#### **RESEARCH ARTICLE**

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# **PES1 is a biomarker of head and neck squamous cell carcinoma and is associated with the tumor microenvironment**



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#### **Abstract**

**Background:** As a nucleolar protein associated with ribosome biogenesis in multiple cancer types, PES1 has been reported to be overexpressed, promoting cancer cell proliferation and invasion. However, in head and neck squamous cell carcinoma (HNSCC), the role of PES1 on the prognosis and immune infiltration remains unknown.

**Methods:** Multiple databases and qRT-PCR evaluated the expression of PES1 in HNSCC. The prognostic potential of PES1 in HNSCC patients was analyzed by Cox regression and Kaplan–Meier curves. Then, we used LASSO regression and stepwise multivariate Cox regression to construct the PES1-related risk assessment model. In addition, the association between PES1 and tumor immune microenvironment and drug sensitivity was explored by R packages. Finally, we used cell function assays to explore in HNSCC if PES1 influences tumor growth and metastasis.

**Results:** PES1 was significantly up-regulated in HNSCC and closely correlated with HPV status, tumor stage, clinical grade, and TP53 mutation status. Survival analysis suggested that PES1 is associated with worse survival outcomes, acting as an independent prognostic indicator for HNSCC. Our model also performed well in terms of prognosis prediction. Furthermore, tumor-infiltrating immune cells and antitumor drug susceptibility were negatively related to PES1 expression. Functionally, as for HNSCC cell lines in vitro, the knockdown of PES1 could inhibit proliferation, migration, and invasion.

**Conclusion:** We have demonstrated that PES1 may be a promoter of tumor growth. PES1 holds excellent promise as a novel biomarker to assess the prognosis of patients with HNSCC and may guide immunotherapy.

#### **KEYWORDS**

head and neck squamous cell carcinoma, immune infiltration, PES1, prognosis, TCGA

Yaodong He and Junwei Xiang contributed equally to this work.

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# **1** | **INTRODUCTION**

As a common malignancy, Head and neck squamous cell carcinoma (HNSCC) can form in the mouth, pharynx, larynx, lip, nasal cavity, and salivary glands. $1,2$  According to the reports, in 2021, more than 878,000 new cases of HNSCC will be diagnosed worldwide, with an estimated  $444,000$  deaths. $3$  Due to local recurrence and metastases in the cervical lymph nodes and the resistance to conventional chemotherapy, the rate of treatment failure and mortality in patients with advanced HNSCC is high. Effective multidisciplinary treatment for HNSCC remains limited, and the survival rate for patients with advanced HNSCC is only  $34.9\%$ .<sup>4,5</sup> Emerging research seeks predictive biomarkers from large cohorts of HNSCC patients to help clinicians diagnose accurately and predict clinical outcomes and inform patients with HNSCC the individu-alization of immunotherapy.<sup>[6](#page-14-3)</sup>

Pescadillo ribosomal biogenesis factor 1 (PES1), also known as Pescadillo or NOP7, is located on chromosome 22q12.2 and contains 19 exons in humans and is a nucleolin protein that is essential for ribosome biogenesis, nucleoporin production, and cell growth. $7.8$  Numerous studies have shown that PES1 is associated with tumor cell proliferation, invasion and metastasis in many types of cancers, including prostate, liver,  $\frac{10}{10}$  pancreatic,  $\frac{11}{10}$  thy-roid,<sup>[12](#page-14-8)</sup> breast,<sup>13</sup> ovarian,<sup>[14](#page-14-10)</sup> gastric<sup>15</sup> and colon cancers.<sup>16</sup> Some studies have also shown that PES1 is associated with the prognosis of some cancers.<sup>[10,16](#page-14-6)</sup> Cheng et al.<sup>17</sup> and Li et al.<sup>[14](#page-14-10)</sup> reported a novel function of PES1 that regulates the balance between ERα and ERβ protein levels. They found that PES1 enhanced the stability of  $E$ Rα while targeting ER $\beta$  for proteasomal degradation, thereby increasing the protein levels of ERα and decreasing those of ERβ, which contributed to the development and progression of breast and ovarian cancers. Wang et al.<sup>18</sup> reported that PES1 promotes tumorigenesis in hepatocellular carcinoma by regulating the PI3K/AKT pathway. It has been reported that PES1 interacts with BRD4 to enhance the expression of c-Myc, thereby promoting cell growth and cellular resistance to extra-terminal inhibitors in pancreatic cancer. $<sup>11</sup>$ </sup> In addition, PES1 activates Wnt/β-Catenin signaling and stabilizes β-Catenin in human cancer cells in a β-Catenindependent manner via siRNA-mediated knockdown or  $CRISPR/Cas9$  strategies.<sup>19</sup> All these data suggest that PES1 plays an oncogenic role in various cancers. However, there are no relevant studies on the role of PES1 in the tumor microenvironment and prognosis of HNSCC.

By comprehensive bioinformatic analysis, this study explored the immune infiltration impact and prognostic value of PES1 in HNSCC. First, PES1 expression was compared between normal and carcinoma tissues and assessed the relationship of PES1 expression with the clinical feature of HNSCC patients. Subsequently, we evaluated the prognostic role of PES1 in HNSCC patients and established a PES1-related risk model. Next, we comprehensively analyzed the immune infiltration landscape of HNSCC using multiple algorithms. Finally, we used cell function assays to explore if PES1 influences tumor growth and metastasis in HNSCC. We hope that PES1 can serve as clinical guidance for HNSCC patients.

