Review



# **Cardiotoxicity in autologous** haematopoietic stem cell transplantation for systemic sclerosis

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#### Abstract

Autologous haematopoietic stem cell transplantation is now well-established as an effective treatment for severe systemic sclerosis with clear demonstration of favourable end-organ and survival outcomes. Treatment-related cardiotoxicity remains the predominant safety concern and contraindicates autologous haematopoietic stem cell transplantation in patients with severe cardiopulmonary disease. In this review, we describe the cardiovascular outcomes of autologous haematopoietic stem cell transplantation recipients, discuss the potential mechanisms of cardiotoxicity and propose future mitigating strategies.

### **Keywords**

Scleroderma, systemic sclerosis, cardiac, stem cell transplantation, autologous haematopoietic stem cell transplantation, toxicity, safety

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## Introduction

Autologous haematopoietic stem cell transplantation (AHSCT) is an effective treatment for severe systemic sclerosis (SSc) as determined by phase II/III trials.<sup>1-3</sup> Subsequently, AHSCT has been proposed as the gold standard treatment for severe disease.<sup>4</sup> Most SSc patients undergoing AHSCT have an uncomplicated transplant journey and exhibit a sustained disease response. Nonetheless, concerns regarding treatment-related toxicity remain, particularly acute cardiotoxicity, which is the leading cause of treatment-related mortality (TRM).<sup>5</sup> The heightened risk of poor acute cardiac outcomes suggests an interaction of prevalent underlying SSc with heart involvement (SSc-HI) or pulmonary arterial hypertension (PAH) with the physiological stress and/or inherent toxic effects of therapeutic agents used in the AHSCT process, particularly high-dose cyclophosphamide (CYC). While screening guidelines may help mitigate risk, outcomes such as acute CYC-cardiotoxicity are unlikely to be completely prevented with the current screening algorithm.<sup>6</sup> Strict cardiac exclusion criteria also leave a significant proportion of patients ineligible for AHSCT. Hence, there is a clear need to adopt evidence-based AHSCT protocols with an improved cardiac safety profile while maintaining the demonstrated efficacy of current therapy.

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Table I. Causes of cardiac morbidity/mortality and potential cardiovascular risk factors.

Known causes of cardiac mortality/morbidity in AHSCT
Cyclophosphamide toxicity
Arrhythmia
Coronary artery disease
Pulmonary arterial hypertension
Constrictive pericarditis
Pericardial effusion/tamponade
Acute cardiac failure
Sudden cardiac arrest
Myocarditis
Cardiovascular risk factors associated with poor outcomes from AHSCT
Smoking (active or former)
Male gender
Baseline left ventricular dysfunction (LVEF < 50%)

AHSCT Autologous haematopoietic stem cell transplantation; LVEF left ventricular ejection fraction.

# Cardiovascular outcomes in AHSCT for SSc

# Cardiovascular events are the principal cause of treatment-related death

AHSCT offers significant end-organ and mortality benefits over conventional therapy in SSc with the majority of patients undergoing transplant without serious complications. Nonetheless, early toxicity due to AHSCT is a longrecognised issue.<sup>7,8</sup> The reported frequency of death as a result of treatment (TRM), is highly variable; in the randomised trials of AHSCT in SSc, the rate is  $0-10\%^{1-3}$  and a recent meta-analysis estimated the rate of TRM at 6.3%.<sup>5</sup> Importantly, TRM has decreased over time.<sup>5,9</sup>

Cardiac events are the predominant cause of early treatment-related death.<sup>5</sup> Causes of cardiac death are variable and include cardiac arrest, cardiac failure, ischaemic events, tamponade and arrhythmias (Tables 1 and 2). CYC has a well-characterised potential to cause acute cardiotoxicity when used in high doses and CYC-cardiotoxicity has been cited as a cause of death in numerous studies of AHSCT for SSc (Table 2).<sup>8,10–12</sup> Nonetheless, while the characteristic histopathological entity of CYC-induced haemorrhagic myopericarditis has been reported, direct pathological evidence of CYC-cardiotoxicity is not explicit in other studies.<sup>13</sup>

Pre-existing cardiac disease such as decreased left ventricular (LV) function increases the risk of TRM.<sup>9</sup> Notably, smoking may be an additional risk factor for treatmentassociated death, as 7/8 of deaths in the ASTIS (Autologous Stem Cell Transplantion International Scleroderma) trial were those with a current or former smoking history;<sup>3</sup> however, this risk was not confirmed in a subsequent multi-variate analysis inclusive of the ASTIS cohort.<sup>9</sup> Other independent risk factors of TRM include older age and male gender.<sup>9</sup> Aside from death, studies of AHSCT for SSc demonstrate cardiac morbidity (Tables 1 and 2). Volume overload is reported in up to 30% of patients; baseline PAH and constrictive pericarditis are thought to increase the susceptibility to fluid overload during the conditioning period.<sup>23</sup> Other reported events include arrhythmias, transient LV dysfunction, cardiac failure and elevations in cardiac enzymes.

