



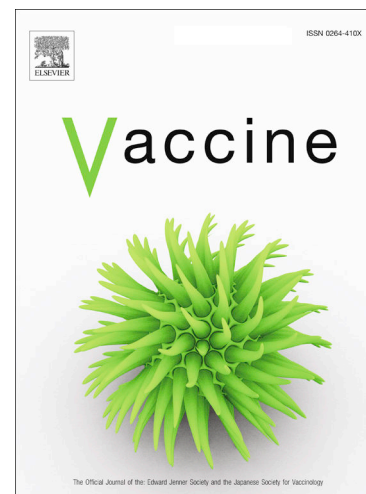
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## Journal Pre-proofs

COVID-19 booster vaccination during pregnancy enhances maternal binding and neutralizing antibody responses and transplacental antibody transfer to the newborn

Flor M. Munoz, Christine M. Posavad, Barbra A. Richardson, Martina L. Badell, Katherine E. Bunge, Mark J. Mulligan, Lalitha Parameswaran, Clifton W. Kelly, Courtney Olson-Chen, Richard M. Novak, Rebecca C. Brady, Marcela F. Pasetti, Emily A. Defranco, Jeffrey S. Gerber, Ms. Mallory C. Shriver, Mehul S. Suthar, Rhea N. Coler, Bryan J. Berube, Ms. So Hee Kim, Jeanna M. Piper, Ms. Ashley M. Miller, Cristina V. Cardemil, Kathleen M. Neuzil, Richard H. Beigi, DMID 21-0004 Study Group,



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1 **COVID-19 booster vaccination during pregnancy enhances maternal binding and**  
2 **neutralizing antibody responses and transplacental antibody transfer to the newborn**

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4 **Short Title: COVID-19 booster vaccine antibody responses during pregnancy**

5 Flor M. MUNOZ, MD<sup>1</sup>; Christine M. POSAVAD, PhD<sup>2</sup>; Barbra A. RICHARDSON, PhD<sup>2,3</sup>;  
6 Martina L. BADELL, MD<sup>4</sup>; Katherine E. BUNGE, MD<sup>5</sup>; Mark J. MULLIGAN, MD<sup>6</sup>; Lalitha  
7 PARAMESWARAN, MD<sup>6</sup>; Clifton W. KELLY, MS<sup>7</sup>; Courtney OLSON-CHEN, MD<sup>8</sup>; Richard  
8 M. NOVAK, MD<sup>9</sup>; Rebecca C. BRADY, MD<sup>10</sup>; Marcela F. PASETTI, PhD<sup>11</sup>; Emily A.  
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11 Jeanna M. PIPER, MD<sup>15</sup>; Ms. Ashley M. MILLER, MA<sup>16</sup>; Cristina V. CARDEMIL, MD<sup>15</sup>;  
12 Kathleen M. NEUZIL, MD<sup>11</sup>; Richard H. BEIGI, MD<sup>5</sup>; DMID 21-0004 Study Group<sup>17</sup>

13

14 <sup>1</sup>Departments of Pediatrics and Molecular Virology & Microbiology, Baylor College of  
15 Medicine, and Texas Children's Hospital, Houston, TX 77030, [florm@bcm.edu](mailto:florm@bcm.edu)

16

17 <sup>2</sup>Vaccine and Infectious Disease Division, Fred Hutchinson Cancer Center; Department of  
18 Laboratory Medicine and Pathology, University of Washington, Seattle, WA 98109,  
19 [cposavad@fredhutch.org](mailto:cposavad@fredhutch.org)

20

21 <sup>3</sup>Departments of Biostatistics and Global Health, University of Washington, Vaccine and  
22 Infectious Disease and Public Health Sciences Divisions, Fred Hutchinson Cancer Center,  
23 Seattle, WA 98109, [barbrar@uw.edu](mailto:barbrar@uw.edu)

24

25 <sup>4</sup>Department of Gynecology and Obstetrics, Division of Maternal Fetal Medicine, Emory  
26 University Hospital Midtown Perinatal Center, Atlanta, GA 30308, [mbadell@emory.edu](mailto:mbadell@emory.edu)

27

28 <sup>5</sup>Department of Obstetrics, Gynecology and Reproductive Sciences, UPMC Magee-Womens  
29 Hospital, Pittsburgh, PA 15213, [bungke@upmc.edu](mailto:bungke@upmc.edu), [beigrh@upmc.edu](mailto:beigrh@upmc.edu)

30

31 <sup>6</sup>NYU Langone Vaccine Center and Division of Infectious Diseases and Immunology,  
32 Department of Medicine, NYU Grossman School of Medicine, New York, NY 10016,  
33 [mark.mulligan@nyulangone.org](mailto:mark.mulligan@nyulangone.org), [lalitha.parameswaran@nyulangone.org](mailto:lalitha.parameswaran@nyulangone.org)

34

35 <sup>7</sup>Statistical Center for HIV/AIDS Research and Prevention (SCHARP), Fred Hutchinson Cancer  
36 Center, Seattle, WA 98109, [cwkelly@scharp.org](mailto:cwkelly@scharp.org), [skim234@scharp.org](mailto:skim234@scharp.org)

37

38 <sup>8</sup>Department of Obstetrics and Gynecology, University of Rochester, Rochester, NY 14642,  
39 [courtney\\_olson-chen@urmc.rochester.edu](mailto:courtney_olson-chen@urmc.rochester.edu)

40

41 <sup>9</sup>Division of Infectious Diseases, University of Illinois, Chicago, IL 60612, [rmnovak@uic.edu](mailto:rmnovak@uic.edu)

42

43 <sup>10</sup>Cincinnati Children's Hospital Medical Center, Division of Infectious Diseases, University of  
44 Cincinnati College of Medicine, Cincinnati, OH 45229, [rebecca.brady@cchmc.org](mailto:rebecca.brady@cchmc.org),  
45 [defrancee@ucmail.uc.edu](mailto:defrancee@ucmail.uc.edu)

46 <sup>11</sup>Center for Vaccine Development and Global Health, University of Maryland School of  
47 Medicine, Baltimore, MD 21201, [mpasetti@som.umaryland.edu](mailto:mpasetti@som.umaryland.edu),  
48 [mallory.shriver@som.umaryland.edu](mailto:mallory.shriver@som.umaryland.edu), [kneuzil@som.umaryland.edu](mailto:kneuzil@som.umaryland.edu)

49

50 <sup>12</sup>Division of Infectious Diseases, Children's Hospital of Philadelphia, University of  
51 Pennsylvania Perelman School of Medicine, Philadelphia, PA 19146, [gerberj@chop.edu](mailto:gerberj@chop.edu)

52

53 <sup>13</sup>Emory Vaccine Center, Yerkes National Primate Research Center; Department of Pediatrics;  
54 Department of Microbiology and Immunology, Emory School of Medicine, Emory University,  
55 Atlanta, GA 30322, [mehul.s.suthar@emory.edu](mailto:mehul.s.suthar@emory.edu)

56

57 <sup>14</sup>Seattle Children's Research Institute, Center for Global Infectious Disease Research, Seattle,  
58 WA 98109, [Rhea.Coler@seattlechildrens.org](mailto:Rhea.Coler@seattlechildrens.org), [Bryan.Berube@seattlechildrens.org](mailto:Bryan.Berube@seattlechildrens.org)

