Patient referral flow between physician and ophthalmologist visits for diabetic retinopathy screening among Japanese patients with diabetes: A retrospective cross-sectional cohort study using the National Database

Noriko Ihana-Sugiyama^{1,2}, Takehiro Sugiyama^{1,3,4,5}*, Takao Hirano⁶, Kenjiro Imai¹, Mitsuru Ohsugi^{1,2}, Ryo Kawasaki⁷, Toshinori Murata⁶, Youichiro Ogura⁸, Kohjirou Ueki^{2,9}, Toshimasa Yamauchi¹⁰*, Takashi Kadowaki¹¹*

¹Diabetes and Metabolism Information Center, Research Institute, National Center for Global Health and Medicine, Tokyo, Japan, ²Department of Diabetes, Endocrinology and Metabolism, National Center for Global Health and Medicine Hospital, Tokyo, Japan, ³Institute for Global Health Policy Research, Bureau of International Health Cooperation, National Center for Global Health and Medicine, Tokyo, Japan, ⁴Health Services Research and Development Center, University of Tsukuba, Japan, ⁵Department of Health Services Research, Institute of Medicine, University of Tsukuba, Tsukuba, Japan, ⁶Department of Ophthalmology, Shinshu University School of Medicine, Nagono, Japan, ⁷Division of Public Health, Department of Social Medicine, Osaka University Graduate School of Medicine, Nagoya, Japan, ⁹Diabetes Research Institute, National Center for Global Health and Medicine, Tokyo, Japan, ¹⁰Department of Diabetes and Metabolic Diseases, Graduate School of Medicine, The University of Tokyo, Tokyo, Japan, ¹¹Toranomon Hospital, Tokyo, Japan

Keywords

Claims analysis, Diabetic retinopathy, Healthcare quality assessment

*Correspondence

Takehiro Sugiyama Tel.: +81-3-3202-7181 Fax: +81-3-3207-1038 E-mail address: tsugiyama@hosp.ncgm.go.jp

Toshimasa Yamauchi Tel.: +81-3-5800-9587 Fax: +81-3-5800-9104 E-mail address: tyamau@m.u-tokyo.ac.jp

Takashi Kadowaki Tel.: +81-3-3560-7810 Fax: +81-3-3560-7836 E-mail address: t-kadowaki@toranomon.kkr.or.jp

J Diabetes Investig 2023; 14: 883-892

doi: 10.1111/jdi.14018

ABSTRACT

Aims/Introduction: Regular screening for diabetic retinopathy is essential. This study aimed to show the process and current situation of diabetic retinopathy screening prescribed by physicians (internists) and ophthalmologists for Japanese patients with diabetes.

Materials and Methods: This retrospective cohort study used data from the Japanese National Database of Insurance Claims between April 2016 and March 2018. Ophthalmology visits and fundus examinations are defined using specific medical procedure codes. The proportion of ophthalmology visits for patients with diabetic medication and for fundus examination among those who visited ophthalmologists was calculated in the fiscal year 2017. A modified Poisson regression analysis was carried out to identify factors associated with retinopathy screening. Similarly, quality indicators by prefectures were also calculated.

Results: Among 4,408,585 patients receiving diabetic medications (57.8% men, 14.1% insulin use), 47.4% visited the ophthalmology department and 96.9% of those underwent fundus examination. Regression analysis showed that female sex, older age, insulin use, medical facilities with Japan Diabetes Society certification and large medical facilities were predictors of fundus examination. By prefecture, the ophthalmology consultation rate and the fundus examination ranged 38.5–51.0% and 92.1–98.7%, respectively.

Conclusions: Less than half of the patients who were prescribed antidiabetic medication by their physicians visited an ophthalmologist. However, most of the patients who visited an ophthalmologist had a fundus examination carried out. A similar tendency was noted for each prefecture. It is essential to reaffirm the necessity of recommending ophthalmologic examinations to physicians and healthcare professionals who care for patients with diabetes.

Received 19 December 2022; revised 11 March 2023; accepted 29 March 2023

© 2023 The Authors. Journal of Diabetes Investigation published by Asian Association for the Study of Diabetes (AASD) and John Wiley & Sons Australia, Ltd This is an open access article under the terms of the Greative Commons Attribution-NonCommercial License, which permits use, distribution and reproduction in any medium, provided the original work is properly cited and is not used for commercial purposes.

INTRODUCTION

Diabetic retinopathy is one of the most prevalent diabetic comorbidities, which often causes visual loss in individuals with diabetes¹. In recent decades, the incidence of visual impairment due to diabetic retinopathy has reduced as a result of better glucose management with new antidiabetic drugs, greater eye screening uptake and advances in diabetic retinopathy therapies, including antivascular endothelial growth factor injections^{2–4}.

