific to epidemiology, risk factors, health inequities, mechanistic underpinnings, and integration of SDB as a risk factor in lifestyle modification strategies in clinical care models for cardiac arrhythmia. Given that the majority of the existing literature is focused on SDB and AF, this document concentrates on AF, with dedicated sections for VTAs and bradyarrhythmias. The content reflects data synthesis relevant for clinical practice and identifies current knowledge gaps and priorities for future scientific directions for the research community.

This scientific statement provides considerations and suggestions for best clinical practice but not formal clinical recommendations. The summary statements represent expert consensus requiring at least 80% agreement among the writing group members. Detailed literature searches were conducted by the writing group using PubMed, Web of Science, and Scopus restricted to the English language to identify relevant original articles, guidelines, statements, and review articles to inform the content presented. The document was peer reviewed by official external reviewers representing experts in epidemiology and clinical, translational, and experimental research focused on SDB or cardiac arrhythmia.

SDB DEFINITIONS

OSA is characterized by repetitive occlusion or narrowing of the upper airway, resulting in apneas and hypopneas, that is, the absence or reduction, respectively, of inspiratory airflow for 10 seconds.⁴ With the use of in-laboratory polysomnography or home sleep apnea testing (HSAT), OSA is defined as an apnea-hypopnea index (AHI; or respiratory event index when HSAT is used) of $\bar{5}$ events/h with typical symptoms including daytime sleepiness or an AHI 15 events/h regardless of symptoms.⁴ Hypopneas, reflecting partial upper airway collapse, are identified when associated with oxygen desaturations (typically

≥3% or 4%) or microarousals from sleep. Notably, daytime sleepiness, a symptom often used to screen for OSA, poorly correlates with the presence and severity of SDB in cardiac disease, including arrhythmia.⁵

CSA is characterized by a transient cessation of or decrease in ventilatory effort generated by the pontomedullary respiratory pacemaker during sleep. This results from a reduction in the partial pressure of $CO₂$ below the apneic threshold, resulting in breathing cessation. CSA is defined by $>50\%$ of the apneas/hypopneas classified as central.⁴ CSB is a form of CSA often occurring in heart failure and characterized by heightened ventilatory chemosensitivity resulting in alternating crescendo-decrescendo apneic and hyperpneic ventilatory periods, which can lead to decreased intrathoracic pressure during periods of hyperventilation. There are likely bidirectional associations between cardiac dysfunction and CSA and CSB. Cardiac influences include delayed circulation times and ventilatory instability attributable to poor left ventricular function contributing to CSA and CSB; conversely, SDB via its attendant hypoxia and sympathetic nervous system activation may exacerbate cardiac dysfunction.

The distributions of central and obstructive respiratory events may vary not only macrolongitudinally, but also during a single night due to differences in cardiac function and fluid balance. In particular, with recumbency, there is rostral fluid redistribution, resulting in upper airway edema, which contributes to a predisposition to obstructive events. However, pulmonary congestion also can provoke hyperventilation, $CO₂$ reduction below the apneic threshold, resulting in central respiratory events.

It is increasingly recognized that the pathophysiological bases for SDB are heterogeneous, with individuals differing in the extent to which underlying risk is mediated by anatomic risk factors and by several physiological risk factors, including low cortical arousal threshold, poor upper airway muscle responsiveness, increased collapsibility, and elevated loop gain (biomarker of ventilatory instability). There is active interest in deriving these novel metrics of SDB physiology, referred to as endotypes, from routine polysomnography and using them to direct personalized therapies.⁶ For example, individuals with high loop gain (common in heart failure) appear to respond well to supplemental oxygen. Additional metrics also have recently been developed to better characterize SDB subtypes associated with cardiac disease. For instance, higher heart rate response to discrete respiratory events predicts cardiovascular disease and incident heart failure⁷ and is being investigated as a predictor of AF.

SDB, SHARED CARDIAC ARRHYTHMIA RISK FACTORS, AND HEALTH INEQUITIES

SDB and AF share many risk factors, including increasing age, male sex, and obesity. Although not yet well studied, some of these factors also influence SDB subtypes that may result in differences in propensity to adverse outcomes and predict responsiveness to alternative treatments. An improved understanding of how phenotypic and genotypic differences influence SDB-related AF holds promise for informing personalized risk factor identification and treatment approaches.

Although the emerging aging and obesity epidemics contribute to the increasing prevalence of OSA and AF, observed associations remain even after these factors are accounted for.⁸ Obesity and SDB commonly coexist, often interact, and may have similar consequences; however, delineation of shared and unique pathways in AF remains unclear. The magnitude of association of SDB with AF (hazard ratio, 2.18 [95% CI, 1.34–3.54]) is greater than for obesity and AF (hazard ratio, 1.49 [95% CI, 1.67–1.87]), suggesting that SDB may be a stronger AF driver than obesity.⁹ OSA contributes to hypertension (an AF risk) through sympathetic nervous system excitation and vascular remodeling. Heart failure, often associated with CSA, shares overlapping, multidirectional relationships with SDB and cardiovascular risk and disease. Under these circumstances, cardiac arrhythmias can arise from comorbid risk attributable to SDB as a result of neurohumoral and hemodynamic alterations, as well as changes in sympathetic drive and cardiac structure that can affect underlying electrophysiology.

