

1 **Polygenic risk score for ulcerative colitis predicts immune checkpoint inhibitor-**
2 **mediated colitis**

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Abstract

Immune checkpoint inhibitors (ICIs) are a remarkable advancement in cancer therapeutics; however, a substantial proportion of patients develop severe immune-related adverse events (irAEs). Understanding and predicting irAEs is a key to advancing precision immuno-oncology. Immune checkpoint inhibitor-mediated colitis (IMC) is a significant complication from ICI and can have life-threatening consequences. Based on clinical presentation, IMC mimics inflammatory bowel disease, however the link is poorly understood. We hypothesized that genetic susceptibility to Crohn's disease (CD) and ulcerative colitis (UC) may predispose to IMC. We developed and validated polygenic risk scores for CD (PRS_{CD}) and UC (PRS_{UC}) in cancer-free individuals and assessed the role of each of these PRSs on IMC in a cohort of 1,316 patients with non-small cell lung cancer who received ICIs. Prevalence of all-grade IMC in our cohort was 4% (55 cases), and for severe IMC, 2.5% (32 cases). The PRS_{UC} predicted the development of all-grade IMC (HR=1.34 per standard deviation [SD], 95% CI=1.02-1.76, *P*=0.04) and severe IMC (HR=1.62 per SD, 95% CI=1.12-2.35, *P*=0.01). PRS_{CD} was not associated with IMC or severe IMC. The association between PRS_{UC} and IMC (all-grade and severe) was consistent in an independent pan-cancer cohort of patients treated with ICIs. Furthermore, PRS_{UC} predicted severe IMC among patients treated with combination ICIs (OR = 2.20 per SD, 95% CI = 1.07-4.53, *P*=0.03). This is the first study to demonstrate the potential clinical utility of a PRS for ulcerative colitis in identifying patients receiving ICI at high risk of developing IMC, where risk reduction and close monitoring strategies could help improve overall patient outcomes.

75

76 Introduction

77 Immunotherapy with immune checkpoint inhibitors (ICI) has substantially improved
78 clinical outcomes in patients with advanced cancers such as melanoma, non-small cell
79 lung cancer (NSCLC), bladder, renal, breast, and other cancers¹⁻⁷. ICIs block the ability
80 of malignant cells to escape detection through immune checkpoints such as
81 programmed cell death protein 1/ programmed cell death ligand 1 (PD-1/PD-L1) or
82 cytotoxic T-lymphocyte associated protein 4 (CTLA-4). Blockade of these checkpoints
83 restores host immunosurveillance in some tumors by stimulating cytotoxic T-cells to
84 induce cancer cell apoptosis^{2,8-11}.

85

86 Despite ICIs being a paradigm-shifting breakthrough in cancer treatment, enhanced
87 activation of the immune system can lead to immune-related adverse events (irAEs)
88 that can result in permanent discontinuation of ICIs, severe morbidity, and even patient
89 death¹²⁻¹⁴. The most severe irAEs include hypophysitis, diabetes, colitis, hepatitis, and
90 pneumonitis, with other common irAEs including rash and thyroiditis^{12,15-17}. The
91 incidence of immune checkpoint inhibitor-mediated colitis (IMC) ranges from 1%-25%
92 and varies by ICI therapy^{18,19}. The incidence of IMC is higher in patients treated with
93 combined anti-PD-1/PD-L1 and anti CTLA4 therapy^{20,21}. Nearly 15-20% of patients
94 receiving combination therapy develop severe IMC, which is the leading cause of
95 hospitalization and treatment cessation^{13,14,18,20,21}. Endoscopic and histological findings
96 suggest that the presentation of IMC mimics autoimmune colitis such as ulcerative
97 colitis (UC), a form of inflammatory bowel disease (IBD)^{22,23}. Despite the phenotypic
98 similarities between IBD and IMC, it is unclear if the underlying mechanism is shared or
99 distinct.

100

101 We sought to characterize the relationship between genetic predisposition to common
102 types of autoimmune colitis (ulcerative colitis (UC) and Crohn's disease (CD)), and IMC
103 in a cohort of NSCLC patients receiving ICI treatment. We first developed polygenic risk
104 scores (PRS) for UC and CD using individuals not diagnosed with cancer at baseline in
105 UK Biobank (UKB) and validated these PRSs in an independent dataset of cancer-free

106 participants in Vanderbilt University Medical Center biobank (BioVU)²⁴. We then
107 evaluated the association between each of these PRS and the development of IMC in a
108 cohort of patients with NSCLC receiving ICI therapy and conducted an independent
109 replication in a cohort of patients with diverse cancer types treated with ICI therapy in
110 BioVU²⁴. We further investigated the association between human leukocyte antigen
111 (HLA) alleles known to affect UC risk with IMC. Additionally, we examined the role of
112 IMC and PRS for UC, and CD, respectively, on progression free survival (PFS) and
113 overall survival (OS).

114

115 Results

116 *Patient Characteristics*

117 We analyzed data from 1,316 study participants included in the GeRI cohort, which
118 included four sites (Table 1 and See Methods). The GeRI cohort comprised
119 approximately 50% men and the mean age at lung cancer diagnosis was 65 years (+/
120 10.3). The study was composed of 69.5% individuals who self-reported as White
121 followed by 6.7% identifying as Asian, and 5.3% as Black. A small proportion (9%)
122 received the combined anti PD-1/PD-L1, and anti CTLA-4 inhibitor therapy and the
123 remainder received either anti PD-1 or PD-L1 inhibitor monotherapy(91%). The
124 cumulative incidence of IMC was ~4% (55 events); it was ~2% (32 events) for severe
125 IMC. The rates were similar across all study sites. The analytic strategy of our study is
126 illustrated in Figure 1.

127

128 *Development and validation of PRS for UC and CD*

129 We used 70% of the cancer-free UKB dataset to tune parameters for PRS using
130 LDpred2²⁵. We then obtained effect estimates for the PRS for CD and UC in the
131 remaining 30% (testing data). In the UKB testing data, the area under the receiver
132 operating curve (AUROC) for the PRS_{UC} was 0.66 (95% CI = 0.64-0.68), and the
133 AUROC for PRS_{CD} was 0.72 (95% CI = 0.69-0.74) (Supplementary Figure 1). In the
134 adjusted model, PRS_{UC} was strongly associated with UC with an odds ratio (OR) of 1.84
135 per standard deviation (SD) (95% CI = 1.76-1.93, $p < 1.0 \times 10^{-12}$). Similarly, PRS_{CD} was
136 positively associated with CD with OR of 1.83 per SD (95% CI = 1.72-1.95, $p < 1.0 \times 10^{-12}$).

137 ¹²). We observed an intermediate correlation between the two PRSs (Pearson
138 correlation = 0.38). Additionally, the AUROC for PRS_{UC} on CD was 0.58 (95% CI: 0.57 -
139 0.60), while PRS_{CD} on UC yielded an AUROC of 0.58 (95% CI: 0.56 - 0.59). These
140 results suggest the presence of some shared genetic susceptibility between UC and
141 CD. However, the distinct genetic factors influencing each phenotype remain the
142 primary drivers of the individual PRS effects. The two PRSs were validated in another
143 sample of cancer-free individuals from BioVU²¹. Similar to the UKB results, the
144 individual PRS_{CD} and PRS_{UC} were also strongly associated with CD and UC in BioVU.
145 We observed an OR of 2.18 per SD (95% CI = 2.05-2.32, $p < 1.0 \times 10^{-12}$) for PRS_{CD}, and
146 an OR of 1.75 per SD (95% CI = 1.59-1.92, $p < 1.0 \times 10^{-12}$) for PRS_{UC}. The AUROC for
147 PRS_{CD} and PRS_{UC} were 0.72 (95% CI = 0.70- 0.73) and 0.65 (95% CI = 0.62-0.68),
148 respectively (Supplementary Figure 2).

