

# Evaluation of pre-diagnostic blood protein measurements for predicting survival after lung cancer diagnosis



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## Summary

**Background** To evaluate whether circulating proteins are associated with survival after lung cancer diagnosis, and whether they can improve prediction of prognosis.

**Methods** We measured up to 1159 proteins in blood samples from 708 participants in 6 cohorts. Samples were collected within 3 years prior to lung cancer diagnosis. We used Cox proportional hazards models to identify proteins associated with overall mortality after lung cancer diagnosis. To evaluate model performance, we used a round-robin approach in which models were fit in 5 cohorts and evaluated in the 6th cohort. Specifically, we fit a model including 5 proteins and clinical parameters and compared its performance with clinical parameters only.

**Findings** There were 86 proteins nominally associated with mortality ( $p < 0.05$ ), but only CDCP1 remained statistically significant after accounting for multiple testing (hazard ratio per standard deviation: 1.19, 95% CI: 1.10–1.30, unadjusted  $p = 0.00004$ ). The external C-index for the protein-based model was 0.63 (95% CI: 0.61–0.66), compared with 0.62 (95% CI: 0.59–0.64) for the model with clinical parameters only. Inclusion of proteins did not provide a statistically significant improvement in discrimination (C-index difference: 0.015, 95% CI: –0.003 to 0.035).

**Interpretation** Blood proteins measured within 3 years prior to lung cancer diagnosis were not strongly associated with lung cancer survival, nor did they importantly improve prediction of prognosis beyond clinical information.

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**Keywords:** Lung cancer; Lung cancer survival; Protein biomarkers; Lung cancer prognosis

### Research in context

#### Evidence before this study

The use of blood-based biomarkers is often proposed to improve prediction of survival among lung cancer patients. However, because of inadequate study designs, measurement techniques, and interpretation of the data, most of the proposed biomarkers for predicting lung cancer prognosis have proven unsuccessful in independent validation studies.

#### Added value of this study

We used data from the INTEGRAL program to perform a broad scan of protein biomarkers measured up to three years before diagnosis for lung cancer survival. Surprisingly, we did not identify strong and consistent associations between

circulating proteins and lung cancer survival, nor any improvement in the prediction of prognosis beyond standard clinical information (difference in C-index: 0.015, 95% CI: -0.003 to 0.035). Only CDCP1 showed a stable association with survival, but with a weak effect size.

#### Implications of all the available evidence

Protein biomarkers did not improve prediction of lung cancer prognosis beyond standard clinical information. Future biomarker studies for lung cancer survival should prioritize the use of blood samples collected from well-characterized newly diagnosed lung cancer cases and apply rigorous study designs with separate development and validation.

## Introduction

Lung cancer is the leading cause of cancer-related death worldwide<sup>1</sup> with 5-year survival less than 20% in most countries.<sup>2</sup> Predicted prognosis is an important factor in clinical decision-making and communication with the patient.<sup>3</sup> The primary information used to predict prognosis is clinical stage, along with age and performance status.<sup>4</sup> Five-year survival ranges from 60 to 90% in stage I lung cancer to around 10% for stage IV patients.<sup>5,6</sup> However, there is considerable heterogeneity in outcomes within each stage group, and better prognostic tools are needed.<sup>7-9</sup>

A potential approach to improve prediction of lung cancer survival is to use blood-based biomarkers such as proteins, mRNAs, epigenetic alterations, and circulating molecules.<sup>10-13</sup> However, most proposed biomarkers for predicting lung cancer prognosis have proven unsuccessful in independent validation studies due to inadequate study designs, measurement techniques, and interpretation of the data.<sup>14</sup> For example, in the late 1990s, several studies reported that high levels of circulating vascular endothelial growth factor (VEGF) were associated with poorer survival for patients with lung cancer; however, the sample matrix (plasma or serum) and assay sensitivities differed across the studies, which affected the estimation of the magnitude of the association.<sup>15,16</sup> Later studies suggested that VEGF may not be an independent prognostic factor for lung cancer when controlling for clinical factors.<sup>17,18</sup>

We previously launched large-scale proteomics analyses within the integrative Analysis of Lung Cancer

Etiology and Risk (INTEGRAL) program, with the primary goal of identifying protein markers for early lung cancer detection. We measured over 1000 proteins among more than 700 pairs of lung cancer cases and controls selected from people who currently or formerly smoked in 6 prospective cohorts worldwide.<sup>19</sup> Blood samples were pre-diagnostic, collected up to 3 years before lung cancer diagnosis. The study identified 36 circulating proteins as robustly associated with imminent lung cancer diagnosis, including CEACAM5, MUC-16, MMP12, WFDC2, and CDCP1.<sup>20</sup>

In the current study, we re-analysed the INTEGRAL data with the aim to identify and characterize potential protein biomarkers for survival after lung cancer diagnosis. We carried out a broad scan of proteins, then combined a small number of markers into a prediction algorithm and evaluated whether it improved prediction of lung cancer prognosis beyond available clinical information. Importantly, we leveraged the large size of the INTEGRAL study to build independent validation directly into our analytic design.

## Methods

### Study design and sample

#### Ethics

This study was conducted in the context of the INTEGRAL project, which was previously described by Robbins et al.<sup>19</sup> and approved by the Ethics Committee of the International Agency for Research on Cancer. The

ethics approval title was “Biomarkers of lung cancer risk (LC3)” (No. 11–13). Informed consent from all participants was obtained in each cohort.

In brief, INTEGRAL is an ongoing research effort to develop and validate a protein panel for early detection of lung cancer among current and former smokers. In an initial full discovery phase, 1161 proteins were measured on nested case-control pairs from 2 cohorts. Subsequently, a targeted discovery phase re-measured 392–484 proteins on an additional 4 cohorts. A later validation phase will evaluate the custom panel in additional cohorts.