Recognition of health inequities and intersection with race and ethnicity in sleep disorder risk, screening, and diagnostic and therapeutic approaches such as anticoagulation use in cardiac arrhythmia10 carries high public health relevance and implications. Despite an elevated cardiovascular risk burden, racial and ethnic groups that have faced historic and systemic marginalization have a lower incidence of AF compared with White individuals.¹¹ However, people in those racial and ethnic groups with AF frequently experience longlasting and more frequent symptomatic AF episodes, less aggressive care, and higher stroke risk and mortality.¹¹

Anatomic OSA risk factors, including craniofacial morphology and patterns of adiposity, tend to vary by background ancestry, 12 which may influence endotypic features of SDB and the efficacy of specific OSA treatments. For example, Black women participants of the MESA (Multi-Ethnic Study of Atherosclerosis) have been shown to have a shorter duration of apneas and hypopneas, a marker of a low arousal threshold, and thus may tolerate continuous positive airway pressure (CPAP) less well but benefit from medications that increase arousal threshold.13 Whether shorter event duration modulates AF risk is not yet known. In addition, Black, Hispanic, and Chinese adults have a higher prevalence of short sleep duration, also an AF risk factor, compared with their White counterparts.¹⁴ Some data suggest that Black individuals with SDB are at greater risk for AF than White adults,¹⁵ potentially reflecting a longer duration of untreated SDB. These studies demonstrate the importance of considering potential racial and ethnic differences in SDB and its association with AF, including potentially modifiable environmental and social factors^{15a,15b} that

influence differences in SDB physiology and responsiveness to treatment and other sleep (eg, insufficient sleep duration) and general health (eg, diabetes, obesity) comorbidities, as well as marked differences in socioeconomic factors that influence access to and quality of care and overall health. Together, these considerations highlight the importance of addressing populations who have faced such marginalization and are at greatest need for prioritization of SDB prevention, identification, and management to target reduction of AF and associated morbidity.

AF: EPIDEMIOLOGY OF SDB AND SLEEP DISRUPTION

Community-based and clinical cohort studies of SDB and cardiac arrhythmia subtypes provide valuable insights into their interrelationships and the factors that influence their associations.

SDB, Sleep Disruption, and AF in Community-Based Studies

The estimated prevalence of OSA in patients with AF is higher relative to controls: 21% to 74% compared with 3% to 49%.16 Large-scale community-based cohorts indicate a strong and consistent association of nocturnal cardiac arrhythmias with SDB, that is, a nearly 5-fold higher odds of AF in moderate to severe SDB versus without after both matching and adjusting for confounding of obesity and underlying cardiovascular risk.¹⁷

CSA is strongly associated with 5.3- to 6.5-year excess risk of consequential AF even after accounting for self-report of heart failure.^{18,19} The lack of objective cardiac function measures in this study, however, limits the ability to assess causality. On the other hand, OSA (more than CSA) modifies sympathovagal balance, as demonstrated by ECG-based heart rate variability measures from polysomnography that are associated with an increased incidence of AF over 8.0 ± 2.6 years.²⁰ Findings support direct and indirect roles of central and obstructive SDB subtypes, respectively, and differential autonomic response profiles on long-term AF development. An overview of key observational studies characterizing SDB indices in relation to atrial arrhythmia is provided in Table 1 (Section 1).

SDB and AF in Clinical Cohort Studies

Nocturnal hypoxia is a particularly important risk factor for incident AF development in clinical SDB cohorts made up of patients with sleep-related symptoms. This may be explained by a higher prevalence of overnight hypoxia in clinic-based versus communitybased samples.⁸ In a report of 8256 subjects, after controlling for a range of confounders, including underlying pulmonary disease, nocturnal hypoxia (but not AHI) predicted incident AF (hazard ratio, 2.47 [95% CI, 1.64–3.71]).³⁰

Temporality of SDB Influences and AF

In patients with paroxysmal AF, SDB is more severe in those with a higher AF burden than in those with a lower AF burden (75% versus $43%$).³¹ Data from technologically advanced pacemakers capable of detecting both SDB and AF onset and burden provide support for a causal association between SDB and $AF³²$ In the VARIOSA-AF study (Variability of Sleep Apnea Severity and Risk of Atrial Fibrillation), nights with the highest SDB severity had

twice the likelihood of 1 hour of AF the following day compared with nights with the lowest SDB severity.³² Alternatively, AF episodes did not predict respiratory events. These studies characterize directionality (ie, SDB burden predicts AF occurrence) and strongly suggest a cause-effect relationship between SDB and AF.