# Long-term cardiovascular outcomes following AHSCT

Conversely, there is evidence that AHSCT has long-term beneficial outcomes for SSc-HI or PAH, as it does for skin and lung disease. Early studies including patients with mild PAH reported stability or improvement of PAH; however, the conditioning regimen and concurrent treatments used in these patients are unknown.<sup>14</sup> In one reported case of a SSc patient with myocarditis, AHSCT using a high-dose CYC (200 mg/kg) regimen resulted in resolution of this manifestation.<sup>29</sup>

LV function post-AHSCT appears largely stable over the long term,<sup>14,16,19,24</sup> although a small decline was reported up to 8 years post-AHSCT in one study.<sup>18</sup> In ASTIS, there was no difference in LV ejection fraction (LVEF) between the AHSCT and CYC arms at 2 years.<sup>3</sup> One small study using thiotepa conditioning reported stable troponin and NTproBNP levels post-AHSCT.<sup>24</sup> Disease progression involving the heart appears to be uncommon post-AHSCT<sup>10,21,25</sup> and in one study with a median followup of 4.6 years, there was only one case of cardiac failure in a cohort of 82 (excluding patients with TRM).<sup>9</sup>

In the controlled studies, there are more reports of nonacute adverse cardiovascular outcomes in the IV CYC (control) arms compared to the AHSCT arms, including PAH, organ failure and cardiovascular death, although these data either do not reach significance, or significance

<b>Table 2.</b> C <sup>2</sup>	Irdiovas	cular outcomes from sti	udies of AHSCT for S	Sc.					
Author	Year	Mobilisation	Conditioning regimen	Patients n =	TRM n=(%)	Cardiac death n=(%)	Types of cardiac death (time point post-AHSCT) (mob = mobilisation; d = day)	Other acute cardiovascular events	Long-term cardiovascular outcomes
Binks et al. <sup>14</sup> †	2001	CYC $4g/m^2 \pm$ G-CSF or G-CSF alone	CYC 120-200 mg/kg ± ATG ± radiation ± anti-CD52 ± busulphan; carmustine/fludarabine/ thiotepa	4	7(17.1)†	3 (7.3)†	lschaemic heart disease (mob), cardiac failure (mob), myocarditis (mob)†		Stable LVEF post-AHSCT Stable pulmonary pressures on echocardiogram Death from PAH (n=1)†
Farge et al. <sup>15</sup>	2002	CYC 4g/m <sup>2</sup> + G-CSF (n = 1 BM stem cells)	CYC 200 mg/kg*	=	1 (9.1)	0 (0)		Transient cardiac failure due to hyperhydration (mob) Grade IV cardiac toxicity (n = 1)	LVEF stable post-AHSCT
McSweeney et al. <sup>16</sup>	2002	G-CSF	CYC 120 mg/ kg + TBI + ATG	61	3 (15.8)	0 (0)		~	Transient fall in LVEF at 3 months post- AHSCT
Farge et al. <sup>17</sup> †	2004	CYC $4g/m^2$ + G-CSF or G-CSF alone (n = 1 BM stem cells)	CYC I 50-200 mg/kg ± irradiation ± ATG ± anti-CD52 BEAM: fludarabine; carmustine	57	5 (8.8)†	3 (5.3)†	Cardiac failure (d30), myocarditis (d8)†		Death from PAH (n= I)†
Nash et al. <sup>18</sup>	2007	G-CSF	CYC 120 mg/ kg + TBI + ATG	34	8 (23.5)	I (2.9)	Arrhythmia(0.5 months)	Supraventricular arrhythmias (n=2), heart failure (n=2)	Small decline in LVEF at 5–8 years
Oyama et al. <sup>19</sup>	2007	CYC $2g/m^2 + G-CSF$	CYC 200 mg/kg + ATG	01	(0) 0	0 (0)		Fluid overload (n = 3), acute left ventricular failure (n = 1)	Stable LVEF and pulmonary pressures
Farge et al. <sup>20</sup> †	2010	CYC 1.5.4g/m <sup>2</sup> + G-CSF or G-CSF alone (n = 4 BM stem cells)	CYC 150-200 mg/kg; busulfan; BEAM ± ATG; TBI	175	12 (6.9)	l (0.6)	Cardiac toxicity	~	
Burt et al. <sup>I</sup>	2011	CYC 2g/m <sup>2</sup> + G-CSF	CYC 200 mg/kg + ATG	01	(0) 0	0 (0)		Arrhythmias(n = 2), volume overload (n = 2)	
Henes et al. <sup>21</sup>	2012	CYC $2g/m^2 + G$ -CSF (n = I BM stem cells)	CYC 200 mg/kg <sup>#</sup> + ATG	26	3 (11)	(0) 0		~	Fatal arrhythmia at 23 months (n= l)
Moore et al. <sup>22</sup> Burt et al. <sup>23</sup>	2012 2013	CYC 2g/m <sup>2</sup> + G-CSF CYC 2g/m <sup>2</sup> + G-CSF	CYC 200 mg/kg + ATG CYC 200 mg/kg + ATG	06	0 (0) 5 (5.6)	0 (0) 4 (4.4)	Cardiac arrest (mob), cardiac failure (n = 2), constrictive pericarditis (during transplantation)	Volume overload (15.5%)	
Henes et al. <sup>24</sup>	2014	$CYC 2g/m^2 + G-CSF$	CYC 100 mg/kg + thiotepa 2x 5 mg/kg + ATG	9	(0) 0	0 (0)			Stable LVEF and troponin post-AHSCT Progressive PAH (n=1)
Van Laar et al. <sup>3</sup>	2014	CYC 4g/m <sup>2</sup> + G-CSF	CYC 200 mg/kg + ATG	79	8 (10.1)	3 (3.8)	Cardiac failure (n = 2; dl l, d35), myocardial infarction (d14)		No difference in LVEF at 2 years between AHSCT and CYC arms No cardiac death or cardiac failure in HSCT arm Grade III cardiac event (16.4%) in HSCT arm
Del Papa et al. <sup>25</sup> Sullivan et al. <sup>2</sup>	2017 2018	CYC 4g/m <sup>2</sup> + G-CSF G-CSF	CYC 200 mg/kg + ATG CYC 120 mg/ kg + TBI + ATG	18 36	l (5.6) 2 (6)	0 (0) 0 (0)		Transient fall in LVEF (n= I)	Fatal arrhythmia at 34months (n= 1) ≥Grade III cardiac disorders (8.8% in AHSCT vs 16.2% CYC arm, p=0.1)
									(Continued)