59

60 <sup>15</sup>National Institute of Allergy and Infectious Diseases, National Institutes of Health, Rockville,  
61 MD 20850, [piperj@niaid.nih.gov](mailto:piperj@niaid.nih.gov), [cristina.cardemil@nih.gov](mailto:cristina.cardemil@nih.gov)

62

63 <sup>16</sup>FHI 360, Durham, NC 27701, [amiller@fhi360.org](mailto:amiller@fhi360.org)

64

65 <sup>17</sup>DMID 21-0004 Study Group

66

67 **Corresponding Author:**

68 Flor M. Munoz, MD

69 Baylor College of Medicine

70 Texas Children's Hospital

71 1102 Bates Ave, Suite 1150

72 Houston, TX 77030

73 Tel: 832-824-4371

74 Fax: 832-825-4347

75 Email: [florm@bcm.edu](mailto:florm@bcm.edu)

76

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106

107 <sup>1</sup>Departments of Pediatrics and Molecular Virology & Microbiology, Baylor College of  
108 Medicine, and Texas Children's Hospital, Houston, TX 77030, [florm@bcm.edu](mailto:florm@bcm.edu)

109

110 <sup>2</sup>Vaccine and Infectious Disease Division, Fred Hutchinson Cancer Center; Department of  
111 Laboratory Medicine and Pathology, University of Washington, Seattle, WA 98109,  
112 [cposavad@fredhutch.org](mailto:cposavad@fredhutch.org)

113

114 <sup>3</sup>Departments of Biostatistics and Global Health, University of Washington, Vaccine and  
115 Infectious Disease and Public Health Sciences Divisions, Fred Hutchinson Cancer Center,  
116 Seattle, WA 98109, [barbrar@uw.edu](mailto:barbrar@uw.edu)

117

118 <sup>4</sup>Department of Gynecology and Obstetrics, Division of Maternal Fetal Medicine, Emory  
119 University Hospital Midtown Perinatal Center, Atlanta, GA 30308, [mbadell@emory.edu](mailto:mbadell@emory.edu)

120

121 <sup>5</sup>Department of Obstetrics, Gynecology and Reproductive Sciences, UPMC Magee-Womens  
122 Hospital, Pittsburgh, PA 15213, [bungke@upmc.edu](mailto:bungke@upmc.edu), [beighr@upmc.edu](mailto:beighr@upmc.edu)

123

- 124 <sup>6</sup>NYU Langone Vaccine Center and Division of Infectious Diseases and Immunology,  
125 Department of Medicine, NYU Grossman School of Medicine, New York, NY 10016,  
126 [mark.mulligan@nyulangone.org](mailto:mark.mulligan@nyulangone.org), [lalitha.parameswaran@nyulangone.org](mailto:lalitha.parameswaran@nyulangone.org)
- 127
- 128 <sup>7</sup>Statistical Center for HIV/AIDS Research and Prevention (SCHARP), Fred Hutchinson Cancer  
129 Center, Seattle, WA 98109, [cwkelly@scharp.org](mailto:cwkelly@scharp.org), [skim234@scharp.org](mailto:skim234@scharp.org)
- 130
- 131 <sup>8</sup>Department of Obstetrics and Gynecology, University of Rochester, Rochester, NY 14642,  
132 [courtney\\_olson-chen@urmc.rochester.edu](mailto:courtney_olson-chen@urmc.rochester.edu)
- 133
- 134 <sup>9</sup>Division of Infectious Diseases, University of Illinois, Chicago, IL 60612, [rmnovak@uic.edu](mailto:rmnovak@uic.edu)
- 135
- 136 <sup>10</sup>Cincinnati Children's Hospital Medical Center, Division of Infectious Diseases, University of  
137 Cincinnati College of Medicine, Cincinnati, OH 45229, [rebecca.brady@cchmc.org](mailto:rebecca.brady@cchmc.org),  
138 [defrancee@ucmail.uc.edu](mailto:defrancee@ucmail.uc.edu)
- 139 <sup>11</sup>Center for Vaccine Development and Global Health, University of Maryland School of  
140 Medicine, Baltimore, MD 21201, [mpasetti@som.umaryland.edu](mailto:mpasetti@som.umaryland.edu),  
141 [mallory.shriver@som.umaryland.edu](mailto:mallory.shriver@som.umaryland.edu), [kneuzil@som.umaryland.edu](mailto:kneuzil@som.umaryland.edu)
- 142
- 143 <sup>12</sup>Division of Infectious Diseases, Children's Hospital of Philadelphia, University of  
144 Pennsylvania Perelman School of Medicine, Philadelphia, PA 19146, [gerberj@chop.edu](mailto:gerberj@chop.edu)
- 145
- 146 <sup>13</sup>Emory Vaccine Center, Yerkes National Primate Research Center; Department of Pediatrics;  
147 Department of Microbiology and Immunology, Emory School of Medicine, Emory University,  
148 Atlanta, GA 30322, [mehul.s.suthar@emory.edu](mailto:mehul.s.suthar@emory.edu)
- 149
- 150 <sup>14</sup>Seattle Children's Research Institute, Center for Global Infectious Disease Research, Seattle,  
151 WA 98109, [Rhea.Coler@seattlechildrens.org](mailto:Rhea.Coler@seattlechildrens.org), [Bryan.Berube@seattlechildrens.org](mailto:Bryan.Berube@seattlechildrens.org)
- 152
- 153 <sup>15</sup>National Institute of Allergy and Infectious Diseases, National Institutes of Health, Rockville,  
154 MD 20850, [piperj@niaid.nih.gov](mailto:piperj@niaid.nih.gov), [cristina.cardemil@nih.gov](mailto:cristina.cardemil@nih.gov)



155

156 <sup>16</sup>FHI 360, Durham, NC 27701, [amiller@fhi360.org](mailto:amiller@fhi360.org)

157

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172

**173 Corresponding Author:**

174 Flor M. Munoz, MD

175 Baylor College of Medicine

176 Texas Children's Hospital

177 1102 Bates Ave, Suite 1150

178 Houston, TX 77030

179 Tel: 832-824-4371

180 Fax: 832-825-4347

181 Email: [florm@bcm.edu](mailto:florm@bcm.edu)**182 Highlights**

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- 192
- Data are needed to understand responses to primary and booster COVID-19 vaccinations during pregnancy.
  - COVID-19 mRNA vaccines during pregnancy elicited robust binding and neutralizing antibody responses in mothers and newborns.
  - Booster vaccination during pregnancy elicited significantly higher antibody levels in mothers at delivery and cord blood than 2-dose primary vaccination, including against the Delta and Omicron BA.1 variants.
  - COVID-19 vaccines, including booster doses, should continue to be strongly recommended during pregnancy.

193 **Abstract:**

194 The immune response to COVID-19 booster vaccinations during pregnancy for mothers and their  
195 newborns and the functional response of vaccine-induced antibodies against Omicron variants  
196 are not well characterized. We conducted a prospective, multicenter cohort study of participants  
197 vaccinated during pregnancy with primary or booster mRNA COVID-19 vaccines from July  
198 2021 to January 2022 at 9 academic sites. We determined SARS-CoV-2 binding and live virus  
199 and pseudovirus neutralizing antibody (nAb) titers pre- and post-vaccination, and at delivery for  
200 both maternal and infant participants. Immune responses to ancestral and Omicron BA.1 SARS-  
201 CoV-2 strains were compared between primary and booster vaccine recipients in maternal sera at  
202 delivery and in cord blood, after adjusting for days since last vaccination.

