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# Adolescent ethanol drinking promotes hyperalgesia, neuroinflammation and serotonergic deficits in mice that persist into adulthood

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# Abstract

Adolescent alcohol use can permanently alter brain function and lead to poor health outcomes in adulthood. Emerging evidence suggests that alcohol use can predispose individuals to pain disorders or exacerbate existing pain conditions, but the underlying neural mechanisms are currently unknown. Here we report that mice exposed to adolescent intermittent access to ethanol (AIE) exhibit increased pain sensitivity and depressive-like behaviors that persist for several weeks after alcohol cessation and are accompanied by elevated CD68 expression in microglia and reduced numbers of serotonin (5-HT)-expressing neurons in the dorsal raphe nucleus (DRN). 5-HT expression was also reduced in the thalamus, anterior cingulate cortex (ACC) and amygdala as well as the lumbar dorsal horn of the spinal cord. We further demonstrate that chronic minocycline administration after AIE alleviated hyperalgesia and social deficits, while chemogenetic activation of microglia in the DRN of ethanolnaïve mice reproduced the effects of AIE on pain and social behavior. Chemogenetic activation of microglia also reduced tryptophan hydroxylase 2 (Tph2) expression and was negatively correlated with the number of 5-HT-immunoreactive cells in the DRN. Taken together, these results indicate that microglial activation in the DRN may be a primary driver of pain, negative affect, and 5-HT depletion after AIE.

# Keywords

Adolescence; Ethanol; Pain; Depression; Serotonin; Microglia; Dorsal raphe

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Declaration of Competing Interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

Appendix A. Supplementary data

Supplementary data to this article can be found online at https://doi.org/10.1016/j.bbi.2022.07.160.

# 1. Introduction

The adolescent brain is highly sensitive to the effects of ethanol, which may predispose individuals to a wide range of neuropsychiatric comorbidities that persist into adulthood (Crews et al., 2019, 2016a). Increased impulsivity (Gilpin et al., 2012), deficits in working memory and cognitive flexibility (Beaudet et al., 2016; Coleman et al., 2014; Macht et al., 2020; Towner and Spear, 2020; Wolstenholme et al., 2017), increased ethanol consumption (Gilpin et al., 2012; Younis et al., 2019) and enhanced ethanol sensitivity (Wolstenholme et al., 2017; Younis et al., 2019) have all been reported after adolescent alcohol. These behavioral adaptations are accompanied by changes in cerebral volume, myelin-related genes, chromatin structure, and neuronal excitability in the prefrontal cortex (PFC) and hippocampus, two brain regions implicated in cognition and emotional affect. Previous work by Linda Spear's group also suggests that alcohol exposure during early adolescence can inhibit social investigation and preference in male rats (Broadwater et al., 2011; Varlinskaya et al., 2014) and reduce contextual fear recall (Broadwater and Spear, 2013), while late adolescent alcohol exposure increases contextual fear retention and promotes a shift from goal-oriented to habitual behavior (Towner and Spear, 2020). Other recent work suggests that 4 weeks of ethanol drinking in adolescence can potentiate anxiety-like behaviors in multiple assays (Sampedro-Piquero et al., 2022), although this was not consistently observed across all species and ethanol administration paradigms (Lee et al., 2016; Torcaso et al., 2017). These extensive behavioral changes suggest that multiple brain circuits are impacted during this critical developmental period, putting adolescent alcohol drinkers at higher risk for a wide range of neurological disorders.

Emerging evidence suggests that chronic alcohol exposure may also be a risk factor in chronic pain disorder, which is a condition that afflicts approximately 20 % of adults in the U.S. (Dahlhamer et al., 2018; Nasrallah et al., 2011; Risher et al., 2015; Schindler et al., 2014). Comorbidity between ethanol dependence and pain is often attributed to self-medication of symptoms (Brennan et al., 2005; Thompson et al., 2017), but some studies suggest that heavy alcohol drinking and other chronic stressors may exacerbate or even precipitate pain symptoms (Caniglia et al., 2020; de Oliveira et al., 2017; Egli et al., 2012; Fu et al., 2015; Marcinkiewcz et al., 2009). Several recent studies have also reported microglial activation and elevated levels of pro-inflammatory cytokines in the brain following adolescent alcohol exposure, all of which may adversely impact synaptic function in central pain circuits (Bajo et al., 2015; Crews et al., 2017, 2016b; Crews and Vetreno, 2014; Cruz et al., 2017; Marshall et al., 2020; Walter and Crews, 2017; Zhao et al., 2013). Together, these converging lines of evidence indicate that microglia may be a common cellular substrate linking alcohol use to chronic pain disorders, particularly in sensitive developmental periods like adolescence (Grace et al., 2018; Sawicki et al., 2019; Yi et al., 2021).

The dorsal raphe nucleus (DRN) is a brainstem nucleus that is enriched in serotonin (5hydroxytryptamine; 5-HT) neurons and has been reported to play a central role in pain regulation and affect (Akil and Mayer, 1972; Dugé et al., 2014; Griffith and Gatipon, 1981; Horie et al., 1991; Mayer and Liebeskind, 1974; Oliveras et al., 1979). 5-HT neurons are acutely sensitive to the effects of acute and chronic alcohol (Lowery-Gionta

et al., 2015; Thielen et al., 2001; Underwood et al., 2007) as well as pro-inflammatory cytokines such as those released by activated microglia in the brain (Brambilla et al., 2007; Hochstrasser et al., 2011; Hollis et al., 2006; Manfridi et al., 2003). Chronic alcohol exposure in adult mice results in hyperexcitability of 5-HT neurons (Lowery-Gionta et al., 2015), while alcohol exposure during adolescence reduces 5-HT expression and enhances neuroinflammation (Vetreno et al., 2017). A previous study found that lipopolysaccharide (LPS) or pro-inflammatory cytokines can reduce the number of tryptophan hydroxylase (Tph)-expressing neurons in the DRN, suggesting a link between inflammation and 5-HT loss in the DRN (Hochstrasser et al., 2011). Another study suggests that LPS induces c-fos expression in 5-HT neurons in subregions of the DRN (Hollis et al., 2006), which may indicate increased activity. These converging lines of evidence strongly suggest that chronic alcohol promotes hyperalgesia and negative affect by activating neuro-immune mechanisms in the DRN that ultimately perturb 5-HT neurotransmission.