### **2** | **MATERIALS AND METHODS**

# **2.1** | **Data acquisition and gene expression analysis**

We performed TIMER2 [\(http://timer.cistrome.org/](http://timer.cistrome.org/)) to PES1 expression in pan-cancer.<sup>20</sup> Then, we collected three datasets (accession: GSE25099, GSE30784, GSE13601) from GEO to explore the expression of PES1 in HNSCC patients. The immunohistochemical staining for PES1 expression was obtained by the Human Protein Atlas (HPA).<sup>21</sup> Based on UALCAN [\(http://ualcan.path.uab.](http://ualcan.path.uab.edu/analysis.html) [edu/analysis.html\)](http://ualcan.path.uab.edu/analysis.html), we comprehensively analyzed PES1's expression in different clinical subgroups, including TP53 status, HPV status, nodal metastasis status, individual cancer stage, tumor grade, and gender, age, race. $^{22}$  $^{22}$  $^{22}$ 

#### **2.2** | **Prognostic value analysis**

GEPIA2.0 [\(http://gepia2.cancer-pku.cn/](http://gepia2.cancer-pku.cn/)) and Kaplan– Meier plotter ([https://kmplot.com/analysis/\)](https://kmplot.com/analysis/) plot the Kaplan–Meier survival curves of  $PES1<sup>23,24</sup>$  The survival role of PES1 was further validated using clinical data from the GEO database (accession: GSE65858, GSE41613). We performed multivariate and univariate Cox regression analyses to identify whether the PES1 can be an independent prognostic predictor.

### **2.3** | **PES1-related genes acquisition and functional enrichment analysis**

Using LinkedOmics [\(http://www.linkedomics.org/\)](http://www.linkedomics.org/), the HNSCC cohort from TCGA performed a Co-expression analysis of PES1. $^{25}$  $^{25}$  $^{25}$  For correlation analysis, genes with |cor|>0.5 and adjusted *p*-value <0.05 were considered co-expressed genes by using the Pearson correlation test. Based on the median expression value of PES1, we divided the TCGA HNSCC samples into the high-expression and low-expression groups. We used the "limma" R package between two groups to identify differentially expressed genes (DEGs), with the filter |logFC|>1.0 and *p*-value **12624 WII FV-Cancer Medicine Construction** Reserves the et al.

<0.05. PES1-related genes are the set of co-expressed genes and differentially expressed genes.

# **2.4** | **Functional enrichment analysis of PES1 differential genes**

Gene Set Enrichment Analysis (GSEA) and Gene Set Variation Analysis (GSVA) were used to explore PES1 associated pathways and phenotypes and to compare biological functions between patients with high and low PES1. The Gene Ontology (GO) and Kyoto Encyclopedia of Genes and Genomes (KEGG) gene sets were obtained from the licensed portal. The subsets "c5.go.v2022.1.Hs. symbols.gmt" and "c2.cp.kegg.v7.4.symbols.gmt" were downloaded from the Molecular Signatures Database (MSigDB) to evaluate oncogenic or tumor-associated pathways in HNSCC, and the limma, clusterProfiler, and GSVA packages in R were used for functional analysis.

# **2.5** | **Construction of risk assessment model**

We conducted a univariate Cox regression analysis to obtain survival-related PES1 genes. And then, we used stepwise multivariate Cox regression and Least Absolute Shrinkage and Selection Operator (LASSO) regression to establish a risk assessment model. Then, we calculated the Akaike information criterion (AIC) values for each attribute on the 5-year receiver operating characteristic (ROC) curve to assess the cut-off point high- and low-risk scores. The "survival ROC," "Survival," and "Survminer" packages were utilized to evaluate the capability of the model's prediction.

### **2.6** | **Immune infiltration analysis**

We used acknowledged methods to calculate the immune infiltration level of the patients with HNSCC, such as the CIBERSORT-ABS, EPIC, MCPCOUNTER, QUANTISEQ, TIMER, XCELL, and then evaluated the relationship between the expression of PES1 and immune infiltration cell. $^{26-31}$  We also utilized the "ESTIMATE" R package to evaluate the tumor microenvironment (TME) status of each HNSCC sample, and the results were presented as immune/stromal/ESTIMATE scores.<sup>32</sup> We used the "ggpubr" R package between the high- and lowexpression groups to visualize the differences in these scores. Moreover, we used the single-sample Gene Set Enrichment Analysis (ssGSEA) to examine these groups' immune function differences. We further evaluated

different immune pathways enriched in PES1 high- and low-expression samples in the light of the immunerelated gene set c7.immunesigdb\_HALLMARK using the "GSVA" R package.

To predict the immune response of PES1 to immunotherapy, the TIDE database was used to calculate TIDE scores and immune response rates for high-PES1 and low-PES1 subgroups, classified by their median expression. Patients with a higher TIDE score will have a higher chance of immune rejection, indicating a lower likelihood of benefiting from immunotherapy. $33$  Imvigor 210 data were also downloaded for predicting immunotherapy response[.34](#page-15-2) Finally, we used the TIDE database to assess the predictive ability of PES1 and other markers for the HNSCC immunotherapy cohort, with higher AUCs associated with better marker performance.