149

150 *PRS of autoimmune colitis as a predictor of IMC*

151 The mean PRS_{UC} was significantly higher in patients who developed IMC
152 (Supplementary Figure 3). We examined the cumulative incidence of IMC (all-grade and
153 severe) in the top 10th percentile (high genetic risk), 10-90th percentile (average genetic
154 risk), and lowest 10th percentile (low genetic risk) of the PRS_{UC}. Individuals in the top
155 10th percentile of the PRS_{UC} had higher rates of IMC (all-grade: $p=0.01$ and severe:
156 $p=0.03$) compared to other two categories (Figure 2). Using Cox proportional hazards
157 model and adjusting for genetic ancestry, recruiting site, age, sex, cancer histology, and
158 type of therapy, we observed that the PRS_{UC} was significantly associated with any
159 diagnosis of IMC in the GeRI cohort with a hazard ratio (HR) of 1.34 per SD (95% CI =
160 1.02-1.76, $p=0.04$). For a diagnosis of severe IMC, the HR per SD was 1.62 (95% CI =
161 1.12-2.35, $p=0.01$) (Table 2). We found no significant association between PRS_{CD} and
162 IMC or severe IMC (Table 2).

163

164 Additionally, we conducted stratified analysis by type of therapy and histology of lung
165 cancer to further characterize the association between PRS_{UC} and IMC (all-grade and
166 severe). For all-grade IMC, the results showed little attenuation and nominal
167 significance when stratified by type of therapy (Table 2). However, for severe IMC we

168 observed a HR per SD of 1.51 (95% CI = 1.01-2.27, $p=0.04$) in patients receiving anti-
169 PD1/anti PD-L1 monotherapy versus a HR per SD of 4.31 (95% CI = 1.08-17.24,
170 $p=0.03$) in those patients receiving a combined therapy. Patients with adenocarcinoma
171 had a HR per SD of 1.43 (95% CI = 1.06-1.93, $p=0.02$) for all-grade IMC and a HR per
172 SD of 2.12 (95% CI = 1.37-3.26, $p=6 \times 10^{-04}$) for severe IMC. We also performed
173 association analyses between ulcerative colitis PRS and IMC using different previously
174 published PRS_{UC} and noted consistent and robust trends toward the association
175 (Supplementary Table 2).

176

177 *Replication of the association between PRS_{UC} and IMC*

178 Replication was conducted within an independent study of 873 patients from a pan-
179 cancer cohort in BioVU²⁴ who underwent treatment with either anti-PD1/PD-L1
180 monotherapy or combination ICI therapy. The characteristics of the replication cohort
181 are shown in Supplementary Table 1. Briefly, the replication study consisted of 63%
182 males and 37% females. Among 873 ICI-treated patients, approximately 95% of the
183 patients received anti-PD1/PD-L1 monotherapy and 5% patients received combined
184 anti-PD-1/PD-L1 and anti-CTLA4 therapy. An additional 274 cancer patients were
185 identified and were treated with anti-CTLA4 monotherapy.

186

187 The results from the analysis in the replication study are presented in Table 3. In our
188 analysis of 873 patients, we found a trends towards association between PRS_{UC} and all-
189 grade IMC (OR per SD = 1.29, 95% CI = 0.98 – 1.69, $p=0.07$). However, for PRS_{UC} and
190 severe IMC, we observed statistically significant replication with an OR per SD of 1.39
191 (95% CI = 1.02 – 1.90, $p=0.04$, Table 3). Within our stratified analysis by type of
192 therapy, for anti-PD1/PD-L1 monotherapy, we observed an OR per SD of 1.25 (95% CI
193 = 0.88 - 1.78, $p=0.21$) for all-grade IMC, while a slightly stronger and nominally
194 significant association was seen for severe IMC (OR per SD = 1.47, 95% CI = 0.96 -
195 2.25, $p=0.08$). For those receiving dual therapy, we observed an OR per SD of 2.04
196 (95% CI = 0.79 - 5.28, $p=0.14$) for all-grade IMC and an OR per SD of 1.89 (95% CI =
197 0.74 - 4.86, $p=0.19$) for severe IMC. Furthermore, we conducted an adjusted logistic
198 regression model within the anti-CTLA4 monotherapy (N=274) and found an OR per SD

199 of 0.92 (95% CI = 0.67 – 1.26, p=0.59) for all-grade IMC. For severe IMC in the anti-
200 CTLA4 monotherapy group, we observed an OR per SD of 1.00 (95% CI = 0.71 – 1.40,
201 p=0.99).

202

203 *Meta-analysis of PRS_{UC} and IMC associations in discovery and replication studies*

204 Next, we performed a meta-analysis using fixed-effect inverse-variance weighting,
205 combining the logistic regression models from the initial GeRI cohort and BioVU
206 replication cohort (Table 3). Our findings show a significantly positive association
207 between PRS_{UC} and all-grade IMC with an OR_{meta} per SD of 1.35 (95% CI = 1.12 –
208 1.64, p=2x10⁻⁰³). Similarly, a robust association of PRS_{UC} and severe IMC was
209 observed with an OR_{meta} per SD of 1.49 (95% CI = 1.18 – 1.88, p=9x10⁻⁰⁴).

210

211 For patients who received anti-PD1/PD-L1 monotherapy, PRS_{UC} demonstrated a
212 significant association with all-grade IMC, showing an OR_{meta} per SD of 1.35 (95% CI =
213 1.07 - 1.69, p = 0.01). Similarly, a stronger association was observed with severe IMC,
214 with an OR_{meta} per SD of 1.48 (95% CI = 1.10 - 1.98, p = 9x10⁻³). Among patients
215 treated with combination or dual therapy, a trend towards association with all-grade IMC
216 was seen (OR_{meta} per SD = 1.80, 95% CI = 0.95 - 3.41, p = 0.07); however, a robust
217 and pronounced association was found in relation to severe IMC (OR_{meta} per SD = 2.20,
218 95% CI = 1.07 - 4.53, p = 0.03).

219

220 *Role of known UC-HLA associations on IMC in GeRI cohort*

221 We assessed the association between all-grade IMC and HLA markers known to be
222 associated with ulcerative colitis^{26,27} (Supplementary Figure 4). Out of 12 known UC-
223 associated HLA markers, we observed an OR of 2.63 (95% CI = 1.08-6.40, p=0.03) for
224 HLA-DRB1*12:01 and all-grade IMC. However, at false discovery rate (FDR)<0.05 none
225 of the known HLA markers were associated with all-grade IMC in the GeRI cohort.

226

227 *IMC and PRS of autoimmune colitis as a predictor of PFS and OS*

228 To assess the role of IMC on clinical outcomes, we conducted a cox proportional
229 hazards models with a 90-day treatment landmark (Table 4 and Figure 3). We observed

230 the effect of all-grade IMC on OS with a HR of 0.40 (95% CI = 0.24-0.66, $p=3.0 \times 10^{-04}$)
231 and of severe IMC on OS with a HR of 0.23 (95% CI = 0.09-0.55, $p=9.0 \times 10^{-04}$).
232 However, we observed no significant association between PFS and IMC (Table 4 and
233 Supplementary Figure 5).

234

235 Despite the association between PRS_{UC} and IMC, PRS_{UC} was not associated with PFS
236 (HR per SD = 1.00, 95% CI = 0.94-1.07, $p=0.99$) and OS (HR per SD = 1.01, 95% CI =
237 0.93-1.09, $p=0.91$) in our cohort (Table 5). Similarly, we observed no association
238 between PRS_{CD} and PFS (HR per SD = 0.98, 95% CI = 0.91-1.05, $p=0.50$) and OS (HR
239 per SD = 1.02, 95% CI = 0.93-1.11, $p=0.68$), respectively (Table 5).