The goal of the present study was to assess the role of DRN neuroinflammation in pain and depressive-like behaviors after adolescent alcohol exposure. These behaviors were the focus of this study since both have been associated with 5-HTergic dysregulation in the DRN. We first examined the effects of adolescent intermittent access to ethanol (AIE) on pain and affective behaviors, 5-HT expression, and neuroinflammation in the raphe nuclei as well as downstream targets of the DRN that have been implicated in nociception. The dorsal horn of the spinal cord was also included as it receives robust serotonergic input from the brain. Persistent hyperalgesia was accompanied by microglial activation in the DRN and median raphe nucleus (MRN) and reduced the number of 5-HT-immunoreactive neurons in the DRN. We also observed a significant reduction in 5-HT expression in nociceptive regions that receive 5-HT input from the DRN including the thalamus, anterior cingulate cortex (ACC), amygdala and dorsal horn (DH). In the thalamus, we focused on the posterior complex (Po), parafascicular nucleus (Pf), and paraventricular nucleus (Pvt) for their putative role in pain (Andersen and Dafny, 1983; Apkarian and Shi, 1994; Chang et al., 2019). This AIE-induced hyperalgesia and microglial activation was alleviated by minocycline treatment after alcohol cessation, while chemogenetic activation of microglia in the DRN was sufficient to induce hyperalgesia and social deficits in ethanol-naïve mice. We also found that chemogenetic activation of microglia reduced tryptophan hydroxylase 2 (Tph2) expression in the DRN, which may perturb 5-HTergic transmission. Together, these results suggest that AIE may predispose individuals to chronic pain disorders later in life by activating microglia in the DRN.

# 2. Methods

# 2.1. Animals

All procedures were carried out in accordance with the ethical guidelines for the use of animals in research and approved by the Institutional Animal Care and Use Committee (IACUC) at the University of Iowa. Adolescent male C57BL/6J mice (Jackson Laboratories, Stock # 000664) were used in voluntary ethanol access experiments, had *ad libitum* access to an irradiated diet (Prolab IsoPro RMH 3000, catalog # 5P75) and were maintained on a reverse light–dark cycle (lights off at 07:30). Adult male Cx3cr1-creER-GFP mice (Jackson

Laboratories, Stock #021160) and CAG-LSL-Gq-DREADD mice (floxed hM3dq; Jackson Laboratories, Stock #026220) were used in DREADD experiments and maintained on a standard light–dark cycle (lights on at 06:00) with *ad libitum* access to standard chow. Mice were randomly assigned to a treatment group and data was analyzed by trained personnel blinded to the treatment.

# 2.2. Drugs

Ethanol solutions were prepared in tap water from 95 % ethyl alcohol (Decon Labs, catalog # 2801TP) and sucrose (Sigma Aldrich, catalog # BP220–212). Minocycline HCl (Sigma Aldrich, catalog # M9511) was dissolved in distilled water (0.0416 mg/mL) and administered via drinking bottles at 3 h into the dark cycle for an average daily dose of  $7.1 \pm 0.09$  mg/kg/day. Tamoxifen (TM; Sigma Aldrich, catalog # T5648) was dissolved in Sunflowerseed Oil (Sigma Aldrich, catalog # S5007) and administered at a dose of 75 mg/kg (i.p.). Clozapine *N*-oxide (CNO) dihydrochloride (Hello Bio, catalog # HB6149) was dissolved in sterile saline and administered by intraperitoneal injection (3 mg/kg, i. p.) 45 min before behavioral testing. For pain management of mice undergoing surgery, animals were treated with meloxicam (0.4 mg/mL at 10 mL/kg, s.c.; Norbrook Laboratories Limited, NDC: 55529–040–10) immediately following, and 24 h after surgery.

### 2.3. Adolescent intermittent access to ethanol (AIE)

Mice were exposed to an intermittent access to ethanol paradigm as previously described (Hwa et al., 2011). Briefly, mice were individually housed and presented with two 50 mL plastic tubes that were fitted with No. 5 rubber stoppers. Three hours into the dark cycle on Mondays, Wednesdays, and Fridays, mice were presented with tubes containing ethanol or water for 24 h. The AIE protocol occurred for 4 weeks starting at postnatal day (PND) 25. Placement of the ethanol and water bottles was alternated each drinking session to control for side preferences. On the first four days of the AIE protocol, ethanol content was 3 %, 6 %, 10 %, and 20 % w/v with 5 % sucrose. On day 5, animals received 20 % EtOH w/v & 2 % sucrose. Thereafter, ethanol content was 20 % w/v. Water control animals did not receive sucrose in drinking water through this EtOH ramp-up period. Ethanol intake (g/kg/24 h) and preference were calculated after each drinking session for a daily average of  $13.46 \pm 1.78$  g/kg/day.

#### 2.4. Minocycline treatment

Chronic minocycline treatment began after the AIE protocol. Minocycline (0.0416 mg/mL) was presented via drinking bottles, 3 h into the dark cycle. Fresh solutions were presented daily for an average daily dose of  $7.1 \pm 0.09$  mg/kg/day. Treatment continued through chronic withdrawal.