203 A total of 240 participants received either Pfizer or Moderna mRNA vaccine during pregnancy  
204 (primary 2-dose series:167; booster dose:73). Booster vaccination resulted in significantly higher  
205 binding and nAb titers, including to the Omicron BA.1 variant, in maternal serum at delivery and  
206 in cord blood compared to a primary 2-dose series (range 0.44 to 0.88 log<sub>10</sub> higher, p<0.0001 for  
207 all comparisons). Live virus nAb to Omicron BA.1 were present at delivery in 9% (GMT ID50  
208 12.7) of Pfizer and 22% (GMT ID50 14.7) of Moderna primary series recipients, and in 73%  
209 (GMT ID50 60.2) of mRNA boosted participants (p<0.0001), although titers were significantly  
210 lower than to the D614G strain. Transplacental antibody transfer was efficient for all regimens  
211 with median transfer ratio range: 1.55-1.77 for IgG, 1.00-1.78 for live virus nAb and 1.79-2.36  
212 for pseudovirus nAb. COVID-19 mRNA vaccination during pregnancy elicited robust immune  
213 responses in mothers and efficient transplacental antibody transfer to the newborn. A booster  
214 dose during pregnancy significantly increased maternal and cord blood binding and neutralizing  
215 antibody levels, including against Omicron BA.1. Findings support the use of a booster dose of  
216 COVID-19 vaccine during pregnancy.

217 **Keywords:** SARS-CoV-2, COVID-19, booster vaccination, pregnancy, neutralizing antibodies,  
218 transplacental antibody, newborn

219 ***List of Abbreviations***

220

221 ACOG: American College of Obstetricians and Gynecologists

222 BAU/mL: Binding Antibody Units

223 BA.1: Omicron Variant

224 CDC: Centers for Disease Control and Prevention

225 CoVPN: COVID Prevention Network

226 DMID: Division of Microbiology and Infectious Diseases

227 DSMB: Data Safety monitoring Board

228 FRNT: Focus reduction neutralization titer

229 GMT: Geometric mean titer

230 IDCRC: Infectious Diseases Clinical Research Consortium

231 IgG: Immunoglobulin G

232 IQRs: Interquartile ranges

233 MSD: Meso Scale Discovery

234 nAb: Neutralizing antibodies

235 NIH: National Institutes of Health

236 RBD: Receptor binding domain

237 Wuhan-Hu-1: SARS-CoV-2 Spike protein

238 Spike: Full-length spike

239 U.S.: United States

240 VTEU: Vaccine Treatment and Evaluation Unit

241 WHO: World Health Organization

## 242 Introduction

243 Pregnant individuals are at increased risk of severe disease and obstetric complications after  
244 SARS-CoV-2 infection.<sup>1,2,3,4</sup> With the emergence of Omicron variants in late 2021, it has  
245 become apparent that infants younger than 6 months of age who become infected with SARS-  
246 CoV-2 are also at increased risk of hospitalization.<sup>5,6</sup> During the Omicron (BA.1, BA.4 and  
247 BA.5) variant waves, COVID-19 hospitalization rates for infants 0 through 5 months of age  
248 increased above rates in older children, adolescents and adults <65years old.<sup>6</sup> This is likely due  
249 to immunity in older age groups increasing through vaccination and prior infection, while young  
250 infants remain immunologically naïve and not eligible for vaccination until 6 months of age.

251 Importantly, COVID-19 vaccination during pregnancy is critical to mitigate the burden of  
252 disease for mothers and simultaneously represents the best approach to address this gap in  
253 protection for their infants.<sup>7,8,9,10,11</sup> Vaccine-induced antibodies transferred transplacentally to the  
254 infant reduces the risk of severe COVID-19 disease and hospitalization in infants in the first  
255 months of life.<sup>12,13</sup> In October 2021, pregnant individuals became eligible for booster  
256 vaccinations in the United States, yet the response to a booster dose and how it translates into  
257 neonatal antibody transfer and potential maternal and infant protection has not been well  
258 characterized.<sup>14</sup>

259 In this prospective cohort study, we measured the binding and neutralizing antibody responses to  
260 COVID-19 mRNA vaccines in pregnant participants and antibody levels in cord blood. We  
261 report the effect of primary series versus booster vaccination in pregnant mothers and on  
262 transplacental antibody levels in the newborn, and describe the functional immune response to  
263 Omicron in these groups.

## 264 Materials and Methods

265 This United States (U.S.)-based multicenter cohort study enrolled pregnant participants with and  
266 without medical comorbidities from July 6, 2021 to January 31, 2022. Eligible participants  
267 received a primary 2-dose series of Pfizer-BioNTech (Pfizer) or Moderna mRNA-1273  
268 (Moderna) vaccine, or a monovalent booster dose of either vaccine, at any time during pregnancy  
269 as per current recommendations. Sera for antibody assays were derived from maternal blood  
270 collected pre- and post-vaccination (from 2 weeks post-vaccination to delivery), and maternal  
271 and cord blood collected at delivery. Maternal history of SARS-CoV-2 infection was collected at  
272 enrollment and at each study visit. Follow-up to 12 months post-delivery is ongoing and results  
273 will be reported separately. Detailed protocol and study procedures are described elsewhere  
274 (DMID 21-0004).<sup>15</sup>

### 275 *Immunogenicity*

276 Binding immunoglobulin G (IgG) levels to full-length Spike (Spike) and to the receptor binding  
277 domain (RBD) of Spike evaluated using the validated Meso Scale Discovery (MSD) V-PLEX®  
278 SARS-CoV-2 Panel 2 IgG assay (MSD #K15383U)<sup>16</sup> were bridged to international standards and  
279 reported as Binding Antibody Units (BAU/mL). SARS-CoV-2 neutralizing antibody (nAb) titers  
280 were evaluated by a pseudovirus neutralizing assay using a replication-incompetent lentivirus  
281 coding for luciferase and containing the SARS-CoV-2 Spike protein (Wuhan-Hu-1) in the viral

282 envelope (expressed as an IC50 value indicating the sample antibody titer capable of inhibiting  
283 viral entry and replication by 50%)<sup>17</sup>, and a live virus focus reduction neutralization titer (FRNT)  
284 assay with viruses representing SARS-CoV-2 Spike mutation D614G and Delta and Omicron  
285 BA.1 variants [expressed as the serum inhibitory dilution required to achieve 50% neutralization  
286 (ID50)].<sup>18</sup> Detailed assay methods are in Supplementary Materials. Transplacental antibody  
287 transfer was evaluated by calculating the ratio of specific antibody levels in maternal and cord  
288 blood sera at the time of delivery.

### 289 *Statistical Analysis*

290 Medians and interquartile ranges (IQRs) for binding IgG, IC50 for pseudovirus nAb levels, and  
291 ID50 for live virus nAb levels were summarized by study visit and vaccine type. Differences in  
292 antibody levels between groups at delivery were tested using regression analyses controlling for  
293 days since last vaccine dose and prior self-reported SARS-CoV-2 infection or N-protein positive at  
294 delivery, as well as sensitivity analyses that were restricted to participants with vaccination in the  
295 same time interval between last vaccination and delivery.

### 296 *Patient and Public Involvement*

297 Patients or the public were not involved in the design, conduct, reporting, or dissemination plans  
298 of this research.

