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Additional Declarations:

There is **NO** Competing Interest.

Tables 1 to 3 are available in the Supplementary Files section.

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**SARS-CoV-2 antibodies recognize 23 distinct epitopic sites
on the receptor binding domain**

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23 **Abstract**

24 The COVID-19 pandemic and SARS-CoV-2 variants have dramatically illustrated the need
25 for a better understanding of antigen (epitope)-antibody (paratope) interactions. To gain insight
26 into the immunogenic characteristics of epitopic sites (ES), we systematically investigated the
27 structures of 340 Abs and 83 nanobodies (Nbs) complexed with the Receptor Binding Domain
28 (RBD) of the SARS-CoV-2 spike protein. We identified 23 distinct ES on the RBD surface and
29 determined the frequencies of amino acid usage in the corresponding CDR paratopes. We
30 describe a clustering method for analysis of ES similarities that reveals binding motifs of the
31 paratopes and that provides insights for vaccine design and therapies for SARS-CoV-2, as well as
32 a broader understanding of the structural basis of Ab-protein antigen (Ag) interactions.

33 **Introduction**

34 Our ability to predict protein interactions is still very limited despite great progress in the
35 application of computational methods for determining protein structures from amino acid
36 sequence alone ^{1 2}. This limitation is even more evident with regard to the interactions among
37 highly variable immune receptor surfaces as dictated by Ab complementarity determining region
38 (CDR) loops and the antigenic structures they bind. Accordingly, efforts directed toward providing
39 systematic analyses or rational design strategies for Ab-Ag interactions need to incorporate
40 experimentally determined structural data on specific Abs. Recent efforts in Ab design take
41 advantage of segmental approaches ³ or extensive computational resources ^{4,5}. Such hindrances
42 emphasize the importance of incorporating as much information on naturally occurring specific
43 Ab-Ag structures as possible. Here, we report a systematic structural analysis, taking advantage
44 of the thousands of structures of SARS-CoV-2-derived proteins, including spike and various Ab
45 complexes that have been determined to further our understanding of the fundamental
46 mechanisms of the pathogenesis and neutralization of SARS-CoV-2 in the context of the human
47 immune system. Many Abs have been reported to have potent neutralizing activity, preventing
48 spike interaction with the cellular receptor, angiotensin converting enzyme (ACE) 2. Several Abs
49 have been developed as therapeutics and have variable efficacy against variants of concern
50 (VOC). Our analysis of available structures may aid in understanding which Abs may be of value
51 for emerging variants and contribute to evolving strategies for prophylaxis, treatment, and
52 immunization.

53 Ab-protein antigen (Ab-Ag) interfaces have been a focus of immunologists and protein
54 chemists for more than 80 years ⁶, not only because of the important role of Abs in defense
55 against infection ⁷, but also due to the general interest in understanding protein-protein
56 interactions ⁸. High resolution structural analysis of protein-protein complexes, based initially on
57 X-ray crystallography and more recently on cryogenic electron microscopy (cryo-EM), provides
58 an objective basis for understanding not only the biophysical principles that determine affinity
59 and specificity, but also for elucidating biological and evolutionary rules that govern
60 immunological molecular recognition of foreign molecules and pathogens ^{9,10}. With an ever-
61 expanding database of detailed Ab-Ag structures, great attention has been directed to the
62 characterization of such molecular interfaces, particularly as an understanding of the rules of
63 engagement might permit rationalization of the reactivity of existing Abs, the design of Abs with
64 new binding activities, and strategies for design of immunogens that might elicit more broadly
65 neutralizing Abs ¹¹⁻¹³.

66 The widespread infectivity, variance, and molecular characterization of the SARS-CoV-2
67 virus have provided a wealth of information concerning the functional and structural biology of
68 the immune response. At the beginning of the SARS-CoV-2 pandemic, many laboratories
69 accomplished detailed structural characterization of anti-RBD Abs and nanobodies (Nbs, single
70 domain antibodies), leading to a classification of Abs based on the location of their footprints on
71 the RBD surface. Initially, four classes of Ab were categorized, based on the orientation of the
72 RBD bound and whether the Ab blocks infectivity or binding to the cellular receptor, ACE2 ¹⁴
73 (**Supplementary Table 1**). A receptor binding motif (RBM) has been defined as those RBD residues
74 that specifically interact with ACE2 ¹⁵. Binding analysis of Nbs and human mAbs derived from

75 patients along with a limited number of protein structures assigned five surface regions of the
76 RBD reflecting its antigenic anatomy¹⁶. Epitopic analysis was further extended by the definition
77 of seven “communities” of Abs that bind to the RBD surface¹⁷. Recent analysis of anti-RBD
78 antibodies in the context of evolving escape mutations has taken advantage of these earlier
79 classification schemes¹⁸⁻²¹.

80 Although these classification schemes have been valuable and adopted widely in the
81 analysis of Abs as to how they bind to RBD and spike, particular Abs and Nbs may not be
82 unambiguously classified (***Supplementary Figure 1***). The previous summaries were based on a
83 relatively small number of available structures and focused on the relative superposition of the
84 Abs in the complexes, rather than on a comparison of the epitopic contacts of the RBD surface.
85 In particular, the original distinction between Class 1 and Class 2 seemed clear based on the initial
86 structures. However, as more structural models became available, apparent inconsistencies
87 arose. For example, Ahmad et al²² determined that synthetic Nbs Sb16 and Sb45 contacted both
88 Class 1 and Class 2 epitopic surfaces and approached the RBD from different angles. As more
89 structures of Ab and Nb complexes are determined, it is apparent that an expansion of the initial
90 classification scheme is warranted.

91 In this work, we focus on complexes of Abs and Nbs bound to the RBD of the spike protein
92 to generate a comprehensive structural framework to further our understanding of Ab- and Nb-
93 RBD recognition. Using a large database, we offer a structure-based classification exploiting
94 quantitatively defined contacting amino acid residues on the RBD as well as a clustering analysis.
95 These analyses reveal common characteristics of some 23 frequently contacted ES and the
96 structural nature of the surfaces of the RBD that interact with Ab/Nb. We also systematically

97 analyze the molecular features that define these antibodies and, by applying a rigorous
98 evaluation of the surface features of the RBD that are seen by Abs and Nbs, generate general
99 insights into the fundamental nature of Ab-Ag recognition. This analysis should facilitate the
100 characterization of new anti-RBD antibodies as they arise.

101 **Results**

102 **Identification of epitopic sites (ES)**

103 To identify common features of ES of the RBD, we systematically investigated structures
104 of Abs (as Fabs and Fvs, Ab fragments that confer antigen binding activity) and of Nbs (as VHH or
105 synthetic library-derived sybodies) in complex with the spike protein or its RBD as collected in
106 the CovAbDab²³ and the protein data bank (PDB)^{24,25}. Abs and Nbs that bind the SARS-CoV-2 RBD
107 are summarized in **Table 1**. As of 12/22/2022, a total of 6,746 Ab and 620 Nb sequences have
108 been collected in the CovAbDab. Of the Abs, 6,321 are human, including those from vaccinees,
109 and 390 derive from humanized mouse or phage display Ab libraries. For Nbs, 620 sequences
110 derive from camelids (alpaca/camel/llama), of which 276 are from camelid-derived phage display
111 libraries, some naïve, some immunized. Among these sequences, structural coordinates for only
112 ~5% of the Abs and ~10% of Nbs were available in the PDB, and we compiled a non-redundant
113 list of 340 Ab and of 83 Nb X-ray or cryo-EM structures (**Supplementary Table 2a & 2b**) which
114 serve as the basis of our structural analysis.