240

241 Discussion

242 Immune checkpoint inhibitors are part of standard regimens to treat many advanced
243 cancers and are used in the adjuvant and neoadjuvant settings for early stage diseases
244 in multiple cancers^{3,4,10,28-32}. Immune-related adverse events are common complications
245 from ICI, and there are few predictors of irAEs^{33,34}. We sought to identify genetic
246 predictors of immune checkpoint inhibitor-mediated colitis which frequently results in
247 hospitalization and ICI discontinuation and can occasionally lead to death^{18,19,35}.
248 Specifically, we evaluated the relationship between genetic predisposition for
249 autoimmune colitis (UC, CD) and IMC, and found that the PRS_{UC} can predict IMC. The
250 association was stronger when analyses were restricted to individuals with severe IMC –
251 an important finding as the most important clinical cases to identify were best predicted
252 by PRS_{UC}. Furthermore, we investigated the role of HLA markers associated with UC on
253 development of IMC. However, we did not have HLA typing for these individuals, and
254 therefore, the imputation of HLA was not validated. Furthermore, our study was not well
255 powered to detect the effect of many different HLA alleles after multiple hypothesis
256 testing. Future studies will need to analyze HLA effects on IMC. .

257

258 Our findings significantly contribute to our understanding of the biological underpinnings
259 of IMC and may also impact management of patients treated with ICIs. First, we
260 demonstrate that IMC has some genetic overlap with UC, but we found no evidence for

261 overlap with CD. This is notable despite the correlation observed in our PRS for UC and
262 CD, signifying that the genetic factors associated with IMC align more closely with the
263 distinct genetic markers associated with UC. Our finding is also consistent with clinical
264 reports in which the most frequent phenotype of IMC resembles UC most closely^{22,23,36}.
265 Our results also suggest that as genetic risk prediction of UC improves, genetic risk of
266 IMC may also be improved. In particular, rare variants in certain genes substantially
267 increase the risk of UC and we hypothesize may also affect IMC risk³⁷⁻³⁹. Prior reports
268 on ICI-induced hypothyroidism^{40,41} and rash⁴² demonstrated that PRS for autoimmune
269 disorders predict irAEs, suggesting that ICI may unmask autoimmune syndromes in
270 some genetically predisposed individuals.

271
272 We also found that individuals who developed IMC had improved survival outcomes
273 when compared to those who did not develop IMC, including in a landmark sensitivity
274 analysis, which is concordant with previously published literature⁴³⁻⁴⁸. However, PRS_{UC}
275 and PRS_{CD} were not associated with PFS or OS, suggesting that the genetic basis of
276 autoimmune disease susceptibility is distinct from genetic factors influencing survival
277 outcomes. It has been postulated that both anti-tumor responses to ICIs, and
278 development of irAEs are representative of a robust immune response; however, one
279 possible explanation for our finding is that the genetic contributions captured in the
280 autoimmune PRSs are probably capturing the cross-presentation of shared antigens
281 which may not be associated with clinical outcomes. This suggests there could be other
282 genetic and environmental factors driving the association between IMC and overall
283 survival.

284
285 Our study has several key implications that may impact the care of cancer patients
286 treated with ICIs. For example, our results suggest that germline genotyping could help
287 assist selection of patients at high risk of IMC in a clinical trial setting to assess the role
288 of preventative measures such as the commencement of concurrent anti-TNF α therapies
289 or anti-integrin $\alpha 4\beta 7$ antibodies^{49,50} along with ICI treatment in patients at high risk for
290 IMC and toxicity-related early treatment cessation. Additionally, these findings may also
291 help facilitate clinical decision-making. Combination immunotherapies are more effective

292 but are also associated with substantially increased risk of irAEs^{45,51–55}. Our stratified
293 analysis by type of therapy demonstrated the association between PRS_{UC} and severe
294 IMC in individuals receiving anti PD-1/PD-L1 and anti CTLA4 combination therapy.
295 Among patients who may be candidates for combination immunotherapies but have high
296 genetic risk based on PRS_{UC}, oncologists may consider monotherapy, particularly in
297 clinical situations in which the benefits of dual therapy on disease control may be
298 modest. Conversely, patients who are at relatively low risk based on PRS_{UC} may be
299 better candidates for combination therapy. In addition, the use of PRS_{UC} might also be
300 considered to assist with treatment decisions in clinical settings where ICI therapy is
301 approved but there is substantial clinical equipoise; for example, in the adjuvant setting
302 for patients with resectable NSCLC^{56,57} and low PD-L1 expression or adjuvant setting for
303 resected stage II melanoma⁵⁸. Our analysis within the anti-CTLA4 monotherapy
304 subgroup did not reveal any significant association between PRS_{UC} and IMC. These
305 results should be interpreted cautiously since sample size was limited in this subgroup.
306 However, anti-CTLA4 as a monotherapy has become less common in contemporary
307 clinical practice, with its predominant use being in combination with anti-PD1/PD-L1
308 therapy, and our PRS_{UC} did predict IMC in these patients. Our initial findings were
309 observed in a cohort of NSCLC patients. However, our replication study included a
310 broader array of pan-cancer study and demonstrated the generalizability of PRS_{UC} to
311 predict IMC.

312

313 Although our study has important clinical implications and strengths, it also has few
314 limitations. While PRS effectively captures established variants associated with UC, it
315 may not account for unidentified genetic contributors (missing heritability). Nevertheless,
316 as we unveil the missing heritability of UC, we will further improve our PRS.
317 Furthermore, we developed these PRSs in a predominantly European ancestry cohort
318 (UK Biobank) and the GeRI cohort and BioVU replication study was also predominantly
319 of European ancestry; more work is needed to generalize these results to other
320 ancestries. In addition, there may be other limitations to implementing PRS in the clinic
321 including cost, rapidity of return of results and reliability and consistency across different
322 algorithms^{59–62}.

323

324 We also found an association between IMC and OS. This result could be due to survivor
325 bias^{63,64} where patients who respond to therapy and are on therapy longer are at an
326 increased risk of developing irAEs. We used a 90-day landmark analysis⁶⁵ to account for
327 this bias for both PFS and OS, although this may not completely eliminate the survivor
328 bias.

329

330 Overall, our findings suggest a shared genetic basis between ulcerative colitis and
331 immune checkpoint inhibitor-mediated colitis among patients undergoing ICI treatment.
332 Prediction of IMC using genetic information should create new opportunities for better
333 risk stratification and ultimately for better management and possibly prevention of this
334 common and important side effect from immunotherapy.

335

336 Methods

337 *Study Population*

338 Genetics of immune-related adverse events and Response to Immunotherapy (GeRI)
339 cohort is comprised of 1,316 advanced Stage IIIB/IV NSCLC patients who received ICI
340 therapy (PD-1 or PD-L1 inhibitors as monotherapy or in combination with either CTLA-4
341 inhibitors and/or chemotherapy) and were recruited from four different institutions:
342 Memorial Sloan Kettering Cancer Center (MSKCC), Vanderbilt University Medical
343 Center (VUMC), Princess Margaret Cancer Center (PM), and University of California,
344 San Francisco (UCSF).

345

346 A total of 752 individuals were treated with ICIs at MSKCC between 2011 and 2018 and
347 had an available blood sample. Clinical data were extracted from a manual review of
348 medical and pharmacy records for demographics, lung cancer histology, and ICI
349 treatment history, including detailed information on immune-related adverse events
350 (irAEs). The VUMC cohort is composed of 267 patients who received ICI therapy at the
351 medical center between 2009 and 2019. Patients participated in BioVU²¹, Vanderbilt's
352 biomedical repository of DNA that is linked to de-identified health records. Treatment
353 dates and irAEs were extracted using manual chart review by a trained thoracic

354 oncology nurse. The PM cohort included 266 advanced NSCLC patients who received
355 ICI therapy between 2011 and 2022; all provided a blood sample and completed a
356 questionnaire. Clinical data were manually extracted by trained abstractors,
357 supplemented by the PM Cancer Registry. From UCSF, 31 patients who had received
358 ICIs were identified by thoracic oncologists between 2019 and 2021 and provided either
359 a blood or saliva sample after informed consent. Clinical data including, demographics,
360 history of lung cancer and ICI treatment, and irAEs were extracted after manual review
361 of electronic health records. Institutional Review Board approvals were obtained at each
362 site individually and written informed consent was acquired from all study participants
363 prior to inclusion in the study.