## 299 **Results**

300 This analysis describes 240 pregnant participants who gave birth and their newborns: 100 Pfizer  
301 (102 infants) and 67 Moderna (68 infants) 2-dose vaccine recipients, and 73 booster dose  
302 participants (75 infants) (Table 1). Booster doses were mostly homologous with the primary  
303 series (80.8%). The median age of participants was 34 years (range, 22-51). Participants  
304 completed their primary 2-dose series at a median of 17.1 weeks of gestation, while booster  
305 vaccination was received at a median of 28.6 weeks of gestation. Post-vaccination sera were  
306 collected at a median of 18.7 (range: 1.6-33.3) weeks following completion of the 2-dose series  
307 and 6.0 (range: 1.1-19.9) weeks following the booster dose. The interval (median weeks)  
308 between last vaccine dose and delivery was shorter for booster dose recipients (10.4) than  
309 primary 2-dose recipients (21.7). Overall, 14.4% of primary 2-dose recipients and 17.8% of  
310 booster dose recipients had self-reported SARS-CoV-2 infection or were N-protein positive up to  
311 delivery.

### 312 *SARS-CoV-2 binding antibodies*

313 Serum binding IgG to Spike and RBD were detected in all primary 2-dose and booster dose  
314 recipients at the post-vaccination and delivery visits, and in all cord blood samples (Figure 1).  
315 Significantly higher antibody levels were measured post-vaccination and at delivery in  
316 participants who received a booster vaccination during pregnancy compared to those who  
317 received only a primary 2-dose series (Table 2). At delivery, the geometric mean titer (GMT) of  
318 IgG to Spike in booster vaccine recipients was 2,201 BAU/mL (n=73), 9.3-fold higher than in  
319 those receiving two doses of Pfizer (GMT 236 BAU/mL, n=100), and 4.6-fold higher than in  
320 those receiving two doses of Moderna (479 BAU/mL, n=67) vaccines (Figure 1). Booster  
321 vaccination also elicited significantly higher levels of Spike IgG in cord blood, where the GMT

322 was 3,290 BAU/mL, 8.9-fold and 4.2-fold higher than in cord blood from those vaccinated with  
323 two doses of Pfizer (GMT 369 BAU/mL) or Moderna (GMT 792 BAU/mL), respectively (Figure  
324 1). Similar trends were observed for RBD IgG in cord blood and at the post-vaccination visit to  
325 both Spike and RBD IgG (Figure 1, Table 2).

326 Overall, the booster group and their infants had  $\sim 0.6 \log_{10}$  higher Spike and RBD IgG levels at  
327 delivery compared to the combined primary mRNA vaccine group (Pfizer and Moderna) after  
328 adjusting for days since last vaccination ( $p < 0.0001$ ) (Table 2). Sensitivity analyses showed  
329 similar results (data not shown).

### 330 *SARS-CoV-2 neutralizing antibodies*

331 Significantly higher live virus nAb titers to D614G were measured post-vaccination in pregnant  
332 participants who received a booster (GMT ID50 630.3) compared to those receiving a primary 2-  
333 dose series (GMT ID50 62.2 for Pfizer, 192.5 for Moderna) (Figure 2). High live virus nAb titers  
334 persisted at delivery and were detectable in 100% of boosted participants (GMT ID50 446.4),  
335 compared to 68% (GMT ID50 49.9) and 96% (GMT ID50 179.5) of participants receiving 2  
336 doses of Pfizer or Moderna, respectively (Figure 2, Table 2, Table 3). While live virus nAb titers  
337 to Omicron BA.1 were present in only 9% (GMT ID50 12.7) of Pfizer and 22% (GMT ID50  
338 14.7) of Moderna dosed participants at delivery, 73% (GMT ID50 60.2) of boosted participants  
339 had detectable live virus nAb titers to Omicron BA.1 ( $p < 0.0001$ ). Live virus nAb activity against  
340 Delta was intermediate between D614G and Omicron BA.1. Similarly, pseudovirus nAb titers  
341 were significantly higher post-vaccination and at delivery in those who received a booster (GMT  
342 IC50 708 and 513, respectively) compared to those who received a 2-dose series (GMT IC50 108  
343 and 78, respectively, for Pfizer; 154 and 122, respectively, for Moderna) (Figure 1, Table 2,  
344 Table 3).

345 Live virus nAb titers to D614G were also significantly higher in cord blood in the booster group  
346 (GMT ID50 742.5) compared to participants receiving 2 doses of Pfizer (GMT ID50 77.1) or  
347 Moderna (GMT ID50 134.7) ( $p < 0.0001$ ) (Figure 2, Table 2, Table 3). Notably, live virus nAb  
348 titers to Omicron BA.1 were significantly higher in cord blood from the booster group (88%  
349 response rate, GMT ID50 109.2) compared to those receiving 2 doses of Pfizer (14% response  
350 rate, GMT ID50 13.3) or Moderna (22% response rate, GMT ID50 14.6) ( $p < 0.0001$ ). Sensitivity  
351 analyses showed similar results (data not shown). Significantly higher pseudovirus nAb titers  
352 were also observed in cord blood in the booster group (GMT IC50 941) compared to those  
353 receiving 2 doses of Pfizer (GMT IC50 159) or Moderna (GMT IC50 359) (Figure 1, Table 2,  
354 Table 3).

### 355 *Transplacental antibody transfer*

356 Efficient transplacental transfer (ratio  $\geq 1.0$ ) was observed with both primary and booster  
357 vaccination during pregnancy, with median antibody transfer ratios between 1.55 and 1.77 for  
358 binding IgG, between 1.00 and 1.78 for live virus nAb, and between 1.79 and 2.36 for  
359 pseudovirus nAb (Table 4).

## 360 **Discussion**

361 In this large, multicenter prospective cohort study, robust antibody responses to mRNA COVID-  
362 19 vaccines were detected in pregnant participants immunized across all gestational ages. The  
363 substantial increase in binding and neutralizing antibody titers measured in mothers and  
364 newborns at the time of delivery after a booster vaccination is a key finding which strongly  
365 supports the administration of booster doses during pregnancy. In addition to the D614G  
366 vaccine strain, this finding was also observed in the nAb response to Delta and Omicron BA.1  
367 where levels were significantly higher in booster recipients at delivery and in cord blood  
368 compared to those receiving a primary 2-dose series only. This booster effect is particularly  
369 relevant given the persistence of Omicron subvariants in current phases of the pandemic.  
370 However, the nAb levels to Omicron BA.1 were significantly lower than to the vaccine-matched  
371 D614G variant, as expected and observed in non-pregnant populations.<sup>19</sup>

372 Additionally, as reported by other investigators, maternal binding IgG antibodies against both  
373 Spike and RBD SARS-CoV-2 proteins were efficiently transferred across the placenta and  
374 concentrated in the infant.<sup>8,20,21</sup> This latter finding is particularly important given high  
375 hospitalization rates among infants <6 months old during the Omicron BA.1 and BA.5 surges.<sup>5,6</sup>  
376 Transplacental antibody transfer is the key component of newborn protection from SARS-CoV-2  
377 infection, and parallels demonstrated neonatal protection from other respiratory pathogens such  
378 as influenza and pertussis.<sup>22,23</sup> A recent study in Israel showed that IgG antibody titers in infants  
379 in the first few weeks of life correlated with SARS-CoV-2 IgG levels at birth.<sup>23</sup> Additionally,  
380 vaccine effectiveness studies have shown a reduction in hospitalization risk for the infant in the  
381 first few months of life following maternal vaccination during pregnancy.<sup>12</sup> Achieving higher  
382 antibody titers at birth could therefore provide protection against disease in the infant for a  
383 period of time until active vaccination. While an absolute correlate of protection is unknown, our  
384 study's findings taken in the context of these other studies supports the likelihood of infant  
385 protection during a period of high vulnerability and current gap in vaccine eligibility for infants  
386 less than 6 months old.