Diabetic retinopathy is typically asymptomatic before it develops into severe stages; hence, regular eye examinations are required to detect its onset and progression. Subsequent retinopathy screening is recommended to minimize the loss of vision due to the progression of diabetic retinopathy5-8. Nationwide systematic diabetic retinopathy screening programs exist in Iceland, the UK and Ireland, and systematic diabetic eye screening is also being promoted in other regions, including parts of mainland Europe, Asia and Africa9. In Japan, although some municipality insurers carry out systematic eye screenings for patients with diabetes or hypertension¹⁰, most diabetic eye screening relies on ad hoc referrals from physicians (internists) to ophthalmologists. We have previously reported that the number of patients in Japan undergoing retinopathy examination once a year who are prescribed antidiabetic drugs remains as low as 47%¹¹. To increase the rate of fundus examination among patients with diabetes, it is essential to identify the reasons for the current low rates. It is especially important to break down the rate of fundus examination into the rate of ophthalmologist visits among patients with diabetes and that of patients undergoing fundus examination at the ophthalmologist office among those who visited ophthalmologists.

The Japanese National Database (NDB)¹² contains claimed data of almost all Japanese citizens, excluding data on medical expenses of patients who received welfare or those not covered by insurance; for example, preventive medicine or maternity expenses. In the present study, we used NDB data and investigated the annual proportion of patients with diabetes who visited an ophthalmologist, including those who visited an ophthalmologist for other reasons. We also investigated the proportion of patients who underwent fundus examination among those with diabetes who visited an ophthalmologist.

MATERIALS AND METHODS

The present cross-sectional study used an anonymous, nationwide claims database in Japan called the NDB. With approximately 3,500 insurers¹³, Japan holds a universal health coverage that covers individuals based on their unique characteristics (e.g., age, region and job). NDB includes almost all claim data, except for fully publicly funded medical procedures and medical procedures not covered by medical insurance (e.g., data of individuals receiving preventive medicine, traffic accidents or maternity expenses). NDB comprises claims data submitted by each insurer to the government of Japan (the Ministry of Health, Labor and Welfare); these data are obtained from 98.4% of hospital claims, and 99.9% of pharmacy claims gathered from hospitals, clinics and pharmacies. It provides anonymous data for administrative and research purposes¹².

This study was approved by the institutional review board of the National Center for Global Health and Medicine (NCGM-G-002492-04). The institutional review board waived informed consent, because the data in the database were anonymized before being provided by the Ministry of Health, Labor and Welfare.

Patients

All definition variables have been explained in Table S1. Patients with diabetes were defined as those who received antidiabetic medication regularly. Antidiabetic medication was determined using the anatomical therapeutic chemical classification, and antidiabetic drugs were defined using the A10 code. Data on voglibose 0.2 mg tablets and epalrestat were excluded owing to their efficacy in diabetes prevention and diabetic neuropathy, respectively¹⁴.

The patient selection process is shown in Figure 1. First, we extracted data on adult patients with diabetes (\geq 20 years) who received antidiabetic medications regularly (at least one prescription every 3 months) in April 2017 and March 2018 (fiscal year [FY] 2017). We excluded the following patients: (1) those not prescribed antidiabetic medications in FY2016 (for the purpose of excluding those who were newly diagnosed with diabetes), (2) patients with admission history in FY2017, (3) patients who received comprehensive medical care, and (4) patients with comprehensive medical care that could cause underreporting of the examinations carried out. Visual impairment was defined using International Classification of Diseases, 10th Revision¹⁵. The details on comprehensive medical care and visual impairment are shown in Table S1.

The type of diabetes was defined using International Classification of Diseases, 10th Revision codes obtained from the medical claims data. Patients were diagnosed with type 1 diabetes mellitus if code E10 occurred at least once, and patients were diagnosed with type 2 diabetes mellitus and other types if the claims data had code E11-14.

Outcome variables

According to Guidelines for the Treatment of Diabetic Retinopathy (1st edition) in Japan¹⁶, the outcome variable was the annual proportion of patients with ophthalmology visits and fundus examinations among those with diabetes. Proportions of the following were calculated: (1) patients who visited an ophthalmologist (A) among patients with diabetes (U), (2) patients undergoing fundus examination (B) among those who visited an ophthalmologist (A), and (3) patients undergoing fundus examination (B) among those with diabetes (U); the calculated proportions were A/U, B/A and B/U, respectively (Figure S1).



Predictors and covariates

Definitions of predictors and covariates are shown in Table S1. Patient characteristics and ophthalmology facilities were extracted from medical claims data, whereas prescription information and medical facilities for diabetic care were extracted from medical and pharmaceutical claims data.

Medical facilities for regular diabetes care for each patient were identified in the following order: (1) facilities where patients received the most antidiabetic prescriptions during the year, (2) Japan Diabetes Society (JDS)-certified facilities (JDScertified facilities are the facilities having a diabetes training instructor and treatment or care for >200 patients with diabetes), (3) facilities with the greatest number of beds and medical facilities patients visited, and (4) facilities patients visited earlier in FY2017. Information on the number of beds, whether the medical facility was a JDS-certified education facility and the location (prefecture) of the facility were also collected.

Ophthalmology facilities and departments for each of the patients were identified using ophthalmology-related medical remuneration point codes during FY2017. Such facilities and departments were identified in the following order: (1) facilities where a patient received fundus examination, (2) where a patient visited most often, and (3) where a patient visited for the first time in FY2017.