364

365 *Immune checkpoint inhibitor-mediated colitis (IMC)*

366 After the initiation of ICI therapy, immune checkpoint inhibitor-mediated colitis (IMC)
367 was defined based on clinical chart review and documentation of IMC by the primary
368 oncologist, gastroenterologist, and/or other clinicians treating the patient based on
369 clinical features and/or radiologic/histologic evidence suggesting colitis due to ICI.
370 Participants who were diagnosed with infectious causes of colitis including *Clostridium*
371 *difficile*, or a pathogen on a gastrointestinal pathogen panel or ova and parasite test
372 were excluded. To assess the severity of IMC, we used 2 metrics based on NCI
373 Common Terminology Criteria for Adverse Events Version 5 (NCI-CTCAE) that
374 captures grade 3 IMC or above: (i) hospitalization for management of IMC and/or (ii)
375 permanent cessation of ICI therapy due to the adverse event.

376

377 IMC was coded as a dichotomous variable (1: all IMC, 0: no IMC) and time-to-IMC was
378 assessed from start of the ICI therapy to the date of onset of IMC or date of ICI
379 discontinuation due to IMC. Patients who did not experience IMC were censored either
380 at the end of treatment due to any reason or last follow-up date if the treatment was
381 ongoing. Based on the severity criteria, severe IMCs were also coded as binary
382 variables (1: severe IMC, 0: no IMC).

383

384 *Ascertainment of clinical outcomes*

385 Progression free survival (PFS) and overall survival (OS) were evaluated from the date
386 of initiation of ICI therapy to date of progression and death, respectively, at MSK, PM
387 and UCSF sites. At VUMC, time-to-discontinuation of therapy due to progression from
388 therapy initiation was used as a surrogate. If the treatment was ongoing, patients were
389 censored at the date of last follow-up. The VUMC cohort is de-identified and not linked
390 to the National Death Index; therefore, all-cause mortality (overall survival) information
391 is unavailable for VUMC participants (n=267).

392

393 *Quality control, genotyping, and imputation of GeRI cohort*

394 DNA from blood or saliva was extracted and genotyped using Affymetrix Axiom
395 Precision Medicine Diversity Array. Samples with a call rate <95% were excluded from
396 the analysis and SNPs with missing rates >5% were also excluded from the analysis.
397 Genetic ancestry was calculated using principal component analysis in PLINK after
398 linkage disequilibrium pruning ($R^2 < 0.1$). Imputation was performed using the Michigan
399 Imputation Server with the 1000 Genomes phase3 v5 reference panel. Standard
400 genotyping and quality control procedures were implemented. Variants with minor allele
401 frequency <0.01 were excluded from the analysis.

402

403 *Development and validation of polygenic risk score (PRS) for autoimmune colitis*

404 We developed PRS for CD (1,312 CD cases and 16,303 controls) and UC (2,814 UC
405 cases and 16,303 controls), separately using UK Biobank (UKB) data, where we divided
406 the data into two parts: 70% for hyperparameter tuning and 30% of the remaining data
407 for testing the PRS. Genetic data from both the UKB Affymetrix Axiom array (89%) or
408 the UK BiLEVE array (11%)⁶⁶ which have been imputed using the Haplotype Reference
409 Consortium and the UK10K and 1000 Genomes phase 3 reference panels⁶⁶ were
410 utilized in the analysis. Analyses were restricted to European ancestry individuals based
411 on self-reported White ethnicity and genetic ancestry PCs within five standard
412 deviations of the population mean. Samples with discordant self-reported and genetic
413 sex were excluded. Additionally, we also excluded one sample from each pair of first-
414 degree relatives. Samples with greater than five standard deviations from the mean
415 heterozygosity were further excluded from the analysis. Information from both self-

416 report and ICD9/10 codes were used to capture CD (1,312 cases) and UC (2,814
417 cases) phenotype in UKB.

418

419 We used the LDpred2²⁵ method to develop PRS of CD and UC. LDpred2 estimates the
420 posterior effect sizes based on summary statistics from genome-wide association study
421 while taking into account the linkage disequilibrium between variants and assuming a
422 prior on the markers. To derive PRS, summary statistics were obtained from previously
423 published largest genome-wide association study of CD, and UC⁶⁷. We restricted the
424 analysis to HapMap3 variants and implemented LDpred2-auto function to evaluate the
425 posterior effect sizes for each variant. LDpred2-auto first estimates the proportion of
426 causal variants and heritability for trait under evaluation. Next, it determines the
427 posterior effects estimates for the included variants. The final PRS weights are available
428 at <https://zenodo.org/record/8025635>. Briefly, PRS_{UC} included 744,575 variants,
429 whereas PRS_{CD} comprised of 744,682 variants.

430

431 PRS was constructed using the formula: $PRS = \beta_1 \times SNP_1 + \beta_2 \times SNP_2 + \dots + \beta_n \times$
432 SNP_n , where β was estimated using LDpred2-auto function. Each PRS was
433 standardized to have a mean of zero and standard deviation of 1. The association of
434 PRS_{CD} and PRS_{UC} with each respective target phenotype was assessed using logistic
435 regression models, adjusted for age at diagnosis for cases and age at enrollment for
436 controls, sex, genotyping array, and the top 10 genetic ancestry principal components
437 (PCs). Area under the receiver operating characteristic (AUROC) curves were
438 calculated in the testing dataset and used to assess the overall prediction accuracy of
439 each the PRS in UKB.

440

441 We validated the two PRSs in a sample of cancer-free individuals (1,420 CD cases, 459
442 UC cases, and 20,876 controls in the VUMC BioVU²⁴. All analyses were restricted to
443 individuals of European ancestry and adjusted for age, sex, and ten principal
444 components. AUROC curves were used to estimate the prediction of the PRSs.

445

446 *Assessment of autoimmune colitis PRS to predict IMC in GeRI cohort*

447 Using the weights generated from LDPred2 for CD, and UC, we separately calculated
448 two weighted PRSs (PRS_{CD} , PRS_{UC}) for the GeRI participants. Cumulative incidence of
449 IMC (all-grade and severe) was assessed by categories of PRS percentiles. Individuals
450 in the top 10% of the PRS distribution ($PRS > 90$ th percentile) were classified as having
451 high genetic risk, those in the bottom 10% ($PRS \leq 10$ th percentile) were classified as low
452 risk, and the middle category (>10 th to ≤ 90 th percentile) classified as average genetic
453 risk. Additionally, to evaluate the performance of each potential PRS on either time-to-
454 IMC or time-to-severe-IMC, we used Cox proportional hazards models, adjusted for
455 age at diagnosis, sex, lung cancer histology, type of therapy, recruiting site, and the first
456 5 genetic ancestry PCs. To further understand the differential effects of type of therapy
457 and histology on the association between PRS_{UC} and IMC, we conducted stratified
458 analysis by type of ICI therapy and histology of lung cancer.

459

460 *Replication of PRS_{UC} and IMC in an independent study*

461 We performed an independent replication to further characterize the association
462 between PRS_{UC} and IMC. Our replication study comprises of 873 patients enrolled in
463 BioVU²⁴, across all cancer types and treated with either anti-PD-1/PD-L1 monotherapy
464 or a combination of anti-PD1/PD-L1 and anti-CTLA4 therapy. There was no overlap of
465 samples between individuals from BioVU included in the GeRI cohort (discovery) and
466 the replication dataset from BioVU. Immune checkpoint inhibitor-mediated colitis was
467 ascertained by manual review of the electronic health records. An IMC case was
468 defined as either biopsy-confirmed colitis or the occurrence of diarrhea in ICI patients,
469 not attributable to any other cause, that required treatment with steroids and
470 subsequently showed improvement with steroid therapy. All samples were genotyped
471 using Illumina Expanded Multi-Ethnic Genotyping Array (MEGA-EX) and imputed to
472 1000 Genomes reference panel (version 3)²⁴. Post imputation standard quality control
473 procedures were employed to exclude low-quality variants and samples. In short,
474 samples with a call rate $< 95\%$ and SNPs with missing rates $> 2\%$ were excluded from
475 the analysis. Additionally, all SNPs with minor allele frequency $< 1\%$ and Hardy-
476 Weinberg P-value $< 1e-06$, and INFO < 0.95 were excluded.