# **2.7** | **Analysis of chemotherapeutic sensitivity**

To evaluate the impact of PES1 in the clinic for HNSCC treatment, the half-maximal inhibitory concentration (IC50) of chemotherapeutic drugs in patients with HNSCC was figured by the "pRRophetic" R package based on anticancer drug sensitivity information from the GDSC project. $35,36$  Finally, we used the Wilcoxon rank-sum test to examine the differences between the high- and lowexpression groups in the chemotherapeutic sensitivity. The findings were shown in box plots. Furthermore, we used the NCI-60 cancer cell line from CellMiner to analyze the connection between PES1 expression and drug response.<sup>[37](#page-15-4)</sup>

#### **2.8** | **Patient tissue samples and cell lines**

Twenty-seven pairs of quickly frozen HNSCC and surrounding healthy tissue were obtained from patients through the operation. Before the procedure, all experimental patient samples received no other therapies, and a thorough pathologic analysis validated all HNSCC tissues. Each patient signed a written informed permission form, and the Medical Ethics Committee approved the study at Anhui Medical University's Affiliated Stomatological Hospital. Each method was conducted as per appropriate regulations and guidelines.

HNSCC cell lines SCC4, SCC9, CAL27, HN4, HN6, and human normal oral epithelial keratinocytes (HOK) were purchased from Ninth People's Hospital Affiliated with Shanghai Jiaotong University School of Medicine. These cell lines were subjected to STR profiling and tested for mycoplasma contamination every 3months. These cell lines were saved in DMEM (BI, Israel) supplemented with 10% fetal bovine serum (BI, Israel), 1% penicillin, and streptomycin (NCM). Moreover, in a humidified incubator containing 5%  $CO<sub>2</sub>$ , all these cell lines were cultured at 37°C.

# **2.9** | **RNA extraction and quantitative real-time polymerase reaction (qRT-PCR)**

We used Thermo Fisher Scientific's TRIzol reagent (USA) to extract total RNA from tissues/cell lines. And then, we used a NanoDrop Spectrophotometer (Thermo Fisher Scientific, USA) to determine the concentration and purity of RNA. We used the Prime Script RT Master Mix from Takara (Cat. #RR047A) to reverse transcribe the RNA(total). Moreover, we used CFX96 Touch Real-Time PCR Detection System (Bio-Rad) per the manufacturer's protocol to conduct qRT-PCR. For internal reference, GAPDH was used, and the reaction was conducted in two steps. The conditions for the reaction were: predenaturation at 95°C for 30 s, denaturation at 95°C for 5s, and annealing/extension at 60°C for 30s for 50 cycles. The threshold cycle (Ct) approach was used to estimate expression, and the 2–ΔΔCt method calculated relative expression levels. Information about primers is shown in Table [S1.](#page-16-0)

#### **2.10** | **Western blot**

In RIPA buffer (Epizyme), Cell samples were harvested and then centrifuged at  $12,000 \times g$  and  $4^{\circ}$ C for 15 min. We collected supernatants and used a BCA Kit (Beyotime) to calculate protein concentrations. And 40–60μg of each sample was taken, and the SDS-PAGE electrophoresis separated proteins and transferred them into polyvinylidene fluoride membranes. The membranes were incubated with primary antibodies against PES1 (Proteintech, #13553-1-AP) and GAPDH (Proteintech, #60004-1-Ig) overnight at 4°C after 2h, closed with 5% milk powder at room temperature. At room temperature, the signal was incubated with a secondary antibody for 1h after washing 3 times with TBST. Then, according to the manufacturer's recommendations, the signal was detected by enhanced chemiluminescence.

# **2.11** | **Cell transfection**

We employed a negative control (NC) oligonucleotide and short interfering RNA (siRNA) to target PES1 (Table [S2\)](#page-16-0). For cell culture, SCC9 and CAL27 cells were seeded in 6 well plates. Then, using Liposome 2000 (Invitrogen) in conformity to the manufacturer's instructions, at a final concentration of 50nM, siRNAs or controls (General) were added to the cells.

# **2.12** | **Cell counting kit-8 proliferation assay**

In each well of a 96-well plate, a total of 3000 cells were implanted. After transfection, 10μL of CCK-8 reagent was added to the culture medium at 0, 24, 48, and 72h. Then, cells were incubated at 37°C for 1h, and with a microplate reader, the absorbance was measured at 450nm.

# **2.13** | **Colony formation assay**

At a density of 800 cells per well, transfected SCC9 and CAL27 cells were inoculated in 24-well plates and then incubated at  $37^{\circ}$ C in 5% CO<sub>2</sub> for 2 weeks. Phosphate buffer solution (PBS), fixed with 4% paraformaldehyde, was used to wash cells for 30min and stained with 0.1% crystal violet solution for 10min. Then we counted and analyzed the colonies.

# **2.14** | **Wound healing assay**

Eighty percent fusion was achieved after transfected SCC9 and CAL27 cells were injected onto a 12-well plate. With the tip of a 10μL pipette, single-cell layers were scraped. After three PBS washes to remove cell debris, fresh media containing serum was added. At 0 and 24h after scratching, three high-magnification fields were taken to obtain typical images of cell migration. Using ImageJ, the scratch width was estimated.

