

HHS Public Access

Author manuscript Laryngoscope. Author manuscript; available in PMC 2024 August 01.

Published in final edited form as:

Laryngoscope. 2023 August ; 133(8): 1786–1795. doi:10.1002/lary.30515.

Augmented Reality in Otology/Neurotology: A Scoping Review with Implications for Practice and Education

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Abstract

Objective: To determine how augmented reality (AR) has been applied to the field of otology/ neurotology, examine trends and gaps in research, and provide an assessment of the future potential of this technology within surgical practice and education.

Data sources: PubMed, EMBASE, and Cochrane Library were assessed from their inceptions through November 2021. A manual bibliography search was also conducted.

Review methods: A scoping review was conducted according to PRISMA-ScR guidelines. Data from studies describing the application of AR to the field of otology/neurotology were evaluated, according to *a priori* inclusion/exclusion criteria. Exclusion criteria included non-English language articles, abstracts, letters/commentaries, conference papers, and review articles.

Results: Eighteen articles covering a diverse range of AR platforms were included. Publication dates spanned from 2007 to 2022 and the rate of publication increased over this time. Six of 18 studies were case series in human patients while the remaining were proof of concepts in cadaveric/artificial/animal models. The most common application of AR was for surgical navigation (14 of 18 studies). Computed tomography was the most common source of input data. Few studies noted potential applications to surgical training.

DBW: Consultant for Skylark Bio, Salubritas Therapeutics, Inc., Mulberry Therapeutics, Inc., NF2 Biosolutions. JPC: None

Conflicts of interest: None

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Disclosures:

JXC: Grace Medical (patent pending)

DJL: Consultant for 3NT Medical, Frequency Therapeutics

STG: None FXC: None

Conclusion: Interest in the application of AR to otology/neurotology is growing based on the number of recent publications that use a broad range of hardware, software, and AR platforms. Large gaps in research such as the need for submillimeter registration error must be addressed prior to adoption in the operating room and for educational purposes.

Lay reader summary:

Augmented reality technology has the potential to change the future practice of otology/ neurotology and improve how we teach trainees to do complex ear surgeries. We performed a scoping review to describe the current state of this research and identify gaps in knowledge.

Keywords

extended reality; mixed reality; otolaryngology; surgical simulation; surgical training; resident education; surgical navigation; anatomy curriculum; presurgical planning

Introduction

Augmented reality (AR) is a term that encompasses any method to impose computer generated graphics, sound, or other sensory stimuli onto a user's experience of a real-life environment. Unlike virtual reality where the entire experience is digitally rendered, AR overlays digital information onto real-world elements, keeping the real world central to the experience while enhancing it with new layers of perception or information. Common technologies used in AR include tools such as cameras, monitors, headphones, and/or smart glasses. Prominent examples of AR include the Google Glass smartglasses that allowed users to check their email while looking ahead through the lenses or the popular smartphone game Pokémon GO. Emerging applications of AR have the potential to change the nature of procedural activities in all aspects of society, from assisting car drivers¹ to teaching skilled trades² to promoting real estate sales.³ Using AR in surgery and surgical education is a natural extension of these applications.

In otolaryngology, initial forays into AR have primarily been built upon existing surgical navigation technologies⁴ using commercially available platforms such as the Microsoft HoloLens 2 (pictured for reference in Figure 1). Because existing surgical navigation tools are most accurate when applied to fixed bony anatomy as compared to deformable soft tissue landmarks, AR will likely be most transformative for specialties that primarily operate on bony structures in its early stages. Therefore, otology/neurotology, a specialty centered on the temporal bone and lateral skull base, may be especially well suited to the application of AR.