477

478 We performed unconditional logistic regression to assess the association between
479 PRS_{UC} and all-grade IMC and severe IMC, respectively. All models were adjusted for
480 age at diagnosis, sex, type of therapy, and 5 principal components. In addition, we
481 conducted stratified logistic regression by type of therapy and the models were adjusted
482 for age at diagnosis, sex, and 5 principal components. This study had additional 274
483 patients who received anti-CTLA4 monotherapy, and we further evaluated the
484 association between PRS_{UC} and IMC separately in this group.

485

486 *Meta-analysis of association between PRS_{UC} and IMC*

487 For meta-analysis, we conducted standard logistic regression adjusted for age at
488 diagnosis, sex, type of therapy, site and 5 PCs in the GeRI study. Next, we carried out
489 an inverse-variance weighted fixed effect meta-analysis between our discovery and
490 replication studies. Additionally, we conducted a meta-analysis of the stratified results
491 by type of therapy in the GeRI cohort and the replication study from BioVU.

492

493 *Role of HLA markers associated with UC and CD on IMC in GeRI cohort*

494 To elucidate the role of known UC-associated HLA markers on IMC, we performed HLA
495 imputation using CookHLA⁶⁸ and HATK⁶⁹. HLA alleles were imputed at 2-field resolution
496 against the Type 1 Diabetes Genetics Consortium reference panel⁷⁰ and using the
497 nomenclature from IPD-IMGT/HLA database v3.51. Association analysis with all-grade
498 IMC was conducted using logistic regression models adjusted for age at diagnosis, sex,
499 lung cancer histology, type of therapy, recruiting site, and 5 PCs. Analyses were
500 restricted to common HLA alleles (frequency ≥ 0.01) known to be associated with
501 ulcerative colitis²⁶.

502

503 *Impact of IMC and PRS of autoimmune colitis on PFS and OS in GeRI cohort*

504 Association of IMC (all-grade and severe) on PFS and OS was examined using the Cox
505 proportional hazards model by examining only the patients who had PFS and OS longer
506 than 90 days (90-day landmark)⁶⁵. All models were adjusted for age at diagnosis, sex,
507 lung cancer histology, type of therapy, and 5 PCs. Survival curves and rates were
508 estimated using Kaplan-Meier method. To investigate the association between PRS_{CD},

509 PRS_{UC} on PFS and OS, we conducted Cox proportional hazards models, adjusted for
510 age at diagnosis, sex, histology, type of therapy, and 5 PCs. All *P* values are two-sided,
511 and analyses were conducted using Plink2, R v4.2.2 (R foundation for Statistical
512 Computing) with RStudio v2022.12.0.353.

513

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741 completed in August 2022 while she was working at Vanderbilt University Medical
742 Center.

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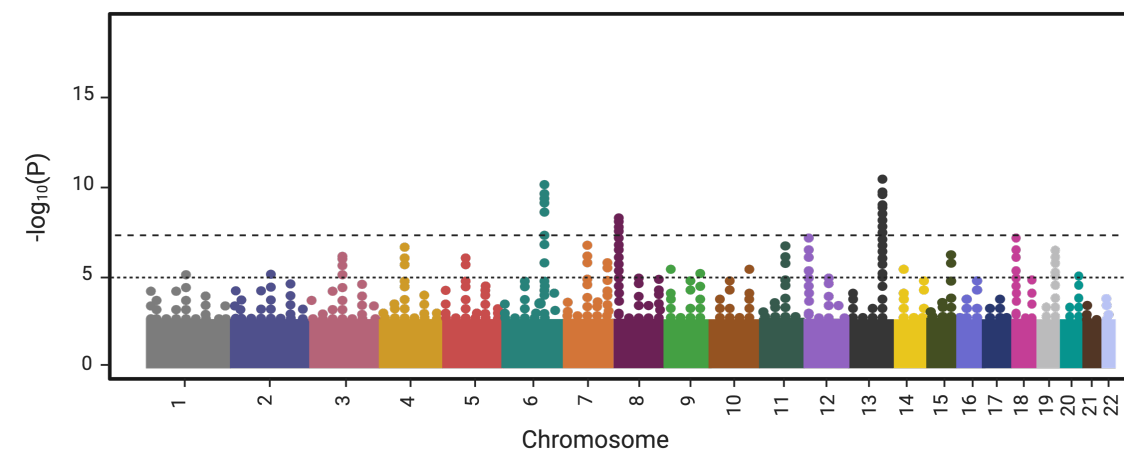
Figure 1: Overview of the analytical pipeline

Development and validation of the polygenic risk scores (PRSs): LDPred2 method was used to tune the parameters for the PRS for ulcerative colitis and Crohn’s disease (PRS_{UC}, PRS_{CD}) in 70% of the UK Biobank, using the summary statistics from the largest genome-wide association study of UC and CD. The PRSs were then tested in the remaining 30% of the UK Biobank and validated in BioVU. In the next step, the role of PRS_{UC} and PRS_{CD} on all-grade and severe immune checkpoint inhibitor-mediated colitis (IMC) was evaluated in a cohort of 1,316 non-small cell lung cancer patients who received at least one dose of immune checkpoint inhibitor therapy. Furthermore, replication was conducted using 873 pancancer patients treated with immune checkpoint inhibitors obtained from BioVU. Finally, associations of all-grade and severe IMC along with PRS_{UC} and PRS_{CD} on progression-free survival (PFS) and overall survival (OS) were assessed.

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**Polygenic risk score (PRS) for
Ulcerative Colitis (UC) and Crohn's Disease (CD)**

GWAS Summary Statistics (de Lange *et al.*, 2017)
(12194 CD cases, 12366 UC cases and 28072 controls)



$$PRS = \sum_{i=1}^n \beta_n SNP_n$$

LDPred2

Parameter Tuning

UKB (70%)



918 CD cases
1970 UC cases
11412 controls

Testing

UKB (30%)



394 CD cases
844 UC cases
4891 controls

Validation

BioVU



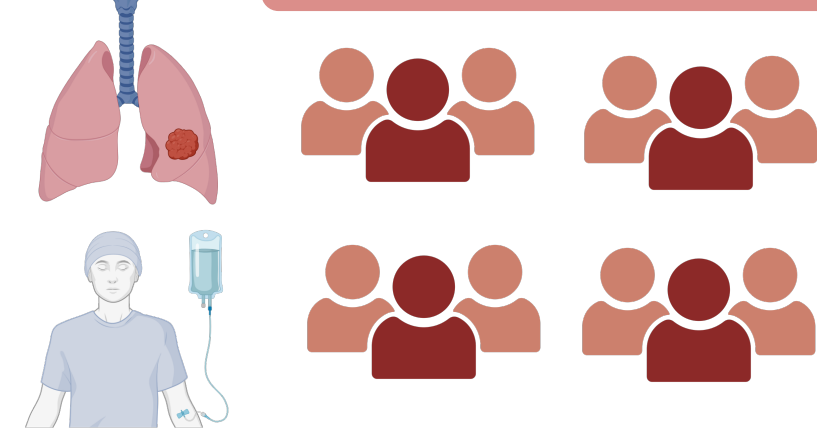
1420 CD cases
459 UC cases
20876 controls

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**PRS as a Predictor of Immune Checkpoint
Inhibitor-Mediated Colitis (IMC)**

**Genetics of immune-related adverse events and
Response to Immunotherapy (GeRI) cohort**

1316 NSCLC patients



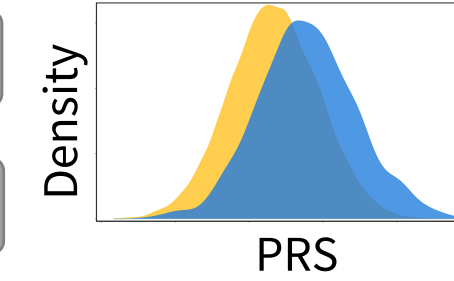
All Grade IMC (55 cases)

Severe IMC (32 cases)