# **2.15** | **Transwell migration and invasion assays**

In the migration assay for the Transwell system(BD Biosciences), the upper chamber inoculated the transfected SCC9 and CAL27 cells, and 500μL of medium containing 10% FBS was filled in the lower chamber. And 24h later, we used a cotton swab to wipe off the cells remaining on the surface of the filter membrane, and the cells passing through the membrane were fixed with methanol and then stained with crystal violet solution. Three randomly selected fields of view (including the center and periphery of the membrane) counted the number of cells under an inverted microscope. In the invasion assay, Matrigel (BD Biosciences) was used to coat the filters of the Transwell system, and the other steps were similar to the migration assay.

# **2.16** | **Statistical analysis**

The Wilcoxon rank-sum test analyzed the expression level of PES1 between non-tumor tissues and HNSCC tumor tissues downloaded from the GEO database. Multivariate and univariate analyses were employed for Cox regression. In addition, the Wilcoxon test analyzed TME scores, immune checkpoint expression, and IC50 between high- and lowexpression groups. Moreover, we estimated the correlation coefficient of PES1 expression and immune infiltration cell score by Pearson correlation analysis. All the above statistics used R version 4.1.1 software to perform. Unless otherwise stated,  $p < 0.05$  was regarded as statistically significant.

#### **3** | **RESULTS**

#### **3.1** | **High expression of PES1 in HNSCC**

To assess the differential PES1 expression in normal tissues and tumors, we analyzed the mRNA expression levels of pan-cancer using the TIMER2 database. The results proved that the PES1 mRNA expression was upregulated in various cancer tissues than in corresponding normal tissues, including HNSCC. However, PES1 expression was decreased in Kidney Chromophobe (KICH) (Figure [1A\)](#page-5-0). Notably, in HNSCC of HPV-, the expression of PES1 was higher. Furthermore, PES1 expression was upregulated in 43 HNSCC samples compared to paired adjacent samples (Figure [1B](#page-5-0)). To further verify whether PES1 expression levels were upregulated in HNSCC tissues, we detected the upregulation of PES1 in 27 HNSCC tissues compared with adjacent normal tissues by qRT-PCR, which was consistent with TCGA datasets (Figure [1C\)](#page-5-0). Independent samples from GEO (accession: GSE13601, GSE25099, GSE30784) verified that PES1 was overexpressed in HNSCC (Figure [1D–F](#page-5-0)).

As shown in Figure [2A,](#page-6-0) in normal salivary gland tissue, we observed low protein expression of PES1, while high protein expression was detected in HNSCC tissues. Finally, we used UNCLAN to assess the expression of PES1 between clinical subgroups of HNSCC and normal samples. As shown in Figure [2B–E,](#page-6-0) PES1 has significantly up-regulated in the HPV-negative group and TP53 mutant group. Furthermore, PES1 expression increased in tumor stage and pathological grade groups among the HNSCC cases. However, clinical subgroups showed no discernible changes.

#### **3.2** | **Prognostic value of PES1 in HNSCC**

The Kaplan–Meier plotter investigated the influence of PES1 on overall survival in HNSCC. The survival curve proved that the expression of PES1 was obviously linked

to a worse prognosis for HNSCC patients  $(HR=1.5,$ *p*=0.0025) (Figure [3A\)](#page-7-0). Clinical data from the GEO database (accession: GSE65858, GSE41613) further confirmed the above results (Figure [3B,C](#page-7-0)). Subsequently, according to univariate Cox regression analysis, overall survival was related to PES1 and N stage, and multivariate regression analysis further indicated that in patients with HNSCC, PES1 could be a positive independent prognostic factor (HR=1.370, *p*=0.027) (Figure [3D,E;](#page-7-0) Table [S3\)](#page-16-0).

#### **3.3** | **Enrichment analysis**

To further investigate the molecular biological function of PES1 in HNSCC, we divided TCGA HNSCC samples into two groups according to PES1 levels and performed differential expression analysis. Based on the results of differential analysis, GSEA analysis was performed. We selected the highest enrichment pathway based on their normalized enrichment scores (NES). The results showed that PES1 differential genes were most significantly enriched in many immune response-related processes, such as immunoglobulin complexes, antigen binding, adaptive immune responses, B-cell receptor signaling pathways, primary immunodeficiency, intestinal immune network promoting IgA production, and hematopoietic stem cells (Figure [4A,B](#page-8-0)). GSVA is a method to estimate changes in genomic enrichment by converting gene expression matrices into genomic scoring matrices GSVA is a method for assessing changes in genomic enrichment by converting gene expression matrices into genomic scoring matrices. Combining GSVA and differential analysis can achieve differential analysis at the pathway level. We found that the T cell receptor signaling pathway, B cell receptor signaling pathway, JAK–STAT signaling pathway, Toll-like receptor signaling pathway, and chemokine signaling pathway were suppressed in HNSCC samples with lower PES1 (Figure [S1B\)](#page-16-1). In addition, we noticed that ribosomal and mitochondrial-related pathways were activated in HNSCC tissues with higher PES1, suggesting that PES1 may promote HNSCC by affecting cell proliferation and glycolysis (Figures [4A](#page-8-0) and [S1A\)](#page-16-1). In conclusion, the above results indicate that PES1 is essential in regulating the immune response in HNSCC.