### 2.5. Stereotaxic surgeries

All surgeries were performed using aseptic technique. Before the surgery, mice were deeply anesthetized with 3 % isoflurane and placed on a heating pad in a stereotactic frame. During surgery, isoflurane was maintained at 1.5–2 %. To selectively target microglia, AAV encoding Cre-inducible Gq-coupled DREADDs (AAVDJ-ef1a-DIO-hM3DqmCherry,

Stanford viral vector core) were microinjected into the DRN of *Cx3cr1-creER* mice using a 1  $\mu$ L Neuros Hamilton syringe at a rate of 100 nl/min. After infusion, the needle was left in place for an additional 10 min to allow diffusion in the target area. Coordinates from bregma were AP: -4.65, ML: 0, DV: -3.3, at an angle 23.58<sup>0</sup> to ML. The incision site was closed, and mice were returned to their regular housing room.

### 2.6. Behavior

Following intermittent access to ethanol, animals entered into a chronic withdrawal period. Animals were tested between weeks 3 and 5 of chronic withdrawal. Animals were first tested on anxiety measures (Elevated Plus Maze; EPM, and Open Field; OF). Next, we evaluated sensitivity to mechanical and thermal stimuli (von Frey and Hargreaves assays), and finally we tested social behavior and depressive-like behavior (forced swim test). Behavior tests were spaced at least 48 hr apart.

**2.6.1. Open field**—Mice were placed in the corner of a  $50 \times 50 \times 25$  cm plexiglass container as described previously and allowed to freely explore the chamber for 10 min (Marcinkiewcz et al., 2019, 2016, 2014). The time spent in the center of the container as well as total distance traveled was recorded by an overhead camera and quantified with Ethovision XT15 software. The center of the open field was defined as the central 15 % of the arena.

**2.6.2.** Elevated plus maze—Mice were placed in the center of an elevated plus maze and allowed to freely explore the arena for 5 min. The maze was 60 cm above the floor and consisted of two open and two closed arms ( $5 \times 35$  cm) and a neutral zone (center;  $5 \times 5$  cm). The light intensity in the open arms were roughly ~ 20 lux. Time spent in the open arms and the number of transitions between closed and open arms was recorded by an overhead camera and quantified with Ethovision software.

**2.6.3.** Von Frey—Mice were placed inside a clear acrylic box  $(100 \times 100 \times 150 \text{ mm})$  and acclimatized to the test apparatus which consisted of a raised wire mesh platform (holes in mesh were  $5 \times 5$  mm) for at least 3-h on two consecutive days (Warwick et al., 2019). Mechanical sensitivity was evaluated by applying von Frey filaments (Stoelting, Wood Dale, IL) of varying strength to the plantar surface of each hindpaw. The number of responses to each filament out of 5 applications was recorded and used to calculate the 50 % threshold.

**2.6.4.** Hargreaves—Mice were placed in a clear acrylic box  $(100 \times 100 \times 150 \text{ mm})$  on a IITC Life Science heated base (Model 400; temperature maintained at 30°C) and were acclimated to the test apparatus for 2 consecutive days before testing. Thermal sensitivity was evaluated by focusing a light beam to generate heat on the plantar surface of each hindpaw. The time required for the stimulus to evoke a withdrawal was measured 3 times per paw and averaged to calculate the paw withdrawal latency.

**2.6.5. Social interaction**—The social interaction test was performed as described previously (Lowery-Gionta et al., 2014; Marcinkiewcz et al., 2014; Moy et al., 2008). Briefly, mice were placed in a clear three-chambered plexiglass arena (~20 lx) with small

openings to allow movement between chambers. Following a 10-min habituation phase, two small metal enclosures were placed in each side chamber and a novel mouse was placed inside of one of the enclosures. Placement of the novel mouse was altered between trials to control for side preferences. Behavior was recorded by an overhead camera and scored by a researcher blind to experimental conditions. The time spent interacting with the novel mouse and the empty cage was analyzed over a 10-minute period for each trial.

**2.6.6.** Forced swim—Mice were gently placed in a tall cylinder (32 cm height  $\times$  20 cm diameter) filled with tap water (24°C) to a height of 25 cm, for 6 min. After the 6 min, animals were removed from the test cylinder and placed in a clean cage under a heating lamp for 5-minutes to warm them up and allow them to dry off. Behaviors were recorded from a side-view camera and analyzed with Ethovision software. Latency to immobility, as well as number of immobile bouts + total immobile time during minutes 0–2 ('pretest') and min 3–6 ('test') were evaluated.

**2.6.7. DREADD experiments**—Adult ethanol-naïve Cx3cr1-creER mice (3mo+) underwent stereotaxic infusion of Gq-coupled DREADDs and were used to assess the role of DRN microglial activation on pain and social behaviors. Animals were treated with tamoxifen two weeks following viral infusion into the DRN and used in behavior tests ~ 1 month following surgery.

To evaluate how the activation of Cx3cr1+ cells affects 5-HT neurons, Cx3cr1-creER mice were crossed with floxed hM3Dq mice to induce expression of hM3Dq in microglia. Adult, ethanol naïve, Cx3cr1-creER and Cx3cr1-creER × hM3Dq mice were injected with tamoxifen (75 mg/kg, i.p.) once daily for 5 days to induce Cre-meditated recombination and DREADD expression in microglia. Two weeks following the final tamoxifen injection, mice were treated with CNO (3 mg/kg, i.p.) in a light and sound controlled environment. Mice were sacrificed 2.25 h following CNO treatment. Brains were processed for histological analysis of 5-HT, tph2, and microglia expression.