Other Sleep Disturbances and AF

Beyond SDB, and often attributable to SDB, sleep curtailment and sleep architectural alterations may modulate arrhythmogenic risk. In a multicohort report, frequent nocturnal awakenings were associated with a 33% increased risk of AF over an 11.6-year median follow-up.33 Insomnia was associated with a 36% increased risk of incident AF in 14 million California residents over a median 3.9-year follow-up.³³ In the MESA (Multi-Ethnic Study of Atherosclerosis) cohort, shorter duration of slow wave sleep (N3) and lower sleep efficiency and arousal index were associated with AF^{34} A clinic-based study further identified short sleep duration as an important factor for incident AF.35 Moreover, healthy sleep (chronotype, sleep duration, insomnia, snoring, and daytime sleepiness composite) confers a lower risk of AF (comparing extreme categories: hazard ratio, 0.71 [95% CI, 0.64–0.80]) over an 11-year median follow-up.³⁶

AF: SDB PATHOPHYSIOLOGY

Day-night patterning of SDB-related pathophysiological sequelae involves interplay of autonomic fluctuations, intermittent hypoxia and hypercapnia, and alterations in intrathoracic and thus intracardiac pressures collectively operating to alter the structural and electrophysiological substrate, thus creating an ideal milieu for arrhythmogenic propensity.³⁷

Autonomic Nervous System Mechanisms

Evidence implicates autonomic effects as a key facilitator of cardiac arrhythmogenesis in SDB. Obstructive respiratory events lead to parasympathetic activation through the diving reflex immediately followed by a sympathetic surge arising from hypoxia, arousal, and inhibition of pulmonary stretch.³⁸ Increasing inspiratory effort against the collapsed pharynx during obstructive apneas further contributes to increased sympathetic nerve activity.³⁸ Apnea-induced vagal influences followed by sympathetic activation, the latter most marked at apneic termination, may trigger and, if repetitive, maintain AF. Inducibility of AF by OSA is attenuated by ablation of right pulmonary vein ganglionated plexi, combined pharmacological-neurohumoral blockade, autonomic modulation with renal sympathetic denervation, or low-level vagosympathetic trunk stimulation, thus providing consistent evidence of the role of the autonomic nervous system in SDB-induced AF.38 Autonomic nervous system mechanisms may operate through Ca/calmodulin–dependent protein kinase II–dependent phosphorylation of sodium channels to induce atrial arrhythmogenesis in SDB.16 Ca/calmodulin–dependent protein kinase II represents a potential mediator of cellular clock function and of coupling between morning and evening behavioral rhythms.¹⁶

Intermittent Hypoxia and Carbon Dioxide Fluctuations

Chronic intermittent hypoxia enhances AF vulnerability through atrial effective refractory period shortening (increases AF inducibility) with sensitivity to parasympathetic activation

and sympathetic potentiation.38 Intermittent deoxygenation-reoxygenation results in increases in reactive oxygen species, vascular inflammation, and rises in blood pressure, all of which contribute to myocardial damage and cardiac remodeling.38 Limited data suggest the role of $CO₂$ fluctuations in AF generation. Transition from hypercapnia to eucapnia around apneic events increases AF vulnerability through return of the atrial effective refractory period to baseline and persistence of atrial conduction time prolongation.39 Hypocapnia specific to CSA-CSB may increase arrhythmogenic propensity through increased electrical instability.⁴⁰

Intrathoracic Pressure Alterations

Obstructive apnea, caused by upper airway collapse, results in intrathoracic pressure swings, causing myocardial stretch and changes in intracardiac transmural pressure gradients.39 In healthy humans, intrathoracic pressure changes induced by a Mueller maneuver increase postganglionic sympathetic nerve activity by >200%, along with a 14% increase in mean blood pressure after apnea episodes.⁸ Intrathoracic pressure swings reproducibly induce transient shortening in the atrial effective refractory period and enhance AF inducibility by sympathovagal coactivation.³⁹

Circadian Rhythm Influences and Nyctohemeral (Day-Night) Patterning

The central circadian clock directly affects the electrophysiology of the heart and arrhythmogenesis through the autonomic nervous system, and the local cardiac clock may exert influence through ion channel expression, thus influencing the arrhythmic substrate.⁴¹ Although little is known about circadian contributions to the observed nocturnal predominance of arrhythmia in SDB, it is likely that factors driving arrhythmic vulnerability to hypoxia and sympathetic-vagal activation are modulated by central circadian mechanisms. These include regulating the timing and duration of rapid eye movement sleep, the sleep stage during which autonomic instability is most evident and when obstructive apneas are most likely to occur. There is strong evidence of clock gene regulation of atrial function, for example, the cardiac K^+ channels $Kv1.5$ and 4.2, and of key intermediary mechanisms linking SDB to arrhythmogenic mechanisms such as inflammation, ion channel expression, and cardiac autonomic activity.⁴²