In this study, to investigate potential biomarkers for lung cancer survival, we analysed data from participants diagnosed with lung cancer from the full and targeted discovery phases of INTEGRAL. Included participants were those who developed incident lung cancer (ICD code: C34) during the follow-up and had their blood sample drawn within 3 years prior to diagnosis. Participants were excluded if lung cancer was identified at death, or no protein measurements were available. We therefore included 708 participants with lung cancer from the Cancer Prevention Study II (CPS-II, USA,  $n = 115$ ), the Trøndelag Health Study (HUNT, Norway,  $n = 154$ ), the Melbourne Collaborative Cohort Study (MCCS, Australia,  $n = 105$ ), the Singapore Chinese Health Study (SCHS, Singapore,  $n = 88$ ), the European Investigation into Cancer and Nutrition (EPIC, Europe,  $n = 183$ ), and the Northern Sweden Health and Disease Study (NSHDS, Sweden,  $n = 63$ ). EPIC and NSHDS comprised the full discovery phase, while CPS-II, HUNT, MCCS, and SCHS comprised the targeted discovery phase. Details regarding each of these cohorts, including inclusion and exclusion criteria, recruitment strategies, and time period of enrolment, are provided in Robbins et al.<sup>19</sup>

We assessed all-cause mortality (rather than lung cancer specific mortality) as the primary outcome due to the likelihood of differences in cause-of-death ascertainment across the 6 included cohorts. This is a reasonable approach because the vast majority of deaths among individuals with lung cancer are caused by lung cancer. Nevertheless, in a sensitivity analysis conducted in 4 cohorts with cause-of-death information (EPIC, CPS-II, MCCS, and SCHS), we re-analysed protein associations while using lung cancer specific mortality as the outcome.

### Proteomics assays

We used the Olink proteomics platform (Olink, Uppsala, Sweden) to measure circulating proteins. The Olink platform provides high-throughput semi-quantitative concentration measurements of annotated proteins. Proteins were measured in 14 panels categorized by biological function including inflammation, immuno-oncology, cell regulation, immune response, metabolism, etc. Additional details are provided in Robbins et al.<sup>19</sup> Protein measurements are expressed as normalized protein expression

(NPX) values which are log-base-2 transformed.<sup>19</sup> We replaced protein values below the limit of detection (LOD) with the LOD divided by the square root of 2 and rescaled each protein to have a mean of 0 and a standard deviation (SD) of 1 within each cohort. We excluded 2 of the 1161 proteins which were not measured in EPIC and NSHDS (ADGRB3 and LTBP3) and performed an initial overall scan of 1159 proteins, including 678 measured only in EPIC and NSHDS and 481 measured in at least 5 cohorts (including EPIC and NSHDS).

To develop and validate a survival prediction model, we considered the 299 proteins measured in all 6 cohorts and missing in less than 10% of participants as the candidate proteins. We imputed missing protein values as the mean value within each cohort. IL-24 was missing for 20 participants, while each of the other proteins was missing in 2 or fewer participants.

### Statistical analysis

For survival analysis, the time origin was the date of lung cancer diagnosis, and the time metric was time since diagnosis. Participants entered on the date of diagnosis and exited at the first of death, end of registry follow-up, or 5 years. We truncated follow-up at 5 years, because survival after 5 years was highly heterogeneous across the cohorts and could be subject to differences in ascertainment. Throughout analyses, we visually confirmed linear associations with continuous variables, and confirmed that the proportional hazards assumption for Cox models was fulfilled for the key variables of interest. We used the Kaplan–Meier method to estimate the overall probability of survival at 1, 3, and 5 years and its 95% confidence interval (CI) by treating death as the event of interest. We also used the Kaplan–Meier method to estimate the median follow-up time and its IQR (25%–75%) range by treating alive as the event, and death as censoring.

### Overall scan of 1159 protein markers for lung cancer survival

We first evaluated the association between each protein and overall mortality after lung cancer diagnosis using Cox proportional hazards models with adjustment for age at diagnosis, sex, year of blood draw, cohort, and smoking status. To account for differences across participants in lead time between blood draw and diagnosis, we additionally adjusted for lead time. We accounted for multiple testing using effective-number-of-tests (ENT) statistical significance. The ENT method accounts for multiple testing by applying a Bonferroni correction, but determines the number of independent tests as the number of principal components needed to explain 95% of the variance in protein abundance.<sup>21</sup>

### Prediction model development and validation

To examine the potential predictive utility of proteins for lung cancer survival, we used a round-robin, leave-one-cohort-out method. Six times, each time omitting 1 cohort, we used 5 cohorts to develop a protein-based model, a

clinical model, and an integrated (protein + clinical) model to predict 5-year survival after lung cancer diagnosis. Then, each time, we validated the models in the omitted cohort.

Specifically, in the development sets (5 cohorts), we first selected proteins for the protein-based model. Among the 299 proteins measured in all 6 cohorts, we applied LASSO Cox proportional hazards models (“glmnet” package in R version 4.0.4) adjusted for age at diagnosis, sex, year of blood draw, cohort, smoking status, and lead time between blood draw and diagnosis. In each set we set the shrinkage parameter so that 5 proteins were selected. Using the selected proteins, we fit the protein-based model as a Cox proportional hazards model with adjustment for year of blood draw, cohort, and lead time. We separately fit the clinical model using age, sex, smoking status (former and current), TNM stage (I–II, III, IV, and missing), histology (adenocarcinoma, small cell carcinoma, squamous cell carcinoma, other/missing), year of blood draw, and cohort. We fit the integrated (protein + clinical) model by combining all parameters included in either model.