# **3.4** | **Establishment and evaluation of PES1-related risk model**

LinkedOmics was utilized to explore the co-expression profiles of PES1 in HNSCC, and a total of 106 co-expressed genes were obtained ( $|cor| > 0.5$ ,  $p < 0.05$ ) (Figure [S2A\)](#page-16-0). Then, from the high- and low-expression groups, we received 397 differentially expressed genes (Figure [S2B\)](#page-16-0). We



<span id="page-5-0"></span>**FIGURE 1** The expression profile of PES1 in HNSCC. (A) High or low expression of PES1 in various cancer tissues compared with normal tissues from the TCGA database. (B) The expression level of PES1 was higher in HNSCC tissue than in the adjacent normal tissue in the TCGA database. (C) The relative expression of PES1 in HNSCC tissues and adjacent normal tissues was detected by qRT-PCR (*n*=27). (D–F) The expression level of PES1 was more elevated in tumor tissues in GSE13601, GSE25099, and GSE30784 datasets.

determined 341 survival-related PES1 genes (Table [S4\)](#page-16-0). Moreover, the prognostic variables were screened by LASSO regression analysis, and 26 PES1-related genes were obtained (Figure [S3A,B](#page-16-0)). Finally, the HNSCC risk assessment model was established by 12 prognosis-related genes (Figure [S3C,D\)](#page-16-0). The predicted 1-, 3-, and 5-year survival curves had areas under them of 0.643, 0.713, and 0.677, respectively, demonstrating that the risk assessment model is sensitive enough to predict survival. (Figure [5A\)](#page-9-0). Furthermore, we divided patients into high-risk and lowrisk groups and chose 1.189 as the cut-off point (Figure [5B\)](#page-9-0). As shown in Figure [5C,D](#page-9-0), more deaths and a worse prognosis could be observed in the high-risk group.

# **3.5** | **Relationship between PES1 and tumor microenvironment**

We calculated immune cell infiltration in HNSCC patients to further understand the impact of PES1 on the

tumor microenvironment. As can be seen from the results, PES1 expression was negatively related to most immune cells, such as myeloid dendritic cells, CD4<sup>+</sup> and CD8<sup>+</sup> T cells, B cells, and macrophage cells (Figure [6A](#page-10-0); Table [S5](#page-16-0)). In addition, compared to the high expression group, the group with low expression of PES1 was higher in the ESTIMATE, immune and stromal scores (Figure [6B–D](#page-10-0)). The ssGSEA further suggested that low PES1 expression patients may have a more active immune response (Figure [6E](#page-10-0)).

# **3.6** | **The involvement of PES1 in response to immunotherapies**

Based on previous publications and the above results, PES1 is closely associated with immunity. To further predict the immune response of PES1 to immunotherapy, the TIDE database and the IMvigor210 cohort were used, respectively. Notably, patients with high PES1 expression



<span id="page-6-0"></span>**FIGURE 2** (A) Immunohistochemical staining of PES1 in normal oral tissues and HNSCC tissues from HPA. Comparison of PES1 expression in different subgroups of TP53 status (B), HPV status (C), tumor stage (D), and tumor grade (E) (\**p*<0.05, \*\**p*<0.01, \*\*\**p*<0.001).

had lower TIDE scores and significantly higher response rates (Figure [7A,B](#page-11-0)). In addition, patients who responded clinically to immunotherapy also demonstrated higher PES1, indicating that patients with higher PES1 are more likely to benefit from immunotherapy (Figure [7C](#page-11-0)). The accuracy of PES1 in predicting response to immunotherapy was assessed using the area under the curve AUC of the ROC curve and compared with existing immunotherapy biomarkers, including TMB, TIDE, MSI score, Merck18, IFGN, CD8, and CD274. In the HNSCC cohort after PD-1 treatment, the predictive performance of PES1 was higher than CD274, and both biomarkers had AUC values >0.7, predicting a positive response to immunotherapy with a high probability. Second, the predictive performance of PES1 was also comparable to other biomarkers in the HNSCC cohort prior to PD-1 treatment (Figure [7D](#page-11-0)). The above results suggest that PES1 is a convincing indicator of immunotherapy for HNSCC.



<span id="page-7-0"></span>**FIGURE 3** High-PES1 expression was associated with a favorable prognosis in HNSCC samples. (A–C) Overall survival curves of TCGA and GEO patients by Kaplan–Meier plotter. (D, E) Univariate and multivariate analyses of overall survival and clinicopathologic characteristics in TCGA patients.

# **3.7** | **PES1 promotes HNSCC cells proliferation, migration, and invasion in vitro**

In HOK and five HNSCC cell lines, the expression of PES1 was identified by qRT-PCR, and the results revealed that five HNSCC cells expressed PES1 at a higher level than HOK cells (Figure [8A\)](#page-12-0). Similarly, compared to HOK cells, in the five HNSCC cell lines, the PES1 protein expression was higher (Figure [8B\)](#page-12-0). Ultimately, the SCC9 and CAL27 cell lines were selected by us to represent the knockdown cells of PES1. In SCC9 and CAL27 cells treated with siRNA fragments, according to qRT-PCR data, PES1 expression was dramatically down-regulated. The si-PES1-2 was chosen for further investigation among the three siR-NAs because it showed the best silencing effectiveness in SCC9 and CAL27 cells (Figure [8C\)](#page-12-0). The downregulation of PES1 inhibited the proliferative activity of HNSCC cells according to the CCK-8 assay (Figure [8D](#page-12-0)). Colony formation analysis further showed that PES1 downregulation significantly inhibited the cell cloning ability of SCC9 and CAL27 (Figure [8E](#page-12-0)). Then, the influences of PES1 on HNSCC cell invasion and migration were examined by wound healing and transwell assays. The results showed that downregulation of the PES1 gene inhibited SCC9 and CAL27 cells invasion and migration ability (Figure [8F,G\)](#page-12-0). These experiments proved that in HNSCC cells, PES1 could promote proliferation, migration, and invasion.