### 2.7. Immunohistochemistry and microscopy

Mice were anesthetized with Avertin and transcardially perfused with 0.01 M PBS followed by 4 % paraformaldehyde (PFA). Brains were extracted, fixed in PFA for 24 h at 4 °C, and stored in PBS at 4 °C. Brains were sliced on a Leica VT1000S at 45  $\mu$ m and stored in 50/50 PBS/Glycerol at -20 °C. For each region of interest (ROI), four slices were used across the caudal-rostral axis. Slices were washed in PBS and incubated in 0.5 % Triton X-100/PBS for 30 min, blocked in 10 % Normal Donkey Serum in 0.1 % Triton X-100/PBS and then incubated with the respective primary and secondary antibodies (Supplementary Table 1). Slices were subsequently washed in PBS, mounted on glass slides and coverslipped with Vectashield.

Confocal z-stacks (1 µm) were captured on a confocal microscope (40 sections/z-stack), at 20X (for raphe nuclei) or 40X (for downstream regions and microglia analysis). After imaging, confocal stacks were converted to maximum projection images using Image J software. Images were analyzed by trained researchers blind to experimental conditions for cell counts, % immunoreactive (IR) area, cell body size, and optical density using ImageJ.

### 2.8. Image Analysis

The intensity of serotonergic cells and glia were estimated via optical density measurement in ImageJ. Briefly, images were converted to 8-bit gray scale images and background was corrected. An optical density calibration was performed in ImageJ with a 21-step table using the Rodbard function. Mean gray values were obtained from regions of Interest (ROIs) that were overlaid on the grayscale images, with an effort to avoid obvious artifacts. Percent immunoreactive area was measured on thresholded 8-bit images, with an effort to avoid obvious artifacts. Within the raphe nuclei, 20X images were used to quantify the number of serotonergic neurons and astrocytes. For microglia analyses (cell counts and morphological analysis), 40X confocal stacks were used. Morphological analysis was performed on GFAP and P2Y12 positive cells by observers blind to the experimental groups who traced outlines of the glial cells, making sure to avoid dendritic processes.

### 2.9. Statistical analyses

Experiments comparing two groups were analyzed with a Student *ttest*, with  $\alpha < 0.05$ ; social interaction and minocycline experiments were analyzed using a two-way ANOVA and Bonferroni corrections for *post hoc* analyses using Graphpad Prism 8 software. Data are reported as means  $\pm$  standard error of the mean (SEM).

# 3. Results

#### 3.1. AIE promotes hyperalgesia and depressive-like behaviors in adult mice.

Adolescent male C57BL/6J mice were exposed to 4 weeks of AIE starting at postnatal day 25 and averaged an ethanol intake of  $13.46 \pm 1.78$  g/kg/day and an ethanol preference of  $50.8 \pm 0.07$  % by their final week (Fig. 1A–C). While we did not observe significant effects of AIE on anxiety-like behavior or locomotor activity in the EPM (Fig. 1D–E), there was a reduction in time spent in the center of the open field ( $t_{17} = 2.57$ , p < 0.05) without any change in locomotor activity (Fig. 1F–G).

AIE also reduced pain thresholds in the Hargreaves and Von Frey tests at 12 weeks of age, which is indicative of hyperalgesia (Hargreaves  $t_{18} = 3.05$ , p < 0.01; Von Frey:  $t_{17} = 4.11$ , p < 0.001) (Fig. 1H–J). These mice also exhibited significant deficits in social interaction which may be indicative of depressive-like behavior ( $F_{1,17} = 12.56$ , p < 0.001, Stranger × Ethanol interaction) (Fig. 1K). This is congruent with previous reports in the literature in rats exposed to alcohol in early adolescence (Varlinskaya et al., 2014). Surprisingly, there was no effect of AIE on immobility time in the forced swim test, although we did see an increase in the frequency of inactive bouts ( $t_{18} = 2.19$ , p < 0.05) which aligns with depressive-like behavior (Fig. 1L–M). Together, these results suggest that long-term plasticity in neural circuits mediating pain and affective behavior may occur following AIE.

# 3.2. AIE reduces the number of 5-HT neurons in the DRN and induces microglial activation in the raphe nuclei.

We then asked whether AIE depletes 5-HT in the DRN as previously suggested after adolescent alcohol gavage (Vetreno et al., 2017). We first quantified the number of 5-HT-immunoreactive cells in the raphe nuclei and found this was significantly depleted in the

DRN ( $t_{26} = 2.48$ , p < 0.05) but not the MRN ( $t_{26} = 1.24$ , ns). Tryptophan hydroxylase 2 is the rate limiting enzyme in 5-HT biosynthesis and is often used as a marker of 5-HT neurons. We found a non-significant trend toward a reduction in the number of Tph2-IR neurons in the DRN ( $t_{27} = 2.02$ , p = 0.053). However if we confine ourselves to the rostral and mid subregions of the DRN where the vast majority of 5-HT neurons reside, we observe a significant reduction in Tph2-IR neurons ( $t_{28} = 2.65$ , p < 0.05; data not shown). No changes in Tph2-IR neurons were observed in the MRN ( $t_{27} = 0.92$ , ns) (Fig. 2A–E). The density of CD68-positive microglia in the DRN and MRN were also increased after AIE (DRN:  $t_{23} = 2.32$ , p < 0.05 and MRN:  $t_{20} = 2.33$  with Welch's correction, p < 0.05; Fig. 2F), and there was a significant negative correlation between CD68 optical density and the number of 5-HT-IR cells in the DRN (r = -0.4425, p < 0.05) (Fig. 2G). These results suggest that microglial activation in the DRN may drive 5-HT depletion after AIE.

# 3.3. AIE reduces 5-HT immunoreactivity and increases neuroinflammation in the spinal cord and central pain processing regions.