For each leave-one-cohort-out set, we internally validated the 3 models in the 5 development cohorts using 500 bootstrap samples to correct for optimism. Then, we externally validated the 3 models in the omitted cohort. Finally, we pooled the predicted risks from external validation across all 6 cohorts to obtain one summarized C-index for each model. We used Harrell’s C-index in the “survival” package to evaluate model discrimination and created calibration plots using the “rms” package, including observed and bias-corrected estimates of predicted vs. observed survival. We used a bootstrap with 1000 iterations to estimate a confidence interval for the difference in C-indices between different models.

#### Sample size

The sample size was 708 participants, and 587 (83%) events accumulated during 5 years of follow-up. Assuming an ENT p-value threshold of 0.0005, this sample size provides at least 80% power to identify protein markers with a hazard ratio (per 1-SD increment) above 1.20.<sup>22</sup>

#### Sensitivity analyses

For comparison with the primary analysis, which included a simple adjustment for lead time between blood draw and diagnosis, we also fit models with an interaction term between lead time and the protein measures. This makes the protein effect interpretable as the effect expected if measured at diagnosis. As a second approach, we restricted the analysis to participants whose blood sample was collected less than 1 year prior to lung cancer diagnosis. Finally, as mentioned above, we estimated protein associations using lung cancer specific mortality as the outcome after restricting to the 4 cohorts with cause-of-death information.

#### Role of funders

The funders had no role in study design, data analysis, data interpretation, or writing of this report.

## Results

Among the 708 current and former smoking participants in our study, 54% smoked at the time of blood draw, 33% self-reported as female, and the mean age at lung cancer diagnosis was 66 years (SD 9.1 years) (Table 1). Information on TNM stage was unavailable for 54% of participants, due largely to the MCCC cohort (75% missing) and SCHS cohort (100% missing). Among participants with known stage, 76% were diagnosed at stage III–IV and 24% at stage I–II. Adenocarcinoma was the most common histological subtype (35%), followed by squamous cell carcinoma (22%). The lead time between blood draw and diagnosis was less than 1 year for 31% of cases.

There were 587 deaths over 5 years and 652 deaths over the full follow-up (Table 2). The median follow-up time, when disregarding deaths and not truncating follow-up at 5 years, was 14.3 years (interquartile range (IQR) = 14.0–14.6 years). Overall survival of participants with lung cancer was 44% (95% CI: 41–48%) at 1 year, 22% (95% CI: 19–25%) at 3 years, and 17% (95% CI: 14–20%) at 5 years. Across the 6 cohorts, survival was highest in CPS-II (USA) (28%, 95% CI: 21–37%) and lowest in SCHS (Singapore) (2%, 95% CI: 0.6–9%). Including the truncation of follow-up at 5 years, 17% (121/708) of participants were censored, including 98% (119/121) who were censored because they reached 5 years and 2% (2/121) who were censored earlier because they reached the end of mortality registry follow-up.

#### Overall scan of protein markers for lung cancer survival

When analysing all participants by pooling data across the cohorts, among the 1159 proteins analysed, 86 proteins were nominally significantly associated with overall mortality in participants with lung cancer ( $p < 0.05$ ) (Fig. 1). However, after accounting for multiple testing, only CDCP1 remained statistically significant (hazard ratio [HR] per standard deviation increase = 1.19, 95% CI: 1.10–1.30, unadjusted  $p = 0.00004$ ). Overall, the hazard ratios per standard deviation increase in protein measurements were modest, ranging from 0.8 to 1.3 across all proteins.

Table 3 shows HRs for the 18 proteins with p-values less than 0.005, an arbitrary threshold set for descriptive purposes only. It also compares the results obtained in the primary analysis, with simple adjustment for lead time (continuous, ranging from 0 to 3 years), vs. the sensitivity analysis which includes an interaction between the protein measurement and lead time. Addition of the interaction term, which makes the HR for each

	Overall	EPIC	NSHDS	MCCS	CPS-II	HUNT	SCHS
Lung cancer cases (N)	708	183	63	105	115	154	88
Location		Europe	Sweden	Australia	USA	Norway	Singapore
Years of blood draw		1991–2002	1988–2016	1990–1994 2003–2007	1998–2001	1995–1997 2006–2008	1994–2005
Age at diagnosis, years (mean ± SD)	66 (9.1)	61 (8.7)	59 (5.8)	68 (7.5)	72 (5.0)	68 (9.6)	70 (6.5)
Current (vs. former) smokers	381 (54%)	124 (68%)	38 (60%)	41 (39%)	21 (18%)	96 (62%)	61 (69%)
Females (vs. males)	234 (33%)	59 (32%)	31 (49%)	33 (31%)	42 (37%)	58 (38%)	10 (11%)
Time between blood draw and diagnosis							
<1 year	217 (31%)	53 (29%)	15 (24%)	40 (38%)	30 (26%)	53 (34%)	26 (30%)
1–1.9 years	229 (32%)	54 (29%)	20 (32%)	35 (33%)	45 (39%)	47 (31%)	28 (32%)
2–3 years	262 (37%)	76 (42%)	28 (44%)	30 (29%)	40 (35%)	54 (35%)	34 (38%)
Lung cancer stage <sup>a</sup>							
I–II	78 (24%)	18 (28%)	12 (24%)	11 (41%)	19 (18%)	18 (23%)	–
III–IV	250 (76%)	47 (72%)	37 (76%)	16 (59%)	89 (82%)	61 (77%)	–
Unknown/missing	380	118	14	78	7	75	88
Histology							
Adenocarcinoma	238 (35%)	56 (31%)	23 (47%)	47 (45%)	38 (33%)	51 (34%)	23 (29%)
Small cell carcinoma	114 (17%)	35 (19%)	6 (12%)	20 (19%)	16 (14%)	23 (15%)	14 (18%)
Squamous cell carcinoma	149 (22%)	33 (18%)	13 (27%)	19 (18%)	27 (23%)	34 (23%)	23 (29%)
Other/NOS	179 (26%)	58 (32%)	7 (14%)	19 (18%)	34 (30%)	41 (28%)	20 (25%)
Missing	28	1	14	0	0	5	8

EPIC: European Investigation into Cancer and Nutrition; NSHDS: Northern Sweden Health and Disease Study; MCCS: Melbourne Collaborative Cohort Study; CPS-II: Cancer Prevention Study II; HUNT: Trøndelag Health Study; SCHS: Singapore Chinese Health Study; SD: standard deviation; NOS: not otherwise specified. <sup>a</sup>For CPS-II, stage used SEER categories. We classified localized as stage I–II, regional as stage III, and distant/systemic as stage IV.