# **4** | **DISCUSSION**

As a squamous cell tumor, HNSCC may occur anywhere in the head and neck. $38$  Although cancer treatment continues to advance, the overall survival rate for HNSCC patients, especially at advanced stages, remains undesirable because there are no excellent, trustworthy prognostic biomarkers. $39$  As a protein-coding gene, PES1 is implicated in ribosome biogenesis and DNA replication. $8,40$ PES1 has been discovered to be crucial for cell growth and may contribute to the development of tumors and onco-genic transformation.<sup>[41](#page-15-7)</sup> Li et al.<sup>14</sup> showed that a decrease in PES1 caused a delay in the cell cycle's G2 phase and slowed ovarian cancer cell proliferation. Li et al. $^{15}$  found



<span id="page-8-0"></span>**FIGURE 4** Functional enrichment analysis in HNSCC. (A, B) GSEA shows the top five GO terms and KEGG items enriched in the high and low PES1 expression groups.

that in vitro and in vivo, PES1 enhanced the growth of gastric cancer cells. Several studies have also shown that PES1 can be a prognostic biomarker for colon and liver cancer.<sup>10,16</sup> The current investigation discovered elevated PES1 expression levels in the TCGA and GEO databases, which are supported by the outcomes seen in tissue samples. In addition, our results confirmed that in HNSCC cells, down-regulation of PES1 inhibited migration and proliferation.

In the present study, we found that PES1 expression was closely associated with clinicopathological features of HNSCC, such as grade, stage, TP53 mutation and HPV status. Tp53 (p53) is one of the most common oncogenes in human cancers. The p53 protein exerts antitumor effects by repairing DNA damage, regulating metabolism, normalizing reactive oxygen species levels, regulating non-coding RNA expression, and promoting autophagy or ferric re-duction.<sup>[42](#page-15-8)</sup> It was found that impaired ribosome biogenesis and loss of nucleolar integrity trigger nucleolar stress, which classically stabilizes the tumor suppressor p53 and induces cell cycle arrest and apoptosis.<sup>19</sup> Statistically

significant levels of PES1 expression were observed between the HPV-infected and non-HPV-infected groups. HPV infection is associated with most oropharyngeal cancers and is a risk factor for HNSCC.<sup>43</sup> Amplification of the cell cycle gene E2F1, TRAF3 deletion and mutation of the oncogene PIK3CA may regulate HPV-associated tumors.<sup>[44](#page-15-10)</sup> The results suggest that PES1 may integrate HPV genetic information into the host genome.

Despite the past decade's multidisciplinary treatment strategies having made tremendous achievements, the survival rate of HNSCC patients did not improve significantly, and the 5-year survival rate remains lower than 50%.[45,46](#page-15-11) To develop treatment strategies and improve patient survival, identifying biomarkers that predict patient prognosis proves essential. $47$  The TCGA, GEO, and validation cohorts suggested that in HNSCC, compared to the patients with low PES1 expression, high PES1 expression has worse overall survival. According to univariate and multivariate Cox analyses, higher PES1 expression independently predicted a worse prognosis for HNSCC patients. To optimize the clinical decision-making process <span id="page-9-0"></span>**FIGURE 5** Risk Assessment Model for Prognosis Prediction (A) The risk model's 1-, 3-, and 5-year ROC curves with AUC values. (B) Youden Index identifies the cut-off risk score. (C) The risk score curve and the distribution of survival status of HNSCC patients. (D) Patients in the low-risk group experienced a longer survival time tested by the Kaplan–Meier test.



for HNSCC, this study screened for PES1-related genes and constructed a prognostic model. To evaluate the validity and accuracy of this prediction model, we performed ROC curve analysis for 1, 3, and 5 years. The results showed that as the AUC values were above 0.60, the model effectively predicted the prognosis of HNSCC patients. Based on the optimal cut-off risk score determined by the Youden index, HNSCC patients were split into low- and high-risk subgroups. According to the K-M analysis, the risk model could distinguish good and lousy survival in patients with HNSCC.

In addition, we found some genes co-expressed with PES1. In the high PES1 expression group, CTCFL (CCCTCbinding Factor Like), NR0B1 (nuclear receptor subfamily 0, group B, member 1), and GAGE12J (G antigen 12 J) were expressed at higher levels. In the low PES1 expression group, CTCF is a chromatin insulator and multifunctional transcription factor, and mutations in this gene are associated with invasive breast cancer, prostate cancer, HNSCC and nephroblastoma.<sup>[48](#page-15-13)</sup> It was found that CTCF is involved in the transcriptional regulation of HOXA9 (homeobox A9), and the knockdown of CTCF inhibits proliferation, migration, invasion and drug resistance of HNSCC cells.<sup>49</sup> KRT76 is the most significantly downregulated gene encoding a structural protein in human oral squamous cell carcinoma (OSCC) and is strongly associated with poor prognosis. $50$  In patients, KRT76 was detected in 100% of normal gingival oral epithelium, 44% of oral preneoplastic lesions, and 35% of OSCC biopsies.<sup>[50](#page-15-15)</sup> Sequeira et al. $51$  showed that knockout of KRT76 in mice resulted in enlarged spleens and lymph nodes, increased regulatory T cells, and elevated levels of pro-inflammatory cytokines. Keratin KRT2 is a type II polypeptide predominantly located in the upper spinous and granular layers and expressed in the epidermis's late differentiation. In mild to moderate oral dysplasia with orthokeratosis, KRT2 is higher than in parakeratotic areas. $52$  Taken together, PES1 may be a predictive biomarker for the prognosis of HNSCC patients.