Statistical analysis

First, we described the patient's characteristics, type of diabetes, antidiabetic medication, and the medical facilities visited for diabetes treatment and ophthalmology visits. Categorical variables are presented as numbers (percentages), whereas continuous variables are presented as means (standard deviation). For the primary analysis, we calculated the proportion of patients visiting ophthalmologists and the proportion of those who carried out a fundus examination among patients visiting ophthalmologists. The χ^2 -test was used to compare these proportions by individual and facility characteristics. Next, a modified Poisson regression analysis¹⁷ was carried out to identify factors associated with retinopathy screening based on the following: sex, age, insulin use and medical facilities prescribing antidiabetic medications.

The ophthalmology consultation rates of the patients and fundus examinations in each prefecture were investigated to validate the actual retinopathy screening condition. According to the Position Statement of the American Diabetes Association, for patients with no evidence of retinopathy for one or more annual eye examinations, examinations can be considered every 2 years⁷. Therefore, we analyzed the rate of ophthalmology consultation and retinopathy screening among patients with diabetes over 2 years (FY2016–17).

All statistical analyses were carried out using Stata 17.0 software (StataCorp, College Station, TX, USA), with P < 0.05 showing statistical significance.

RESULTS

Among 5,747,182 adult patients who regularly received antidiabetic medications in FY2017, 318,157 who were not prescribed antidiabetic medications in FY2016, 963,512 who had admission history, 53,616 who received comprehensive medical care and 3,312 who had visual impairment were excluded. The remaining 4,408,585 patients were eligible for the present analysis (Figure 1). Baseline characteristics are shown in Table 1. More than half of the patients with diabetes were men and aged >60 years. In addition, 85.9% of them did not use insulin, 97.3% had type 2 diabetes and most patients visited clinics for antidiabetic medication.

	Patients with diabetes	Visiting ophthaln	nologists			Not visiting Ophthalmologist [:]	S	Quality indicator		
	=	Total	Fundus examination (+) B	Fundus examination (-)	<i>P</i> -value		P-value	Proportion of ophthalmology visits among patients with diabetes	Proportion of fundus examination (+) among ophthalmology visits R/A	Proportion of fundus examination (+) among patients with diabetes
Total	4,408,585	2,091,372	2,025,841	65,531		2,317,213		47.4%	96.9%	46.0%
Sex Male Female	2,548,307 (57.8) 1,860,278 (42.2)	1,106,171 (52.9) 985,201 (47.1)	1,069,882 (52.8) 955,959 (47.2)	36,289 (55.4) 29,242 (44.6)	<0.001	1,442,136 (62.2) 875,077 (37.8)	<0.001	43.4% 53.0%	96.7% 97.0%	42.0% 51.4%
Age (years)										
20-29 20-30	12,268 (0.3) 56,000 (1.2)	5,192 (0.3)	4,937 (0.2)	255 (0.4) 1 1 70 /1 8)	<0.001	7,076 (0.3)	<0.001	42.3% 20.406	95.1% 04.50%	40.2% 36.2%
40-49	757.881 (5.8)	91.622 (4.4)	87.085 (4.3)	4.537 (6.9)		166.259 (7.2)		35.5%	95.1%	33.8%
50-59	579,850 (13.2)	211,773 (10.1)	202,412 (10.0)	9,361 (14.3)		368,077 (15.9)		36.5%	95.6%	34.9%
60-69	1,194,242 (27.1)	527,133 (25.2)	509,753 (25.2)	17,380 (26.5)		667,109 (28.8)		44.1%	96.7%	42.7%
70-79	1,373,291 (31.2)	741,928 (35.5)	723,564 (35.7)	18,364 (28.0)		631,363 (27.3)		54.0%	97.5%	52.7%
≥80	935,044 (21.2)	492,247 (23.5)	477,792 (23.6)	14,455 (22.1)		442,797 (19.1)		52.6%	97.1%	51.1%
Antidiabetic drug										
Insulin (+)	622,537 (14.1)	387,637 (18.5)	381,600 (18.8)	6,037 (9.2)	<0.001	234,900 (10.1)	<0.001	62.5%	98.4%	61.3%
	3,786,048 (85.9)	1,703,735 (81.5)	1,644,241 (81.2)	59,494 (90.8)		2,082,313 (55.0)		45.2%	96.5%	43.4%
Type of diabetes										
Type 1	84,537 (1.9)	54,590 (2.6)	53,685 (2.7)	905 (1.4)	<0.001	29,947 (1.3)	<0.001	64.6%	98.3%	63.5%
Type 2	4,288,849 (97.3)	2,031,523 (97.1)	1,967,219 (97.1)	64,304 (98.1)		2,257,326 (97.4)		47.4%	96.8%	45.9%
Unknown	35,199 (0.8)	5,259 (0.3)	4,937 (0.2)	322 (0.5)		29,940 (1.3)		14.9%	93.9%	14.0%
Diabetic medical facil	ities				50001		10001	/00 00		LO 70/
education facility	(0.71) 1/0/740	(0.01) 202,070		(הוו) נשריו	10000	(H:C) 700'/17	-00.04	0/0/00	0/ 1.16	0/ /.00
Non-JDS-certified	3,865,614 (87.7)	1,765,403 (84.4)	1,707,397 (84.3)	58,006 (88.5)		2,100,211 (90.6)		45.7%	96.7%	44.2%
education facility										
Facilities with	1,637,771 (37.2)	900,916 (43.1)	876,314 (43.3)	24,602 (37.5)	<0.001	736,855 (31.8)	<0.001	55.0%	97.3%	53.5%
opnunairnology denartment										
Facilities without	2,770,814 (62.9)	1,190,456 (56.9)	1,149,527 (56.7)	40,929 (62.5)		1,580,358 (68.2)		43.0%	96.6%	41.5%
ophthalmology										
department No heds (diahetes m	edical facilities)									
0-19	2,833,848 (64.3)	1,267,072 (60.6)	1,225,320 (60.5)	41,752 (63.7)	<0.001	1,566,776 (67.6)	<0.001	44.7%	96.7%	43.2%
20–99	293,206 (6./)	135,564 (6.5)	(2.0) 2130,612	4,952 (7.6)		157,642 (6.8)		46.2%	96.4%	44.6%