Author	Year	Mobilisation	Conditioning regimen	Patients n =	TRM n=(%)	Cardiac death n=(%)	Types of cardiac death (time point post-AHSCT) (mob = mobilisation; d = day)	Other acute cardiovascular events	Long-term cardiovascular outcomes
Nakamura et al. <sup>26</sup>	2018	CYC 4g/m <sup>2</sup> + G-CSF	CYC 200 mg/kg	4	1 (7.1)	1 (7.1)	Cardiac failure with cardiopulmonary arrest (during conditioning)	Cardiac failure (n= 1)	
Nair et al. <sup>27</sup>	2018	CYC 2g/m <sup>2</sup> + G-CSF	CYC 60 mg/ kg + fludarabine 30 mg x2 + ATG	4	(0) 0	(0) 0	0		Stable LVEF post-AHSCT
Van Bijnen et al. <sup>9</sup>	2020	CYC 4g/m <sup>2</sup> + G-CSF	CYC 200 mg/kg + ATG	92	10 (10.9)	9 (9.8)	Not specified		Cardiac failure (n = 1)
Henrique-Neto et al. <sup>10</sup>	2021	CYC 2g/m <sup>2</sup> + G-CSF	CYC 200 mg/kg <sup>\$</sup>	70	3 (4.3)	1 (1.4)	Acute cyclophosphamide cardiotoxicity (d60)	Cyclophosphamide-induced cardiac dysfunction (n = 4)	Pericarditis Fatal cardiac insufficiency (n=2)
Henes et al. <sup>8</sup>	2021	CYC I-4 g/m <sup>2</sup> + G-CSF	CYC 50–240 mg/kg + ATG ( $n = 76$ ) CYC 100 mg/kg + thiotepa 10 mg/kg + ATG ( $n = 4$ )	80	5 (6.3)	4 (5.0)	Acute cyclophosphamide cardiotoxicity (n = 3) (d1, d9, d61), AHSCT associated cardiac toxicity (d1)	Pericardial effusion Arrhythmias Myocardial infarction	
Burt et al. <sup>28</sup>	2021	CYC 2g/m <sup>2</sup> + G-CSF	Fludarabine 120 mg/ m <sup>2</sup> + cyclophosphamide 60 mg/kg + ATG (±rituximab)	42	I (2.4)	I (2.4)	Myocardial infarction (during transplant hospitalisation)	Pericardial effusion with tamponade (n = 1) Atrial fibrillation (n = 2)	
Peripheral blood 120/m <sup>2</sup> + ATG if cytarabine, melp <sup>1</sup>	stem cell: cardiac di nalan, etop	s were used in all studies unle isease. †Studies drawn from tl poside; CYC cyclophosphamic	ess stated otherwise. *Melpha he same registry. Acute card. de; ATG anti-thymocyte glob	llan 140 mg/r liovascular ev ulin; TBI tot	n <sup>2</sup> in n = 1 due 1 /ents were exti al body irradiat	to LVEF < 40%. racted from ever ion; LVEF left v	#CYC 100 mg/kg + thiopeta in c ants reported during the transpl rentricular ejection fraction; PAF	one patient with cardiac diseas ant period or up to day + 100. A pulmonary arterial hypertens	e. <sup>\$</sup> Fludarabine 120 mg/m <sup>2</sup> and melphalan BM bone marrow: BEAM carmustine, sion, TRM treatment-related mortality.

Table 2. (Continued)