Depletion of 5-HT-IR neurons could impact downstream 5-HT transmission in the brain and spinal cord, so next we examined nociceptive regions that receive substantial 5-HT input from the DRN. We initially focused on the posterior complex (Po) of the thalamus as a previous study indicated a high proportion of nociresponsive neurons in this subnucleus (Apkarian and Shi, 1994). We also examined the medullary raphe which contains 5-HT neurons that project to the spinal cord. Surprisingly, AIE did not modify the number of 5-HT-IR neurons in the medullary raphe (Fig. 3A,G). We did observe a significant reduction in 5-HT immunoreactive area in the Po (t<sub>7</sub> = 2.92, p < 0.05) and dorsal horn (t<sub>18</sub> = 2.54, p < 0.05), and there was also a reduction in 5-HT optical density in the Po (t<sub>7</sub> = 2.98, p < 0.05), ACC (t<sub>7</sub> = 2.47p < 0.05), and amygdala (t<sub>7</sub> = 2.50, p < 0.05) (Fig. 3B–F, 3H-I). We then examined 5-HT immunoreactivity in the parafascicular (Pf) and paraventricular nucleus (Pvt) to determine whether reduced 5-HT optical density in the Pf (t<sub>7</sub> = 2.91, p < 0.05) and PVT (t<sub>7</sub> = 2.86, p < 0.05) without altering 5-HT immunoreactive area in either subregion (Supplementary Fig. 1).

There was also a significant increase in CD68 + microglial density in the dorsal horn ( $t_{18} = 3.28$ , p < 0.01) and increased optical density of CD68 in the ACC ( $t_{14} = 2.61$ , p < 0.05 with Welch's correction) of AIE mice (Fig. 3J–K). Surprisingly, there was no change in CD68 + microglial density or CD68 optical density in any other region examined.

P2Y12 is a purinergic receptor that is involved in platelet aggregation and is expressed at high levels in resting microglia. Once microglia are activated, P2Y12 expression decreases (Haynes et al., 2006). We found that adolescent ethanol reduced P2Y12 + microglial density in the posterior complex of the thalamus ( $t_{25} = 3.01$ , p < 0.01), amygdala ( $t_{25} = 2.20$ , p < 0.05) and ACC ( $t_{20} = 2.46$ , p < 0.05 with Welch's correction), suggesting that microglia in these regions may also be in an activated state (Supplementary Fig. 2). P2Y12 is also transiently decreased in cortical microglia in response to an acute ethanol challenge in neonatal mice (Ahlers et al., 2015), so it is interesting that this P2Y12 reduction persists for several weeks after AIE cessation. There was also an increase in the P2Y12 + soma area

 $(\mu m^2)$  in the amygdala ( $t_{25} = 4.00$ , p < 0.001) and the medullary raphe ( $t_{22} = 3.25$ , p < 0.01 with Welch's correction), which is consistent with an activated state. Given the essential role of the spinal cord in nociception, we also examined P2Y12 expression in the dorsal horn but found no change in the cell density of P2Y12 + microglia or soma size (Supplementary Fig. 2).

The clinical and preclinical literature also suggests that chronic alcohol may reduce astrocyte density and nuclei size in the prefrontal and orbitofrontal cortex, ACC and hippocampus (Adermark and Bowers, 2016; Bull et al., 2015, 2014; Korbo, 1999; Miguel-Hidalgo et al., 2002). We did not observe a change in GFAP + cell number or optical density in the DRN or MRN after AIE, but we did observe a reduction in cell body area in the DRN ( $t_{18} = 3.13$ , p < 0.05 with Welch's correction). We also observed an increase in the number of GFAP + cells in the medullary raphe ( $t_{17} = 2.18$ , p < 0.05) without any concomitant change in soma area (Supplementary Fig. 3).

#### 3.4. Minocycline treatment alleviates pain and depressive-like behavior after AIE.

Minocycline inhibits microglial activation *in vivo* and may have clinical utility in a variety of neurological conditions involving chronic inflammation. We next asked whether chronic minocycline treatment after AIE could reverse the effect on pain thresholds and social behavior (Fig. 4A). Mice consumed an average of  $7.1 \pm 0.09$  mg/kg/day over the course of the minocycline treatment (Fig. 4B). Here we found that AIE reduced mechanical pain thresholds in the Von Frey in vehicle-treated mice (Main effect of Ethanol:  $F_{1.36} = 5.50$ , p < 0.05, Bonferroni posttest  $t_{36} = 2.73$ , p < 0.05) which was abolished by chronic minocycline treatment after AIE cessation (t<sub>36</sub> = 0.585, ns) (Fig. 4C–D). Minocycline also normalized thermal sensitivity in the Hargreaves test (Main effect of Ethanol:  $F_{1.56} = 7.77$ , Ethanol × minocycline interaction:  $F_{1.56} = 5.86$ , p < 0.05) (Fig. 4E). Bonferroni post-tests revealed an effect of AIE in vehicle-treated mice in the Hargreaves ( $t_{56} = 3.68$ , p < 0.01) that was abolished in minocycline-treated mice ( $t_{56} = 0.26$ , ns). Social interaction deficits were also reversed by minocycline treatment ( $F_{1.36} = 22.47$ , p < 0.001 Ethanol × minocycline interaction). Pairwise comparisons revealed social deficits in ethanol vehicle-treated vs water vehicletreated mice ( $t_{36} = 6.088$ , p < 0.0001) that were abolished by minocycline treatment  $(t_{36} = 0.6163, ns)$  (Fig. 4F).

