

Immunoscore immune checkpoint using spatial quantitative analysis of CD8 and PD-L1 markers is predictive of the efficacy of anti- PD1/PD-L1 immunotherapy in non-small cell lung cancer



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Summary

Background Anti-PD-1 and PD-L1 antibodies (mAbs) are approved immunotherapy agents to treat metastatic non-small cell lung cancer (NSCLC) patients. Only a minority of patients responds to these treatments and biomarkers predicting response are currently lacking.

Methods Immunoscore-Immune-Checkpoint (Immunoscore-IC), an *in vitro* diagnostic test, was used on 471 routine single FFPE-slides, and the duplex-immunohistochemistry CD8 and PD-L1 staining was quantified using digital-pathology. Analytical validation was performed on two independent cohorts of 206 NSCLC patients. Quantitative parameters related to cell location, number, proximity and clustering were analysed. The Immunoscore-IC was applied on a first cohort of metastatic NSCLC patients (n = 133), treated with anti-PD1 or anti-PD-L1 mAbs. Another independent cohort (n = 132) served as validation.

Findings Anti-PDL1 clone (HDX3) has similar characteristics as anti-PD-L1 clones (22C3, SP263). Densities of PD-L1+ cells, CD8+ cells and distances between CD8+ and PD-L1+ cells were quantified and the Immunoscore-IC classification was computed. Using univariate Cox model, 5 histological dichotomised variables (CD8 free of PD-L1+ cells, CD8 clusters, CD8 cells in proximity of PD-L1 cells, CD8 density and PD-L1 cells in proximity of CD8 cells) were significantly associated with Progression-Free Survival (PFS) (all $P < 0.0001$). Immunoscore-IC classification improved the discriminating power of prognostic model, which included clinical variables and pathologist PD-L1 assessment. In two categories, the Immunoscore-IC risk-score was significantly associated with patients' PFS (HR = 0.39, 95% CI (0.26–0.59), $P < 0.0001$) and Overall Survival (OS) (HR = 0.42, 95% CI (0.27–0.65), $P < 0.0001$) in the training-set. Further increased hazard ratios (HR) were found when stratifying patients into three-category Immunoscore-IC (IS-IC). All patients with Low-IS-IC progressed in less than 18

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months, whereas PFS at 36 months were 34% and 33% of High-IS-IC patients in the training and validation sets, respectively.

Interpretation Immunoscore-IC is a powerful tool to predict the efficacy of immune-checkpoint inhibitors (ICIs) in patients with NSCLC.

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Keywords: Digital pathology; Immunoscore-IC; Prognostic marker; Non-small cell lung cancer; Immunotherapy

Research in context

Evidence before this study

In cancer pathophysiology, immune infiltration of tumours is closely related to clinical outcomes. Tumour-infiltrating immune cells can serve as biomarkers to predict survival in different cancer types and can be the deciding factor for the first-line therapy (i.e., chemotherapy and/or immunotherapy). In 2020, the European Society of Medical Oncology introduced the Immunoscore in its Clinical Practice Guidelines. Immunoscore measures the density of two populations of immune cells involved in response to cancer (CD3+ and CD8+ T lymphocytes) in the core and at the margin of the tumour, therefore allowing researchers and clinicians to account for immune infiltration. A low infiltration in T lymphocytes is associated with a high risk of relapse whereas a high infiltration of the same population is linked with a low risk of relapse. This is the first test available in clinics that appears to be a promising biomarker for diagnosis, treatment and follow-up of localised colon cancer. PD-L1+ cells and CD8+ cells have been proposed as biomarkers to predict response to Immune-Checkpoint Inhibitors (ICI) immunotherapy, and PD-L1 immunohistochemistry represents FDA-approved companion diagnostic test, especially for Non-Small Cell Lung Cancer (NSCLC). However, PD-L1 expression alone remains poorly predictive of the efficacy of ICIs in lung cancer since only a small proportion of patients with NSCLC highly expressing PD-L1 shows good response to ICI. Moreover, some patients with PD-L1 negative tumours derive a major benefit from treatment with ICI.

Added value of this study

There is an imperative need in developing simple and efficient tools that would allow clinicians to determine whether NSCLC patients could respond to anti PD1/PD-L1 immunotherapy (Nivolumab, Pembrolizumab, Cemiplimab, Durvalumab, etc.). In this study, we investigate the clinical implications of the Immunoscore Immune-Checkpoint (IC) in 2 independent cohorts of NSCLC patients. Selection criteria include patients treated with checkpoint immunotherapy as single agent (anti-PD-1, anti-PD-L1). This assay consists in a dual-staining of both CD8+ and PD-L1+ cells and a quantification of their densities and spatial distances, using digital pathology tools. Herein, we showcase its potential to identify patients with high-risk clinical features, to predict a recurrence and to decipher the benefits of ICI immunotherapy for certain NSCLC patients. Additional benefits of Immunoscore IC would be to select patients with the highest chances of response to ICI, with the best cost/benefit ratio, while avoiding adverse events for non-responding patients and proposing them enrolment into clinical trials with combination immunotherapy.

Implications of all the available evidence

Immunoscore-IC can be of great prognostic value and guide patient selection for ICI therapy. Immunoscore-IC has a predictive value superior to that of the currently used MSI status or PD-L1 solo-staining and could guide clinicians to choose appropriate treatment for NSCLC patients.

Introduction

According to the World Health Organisation (WHO), non-small cell lung cancer (NSCLC) is the most frequent form of thoracic cancer, accounting for 20% of all cancer-associated mortality worldwide (<https://gco.iarc.fr/today>). The treatment of this devastating disease changed with the development of targeted therapies for patients with adenocarcinoma bearing specific mutations and translocations in *EGFR*, *ALK*, *RAS*, *BRAF*, *HER2*, *NTRK* genes.¹ The emergence of immunotherapeutic drugs

using monoclonal antibodies (mAbs) targeting PD-1 and PD-L1, also called immune checkpoint inhibitors (ICIs), completely changed the therapeutic management of patients. Moreover, the association of chemotherapy with anti-PD-(L)1 treatments was proven more beneficial than chemotherapy alone in either adenocarcinoma or squamous NSCLC.^{2,3} For locally advanced disease, radiochemotherapy and durvalumab (anti-PD-L1 mAb) as adjuvant therapy also demonstrated greater longevity than radio-chemotherapy alone.⁴⁻⁶ These types of

treatments have now been approved as the standard-of-care (SOC) for patients with advanced NSCLC.⁷ However, not all patients benefit from ICIs, thus highlighting the importance to develop valuable biomarkers for better patient selection.