heral blood stem cells were used in all studies unless stated otherwise. "Melphalan 140 mg/m <sup>2</sup> in n=1 due to LVEF <40%. #CYC 100 mg/m <sup>2</sup> + thiopeta in one patient with cardiac disease. <sup>\$</sup> Fludarabine 120 mg/m <sup>2</sup> an n <sup>2</sup> + ATG if cardiac disease. <sup>‡</sup> Studies drawn from the same registry. Acute cardiovascular events were extracted from events reported during the transplant period or up to day + 100. BM bone marrow; BEAM ca abine, melphalan, etoposide; CYC cyclophosphamide; ATG and treatment-related	d melphala	rmustine,	mortality.
heral blood stem cells were used in all studies unless stated otherwise. *Melphalan 140 mg/m <sup>2</sup> in n = 1 due to LVEF < 40%. #CYC 100 mg/kg + thiopeta in one patient with cardiac disease. <sup>\$</sup> Fludarabine 1 n <sup>2</sup> + ATG if cardiac disease. <sup>‡</sup> Studies drawn from the same registry. Acute cardiovascular events were extracted from events reported during the transplant period or up to day + 100. BM bone marro abine, melphalan, etoposide; CYC cyclophosphamide; ATG anti-thymocyte globulin; TBI total body irradiation; LVEF left ventricular ejection fraction; PAH pulmonary arterial hypertension; TRM treatn	20 mg/m <sup>2</sup> ar	w; BEAM ca	nent-related
heral blood stem cells were used in all studies unless stated otherwise. *Melphalan 140 mg/m <sup>2</sup> in n = 1 due to LVEF <40%. #CYC 100 mg/kg + thiopeta in one patient with cardiac disease. <sup>5</sup> F n <sup>2</sup> + ATG if cardiac disease. †Studies drawn from the same registry. Acute cardiovascular events were extracted from events reported during the transplant period or up to day + 100. BM abine, melphalan, etoposide; CYC cyclophosphamide; ATG anti-thymocyte globulin; TBI total body irradiation; LVEF left ventricular ejection fraction; PAH pulmonary arterial hypertension;	ludarabine I	bone marro	TRM treatn
heral blood stem cells were used in all studies unless stated otherwise. "Melphalan 140 mg/m <sup>2</sup> in n=1 due to LVEF < 40%. #CYC 100 mg/kg + thiopeta in one patient with cardiat n <sup>2</sup> + ATG if cardiat disease. †Studies drawn from the same registry. Acute cardiovascular events were extracted from events reported during the transplant period or up to day abine, melphalan, etoposide; CYC cyclophosphamide; ATG and-thymocyte globulin; TBI total body irradiation; LVEF left ventricular ejection fraction; PAH pulmonary arterial h	c disease. <sup>\$</sup> F	r + 100. BM	pertension;
heral blood stem cells were used in all studies unless stated otherwise. "Melphalan 140 mg/m² in n=1 due to LVEF <40%. #CYC 100 mg/kg + thiopeta in one patient n² + ATG if cardiac disease. †Studies drawn from the same registry. Acute cardiovascular events were extracted from events reported during the transplant period o abine, melphalan, etoposide; CYC cyclophosphamide; ATG and thy mocyte globulin; TBI total body irradiation; LVEF left ventricular ejection fraction; PAH pulmonar	with cardiad	or up to day	y arterial hy
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heral blood stem cells were used in all studies unless stated otherwise. *Melphalan 140 mg/m² in n=1 due to LVEF <4 n² + ATG if cardiac disease. †Studies drawn from the same registry. Acute cardiovascular events were extracted from abine, melphalan, etoposide; CYC cyclophosphamide; ATG anti-thymocyte globulin; TBI total body irradiation; LVEF l	0%. #CYC	events rep	eft ventricul
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heral blood stem cells were used in all studies unless stated otherwise. "Melphalan $n^2 + ATG$ if cardiac disease. †Studies drawn from the same registry. Acute cardiov abine, melphalan, etoposide; CYC cyclophosphamide; ATG anti-thymocyte globuli	140 mg/m <sup>2</sup> i	ascular even	η; TBI total
heral blood stem cells were used in all studies unless stated otherwise n <sup>2</sup> + ATG if cardiac disease. †Studies drawn from the same registry. Ac abine, melphalan, etoposide; CYC cyclophosphamide; ATG anti-thymo	. *Melphalan	ute cardiov	cyte globulii
heral blood stem cells were used in all studies unless states $n^2 + ATG$ if cardiac disease. †Studies drawn from the same abine, melphalan, etoposide; CYC cyclophosphamide; ATG	d otherwise.	registry. Ac	anti-thymo
heral blood stem cells were used in all studies i n <sup>2</sup> + ATG if cardiac disease. †Studies drawn fro abine, melphalan, etoposide: CYC cyclophosph	unless stated	m the same	amide; ATG
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heral blood stem cells w n² + ATG if cardiac dise abine, melphalan, etopo:	/ere used in	ase. †Studie	side; CYC c
heral blood ; n <sup>2</sup> + ATG if , abine, melph	stem cells w	cardiac disea	alan, etopos
	heral blood	n <sup>2</sup> + ATG if	abine, melph



**Figure 1.** Putative mechanisms of (in boxes), and mitigating strategies for preventing cardiotoxicity in SSc patients receiving AHSCT with cyclophosphamide conditioning. SSc-HI leads to impaired myocardial reserve that increases the risk of cardiotoxicity. PAH pulmonary artery hypertension; LV left ventricular; RV right ventricular; ACEi angiotensin converting enzyme inhibitor; ARB angiotensin II receptor blocker; AHSCT autologous haematopoietic stem cell transplantation; CYC cyclophosphamide; IBW ideal body weight; BSA body surface area.

is not reported (Table 2).<sup>2,3</sup> Cardiac deaths during longterm (5.8–6 years) follow-up were seen in the CYC arms of ASTIS and SCOT, whereas there were none in the AHSCT arm of either trial.<sup>2,3</sup>

# Potential cardiotoxic mechanisms in AHSCT

AHSCT may give rise to physiological stressors that interact with highly-prevalent, pre-existing cardiopulmonary disease, resulting in cardiotoxicity (Figure 1).

Notably, AHSCT protocols may include 'hyperhydration' intravenous fluid protocols during conditioning and as a result exacerbate an already compromised cardiac state that is unable to endure an excess volume load. This was observed in patients with underlying PAH and constrictive pericarditis and shaped the subsequent screening guidelines.<sup>6,23</sup> Patients with myocardial fibrosis may also have an impaired response to a fluid challenge.<sup>23</sup>

Myocarditis, myocardial fibrosis or microcirculatory disease may decrease cardiac reserve in response to metabolic, toxic or physiological challenges, such as infection, fever or cytokine release encountered in the conditioning period. Untreated coronary artery disease increases the risk of ischaemic events in the presence of these exogenous factors.<sup>28</sup> Smoking may also increase the susceptibility to cardiotoxicity, accounting for the excess TRM seen in smokers.