115 Evaluation of the biophysical properties that contribute to protein-protein interactions
116 may be based on different criteria, including calculation of free energy terms of interacting
117 residues²⁶, measurement of shape complementarity (Sc²⁷), and calculation of buried or
118 accessible surface area²⁸⁻³². We elected to simplify this analysis first by calculating interatomic
119 contacts between Ab (paratopic) and Ag (epitopic) residues at the interface because the
120 biophysical basis of binding (due to charge, hydrophobicity, hydrogen bonding and van der Waals
121 interactions) is reflected in such contacts. We calculated distances between Ab and Ag interface
122 residues with a cut-off of 5.0 Å (see Methods) and we plotted the numbers of Ab (paratope)

123 contacts as hits versus the residue number of the RBD (epitope) for the Ab heavy (H) (**Figure 1a**)
124 and light (L) (**Supplementary Figure 2a**) chains individually, and also overall for both H and L
125 chains together (**Supplementary Figure 2b**). We also plot the number of hits of the 83 Nbs to
126 each RBD residue (**Figure 1c**). For 340 Abs, H chains contribute 5,623 contacts and L chains 3,107
127 (**Supplementary Table 3**). By comparison, for 83 Nbs, 1,836 contacts are observed. Thus, the
128 number of contacts is ~25 per Ab and ~22 per Nb. Although the RBD residues bound by either
129 Ab, H chain, or Nb are by and large, the same, the relative distribution of hits varies for several
130 regions. In particular, the region from RBD residue 368 to 386 is recognized more frequently by
131 Nbs, while other contiguous surfaces are seen equivalently (**Figure 1a & 1c**). The numbers of hits
132 for Ab H chains are represented graphically as a heat map on the RBD surface in **Figure 1b**, and
133 the heat maps for the Nbs are shown in **Figure 1d**.

134 Several contiguous stretches of amino acids of the RBD that make Ab contact were
135 apparent, although the frequency of hits varied considerably for different regions on the surface
136 of the RBD. A fine-grained tabulation of regions of the RBD consisting of three to nine residues
137 define each individual ES as shown in **Table 2a**. Each of these ES may be assigned to either of the
138 four major classes identified earlier or to the RBM recognized by the ACE2 receptor (**Table 2b**).
139 These regions include distinct secondary structural features such as strands, loops, turns, and
140 helices (**Supplementary Movie 1a**), and represent contacts seen by few (<0.3 %) to many (>10%)
141 Abs. Consideration of the secondary structural features (loops, turns, or short β strands) and the
142 accessible surface area prompts the identification of 23 distinct contiguous sites, including
143 regions encompassing residues 404 to 421 that had been overlooked in previous studies. The hit
144 numbers are not evenly distributed over the RBD surface, and it is difficult to distinguish which

145 binding sites belong to the previously defined Class 1 or Class 2 due to overlaps generated by the
146 reduction of the three-dimensional surface to a two-dimensional plot. **Figure 2a, b** displays these
147 ES on the RBD surface with the ES numbers for Abs (magenta) and Nbs (blue) respectively. The
148 thickness of the putty cartoon indicates greater hit numbers. The computed accessible surface
149 area (ASA) (see Methods) for each individual ES (**Table 2a**) ranged from $\sim 100 \text{ \AA}^2$ to more than 500
150 \AA^2 . The total buried surface area (BSA) is also computed for each of 340 Abs and 83 Nbs as in
151 **Supplementary Table 2a and 2b** respectively. The values of BSA range from 106 \AA^2 (PDB 6XDG)
152 to 1112 \AA^2 (PDB 7N64) for 340 Abs and from 444 \AA^2 (PDB 7JVB) to 1412 \AA^2 (7D2Z) for the 83 Nbs.

153 As an indication of the relative immunogenicity of each of the 23 ES, we tabulated the
154 proportion of Abs and Nbs that recognized each site (**Figure 2c**). Approximately 7 to 11% of Ab H
155 chains recognized ES11, 13, 16, 18, and 20, which represent ES contained within the previously
156 defined Class 1 and Class 2 regions. In general, Nb recognition of specific ES was similar to that of
157 Ab H chains, with the predominant recognition representing from 7 to about 10% of Nbs see
158 **Table 2a** and **Figure 2c**, falling within Class 2 and Class 4. Notable differences in the predominant
159 ES recognized by Abs and Nbs are that ES8, 13, 16, and 18 are more frequently seen by Abs while
160 ES4, 5, 6, 7, 11, and 20 are more frequently identified by Nbs. For example, ES16 was recognized
161 by 10% of Abs and by 0.16% of Nbs. This difference may be explained since ES16 forms a solvent
162 exposed convex structure which may not be conducive to recognition by Nbs. By contrast, ES4,
163 5, and 6 form a contiguous patch, recognized more frequently by Nbs, a region that is not exposed
164 to solvent in the complete spike when the RBD is in the down position. Thus, Nbs may be better
165 able to access such hidden surfaces, perhaps because of their relatively small size (12kD
166 compared to ~ 25 or 50 kD for Fv and Fab respectively or ~ 150 kD for complete bivalent IgG, with

167 corresponding three-dimensional volumes)³³. Alternatively, since many Nbs were identified
168 based on binding to isolated RBD, some epitopes identified from such screens may be partially
169 hidden in the complete spike protein. In comparing L chains with H chains, as shown in **Figure 2d**,
170 L chains generally contribute less to these ES. Nevertheless, L chains seem to preferentially
171 contact ES7, 20 and 21. We note that some ES (e.g. ES7, 8, 9, and 23) could not be placed into
172 the previous classification schemes and some sites overlap on Class 1 and Class 2 (i.e. ES12, 19,
173 and 20). However, most of the 23 ES may be viewed within the four classes described by Barnes
174 (**Table 2b**)¹⁴. In addition, the RBM of the RBD¹⁵ may be defined in terms of the ES that overlap
175 the ACE2-RBD interface (i.e. ES8, 11, 12, 13, 16, 18, 19, 20, 21, and 22 (**Table 2b**)). With these 23
176 fine-grained ES, we extend the prior classification for Class 1 to now include ES8 and 9 (**Table 2b**).
177 Each ES surface area or footprint is illustrated by a color map of the RBD surface (**Figure 2d**,
178 **Supplementary Movie 1b**). The sum of these 23 ES covers as much as 70% of the total accessible
179 surface area (ASA) of the isolated RBD, illustrating the breadth of the human antibody response
180 to RBD.

181

182 **Analysis of CDR loop contributions and epitope-paratope interactions**

183 The CDRs in the hypervariable region of Abs play critical roles in recognizing antigens
184 ^{9,34,35}, and their variability in sequence and length facilitates interaction with distinct antigenic
185 epitopes³⁶. We tabulated the number of contacts for each CDR loop or non-CDR residues of 340
186 H chains and L chains and 83 Nbs to each of the 23 ES. The contact percentages are summarized
187 in **Figure 3a**, **3b** and **3c** respectively. The corresponding statistics are listed in **Supplementary**
188 **Table 3a**, **3b** and **3c**. For Ab H chains (**Figure 3a**), CDR loops account for 82% of the contacts to

189 ES (CDR1=16%, CDR2=21%, CDR3=45%), while only 18% of the contacts are from non-CDR
190 residues. Interestingly, CDR1 of H chains play a major role in binding to ES16. For Ab L chains
191 (**Figure 3b**), CDR1 loops play a major role (40%) in binding to RBD while CDR3 represent only 25%
192 of the contacts. One explanation for the reduced the role of the CDR3 loop of L chains might be
193 that their average length (10 aa for 340 Abs) is generally shorter than that of H chain CDR3 (15
194 aa for 340 Abs), see **Figure 3d**. For Nbs (**Figure 3c**), CDR represent 73% (CDR1=13%, CDR2=14%,
195 CDR3=46%) of the contacts to the RBD surface, while 27% involve non-CDR residues. The average
196 length of Nb CDR3 is 16 aa. Thus, for both Ab H chains and Nbs, CDR3 contributes the greater
197 proportion of those residues that interact with the RBD, reflecting a major role for CDR3 in RBD
198 recognition.