PD-L1 labelling using classical immunohistochemistry (IHC) is the SOC biomarker for NSCLC patient selection.⁸ PD-L1 tumour proportion score (TPS) above 50% could isolate a population of patients in which immunotherapy alone, using pembrolizumab, is better than chemotherapy in first-line treatment.⁹ In contrast, for other patients, chemo-immunotherapy using platinum-based chemotherapy and anti-PD-1 is the preferred treatment.¹⁰ Various cut-offs of PD-L1 expression and various antibodies, which determine PD-L1 expression at the surface of tumour cells (TC) and/or immune cells, could be used.¹¹ However, this biomarker remains incapable to explain the complexity of anti-tumoral immune response and unable to isolate responder or resistant patients with good specificity.

The understanding of *in situ* immune response underlines that additional factors could be essential to predict responders to anti-PD-1/PDL1 treatments. Genomic parameters such as tumour mutational burden became an emerging biomarker.¹² Furthermore, cytotoxic T-cells and PD-L1 status were associated with response to ICIs therapy^{4-6,13,14}; adding CD8 infiltration analysis to PD-L1 status that significantly improved outcome prediction.^{13,15,16} Multiplex immunohistochemistry (IHC) and digital pathology (DP) have emerged as powerful tools to quantify tumour infiltration by immune cells and their interactions with other tumour micro-environment components.^{13,17,18} Immunoscope-Immune-Checkpoint (IS-IC) is a dual-staining IHC assay of PD-L1+ and CD8+ cells, on a single slide prepared from FFPE tissue. After digitisation, the samples are analysed with a DP tool to account for PD-L1+ and CD8+ cells. Data collected include quantitative variables on PD-L1/CD8 densities and proximity between these cell populations.

This study reports the first evaluation of Immunoscope-IC in two independent NSCLC cohorts treated with anti-PD-1/PD-L1 mAbs. Herein, we unravel the predictive power of Immunoscope-IC to classify patients according to clinical response and survival.

Methods

Patients

265 NSCLC patients were statistically analysed, after quality control of Immunoscope-IC biomarker and clinical data assessment. Quality control included biomarker quality control (anapathological invalidation—no tumour, patient identification—removal of duplication) and clinical data quality control. These 265 patients include a training set of 133 patients (Training cohort) and a validation set of 132 patients (Validation

cohort). The Training cohort comprises patients from the CGFL (“Centre Georges Francois Leclerc”, Dijon, France) and the Caen hospitals. The Validation cohort corresponds to patients from the AP-HM center (“Assistance Publique des Hôpitaux de Marseille”).

Immunohistochemistry staining with anti-PD-L1 antibodies (22C3, SP263, HDX3)

Immunohistochemistry for PD-L1 was performed on 4- μ m thick whole sections. Staining with mAb 22C3 (PD-L1 IHC 22C3, pharmDx; Agilent Technologies, Carpinteria, CA, USA), mAb SP263 (Roche Diagnostics, Meylan, France) and mAb HDX3 (Veracyte SAS, Marseille, France) were performed according to the instructions of the manufacturers.

Immunoscore-IC test

Immunoscope-IC (Veracyte SAS, Marseille, France) is designed to measure the densities of PD-L1+ and CD8+ cells as well as the proximity between these cells on a single tissue section with image analysis tools.

Immunohistochemistry-based staining was performed on Benchmark XT instrument (Roche-Ventana) as follows: standard deparaffinisation, Cell Conditioning 1 for 54 min, anti-PD-L1 (clone HDX3, Veracyte) 1-h incubation at 37 °C, anti-CD8 (clone HDX1, Veracyte) 1-h incubation at 37 °C, and Hematoxylin II 8-min counterstaining. Anti-PD-L1 and anti-CD8 antibodies were revealed with *OptiView DAB* IHC Detection Kit and *UltraView* Universal Alkaline Phosphatase Red Detection Kit respectively. Every stained slide was scanned with a high-resolution scanner (NanoZoomer XR, Hamamatsu) to obtain 20 \times digital images. Whole slide images were analysed by DP using HALO software (Indica labs, Corrales, NM, USA) for the detection of the tissue section, definition of the tumour core, identification and quantification of stained cells within the tumour core. Cell coordinates and phenotypes were exported to analyse their spatial distribution.

Main computed quantitative and spatial variables were CD8+ and PD-L1+ cell density, cell proximity, and cell clustering. The cut off distance used to compute proximity and cluster indexes was arbitrarily set to 20 μ m.

Immunoscope-IC DP analysis

The Immunoscope-IC was built using a LASSO Cox-based algorithm on the training cohort, taking as input the Immunoscope-IC variables dichotomised into low (−1)/high (+1). Five parameters were selected based on their association with PFS (Supplementary Fig. S2). For each parameter, the Cox model returned an odd ratio indicating the contribution of the variable in predicting PFS. A risk-score was computed incorporating the prognostic information of the selected markers. This score was then dichotomised into two- or three-category Immunoscope-IC (“Low”, “Intermediate” or “High”)

based on the association with patients' PFS: the low-risk group, characterised by high values of Immunoscore-IC markers, was defined as Immunoscore-IC High, whereas the high-risk group, with low markers density values, was defined as an Immunoscore-IC Low. The Immunoscore-IC was then calculated for the validation set using the parameters (variables, coefficients and cut-offs) identified on the training set (Supplementary Figs. S5 and S6).

Ethics committee approval

The study was approved by an ethical review board (#0912082). Informed Consent Statement was obtained from all subjects involved in the study. Patient declaration form has been also provided.

Statistical analysis

The association between Immunoscore-IC markers and patients' progression-free survival (PFS) were analysed using univariate Cox proportional hazard model. The dichotomisation of markers was performed using R package "maxstat".