	Patients with diabetes	Visiting ophthalm	nologists		Not visiting Ophthalmologists	Quality indicator		
		Total	Fundus examination (+)	Fundus <i>P</i> -value examination (–)	P-value	Proportion of ophthalmology visits among patients with diabetes	Proportion of fundus examination (+) among ophthalmology visits	Proportion of fundus examination (+) among patients with
		A	В	A/B	U/A	A/U	B/A	B/U
100-100	413,660 (9.4)	203,002 (9.7)	196,420 (9.7)	6,582 (10.0)	210,658 (9.1)	49.1%	96.8%	47.5%
≥200	847,520 (19.2)	477,340 (22.8)	465,340 (23.0)	12,000 (18.3)	370,180 (16.0)	56.3%	97.5%	54.9%
Unknown Prefecture	20,351 (0.5)	8,394 (0.4)	8,149 (0.4)	245 (0.4)	11,957 (0.5)	41.3%	97.1%	40.0%
Hokkaido	204,365	92,445	85,144	7,301	111,920	45.2%	92.1%	41.7%
Aomori	59,209	28,075	27,025	1,050	31,134	47.4%	96.3%	45.6%
lwate	54,562	26,919	26,509	410	27,643	49.3%	98.5%	48.6%
Miyagi	89,280	42,691	41,736	955	46,589	47.8%	97.8%	46.7%
Akita	46,451	21,849	21,455	394	24,602	47.0%	98.2%	46.2%
Yamagata	44,516	19,823	19,216	607	24,693	44.5%	96.9%	43.2%
Fukushima	83,339	36,654	35,114	1,540	46,685	44.0%	95.8%	42.1%
Ibaraki	110,739	54,501	52,206	2,295	56,238	49.2%	95.8%	47.1%
Tochigi	79,647	36,096	34,688	1,408	43,551	45.3%	96.1%	43.6%
Gunma	75,184	33,762	32,535	1,227	41,422	44.9%	96.4%	43.3%
Saitama	231,864	108,660	105,674	2,986	123,204	46.9%	97.3%	45.6%
Chiba	206,824	102,099	98,993	3,106	104,725	49.4%	97.0%	47.9%
Tokyo	394,272	198,531	191,857	6,674	195,741	50.4%	96.6%	48.7%
Kanagawa	259,416	123,657	120,281	3,376	135,759	47.7%	97.3%	46.4%
Niigata	85,169	41,569	40,456	1,113	43,600	48.8%	97.3%	47.5%
Toyama	41,418	18,377	17,370	1,007	23,041	44.4%	94.5%	41.9%
Ishikawa	43,585	18,415	17,021	1,394	25,170	42.3%	92.4%	39.1%
Fukui	30,058	11,566	10,953	613	18,492	38.5%	94.7%	36.4%
Yamanashi	29,716	13,705	13,154	551	16,011	46.1%	96.0%	44.3%
Nagano	77,337	34,915	32,985	1930	42,422	45.1%	94.5%	42.7%
Gifu	78,411	37,241	36,300	941	41,170	47.5%	97.5%	46.3%
Shizuoka	140,453	66,949	65,288	1,661	73,504	47.7%	97.5%	46.5%
Aichi	261,141	131,975	130,014	1961	129,166	50.5%	98.5%	49.8%
Mie	69,291	34,380	33,819	561	34,911	49.6%	98.4%	48.8%
Shiga	43,700	19,974	19,561	413	23,726	45.7%	97.9%	44.8%
Kyoto	78,410	37,586	36,671	915	40,824	47.9%	97.6%	46.8%
Osaka	283,471	134,841	132,539	2,302	148,630	47.6%	98.3%	46.8%
Hyogo	185,281	89,594	88,091	1,503	95,687	48.4%	98.3%	47.5%
Nara	48,481	24,093	23,774	319	24,388	49.7%	98.7%	49.0%