The cardiotoxic potential of high-dose CYC is wellknown, with consensus that toxicity correlates with dose.<sup>11,30,31</sup> While there is no general agreement on stratification of CYC dosing in AHSCT, some have defined CYC < 100 mg/kg as low-dose, 100–200mg/kg as reduceddose and > 200 mg/kg as high dose.<sup>32</sup> In the non-SSc AHSCT setting, LV dysfunction is estimated to occur in 7–28% of patients undergoing CYC therapy<sup>33</sup> and subclinical cardiac toxicity may be seen in up to 90%.<sup>11</sup> In older studies of haematological malignancies using CYC 180 mg/kg–200 mg/kg, fatality from CYC-cardiotoxicity was 12–19%;<sup>11,30</sup> modern data show clear improvement over time (fatality rate of 1.5–2%).<sup>34,35</sup>

CYC cardiotoxicity classically manifests within 48 h to 10 days<sup>30,34</sup> and is characterised by acute decompensated cardiac failure with or without pericardial effusion (including tamponade).<sup>11</sup> Autopsy cases indicate characteristic pathological findings of acute myopericarditis with endothelial damage, haemorrhages and oedema.<sup>11,13,36</sup> Predictors of CYC-cardiotoxicity in non-SSc cohorts include pre-existing cardiac disease,<sup>31</sup> age<sup>35,37</sup> and previous exposure to CYC.<sup>35</sup>

CYC is a prodrug and metabolised by cytochrome P450 enzymes to hydroxycyclophosphamide and subsequently its active forms phosphoramide and acrolein. Hydroxycyclophosphamide and acrolein are thought to be the key mediators of cardiotoxicity, with acrolein resulting in mitochondrial dysfunction and failure of adenosine triphosphate production; an impaired myocardium, as may arise in SSc, may be unable to withstand this metabolic insult.<sup>38–40</sup>

Other AHSCT conditioning agents may exert cardiotoxic effects. For instance, anti-thymocyte globulin (ATG) is a horse or rabbit-derived polyclonal antibody product directed against human T cells. A reaction analogous to serum sickness is the most commonly reported side effect. Adverse effects on the cardiovascular system are rare and may be due to cytokine release rather than direct toxicity.<sup>41–43</sup> It is plausible that the effects of ATG on cardiovascular stability may have a deleterious interaction with pre-existing disease, high-dose CYC and hyperhydration. Although thiotepa is used instead of CYC to mitigate cardiac toxicity in AHSCT for SSc, one report suggests that it also has cardiotoxic potential.<sup>44</sup> Rituximab-induced cardiotoxicity has been reported but remains a controversial association.<sup>45</sup>

Comparison with studies of AHSCT for other autoimmune diseases suggests that risk of TRM and particularly cardiotoxicity is elevated in SSc. When comparing outcomes using the same high-dose CYC conditioning protocol, TRM is 0–4% for multiple sclerosis (MS), with no cardiac deaths seen across the three MS studies.<sup>46-48</sup> This implicates disease-specific factors in SSc as a cause of poor cardiac outcomes.

# Potential measures to reduce cardiac toxicity during AHSCT

Addressing treatment-related cardiotoxicity is essential to improve safety of AHSCT for SSc. Screening for cardiac disease, modifications to conditioning chemotherapy and adjunctive cardioprotective agents may have a role in reducing cardiotoxicity (Figure 1).

# Rationale for screening for cardiac disease and PAH prior to AHSCT

The high prevalence, varied manifestations and often subclinical course of cardiopulmonary disease in SSc, combined with the excess risk of acute, adverse cardiac outcomes in SSc patients undergoing AHSCT using the standard (high-dose) CYC protocol, has prompted the development of screening guidelines with specific cardiac exclusion criteria (Tables 3 and 4).<sup>6,49</sup> The exclusion criteria from the major studies are outlined in Table 3.

Primary or secondary SSc-HI<sup>50</sup> has been described in all anatomic sites of the heart (Figure 2), is associated with a significantly increased risk of death.<sup>51,52</sup> Those with rapidly progressive skin thickening or diffuse disease are at highest risk of SSc-HI; these same disease characteristics are indications for AHSCT and hence the AHSCT population may be enriched for SSc-HI.<sup>53,54</sup>

Early trials, without strict exclusion criteria for PAH, reported PAH in 19–31% undergoing AHSCT.<sup>14,17</sup> An abnormal ECG is reported in up to 80% undergoing AHSCT,<sup>1</sup> an abnormal ambulatory ECG in 20%<sup>8</sup> and a pericardial effusion in 6.3%.<sup>8</sup> CMR abnormalities are reported in up to 50% of consecutive patients screened for AHSCT.<sup>23</sup>

The introduction of CMR and right heart catheterisation (RHC) into screening coincides with the reduction of TRM

in one study.<sup>9</sup> Adherence to these guidelines is variable, however, with Henes et al.<sup>8</sup> reporting that 26% of patients (including the four deaths) transplanted between 2012 and 2016 did not have either a RHC or CMR, despite the publication of updated guidelines in 2012.<sup>49</sup>

Critically, despite exhaustive screening, cardiac death and morbidity still occur in a small percentage of Sac patients receiving AHSCT, with CYC-induced cardiotoxicity listed as a key cause in recent studies.<sup>8,10,13,26</sup> Henes et al reported CYC-cardiotoxicity in three patients, all of whom had a normal baseline CMR; hence, there are likely to be other contributory patient and disease-specific factors for carditoxicity not captured by current screening modalities.