The Immunoscore-IC risk-score was calculated using LASSO Cox based algorithm implemented in the "glmnet" R package. Heatmap, showing the levels of markers by groups, was created using R/Bioconductor package "ComplexHeatmap". Boxplots with statistical significance were generated using the "ggplot2" and "ggpubr" R packages. Fisher's exact test, χ^2 test and Wilcoxon test were used to assess the associations between Immunoscore-IC status and clinical variables. Statistical analyses were done using R version 3.6.3. In Fig. 1B, linear regression was applied and Pearson correlation was calculated to summarise the goodness of fit. Data in Fig. 1A were fitted with a general additive model (GAM) with a smooth on the predictor variable at the 8th dimension. As illustrated in Supplementary Fig. S6, we assessed the distribution of all the variables measured by the Immunoscore-IC test. As normal distribution can't be assumed for almost all the variables, we applied overall nonparametric methods, which are less sensitive to deviations from normality, and can be more robust in the presence of skewed or irregularly shaped data. Kaplan–Meier curves for PFS and OS rates show that patients treated with ICIs have similar outcomes when only accounting for PD-L1 TPS by pathologists (Supplementary Fig. S3). The high degree of correlation between the tests is mirrored by the concordance between HDX3, SP263 and 22C3 on the same set of 206 commercial samples at the clinically relevant cut-off points (PD-L1 TPS 1% and 50%) [as shown in Fig. 1D].

Role of the funders

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Results

HDX3 PD-L1 TPS vs SP263 and 22C3 PD-L1 TPS

We compared the staining performance of a new anti-PD-L1 monoclonal antibody (clone HDX3), with anti-PD-L1 antibodies from Agilent (22C3) and Roche-Ventana (SP263).

Consecutive tissue section from formalin-fixed paraffin-embedded (FFPE) tissue blocks, mounted on glass slides, were stained with 3 anti-PD-L1 mAb: 22C3 (Top-layer images), HDX3 (middle-layer images) or SP263 (bottom-layer images) (Fig. 1C). On sections taken from 3 NSCLC patients, HDX3 mAb showed similar staining patterns and intensities to those obtained with 22C3 and SP263 mAb.

Those three anti-PD-L1 antibodies were used to stain sections prepared from FFPE tissue blocks of a cohort of 206 NSCLC patients. The results were reported as PD-L1 tumour proportion score (TPS), i.e. the percentage of viable tumour cells showing partial or complete membrane staining ($\geq 1+$) relative to all viable tumour cells present in the sample. PD-L1 TPS assessed with HDX3, SP263 and 22C3 antibodies were reported by two pathologists, on a cohort ranging from 0 to 100% PD-L1 TPS (Fig. 1). Similar distribution patterns of PD-L1 TPS were observed with the three antibodies across the 206 evaluated samples (Fig. 1A) and coefficients of correlation " R^2 " of 0.99 and 0.93 between HDX3 vs SP263 and 22C3 were found, respectively (Fig. 1B).

That high degree of correlation between the tests is mirrored by the concordance between HDX3, SP263 and 22C3 on the same set of 206 commercial samples at the clinically relevant cut-off points (PD-L1 TPS 1% and 50%). Results showed a high degree of overall agreement HDX3 vs 22C3 (99%, [97%–100%] CI) and SP263 (99%, [96%–100%] CI) for TPS 1% and HDX3 vs 22C3 (98%, [94%–99%] CI) and vs SP263 (100%, [98%–100%] CI) for PD-L1 TPS 50%, respectively (Fig. 1D).

Assessment of Immunoscore-IC sources of variability and multi-centric validation

The anti-PD-L1 HDX3 clone was used in combination with HDX1, an anti-CD8 clone from Veracyte Inc. to develop the Immunoscore-IC test, a dual staining protocol designed to detect PD-L1+ and CD8+ cells. PDL1 TPS precision obtained with Immunoscore-IC was measured according to CLSI LA28-A2 standard on 11 NSCLC tumour grouped in four classes representative of distinct PD-L1 tumour expression levels (classes L0–L3, Fig. 1E). 30 consecutive slides per sample were stained following the Immunoscore-IC procedure across