199 We plotted the frequency of particular amino acids used by Abs and Nbs (paratopic
200 residues) that interact with particular ES of the RBD for Ab H chains (**Figure 4a**) and for Nbs
201 (**Figure 4b**). These are shown as heat maps. The residues listed on the top of the panel represent
202 the most frequently contacting amino acids for the specific ES. The frequency of usage of each
203 amino acid for Abs (pink) and Nbs (blue) is compared in **Figure 4c**. Tyrosine (Y), serine (S), and
204 arginine (R) are the three amino acids most preferred for binding any ES of RBD (**Figure**
205 **4c**). Previous analyses of paratopic preferences for a wide range of Abs recognized a high
206 frequency of tyrosine usage³⁷. We also observed that tryptophan is more frequently used in Nbs
207 as compared with Abs (**Figure 4c**). The usage of CDR3 amino acids is plotted in **Figure 4d**. To
208 illustrate the predominance of particular paratopic residues of the Ab H chains that contact
209 specific ES, we also grouped these as WebLogo plots³⁸ (**Supplementary Figure 3**).

210

211 **Cluster analysis of epitopic sites and binding motifs**

212 Having identified the sets of ES bound by each Ab and Nb (see **Supplementary Table**
213 **2a,2b**), we then grouped the Abs and Nbs by computation of the similarity of the ES recognized
214 (see Methods). Similarity of a pair of ES sets is a value between 0 and 1 reflecting recognition of
215 completely different (0) or identical (1) sites. This clustering method compares ES sets on the RBD
216 without visualization of graphic models. Assigning a similarity threshold of 0.85 (see Methods)
217 results in the identification of 33 distinct, non-overlapping, clusters for Abs, designated A1 to A33
218 (**Supplementary Table 4a**) and 10 distinct clusters for Nbs, N1 to N10 (**Supplementary Table 4b**).
219 Although Abs within a single cluster bind the same subset of ES, they may, or may not address
220 the RBD from the same angle or utilize CDR of the same length or composition. These differences
221 are illustrated in **Figure 5a** for clusters A1, A3, and A11 for H chains and in **Figure 5b** for clusters
222 N1, N3, and N4 for Nbs. The members of nanobody cluster N4 reveal a similar orientation because
223 they have the same conformation and length of CDR loops. Abs or Nbs within the same cluster
224 recognize the same contiguous RBD surface and are expected to compete sterically.

225 CDR loops contain sequence motifs for epitope recognition³⁹⁻⁴². To identify such motifs
226 we analyzed a subset of interfaces from cluster A1, designated A1S1, that recognized ES with a
227 similarity of ≥ 0.9 . A1S1 consists of 28 members (cluster A1 has 56 members of similarity ≥ 0.85).
228 All the members of A1S1 recognize the same ES set (ES8, 9, 12, 13, 16, 18, and 19) (**Figure 5c**),
229 utilize the same CDR loops, and superpose well. Analysis of the residues of CDR1, 2, and 3 that
230 contact the RBD indicated those residues that are preferentially utilized by this stringently
231 selected cluster of Abs. For the binding motifs of CDR1, 2, and 3 of A1S1, the favored residues
232 are summarized in a WebLogo plot (**Figure 5c**). Remarkably, Y, S, G, and T predominate for all

233 CDR except CDR3 which exploits R in most instances. Thus, application of a more stringent ES
234 similarity score helps to identify the preferred binding motif utilized by the Ab of the same
235 subgroup. This stringent grouping of Abs and Nbs, based on high similarity score of their
236 respective ES, may prove a useful adjunct in structure prediction based on amino acid sequence
237 and antibody competition.

238 To extend the utility of our ES definitions, we set out to determine broad biophysical
239 trends common among the Abs that cluster to each ES region. Using the automated immune
240 molecule separator (AIMS) software ⁴³, a tool which characterizes immune molecules without
241 structural knowledge, we analyzed similar SARS-CoV-2-specific Abs. With this we identified 11
242 clusters which are designated as AIMS1, AIMS2, etc (**Figure 5d**). Not all Abs in a single AIMS
243 cluster bind the same ES. However, AIMS6 and AIMS7 overlap as subsets of cluster A1 and have
244 a similarity score of 0.85.

245

246 **Relation of ES and SARS-CoV-2 escape mutations**

247 SARS-CoV-2 variants have evolved rapidly from Alpha, Beta, Delta, and Omicron with
248 multiple mutations and deletions. The development of the latest Omicron subvariants can be
249 traced from BA.1, BA.1.1, BA.2, BA.3, BA.4/5, and XBB.1 to XBB.1.5 and they incorporate as many
250 as 30 mutations and deletions in their RBDs ⁴⁴⁻⁴⁶. **Table 6a** lists the mutations in these variants
251 and the ES to which they map. Subvariants marked “X” have different substitutions at a given
252 position. **Table 6b** lists the major Omicron subvariants and their associated ES. (For example,
253 XBB.1.5 has substitutions of P and S for V445 and G446, respectively, which are contained in ES11,
254 and substitution of S and Q for F490 and R493, respectively, which are in ES19). Similarly, XBB.4

255 preserves the same substitutions, but also substitutes R for L452 in ES12. **Figure 6a** illustrates the
256 location of these variants on the RBD surface for Omicron and their mutation sites are matched
257 to one or more of the 23 ES. Strikingly, Omicron escape mutations are distributed throughout
258 several distinct ES of the RBD (**Table 6a, Figure 6a-d**), posing a formidable challenge in the design
259 of new vaccines and therapeutic antibodies. Notably, mutations in ES3, 6, 9, 14, 15, and 23 have
260 not yet been reported.

261 Our comprehensive analysis of RBD epitopes and their corresponding Ab paratopes offers
262 the possibility of identifying currently approved SARS-CoV-2 therapeutic Abs that may be used to
263 neutralize emerging SARS-CoV-2 variants and Omicron subvariants. The latest reported
264 structures ^{41,47-49} describe some Abs that bind these subvariants. We can identify a number of
265 Abs or Nbs that target particular ES sets that are either mutated or preserved in emerging
266 variants. Those Abs/Nbs exhibiting multiple contacts to contiguous ES sites with concomitantly
267 large buried surface area and high binding affinity deserve the greatest attention. Thus, using
268 Ab/Nb structures already determined that target particular ES, we can model the effects of the
269 variant mutations on antibody recognition.

270 Two examples illustrate this approach: the R346T RBD mutation in the subvariants BA.4,
271 BA.5, BF.7 and XBB.1.5 lies within ES2 (**Table 2a, Table 6a, Figure 6a**), and those Abs that
272 recognize ES2 may be further evaluated for their ability to bind the mutants that harbor the R->T
273 substitution. **Supplementary Table 5a** lists a number of Abs and Nbs whose structures are known
274 that interact with ES2, and analysis of several Abs which may potentially resist the escape
275 mutation (**Supplementary Figure 5a**). Specifically, the emergency use authorized (EUA) mAb
276 S309 (one of three Fab modeled in PDB 7JX3) (sotrovimab)) may have neutralizing potency when

277 combined with other antibodies to BA.1.1.529, BA.1, BA.2.75 subvariants^{50,51}. A second is the
278 R486 mutation found in XBB.1 (R486S) and XBB1.5 (R486P) which is located in ES18 and 19 (F490
279 & R493). We identified a number of Abs and Nbs (**Supplementary Table 5b**) that have multiple
280 contacts with ES17, 18, and 19, such as for COVOX-45, which preserves those to P486 from the
281 main-chain of the CDR3 loop. Also, the nanobody Nb-2-67 makes multiple hydrogen bonds to
282 maintain contact with ES18 (**Supplementary Figure 5b**).