### Screening tests

*Echocardiogram*. Echocardiogram is an important initial test in the assessment of candidates for SSc and specific findings alone (e.g. significant LV systolic dysfunction) may identify patients at too high a risk for conventional AHSCT treatment.<sup>9</sup> Echocardiogram may show incidental findings that would warrant further evaluation prior to transplant, for example severe valvular disease. However, it is well recognised that echocardiogram alone does not have the required sensitivity to detect all clinically relevant disease; for example, echocardiogram may underestimate pulmonary arterial pressure and cannot be used to diagnose PAH.<sup>55</sup> Addition of dobutamine stress testing to echocardiography can assess cardiac reserve and potential myocardial ischaemia due to coronary artery disease, and is recommended.<sup>6</sup>

Right heart catheterisation. RHC is the gold standard test to confirm PAH and is an essential test to investigate constrictive pericarditis. Guidelines suggest that all SSc patients undergo RHC prior to AHSCT. The thresholds for exclusion are listed in Table 3 and current guidelines essentially exclude any patient with pulmonary hypertension (PH). Importantly, it is suggested that patients undergo a fluid challenge (if RA pressure < 12 mmHg and pulmonary capillary wedge pressure < 20 mmHg), as the response to fluid challenge may identify those at higher risk of complications from AHSCT.<sup>6,23</sup> PH was defined as a mean pulmonary artery pressure (mPAP)≥25 mmHg when the cardiac screening guidelines were published; however, it is now proposed that this threshold be reduced to mPAP>20 mmHg and to also include the pulmonary vascular resistance of  $\geq$  3 Wood Units in the definition.<sup>56</sup> It is yet to be determined if this change of definition should prompt change to the screening guidelines.

*Cardiovascular magnetic resonance.* CMR provides excellent anatomical, perfusion and functional data with superior accuracy compared to echocardiography and hence it

	EBMT Recommendations <sup>6</sup>	ASSIST	ASTIS <sup>3</sup>	SCOT <sup>2</sup>	Henrique-Neto et al. <sup>10</sup>	Henes et al. <sup>8</sup>
PAH assessment	PASP > 40 mmHg at rest or > 45 mmHg post-fluid challenge (RHC) mPAP > 25 mmHg at rest or > 30 mmHg after fluid challenge (RHC) Decrease or lack of augmentation of CO after fluid challenge Pulmonary vascular resistance > 3 wood units	PASP > 40 mmHg (RHC) mPAP > 25 mmHg (RHC)	mPAP > 50 mmHg	PA peak systolic pressure > 55 mmHg (echo) PA peak systolic pressure 45- 55 mmHg (echo) and mPAP by RHC > 25 mmHg at rest or 30 mmHg with exercise WHO Class III or IV symptoms Taking medications that lower pulmonary artery pressure	Systolic pulmonary artery pressure > 40 mmHg by echocardiography or mPAP > 27 mmHg by right heart catheter	Pulmonary arterial hypertension with PASP > 50 mmHg
LV function	LVEF < 45%	LVEF < 40%		Clinical evidence of significant CHF (NYHA Class III or IV) LVEF < 50% by echocardiogram	LVEF < 40% Moderate or severe diastolic dysfunction (echocardiography, CMR or RHC)	Severe heart failure LVEF < 40% by cardiac echo
CMR abnormalities Coronary artery disease	D-sign or septal bounce Unrevascularised severe coronary artery disease					
Arrhythmias	Untreated severe arrhythmia			Uncontrolled clinically significant arrhythmias	Ventricular arrhythmias	
Pericardial abnormalities	Cardiac tamponade Constrictive pericarditis				Constrictive pericarditis	
Other		Symptomatic cardiac disease Tricuspid annular plane systolic excursion < 1.8 cm	Severe major organ involvement	Prior insertion of a pacemaker or cardioverter- defibrillator		
PAH nulmonary arterial hypertensi	interventricular: CMB cardiovarian	mamotic resonance imaging	EBMT Europoon Socioty fo	T		DAB moon

Table 3. Cardiac exclusion criteria from recent major AHSCT studies in SSc and exclusion criteria recommendations from the EBMT.

monary artery pressure; RHC right heart catheterisation; CO cardiac output; LVEF left ventricular ejection fraction; PA pulmonary artery; WHO World Health Organisation; CHF congestive heart failure; NYHA New York Heart Association; ASIST Autologous Stem Cell Systemic Sclerosis Immune Suppression Trial; ASTIS Autologous Stem Cell Transplantion International Scleroderma; SCOT Scleroderma; Cyclophosphamide or Transplantation.

#### Table 4. Cardiac screening tests prior to AHSCT (adapted from Farge et al.<sup>6</sup>) CMR cardiovascular magnetic resonance imaging.

BNP or NTproBNP Electrocardiography (ECG) Holter monitor if history of palpitations or abnormal ECG Echocardiogram with Doppler and tissue Doppler plus dobutamine stress CMR with contrast Right heart catheterisation with fluid challenge

AHSCT Autologous haematopoietic stem cell transplantation; CMR cardiovascular magnetic resonance.