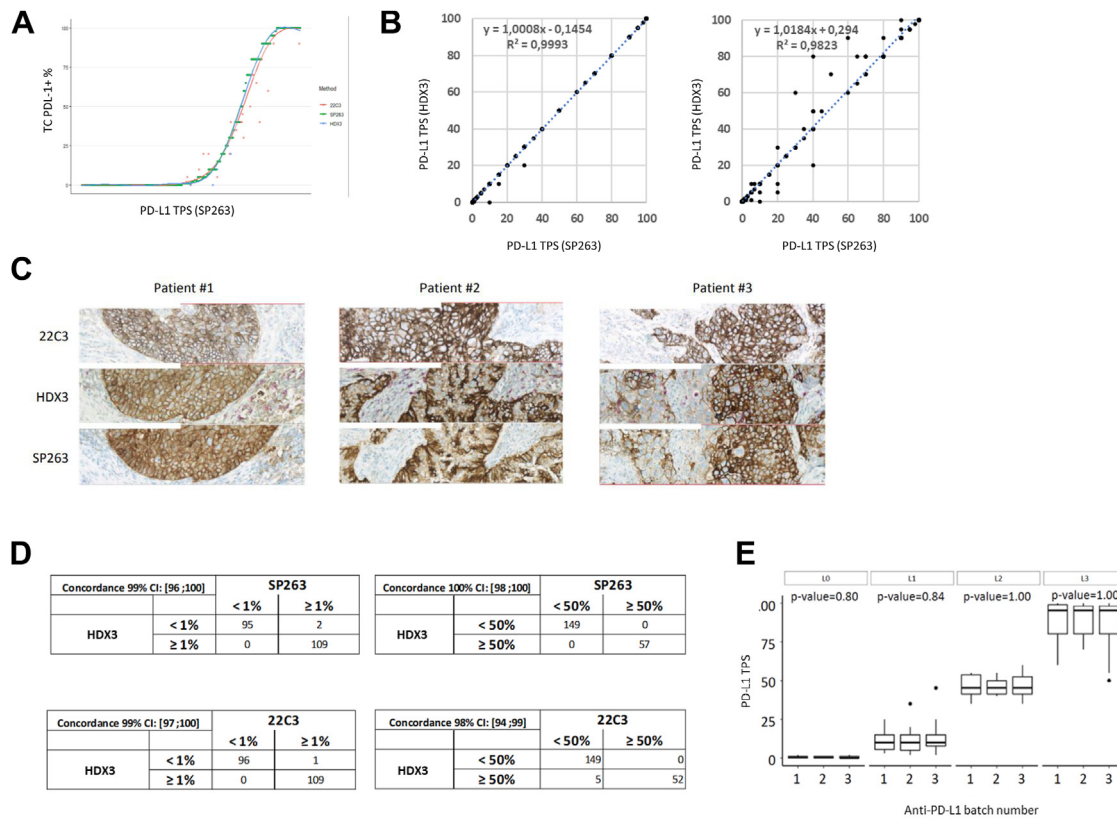


Fig. 1: Anti-PDL1 clone HDX3 has a similar staining profile as approved anti-PD-L1 clones (22C3, SP263). (A) 206 whole-slide samples from NSCLC patients were analysed for PD-L1 TPS: (Blue dot) HDX3, (orange dot) SP263, (Cyan dot) 22C3. (B) Correlation between the PD-L1 clones HDX3, 22C3 and SP263 for the quantification of PD-L1 TPS. (C) Representative images of PD-L1 staining of tissue sections from 3 different patients. (D) Contingency tables showing the agreement between HDX3 and 22C3 or SP263 for the assessment of PD-L1 TPS. (E) Evaluation of the impact of batch-to-batch variability for HDX3/PD-L1 TPS across 4 levels (L0-L3): level 0: non-stained tumour; level 1: weakly stained tumour; level 2: moderately stained tumour; and level 3: strongly stained tumour.

14 IHC runs on 2 Benchmark XT instruments with 3 batches of antibodies and revelation kits. Stained sections were randomised prior to PD-L1 TPS assessment by a pathologist. None of the tested variables had an impact on PD-L1 result according to Fisher’s exact test. Fig. 1E shows an example of the distribution of PD-L1 TPS obtained with 3 primary antibody batches across 4 levels of expression.

Next, the robustness of the Immunoscope-IC was assessed following a multicentric validation. 10 FFPE tissue blocks from NSCLC patients were sent to five different laboratories with Immunoscope-IC kits at their disposal. Following dual staining of CD8 and PL-L1, pathologists at each site quantified the PD-L1 TPS. Data and stained sections were returned to Veracyte laboratories for a second quantification before statistical analysis (Fig. 2A). Based on the TPS, reproducible results were obtained using the Immunoscope-IC assay across 5 independent laboratories. Noteworthy, distribution plots show that lab-to-lab variability is mainly

observable between readers. This variability is not issued by the automated staining procedure or the well-controlled reagents (Fig. 2B).

Immunoscope-IC staining protocol and test

As PD-L1 biological function is to inhibit PD1-expressing lymphocytes through interaction of both proteins, lymphocyte quantification and localisation seem important for anti-PD-1/L1 ICI efficacy. Immunoscope-IC test provides a dual-staining protocol of PD-L1 and CD8, associated to a dedicated DP tool that allows quantification, localisation and assessment of proximity between stained cells.

Since PD-L1+ cells present the ability to inhibit cytotoxic T lymphocytes expressing PD-1, DP tool allows the quantification of all PD-L1+ cells, including non-tumoral cells. In addition, it detects, localises and quantifies CD8+ cells in the pre-defined Region Of Interest (ROI) which is the core of the tumour (CT). The density of both cell types in the ROI are expressed in

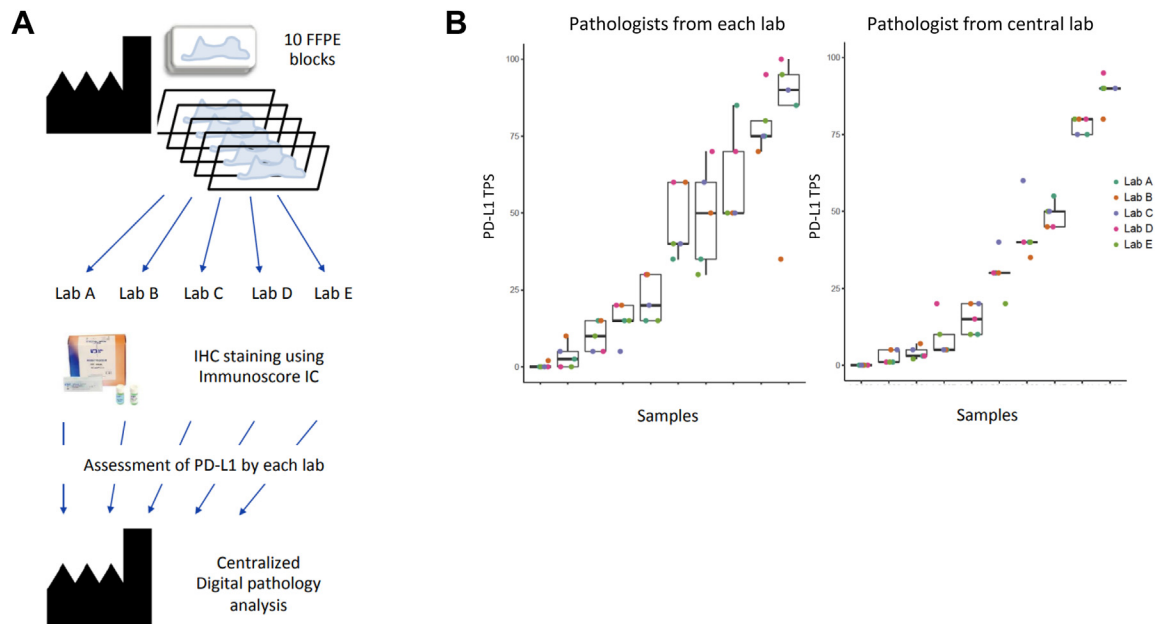


Fig. 2: Multicentric comparison of HDX3 staining on NSCLC samples. (A) Schematic representation of the study design to assess HDX3 reproducibility across five different laboratories and their pathologists before a centralised analysis. (B) Dot-and-box histograms of PD-L1 TPS for each centre. Dots can be overlapping for cases of low PD-L1 expression.

cells per squared millimetre (cells/mm²). The left panel of Fig. 3A shows NSCLC tissue where PD-L1+ brown and CD8+ red staining are detected on the plasma membrane (Fig. 3A, right panel).