Table 1. (Continued)

	Patients with diabetes	Visiting ophthalm	nologists		Not visiti Ophthalr	ng nologists	Quality indicator		
		Total	Fundus examination (+)	Fundus P- examination (–)	value	<i>P</i> -value	Proportion of ophthalmology visits among patients with diabetes	Proportion of fundus examination (+) among ophthalmology	Proportion of fundus examination (+) among patients with
		A	В	A/B	N/A		A/U	B/A	B/U
Wakayama	38,158	17,311	16,915	396	20,847		45.4%	97.7%	44.3%
Tottori	20,974	9,001	8,754	247	11,973		42.9%	97.3%	41.7%
Shimane	26,510	12,674	12,343	331	13,836		47.8%	97.4%	46.6%
Okayama	71,535	32,769	32,089	680	38,766		45.8%	97.9%	44.9%
Hiroshima	104,222	49,206	48,186	1,020	55,016		47.2%	97.9%	46.2%
Yamaguchi	54,071	25,890	25,284	606	28,181		47.9%	97.7%	46.8%
Tokushima	31,005	13,612	13,285	327	17,393		43.9%	97.6%	42.8%
Kagawa	40,676	18,990	18,177	813	21,686		46.7%	95.7%	44.7%
Ehime	51,726	24,634	23,829	805	27,092		47.6%	96.7%	46.1%
Kochi	28,472	12,174	11,810	364	16,298		42.8%	97.0%	41.5%
Fukuoka	166,802	79,876	77,269	2,607	86,926		47.9%	96.7%	46.3%
Saga	30,820	13,751	13,104	647	17,069		44.6%	95.3%	42.5%
Nagasaki	48,522	21,938	20,851	1,087	26,584		45.2%	95.0%	43.0%
Kumamoto	68,679	30,509	28,695	1814	38,170		44.4%	94.1%	41.8%
Oita	44,443	20,163	19,386	777	24,280		45.4%	96.1%	43.6%
Miyazaki	43,103	18,339	17,238	1,101	24,764		42.5%	94.0%	40.0%
Kagoshima	62,610	28,852	27,880	972	33,758		46.1%	96.6%	44.5%
Okinawa	40,667	20,741	20,317	424	19,926		51.0%	98.0%	50.0%
Data shown as <i>n</i> (%).	JDS, Japan Diabete	es Society.							

Table 1. (Continued)

Rate of visiting ophthalmologists and fundus examination

The proportion of patients who visited ophthalmologists (A/U) in FY2017 was 47.4%. Of those who visited ophthalmologists, 96.9% of the patients (B/A) underwent fundus examination. The proportion of patients undergoing ophthalmology visit (A/U) was lower among men (43.4% vs 53.0%, P < 0.001), non-insulin users (45.2% vs 62.5%, P < 0.001) and patients with type 2 diabetes (type 1 diabetes 64.6%; type 2 diabetes 47.4%; unknown 14.9%, P < 0.001). Patients who visited ophthalmologists often were those who cared for their diabetes at JDS-certified education facilities (60.0% vs 45.7%, P < 0.001), facilities with an ophthalmology department (55.0% vs 43.0%, P < 0.001) and facilities with a large number of beds (0–19 beds 44.7%; 20-99 beds 46.2%; 100-199 beds 49.1%; 200 beds 56.3%; unknown 41.3%, P < 0.001). In contrast, the proportion of patients undergoing fundus examination among those who visited ophthalmologists (B/A) was high regardless of patient characteristics or medical facilities for diabetes care (Table 1). At 2 years, 56.2% of patients had had visited and ophthalmologist, and 97.1% underwent fundus examination among those who visited an ophthalmologist (Table S2).

The proportion of patients who visited ophthalmologists by prefectures (A/U) was 38.5-51.0% (Table 1). Out of the patients who visited ophthalmologists, 92.1-98.7% underwent fundus examination (B/U) in each prefecture.

The proportions of ophthalmology visits (A/U) stratified by sex are shown in Figure S2. The proportion of ophthalmology visits was consistently higher among women, irrespective of age (Figure S2a), insulin use and non-insulin use (Figure S2b), any number of beds in medical facilities (Figure S2c), and JDScertificated education facilities of JDS or not (Figure S2d).

Predictors for conducting fundus examination

In the multivariable modified Poisson regression analysis, the following factors were found to be predictors of fundus examination: female sex (male vs female; adjusted risk ratio [aRR] 1.18, 95% confidence interval [CI] 1.17-1.18), older age (20s vs 30s: aRR 1.00, 95% CI 0.97-1.02; 20s vs 40s: aRR 1.00, 95% CI 0.98-1.03; 20s vs 50s: aRR 1.07, 95% CI 1.04-1.09; 20s vs 60s: aRR 1.30, 95% CI 1.27-1.32; 20s vs 70s: aRR 1.59, 95% CI 1.56-1.62; 20s vs >80s: aRR 1.53, 95% CI 1.50-1.56), insulin use (non-insulin users vs insulin users: aRR 1.38, 95% CI 1.37-1.38), JDS facilities (JDS vs not JDS: aRR 1.18, 95% CI 1.18-1.18), large medical facilities (0-19 beds vs 20-99 beds: aRR 0.99, 95% CI 0.99-1.00; 0-19 beds vs 100-199 beds aRR 1.05, 95% CI 1.05-1.06; 0-19 beds vs >200 beds: aRR 1.11, 95% CI 1.11-1.11; Table 2).