We next examined CD68 expression in these mice and found that minocycline significantly attenuated the AIE-induced increase in CD68-positive microglial density in the DRN (Main effect of Ethanol  $F_{1,16} = 28.55$ , p < 0.0001, Bonferroni post-test  $t_{16} = 5.22$ , p < 0.001) that was attenuated by chronic minocycline treatment ( $t_{16} = 2.34$ , ns) (Fig. 4G–H). Likewise, minocycline also reversed the increase in CD68 optical density in the DRN (Main effect of Ethanol:  $F_{1,16} = 30.59$ , p < 0.0001, Ethanol × minocycline interaction:  $F_{1,16} = 8.88$ , p < 0.01). Post-tests indicate an effect of AIE in vehicle-treated mice on CD68 optical density ( $t_{16} = 6.02$ , p < 0.0001) that was abolished by chronic minocycline ( $t_{16} = 1.80$ , ns) (Fig. 4I).

# 3.5. Chemogenetic activation of microglia in the DRN induces hyperalgesia and reduces social preference

We next asked whether direct activation of microglia in the DRN could phenocopy the effects of AIE. Male *Cx3cr1-creER* mice were microinjected with AAV-ef1a-DIO-hM3Dq-mCherry or a control virus (AAV-ef1a-DIO-mCherry) in the DRN at 8 weeks of age and then received i.p. injections of tamoxifen to enable Cre-mediated recombination and expression of the DREADDS in microglia (Fig. 5A–B). This resulted in hM3Dq-mCherry expression in 52.17 ± 2.74 % of Cx3cr1 + cells in the DRN, while 71.67 ± 1.69 % of DREADD-expressing cells also expressed Cx3cr1 (Fig. 5C–G). Chemogenetic activation of microglia in ethanol-naïve *Cx3cr1-creER*::hM3Dq<sup>DRN</sup> mice reduced mechanical and thermal pain thresholds in the Von Frey (t<sub>14</sub> = 2.44, *p* < 0.05) and Hargreaves test (t<sub>14</sub> = 2.84, *p* < 0.05) (Fig. 5H–J). Social preference was also attenuated in these mice after microglial activation (t<sub>14</sub> = 8.51, *p* < 0.0001) (Fig. 5K).

We then asked whether chemogenetic activation of microglia could alter 5-HT expression or activity in the DRN. Cx3cr1-creER  $\times$  hM3Dq mice were first injected with tamoxifen to induce Cre-mediated recombination. Mice were then injected with CNO and perfused 2.25 hr later (Fig. 6A). These mice exhibited robust DREADD expression in the DRN (Fig. 6B), with  $57 \pm 3$  % of microglia expressing hM3Dq (Fig. 6C). Of DREADD-expressing cells,  $99 \pm 1$  % were microglia (Fig. 6C). We then verified that chemogenetic activation elevated CD68 expression in the DRN ( $t_{26} = 2.39$ , p < 0.05; Fig. 6D, 6L). We also observed an increase in the density of fos-positive 5-HT neurons in the DRN after microglial activation  $(t_{26} = 2.90, p < 0.01; Fig. 6E)$ , which is congruent with previous reports following LPS injection (Hollis et al., 2006). Tph2 optical density in the DRN was also significantly reduced in these mice ( $t_{26} = 2.09$ , p < 0.05; Fig. 6F) and was negatively correlated with CD68 optical density (r = -0.5094, p < 0.01); Fig. 6H). There was also non-significant trend toward a reduction in 5-HT optical density (Fig. 6F). Surprisingly, the density of Tph2-IR and 5-HT-IR neurons was not significantly reduced (Fig. 6G), but there was negative correlation between CD68 optical density and 5-HT neuronal density (r = -0.4421, p < 0.05; Fig. 6I). In agreement with these results, a previous study reported immunogenic stimuli reduced Tph2-IR neurons in the DRN (Hochstrasser et al., 2011). Overall, these data provide converging evidence that reduced 5-HTergic tone in the DRN following AIE may be mediated by microglial activation in the DRN. There is also accumulating evidence in the literature that depletion of 5-HT neurons in the DRN and loss of 5-HT input to downstream targets can promote pain sensitivity in rodents and may drive hyperalgesia following AIE.

# 4. Discussion

Our results indicate that AIE induces long-lasting adaptations in pain sensitivity and affective behavior. While there are numerous reports of affective and cognitive disturbances in mice and rats after adolescent alcohol exposure, to our knowledge this is the first report of hyperalgesia in a mouse model of intermittent adolescent alcohol exposure. The reported effects on pain were robust and consistent across multiple cohorts of mice and accompanied by changes in microglial density in the dorsal and median raphe nuclei. While CD68-IR cell density was not significantly altered in other brain regions, there was an increase in CD68

optical density in the ACC and a decrease in the density of P2Y12-expressing microglia in the Po, amygdala and ACC which are implicated in central pain processing. This was accompanied by an increase in the soma area of P2Y12 + microglia in the amygdala only. Surprisingly, there was no change in P2Y12 + microglial density or soma area in the raphe nuclei, indicating that microglial activation states may differ between these brain regions. There was also a significant increase in CD68 + microglial density in the dorsal horn of the spinal cord, suggesting that microglial activity in spinal and supraspinal pain circuits may contribute to persistent pain after adolescent alcohol exposure.