Beyond its direct use in the operating room, AR has the potential to transform the way we teach anatomy and operative techniques in otology/neurotology. Surveys of program directors and graduates of otolaryngology residency training programs,⁵ as well as quantitative longitudinal studies of resident intraoperative experiences, $6,7$ indicate that otology procedures rank among the most difficult for trainees to master. Possible barriers to teaching these procedures include the complex three-dimensional anatomy, its singleoperator nature of the surgeries, the intrinsic difficulty of microsurgery and the relative rarity

of certain disease processes. All of these factors can result in limited trainee exposure to and autonomy in complex cases.⁸

The classic model of surgical training is a skills apprenticeship, whereby trainees spend time with mentors in and out of the operating room, gradually gaining autonomy until they are ready for independent practice. However, in the face of the modern pressures of surgical training, including a greater emphasis on surgeon/hospital productivity and patient safety,^{9,10} a purely apprenticeship-based model is no longer an efficient way to train residents. The concept of cognitive apprenticeships, where deliberate thinking is modeled out loud by expert surgeons to formally articulate how perioperative and surgical decisions are made, is a strategy to accelerate the current surgical training paradigm.¹¹ However, most surgical educators are not trained to provide instruction in the cognitive apprenticeship model. Additionally, there may be limited opportunities for repetition and reinforcement of teaching in the operating room for more advanced skills or skills related to rare diseases in otology/ neurotology.

Due to these challenges, simulation is playing a growing role in surgical education. Surgical simulation fulfills many of the needs of adult learners as it allows for self-directed, problembased learning. One way that AR can improve surgical simulation is through creating realistic learning environments that facilitate transference of skills into the operating room.¹² Additionally, AR technology may one day be able to offer direct feedback to trainees to guide their deliberate practice and improve the cognitive apprenticeship of surgical training.

Therefore, as AR may significantly impact the future practice of otology/neurotology and improve how we educate trainees in this field, we herein perform a scoping review for the application of AR to this specialty. The most recent systematic review of AR in the field of otolaryngology was published in $2018⁴$ but since then, many more papers have appeared in the literature specifically regarding its application to otology/neurotology. We aim to describe the current state of AR in otology/neurotology, identify gaps in research, and discuss future areas of application in the operating room and in surgical education.

Methods

A review of the literature was performed and the results were reported following the 2020 PRISMA-ScR guidelines.¹³ A search was performed on October 5th, 2022 in PubMed, EMBASE and Cochrane Library. No time limits were applied. The objective was to identify all publications related to AR in the field of otology/neurotology. For database searches, terms were selected to create a broad search with two strings combined with the Boolean term AND, searched in all fields. The strings used were ("augmented reality") and (otolaryngology OR ENT OR otorhinolaryngology OR otology OR ear OR "skull base" OR neurotology OR mastoid OR mastoidectomy OR "temporal bone"). Results were imported into Covidence (Melbourne, Australia) to conduct the comprehensive title, abstract and full article review. Inclusion criteria included articles that reported the application of AR to the field of otology/neurotology. Exclusion criteria included non-English articles, fields of application other than otology/neurotology, letters, commentaries, abstracts, conference presentations, book chapters, and secondary sources of data (e.g., review articles). Reference

lists from criteria-meeting publications and the most recent systematic review on AR in otolaryngology⁴ were manually searched for additional studies, yielding additional potential articles. The search was conducted by authors JXC and SY, and differences were resolved with consensus between reviewers.

A data-charting table was developed by four authors (JXC, SY, ASD, FXD). Information from each included article was extracted by two authors (SY, ASD) and the extraction was verified by a third author (JXC, conferring with FXC). Extracted study data described level of evidence, subjects, specific AR applications, and funding sources. Level of evidence was defined as follows (informed by the Oxford Centre for Evidence-based Medicine 2011 categories):14 1, randomized controlled trials or observational study with dramatic effect; 2, non-randomized controlled cohort/follow-up study; 3, case-series, case-control studies, or historically controlled studies in humans ; 4, proof of concepts in a non-organic, cadaveric, or animal model with mechanism-based reasoning. For each AR platform, the following data were extracted: the data inputs and display outputs, specialized hardware/software, registration techniques, and the registration errors, if stated. Descriptive statistics were used to examine the findings of included papers.