283 Our analysis of ES recognized by Abs and Nbs and the identification of specific ES affected
284 by mutations in VOC provides an explanation for the ineffectiveness of some Ab that have been
285 tested therapeutically. One example, Evushield™, which consists of two Abs, tixagevimab (AZD
286 8895) and cligavimab (AZD 1061) illustrates this point. These Ab have been studied by X-ray
287 crystallography (tixagevimab, PDB 7L7D, and cligavimab 7L7E⁵²) and by cryo-EM⁵³. By our
288 analysis, tixagevimab interacts with ES13, 16, 18, 19, and 20 and cligavimab with ES2, 10, 11, and
289 12. As shown in **Table 6a**, residues in every one of these ES are mutated in the Omicron variant.
290 This then explains the lack of beneficial effect of Evushield™ and supports a molecular basis for
291 the recent revision of its EUA by the FDA (<https://www.fda.gov/drugs/drug-safety-and-availability/fda-announces-evusheld-not-currently-authorized-emergency-use-us>). This
292 highlights the importance of our analysis of ES bound by Abs and Nbs.

294 **Discussion**

295 The enormous world-wide effort to elucidate the mechanistic underpinnings of the
296 immune response to SARS-CoV-2 has provided deep insight into aspects of the B cell and
297 T cell responses to infection and immunization and has contributed to ongoing strategies
298 for therapy and prevention. Here, we have taken advantage of the ever-increasing
299 structural database of anti-SARS-CoV-2 Abs and Nbs to analyze the three-dimensional
300 features that are described by X-ray and cryo-EM structures of Ab and Nb complexes with
301 the RBD of the virus, either alone or in the context of the full spike protein. We have
302 developed several analytical computational tools described in detail in the methods that
303 allow the tabulation and analysis of molecular contacts and ES between the Abs/Nbs and
304 the RBD. These provide a convenient avenue for querying and comparing the binding sites
305 and interactions of particular Abs/Nbs and will support additional queries as the Cov-
306 AbDab and PDB entries increase. This has permitted the categorization of the epitope-
307 paratope interactions and molecular surface characteristics that lend themselves to
308 recognition by Abs and the recurrent structural motifs of the CDR residues of the Abs/Nbs.
309 This identification of 23 ES derives from evaluation of a large number of Ab/Nb-RBD and
310 Ab/Nb-spike structures and their interface contacts, and thus surpasses analyses based on
311 amino acid sequence or gross structural comparison alone. Our method of clustering ES
312 sites with various stringencies, and independently of the antibodies that recognize them,
313 offers an additional tool towards the goal of prediction of CDR sequences that recognize
314 particular epitopic sites.

315 Of some 340 Abs and 83 Nbs, our analysis indicates that the 23 ES on the RBD
316 characterized in part by secondary structural features may be recognized at different
317 frequencies. This fine-grained analysis of the RBD surface reveals that as many as 10% of
318 Abs may recognize common features such as those of ES16 as seen by Abs, or of ES11 as
319 seen by Nbs.

320 Understanding the biophysical or structural characteristics of antigenic or
321 immunogenic sites on protein antigens has been a subject of considerable interest for
322 many years, beginning with efforts to understand common sites seen by heterogeneous
323 Abs and further refined as monoclonal Abs have been studied ^{6,34,36,37,54}. Recent efforts
324 have identified common motifs that human antibodies exploit to bind similar epitopes ⁵⁵.
325 Consistent features of antigenic sites include hydrophobicity, accessibility, and segmental
326 mobility as well as sequence dissimilarity to the Ab-producing organism (tolerance). Here
327 we have taken the opportunity to investigate a large number of Abs and Nbs for which the
328 antigenic site of a single protein is defined at high resolution by structural criteria.
329 Although non-random factors may contribute to biases in the available database. several
330 important consistent conclusions may be drawn: 1) common sites are recognized by a
331 proportion of Abs or Nbs approaching 10%; 2) several major surfaces of the RBD have not
332 been addressed by either Abs or Nbs; and 3) some sites are favored by either Abs (e.g,
333 ES16 and ES18) or by Nbs (e.g., ES4 and ES5). This latter phenomenon may reflect germline
334 VH gene preferences in the human (as suggested ⁵⁶) or the well-recognized characteristic
335 of Nbs, whose relatively long CDR3 loops are capable of exploring concave surfaces ⁵⁷.

336 Our analysis suggests that several regions of the RBD may be particularly important
337 to incorporate into peptide-based immunogens (such as ES11, 13, 16, and 18) and that
338 further generation vaccines might pay particular attention to new viral variants that affect
339 these sites. Alternatively, Ab therapies may benefit from a focus on those reagents that
340 recognize both common antigenic sites as well as those that are rarely identified. Although
341 our analysis here has been confined to Abs/Nbs that recognize the RBD of the spike protein
342 of SARS-CoV-2, this approach may, in principle, be applied to a variety Abs/Nbs directed
343 against proteins of pathogenic organisms.

344 **Methods**

345 **Databases**

346 Covid antibodies and nanobodies were culled from the Coronavirus Antibody
347 Database, Cov-AbDab (<http://opig.stats.ox.ac.uk/webapps/covabdab/>)²³ and coordinates
348 of three-dimensional models were taken from the protein database (PDB)(
349 <https://www.rcsb.org/>, <https://rcsb.org/covid19/>)^{24,25}.

350 **Software**

351 All analyses were performed with our **EPI (Epitope-Paratope Interaction)** software
352 package of mixed scripts of C-shell, perl and python. **EPI** software is available at
353 <https://github.com/jiangj-niaid/EPI/>. Contact distances were calculated based on scripts
354 from **CNS 1.3** (<http://cns-online.org/v1.3/>)⁵⁸, using a cut-off of 5.0 Å. Buried surface area
355 (BSA)^{31,59,60} was calculated with **PISA (Proteins, Interfaces, Structures and Assemblies**³¹),
356 and accessible surface area (ASA)^{32,61-64} with **CNS**.

357 The clustering method used in EPI is based on the ES (i.e. RBD binding sites) not
358 amino acid sequences of Abs or Nb2. The numbers of ES (1-23) are converted to a
359 corresponding string of 23 letters from “a” to “w” and the similarity between sets of ES is
360 computed using the Normalized Edit Distance that was developed from Hamming
361 Distance⁶⁵ and Levenshtein Distance⁶⁶. A similarity of 1 indicates that the two strings or
362 two ES sets are identical; a similarity of 0 indicates that the two strings or ES sets are
363 completely different. The similarity is then calculated for pairwise combinations of all Abs
364 or Nbs based on their ES sets. Abs or Nbs can be clustered by imposing a similarity

365 threshold. For 340 Abs we tested similarity thresholds from 0.50 to 0.99 at 0.05 intervals
366 and found that a similarity threshold of 0.85 yielded 33 clusters that covered all Ab without
367 overlap between clusters. For 83 Nbs a similarity threshold of 0.85 yielded 10 clusters. We
368 also provide a program with which users can make inquire for a particular ES combination,
369 PDB ID, antibody name, or Class 1-4 designation, at a given similarity threshold.

370 The AIMS analysis package used for biophysical clustering of antibody sequences
371 can be found at <https://github.com/ctboughter/AIMS>, including generalized Jupyter
372 Notebooks and a Python-based GUI for the replication of the results presented herein or
373 for the application of this analysis to novel datasets. Detailed descriptions of the
374 foundational concepts critical for this analysis and the instructions for use can be found at
375 <https://aims-doc.readthedocs.io>.

376 Figures for structural models are generated by using PyMOL ⁶⁷
377 (<https://pymol.org/2/>). Sequence logo figures were generated with WebLogo
378 (<https://weblogo.berkeley.edu/>) ³⁸. Sequence alignments were made with Clustal Omega
379 (<https://www.ebi.ac.uk/Tools/msa/clustalo/>) ⁶⁸. Graphic plots were generated with Prism
380 9 (<https://GraphPad.com>).

381 **Data availability**

382 All data generated for analysis in this study has been published on GitHub at
383 <https://github.com/jiangj-niaid/RBD-SARS2/>.