Immunoscore-IC dual IHC staining was performed on a training cohort of 133 metastatic NSCLC tumour samples (Table 1). Patients' samples were ordered by increasing PD-L1 TPS and then by density of CD8+ cells for matching PD-L1 TPS (Fig. 3B). At every level of PD-L1 TPS, CD8+ cell density varied greatly between samples, suggesting that CD8+ and PD-L1+ cell densities could improve patients' stratification.

Anti-PD-(L)1 immunotherapies target the molecular interaction between PD-L1 present at the surface of tumour or immune cells and PD1 expressed by various lymphoid cells, including cytotoxic T-cells. This suggests that their efficacy depends on the proximity between PD-L1+ and cytotoxic T lymphocytes. We hypothesised that, in addition to cell densities within the tumour, a proximity index between PD-L1+ and CD8+ cells could enhance the predictive value of Immunoscore-IC. Examples of variability in the colocalisation of CD8+ and PD-L1+ cells are showed in Supplementary Fig. S1A.

In order to assess the proximity between CD8+ and PD-L1+ cells, an Immunoscore-IC DP module was developed. This module can (1) measure a proximity index based on the density of PD-L1+ cells with at least one CD8+ cell within a radius of 20 µm around the centroid of the considered cell (Supplementary Fig. S1B), (2) measure the density of CD8+ cells with

at least one PD-L1+ cell within 20 µm, (3) account for the clustering of CD8+ cells (CD8+ surrounded by at least one CD8+ cell within 20 µm), (4) evaluate the clustering of PD-L1+ cells. The widespread values obtained suggest that proximity indexes could stratify NSCLC patients based on the density of PD-L1+ and CD8+ cells and their proximity within the tumour (Supplementary Fig. S1C).

LASSO model construction based on clinical data and IS-IC

Having laid-down the analytical validation and the performances of the Immunoscore-IC, we quantified tumour samples from two independent cohorts of 265 NSCLC patients treated with anti-PD-(L)1 immunotherapy. Clinical characteristics are shown in Table 1. A training-set including 133 patients (Training cohort) from two different care-centers, and a validation-set including 132 patients from a third care center were analysed. For both cohorts, 68% of patients were men, with a similar median age of 65 and 61 for the Training and Validation cohorts, respectively. Response rates, survival, mono- or combo-immunotherapy rates, and PD1-based vs PD-L1-based immunotherapy rates were not significantly different in both cohorts. Nivolumab was the most commonly used immunotherapy in both cohorts, and Training cohort received more frequently Pembrolizumab than the Validation cohort (Table 1).

In both training and validation sets, a significant univariate association with PFS was observed for most

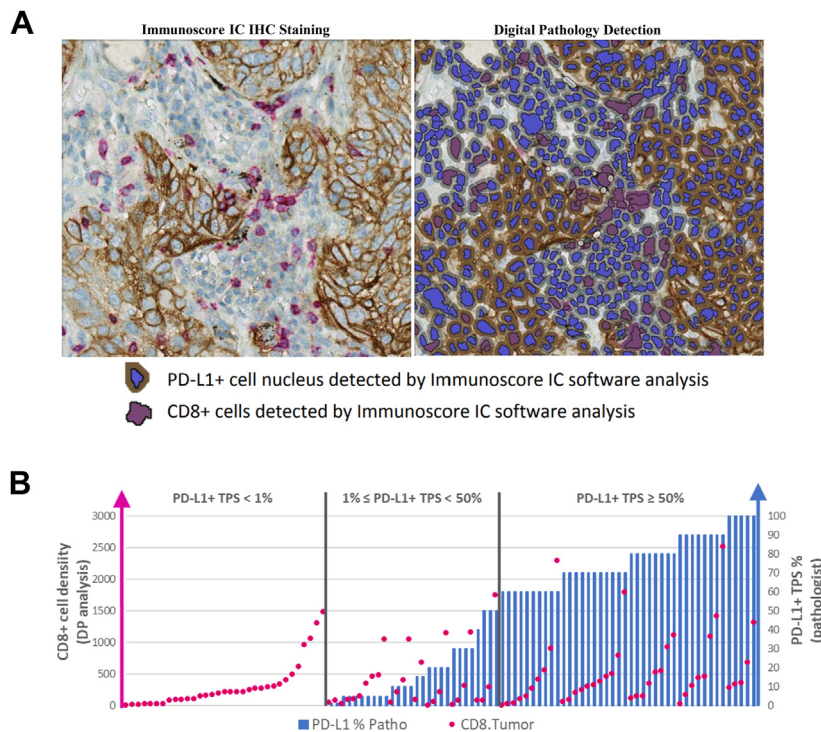


Fig. 3: Immunoscoring-IC is both an IHC assay and a digital pathology tool to help clinicians categorise patients for potential ICIs therapy. (A) Representative IHC staining of a lung tissue section from a NSCLC patient before (left) and after (right) DP detection. **(B)** Distribution of PD-L1+ TC% (blue bars) and CD8+ cells density (cells/mm², red dots) across 103 NSCLC patient samples.

Immunoscoring-IC parameters in CT, using continuous variables. This by using univariate Cox model score tests of $P < 0.05$ with hazard ratios (HR) ranging from 0.6 (0.44–0.82) to 0.85 (0.72–0.99) for the training set and 0.62 (0.48–0.81) to 0.8 (0.66–0.98) for the validation set. With dichotomisation, the Immunoscoring-IC parameters remained highly significant in a univariate analysis for PFS (Supplementary Fig. S2). In contrast, PD-L1 TPS alone could not predict PFS nor Overall Survival (OS) consistently (at the exception of PFS in the validation set). Kaplan–Meier curves for PFS and OS rates show that patients treated with ICIs have similar outcomes when only accounting for PD-L1 TPS by pathologists (Supplementary Fig. S3).

We applied a LASSO method to select parameters and coefficients to define a score stratifying patients into two- (Low, High) or three-category Immunoscoring-IC (Low, Intermediate or High). Immunoscoring-IC model includes five parameters: CD8+ density, PD-L1+ density and three parameters related to the spatial distribution of these cells (Supplementary Fig. S4).