The GSEA results showed negative enrichment of KEGG pathways and GO terms for immune-related pathways, including immunoglobulin complexes, antigen binding, adaptive immune responses, B-cell receptor signaling pathways, and intestinal immune networks promoting IgA production, findings that prompted us to consider the role of PES1 in cancer immunology. Interestingly, pathways associated with tumor growth are also present in the PES1 high-expression group. These pathways include structural components of ribosomes, mitochondrial protein complexes, oxidative phosphorylation, and glutathione metabolism. New evidence suggests that cancer cells harbor a specialized class of ribosomes (onco-ribosomes) that facilitate oncogenic translation programs, regulate cellular function, and promote metabolic



<span id="page-10-0"></span>**FIGURE 6** Estimation of immuneinfiltrating cells. (A) The PES1 expression was significantly negatively correlated with most immune cells. (B–E) The low-PES1 group has higher TME scores and more active immune function (\**p*<0.05,  $**p<0.01$ ,  $**p<0.001$ ).

remodeling.<sup>53</sup> Mutations in ribosomal proteins lead to ribosomal disease, associated with an increased risk of developing malignancies. Recent studies linking mutations in ribosomal proteins and abnormal ribosomes to poor prognosis have highlighted ribosome-targeted therapy as a promising approach for the treatment of cancer patients.[54](#page-15-19) Mechanisms of acquired resistance to cisplatin have been reported in the literature due to enhanced drug detoxification systems due to high levels of scavengers such as glutathione/metallothionein, increased tolerance to damaged DNA, increased DNA repair mechanisms or metabolic rewiring of cells to escape cisplatin-induced death.<sup>[55,56](#page-15-20)</sup> The higher level of mitochondrial fusion processes in drug-resistant cells compared to chemotherapysensitive tumor cells suggests that mitochondrial fusion

can promote cell survival through the efficient production of ATP and its transport. $57$  In addition to ATP production, oxidative phosphorylation is a primary source of ROS in mitochondria and throughout the cell. Several studies have shown that cisplatin-induced cytotoxicity is closely associated with ROS production.<sup>58,59</sup> HNSCC samples were divided into high- and low-risk groups based on the median level of PES1 expression. GSVA showed that among tumor immunological pathways, PES1 was negatively associated with multiple immune-related pathways, such as NK cell-mediated cytotoxicity, T-cell receptors, Bcell receptors, and Toll-like receptor signaling pathways. These results imply that PES1 regulates several necessary functional oncogenic modules in tumors and that PES1 proteins or transcripts are involved in regulating the



<span id="page-11-0"></span>**FIGURE 7** Immune responses of PES1 gene to immunotherapies. (A) Different distributions of TIDE scores between high and low PES1 expression. (B) The prediction of response rates of immunotherapies in patients with high and low PES1 expression. (C) Prediction of immune response by IMvigor210 cohort. (D) TIDE biomarker evaluation of PES1 in response to immunotherapy in HNSCC.

potential immunogenicity and immunotherapeutic effects of various cancers, thus promoting tumor patient progression and reducing prognosis. However, each pathway does not exist in an isolated state; they form a complex network. Therefore, the cross-regulation of the various cascades in which PES1 is involved seems to provide opportunities for clinical treatment and subsequent studies.

Tumor immune infiltrating cells are an essential component of the tumor microenvironment and have been shown to play an important role in tumor proliferation and metastasis.[60](#page-15-23) An immunohistochemical study showed that tumors infiltrated by tumor-infiltrating lymphocytes were associated with better outcomes in patients with HNSCC.<sup>[61](#page-15-24)</sup> In this study, we found that PES1 was negatively correlated



<span id="page-12-0"></span>**FIGURE 8** PES1 promotes the proliferation, migration, and invasion of HNSCC cells in vitro. (A) The relative expression of PES1 in the cells was detected by qRT-PCR. (B) PES1 protein expression was detected by western blot in the cells. (C) qRT-PCR analysis of PES1 expression in SCC9 and CAL27 cells treated with siRNAs. (D, E) The proliferation ability of SCC9 and CAL27 cells transfected with NC or si-PES1 was examined by CCK-8 and colony formation assay. (F, G) The migration and invasion ability of the cells was detected by wound healing and transwell assays. Data were showed as mean±SD, \**p*<0.05, \*\**p*<0.01, \*\*\**p*<0.001, \*\*\*\**p*<0.0001.