384

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388

389 **Author contributions**

390 JJ conceived the project, wrote programs, analyzed and discussed data, prepared
391 figures. CTB contributed to program scripts, analyzed and discussed data, and prepared
392 figures. JJ, CTB, JA, KN, LFB, MM-S and DHM discussed data, and wrote and revised the
393 paper.

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589

590

591 **FIGURE LEGENDS**

592 **Fig. 1. Number of contacts to RBD by Abs and Nbs.** **a** Total number of contacts to each of
593 the indicated RBD residues summed from all available X-ray and cryo-EM structures from
594 Ab H chains. **b** Graphic depiction of number of contacts illustrated as footprint on the RBD
595 and as putty heat map of RBD cartoon backbone. Top, inner face, and side views of RBD
596 are shown. **c** Total number of contacts as in **a**, but for Nb contacts. **d** Surface footprint and
597 putty heat map of Nb contacts as in **b**.

598 **Fig. 2. Distribution of Abs and Nbs on RBD surface.** **a** Putty heat map of H chain of antibody
599 with the definition of ES. The thickness of putty represents the number of hits. **b** Putty
600 heat map of Nb with the definition of ES. **c** Distribution of Abs/Nbs on ES of RBD surface
601 (percentage, %). Magenta represents Ab, blue represents Nb. **d** Comparison of antibody H
602 chains and L chains on ES of RBD surface (by hit numbers). **e** ES surface area or footprint is
603 illustrated by a color map of the RBD surface.

604 **Fig. 3. Distribution of CDR loops of contacts to RBD surface over ES.** **a** Antibody H chains
605 are plotted (percentage). Pie graph indicates the composition of CDR1 (16%, orange), CDR2
606 (21%, marine blue), CDR3 (45%, purple) and non-CDR (18%, gray) respectively. **b** Antibody
607 L chains are plotted (percentage). Pie graph indicates the composition of CDR1 (40%,
608 orange), CDR2 (10%, marine blue), CDR3 (25%, purple) and non-CDR (25%, gray)
609 respectively. **c** Nanobody chains are plotted (percentage). Pie graph indicates the
610 composition of CDR1 (13%, orange), CDR2 (14%, marine blue), CDR3 (46%, purple) and
611 non-CDR (27%, gray) respectively. **d** Average length (in amino acids (aa)) of CDR loops
612 extracted from the sequences (CovAbDab, ²³, as of 12/20/2022) and used in this study. The
613 averages are over 340 antibodies and 83 nanobodies respectively.

614 **Fig. 4. Distributions of amino acids of Abs/Nbs over ES.** **a** Heat map of amino acids of Ab
615 H chains on each ES, magenta indicates the frequency of the amino acids. **b** Heat map of
616 amino acids of nanobody on each ES, blue indicates the frequency of the amino acids. Top
617 triplets of amino acids are those most frequently observed amino of Abs/Nbs on each ES.
618 **c** The usage of amino acids of antibody H chains (magenta) and nanobody (blue) in

619 interacting with RBD is plotted in descending order (percentage). YSR are most frequently
620 observed amino acids both for Ab and Nb. **d** Usage of amino acids in CDR3 loops (purple
621 for Abs; light blue for Nbs). W of Nbs has relatively higher percentage in comparison to Abs
622 both overall and for CDR3 loop.

623 **Fig. 5. Identity of the similarity of the ES and clustering of Abs/Nbs (see Supplementary**
624 **Table 4).** **a** Illustration of three antibody clusters: A1, A3 and A11, each identifies a specific
625 ES combination. Superimposed are members of the cluster on the RBD (only HV domains
626 are shown for clarity). **b** Illustration of three nanobody clusters: N1, N3 and N4. RBD is
627 presented as gray surface, magenta indicates the binding areas (footprints) of ES of RBD. **c**
628 A subset of the Cluster-A1, named A1S1, ES=(8,9,13,16,18,19) with similarity \geq 0.90, shows
629 a strong binding motif on CDR loops. The members (28) of A1S1 are superposed on the
630 RBD on the left panel. On the right top panel are shown the contacts between CDR loops
631 and the binding sites (ES8-9, ES13, ES16, and ES18-19). On the right below panel, WebLogo
632 plots show the amino acids from Abs binding to ES8-9, 13, and ES18-19 respectively. Y,S,G
633 from CDR2 are favor binding to ES13 (RBD residues from 455-460); S,S,N,T of CDR1 favor
634 binding to ES16 (RBD residues 455-459); and R and Y of CDR3 are favor binding to ES18-19
635 (RBD residues 485-491). **d** Clustering using AIMS⁴³. Here AX1 and AX2 are “principle
636 components” of biophysical properties, or “mature information”.

637 **Fig. 6. Illustration of locations of variant mutations and associated ES on RBD surface.** **a**
638 Alpha variants. **b** Beta variants. **c** Delta variants. **d** Omicron variants and subvariants.

639

640 **TABLE LEGENDS**

641 **Table 1. Summary of sequences and structures of anti-SARS-CoV-2 antibodies and**
642 **nanobodies.**

643 The sequences and origin/source are collected in CovAbDab ²³, as of 12/20/2022. The
644 number of structures of antibodies and nanobodies in complex with RBD or spike protein
645 are downloaded from PDB.

646 *Unique non-redundant structures determined either by X-ray or cryo-EM as listed in the
647 PDB.

648 #This includes two sequences/structures from mice engineered to express llama Nb genes
649 ⁶⁹.

650 **Table 2. Definitions of Epitopic Sites (ES) seen by Abs and Nbs. a** RBD residue range for
651 each ES is indicated, along with the amino acid sequence, secondary structural features (as
652 determined by DSSP ⁷⁰), accessible surface area (ASA) (see Methods) of the contacting
653 residues, and percentage of Ab H chains and Nbs. **b** correlation of ES with Class definitions
654 by Barnes ¹⁴ and with receptor binding motif (RBM) ¹⁵.

655 **Table 3. Relation of ES to SARS2-CoV-2 escape mutations. a** Major mutations with the
656 main lineage of variants of SERS-Cov-2 and corresponding ES site. "X" column indicates the
657 amino acids substitution of the sub-variants of Omicron. **b** Latest mutations in the major
658 lineage of sub-variants of Omicron and corresponding ES site.