Given the robust changes in microglial density in the raphe nuclei and the sensitivity of 5-HT neurons to inflammatory stimuli (Hochstrasser et al., 2011; Zhang et al., 2001), we sought to examine 5-HT expression in the DRN, MRN, and medullary raphe. The number of 5-HT-IR neurons was reduced in the DRN and negatively correlated with CD68 OD, but there was no apparent reduction in 5-HT neurons in the MRN or the medullary raphe. However, we did observe a reduction in 5-HT expression in several projection targets of the DRN including the thalamus (Po, Pf, and PVT), ACC and amygdala. These regions are of particular interest because they mediate descending modulation of pain and receive 5-HT input from the DRN (Ab Aziz and Ahmad, 2006; Chao et al., 2021; Duan et al., 2021; Gandhi et al., 2021; Gilpin et al., 2021; Heijmans et al., n.d.; Juarez-Salinas et al., 2019; Li et al., 2019; Price, 2000; Zargarani et al., 2021). There was also a decrease in 5-HT expression in the dorsal horn, which may have nociceptive or antinociceptive effects depending on which 5-HT receptors are prevalent (Sommer, 2010; Zhang et al., 2011). 5-HT neurons in the DRN were previously found to mediate anti-nociception (Dugé et al., 2014; Ruan et al., 1990), suggesting that depletion of 5-HT may contribute to increased pain thresholds in AIE mice. A previous study also found that 5-HT projections from the DRN to the parafascicular nucleus of the thalamus inhibits nociceptive responses (Andersen and Dafny, 1983), so loss of 5-HT expression in this subregion may also account for heightened pain sensitivity after AIE. Other thalamic subregions including the PVT and Po may also mediate hyperalgesia in this context as both were found to have reduced 5-HT expression after AIE. Together, these studies suggest that the 5-HT DRN inputs to thalamic nuclei or other downstream targets may be promising targets for treating pain disorders with co-morbid alcohol dependence.

Depressive-like behaviors in the social interaction test following AIE were also consistent with previous reports in male rats (Varlinskaya et al., 2014). This study found that social deficits were associated with early rather than late adolescent alcohol exposure, whereas our study took place over the entire duration of adolescence. It is possible that exposure in the first 2 weeks is critical for the development of social deficits in our model, whereas pain could be the result of early or late adolescent exposure. The DRN has been implicated in social behavior and stimulation of 5-HT neurons in this region rescues social deficits in mice (Luo et al., 2017), so 5-HT depletion in the DRN and its downstream targets may account for social deficits in our model. We also observed a depressive phenotype in the forced swim test, although this was only apparent in the frequency of immobile bouts and not the total time spent immobile. This stands in contrast to a previous report in adult and adolescent mice exposed to 14 consecutive days of 4-bottle choice alcohol (5, 10, 15 and 40 %) for 2 hrs/day which resulted in a reduction in immobility time and the number of

immobile episodes (Lee et al., 2016). This discrepancy may be attributed to the difference in timing of alcohol administration as well as the age of the animals. We did not see substantial effects of AIE in anxiety measures, although there was a decrease in time spent in the center of the open field. Other reports in mice suggest that anxiety-like behaviors may occur in mice exposed to a continuous 4-day ethanol drinking paradigm for 4 weeks in adolescence (Sampedro-Piquero et al., 2022), whereas our model was intermittent drinking every other day for 3 days per week. They also measured behavior in the elevated zero maze (EZM) and marble burying tests which were not included in our battery of tests. The absence of an anxiety phenotype in the EPM in our model was consistent with that reported in rats undergoing intragastric alcohol administration in adolescence (Torcaso et al., 2017).

We also found that chronic systemic minocycline treatment could alleviate hyperalgesia and social deficits and reverse microglial activation in the DRN, suggesting that targeting microglia may be an effective treatment strategy for chronic pain and depression after AIE. This is further supported by the finding that chemogenetic activation of microglia in the DRN increased mechanical and thermal sensitivity while reducing social preference. While previous studies have reported that activating microglia in the entire brain and spinal cord can modulate nociception (Binning et al., 2020), our results demonstrate that regional activation of microglia in the DRN is sufficient to recapitulate hyperalgesia following AIE. Chemogenetic activation of microglia also stimulates the release of pro-inflammatory cytokines which can modulate 5-HT neuronal activity (Brambilla et al., 2007; Manfridi et al., 2003). In another study, overexpression of IL-1ß in the DRN resulted in maniclike behavior characterized by reduced fearfulness and increased stress-induced locomotor activity (Howerton et al., 2014). While we did not test mania-like behaviors directly or measure IL-1 $\beta$  levels in the DRN, previous studies have shown that IL-1 $\beta$  is elevated in other brain regions after chronic ethanol exposure (Bajo et al., 2015). We also demonstrate that chemogenetic activation of microglia reduces Tph2 expression in the DRN and that CD68 was negatively correlated with Tph2 optical density and 5-HT cell density in the DRN, which strongly suggests that activated microglia can modulate pain and affective behavior by perturbing 5-HT transmission.

One potential limitation of the current study is that we did not directly compare the effects of alcohol in adolescent mice with those in adult mice, so it is possible that the behavioral and 5-HTergic dysregulation observed here may also occur in adult-onset drinking. However, based on previous literature suggesting that the DRN serotonin system may be more vulnerable to environmental insults during early development (Deneris and Gaspar, 2018), future studies are warranted to determine if hyperalgesia and 5-HT depletion are limited to alcohol exposure during adolescence. It could also be the case that the effects observed here are transient and would resolve at later time points, so further studies will help to resolve these outstanding questions. A previous study in rats exposed to adolescent alcohol gavage suggests that depletion of 5-HT neurons persists through PND 220 (Vetreno et al., 2017), so we might expect 5-HT loss to persist well after cessation of alcohol exposure. Finally, this study was limited to male mice as our initial experiments revealed that these behavioral phenotypes were inconsistent in females and likely to be dependent on estrus state, which requires further investigation that is beyond the scope of the current study.

Overall, our studies support the conclusion that adolescent alcohol drinking can increase the risk of chronic pain and social deficits by activating microglia in the DRN, which may drive reduced 5-HTergic tone in the DRN. Based on previous studies indicating that 5-HT neurons promote analgesia and social behavior, loss of 5-HTergic neurotransmission in the DRN and its downstream targets may be critically involved in these adverse outcomes of AIE. Further studies are warranted to delineate the specific 5-HT circuits that mediate these behaviors and how 5-HT neurotransmission modulates activity in nociresponsive neurons in downstream targets, particularly in the thalamus, ACC, amygdala and dorsal horn. Pharmacological interventions aimed at reducing neuroinflammation in the DRN or increasing 5-HTergic tone may have therapeutic potential in the treatment of pain disorders in patients with a history of adolescent alcohol use.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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# Data availability

Data will be made available on request.