**Table 1: Characteristics of 708 participants with lung cancer from cohort studies in Europe, North America, Asia, and Australia.**

protein interpretable as the predicted HR if the protein had been measured at time of diagnosis, tended to move HRs farther from the null compared with the main analysis. For CDCP1, for example, the HR increased from 1.19 in the main analysis to 1.34 with the interaction term.

Among the 18 proteins with  $p < 0.005$ , there was no evidence for heterogeneity in associations across participants from the 6 cohorts, with the possible exception of GPA33 ( $p_{\text{heterogeneity}} = 0.02$ ) (Fig. 2). Similarly, there was no evidence for heterogeneity in associations by TNM stage or histological type (Supplementary Table S1).

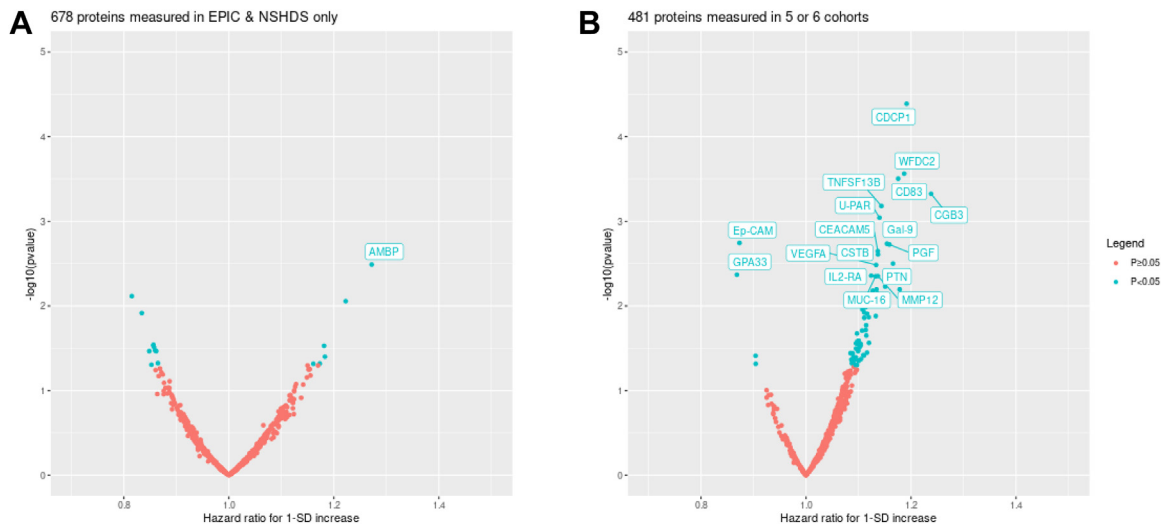
### Development and validation of prediction models for lung cancer survival

We applied LASSO Cox regression in each of the 6 leave-one-cohort-out sets, each time to select 5 proteins for prediction of mortality after lung cancer diagnosis (Table 4). Across the 6 sets, CDCP1 was always selected, Ep-CAM and TNFSF13B were selected 5 times, U-PAR was selected 4 times, CD83 and WFDC2 were selected twice, and TNFRSF6B, IFN-gamma, MUC-16, CEA-CAM5, ERBB4, CCL25, and IL-12B were each selected once. Although the selected proteins were different across the 6 sets, they showed similar internal C-indices, ranging from 0.60 to 0.63 (Supplementary Table S2). In

	Overall	EPIC	NSHDS	MCCS	CPS-II	HUNT	SCHS
Number of cases	708	183	63	105	115	154	88
Median follow-up years (IQR) <sup>a</sup>	14.3 (14.0–14.6)	13.8 (12.6–14.0)	14.0 (13.3–17.0)	8.3 (7.7–NA)	15.5 (15.2–16.0)	11.2 (11.0–12.1)	NA
Number of deaths throughout follow-up (%)	652 (92)	162 (89)	53 (84)	98 (93)	107 (93)	144 (94)	88 (100)
Number of deaths within 5 years (%)	587 (83)	155 (85)	48 (76)	87 (83)	82 (71)	130 (84)	85 (97)
Probability of survival at 1 year (%; 95% CI)	44 (41–48)	48 (41–55)	44 (34–59)	44 (35–54)	60 (52–70)	36 (29–44)	27 (19–38)
Probability of survival at 3 years (%; 95% CI)	22 (19–25)	20 (15–27)	28 (19–42)	20 (14–29)	34 (26–44)	19 (14–27)	7 (3–15)
Probability of survival at 5 years (%; 95% CI)	17 (14–20)	15 (11–22)	22 (13–35)	17 (11–26)	28 (21–37)	16 (11–23)	2 (0.6–9)

IQR: interquartile range. NA: unable to estimate because of too many events. <sup>a</sup>The median survival time was estimated using the Kaplan–Meier method, defining alive or loss to follow-up as the event and treating death as censoring. In the SCHS cohort, the median follow-up time could not be calculated because all participants died by the end of follow-up.