In the training set, analysing the two-category Immunoscoring-IC allowed the identification of patients with distinct clinical outcome for PFS and OS (Fig. 4A–C). 97 (73%) patients had a high Immunoscoring-IC whereas 36 (27%) patients had a low Immunoscoring-IC. Patients with a

low Immunoscoring-IC had the highest-risk of recurrence. At 24 months, PFS was seen in (0%) of patients with low Immunoscoring-IC and in 12 (16%) patients with a high Immunoscoring-IC (unadjusted [HR] = 0.39, 95% CI (0.26–0.59), $P < 0.0001$, Fig. 4A). OS at 24 months was recorded for 1 (5%) patient with a low Immunoscoring-IC and 23 (31%) patients with a high Immunoscoring-IC (unadjusted [HR] = 0.42, 95% CI, $P < 0.0001$, Fig. 4C). Moreover, PFS and OS at 36 months highlight patients with the highest Immunoscoring-IC as long-term survivors, whereas 100% of low Immunoscoring-IC patients relapsed before 18 months and died before 30 months following ICIs therapy (Fig. 4A–C).

In the three-category Immunoscoring-IC, 25 (19%) patients had a high Immunoscoring-IC, 72 (54%) patients had an intermediate Immunoscoring-IC and 36 (27%) patients had a low Immunoscoring-IC (Fig. 4B–D). PFS at 24 months was seen in (0%) patients with low Immunoscoring-IC, in 5 (10%) patients with an intermediate Immunoscoring-IC and in 7 (34%) patients with a high Immunoscoring-IC (unadjusted [HR_High] = 0.24, 95% CI (0.13–0.44), $P < 0.0001$, Fig. 4B). OS at 24 months was recorded for 1 (5%) patient with a low Immunoscoring-IC, 12 (27%) patients with an intermediate Immunoscoring-IC and 9 (40%) patients with a high Immunoscoring-IC (unadjusted [HR_High] = 0.26, 95%

Cohorts	Training	Validation	FisherTestPv
Characteristic	133	132	
Sex			1.0000
Male	90	89	
Female	43	43	
Age_at_diag	65 (45-84)	61 [32, 84]	
Unknown	9	1	
Immunotherapy PD1/PDL1			0.3463
PD1 based	132	116	
PDL1 based	1	16	
Immunotherapy monotherapy/combo			1.0000
Monotherapy	129	129	
Combo therapy	4	3	
Immunotherapy monotherapy/combo			0.0000
Nivolumab-based	99	113	
Pembrolizumab-based	33	3	
Others	1	16	
Response			0.0814
SD	28	46	
PD	76	63	
PR	18	18	
CR	9	4	
Unknown	2	1	
Pfs_event	112	118	
Pfs_time	4.5 (0-41)	3 [0-67]	
Unknown		1	
OS_event	96	105	1.0000
Unknown	2	3	
OS_time	12.5 (0-41)	11 [0-95]	
Unknown	2	7	

133 NSCLC patients were included in a training cohort. An independent cohort of 132 NSCLC patients from a different care centre was used as validation. Nivolumab was the most frequently prescribed treatment, followed by pembrolizumab. The majority of patients received monotherapy as standard of care.

Table 1: Main characteristics of the training and validation cohorts.

CI (0.14–0.5), $P < 0.0001$, Fig. 4D). PFS and OS curves showed that patients with a high Immunoscore-IC have the best outcome compared to the low Immunoscore-IC group of patients (Fig. 4B–D).

LASSO model validation on an independent cohort of NSCLC patients

Comparable results were found between Immunoscore-IC and patient’s survival in an independent validation cohort when investigating two- or three-category Immunoscore-IC patients for PFS and OS (Fig. 5). In the two-category Immunoscore-IC, 95 (73%) patients had a high Immunoscore-IC whereas 36 (27%) patients had a low Immunoscore-IC. Patients with a low Immunoscore-IC had the highest risk of recurrence. Indeed, PFS at 24 months was seen in (0%) of patients with low Immunoscore-IC and in 14 (17%) patients with a high-IS-IC (unadjusted [HR] = 0.56, 95% CI (0.37–0.84), $P < 0.0054$, Fig. 5A). Moreover, PFS curve is reaching a plateau for high Immunoscore-IC patients at

24 months and OS at 24 months was recorded for 2 (6%) patients with a low-IS-IC and 28 (34%) patients with a high-IS-IC (unadjusted [HR] = 0.43, 95% CI (0.28–0.66), $P < 0.0001$, Fig. 5A and C).

In the three-category Immunoscore-IC, 13 (10%) patients had a high Immunoscore-IC, 82 (63%) patients had an intermediate Immunoscore-IC and 36 (27%) patients had a low Immunoscore-IC (Fig. 5B–D). PFS at 24 months was seen in (0%) of patients with low Immunoscore-IC, in 11 (15%) patients with an intermediate Immunoscore-IC and in 3 (31%) patients with a high Immunoscore-IC (unadjusted [HR_High] = 0.34, 95% CI (0.16–0.73), $P < 0.0054$, Fig. 5B). PFS curve reached a plateau at 24 months for intermediate and high Immunoscore-IC patients. Of note, OS at 24 months was recorded for 2 (6%) patients with a low Immunoscore-IC, 24 (35%) patients with an intermediate Immunoscore-IC and 3 (26%) patients with a high Immunoscore-IC (unadjusted [HR_High] = 0.34, 95% CI (0.16–0.73), $P = 0.005$, Fig. 5D). Similarly to the