659

660

Figures

Fig. 1

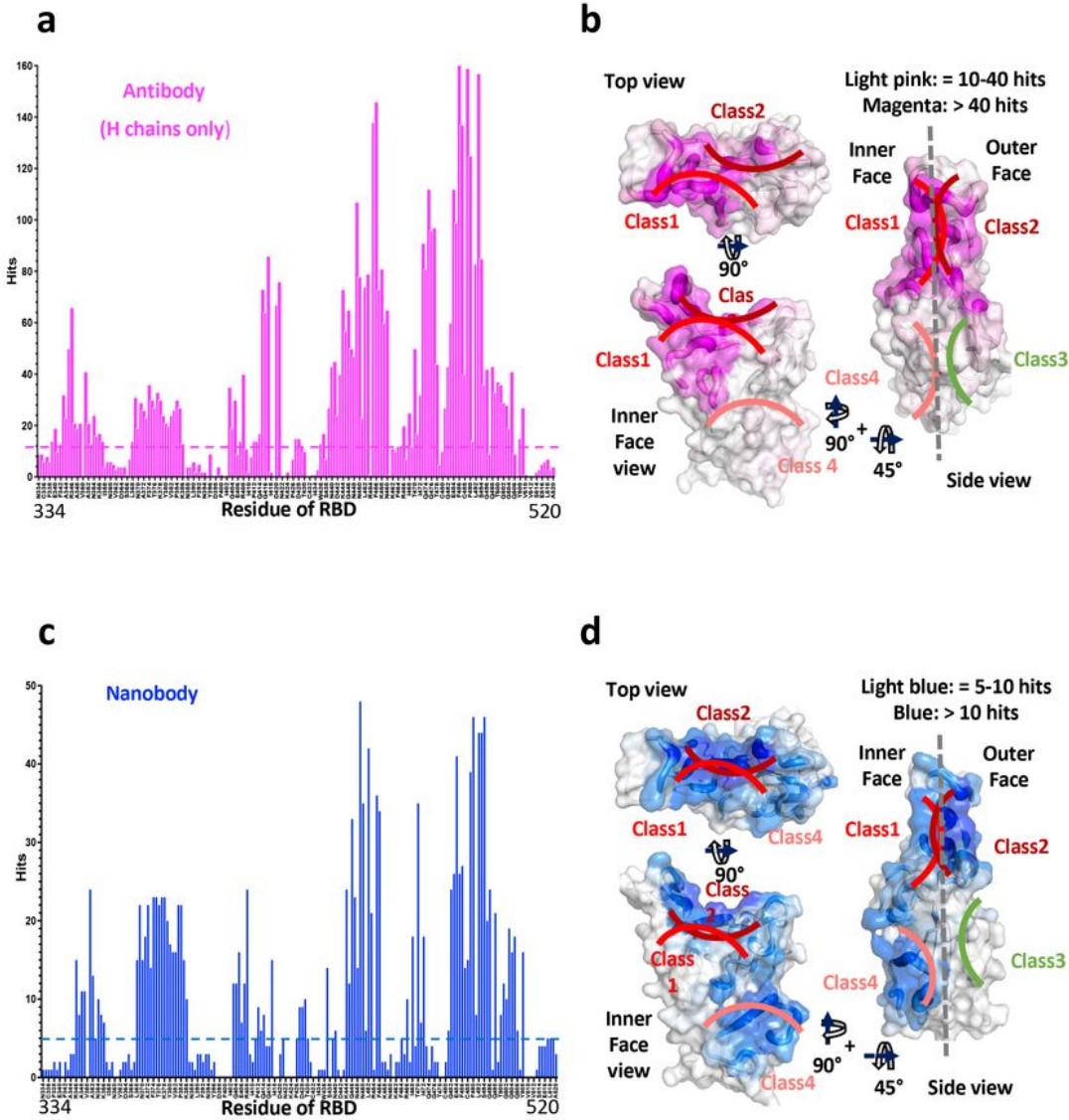


Fig. 1. Number of contacts to RBD by Abs and Nbs. **a** Total number of contacts to each of the indicated RBD residues summed from all available X-ray and cryo-EM structures from Ab H chains. **b** Graphic depiction of number of contacts illustrated as footprint on the RBD and as putty heat map of RBD cartoon backbone. Top, inner face, and side views of RBD are shown. **c** Total number of contacts as in **a**, but for Nb contacts. **d** Surface footprint and putty heat map of Nb contacts as in **b**.

Figure 1

See image above for figure legend.

Fig. 2

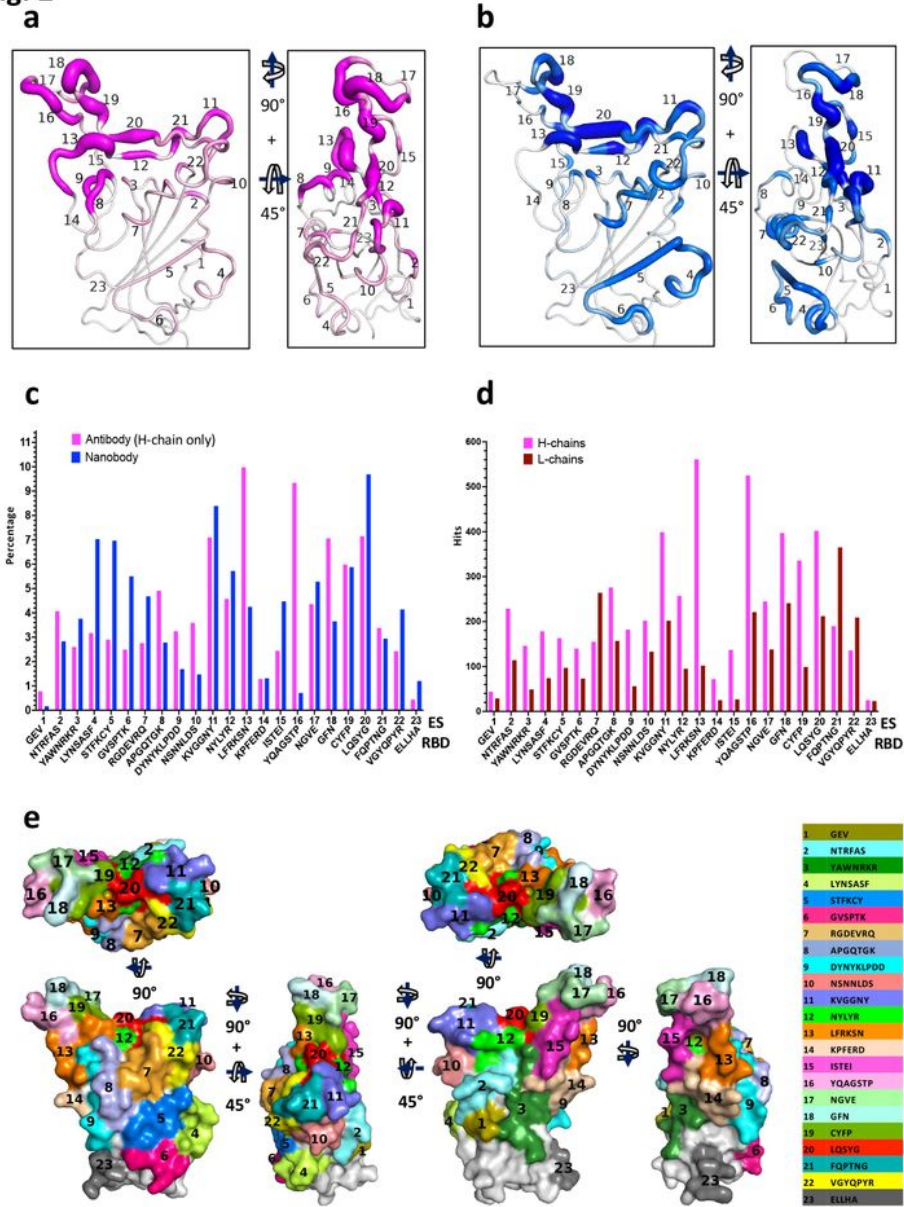


Fig. 2. Distribution of Abs and Nbs on RBD surface. **a** Putty heat map of H chain of antibody with the definition of ES. The thickness of putty represents the number of hits. **b** Putty heat map of Nb with the definition of ES. **c** Distribution of Abs/Nbs on ES of RBD surface (percentage, %). Magenta represents Ab, blue represents Nb. **d** Comparison of antibody H chains and L chains on ES of RBD surface (by hit numbers). **e** ES surface area or footprint is illustrated by a color map of the RBD surface.

Figure 2

See image above for figure legend.