**Figure 2.** Manifestations and prevalence of cardiac disease and PAH in systemic sclerosis. PAH pulmonary arterial hypertension.

is a critical screening investigation. Cine images accurately define ventricular volumes, mass and function. Flattening of the interventricular septum (D-sign) is an important sign of RV pressure and volume overload and its presence is a contraindication to high-dose CYC AHSCT.<sup>6</sup> Focal myocardial fibrosis can be accurately assessed by late-gadolinium enhanced CMR, while advanced tissue mapping techniques (e.g. assessing extracellular volume (ECV) via T1 mapping) can identify diffuse myocardial fibrosis, present in 20.5-83% of SSc patients.54,57-64 Advanced tissue mapping techniques may also identify myocarditis.<sup>62,63,65</sup> However, it is unknown if myocardial fibrosis or myocarditis associates with poor outcomes in AHSCT, and presence of these CMR findings does not currently exclude SSc patients from AHSCT. First-pass perfusion on CMR provides sensitive assessment of myocardial microcirculatory disease. Addition of adenosine to assess myocardial perfusion during stress increases the sensitivity of detecting microcirculatory disease, as recently reported in SSc patients.<sup>66</sup> Again, it is unknown if microcirculatory disease increases the risk of poor cardiac outcomes during AHSCT.

CMR is an excellent modality to assess pericardial disease, with accuracy similar to catheterisation to diagnose constrictive pericarditis.<sup>67</sup> Constrictive pericarditis is an exclusion criterion for AHSCT. CMR can also be used to assess diastolic dysfunction,<sup>68</sup> which although not part of the current consensus guidelines, has been used as an exclusion criterion.<sup>10</sup>

*Electrophysiological studies.* Twelve-lead ECG and 24 h ECG (Holter) monitoring are both recommended investigations. Current guidelines advocate for Holter monitoring only if clinically indicated, while older guidelines recommended this for all patients.<sup>7</sup> Uncontrolled arrhythmia is listed as contraindication for AHSCT. Baseline ECG changes may offer prognostic information: for example, older data suggest that QTc dispersion may predict acute cardiac failure during autologous transplantation for haematological malignancies.<sup>69</sup>

*Circulating biomarkers.* Measurement of natriuretic peptides or troponin may have role in identifying some causes of SSc-HI.<sup>70</sup> NTproBNP may assist identification of SSc-PAH.<sup>71</sup> Previous studies have noted that NT-proBNP and troponin are higher in SSc patients compared to controls, that higher values correlate with poorer ventricular function and ECG abnormalities, and portend worse outcomes.<sup>72</sup> Elevated BNP was reported in 21% of SSc patients pre-AHSCT; however, the association with clinical outcome was not reported.<sup>28</sup> The role of NT-proBNP and troponin in risk stratification of patients prior to AHSCT has not been established, although measurement of NT-proBNP is suggested.<sup>6,26</sup>

*Other.* Unrevascularised coronary artery disease is a contraindication to AHSCT. So far, no routine direct assessment (coronary artery CT or coronary angiography) is recommended as part of screening. At our institution, coronary artery CT is used to screen patients deemed high risk during clinical assessment. Cardiopulmonary exercise testing is another possible means of patient stratification, given its prognostic value in the general SSc population.<sup>73</sup> Although beyond the scope of this review, pulmonary function testing is a critical assessment that may inform patient eligibility.

# Mitigating cardiotoxicity with changes to AHSCT regimens

The first reported autologous HSCT for SSc used a highdose CYC conditioning protocol (200 mg/kg) as was historically used for aplastic anaemia given the hypothesis that SSc, like aplastic anaemia, was a T-cell driven disease.<sup>74,75</sup> CYC 200 mg/kg in conjunction with anti-thymocyte globulin (ATG) has been used in most published studies of AHSCT for SSc (Table 2).

Given that toxicity from CYC is proportional to dose, modification to CYC dosing or using CYC alternatives are clear strategies to improve outcomes. Recently published pilot studies have attempted to mitigate cardiac risk by reducing the conditioning dose of CYC while introducing more 'cardiac-safe' chemotherapeutic agents. Henes et al.<sup>24</sup> used a thiotepa and CYC regimen in six patients with biopsy-proven cardiac fibrosis, reporting no treatment-related deaths. Henrique-Neto et al.<sup>10</sup> used a fludarabine  $120 \text{ mg/m}^2$  and melphalan  $120 \text{ mg/m}^2$  regimen in those with cardiac involvement (n=5); no cardiac adverse events were seen in this small group, compared to acute cardiotoxcity in four patients and one cardiac death in the CYC 200 mg/kg group (n=65). Farge et al.<sup>6</sup> reported data on 42 patients unable to have CYC 200 mg/kg due to cardiac disease (excluded using the European Society for Blood and Marrow Transplantation guidelines); these patients received fludarabine 120 mg/m<sup>2</sup>, CYC 60 mg/kg and ATG with a subgroup receiving rituximab or rituximab and intravenous immunoglobulin (IVIg).<sup>28</sup> TRM was 2.4% (one death due to myocardial infarction in a patient with known coronary artery disease), lower than that seen in randomised trials but at a cost of decreased efficacy and higher rates of secondary autoimmune disease in patients who did not receive rituximab. More secondary autoimmune disease was also seen in the small study using the thiotepa-based protocol.<sup>24</sup> While these initial data are encouraging, currently, there are no data to compare efficacy of these regimens to myeloablative or CYC 200 mg/kg lymphoablative AHSCT.