PRS analysis

PRS_{UC}

PRS_{CD}



All Grade IMC

Severe IMC

HLA imputation and analysis

Individuals
AATAACACCA
AGCACTGATT
TGTCCTAGTA
AGTAGTTTAA
Genotypes
(chr6:29-34)

IPD-IMGT
database
+
Reference
panel

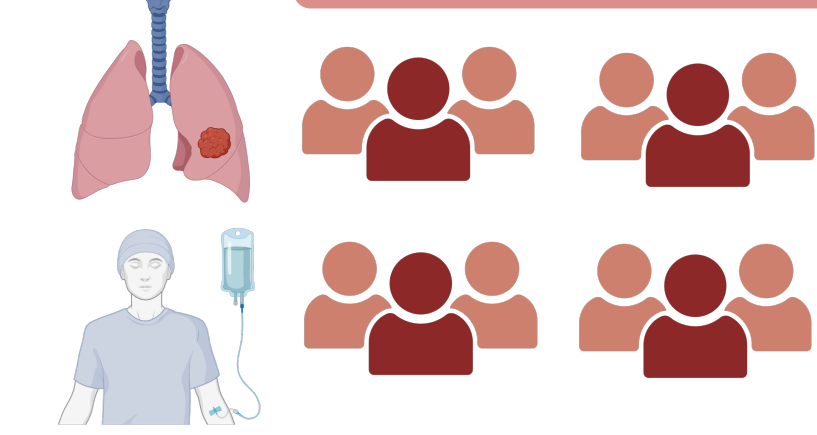
CookHLA

Individuals
B*03:01
DQB1*15:01
DPB1*13:01
A*35:02
HLA Alleles

**IMC and PRS as a Predictor of Overall Survival (OS)
and Progression-Free Survival (PFS)**

**Genetics of immune-related adverse events and
Response to Immunotherapy (GeRI) cohort**

1316 NSCLC patients



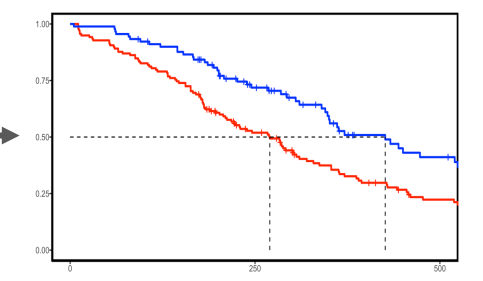
Progression(999 cases)

Deceased (639 cases)

IMC as predictor of PFS and OS

All Grade IMC

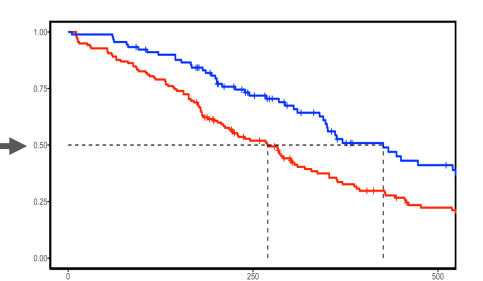
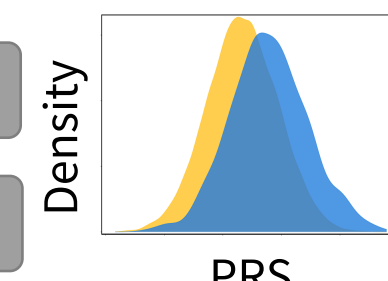
Severe IMC



PRS as predictor of PFS and OS

PRS_{UC}

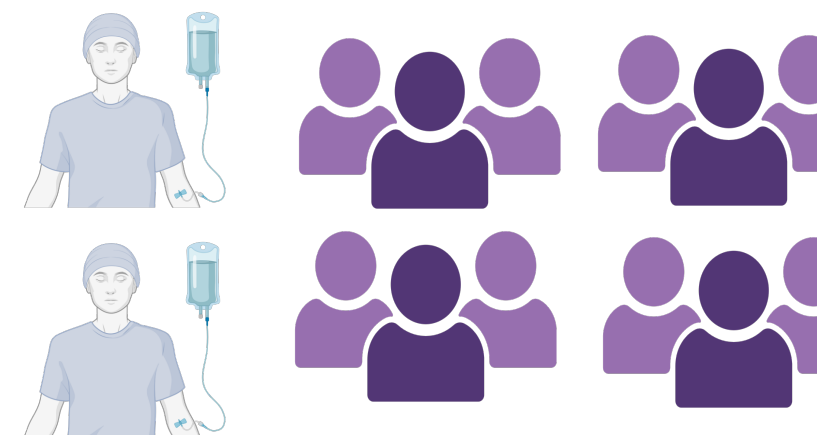
PRS_{CD}



**Replication: PRS_{UC} as a Predictor of IMC and
Meta-analysis**

**BioVU pan-cancer immune checkpoint inhibitor
cohort**

873 pan-cancer patients

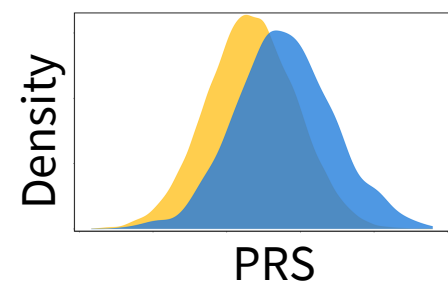


All Grade IMC (59 cases)

Severe IMC (45 cases)

PRS analysis

PRS_{UC}



All Grade IMC

Severe IMC

Figure 2: Cumulative incidence curves of (i) All-grade immune checkpoint inhibitor-mediated colitis (IMC) and (ii) Severe IMC by categories of polygenic risk score of ulcerative colitis (PRSU_C) in the entire GeRI cohort. PRSU_C is categorized as ≤10th percentile (low genetic risk), 10-90th percentile (average genetic risk), and >90th percentile (high genetic risk).