Fig. 3

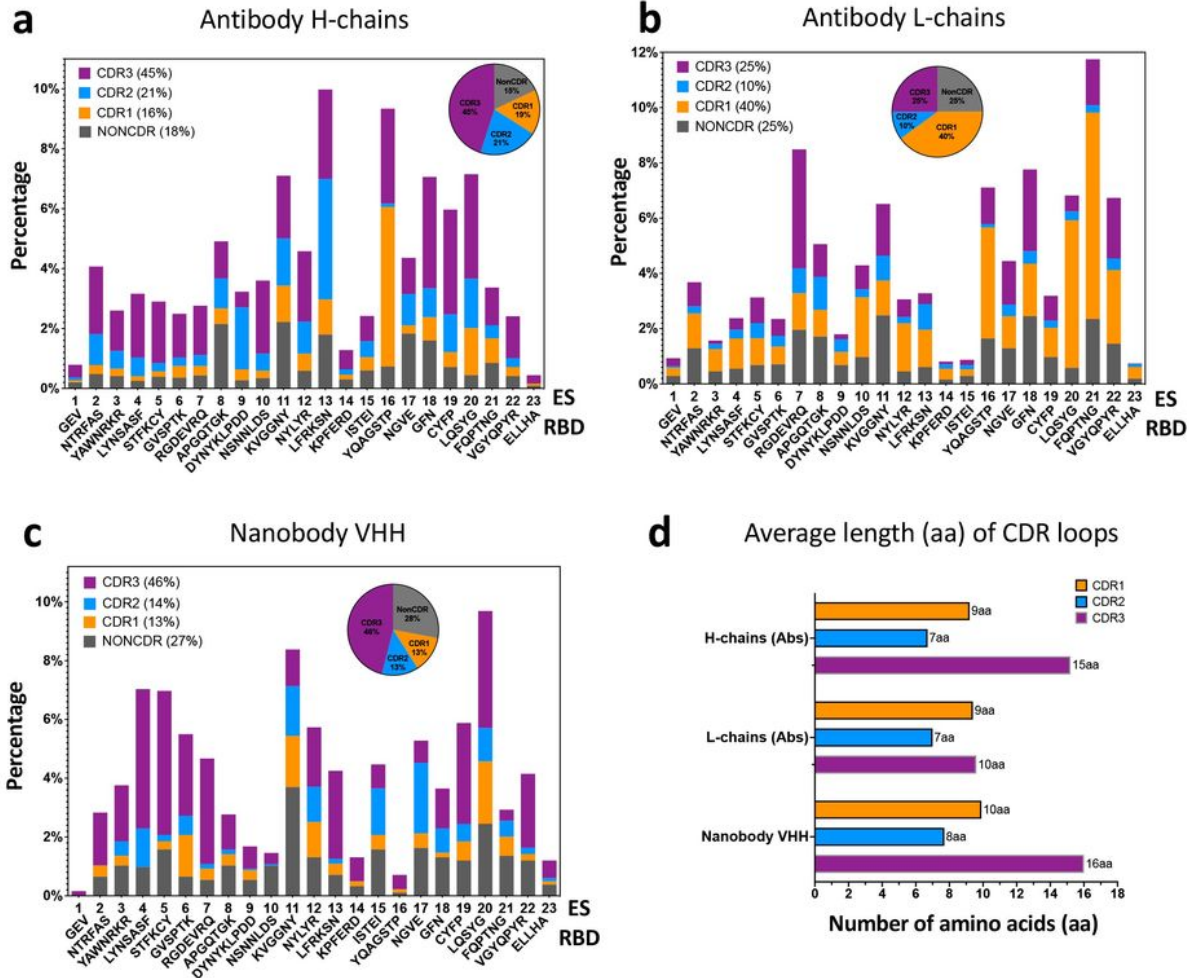


Fig. 3. Distribution of CDR loops of contacts to RBD surface over ES. **a** Antibody H chains are plotted (percentage). Pie graph indicates the composition of CDR1 (16%, orange), CDR2 (21%, marine blue), CDR3 (45%, purple) and non-CDR (18%, gray) respectively. **b** Antibody L chains are plotted (percentage). Pie graph indicates the composition of CDR1 (40%, orange), CDR2 (10%, marine blue), CDR3 (25%, purple) and non-CDR (25%, gray) respectively. **c** Nanobody chains are plotted (percentage). Pie graph indicates the composition of CDR1 (13%, orange), CDR2 (14%, marine blue), CDR3 (46%, purple) and non-CDR (27%, gray) respectively. **d** Average length (in amino acids (aa)) of CDR loops extracted from the sequences (CovAbDab, ²³, as of 12/20/2022) and used in this study. The averages are over 340 antibodies and 83 nanobodies respectively.

Figure 3

See image above for figure legend.

Fig. 4

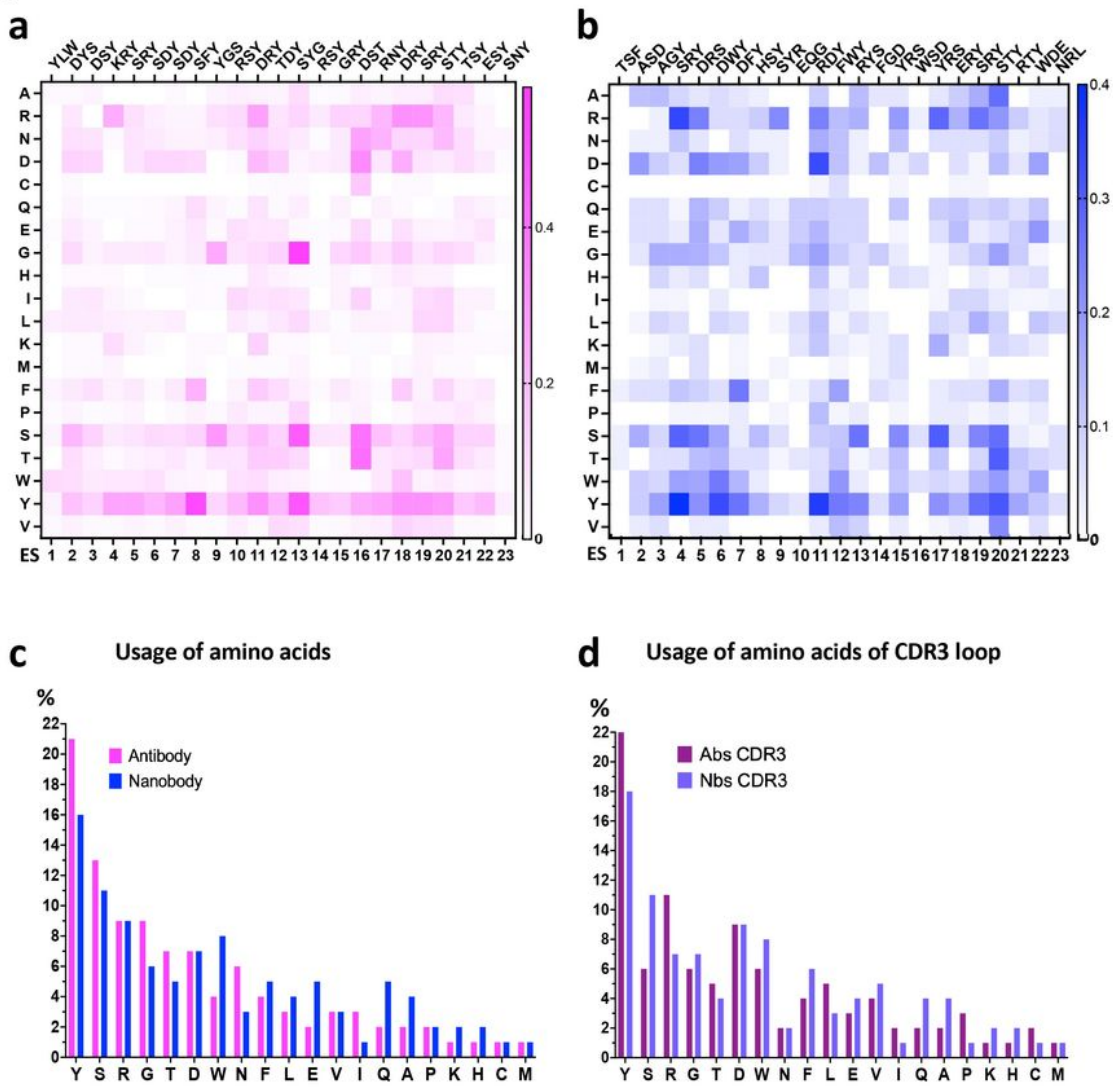


Fig. 4. Distributions of amino acids of Abs/Nbs over ES. **a** Heat map of amino acids of Ab H chains on each ES, magenta indicates the frequency of the amino acids. **b** Heat map of amino acids of nanobody on each ES, blue indicates the frequency of the amino acids. Top triplets of amino acids are those most frequently observed amino of Abs/Nbs on each ES. **c** The usage of amino acids of antibody H chains (magenta) and nanobody (blue) in interacting with RBD is plotted in descending order (percentage). YSR are most frequently observed amino acids both for Ab and Nb. **d** Usage of amino acids in CDR3 loops (purple for Abs; light blue for Nbs). W of Nbs has relatively higher percentage in comparison to Abs both overall and for CDR3 loop.