387 Our study findings demonstrate that both maternal and infant protection can be enhanced with  
388 booster vaccination during pregnancy. Similar to our results, Kugelman et al. reported  
389 significantly higher, IgG responses in pregnant women in Israel who received a booster dose of  
390 the Pfizer-BioNTech mRNA vaccine compared to a historical control group of pregnant women  
391 who received only two doses of vaccine in the same gestational age window recipients.<sup>24</sup> Our  
392 study extends these findings to include pseudo- and live nAb data, which confirm the functional  
393 activity and potential protective effect of these antibodies in the newborn.

394 While some differences were observed by vaccine type, the clinical significance of these findings  
395 is unknown, and additional research is necessary to further characterize the immune responses of  
396 both mRNA and non-mRNA SARS-CoV-2 vaccines administered during pregnancy.  
397 Furthermore, efforts leading towards understanding the effect on maternal and infant immunity  
398 beyond the neonatal period and the effect of factors such as the timing of maternal vaccination  
399 before and during pregnancy should continue. The optimal timing of vaccination and waning of  
400 immunity in pregnancy deserve further evaluation, particularly given the higher risk of severe  
401 maternal disease occurring in the third trimester of gestation.<sup>12,25,26</sup> In a large study of maternal  
402 infant dyads (N=402) also conducted in Israel, Rottenstreich A, et al., reported substantial  
403 waning of anti-S and anti RBD-specific IgG responses in pregnant women at delivery if they  
404 were vaccinated in the first trimester compared to the second or third trimester.<sup>25</sup> These



405 observations support booster vaccinations during pregnancy, particularly among mothers who  
406 might have completed their primary series prior to or early in pregnancy.<sup>27</sup>

407 Additional research is also needed to better elucidate the immune response following co-  
408 administration with other maternal vaccines, and the influence of maternal health status. Further,  
409 it remains important to characterize maternal immune responses to mixed vaccine platform  
410 regimens, next generation vaccines formulated against different SARS-CoV-2 variants, and the  
411 effect of administering subsequent booster vaccinations, possibly during every pregnancy.

#### 412 *Strengths and Limitations*

413 Our multicenter prospective cohort study included diverse populations in various geographic  
414 regions of the U.S. and utilized an adaptive design which provided a unique opportunity for real  
415 world evaluation of the safety and immunogenicity of primary and booster vaccinations during  
416 pregnancy. We conducted a systematic, protocol-driven data and sample collection process  
417 encompassing periods of high transmission of SARS-CoV-2 variants with impact and relevance  
418 to pregnant women and infants. The study was strengthened by a central laboratory assessment  
419 of immune responses to vaccine strain as well as Delta and Omicron BA.1 variants, including  
420 binding and live and pseudovirus nAb.

421 Given the observational design of our study, the timing of vaccination during pregnancy was not  
422 pre-specified and the timing of sera collection post-vaccination was opportunistic. However, this  
423 investigation purposefully took a real-world scenario and inclusive enrollment approach and our  
424 analyses controlled for interval between vaccination and delivery, as well as prior maternal  
425 infection, to assess immune responses at the time of delivery. Thus, these data are generalizable  
426 and help delineate the potential impact of vaccination throughout pregnancy. Our results are  
427 limited to the evaluation of mRNA vaccines given current vaccine availability and  
428 recommendations in the U.S.

#### 429 **Conclusions**

430 Pregnant women are appropriately included among the risk groups targeted for a bivalent booster  
431 dose of mRNA vaccines by the Centers for Disease Control and Prevention (CDC) and American  
432 College of Obstetrics and Gynecology (ACOG).<sup>8,14</sup> While an absolute correlate of protection  
433 against SARS-CoV-2 infection is still unknown, increases in antibody responses in non-pregnant  
434 adults are associated with protection from symptomatic severe COVID-19.<sup>28</sup> Higher binding and  
435 neutralizing antibody responses to vaccine and emerging strains of SARS-CoV-2 have the  
436 potential to provide protection to both mothers and infants during a period of risk and high  
437 vulnerability. Our study supports that COVID-19 vaccination, and particularly booster doses,  
438 should be strongly recommended during pregnancy for maternal and neonatal protection.

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442 manuscript. We would like to thank study participants for their contributions.

443

## 444 ***Ethics Approval and Consent to Participate***

445 Ethical approval of this protocol was received on May 28, 2021 by Vanderbilt University  
446 Medicine Center IRB, a single IRB as part of an NIH-funded consortium, IDCRC (IRB  
447 #210718). Written informed consent was obtained from each participant.

448

## 449 ***Data Sharing***

450 Data collected for the study will be made available to others as a de-identified patient data set  
451 after finalization of clinical study report at the discretion of the IDCRC. Analyses of data,  
452 including data from staged analyses, will be available for presentation at scientific meetings  
453 and publication to inform the scientific community. If preliminary analyses are considered of  
454 public health importance or relevant to inform research, development, and implementation  
455 of SARS-CoV-2 vaccine in pregnancy, results may be shared with public health officials and  
456 partners to inform the global scientific community. The study will be conducted in accordance  
457 with the NIH Public Access Policy publication and data sharing policies and regulations. To  
458 request study data once complete, contact Flor M. Munoz, [florm@bcm.edu](mailto:florm@bcm.edu).

459

## 460 ***Conflicts of Interest***

461 F.M.M. is an investigator of pediatric studies of COVID-19 vaccines for Pfizer and for a  
462 pediatric remdesivir study conducted by Gilead Sciences, Inc; serves as investigator on projects  
463 supported by an NIH contract for a Vaccine Treatment and Evaluation Unit (VTEU), serves as  
464 member of the Data Safety monitoring Board (DSMB) for clinical trials conducted by Pfizer,  
465 Moderna, Meissa Vaccines, Virometix, and the NIH; and is a member of the American Academy  
466 of Pediatrics Section of Infectious Diseases (SOID), the Immunization Expert Group of the  
467 American College of Obstetrics and Gynecology (ACOG), and was co-Chair of the COVAX-  
468 CEPI Maternal Immunization Working Group.

469 K.M.N. is a member of the World Health Organization (WHO) Strategic Advisory Group of  
470 Experts on Immunization, serves as co-investigator on an NIH contract for a Vaccine Treatment  
471 and Evaluation Unit (VTEU), serves as Co-Chair of the NIH COVID Prevention Network  
472 (CoVPN), and served as an investigator for Phase I/II Pfizer COVID-19 vaccine grant, with a  
473 grant to the institution, but no salary support.