Results

Electronic database searches returned 336 titles. One hundred and twenty-one duplicates were removed, and 179 articles were excluded after titles and abstracts were screened according to the aforementioned a priori inclusion and exclusion criteria. Thirty-six full text articles were initially reviewed and 19 excluded: 13 were poster or oral presentations, 6 were not original studies of AR in otology/neurotology. Manual search of included articles' references lists as well as the most recent systematic review of AR in otolaryngology⁴ revealed 4 additional potential titles of which 2 were excluded, as they were book chapters, and one was excluded, as it did not apply AR technology. From the manual search, one article was therefore added to the list of extracted papers resulting in 18 total papers. Figure 2 details the stages of article search, including the articles from the manual bibliography review.

Table 1 summarizes the characteristics of the 18 studies included in this review.^{15–32} Publication years ranged from 2007 to 2022, with an increasing number of publications in recent years (Figure 3). Most papers were published in the past three years, from 2020 to 2022. Six of 18 studies were case series in human patients, while the remainder were proof of concept experiments in cadaveric, phantom/artificial human temporal bones, or animal models. The most common use of AR was for surgical navigation (n=14). Less common applications included using AR to assist in preoperative planning $(n=2)$, clinical diagnosis $(n=2)$, directly treat patients for vestibular disease $(n=1)$ and conduct surgical training/assessments (n=1). Funding sources for studies were varied, ranging from nonprofit/government funding to industry sponsors.

Table 2 summarizes the technical specifications of the AR platforms described in studies. Commonly cited hardware used included operating microscopes, video cameras, endoscopes, beam splitters, computers and other proprietary equipment from specific

existing surgical navigation systems. Each study had its own self-designed software package. Input data came from computed tomography (CT) and magnetic resonance imaging (MRI) scans, as well as real time recordings from glasses, video cameras or microscopes. Outputs included AR headsets, surgical microscopes, and endoscopic monitors. A few common headsets include various proprietary smartglasses (i.e., head mounted wearable devices that bring a display in front of the eyes to present data) such as HoloLens (Microsoft; Redmond, WA). Registration was accomplished through surface matching, fiducial markers or paired points. Registration accuracy was reported in 12 of 18 studies. Among those that reported registration errors, fiducial registration errors ranged from 0.21 mm²⁵ to 0.84 mm²³ and target registration errors ranged from 0.31 mm²⁶ to 10.62mm.²⁴

Only one study directly applied AR to surgical training. Yong et al. used smartglasses in the temporal bone lab with residents to practice microsurgical skills on cadaveric specimens.²⁸ Surgical supervisors could see the trainees' field of view from the smartglasses in a different room and give real-time feedback using audio, text and annotated still images taken with the glasses. Retrospective surveys of participants found that both groups enjoyed the ability to communicate remotely. However, there were significant limitations to using these smartglasses such as the inability to use the operating microscope with the glasses in place.

Discussion

The application of AR to otology/neurotology is a relatively new field of research, with 18 relevant papers identified in this scoping review. Many new papers have been published in the field of otology/neurotology since the most recent systematic review on AR in otolaryngology from 2018, which included fewer than half the studies identified in this review.⁴ Among included papers, the vast majority describe applications to surgical navigation in the operating room. Few studies discuss the potential future use of this technology for surgical education. A variety of hardware, software, and AR platforms are currently being explored with a large range of registration accuracies reported from different research groups. All studies offer low levels of evidence, representing primarily small case series in patients or proof of concept studies in the lab. The low levels of evidence represented in these studies results in a significant risk for reporting bias. Additionally, papers reporting funding from private industry sources may have been affected by sponsorship bias.

Barriers to adoption in the field of otology/neurotology

A primary barrier to adoption of AR in otology/neurotology is the lack of high-quality studies. For example, for direct-to-patient applications, there are no cohort trials to determine the long-term benefits and side effects of these techniques on treating otologic disorders in comparison to standard of care. For surgical applications, there are no randomized controlled trials to determine if various platforms of AR (whether used for preoperative planning or intraoperative navigation) improve surgical efficiency/outcomes, decrease surgical complications, or decrease surgical difficulty for specific procedures. The

absence of studies with higher levels of evidence found in this scoping review, combined with the large variety of hardware and software represented across studies, suggests that there are substantial technical challenges that still must be overcome before AR can be applied to the field.