**Table 2: Follow-up time and survival among 708 participants with lung cancer from cohort studies in Europe, North America, Asia, and Australia.**



**Fig. 1: Associations between pre-diagnostic protein concentrations and overall mortality after lung cancer diagnosis among 708 participants with lung cancer from 6 population cohorts in Europe, North America, Asia, and Australia.** Proteins with  $p < 0.005$  are labelled. This arbitrary threshold was chosen for illustration only. Panel A shows results for 678 proteins which were only measured in 2 cohorts (EPIC: European Investigation into Cancer and Nutrition; NSHDS: Northern Sweden Health and Disease Study), while panel B shows results for 481 proteins which were additionally measured in one or more of MCCS: Melbourne Collaborative Cohort Study; CPS-II: Cancer Prevention Study II; HUNT: Trøndelag Health Study; SCHS: Singapore Chinese Health Study.

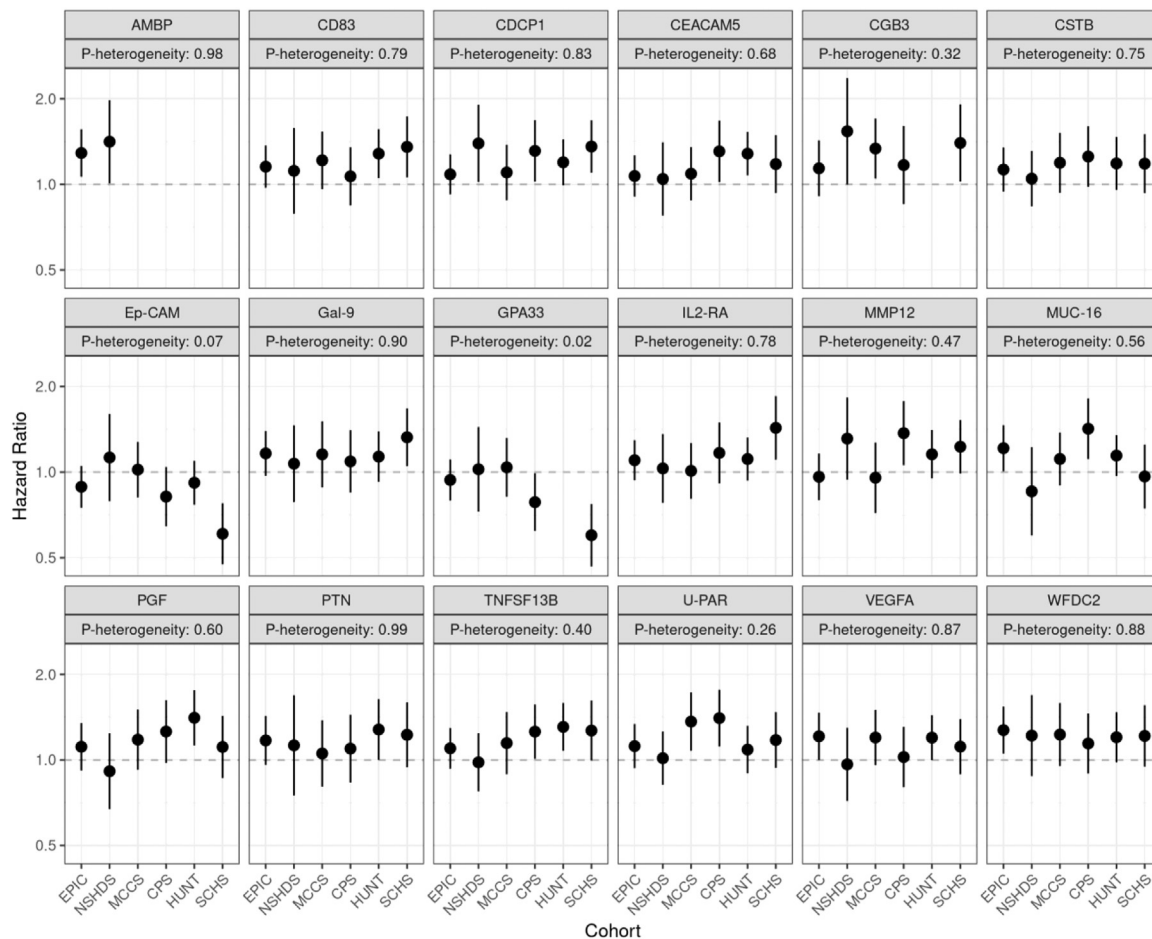
the clinical models, consistent predictors of survival included age, sex, and TNM stage, and internal C-indices ranged from 0.64 to 0.66 across the 6 sets. The

external validation gave a summarized C-index of 0.58 (95% CI: 0.56–0.61) for the protein-based model and 0.62 (95% CI: 0.59–0.64) for the clinical model (Table 4).