DISCUSSION

The present study found that less than half of Japanese patients with diabetes who regularly received antidiabetic medications visited ophthalmologists in a year. Men and middle-aged patients visited the ophthalmology department less frequently than women and younger patients. In contrast, the rate of

Table 2	Risk	ratio	for	conducting	fundus	examination
---------	------	-------	-----	------------	--------	-------------

	Risk ratio	95% CI
Female	1.18	1.17–1.18
Age (years)		
20–29	_	_
30–39	1.00	0.97-1.02
4049	1.00	0.98–1.03
50–59	1.07	1.04-1.09
60–69	1.30	1.27–1.32
70–79	1.59	1.56–1.62
≥80	1.53	1.50–1.56
Antidiabetic drug		
Insulin (+)	1.38	1.37–1.38
Diabetic medical facilities		
Education certified facility of JDS	1.18	1.18–1.18
No. beds (diabetic medical facilities)		
0–19	-	-
20–99	0.99	0.99–1.00
100–199	1.05	1.05-1.06
≥200	1.11	1.11–1.11

Cl, confidence interval; JDS, Japan Diabetes Society. Total n = 4,388,234.

fundus examinations among those who once visited ophthalmologists was very high. To the best of our knowledge, this is the first study assessing the proportion of patients undergoing diabetic retinopathy screening (ophthalmology visits and fundus examination) among patients visiting ophthalmologists.

The present results showed that middle-aged men and noninsulin users were significantly less likely to visit ophthalmologists. Furthermore, patients who underwent eye screening were more likely to be treated at more extensive medical facilities with a JDS certification or an ophthalmology department (Table 1). We found that the following were predictors of fundus examination from the modified Poisson regression analysis: female sex, older age, insulin use, medical facilities with a JDS certification and large medical facilities (Table 2).

Previous studies also reported female sex¹⁸⁻²⁰, insulin use¹⁸ and age >60 years¹⁸ to be associated with a higher likelihood of receiving eye screening. Socioeconomic deprivation, which was not measured in the NDB, was also reported as a major reason for non-attendance at eye screening^{21,22}. Furthermore, a nationwide survey carried out in Korea reported that rural areas, low academic status, unawareness about diabetes care and a higher level of diabetic retinopathy were also associated with a lower likelihood of undergoing eye examination²⁰. In Denmark, among those who were screened at least once, younger people, those who had been divorced and those with lower incomes had fewer return visits, and those with more severe diabetic retinopathy more often delayed attendance than recommended²³. The present results highlight the importance of encouraging regular eye screening for patients who are younger, male, do not use insulin, and visit relatively small and non-IDS-certified medical facilities.

If glycemic control is preferable, eye screening at every 2 years is recommended for patients with diabetes without retinopathy⁷. We evaluated the rates of retinopathy screening at both 1- and 2-year intervals. Because of the longer observation period, there was an increase in the number of patients who saw ophthalmologists and underwent fundus examinations; nevertheless, the number was still insufficient. Future research should additionally investigate the eye-screening participation rate and cost over a longer time.

The present results show a chasm between physicians and ophthalmologists, in parallel with a few studies already highlighting this issue^{24–27}. A study in Chiba Prefecture, located next to Tokyo, found that patients visiting diabetologists had a higher rate of continued ophthalmologic care than patients visiting non-diabetologists (70.9% vs 56.5%)²⁵. Additionally, an interview survey in the USA found that of those who did not receive a dilated eye examination, 82.2% visited a primary care physician during the year²⁶. More than 80% of the patients with diabetes were not recommended regular eye examinations by a doctor in Hong Kong²⁴. According to the Diabetic Retinopathy Barometer Report, which surveyed patients with diabetes and healthcare professionals working in diabetes care or ophthalmology in 41 countries, 27% of the patients had never discussed eye complications with a professional or had done so only after symptoms manifested²⁷. According to these results, one of the fundamental issues of a low rate of eye screening in patients with diabetes can be the lack of encouragement from the physician rather than the omission of fundus examinations by ophthalmologists.

The rates of ophthalmologist visits varied by prefecture (Table 1), which might be due to limited geographical access to ophthalmologists and inadequate patient education. Careful observation and evidence-based policy-making by prefectures are critical to solving inequality. Introducing fundus photography by internists, telemedicine screening with non-mydriatic fundus photography²⁸ and automated retinal image analysis²⁹ are other alternatives that could reduce barriers to ophthalmologic care. Expanding eye screening in municipal medical checkups might also help close the gap and could be monitored by a future study using medical checkup data in addition to claims data.