Several technical challenges can be readily identified in this scoping review. Firstly, while current AR technology may already be useful for surgical navigation in fields such as general surgery or orthopedics, the level of registration accuracy needed in neurotologic surgery is a substantial barrier to its clinical implementation. Existing AR technology that involves head mounted displays do not yet have submillimeter target registration accuracy in routine usage conditions and AR microscope overlays similarly lack high accuracy threedimensional registration.²⁴ While some papers in the literature report submillimeter target registration errors,19,33,26,31,32 many papers either do not present enough information in their methods to determine the source of error measurements or measure only one of many possible sources of error. Some studies have been able to reduce registration error by placing an optical tracking probe in the field but this can be limiting in a surgical setting.²²

Secondly, many of these studies describe methods that require significant manual labor in setting up the systems, which is not sustainable for large scale application. For example, manual segmentation of the input data (i.e., temporal bone imaging) is often required to identify critical anatomical structures to highlight during surgery. To improve the scalability of AR in the operating room, novel methods for fully automated segmentation of temporal bone CT scans to identify key anatomical structures have recently been described.^{34–36} Similar advances in these technical components may decrease the overall technical and logistical barriers to large-scale adoption of AR in otology and neurotology.

Thirdly, as described in this scoping review, the published studies describe a wide range of AR software and hardware requirements for neurotologic applications, making it difficult for advances in one lab to rapidly translate into further developments in others. Furthermore, there remain unsolved challenges to displaying depth to users through most of the existing AR displays, which will be critical for neurotologic surgeries. This is not just a limitation in our field, but one that has been acknowledged as a major barrier for any surgical application of AR.³⁷

Finally, there is at least one possible deleterious effect of using AR for advanced surgical navigation: sensory overstimulation in an AR environment may have a negative effect on surgeon attention during the procedure, which can cause the surgeon to miss unexpected findings due to "inattentional blindness". One study of AR in endoscopic anterior skull base surgery performed in cadaveric specimens by surgeons and trainees found that 0 of 15 participants identified a pre-dissected critical complication (optic nerve transection) in the AR group compared to 7 of 17 in the control group.³⁸ Similarly, only 1 of 15 AR users detected a foreign body in the surgical field compared with 7 of 17 in the control group. This is a serious risk of AR technology that warrants careful study of different display designs to balance any gains in surgical accuracy and efficiency with the attentional costs of AR.

Future application to surgical education

This study found a dearth of research on the application of AR to surgical education for otology/neurotology. Education interventions are typically poorly funded; it therefore seems unlikely that the use of AR platforms for educational purposes will be widespread before they are used in the operating room to improve surgical efficiency, medical outcomes, and/or patient safety. Outside of the field of AR, several virtual reality temporal bone surgical simulators have recently been developed for educational purposes.^{39,40} While these systems have their own limitations, it is possible that continued interest in this arena will also bring more attention to the educational potential of AR platforms. It is challenging to perform a cost-benefit analysis for educational AR simulation, 41 as it is difficult to weigh the costs of implementing this technology against the possible gains in training efficiency or patient safety. Additionally, the costs of AR need to be compared to the costs of maintaining cadaveric temporal bone labs and recruiting surgical educators. Although this review focused on the use of AR in otology/neurotology whereby virtual images are overlaid upon the real world, some of the included papers use platforms like the Microsoft HoloLens that have mixed reality (MR) capabilities whereby users can also interact directly with the virtual world. MR temporal bone dissection labs could, for example, be an answer to the rising cost and declining access to human cadaveric temporal bones that has become increasingly problematic.⁴²