Protein	Examined sample size	Cox model with main effects only		Cox model with interaction		
		Hazard ratio (95% CI)	p-value for protein	Hazard ratio (95% CI)	p-value for protein	$p_{\text{interaction}}^a$
CDCP1	707	1.19 (1.10–1.30)	0.00004	1.34 (1.12–1.59)	0.001	0.15
WFDC2	708	1.19 (1.08–1.30)	0.0003	1.22 (1.01–1.48)	0.04	0.76
CD83	707	1.18 (1.08–1.28)	0.0003	1.06 (0.89–1.27)	0.51	0.19
CGB3	551	1.24 (1.10–1.40)	0.0005	1.38 (1.15–1.64)	0.0004	0.13
TNFSF13B	708	1.14 (1.06–1.24)	0.0007	1.04 (0.89–1.22)	0.63	0.18
U-PAR	708	1.14 (1.06–1.23)	0.0009	1.17 (1.01–1.37)	0.04	0.66
Ep-CAM	708	0.87 (0.80–0.95)	0.002	0.82 (0.69–0.97)	0.02	0.38
Gal-9	707	1.15 (1.05–1.26)	0.002	1.25 (1.04–1.49)	0.02	0.33
PGF	706	1.16 (1.06–1.27)	0.002	1.20 (1.00–1.43)	0.05	0.67
CEACAM5	708	1.14 (1.05–1.23)	0.002	1.24 (1.09–1.42)	0.001	0.10
CSTB	708	1.14 (1.05–1.24)	0.002	1.17 (1.00–1.38)	0.05	0.65
PTN	706	1.17 (1.05–1.29)	0.003	1.12 (0.94–1.34)	0.19	0.61
AMBP	246	1.27 (1.08–1.49)	0.003	1.35 (0.97–1.89)	0.08	0.68
VEGFA	708	1.13 (1.04–1.23)	0.003	1.25 (1.07–1.47)	0.005	0.14
GPA33	551	0.87 (0.79–0.96)	0.004	0.79 (0.65–0.95)	0.02	0.25
IL2-RA	708	1.12 (1.04–1.22)	0.004	1.08 (0.91–1.28)	0.36	0.60
MMP12	707	1.14 (1.04–1.24)	0.004	1.13 (0.99–1.29)	0.08	0.87
MUC-16	707	1.13 (1.04–1.23)	0.004	1.26 (1.11–1.44)	0.0004	0.04

The table includes proteins with  $p < 0.005$  (arbitrary threshold) in the model with main effects only, listed in order of p-value. Both models are adjusted for lead time between blood draw and diagnosis, age at diagnosis, sex, year of blood draw, cohort, and smoking status. The model with interaction additionally includes an interaction term between lead time and the protein measurement, which changes the interpretation of the protein hazard ratio to be the predicted effect if the protein were measured at the time of diagnosis. CI: confidence interval. <sup>a</sup>p-value for the interaction term in the model.

**Table 3: Associations between pre-diagnostic protein measurements and overall mortality after lung cancer diagnosis, comparing two methods to account for pre-diagnostic lead time.**



**Fig. 2: Stratified results by cohort for the association between highly ranked proteins and overall mortality after lung cancer diagnosis.** EPIC: European Investigation into Cancer and Nutrition; NSHDS: Northern Sweden Health and Disease Study; MCCS: Melbourne Collaborative Cohort Study; CPS-II: Cancer Prevention Study II; HUNT: Trøndelag Health Study; SCHS: Singapore Chinese Health Study.

Combining the protein markers with the clinical factors gave a C-index of 0.63 (95% CI: 0.61–0.66) which did not represent a statistically significant improvement in discrimination over the clinical model (difference in C-index between integrated vs. clinical model = 0.015, 95% CI: –0.003 to 0.035) (Table 4). Calibration plots for 5-year survival are shown in Supplementary Fig. S2.

### Sensitivity analyses

When using Cox models with an interaction term between protein measurements and lead time, the list of top-ranked proteins (based on lowest p-value) differed from the main analysis with a simple adjustment for lead time (Supplementary Table S3). In this sensitivity analysis, the high-ranking proteins tended to show strong interactions between lead time and protein measurements. For example, MCP-4 was not related to mortality in the primary analysis (HR = 1.03, 95% CI: 0.94–1.12), but was associated after addition of the

interaction term (HR = 1.38, 95% CI: 1.15–1.64, p-interaction = 0.0002).

When restricting the analysis to 217 participants whose blood sample was collected less than 1 year prior to lung cancer diagnosis, the observed associations with mortality were stronger than when including longer lead times (HRs ranging from 0.6 to 2.0, compared with 0.8 to 1.3 in the primary analysis) (Supplementary Fig. S1). There were 6 proteins with statistically significant associations after adjusting for multiple testing, including APBB1IP, FLI1, MAX, NUB1, and PIgR in EPIC and NSHDS only, and CHI3L1 in the larger sample. There were 36 proteins with  $p < 0.005$  compared to 18 in the main analysis. CDCP1, WFDC2, CGB3, U-PAR, Gal-9, CEACAM5, and PGF were highly ranked both in the <1 year lead time sample and in the primary analysis (Supplementary Fig. S1).

Finally, when using lung cancer specific mortality as the outcome and analysing 4 cohorts with cause-of-