- 474 M.J.M. conducts laboratory research and clinical trials with contract funding for vaccines or  
475 MABs vs SARS-CoV-2 with Lilly, Pfizer, and Sanofi and receives personal fees for Scientific  
476 Advisory Board service from Merck, Meissa Vaccines, Inc. and Pfizer.
- 477 M.S.S. served as an advisor for Moderna (ended December 2021) and is currently serving as an  
478 advisor for Ocugen, Inc.
- 479 B.A.R. currently holds a position on a DSMB for clinical trials at Gilead Sciences, Inc.
- 480 R.C.B. at Cincinnati Children's Hospital receives research grant support for clinical trials from  
481 PATH, Astra Zeneca and Pfizer on which she serves as co-investigator.
- 482 B.B. owns shares in HDT Bio Corp.
- 483 J.S.G. receives research funds from NIH for Moderna KidCOVE study.
- 484 R.M.N. is a paid advisor to Gilead and an investigator on NIH-funded trials of Moderna, Pfizer  
485 and Janssen vaccines.
- 486 J.R-K is a medical speaker for Abbott Nutrition with the UIC team.
- 487 A.R.F. holds research grants from Pfizer, Janssen, Merck, Cyanvac, Biofire Diagnostics and  
488 serves on the DSMB for Novavax.
- 489 N.R. receives funds to conduct industry trials from Pfizer, Merck, and Sanofi-Pasteur and serves  
490 as a safety consultant for EMMES and ICON.
- 491 R.W.F. Jr., MD has received funds to conduct industry trials from Pfizer, Moderna and Astra  
492 Zeneca, serves on advisory boards for Merck, Sanofi-Pasteur, Johnson and Johnson and Seqirus  
493 and serves on an ICON-sponsored DSMB for a C difficile study.
- 494
- 495 All authors have completed relevant conflicts of interest in the Disclosure of Potential Conflicts  
496 of Interest section of the Authorship Form.
- 497
- 498

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612 **Table 1. Study Participant Characteristics by Group Assigned at Enrollment**

613

<b>Maternal Characteristic</b>	<b>Pfizer-BioNTech n=100 Median (IQR) % (n)</b>	<b>Moderna n=67 Median (IQR) % (n)</b>	<b>Booster n=73 Median (IQR) % (n)</b>
Age, years	35 (31, 37)	34 (31, 37)	34 (31, 37)
Race			
Asian	12.0 (12)	4.5 (3)	9.6 (7)
Black/African American	10.0 (10)	16.4 (11)	1.4 (1)
White	75.0 (75)	73.1 (49)	83.6 (61)
Other	3.0 (3)	6.0 (4)	5.5 (4)
Hispanic or Latino	10.0 (10)	14.9 (10)	9.6 (7)
Vaccine Exposure up to Delivery			
2 doses Pfizer only	98.0 (98)		
2 doses Pfizer+Pfizer boost	2.0 (2)		76.7 (56)
2 doses Pfizer+Moderna boost	0.0 (0)		16.4 (12)
2 doses Moderna only		92.5 (62)	
2 doses Moderna+Moderna boost		6.0 (4)	4.1 (3)
2 doses Moderna+Pfizer boost		1.5 (1)	2.7 (2)
Weeks Between Last Dose and Post-Vaccination Visit	18.8 (14.6, 23.4)	18.3 (12.9, 23.6)	6.0 (3.3, 8.1)

Weeks Between Primary Series Completion and Delivery	21.9 (18.0, 26.4)	22.9 (18.3, 27.3)	46.7 (40.4, 50.9)
Weeks Between Last Dose and Delivery	21.6 (17.1, 25.7)	21.9 (15.4, 24.6)	10.4 (6.9, 14.1)
Gestational Age at First Dose During Pregnancy, weeks	13.1 (9.3, 17.9)	12.7 (7.6, 15.7)	-10.9 (-14.9, -4.6)
Gestational Age at Last Dose During Pregnancy, weeks	16.9 (13.4, 21.3)	17.1 (14.0, 23.7)	28.6 (24.9, 31.7)
Gestational Age at Delivery, weeks	39.3 (37.9, 40.1)	39.1 (38.7, 39.9)	39.1 (38.3, 39.6)
SARS-CoV-2 infection prior to or during study, up to delivery, self-reported OR N protein positive at delivery	15.0 (15)	13.4 (9)	17.8 (13)
Gravidity			
Primigravida	30.0 (30)	44.8 (30)	42.5 (31)
At least one prior pregnancy	70.0 (70)	55.2 (37)	57.5 (42)
At least two prior pregnancies	32.0 (32)	29.9 (20)	28.8 (21)
Maternal obstetric comorbidities			
Gestational diabetes	8.0 (8)	13.4 (9)	6.8 (5)
Hypertensive disorders	10.0 (10)	9.0 (6)	13.7 (10)
Obesity	9.0 (9)	10.4 (7)	13.7 (10)
Pre-eclampsia	0.0 (0)	0.0 (0)	2.7 (2)
Mode of delivery			
C-section	33.0 (33)	43.3 (29)	30.1 (22)
Vaginal	67.0 (67)	56.7 (38)	69.9 (51)



<b>Infant Characteristic</b>	<b>Pfizer- BioNTech</b>  <b>n=102</b>  <b>% (n)</b>	<b>Moderna</b>  <b>n=68</b>  <b>% (n)</b>	<b>Booster</b>  <b>n=75</b>  <b>% (n)</b>
Preterm birth (<37 weeks)	6.9 (7)	7.4 (5)	14.7 (11)
Infant Birthweight (grams)	3370 (3040, 3640)	3340 (3030, 3560)	3280 (2860, 3540)

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615 **Table 2. Differences in Antibody Levels Between Primary 2-Dose and Booster Groups at Delivery**

Lab Assessment	Sample	Primary GMT (95% CI)	Booster GMT (95% CI)	Mean Log <sub>10</sub> Difference* (95% CI)	p-value
Spike IgG BAU/mL	Maternal Delivery	313.8 (260.7, 377.8)	2200.6 (1764.9, 2743.8)	0.55 (0.42, 0.68)	<0.0001
	Cord Blood	500.5 (424.1, 590.7)	3290.4 (2713.6, 3989.9)	0.57 (0.45, 0.69)	<0.0001
RBD IgG BAU/mL	Maternal Delivery	428.6 (351.9, 522.1)	3382.9 (2703.7, 4232.86)	0.59 (0.45, 0.73)	<0.0001
	Cord Blood	673.1 (564.5, 802.6)	5005.7 (4126.5, 6072.1)	0.62 (0.49, 0.75)	<0.0001
D614G live virus nAb ID50	Maternal Delivery	83.4 (65.4, 106.4)	446.4 (342.1, 582.5)	0.43 (0.26, 0.60)	<0.0001
	Cord Blood	96.4 (80.2, 115.9)	742.5 (588.5, 936.8)	0.65 (0.52, 0.79)	<0.0001
Delta live virus nAb ID50	Maternal Delivery	39.0 (30.9, 49.2)	390.2 (299.2, 508.9)	0.73 (0.57, 0.89)	<0.0001
	Cord Blood	52.1 (42.3, 64.2)	644.5 (507.5, 818.4)	0.84 (0.69, 0.99)	<0.0001
Omicron live virus nAb ID50	Maternal Delivery	13.5 (11.9, 15.3)	60.2 (43.6, 83.1)	0.52 (0.39, 0.64)	<0.0001

	Cord Blood	13.8 (12.2, 15.6)	109.2 (81.6, 146.1)	0.79 (0.67, 0.91)	<0.0001
Pseudotyped WT nAb IC50	Maternal Delivery	93.4 (77.3, 112.9)	512.5 (399.1, 658.3)	0.52 (0.36, 0.67)	<0.0001
	Cord Blood	220.8 (183.5, 265.7)	941.4 (735.7, 1204.7)	0.42 (0.27, 0.57)	<0.0001

616 \*From regression analysis adjusted for days since last vaccination prior to delivery and prior self-reported  
617 SARS-CoV-2 infection or N protein positive at delivery.