Figure 4

See image above for figure legend.

Fig. 5

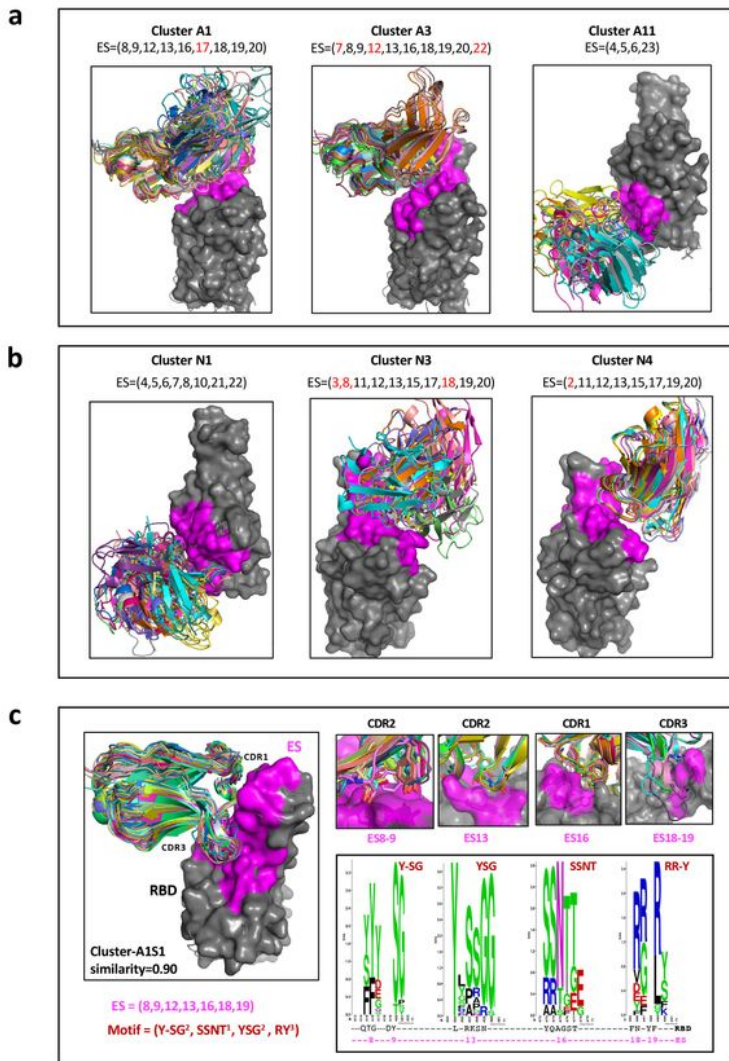


Fig. 5. Identity of the similarity of the ES and clustering of Abs/Nbs (see Supplementary Table 4). **a** Illustration of three antibody clusters: A1, A3 and A11, each identifies a specific ES combination. Superimposed are members of the cluster on the RBD (only HV domains are shown for clarity). **b** Illustration of three nanobody clusters: N1, N3 and N4. RBD is presented as gray surface, magenta indicates the binding areas (footprints) of ES of RBD. **c** A subset of the Cluster-A1, named A1S1, ES=(8,9,13,16,18,19) with similarity \geq 0.90, shows a strong binding motif on CDR loops. The members (28) of A1S1 are superposed on the RBD on the left panel. On the right top panel are shown the contacts between CDR loops and the binding sites (ES8-9, ES13, ES16, and ES18-19). On the right below panel, WebLogo plots show the amino acids from Abs binding to ES8-9, 13, and ES18-19 respectively. Y,S,G from CDR2 are favor binding to ES13 (RBD residues from 455-460); S,S,N,T of CDR1 favor binding to ES16 (RBD residues 455-459); and R and Y of CDR3 are favor binding to ES18-19 (RBD residues 485-491). **d** Clustering using AIMS⁴³. Here AX1 and AX2 are “principle components” of biophysical properties, or “mature information”.

Figure 5

See image above for figure legend.

Fig. 6

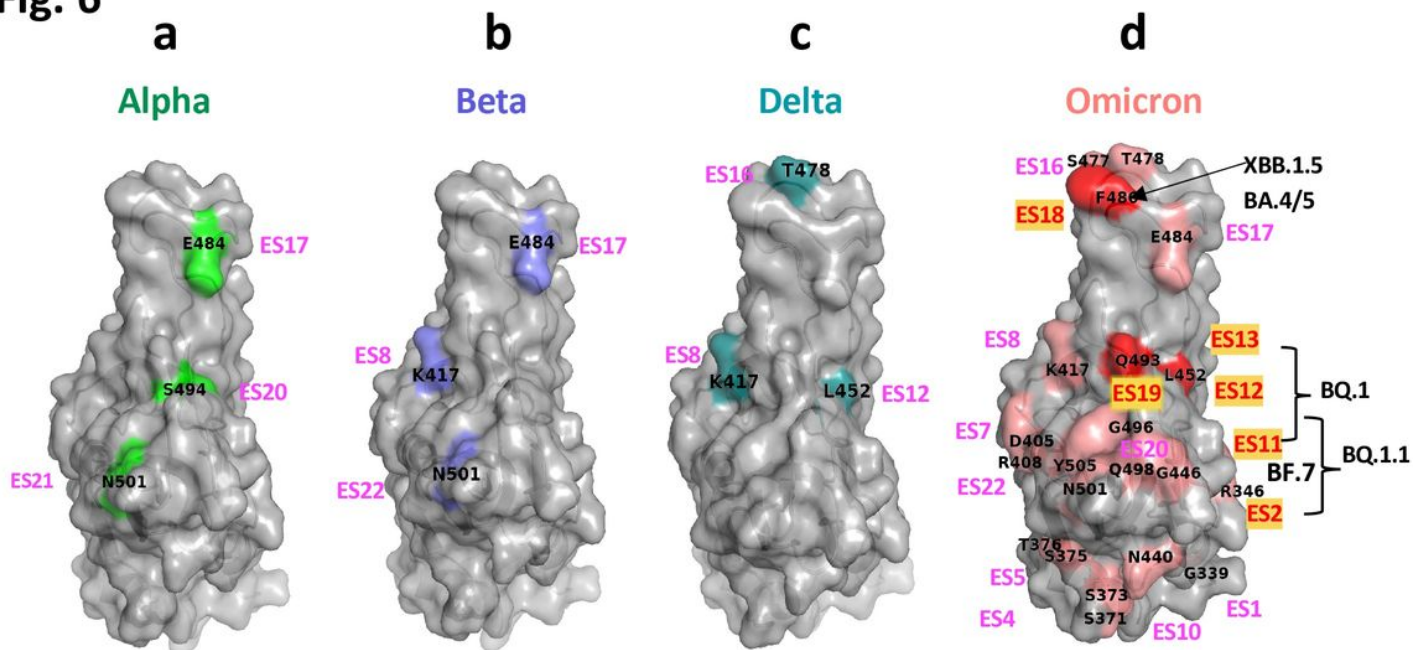


Fig. 6. Illustration of locations of variant mutations and associated ES on RBD surface. a Alpha variants. **b** Beta variants. **c** Delta variants. **d** Omicron variants and subvariants.

Figure 6

See image above for figure legend.

Supplementary Files

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