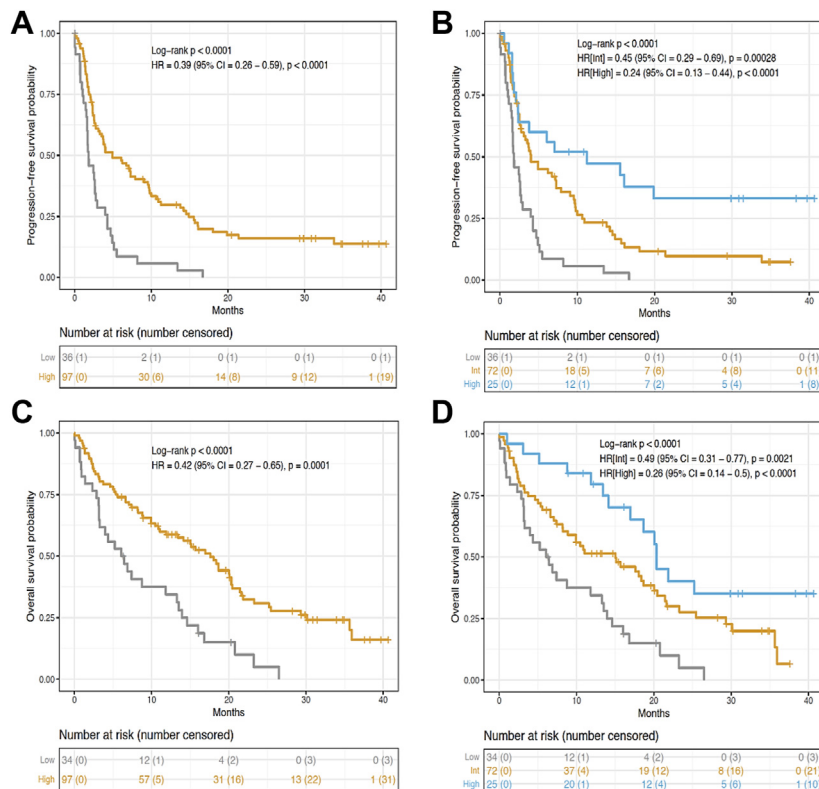


Fig. 4: Immunoscoring-IC is associated with improved PFS and OS of patients in the training set. Kaplan-Meier curves describing PFS (A, B) and OS (C, D) of patients in the two- or three-category Immunoscoring-IC.

two-category Immunoscoring-IC, PFS and OS at 36 months show that patients with a high Immunoscoring-IC have a better outcome compared to the low Immunoscoring-IC group of patients.

Discussion

Investigations demonstrated that particular immune subpopulations infiltrating tumours, like cytotoxic T cells, were significantly associated with the survival of the patients.¹⁹ The cancer immune contexture of solid tumours could be a dominant determinant of clinical outcome, also associated with immunotherapy response.¹³ The consensus Immunoscoring is the first worldwide standardised consensus assay to define cold and hot immune tumours by quantifying CD3 and CD8 T-cells.^{13,20} Its clinical utility was reinforced by demonstrating its predictive value in response to chemotherapy in colon cancer patients.^{20–22} The effectiveness of immune modulation strategies depends on the existence of a proper pre-existing immunity.^{23–27} Hallmarks of successful anti-cancer immunotherapy and ways to treat hot and cold immune tumours have been proposed.¹⁴ Despite anti-PD-(L)1 IC therapy approval for NSCLC patients, a sizeable proportion of patients still do not respond to it.² Thus, in the era of precision medicine

and combination immunotherapy, biomarkers for patient selection are highly desirable.¹⁴ To this date, IHC solo-staining for PD-L1 was the biomarker of choice for selecting lung cancer patients for anti-PD-(L)1 therapy in phase 3 clinical trials.^{2,28} Nevertheless, it is reported here that PD-L1 is an imperfect biomarker. Indeed, PD-L1 expression alone remains poorly predictive of the ICIs' efficacy in lung cancer, since only a small proportion of NSCLC patients highly expressing PD-L1 undergo a good response to ICI. Moreover, tumour mutation burden (TMB) or the combination of TMB and high-PD-L1 expression outperform PD-L1 alone as predictive biomarkers.²⁹ PD-L1+ patients do not always respond to ICIs therapy.³⁰ Therefore, additional enrichment for response in the PD-L1+ population may be needed to assess if PD-L1 is expressed in an adaptive (adaptive negative feedback-loop) rather than a constitutive (oncogenic induction) manner.

On the one hand, our findings are aligned with a previous human study linking PD-1+ and CD8+ lymphocytes to a positive response to ICIs.³¹ On the other hand, the visual evaluation of PD-L1 as a predictive biomarker for cancer immunotherapy is controversial. This is due to the subjective semi-quantitative evaluation of a simple stain reported by a pathologist, with impact on the interobserver variability and diagnostic accuracy

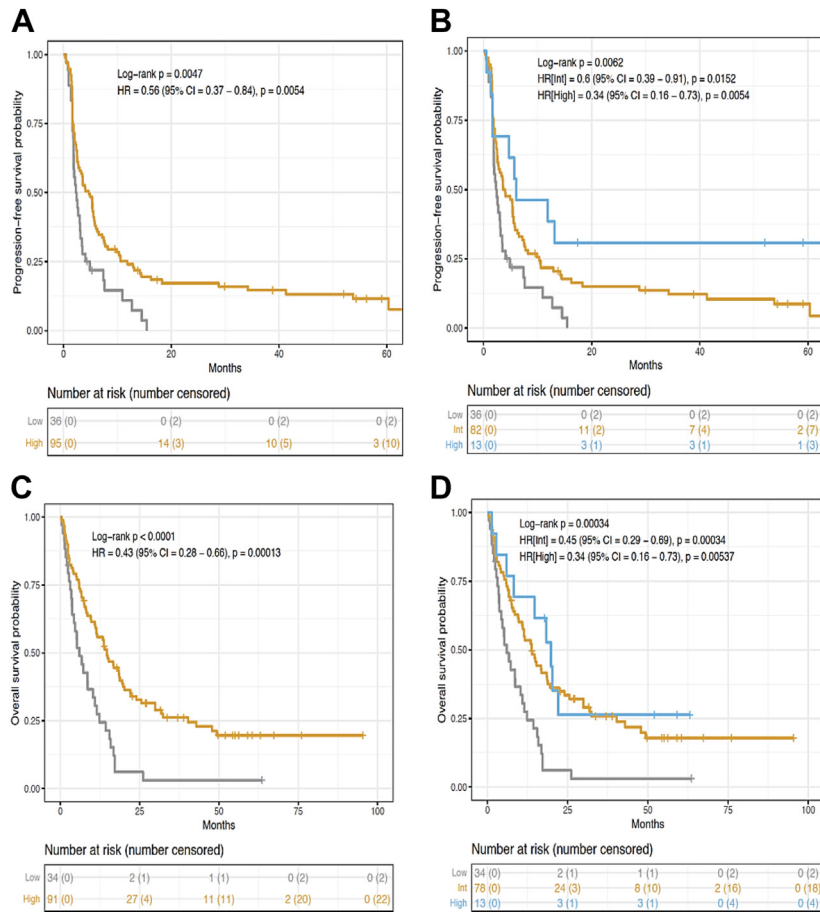


Fig. 5: Immunoreactive-IC is linked with improved PFS and OS of patients in the validation set. Kaplan-Meier curves describing PFS (A, B) and OS (C, D) of patients in the two- or three-category Immunoreactive-IC.