Alteration of the Cardiac Substrate

Long-standing, untreated SDB may lead to progressive structural and electrical atrial remodeling, creating a dynamic substrate for progression and perpetuation of cardiac arr hythmias¹⁶ (Figure 1). Nocturnal AF paroxysms are temporally related to individual respiratory obstructive events in close proximity, suggesting that acute transient arrhythmogenic changes during apneas may contribute to alteration of the cardiac substrate.⁴³ High-frequency intermittent deoxygenation-reoxygenation and hypercapnia result in atrial conduction abnormalities arising from connexin dysregulation and increased fibrosis in the atria.44 Electrophysiological atrial mapping during AF ablation in OSA identifies a higher likelihood of low-voltage areas and abnormal electrograms in both atria and increased atrial fibrosis with reduced atrial conduction velocities, all indicative of OSAinduced structural and electrical remodeling.45 Overall, common mechanistic pathways of systemic inflammation and oxidative stress arising from SDB physiological effects,

and implicated in AF progression, may play a role in electrophysiological and structural cardiac remodeling.⁸ The epicardial fat secretome facilitates atrial substrate progression and myocardial fibrosis.46 Because OSA is associated with increased epicardial adipose tissue, likely through hypoxia-induced inflammatory remodeling of adipose depots, this represents a biologically plausible mechanism of atrial arrhythmogenesis.⁴⁷

APPROACH TO SCREENING AND EVALUATION OF SDB IN AF

It is critical that health care professionals and the public be aware of the role of SDB as a triggering event for cardiac arrhythmias and contributor to a substrate for AF maintenance and progression.45,46 The likelihood of detecting SDB is high in patients with relevant symptoms (eg, snoring, witnessed apneas), in those with difficult-to-treat or nondipping hypertension, and in people with AF, particularly persistent AF.⁴⁸ Identifying SDB is important to improve sleep satisfaction, sleep difficulties, and quality of life.

SDB occurs in 21% to 74% of people with AF, underscoring the importance of identifying this highly prevalent physiological risk stressor in AF.49 Symptom-based OSA screening questionnaires have limited predictive value in patients with AF, given the paucity of daytime sleepiness among people with AF.⁵ A validated OSA screening instrument for patients with paroxysmal AF holds promise. Specifically, incorporating NABS (Neck Circumference, Age, Body Mass Index, and Snoring) had improved discriminative ability (area under the receiver-operating curve, 0.82 [95% CI, 0.68–0.92]) compared with commonly used instruments such as STOP-BANG (Snoring, Tiredness, Observed Apnea, Pressure [High Blood Pressure], Body Mass Index, Age, Neck Circumference, and Gender, area under the receiver-operating curve, 0.73 [95% CI, $0.55-0.87$).⁵⁰ A recent study validated the oxygen desaturation index (frequency of oxygen desaturations) derived from simple overnight oximetry against the polysomnography-derived AHI as an accessible and reliable screening tool to rule out moderate to severe OSA (AHI ≥15; area under the receiver-operating curve, 0.95 [95% CI, 0.93–0.97]).⁵¹ No reliable CSA screening tools are available.

Although the gold standard for OSA diagnosis is in-laboratory polysomnography (particularly in those with comorbidity), it is resource intensive with limited accessibility compared with HSAT. Because OSA is the most common form of SDB in people with AF, HSAT may be sufficient to diagnose OSA in a large proportion of patients with AF. A prospective study comparing the diagnostic accuracy of a level 3 HSAT (recording of oximetry, heart rate, airflow, and respiratory effort) against polysomnography in an AF population validated HSAT for all levels of OSA severity with excellent diagnostic accuracy, thereby demonstrating its utility as a diagnostic tool in AF^{52} Inlaboratory polysomnography is generally recommended in those with cardiopulmonary and neurological comorbidities, particularly in people with AF with concomitant heart failure who are predisposed to mixed or predominant CSA or CSB or in patients who develop CSA during CPAP treatment (referred to as treatment-emergent CSA). Wearable mobile health devices measuring concomitant sleep and cardiac physiological parameters, some using artificial intelligence approaches for novel predictive analytics, are emerging and likely to have an increased role in the diagnostics and management of sleep disorders in AF.⁵³

Because intraindividual night-to-night variability of respiratory events and AHI is high,⁵⁴ repeat sleep testing should be performed if suspicion for SDB remains high or SDB cannot be fully excluded despite initial negative testing. Because synchronous heart rhythm monitoring is standard during polysomnography and sometimes used with HSATs, examining these data can help identify and validate sleep apnea events as a major triggering event of repetitive AF episodes. Alternatively, frequent nocturnal paroxysmal AF episodes should foster targeted investigation of SDB.³²

AF SECONDARY PREVENTION: TREATMENT OF SDB

Existing SDB studies have focused on secondary AF prevention with little to no data on primary AF prevention. In a historical study of Holter monitoring in patients with severe SDB, those with nocturnal arrhythmias, including AF, showed resolution of arrhythmias after treatment with tracheostomy.³¹