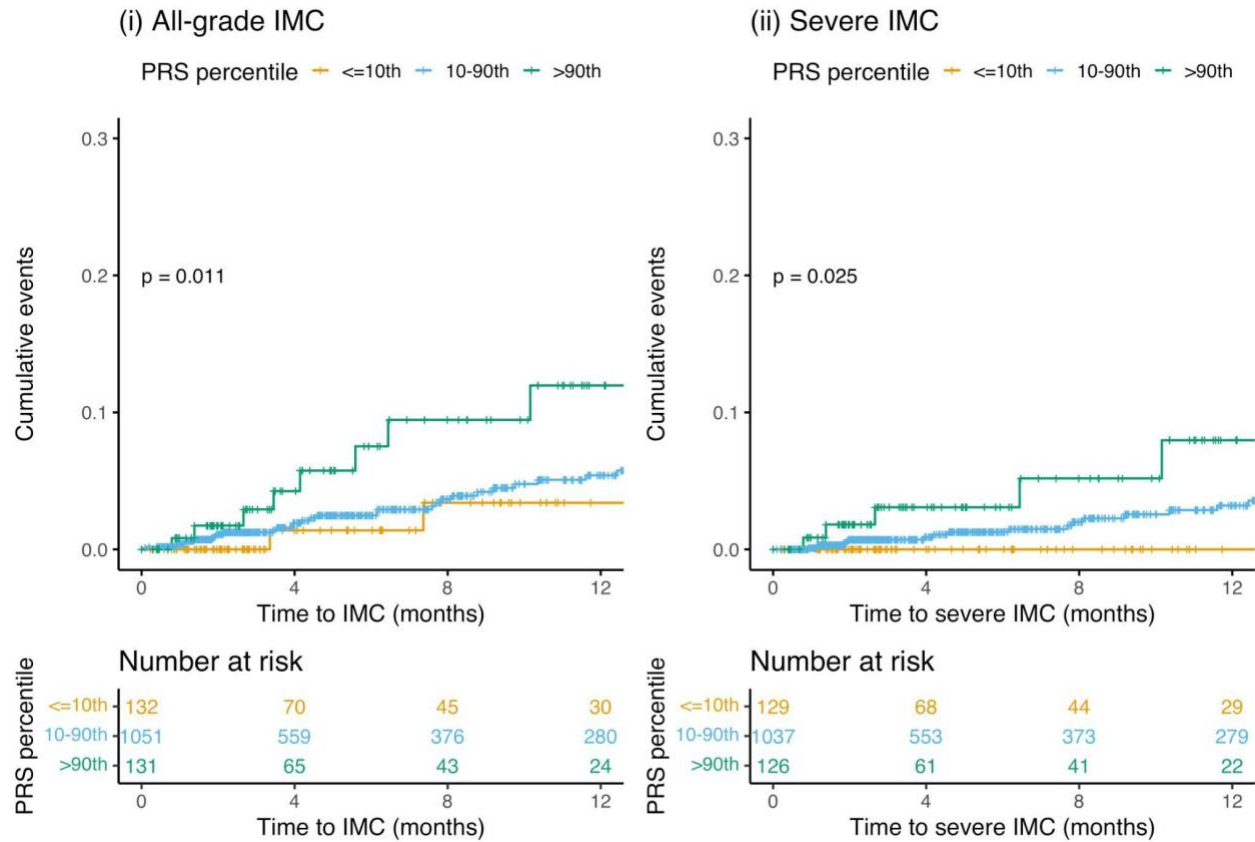


Figure 3: Immune checkpoint inhibitor-mediated colitis (IMC) as a predictor of overall survival (OS) in the entire GeRI cohort (i) All-grade IMC, (ii) Severe IMC. Kaplan–Meier survival curves are unadjusted and compare those who had an IMC (all-grade or severe) with those who did not have an IMC (No IMC). The p-values in the graph represent the log-rank p-values and the dotted line represents median survival time. Graphs are obtained from Cox proportional hazards models with 90-day landmark.

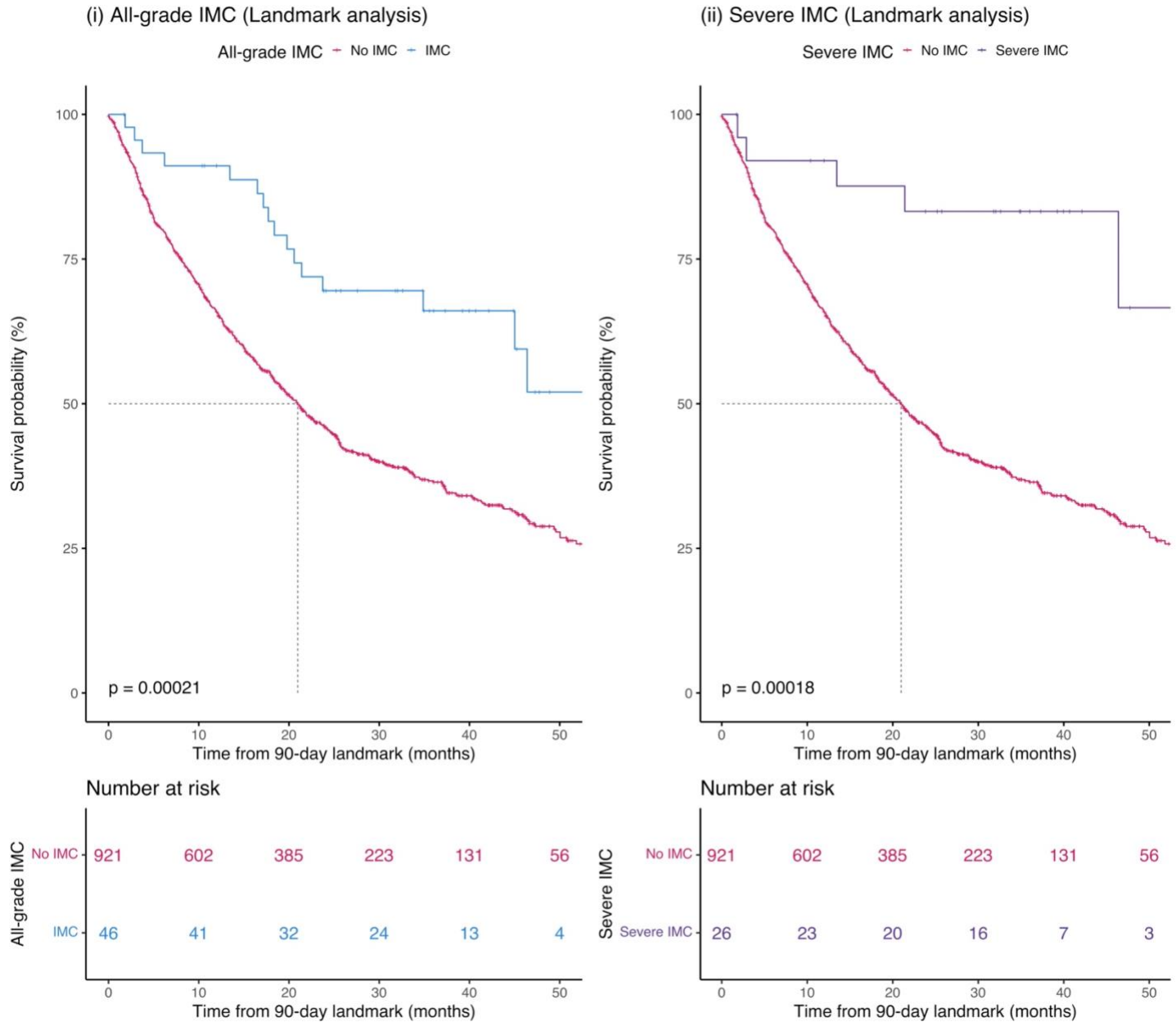


Table 1: Characteristics of the entire GeRI cohort and by recruiting site

Characteristics	GeRI	MSKCC	PM	UCSF	VUMC
	(n=1316)	(n=752)	(n=266)	(n=31)	(n=267)
Mean age at diagnosis (SD)	65.24 (10.26)	66.13 (10.52)	64.60 (10.48)	65.30 (9.71)	63.33 (9.01)
Sex n (%)					
<i>Male</i>	659 (50.1)	353 (46.9)	142 (53.4)	12 (38.7)	152 (56.9)
<i>Female</i>	657 (49.9)	399 (53.1)	124 (46.6)	19 (61.3)	115 (43.1)
Self-reported race					
White	914 (69.5)	469 (64.0)	167 (62.8)	31 (100)	246 (92.1)
Asian	89 (6.8)	34 (4.6)	46 (17.3)	-	2 (0.7)
Black	70 (5.3)	41 (5.6)	10 (3.8)	-	14 (5.2)
Other	16 (1.2)	20 (0.2)	52 (4.4)	-	3 (1.1)
Missing	227 (17.2)	188 (25.6)	31 (11.7)	-	2 (0.7)
Histology n (%)					
<i>Adenocarcinoma</i>	949 (72.1)	580 (77.1)	193 (72.6)	24 (77.4)	152 (56.9)

<i>Squamous cell carcinoma</i>	221 (16.8)	111 (14.8)	46 (17.3)	5 (16.1)	59 (22.1)
<i>Other</i>	146 (11.1)	61 (8.1)	27 (10.2)	2 (6.5)	56 (21.0)
Type of therapy n (%)					
<i>Anti PD-1/PD-L1 therapy</i>	1198 (91.0)	671 (89.2)	257 (96.6)	31 (100.0)	239 (89.5)
<i>Anti PD-1/PD-L1 + Anti CTLA4 therapy</i>	118 (9.0)	81 (10.8)	9 (3.4)	0 (0.0)	28 (10.5)
IMC n (%)					
<i>Yes</i>	55 (4.2)	32 (4.3)	13 (4.9)	1 (3.2)	9 (3.4)
<i>No</i>	1261 (95.8)	720 (95.7)	253 (95.1)	30 (96.8)	258 (96.6)
Mean time in months to IMC (SD)					
	9.85 (13.37)	9.78 (13.42)	11.07 (13.75)	15.28 (-)	8.19 (11.77)
Severe IMC* n (%)					
<i>Yes</i>	32 (2.4)	15 (2.0)	10 (3.8)	1 (3.2)	6 (2.2)
<i>No</i>	1261 (95.8)	720 (95.7)	253 (95.1)	30 (96.8)	258 (96.6)
Progression n (%)					
<i>Yes</i>	999 (75.9)	640 (85.1)	177 (66.5)	22 (71.0)	160 (59.9)