618 **Table 3. Differences in Response Rate for Neutralizing Antibodies Between Primary 2-Dose and**  
 619 **Booster Groups at Delivery**

Lab Assessment	Sample	Primary Response Rate*	Booster Response Rate*	Prevalence Ratio** (95% CI)	p-value
D614G live virus nAb	Maternal Delivery	79.0% (132/167)	100% (73/73)	1.10 (1.03, 1.18)	0.0039
	Cord Blood	93.5% (159/170)	100% (75/75)	1.04 (1.00, 1.09)	0.0553
Delta live virus nAb	Maternal Delivery	56.3% (94/167)	100% (73/73)	1.46 (1.28, 1.68)	<0.0001
	Cord Blood	71.8% (122/170)	100% (75/75)	1.22 (1.11, 1.33)	<0.0001
Omicron live virus nAb	Maternal Delivery	14.4% (24/167)	72.6% (53/73)	3.16 (2.13, 4.71)	<0.0001
	Cord Blood	17.1% (29/170)	88.0% (66/75)	3.71 (2.53, 5.44)	<0.0001
Pseudotyped WT nAb	Maternal Delivery	92.8% (155/167)	100% (73/73)	1.05 (1.01, 1.09)	0.0138
	Cord Blood	100% (169/169)	100% (75/75)	1.00 (1.00, 1.00)	n/a

620 \*Response rate defined as number of samples above the lower limit of detection divided by number of  
 621 samples tested.

622 \*\*From robust Poisson regression analysis adjusted for days since last vaccination prior to delivery and  
 623 prior self-reported SARS-CoV-2 infection or N protein positive at delivery.

625 **Table 4. Antibody Transfer Ratios and Cord Blood and Maternal Sera Levels at Delivery for**  
 626 **Primary 2-Dose and Booster Groups**

Lab Assessment	Group	Transfer Ratio Median (IQR)	Cord Blood Serum GMT (95% CI)	Maternal Serum GMT (95% CI)
Spike IgG BAU/mL	Primary	1.77 (1.38, 2.25)	500.5 (424.1, 590.7)	313.8 (260.7, 377.8)
	Booster	1.55 (1.17, 1.98)	3290.4 (2713.6, 3989.9)	2200.6 (1764.9, 2743.8)
RBD IgG BAU/mL	Primary	1.76 (1.33, 2.15)	673.1 (564.5, 802.6)	428.6 (351.9, 522.1)
	Booster	1.58 (1.05, 1.93)	5005.7 (4126.5, 6072.1)	3382.9 (2703.7, 4232.86)
D614G live virus nAb ID50*	Primary	1.10 (0.76, 1.89)	96.4 (80.2, 115.9)	83.4 (65.4, 106.4)
	Booster	1.78 (1.12, 2.25)	742.5 (588.5, 936.8)	446.4 (342.1, 582.5)
Delta live virus nAb ID50*	Primary	1.10 (1.00, 1.69)	52.1 (42.3, 64.2)	39.0 (30.9, 49.2)
	Booster	1.74 (1.06, 2.38)	644.5 (507.5, 818.4)	390.2 (299.2, 508.9)
	Primary	1.00 (1.00, 1.00)	13.8	13.5

Omicron live virus nAb ID50*			(12.2, 15.6)	(11.9, 15.3)
	Booster	1.69 (1.00, 2.75)	109.2 (81.6, 146.1)	60.2 (43.6, 83.1)
Pseudotyped WT nAb IC50*	Primary	2.36 (1.96, 2.84)	220.8 (183.5, 265.7)	93.4 (77.3, 112.9)
	Booster	1.79 (1.46, 2.20)	941.4 (735.7, 1204.7)	512.5 (399.1, 658.3)

627 \*All data are included in the calculation of the transfer ratio including those below the LLOQ of the  
628 assay.

629 **Figure Legends**

630

631 **Figure 1. SARS-CoV-2 binding IgG and pseudovirus nAb activity in maternal and cord blood sera**  
632 **by study group and study visit.**

633 Pregnant participants received a 2-dose series of an mRNA vaccine (top – Pfizer, middle – Moderna) or a  
634 booster mRNA vaccine (bottom panel). Sera derived from maternal blood collected pre- and post-  
635 vaccination and at delivery, and cord blood, were evaluated for binding IgG to full-length Spike (left  
636 panels) and RBD (middle panels), or pseudovirus nAb titers (IC50) (right panels). Binding IgG titers were  
637 bridged to international standards and reported as Binding Antibody Units (BAU/mL). Box plots  
638 represent median (horizontal line within the box) and interquartile range; GMT is displayed at the top of  
639 each panel and the dashed line is the cutoff for positivity (17 BAU/mL).

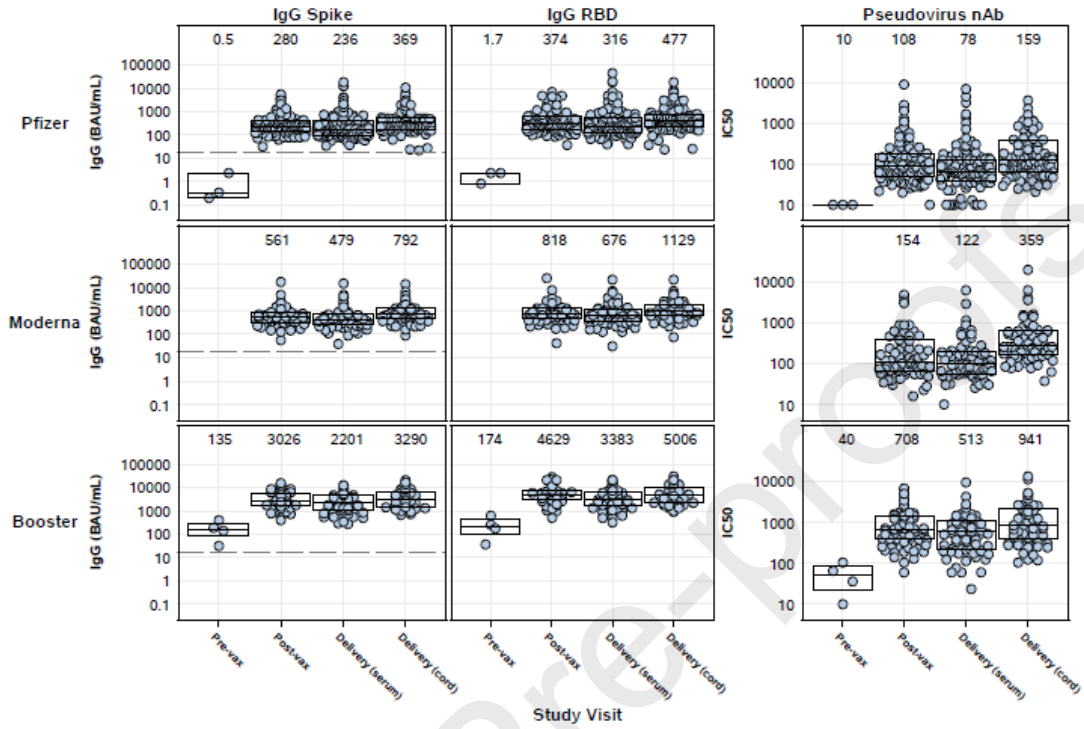
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641 **Figure 2. SARS-CoV-2 live virus nAb activity of maternal and cord blood sera by study group and**  
642 **study visit.**

643 Pregnant participants received a 2-dose series of an mRNA vaccine (top – Pfizer, middle – Moderna) or a  
644 booster mRNA vaccine (bottom panel). Sera derived from maternal blood collected pre- and post-  
645 vaccination and at delivery, and cord blood, were evaluated for neutralization of D614G, Delta, and  
646 Omicron (BA.1) variants. Each point represents the GMT ID50 from two duplicates per specimen  
647 (within the same assay run). A value equivalent to half the lower limit of detection (LLOD = 20) was  
648 assigned to observations with no detectable response. A specimen was considered as having a positive  
649 response if at least one of the duplicates was above the LLOD. Box plots represent median (horizontal  
650 line within the box) and interquartile range. Response rate (% with responses > 20 ID50), GMT, and  
651 GMT fold reduction compared to D614G are displayed at the top of each panel.