SDB is reported in 24% to >50% of those with AF undergoing catheter ablation and is associated with increased AF recurrence.55–57 Treatment of OSA with CPAP may mitigate the recurrence of AF. In a registry study of 10 132 patients with AF, CPAP treatment was associated with a reduced likelihood of progression to permanent AF in the sample with AF and OSA.58 Those with severe SDB were also less likely to respond to anti-arrhythmic drug therapy compared to those with milder degrees of SDB.⁵⁸ Accruing data support a reduction of AF recurrence after direct current cardioversion or pulmonary vein isolation in SDB with CPAP treatment.¹⁶ Those with untreated OSA have a higher likelihood of manifesting residual AF triggers after pulmonary vein antral isolation, with these triggers likely to be responsive to OSA-induced autonomic perturbations in promoting postablation development of AF.⁵⁹

Three meta-analyses have been conducted (sample size range, n=1087–3743), showing (1) consistent findings of OSA and greater risk of AF recurrence after catheter ablation, (2) use of CPAP resulting in a 42% reduction in AF recurrence, and (3) a 57% increased risk of AF recurrence in untreated OSA.55–57 Certain subgroups may realize more favorable outcomes; that is, meta-regression analysis supports the benefits of CPAP, which were more pronounced in younger, obese, and male patients.⁵⁶ Although findings are consistent, studies are limited by observational and retrospective design. A challenge with the interpretation is the healthy user effect, that is, those who are adherent to the use of CPAP may be adherent to other lifestyle behaviors. Furthermore, most studies suffer from a lack of clarity of the specific pathophysiological subtype of SDB represented in these cohorts, that is, OSA versus CSA, and a lack of detailed sleep endophenotyping to identify mechanistic risk factors.

Randomized controlled clinical trial data examining the effects of SDB treatment on AF are limited. The SAVE study (Sleep Apnea Cardiovascular Endpoints) with a primary composite cardiovascular end point (including AF) did not show a reduction in incident AF, although the study was underpowered and not designed to examine AF as a primary outcome.60 In the ARREST-AF study (Aggressive Risk Factor Reduction Study for Atrial Fibrillation) and LEGACY study (Long-Term Effect of Goal Directed Weight Management on Atrial Fibrillation Cohort: A 5 Year Follow-Up Study), an aggressive risk factor reduction

intervention targeting OSA in addition to weight management and lifestyle modification of cardiovascular risk factors significantly reduced AF burden after catheter ablation.^{61,62}

A small randomized controlled clinical trial (n=25 of 1757 screened) enrolling individuals with a range of OSA (AHI > 5) without excessive sleepiness and AF after cardioversion showed no benefit of CPAP in preventing AF recurrence.⁶³ In addition to the small sample size, AF recurrence was captured by 12-lead ECG versus continuous monitoring, which may have led to underappreciation of self-terminating asymptomatic AF episodes.63 Another randomized controlled clinical trial (n=108) enrolled those with moderate to severe SDB (AHI 15, mainly obstructive events) without high levels of daytime sleepiness (Epworth Sleepiness Scale score <15) with a left ventricular ejection fraction $\frac{45\%}{45}$ and body mass index 40 kg/m^2 . A CPAP run-in period and an implantable loop recorder were used. No difference in 3-month AF burden in those randomized to CPAP versus those randomized to supportive care was observed.⁶⁴ The AF burden in this study was lower than anticipated. Relative to the mean time of 34% projected in the power calculation, the mean time in AF at baseline versus the past 3 months was 5.6% and 4.1% in the CPAP group and 5.0% and 4.3% in the control group, respectively. Therefore, the ability to detect a 25% difference between the groups was limited.⁶⁴ The follow-up period also may have been too short to observe a significant CPAP treatment benefit, and the study design perhaps excluded patients more likely to benefit from CPAP.⁶⁴

Although compelling experimental and observational data support a strong magnitude of association of OSA and AF and improvement in AF outcomes with OSA treatment, clinical trials, albeit with limitations as outlined, have not borne out these results. Therefore, definitive conclusions as to whether OSA treatment improves AF outcomes remain unclear. Table 2 (Section 1) provides an overview of studies examining the impact of SDB treatment on AF.

AF and SDB Guideline Recommendations

European Society of Cardiology guideline recommendations⁷¹ suggest prudent treatment of comorbidities, including SDB, to improve outcomes in patients with AF and that it may be reasonable to implement CPAP treatment to reduce recurrent AF and to optimize AF treatment results72 (Table 3, Section 2).