The strength of the present study is that the NDB covers almost all the insurance treatments in Japan, which has a universal health insurance system. Thus, we can track patient care across different medical facilities, which is generally difficult in hospital-based studies. We believe that our results provide a basis for future systematic improvements in screening for diabetic retinopathy. Furthermore, it identifies a patient population unfamiliar to eye screening and generates solutions for more targeted initiatives.

However, the present study had some limitations. First, we extracted claims data on patients diagnosed with diabetes as they were receiving antidiabetic medications. As a result, we did not include patients who had not been prescribed antidiabetic medications. Although dilated and comprehensive eye examination are recommended for patients on diet and exercise therapy, they were not included in this study, and further research on such patients must be caried out. Second, we did not have clinical information, such as glycated hemoglobin level and clinical status of diabetic retinopathy or other complications. Glucose level negatively affects retinopathy; therefore, identifying the proportion of patients undergoing retinopathy screening by glycemic level should be assessed. The progression of other diabetes complications might also be a predictor of retinopathy. Although it is not sufficient, we assumed that insulin use controls the severity of diabetes in this analysis. Third, we did not consider patients who underwent non-mydriatic fundus camera-based examinations at the medical checkup as having undergone a fundus examination, because National Health Insurance did not routinely recommend these self-medical checkups. However, as the municipality's eye screening program has expanded¹⁰, we must consider these uptake rates in future studies using alternative data, as they are not captured in claims data.

In conclusion, we showed that half of the patients taking antidiabetic medication did not visit an ophthalmologist within the first year, whereas almost all the patients who visited an ophthalmologist received a fundus examination. The screening rate remained constant during the 2-year interval. In Japan, where systematic eye screening systems are non-existent for patients with diabetes, it is necessary to resolve issues regarding the recommendation of ophthalmologist-associated diabetes care from a physician or health provider.

ACKNOWLEDGMENT

The authors thank all investigators involved in this study. We wrote this manuscript along with the Reporting of REporting of studies Conducted using Observational Routinely-collected Data guideline in Table S3. This study was supported by the Health and Labor Sciences Research Grants (Comprehensive Research on Life-Style Related Diseases including Cardiovascular Diseases and Diabetes Mellitus, H29-<u>Cardiovascular-General-004</u>, 20FA1016). The funding agency had no role in the design or conduct of the study; collection, management, analysis and interpretation of data; preparation, review or approval of the manuscript; and the decision to submit the manuscript for publication. This manuscript has not been published elsewhere and is not under consideration by another journal; however, a portion of the content was presented at the 24th Japanese Society of Ophthalmic Diabetology meeting in Tokyo, Japan.

DISCLOSURE

The authors declare no conflict of interest.

Approval of the research protocol: This study conforms to the provision of the Declaration of Helsinki (as revised in Fortaleza, Brazil, October 2013), and was approved by the ethics committees of the National Center of Global Health and Medicine Center Hospital (NCGM-G-002492-04).

Informed consent: As the data were anonymized, it was impossible to re-identify patients in this study; opt-out or opt-in was therefore impossible and not required according to the ethical guidelines.

Registry and the registration no. of the study/trial: N/A. Animal studies: N/A.

REFERENCES

- Flaxman SR, Bourne RRA, Resnikoff S, et al. Global causes of blindness and distance vision impairment 1990-2020: A systematic review and meta-analysis. Lancet Glob Health 2017; 5: e1221–e1234.
- 2. Klein R, Klein BE. Are individuals with diabetes seeing better?: A long-term epidemiological perspective. *Diabetes* 2010; 59: 1853–1860.
- 3. Morizane Y, Morimoto N, Fujiwara A, *et al.* Incidence and causes of visual impairment in Japan: The first nation-wide complete enumeration survey of newly certified visually impaired individuals. *Jpn J Ophthalmol* 2019; 63: 26–33.
- 4. Scanlon PH. The contribution of the English NHS diabetic eye screening Programme to reductions in diabetes-related blindness, comparisons within Europe, and future challenges. *Acta Diabetol* 2021; 58: 521–530.
- 5. Younis N, Broadbent DM, Vora JP, *et al.* Incidence of sightthreatening retinopathy in patients with type 2 diabetes in the Liverpool diabetic eye study: A cohort study. *Lancet* 2003; 361: 195–200.
- 6. Misra A, Bachmann MO, Greenwood RH, *et al.* Trends in yield and effects of screening intervals during 17 years of a large UK community-based diabetic retinopathy screening programme. *Diabet Med* 2009; 26: 1040–1047.
- 7. Solomon SD, Chew E, Duh EJ, *et al.* Diabetic retinopathy: A position statement by the American Diabetes Association. *Diabetes Care* 2017; 40: 412–418.
- 8. Araki E, Goto A, Kondo T, *et al.* Japanese clinical practice guideline for diabetes 2019. *Diabetol Int* 2020; 11: 165–223.
- 9. Vujosevic S, Aldington SJ, Silva P, *et al.* Screening for diabetic retinopathy: New perspectives and challenges. *Lancet Diabetes Endocrinol* 2020; 8: 337–347.
- 10. Yokoyama T HY, Yamada M. Trends in fundus examination as a result of the review of specific health checkups. *MHLW grant system*, 2020 (Japanese).
- 11. Sugiyama T, Imai K, Ihana-Sugiyama N, *et al.* Variation in process quality measures of diabetes care by region and institution in Japan during 2015-2016: An observational study of nationwide claims data. *Diabetes Res Clin Pract* 2019; 155: 107750.
- 12. Ministry of Health, Labour and Welfare. Website regarding national database of health insurance claims and specific health checkups of Japan. 2020. Available from: https://www.mhlw.go.jp/stf/seisakunitsuite/bunya/kenkou_iryou/iryouhoken/reseputo/index.html Accessed March 12, 2023 (Japanese).