	Omit CPS	Omit EPIC	Omit HUNT	Omit MCCS	Omit NSHDS	Omit SCHS
CDCP1	1.11 (1.01–1.23)	1.24 (1.11–1.38)	1.15 (1.03–1.28)	1.19 (1.08–1.31)	1.11 (1.00–1.22)	1.14 (1.03–1.26)
Ep-CAM	0.88 (0.80–0.97)	0.89 (0.81–0.99)	0.86 (0.78–0.96)	0.88 (0.80–0.96)	0.85 (0.78–0.93)	
TNFSF13B	1.05 (0.96–1.15)	1.06 (0.94–1.19)		1.11 (1.02–1.21)	1.08 (0.97–1.20)	1.04 (0.93–1.16)
U-PAR		1.06 (0.95–1.20)	1.06 (0.95–1.17)		1.07 (0.96–1.19)	0.97 (0.86–1.09)
CD83	1.07 (0.96–1.20)				1.06 (0.95–1.18)	
WFDC2			1.13 (1.00–1.28)			1.13 (1.00–1.28)
TNFRSF6B	1.07 (0.97–1.18)					
IFN-gamma	1.04 (0.95–1.14)					
MUC-16						1.13 (1.03–1.24)
CEACAM5				1.17 (1.06–1.28)		
ERBB4				0.89 (0.81–0.98)		
CCL25		0.87 (0.79–0.97)				
IL-12B			0.84 (0.76–0.94)			
Age, 5-year increment	1.04 (0.98–1.11)	1.03 (0.96–1.11)	1.07 (0.99–1.16)	1.04 (0.97–1.10)	1.04 (0.97–1.11)	1.05 (0.99–1.13)
Sex						
Male	ref	ref	ref	ref	ref	ref
Female	0.86 (0.70–1.07)	0.87 (0.69–1.10)	0.90 (0.71–1.13)	0.84 (0.68–1.04)	0.84 (0.68–1.03)	0.82 (0.67–1.00)
Smoking status						
Former	ref	ref	ref	ref	ref	ref
Current	1.00 (0.82–1.21)	1.11 (0.88–1.39)	0.91 (0.70–1.17)	1.06 (0.86–1.31)	1.05 (0.85–1.29)	1.06 (0.85–1.33)
TNM stage						
I–II	ref	ref	ref	ref	ref	ref
III	2.64 (1.70–4.10)	1.67 (1.07–2.59)	1.84 (1.16–2.92)	1.99 (1.32–3.00)	1.81 (1.20–2.72)	2.07 (1.41–3.04)
IV	4.48 (2.91–6.92)	3.61 (2.39–5.46)	3.99 (2.59–6.15)	4.26 (2.84–6.38)	3.43 (2.31–5.08)	4.07 (2.81–5.89)
Missing	3.10 (2.09–4.60)	2.51 (1.66–3.80)	2.53 (1.65–3.88)	2.95 (2.00–4.34)	2.40 (1.66–3.46)	2.76 (1.94–3.94)
Histology						
Adenocarcinoma	ref	ref	ref	ref	ref	ref
Small Cell Carcinoma	1.12 (0.86–1.46)	1.11 (0.83–1.5)	1.37 (1.04–1.82)	1.34 (1.02–1.76)	1.36 (1.05–1.75)	1.37 (1.05–1.79)
Squamous Cell Carcinoma	0.76 (0.58–0.98)	0.72 (0.55–0.95)	0.81 (0.62–1.07)	0.87 (0.67–1.13)	0.78 (0.61–1.00)	0.78 (0.60–1.01)
Other/missing	0.88 (0.70–1.12)	1.07 (0.83–1.39)	1.12 (0.87–1.44)	1.04 (0.82–1.31)	1.07 (0.85–1.34)	0.94 (0.74–1.18)
<b>Internal C-index</b>	0.66 (0.64–0.69)	0.68 (0.65–0.71)	0.68 (0.65–0.70)	0.68 (0.66–0.70)	0.66 (0.64–0.69)	0.67 (0.64–0.70)
<b>External C-indices</b>						<b>Overall</b>
Protein-based model						0.58 (0.56–0.61)
Clinical model						0.62 (0.59–0.64)
Integrated model						0.63 (0.61–0.66)
Difference in C-index, integrated vs. clinical model						0.015 (–0.003 to 0.035)

To implement the leave-one-cohort-out method, in each of 6 iterations, we used 5 cohorts to train the model and the remaining (omitted) cohort for independent testing. The table shows the integrated models, their internal C-indices, and the external C-indices for the protein-based, clinical, and integrated models (see [Methods](#)). The detailed parameters and internal C-indices for the protein-based models and clinical models are shown in [Supplementary Table S2](#). Internal indices were estimated using 500 bootstrap iterations in the training set. Models were adjusted by cohort and year of blood draw.

**Table 4: Integrated models for overall mortality after lung cancer diagnosis, and assessment of the utility of protein measurements beyond clinical factors, using a round-robin, leave-one-cohort-out method for independent training and testing among 708 participants from 6 population cohorts.**

death information (EPIC, CPS-II, MCCS, and SCHS), results for most proteins resembled those for overall mortality ([Supplementary Table S4](#)).

### Discussion

We evaluated the association between pre-diagnostic blood measurements of 1159 circulating proteins and lung cancer survival in 6 cohorts and assessed whether proteins could improve prediction of lung cancer prognosis. When analysing blood samples drawn up to 3 years prior to lung cancer diagnosis, we found little

evidence for strong associations between circulating proteins and survival after lung cancer diagnosis. Only one marker, CDCP1, seemed to show a stable association, but with a relatively weak effect size. When we included proteins in a model to predict mortality after lung cancer diagnosis, there was no evidence to support an important improvement in prediction over standard clinical information.

Previous research on circulating proteins and lung cancer survival has examined much smaller sets of proteins than our study, and mostly focused on immune and inflammatory markers.<sup>23–26</sup> In an Italian study



including 84 short-term and 157 long-term surviving patients diagnosed with non-small cell lung cancer (NSCLC) at stage I–II, Bodelon et al. found CCL15 [chemokine (C-C motif) ligand 15] to be most strongly associated with survival among 77 immune and inflammatory markers. The highest quartile of CCL15 showed a 5-fold increase in the odds of short survival compared with the lowest quartile, with other associated markers including IL-8, C-reactive protein (CRP), IL-2Ra, TNF- $\alpha$ , IL-6, TRAIL, and IL-6R.<sup>23</sup> Another study examined 33 inflammatory proteins among 129 US patients with stage I adenocarcinoma and found shorter survival among those with elevated levels of IL-6 and IL-17A.<sup>25</sup> A blood-based proteomic signature test called VeriStrat<sup>®</sup> has been proposed to divide patients with lung cancer into predicted high and low survival groups by examining a protein signature (based on mass spectrometry features) associated with a chronic inflammatory disease state and aggressive cancer.<sup>27–29</sup> A meta-analysis reported worse survival with higher circulating CRP and IL-6 levels, but no significant association for IL-8 or TNF- $\alpha$ .<sup>24</sup> Among the most frequently studied proteins, specifically CCL15, CRP, IL-8, IL-6, and TNF- $\alpha$ , our study did not measure CRP or TNF- $\alpha$ . Among the others, we found that IL-8 was associated with lung cancer survival but was not statistically significant after accounting for multiple comparisons, while no association was found for CCL15 and IL-6 (Supplementary Table S4).