VTAs AND SDB

VTAs and SDB Epidemiology

A 2-fold higher odds of nonsustained ventricular tachycardia and 50% increased odds of complex ventricular ectopy in SDB are observed in epidemiological studies, along with graded, monotonic increases in the prevalence of complex ventricular ectopy.⁸ The association of nocturnal hypoxia and increased SDB has been specifically identified in older men.⁸ The immediate influences of apneic and hypopneic events as triggers of nonsustained ventricular tachycardia episodes indicate acute on chronic effects.⁸⁰

A longitudinal study (n=10 701) with an average 5.3-year follow-up identified nocturnal hypoxia (mean nocturnal oxygen saturation and nadir nocturnal oxygen saturation) as an

independent risk factor for $SCD⁸¹$ In CSA, including CSB, increases in ventricular ectopy occur during the hyperpneic phase, when chemostimulation, blood pressure, and heart rate reach their peak, rather than the apneic phase.³¹ In heart failure, both OSA and CSA are predictors of sleep-specific lethal VTA.³¹

Increased degree of CSB (>20% of the recording time) was associated with higher frequency of VTA ($>$ 30 premature ventricular complexes per hour), particularly during sleep, 82 in patients with heart failure with reduced ejection fraction (left ventricular ejection fraction ≤45%) in the SERVE-HF trial (Adaptive Servo-Ventilation for Central Sleep Apnea in Systolic Heart Failure).⁶⁰ However, the role of VTA on the adaptive servoventilation–related increased risk of cardiovascular death treated for predominant CSA is unclear.⁸²

Case-crossover studies implicate respiratory events and periodic limb movements associated with electroencephalographic microarousals as immediate temporal triggers for VTA.^{43,83} Moreover, a nocturnal predilection of SCD is noted with OSA.⁸⁰ Aligned with these observational studies, small clinical trials support a reduction in VTA burden with OSA treatment, particularly in heart failure.³¹ However, larger trials are needed to justify benefit of OSA treatment. An overview of key observational studies characterizing SDB indices in relation to VTA/SCD is provided in Table 1 (Section 2), and Table 2 (Section 2) provides an overview of studies examining the impact of SDB treatment on VTA.

VTAs and SDB Pathophysiology

Impaired baroreflex mechanisms in SDB appear to increase VTA and SCD through increased sympathetic activation and parasympathetic withdrawal.84 Hypoxia and acidosis result in early afterdepolarizations and triggered activity, which can lead to increased VTA.⁸ Acute upper airway obstruction results in transient dynamic QT interval prolongation, contributing to electromechanical window shortening and increased ventricular ectopy likely through alterations in intrathoracic pressures. 85 Because most SDB occurs during the night, factors that influence circadian rhythms may modify risk for VTA. Molecular pathways governing the endogenous circadian rhythm and enhancing vulnerability to VTA have been described; that is, deficiency or gain of function of Krüppel-like factor 15 (Klf15) results in loss of rhythmic QT variation and abnormal repolarization and thereby increases risk for VTA and SCD.⁸⁶

VTAs and SDB Guideline Recommendations

A Class IIb recommendation from the European Society of Cardiology guidelines indicates that the presence of sleep apnea and hypoxia may be considered a risk factor for SCD in those with SDB.78 It is recommended that the presence of OSA should be included in risk stratification for SCD.78 Moreover, it is stated that there is no evidence suggesting a deviation from the standard management of VTA in patients with SDB and that the value of CPAP for VTA and SCD prevention is still undefined⁷⁸ (Table 3, Section 3).

BRADYARRHYTHMIAS AND SDB

Bradyarrhythmia and SDB Epidemiology

The most common cardiac arrhythmias during sleep and in SDB are bradyarrhythmias, including sinus bradycardia, sinus pauses, and first-degree and Mobitz I second-degree atrioventricular block. The prevalence of profound nocturnal sinus bradycardia in SDB is 7.2% to 40%, of second-or third-degree atrioventricular block is 1.3% to 13.3%, and of sinus pauses is 3.3% to 33%.79 Bradyarrhythmias have long been observed in OSA with resolution after tracheostomy.⁷⁹ Epidemiological data indicate a higher percentage of atrioventricular block (first and second degree: 1.8% versus 0.3% and 2.2% versus 0.9%, respectively) in severe OSA, but without statistically significant differences, perhaps attributable to competing risk factors or mitigated autonomic response in this older cohort.⁸¹

Limited data from a small cohort with severe OSA and 50% prevalence of severe nocturnal bradycardic episodes support long-term benefit of OSA treatment with CPAP on the reduction of median number of bradycardic events based on insertable loop recorder monitoring over a 16-month follow-up period.⁸¹

An overview of key observational studies characterizing SDB indices in relation to bradyarrhythmia is provided in Table 1 (Section 3), and Table 2 (Section 3) provides an overview of studies examining the impact of SDB treatment on bradyarrhythmia.

Bradyarrhythmia and SDB Pathophysiology

Prolonged apneas and accompanying hypoxia result in enhanced parasympathetic tone that becomes more pronounced during rapid eye movement sleep, a sleep state associated with further enhancement of parasympathetic influences.⁸⁴ Absence of ventilation during apneic events results in vagotonic hypoxic stimulation of the carotid body, resulting in bradycardia.87 Interindividual variability of susceptibility to bradyarrhythmia likely depends on the severity of hypoxia, inherent hypoxic chemosensitivity, and hypoxic influences on the sinoatrial node.⁸⁷

Bradyarrhythmia and SDB Guideline Recommendations

The European Society of Cardiology guidelines recommend that SDB should be considered in the differential diagnosis of bradyarrhythmias.⁷⁸ American Heart Association Class I recommendations include the following: (1) Patients with documented or suspected bradycardia or conduction disorder during sleep should be screened for SDB; and (2) patients with sleep-related bradycardia or conduction disorder and documented SDB should undergo treatment of SDB.⁷⁹ In addition, in patients who have previously received or are being considered for a permanent pacemaker for bradycardia or conduction disorder, SDB screening is reasonable⁷⁹ (Table 3, Section 4).