<i>No</i>	315 (23.9)	112 (14.9)	89 (33.5)	8 (25.8)	106 (39.7)
<i>Missing</i>	2 (0.2)	0 (0.0)	0 (0.0)	1 (3.2)	1 (0.4)
Mean time in months to progression (SD)	10.02 (13.44)	10.11 (13.68)	9.67 (11.13)	27.07 (23.67)	8.18 (11.74)
Overall survival n (%)					
<i>Alive at last follow-up</i>	410 (31.2)	262 (34.8)	131 (49.2)	17 (54.8)	0 (0.0)
<i>Deceased</i>	639 (48.6)	490 (65.2)	135 (50.8)	14 (45.2)	0 (0.0)
<i>Missing</i>	267 (20.3)	0 (0.0)	0 (0.0)	0 (0.0)	267 (100.0)
Mean time in months to death (SD)	22.09 (17.81)	21.71 (16.99)	20.84 (18.57)	43.37 (18.88)	-

* The combined percentage does not add to 100 because mild-to-moderate grade IMC were excluded from this grouping. MSKCC, Memorial Sloan Kettering Cancer Center; PM, Princess Margaret Cancer Centre; UCSF, University of California San Francisco; VUMC, Vanderbilt University Medical Center; SD: Standard deviation; IMC: Immune checkpoint inhibitor-mediated colitis

Table 2: Polygenic risk score (PRS) of ulcerative colitis (UC) and Crohn’s disease (CD) as a predictor of time to development of all-grade and severe immune checkpoint inhibitor-mediated colitis (IMC) in the entire GeRI cohort, using Cox proportional hazards models and stratified analysis assessing the association between PRS_{UC} and all-grade/severe IMC by type of therapy and lung cancer histology

<i>PRS^a</i>	<i>All-grade IMC</i>			<i>Severe IMC</i>		
	<i>HR per SD</i>	<i>95% CI</i>	<i>P</i>	<i>HR per SD</i>	<i>95% CI</i>	<i>P</i>
PRS _{UC}	1.34	1.02-1.76	0.04	1.62	1.12-2.35	0.01
PRS _{CD}	0.97	0.72-1.32	0.87	0.99	0.66-1.46	0.94
Stratified analysis restricted to PRS_{UC} and All-grade and Severe IMC						
<i>Therapy^b</i>	<i>All-grade IMC</i>			<i>Severe IMC</i>		
Anti-PD1/Anti-PD-L1 monotherapy	1.33	0.99-1.78	0.06	1.51	1.01-2.27	0.04
Anti-PD1/Anti-PD-L1 + Anti-CTLA4	1.64	0.67-4.03	0.28	4.31	1.08-17.24	0.03
<i>Histology^c</i>	<i>All-grade IMC</i>			<i>Severe IMC</i>		
Adenocarcinoma	1.43	1.06-1.93	0.02	2.12	1.37-3.26	6x10⁻⁰⁴
Squamous cell carcinoma	0.79	0.16-3.78	0.76	0.79	0.16-3.78	0.76

^aModels are adjusted for age at diagnosis, sex, histology, type of therapy, recruiting site, and 5 principal components. ^bModels are adjusted for age at diagnosis, sex, histology, recruiting site, and 5 principal components. ^cModels are adjusted for age at diagnosis, sex, type of therapy, recruiting site, and 5 principal components. PRS: Polygenic risk score, IMC: Immune checkpoint inhibitor-mediated colitis, HR: Hazard ratio, SD: Standard deviation, CI: Confidence interval, UC: Ulcerative colitis, CD: Crohn’s disease

Table 3: Polygenic risk score (PRS) of ulcerative colitis (UC) as a predictor of all-grade and severe immune checkpoint inhibitor-mediated colitis (IMC) in the replication cohort (BioVU) and meta-analysis (GeRI and BioVU), using logistic regression model and stratified analysis assessing the association between PRS_{UC} and all-grade/severe IMC by type of therapy

<i>IMC^a</i>	<i>Replication cohort BioVU</i>			<i>Meta-analysis GeRI + BioVU</i>		
	OR per SD	95% CI	<i>P</i>	OR per SD	95% CI	<i>P</i>
<i>All-grade</i>	1.29	0.98-1.69	0.07	1.35	1.12-1.64	2x10⁻³
<i>Severe</i>	1.39	1.02-1.90	0.04	1.49	1.18-1.88	9x10⁻⁴
Stratified analysis by type of therapy: All-grade IMC						
<i>Therapy^b</i>	<i>Replication cohort BioVU</i>			<i>Meta-analysis GeRI + BioVU</i>		
<i>Anti-PD1/Anti-PD-L1 monotherapy</i>	1.25	0.88-1.78	0.21	1.35	1.07-1.69	0.01
<i>Anti-PD1/Anti-PD-L1 + Anti-CTLA4</i>	2.04	0.79-5.28	0.14	1.80	0.95-3.41	0.07
<i>Anti-CTLA4 monotherapy</i>	0.92	0.67-1.26	0.59	-	-	-
Stratified analysis by type of therapy: Severe IMC						
<i>Therapy^b</i>	<i>Replication cohort BioVU</i>			<i>Meta-analysis GeRI + BioVU</i>		
<i>Anti-PD1/Anti-PD-L1 monotherapy</i>	1.47	0.96-2.25	0.08	1.48	1.10-1.98	9x10⁻³
<i>Anti-PD1/Anti-PD-L1 + Anti-CTLA4</i>	1.89	0.74-4.86	0.19	2.20	1.07-4.53	0.03
<i>Anti-CTLA4 monotherapy</i>	1.00	0.71-1.40	0.99	-	-	-

^aModels are adjusted for age at diagnosis, sex, type of therapy, and 5 principal components. ^bModels are adjusted for age at diagnosis, sex, and 5 principal components. IMC: Immune checkpoint inhibitor-mediated colitis, OR: Odds ratio, SD: Standard deviation, CI: Confidence interval,

Table 4: All-grade and severe immune checkpoint inhibitor-mediated colitis (IMC) as predictors of progression-free survival (PFS) and overall survival (OS) in the entire GeRI cohort, using Cox proportional hazards models with 90-day landmark

<i>IMC</i>	<i>PFS</i>			<i>OS</i>		
	<i>HR</i>	<i>95% CI</i>	<i>P value</i>	<i>HR</i>	<i>95% CI</i>	<i>P value</i>
All-grade	0.80	0.55-1.17	0.26	0.40	0.24-0.66	3x10⁻⁰⁴
Severe	0.61	0.34-1.09	0.09	0.23	0.09-0.55	9x10⁻⁰⁴

All models are adjusted for age at diagnosis, sex, histology, type of therapy, recruiting site, and 5 principal components. IMC: Immune checkpoint inhibitor-mediated colitis, PFS: Progression free survival, OS: Overall survival, HR: Hazards ratio, CI: Confidence interval

Table 5: Polygenic risk scores of ulcerative colitis (PR_{SUC}) and Crohn's disease (PR_{SCD}) as predictors of progression-free survival (PFS) and overall survival (OS) in the GeRI cohort, using Cox proportional hazards models

<i>PRS</i>	<i>PFS</i>			<i>OS</i>		
	HR per SD	95% CI	<i>P</i> value	HR per SD	95% CI	<i>P</i> value
PR _{SUC}	1.00	0.94-1.07	0.99	1.01	0.93-1.09	0.91
PR _{SCD}	0.98	0.91-1.05	0.50	1.02	0.93-1.11	0.68

All models are adjusted for age at diagnosis, sex, histology, type of therapy, recruiting site, and 5 principal components. PR_S: Polygenic risk score, PFS: Progression free survival, OS: Overall survival, HR: Hazards ratio, SD: Standard deviation, CI: Confidence interval, UC: Ulcerative colitis, CD: Crohn's disease