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### Fig. 1.

Hyperalgesia and social deficits in adult mice after adolescent alcohol exposure. (A) Experimental timeline for adolescent alcohol exposure and behavioral testing. (B) Average ethanol intake (g/kg/day) and (C) preference (%) over the 4-week alcohol drinking paradigm. (D-E) Time spent in the open arms and distance traveled in the elevated plus maze (EPM). (F-G) Time spent in the center and distance traveled in an open field. (H) Thermal pain threshold in the Hargreaves test and (I-J) mechanical pain thresholds in the Von Frey test. (K) Time spent in social interaction in the 3-chambered social interaction test. (L-M) Time spent immobile and frequency of inactive bouts in the forced swim test. \*p < 0.05, \*\*p < 0.01, \*\*\*p < 0.001. Figure 1A was created with BioRender.



# Fig. 2.

5-HT depletion and microglial activation in the DRN following AIE. (A) Representative confocal images of Tph2, 5-HT, CD68 and 5-HT-CD68 overlay in the DRN and (B) MRN of water control and alcohol drinking mice. (C) Brain atlas schematic illustrating the approximate positions of the DRN (blue) and MRN (magenta) included in this analysis. (D) Histograms of total cell counts in the DRN and MRN for (D) Tph2-IR neurons, (E) 5-HT-IR neurons, and (F) density of CD68-IR microglia. (G) Correlation between CD68 optical density and 5-HT-IR cells in the DRN. Scale bar = 100  $\mu$ m. \*p < 0.05.



### Fig. 3.

Loss of 5-HT input and microglial activation in pain processing regions of the brain and spinal cord. Representative confocal images of 5-HT and CD68 from water control and alcohol drinking mice and approximate brain atlas coordinates for the (A) medullary raphe, (B) posterior complex of the thalamus (Po), (C) hypothalamus (Hyt), (D) anterior cingulate cortex (ACC), (E) amygdala (Amy), and (F) dorsal horn (DH) of the spinal cord. (G) Histogram of total 5-HT-IR neurons in the medullary raphe. Histograms of (H) 5-HT-IR area and (I) 5-HT optical density, (J) CD68-IR cell density, and (K) CD68 optical density in the Po, hyt, ACC, Amy and DH. Scale bar = 50  $\mu$ m \*p < 0.05.



### Fig. 4.

Minocycline alleviates pain and depressive-like behaviors after AIE. (A) Experimental time of alcohol exposure, minocycline administration and behavioral tests. (B) Average minocycline dose over the exposure period. (C-D) Mechanical pain thresholds in the Von Frey and (E) Hargreaves tests showing that minocycline alleviates hyperalgesia after AIE. (F) Time spent in social interaction in the 3-chambered social interaction test indicating that minocycline can mitigate depressivelike behaviors after AIE. (G) Representative confocal images of CD68-IR microglia in vehicle and minocycline treated water control and AIE mice. (H-I) Immunohistochemical analysis of CD68-IR microglia in the DRN following AIE and chronic minocycline administration. Scale bar =  $50 \ \mu m. \ p < 0.05, \ p < 0.01, \ p < 0.001, \ p < 0.001$ . Figure 4A was created with BioRender.





# Fig. 5.

Chemogenetic activation of microglia in the DRN induces hyperalgesia and depressive-like behaviors in ethanol-naïve mice. (A) Experimental timeline for surgeries, injections and behavioral experiments in Cx3cr1-cre::hM3Dq<sup>DRN</sup> mice. (B) Schematic of stereotaxic injections of AAV into the DRN. (C) Specificity of DREADD expression in microglia and transduction efficiency of AAV-mediated hM3Dq expression in DRN microglia. (D-G) Representative confocal images of Tph2, Cx3cr1-eGFP, hM3Dq-mCherry and overlay in the DRN. (H-I) Mechanical pain thresholds in the Von Frey test, (J) thermal pain thresholds in the Hargreaves test and (K) social investigation time in the 3-chambered social interaction test in Cx3cr1-cre::hM3Dq<sup>DRN</sup> relative to Cx3cr1-cre::mCherry<sup>DRN</sup> mice following CNO injection. Scale bar = 50 µm (15 µm for inset). \*p < 0.05, \*\*\*\**p* < 0.0001.



### Fig. 6.

Chemogenetic activation of microglia induces CD68 and inhibits Tph2 expression in the DRN. (A) Experimental timeline of injections and perfusions for histology in Cx3cr1-cre × hM3Dq mice. (B) Representative confocal images of Cx3cr1-GFP, HA, and overlay in the DRN. (C) Specificity of DREADD expression in microglia and % of microglia transduced with hM3Dq in the DRN. (D) Histogram of GFP and CD68 expression, (E) Cell density of 5-HT-fos double positive neurons, (F) Tph2 and 5-HT optical density and (G) neuronal density in the DRN of Cx3cr1-cre × hM3Dq and control (Cx3cr1-cre) mice following CNO injection. Correlation analysis between CD68 OD and (H) Tph2 optical density and (I) 5-HT neuronal density. Representative confocal images of (J)Tph2, (K) 5-HT, (L) CD68 and (M) GFP in control and DREADD-expressing mice after CNO. Scale bar = 50  $\mu$ m in panels B, L-M, and 100  $\mu$ m in panels J-K. \*p < 0.05, \*\*p < 0.01.