- Ministry of Health, Labour and Welfare. Basic Data on Medical Insurance. Medical Expenditures in Fiscal Year 2017. 2019. Available from: https://www.mhlw.go.jp/content/kiso_ h29.pdf Accessed March 12, 2023 (Japanese).
- 14. WHO Collaborating Centre for Drug Statistics Methodology. ATC/DDD Index 2022, 2022. Available from: https://www. whocc.no/atc_ddd_index/ Accessed March 12, 2023.
- 15. International Statistical Classification of Diseases and Related Health Problems 10th Revision, 2016. Available from: https:// icd.who.int/browse10/2016/en Accessed March 12, 2023.
- 16. Committee for Clinical Guidelines, the Japanese Society of Ophthalmic Diabetology. Diabetic retinopathy clinical practice guidelines (1st edition). *Nippon Ganka Gakkai Zasshi* 2020; 12: 953–981 (Japanese).
- 17. Zou G. A modified poisson regression approach to prospective studies with binary data. *Am J Epidemiol* 2004; 159: 702–706.
- Kawamura T, Sato I, Tamura H, *et al.* Influence of comorbidities on the implementation of the fundus examination in patients with newly diagnosed type 2 diabetes. *Jpn J Ophthalmol* 2018; 62: 68–76.
- 19. Kreft D, McGuinness MB, Doblhammer G, *et al.* Diabetic retinopathy screening in incident diabetes mellitus type 2 in Germany between 2004 and 2013 a prospective cohort study based on health claims data. *PLoS One* 2018; 13: e0195426.
- 20. Byun SH, Ma SH, Jun JK, *et al.* Screening for diabetic retinopathy and nephropathy in patients with diabetes: A nationwide survey in Korea. *PLoS One* 2013; 8: e62991.
- 21. Chou CF, Sherrod CE, Zhang X, *et al.* Barriers to eye care among people aged 40 years and older with diagnosed diabetes, 2006-2010. *Diabetes Care* 2014; 37: 180–188.
- 22. Kashim RM, Newton P, Ojo O. Diabetic retinopathy screening: A systematic review on Patients' non-attendance. *Int J Environ Res Public Health* 2018; 15: 15.
- 23. Thykjaer AS, Andersen N, Bek T, *et al.* Attendance in a national screening program for diabetic retinopathy: A population-based study of 205,970 patients. *Acta Diabetol* 2022; 59: 1493–1503.
- 24. Lian J, McGhee SM, Gangwani RA, *et al.* Awareness of diabetic retinopathy and its association with attendance for systematic screening at the public primary care setting: A cross-sectional study in Hong Kong. *BMJ Open* 2018; 8: e019989.
- 25. Kenichi Sakurai MM, Takatsuna Y, Kuribayashi N, *et al.* Survey on the actual conditions of medical Care for Diabetic Retinopathy by internal medicine and ophthalmic medical institutions in Chiba prefecture- a Report from Chiba Council for Promotion of countermeasures against diabetes. *J Jpn Diab Soc* 2020; 63: 163–171 (Japanese).
- 26. Gibson DM. Estimates of the percentage of US adults with diabetes who could Be screened for diabetic retinopathy in primary care settings. *JAMA Ophthalmol* 2019; 137: 440–444.

- 27. The Diabetic Retinopathy Barometer Report Global Findings. Available from: https://drbarometer.com/evidence/explorethe-data/global-findings/ Accessed 1 September 2022.
- 28. Jani PD, Forbes L, Choudhury A, et al. Evaluation of diabetic retinal screening and factors for ophthalmology referral in a

telemedicine network. *JAMA Ophthalmol* 2017; 135: 706–714.

29. Norgaard MF, Grauslund J. Automated screening for diabetic retinopathy - a systematic review. *Ophthalmic Res* 2018; 60: 9–17.

SUPPORTING INFORMATION

Additional supporting information may be found online in the Supporting Information section at the end of the article.

- Figure S1 | Venn diagram of visiting ophthalmologists and fundus examinations.
- Figure S1 | Venn diagram of visiting ophthalmologists and fundus examinations.
- Figure S2 | The proportion of visiting ophthalmologists by sex.
- Table S1 | Definition of variables.
- Table S2 | Data on 2 years of visiting ophthalmologists and fundus examination.

Table S3 | The REporting of studies Conducted using Observational Routinely-collected Data statement – checklist of items, extended from the STrengthening the Reporting of OBservational studies in Epidemiology statement.