STEPWISE CLINICAL CARE MODELS OF INTEGRATION OF SDB RISK IN CARDIAC ARRHYTHMIA MANAGEMENT

Integrated health care delivery models are needed to provide SDB screening, diagnostics, and management in cardiac arrhythmias. This requires close interdisciplinary collaborations between electrophysiologists and sleep medicine specialists using patient-centric, teambased approaches inclusive of clinicians and sleep technologists. Effective care approaches require active engagement of the patient with shared medical decision-making, a multidisciplinary team approach, technology to support integrated care, a comprehensive approach to lifestyle modification, and anticoagulation and rate and rhythm control as indicated⁸⁸ (Figure 2).

SUMMARY, GAPS, AND FUTURE DIRECTIONS

The interplay of SDB, sleep disorders, and cardiac arrhythmia is complex and linked through multifactorial mechanisms. Strong preclinical data implicate SDB-induced autonomic responses; however, repetitive episodes of hypoxia, intrathoracic pressure alterations, and increased systemic inflammation and free radicals also play a role in adverse cardiac remodeling over time, thereby enhancing arrhythmogenic risk. Observational data support strong associations of SDB and arrhythmia, as well as immediate and long-term temporal, graded monotonic relationships.

Treatment of SDB in many observational studies is associated with improved AF outcomes. However, these findings have not been confirmed in randomized controlled clinical trials, although trials to date have been limited by modest sample size, inadequate power, short duration of follow-up, or patient selection. Sleep quality, sleep duration, sleep disruptions, and SDB each may be important in the pathogenesis of AF, potentially representing a novel target for preventing occurrence, recurrence, and progression of AF. International societies suggest that it is reasonable to conduct OSA screening in patients with AF in those with risk factors to ensure optimization of AF treatment strategies. Effective approaches to screen for SDB in AF are recommended; however, ideal strategies remain unclear. Society recommendations also support consideration of SDB as a risk for VTA and bradyarrhythmias (Table 3).

Epidemiological studies integrating detailed cardiac function and measures of visceral adiposity are needed to better discern the interrelationships of SDB, other sleep disorders, and metabolic mechanisms that contribute to cardiac arrhythmia. More effective screening strategies and diagnostic paradigms that enable patients with cardiac arrhythmias to be evaluated for underlying mechanistic features that influence responsiveness to treatment and risk for arrhythmias are needed to better direct the right treatment to the right patient. Understanding the heterogeneity of SDB pathophysiological contributions (eg, extent of hypoxia burden, autonomic responses) and the trajectory of arrhythmia development over time is essential to inform risk stratification and clinical trials. Better diagnostic approaches for distinguishing obstructive from central apneas, including methods that can be used over time to understand longitudinal trends, are needed to inform our understanding of which phenotypes are the most appropriate targets for improving cardiac arrhythmia outcomes.

With little innate biological or genetic basis, race and ethnicity are social constructs shaped by the sociopolitical and economic societal forces that can manifest into biological consequences. Racial and ethnic inequities in the epidemiology of AF and its association with various sleep dimensions warrant additional research, for example, facilitating earlier recognition/diagnosis and management of SDB in disadvantaged populations. Sex-specific differences in clinical presentation of SDB and tailoring of diagnostic strategies given recognized sex-specific differences in respiratory event arousal thresholds, for example, also deserve further study. Leverage of mobile health innovations and integrated models of care to facilitate SDB diagnosis in relation to arrhythmia burden is a priority area for investigation.

Last, an important gap that is of high priority is the need for adequately powered, rigorously conducted clinical trials involving CPAP and newer interventions (eg, neurostimulation therapies, supplemental oxygen, drugs) to treat SDB in patients with cardiac arrhythmias. The use of methods for optimizing treatment adherence, as well as adaptive and enrichment designs, may be particularly useful given the likelihood that treatment responses differ across people. Because large multicenter clinical trials to effectively understand SDB interventions are not imminent, large administrative databases and claims data may offer interim useful insights. Critical to the success of these clinical trials is enhanced phenotyping to facilitate the inclusion of individuals most likely to benefit and respond to therapy to improve cardiac arrhythmia outcomes. Innovative study designs leveraging existing data for propensity-matched analyses and clinical trials using crossover or adaptive designs should be carefully considered.

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Figure 1. Multilayered pathophysiology and temporality of sleep-disordered breathing and cardiac arrhythmia.