Despite the investment needed to customize AR platforms for otology/neurotology surgical education, it may yield significant returns. First, it has the potential to dramatically improve the fidelity of surgical simulation. Second, combining the auto-segmentation of critical anatomical structures from imaging with artificial intelligence (AI) agents will enable the development of AI coaches for trainees or, at a minimum, the ability for educators to review and critique trainees remotely or asynchronously as most AR approaches allow for video recording. Third, there is a significant shortage of otologists and neurotologists in developing countries,^{43,44} and even within certain areas of developed countries.⁴⁵ Although it is likely that multiple issues contribute to this disparity, one factor that can exacerbate this problem is limited access to surgical training. Many think-tanks, non-governmental organizations and governmental agencies are already exploring ways to "leapfrog" education technology in underserved areas and developing countries using 5G connections and AR.46–48 Even without the development of AI coaches, the ability to transmit images of the operative field to a remote supervising surgeon who can give real time feedback (as Yong et al. described²⁸) using AR may enable otologists/neurotologists to train surgeons in areas of high need.

Study limitations

There are a few study limitations. First, only English papers were reviewed that explicitly used the words "augmented reality" to refer to their technology, which overlooks publications that use other languages or terminology. Second, the present review only examines papers that explicitly detail the application of AR technology to the field of otology/neurotology. Other publications describing applications in closely related fields like sinus surgery, anterior skull base surgery or neurosurgery could be translated to applications in the lateral skull base and temporal bone. Third, the large heterogeneity of techniques

described in the papers made it difficult to perform detailed comparisons of outcomes (e.g., registration accuracy) across studies or to meaningfully estimate the cost of the applying AR systems.

Conclusion

Research into the application of AR in the field of otology/neurotology is growing. The most likely initial application of AR will be to improve surgical navigation, but further investigation is needed to optimize the specifications of AR platforms. Future studies will hopefully provide higher levels of evidence to quantify the risks and benefits of using this technology. Major barriers to adoption are the technical limitations of current AR technology and the logistical complexities of setting it up, which may be improved by automating the steps to AR implementation. Educational applications of AR may follow the operative application of this technology. There is the potential to significantly increase access to otologic/neurotologic surgery in underserved areas of the world by using AR for surgical education.

Acknowledgements:

The author JXC would like to thank Professor Christopher Dede at the Harvard Graduate School of Education for the motivation to conduct this review.

Funding:

FXC was funded by an NIH K08DC019708 grant.

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Figure 1.

Example of an augmented reality (AR) headset, the Microsoft HoloLens 2, which the authors have experience using in feasibility studies of AR intraoperative navigation for lateral skull base surgery.²⁴ The oblique view (A) shows the head strap, the side volume buttons and head tracking cameras (two on each side). The frontal view (B) shows a central RGB camera and a depth camera over a transparent display visor that can be flipped up when not in use. Not pictured internally are the inertial measurement units (accelerometers, gyroscopes, magnetometers) and eye tracking infrared cameras. The headset weighs 556g and can be worn with eyeglasses.

Figure 2.

Flow diagram showing the stages of study identification. Augmented reality, AR; otology/ neurotology, O&N.

Figure 3.

Publication trends in papers on augmented reality in the field of otology/neurotology.

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Level of evidence: 1, randomized controlled trials or observational study with dramatic effect; 2, non-randomized controlled cohort/follow-up study; 3, case-series, case-control studies, or historically
controlled studies Level of evidence: 1, randomized controlled trials or observational study with dramatic effect; 2, non-randomized controlled cohort/follow-up study; 3, case-series, case-control studies, or historically controlled studies in humans; 4, proof of concepts in a non-organic, cadaveric, or animal model with mechanism-based reasoning

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Tomography, OCT. Target Registration E Augmented reality, AR. Computed tomography, CT. Fiducial Registration Error, FRE. Magnetic Resonance Imaging, MRI. Optical see-through head-mounted display, OST-HMD. Optical Coherence Tomography, OCT. Target Registration Error, TRE. Not Stated, NS. Not applicable, N/A

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