of PD-L1 immunostaining.³² Herein, our results show that (1) anti-PD-L1 HDX3 clone performed similarly to commercial anti-PD-L1 targeting mAbs (22C3, SP263), (2) Immunoreactive-IC DP tools were as strong as the pathologist's evaluation to decipher PD-L1+ and CD8+ cells and (3) Immunoreactive-IC test and SP263, 22C3 mAbs present a strong concordance.³³ Immunoreactive-IC is a potent predictive marker of response to anti-PD-1/PD-L1 immunotherapy. The positive impact of ICIs therapy is far greater for high Immunoreactive-IC patients than it is for low Immunoreactive-IC patients. Indeed, all patients (100%) with a low Immunoreactive-IC relapsed in less than 18 months, in contrast to 34% and 33% of high Immunoreactive-IC patients who did not relapse for more than 36 months in the training and validation cohorts, respectively. Immunoreactive-IC is a fast and simple standardised assay run on a single FFPE slide, which is often a matter of contention when managing patient's care for NSCLC. Hence, Immunoreactive-IC (1) is a potent quantitative and predictive marker of response to anti-PD-1/PD-L1 immunotherapy, (2) allows the

identification of responder and non-responder NSCLC patients for ICIs therapy, (3) was shown to be highly standardised and reproducible and (4) has a predictive value superior to the currently used PD-L1 solo-staining and could guide clinicians to choose between ICIs therapy or chemotherapy.³⁴

However, this study has several limitations. Moving forward, it would be of interest to validate the predictive value of the Immunoreactive-IC. Even if two independent cohorts showed equivalent results regarding the Immunoreactive-IC predictive performance, patients may be heterogeneous. These results should be validated on larger cohorts of NSCLC patients within randomised clinical trials, as well as in other cancer types.³⁵

Moreover, in order to standardise the assays, additional efforts are needed to reveal the cell type expressing PDL-1, since preclinical data suggests that PD-L1 expression in tumour and immune cells can modulate T-cell function in the TM.³⁶ Furthermore, the implementation of using digital slides would be essential, as reported by The College of American Pathologists and

the Digital Pathology Association guidelines.³⁷ In the era of personalised medicine, immunotherapy with anti-PD-(L)1 mAbs represents a relevant clinical option for patients with advanced stage NSCLC.³⁸

Innovative characterisation of the TME with a focus on multidimensional, spatially resolved interactions at a cellular level will provide critical mechanistic insights into therapeutic responses and potentially identify improved biomarkers for patient selection. Whole-slide image scanning and DP of several markers have paved the way for the development of immune contexture signatures as well as its implementation in hospital-hubs.¹³ Besides, pathologists are less reluctant to the idea of signing-out reports based on digital slides, especially when comparative studies have been published and showed solid data on safety and feasibility.³⁹

ICIs therapy is potentially highly effective in specific groups of patients. Delivering robust predictive signatures can allow a better patient stratification and better clinical decision-making in cancer treatment. Indeed, immune-related adverse-events associated with anti-PD-(L)1 treatment in NSCLC patients were studied in a meta-analysis and showed that the overall incidence of these events was 22% for all grades and 4% for high-grade (grade ≥ 3) NSCLC.⁴⁰ Furthermore, Immunoscore-IC was also used in metastatic colorectal cancer patients treated with immunotherapy. The combination of the anti-PD-L1 atezolizumab with first-line FOLFOXIRI plus bevacizumab was significantly improving the outcome of metastatic colorectal cancer patients only in the group of Immunoscore-IC high tumours.⁴¹ Our preliminary analyses also demonstrated the predictive power of Immunoscore-IC in another combination immunotherapy (Chemotherapy + anti-VEGF + anti-PDL1) in first line metastatic colorectal cancer. Immunoscore-IC significantly predicted responder to this combination immunotherapy. It is likely that Immunoscore-IC will be a relevant and informative test to multiple combination immunotherapy with anti-PD1/L1, such as anti-CTLA4 + anti-PD1/L1. However, further studies are ongoing and are essentially needed to further investigate the predictive power of IS-IC for other immunotherapy or chemo-immunotherapy combinations.

Immunoscore-IC can minimise treatment costs by excluding potential non-responding patients. It could also serve pharmaceutical companies and academic clinical-centers to select the right patients, thus improving the success rate of clinical trials and allowing unresponsive patients to enter immunotherapy combination trials.

In conclusion, the recent success of immune-based cancer therapy and digital imaging are changing the pathology practice. Immunoscore-IC using spatial quantitative analysis of CD8 and PD-L1 markers is predictive of the efficacy of anti-PD-(L)1 immunotherapy in NSCLC.

Contributors

Study concept and design: (JG, JF). Acquisition of data: (JG, JF, FM, TS, IB, AC). Statistical analysis (AK). Interpretation of data: (JG, JF, FM, FB, FG, AM, NB, JA, LG, PT). Drafting of the manuscript: (JG, FG, AM). Drafting of the figures: (AK, FM, JG). Critical revision of the manuscript: (JG, JF, AK, JA, AM, EM, YO, FB, FG, LG, PT). Technical support: (FM, CL, NB, JA, TS). Material support: (FB, FG, LG, PT, ALL, JDF, AI). Clinical data: (FB, FG, LG, PT). Study supervision: (JG). Data verification: (FG and JG). All authors read and approved the final version of the manuscript.

Data sharing statement

Detailed extracted data on all included studies are available immediately following publication upon request to the corresponding author. Proposals should be directed by email to the corresponding author JG, at jerome.galon@crc.jussieu.fr.

Declaration of interests

JG has patents associated with the immune prognostic biomarkers. JG is co-founder of HalioDx, a Veracyte company. Immunoscore® a registered trademark owned by the National Institute of Health and Medical Research (INSERM) and licenced to Veracyte.

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Appendix A. Supplementary data

Supplementary data related to this article can be found at <https://doi.org/10.1016/j.ebiom.2023.104633>.

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