CDCP1 was the only protein clearly associated with overall survival among patients with lung cancer after accounting for multiple testing in our study. Notably, CDCP1 is also associated with increased risk of incident lung cancer.<sup>30</sup> CDCP1 is a transmembrane noncatalytic receptor involved in the loss of anchorage in epithelial cells during mitosis<sup>31</sup> and has been shown to be involved in the pathway of tumor invasion and metastasis in lung cancer cells, which could provide a potential mechanism for the association we observed.<sup>32,33</sup> Of note, well-known markers such as CEACAM5/CEA and CA-125/MUC-16 which are associated with incident lung cancer also showed nominal associations with mortality after lung cancer diagnosis in our study.<sup>34</sup> We note that the proteins highlighted in our study are unlikely to be specific to lung cancer, and might be related to several diseases. CDCP1, for example, was associated with lung cancer survival in our study but is also upregulated in malignancies of the breast, colorectum, ovary, kidney, liver, pancreas, and hematopoietic system.<sup>35</sup> Our prior work focused on early detection identified 36 proteins robustly associated with lung cancer onset, among which only 1 protein was predominantly expressed by lung tumor tissue.<sup>20</sup>

Most studies have aimed to identify cancer prognostic biomarkers by using blood samples collected at the time of diagnosis. However, this approach can be influenced by cancer-related lifestyle changes,

treatment, or other interventions around the time of diagnosis.<sup>36</sup> Therefore, there are potential advantages of our approach which used pre-diagnostic protein measurements. We tried multiple approaches to account for the pre-diagnostic lead time, including direct adjustment, additional inclusion of an interaction term between protein measurements and lead time, and restriction to participants whose blood was collected less than 1 year before diagnosis. In each approach, we identified proteins with apparent associations with survival, but the ranking of proteins was unstable across the approaches. We did observe somewhat stronger associations after restricting to blood collected within 1 year of diagnosis. Therefore, it is still possible that robust protein markers for lung cancer survival may exist, but our results suggest that they are unlikely to be very strong or highly predictive. Future research should prioritize use of samples collected from well-characterized, newly diagnosed lung cancer cases, with robust independent discovery and validation phases.

One limitation of our study, deriving from its design as a consortium of population cohorts, is that we lacked complete and detailed clinical information on the participants with lung cancer. Information on clinical stage and histological type had high missingness, and we had no information on other factors that might importantly influence survival such as lung cancer treatment, other comorbidities, access to care, and social support. However, we consider it unlikely that accounting for additional predictors would change our conclusion, because this would further improve the performance of the clinical model, rendering any added contribution of the protein markers more difficult to demonstrate. The second limitation is that HUNT and NSHDS were lack of cause of death information, we were unable to analyse lung cancer mortality in the full dataset. We also acknowledge that our sensitivity analysis using an interaction term assumes that associations between protein measurements and mortality change linearly with lead time prior to diagnosis, which may be incorrect. Only a subset of 299 among the 1159 total proteins could be considered for inclusion in the prediction models, but these included proteins with strong associations (CDCP1, WFDC2, and CD83). The key strength of our study is its large size, both in terms of the number of proteins examined and the number of participants. It also benefitted from a diverse set of cohorts from Europe, North America, Asia, and Australia.

## Conclusion

Our study aimed to evaluate whether circulating proteins are associated with survival after lung cancer diagnosis, and whether they can improve prediction of prognosis. However, we did not identify strong associations with lung cancer survival among 1159 protein markers studied. Similarly, proteins did not offer improvement beyond clinical factors such as age, sex,

and clinical stage for prediction of mortality. Nonetheless, our results do not exclude the possibility that prognostic protein markers for lung cancer may exist, and future studies should prioritize the use of blood samples collected from well-characterized newly diagnosed lung cancer cases.

#### Contributors

HAR, MaJ, DM, and XF conceived the study idea and designed the study.

HAR, MaJ, DM, and XF contributed to the methodology.

JMY, WPK, RW, RLM, JKB, AL, KH, VLS, YW, Mij, AT, and RT contributed samples and data.

XF, HZ, KA, FG, and KSB contributed to the data extraction.

XF and HZ performed the statistical analysis.

XF, HZ, and KA have verified the underlying data.

XF, DM, MS, HAR, and MaJ interpreted the analysis.

XF and HAR drafted the manuscript.

MaJ and HAR supervised the project.

All authors read and approved the final version of the manuscript.

XF takes responsibility for the content of the manuscript, including the data and analysis.

#### Data sharing statement

Access to data from the Lung Cancer Cohort Consortium (LC3) is governed by the LC3 Access Policy, which is available at the following link: [https://www.iarc.who.int/wp-content/uploads/2021/12/LC3\\_Access\\_Policy.pdf](https://www.iarc.who.int/wp-content/uploads/2021/12/LC3_Access_Policy.pdf). Interested investigators are encouraged to contact Dr Johansson or Dr Robbins.

#### Disclaimer

Where authors are identified as personnel of the International Agency for Research on Cancer/World Health Organization, the authors alone are responsible for the views expressed in this article and they do not necessarily represent the decisions, policy or views of the International Agency for Research on Cancer/World Health Organization.

#### Declaration of interests

Jian-Min Yuan has a declaration on NIH grant funding, and the other authors have no conflicts of interest.

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#### Appendix A. Supplementary data

Supplementary data related to this article can be found at <https://doi.org/10.1016/j.ebiom.2023.104623>.

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