Immediate, subacute, and chronic sleep-disordered breathing (SDB) pathophysiology contributing to cardiac arrhythmogenesis. SDB includes obstructive sleep apnea (OSA) characterized by upper airway collapse and central sleep apnea (CSA) with abnormalities in hypoxic ventilatory mechanisms (carotid chemoreceptors) and carbon dioxide chemosensitivity (medullary chemoreception). Immediate SDB effects include autonomic nervous system fluctuations, repetitive intermittent hypoxia and carbon dioxide alterations, intrathoracic pressure alterations, and circadian variability. Acute and subacute SDB

influences over days to weeks lead to repetitive direct cardiac mechanical influences, resulting in atrial distention, increased left ventricular (LV) pressure and transmural gradient, and increased venous return, as well as electrophysiological alterations, including reduced atrial effective refractory period (AERP), dynamic QT prolongation, electromechanical window (EMW) shortening, increased delayed afterdepolarizations (DADs), and early afterdepolarizations (EADs), as well as increased systemic inflammation and oxidative stress. Chronic SDB influences include cardiac structural and electrophysiological remodeling, with data supporting Ca/calmodulin–dependent protein kinase II (CaMKII)– dependent phosphorylation, connexin dysregulation, increased fibrosis, and a potential role of metabolic dysregulation and epicardial fat secretome. Over time, with increasing age, these SDB-induced pathophysiological effects on the cardiac substrate enhance arrhythmia vulnerability. LA indicates left atrial. Reprinted with permission from the Cleveland Clinic Center for Medical Art & Photography. Copyright © 2022. All rights reserved.

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Figure 2. Patient-centric, integrated stepped care model of SDB and cardiac arrhythmia.

A multidisciplinary team–based care approach in a patient-centered model leveraging technology to support sleep-disordered breathing (SDB) diagnostics and management in people with cardiac arrhythmia incorporating the following steps can be considered: initiation of (1) guideline-directed therapy, (2) SDB screening, (3) SDB diagnostics as indicated, (4) SDB treatment as indicated, and (5) cardiac arrhythmia risk factor and SDB management with the goal of follow-up to reduced cardiac arrhythmia–related morbidity and maintain sinus rhythm in atrial fibrillation (AF), as well as (6) teaching and self-care

support. App indicates application; CSA, central sleep apnea; EMR, electronic medical record; HSAT, home sleep apnea testing; NABS, Neck Circumference/Age/Body Mass Index/Snoring; NC, neck circumference; OSA, obstructive sleep apnea; PAP, positive airway pressure; PSG, polysomnogram; STOP-BANG, Snoring/Tiredness/Observed Apnea/ Pressure (High Blood Pressure)/Body Mass Index/Age/Neck Circumference/Gender; and VTA, ventricular tachyarrhythmia. *Please refer to Table 3 for reference to sources for guideline recommendations for cardiac arrhythmia management. Reprinted with permission from the Cleveland Clinic Center for Medical Art & Photography. Copyright © 2022. All rights reserved.

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AF indicates atrial fibrillation; AHI, apnea-hypopnea index; AMI, acute myocardial infarction; CSA, central sleep apnea; CSB, Cheyne-Stokes breathing; HR, hazard ratio; NSVT, nonsustained ventricular tachycardia; OR, odds AF indicates atrial fibrillation; AHI, apnea-hypopnea index; AMI, acute myocardial infarction; CSA, central sleep apnea; CSB, Cheyne-Stokes breathing; HR, hazard ratio; NSVT, nonsustained ventricular tachycardia; OR, odds ratio; OSA, obstructive sleep apnea; SA, sleep apnea; Sao2, oxygen saturation <90%; SAS, sleep apnea syndrome; SCD, sudden cardiac death; and SDB, sleep-disordered breathing.

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Table 2.

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AF indicates atrial fibrillation; AHI, apnea-hypopnea index; CPAP, continuous positive airway pressure; ESS, Epworth Sleepiness Scale; HF, heart failure; nCPAP, nasal continuous positive airway pressure;
NT-proBNP, N-termi NT-proBNP, N-terminal pro-B-type natriuretic peptide; OSA, obstructive sleep apnea; OSAS, obstructive sleep apnea syndrome; PAP, positive airway pressure; PVC, premature ventricular contraction; PVI, AF indicates atrial fibrillation; AHI, apnea-hypopnea index; CPAP, continuous positive airway pressure; ESS, Epworth Sleepiness Scale; HF, heart failure; nCPAP, nasal continuous positive airway pressure; pulmonary vein isolation; SA, sleep apnea; SDB, sleep-disordered breathing; VPBs, ventricular premature beats; and VTA, ventricular tachyarrhythmia.

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Table 3.

Overall Knowledge Gaps, Existing Guideline Recommendations, and Current Document Consensus Statements of SDB by Cardiac Arrhythmia Subtype Overall Knowledge Gaps, Existing Guideline Recommendations, and Current Document Consensus Statements of SDB by Cardiac Arrhythmia Subtype

sleep apnea; SCD, sudden cardiac death; SDB, sleep-disordered breathing; and VTA, ventricular tachyarrhythmia.