with immune cells such as  $CDS<sup>+</sup> T$  cells, macrophages, B cells, Treg cells, and neutrophils, and may be one of the relevant genes affecting the tumor microenvironment of oral squamous cell carcinoma. In addition, we found that ImmuneScore, StromalScore and ESTIMATEScore were lower in the high PES1 expression group, suggesting that the high PES1 expression group may be in an immunosuppressed state. CD8<sup>+</sup> T lymphocyte dysfunction and failure were characterized by the upregulation of immunosuppressive molecules, such as PD-1 and CTLA-4, which suppressed the activation of  $CD8<sup>+</sup>$  T lymphocytes. Persistent suppression in the tumor microenvironment may result in the inability of infiltrating  $CD8<sup>+</sup>$  T lymphocytes to kill tumor cells, ultimately leading to tumor immune escape. $62$  The involvement of tumor-associated macrophages (TAM) in HNSCC is an established fact: high TAM numbers in TME are associated with lymph node metastasis and advanced stages of  $HNSCC$ .<sup>[63,64](#page-15-26)</sup> Studies have also shown a link between TAM and epithelial-mesenchymal transition in OSCC. $65$  In HNSCC, aggregates of infiltrating B cells can be observed at the junction with normal tissue, in tertiary lymphoid structures, and occasionally within tumor nodes. Infiltration of B cells is effective in arresting tumor progression, and mechanisms for this arrest include promoting immunoglobulin production, stimulating T lymphocyte expression, and generating antibodydependent direct killing.<sup>66</sup> Treg cells play an essential role in treating head and neck tumors as they effectively fight off cancer. Treg cells regulate lymphocyte activity and prevent tumor growth, thus acting as a disease prevention agent.<sup>[67](#page-15-29)</sup> Neutrophils account for about 60% of the total white blood cells. Therefore, they can act as a first barrier against inflammation and infection. Studies have shown that infiltration of multiple tumor cells may lead to advanced cancers and that the presence of these cells may affect the outcome of treatment of advanced cancers.<sup>[68](#page-16-2)</sup> These results suggest that the tumor microenvironment with high PES1 expression exhibits highly immunosuppressive properties, which provide the microenvironmental conditions for PES1 to promote tumor development, invasion, and metastasis.

Immunotherapy has revolutionized the treatment of cancer. Modern cancer immunotherapy has been developed over 50 years, and it was first applied to hematologic malignancies. $69,70$  As for solid tumors, years of basic and clinical research have provided the rationale for investigating Immune Checkpoint Blockade (ICB), with treatments ranging from cellular therapies using tumorspecific T cells, including cells expressing transgenic T Cell Receptors (TCR) and chimeric antigen receptors (CAR), to cells targeting crucial immune checkpoint molecules. CAR to antibodies that target important immune checkpoint molecules, such as  $PD-1$ .<sup>71</sup> Unfortunately, many

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patients do not respond to immunotherapy. Therefore, identifying new approaches to improve the efficacy of immunotherapeutic modalities is an area of active research. With the biomarker assessment module of the TIDE website, we evaluated the accuracy of PES1 in five ICB HNSCC cohorts and compared it with other published biomarkers associated with tumor immune evasion, including CD274 (PD-L1), MSI Score, CD8, Merck18, and IFNG. We found that PES1 predicted a substantial likelihood of response to immunotherapy. Further analysis showed that patients with high PES1 expression responded well to ICB treatment. The rationale of the TIDE algorithm is to exploit two critical mechanisms of tumor immune evasion: inducing T cell dysfunction in tumors with high infiltration of cytotoxic T lymphocytes and blocking T cell infiltration in tumors with low levels of cytotoxic T lymphocytes, thereby capturing the patient's immunotherapeutic re-sponse.<sup>[72](#page-16-5)</sup> Therefore, we hypothesize that PES1 may play an integral role in forming a suppressive immune microenvironment and ultimately influence the immunotherapeutic response.

However, our work also has certain limitations. First, the prognostic value of PES1 must be validated in an actual clinical cohort. The database used in this study lacks post-translational modifications and, therefore, cannot fully elucidate how these modifications affect the function of PES1. Furthermore, the upregulation of PES1 provides only indirect evidence of changes in TME, not direct evidence. The relationship between PES1 and TME is not well defined. Finally, the response of PES1 to immunotherapy requires further analysis of large-scale protein sequencing or immunohistochemistry of PES1 to assess their relationship and validation by subsequent preclinical and functional studies. More work is needed to optimize clinical efficiency before it can be considered a practical therapeutic option.

# **5** | **CONCLUSION**

In summary, our study shows that in HNSCC tissues, PES1 is highly expressed, and high expression of PES1 is related to decreased immune cell infiltration and survival in HNSCC. Moreover, we highlight the critical role of PES1 in the course of HNSCC and its potential prognostic and therapeutic value.

### **AUTHOR CONTRIBUTIONS**

**Yaodong He:** Writing – original draft (equal). **Junwei Xiang:** Writing – original draft (equal). **Yunshan Li:** Data curation (equal). **Wenkai Huang:** Visualization (equal). **Feihan Gu:** Investigation (equal). **Yuanyin Wang:** Supervision (equal); writing – review and editing (equal).

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#### **CONFLICT OF INTEREST STATEMENT**

The authors declare no competing interests.

#### **DATA AVAILABILITY STATEMENT**

The datasets generated and/or analyzed during the current study are available in the The Cancer Genome Atlas (TCGA) repository,<https://tcga-data.nci.nih.gov/tcga/>.

#### **ETHICS STATEMENT**

The collection of the clinical samples was performed under the supervision of Institutional Review Boards of The First Affiliated Hospital of Anhui Medical University (approval no. PJ2023-02-13). All patients provided written informed consent to provide their tumor tissues for further study, according to the Declaration of Helsinki.

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# <span id="page-16-1"></span>**SUPPORTING INFORMATION**

<span id="page-16-0"></span>Additional supporting information can be found online in the Supporting Information section at the end of this article.

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