652

Figure 1. SARS-CoV-2 Maternal Serum and Cord Blood IgG (BAU/mL) and Pseudovirus Neutralizing Antibodies (IC50) by Study Group and Study Visit



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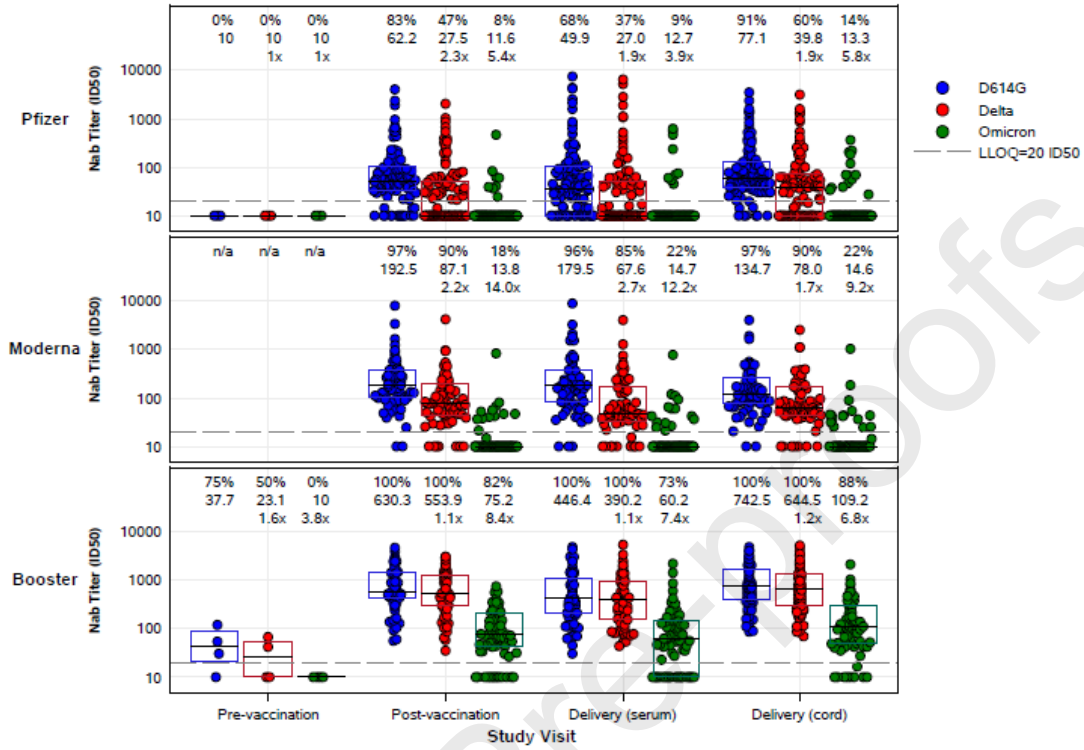
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Figure 2. SARS-CoV-2 Maternal Serum and Cord Blood Live Virus Neutralizing Antibodies by Study Group and Study Visit



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658 **Highlights**

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- 661 • Data are needed to understand responses to primary and booster vaccinations during pregnancy.
  - 662 • COVID-19 mRNA vaccines during pregnancy elicited robust binding and neutralizing antibody responses in mothers and newborns.
  - 663 • Booster vaccination during pregnancy elicited significantly higher antibody levels in mothers at delivery and cord blood than 2-dose primary vaccination, including against the Delta and Omicron BA.1 variants.
  - 664 • COVID-19 vaccines, including booster doses, should continue to be strongly recommended during pregnancy.
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**670 Conflicts of Interest**

671

672 F.M.M. is an investigator of pediatric studies of COVID-19 vaccines for Pfizer and for a  
673 pediatric remdesivir study conducted by Gilead Sciences, Inc; serves as investigator on projects  
674 supported by an NIH contract for a Vaccine Treatment and Evaluation Unit (VTEU), serves as  
675 member of the Data Safety monitoring Board (DSMB) for clinical trials conducted by Pfizer,  
676 Moderna, Meissa Vaccines, Virometix, and the NIH; and is a member of the American Academy  
677 of Pediatrics Section of Infectious Diseases (SOID), the Immunization Expert Group of the  
678 American College of Obstetrics and Gynecology (ACOG), and was co-Chair of the COVAX-  
679 CEPI Maternal Immunization Working Group.

680 K.M.N. is a member of the World Health Organization (WHO) Strategic Advisory Group of  
681 Experts on Immunization, serves as co-investigator on an NIH contract for a Vaccine Treatment  
682 and Evaluation Unit (VTEU), serves as Co-Chair of the NIH COVID Prevention Network  
683 (CoVPN), and served as an investigator for Phase I/II Pfizer COVID-19 vaccine grant, with a  
684 grant to the institution, but no salary support.

685 M.J.M. conducts laboratory research and clinical trials with contract funding for vaccines or  
686 MABs vs SARS-CoV-2 with Lilly, Pfizer, and Sanofi and receives personal fees for Scientific  
687 Advisory Board service from Merck, Meissa Vaccines, Inc. and Pfizer.

688 M.S.S. served as an advisor for Moderna (ended December 2021) and is currently serving as an  
689 advisor for Ocugen, Inc.

690 B.A.R. currently holds a position on a DSMB for clinical trials at Gilead Sciences, Inc.

691 R.C.B. at Cincinnati Children's Hospital receives research grant support for clinical trials from  
692 PATH, Astra Zeneca and Pfizer on which she serves as co-investigator.

693 B.B. owns shares in HDT Bio Corp.

694 J.S.G. receives research funds from NIH for Moderna KidCOVE study.

695 R.M.N. is a paid advisor to Gilead and an investigator on NIH-funded trials of Moderna, Pfizer  
696 and Janssen vaccines.

697 J.R-K is a medical speaker for Abbott Nutrition with the UIC team.

698 A.R.F. holds research grants from Pfizer, Janssen, Merck, Cyanvac, Biofire Diagnostics and  
699 serves on the DSMB for Novavax.

700 N.R. receives funds to conduct industry trials from Pfizer, Merck, and Sanofi-Pasteur and serves  
701 as a safety consultant for EMMES and ICON.

702 R.W.F. Jr., MD has received funds to conduct industry trials from Pfizer, Moderna and Astra  
703 Zeneca, serves on advisory boards for Merck, Sanofi-Pasteur, Johnson and Johnson and Seqirus  
704 and serves on an ICON-sponsored DSMB for a C difficile study.

705

706 All authors have completed relevant conflicts of interest in the Disclosure of Potential Conflicts  
707 of Interest section of the Authorship Form.

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