In the randomised studies, ASSIST (Autologous Stem Cell Systemic Sclerosis Immune Suppression Trial) utilised a CYC mobilisation dose of 2 g/m<sup>2</sup> whereas ASTIS used a higher dose of  $4 \text{ g/m}^{2,1,3}$  It is possible the cardiac deaths seen in ASTIS could result from a higher mobilisation dose of CYC (there were no cardiac deaths in ASSIST); however, a subsequent study using the ASSIST protocol did report cardiac deaths, including one during mobilisation (Table 2). Both ASSIST and ASTIS were lymphoablative, using CYC 200 mg/kg in conjunction with rabbit ATG whereas the SCOT (Scleroderma: Cyclophosphamide or Transplantation) trial was myeloablative and used a lower dose of CYC (120 mg/kg over two days) with total body irradiation (TBI) and equine ATG. The apparent reduced cardiotoxicity in SCOT and other studies using this protocol may be due to lower doses of CYC conditioning; however, this is offset by the concern over increased risk of treatment-related malignancies due to TBI (noting that direct comparisons between trials on this latter point are contentious).76,77

Despite the widespread adoption of the CYC 200 mg/kg protocol, the optimal conditioning dose of CYC in AHSCT for SSc has not been established in a clinical trial. At our centre, one small dose-finding study was performed in AHSCT for rheumatoid arthritis which confirmed CYC 200 mg/kg conditioning as more efficacious than CYC 100 mg/kg in context of that disease; however, this study did not include ATG.<sup>78</sup> Registry studies have included patients who received lower doses of CYC conditioning, with or without ATG; however, no study has yet compared response to CYC conditioning dose.<sup>8,14,17,20</sup> A case report of two SSc patients treated with lower doses of conditioning CYC described long-term improvement of skin and pulmonary outcomes.<sup>79</sup>

Risk may also be reduced by modifying CYC dosage according to ideal body weight or body surface area; for example, CYC <  $1.55 \text{ g/m}^2$ /day is associated with a lower risk of cardiotoxicity.<sup>30</sup> Guidelines suggest using the lesser of total body weight or ideal body weight when using CYC 200 mg/kg.<sup>80</sup>

Although no data exist in the setting of high-dose CYC conditioning, there is a theoretical role for cardiac drugs (such as  $\beta$ -blockers) for primary prevention of cardiac toxicity. For example, in anthracycline-based chemotherapy

regimens, beta-blockers confer a protective effect.<sup>81</sup> In CYC, doxorubicin, vincristine and prednisolone-based chemotherapy for non-Hodgkin's lymphoma, the addition of valsartan prevents development of acute cardiotoxicity.<sup>82</sup> The Canadian Cardiovascular Society recommends the use of cardiovascular agents for primary prevention in patients at high risk of chemotherapeutic agent-driven cardiac dysfunction.<sup>83</sup>

Careful attention should be given to prevention of fluid overload and electrolyte disturbances. Observation of fluid overload in SSc patients undergoing AHSCT has resulted in modification to hyperhydration protocols.<sup>23</sup>

Preclinical studies suggest N-acetylcysteine abrogates CYC-induced toxicity.<sup>40,84</sup> Others have investigated the protective roles of allopurinol and febuxostat<sup>85</sup> or nico-randil.<sup>86</sup> One challenge for both clinical and pre-clinical studies is the unpredictable pharmacokinetics of high dose CYC, with substantial intra-individual variation.<sup>87</sup> Pharmacogenetic factors (e.g. CYP2C19, CYP2B6 and ALDH1A1) may influence CYC pharmacokinetics and subsequently drug toxicity.<sup>88</sup>

# The future: improving the safety of AHSCT in SSc

Future AHSCT-based treatments for SSc must continue to improve safety while providing at least equal efficacy compared to current regimens. Current screening recommendations are a critical component in mitigating risk, particularly to identify patients at risk of fluid overload. Nonetheless, screening fails to completely obviate the risk of cardiac death, particularly acute cardiotoxicity associated with high-dose CYC. One critical aim of future research should be to determine the optimal dose of CYC in lymphoablative AHSCT without the confounding effect of introducing other therapeutic agents. At our centre, a dose-finding study is currently underway to determine the safety and efficacy of lower doses of CYC in patients with pre-existing cardiopulmonary risk factors.<sup>89</sup> Other potential methods to reduce cardiotoxicity include refinement of screening guidelines (e.g. through investigation of circulating biomarkers, or sensitive imaging findings), use of cardiovascular drugs such as  $\beta$ -blockers and use of agents that counteract toxic chemotherapeutic metabolites. Future studies could advance our understanding of cardiac function during AHSCT by including echocardiography, cardiac enzyme and CMR data from the periconditioning and post-AHSCT periods.

In the meantime, adopting further precautions for patients undergoing high-dose CYC AHSCT may reduce risk. Experience at our centre suggests that current or former smokers have poor outcomes, confirming the findings of others.<sup>3</sup> As such, we treat active smoking as a contraindication to AHSCT. Patients considered to be higher risk at baseline clinical assessment are also admitted to our coronary care unit for continuous cardiac monitoring and daily input from the cardiology service. Assessment of and treatment for significant coronary artery disease should be completed prior to AHSCT.

Data from studies using alternatives to CYC 200 mg/kg are encouraging and should inform the design of a future randomised controlled trial to assess safety and non-inferiority of a 'cardiac-safe' lymphoablative regimen versus 'standard dose' CYC. Mechanistic studies examining immune reconstitution post-AHSCT will also help to design targeted interventions to improve or individualise AHSCT.

Finally, in the rightful pursuit of safety, ever more stringent criteria have resulted in exclusion of SSc patients with severe cardiopulmonary disease from accessing AHSCT. This leaves a significant proportion of patients unable to receive a treatment with clear disease-modifying and mortality benefits, which is lamentable given that AHSCT-ineligible SSc patients have the poorest prognosis.<sup>90</sup> Addressing the safety of current AHSCT regimens is critical to rectify this shortcoming.

#### **Author contributions**

RP and JM conceived the review. RP drafted the manuscript. RP, LG, HE, XB, AJ, EK, DM and JM edited the manuscript.

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