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## Plasma glial fibrillary acidic protein in autosomal dominant Alzheimer's disease: associations with $\beta$ -amyloid-PET, neurodegeneration and cognition

Pratishtha Chatterjee<sup>1,#</sup>, Lisa Vermunt<sup>2,#</sup>, Brian A. Gordon<sup>3</sup>, Steve Pedrini<sup>4</sup>, Lynn Boonkamp<sup>5</sup>, Nicola J. Armstrong<sup>6</sup>, Chengjie Xiong<sup>7</sup>, Abhay K. Singh<sup>8</sup>, Yan Li<sup>9</sup>, Hamid R. Sohrabi<sup>10</sup>, Kevin Taddei<sup>11</sup>, Mark P. Molloy<sup>12</sup>, Tammie L.S. Benzinger<sup>13</sup>, John C. Morris<sup>14</sup>, Celeste M. Karch<sup>15</sup>, Sarah B. Berman<sup>16</sup>, Jasmeer Chhatwal<sup>17</sup>, Carlos Cruchaga<sup>18</sup>, Neill R. Graff-Radford<sup>19</sup>, Gregory S Day<sup>20</sup>, Martin Farlow<sup>21</sup>, Nick C. Fox<sup>22</sup>, Alison M. Goate<sup>23</sup>, Jason Hassenstab<sup>24</sup>, Jae-Hong Lee<sup>25</sup>, Johannes Levin<sup>26</sup>, Eric McDade<sup>27</sup>, Hiroshi Mori<sup>28</sup>, Richard J. Perrin<sup>29</sup>, Raquel Sanchez-Valle<sup>30</sup>, Peter R. Schofield<sup>31</sup>, Allan Levey<sup>32</sup>, Mathias Jucker<sup>33</sup>, Colin L. Masters<sup>34</sup>, Anne M. Fagan<sup>35</sup>, Randall J. Bateman<sup>36</sup>, Ralph N. Martins<sup>37,\*</sup>, Charlotte E. Teunissen<sup>38,\*</sup>,

### Dominantly Inherited Alzheimer Network

<sup>1</sup>Macquarie Medical School, Macquarie University, North Ryde, NSW 2019, Australia; School of Medical Sciences, Edith Cowan University, Sarich Neuroscience Research Institute, Nedlands, WA 6009, Australia

<sup>2</sup>Neurochemistry Laboratory, Department of Clinical Chemistry, Amsterdam Neuroscience, programme Neurodegeneration, Amsterdam University Medical Centers, Vrije Universiteit, Amsterdam, The Netherlands

<sup>3</sup>Department of Radiology, Washington University School of Medicine, Saint Louis, MO, USA

<sup>4</sup>School of Medical Sciences, Edith Cowan University, Sarich Neuroscience Research Institute, Nedlands, WA 6009, Australia

<sup>5</sup>Neurochemistry Laboratory, Department of Clinical Chemistry, Amsterdam Neuroscience, programme Neurodegeneration, Amsterdam University Medical Centers, Vrije Universiteit, Amsterdam, The Netherlands

<sup>6</sup>Department of Mathematics & Statistics, Curtin University, Bentley, WA, Australia

<sup>7</sup>Knight Alzheimer's Disease Research Center, Washington University School of Medicine, St. Louis, MO, USA; Hope Center for Neurological Disorders, Washington University School of Medicine, St. Louis, MO, USA; Division of Biostatistics, Washington University School of Medicine, Saint Louis, MO, USA

<sup>8</sup>Macquarie Business School, Macquarie University, North Ryde, NSW, Australia

**Corresponding authors:** Dr Pratishtha Chatterjee, Macquarie Medical School, Macquarie University, 75 Talavera Road, NSW 2109, Australia. pratishtha.chatterjee@mq.edu.au.

#co-first authors

\*co-senior authors

<sup>9</sup>Department of Neurology, Washington University School of Medicine, St. Louis, MO, USA;  
Division of Biostatistics, Washington University School of Medicine, Saint Louis, MO, USA

<sup>10</sup>Department of Biomedical Sciences, Macquarie University, North Ryde, NSW 2019, Australia;  
School of Medical Sciences, Edith Cowan University, Sarich Neuroscience Research Institute,  
Nedlands, WA 6009, Australia; School of Psychiatry and Clinical Neurosciences, University  
of Western Australia, Crawley, WA, Australia; Australian Alzheimer's Research Foundation,  
Nedlands, WA, Australia; Centre for Healthy Ageing, Health Future Institute, Murdoch University,  
Murdoch, WA, Australia

<sup>11</sup>School of Medical Sciences, Edith Cowan University, Sarich Neuroscience Research Institute,  
Nedlands, WA 6009, Australia; Australian Alzheimer's Research Foundation, Nedlands, WA,  
Australia

<sup>12</sup>Bowel Cancer and Biomarker Laboratory, Kolling Institute, The University of Sydney, St  
Leonards, NSW, Australia; Australian Proteome Analysis Facility, Macquarie University, North  
Ryde, NSW, Australia

<sup>13</sup>Department of Radiology, Washington University School of Medicine, Saint Louis, MO, USA

<sup>14</sup>Knight Alzheimer's Disease Research Center, Washington University School of Medicine, St.  
Louis, MO, USA; Hope Center for Neurological Disorders, Washington University School of  
Medicine, St. Louis, MO, USA; Department of Neurology, Washington University School of  
Medicine, Saint Louis, MO, USA

<sup>15</sup>Department of Psychiatry, Washington University School of Medicine, Saint Louis, MO, USA

<sup>16</sup>University of Pittsburgh School of Medicine, Pittsburgh, PA, USA

<sup>17</sup>Massachusetts General Hospital, Harvard Medical School, Boston, MA, USA

<sup>18</sup>Knight Alzheimer's Disease Research Center, Washington University School of Medicine, St.  
Louis, MO, USA; Hope Center for Neurological Disorders, Washington University School of  
Medicine, St. Louis, MO, USA; Department of Psychiatry, Washington University School of  
Medicine, St. Louis, MO, USA

<sup>19</sup>Department of Neurology, Mayo Clinic Jacksonville, Jacksonville, FL, USA

<sup>20</sup>Department of Neurology, Mayo Clinic Jacksonville, Jacksonville, FL, USA

<sup>21</sup>Department of Neurology, Indiana University, Indianapolis, IN, USA

<sup>22</sup>Dementia Research Centre, Queen Square Institute of Neurology, University College London,  
London, UK

<sup>23</sup>Department of Genetics & Genomic Sciences, Icahn School of Medicine at Mount Sinai, New  
York, NY, USA

<sup>24</sup>Department of Neurology, Washington University School of Medicine, Saint Louis, MO, USA

<sup>25</sup>Department of Neurology, University of Ulsan College of Medicine, Asan Medical Center, 88  
Olympic-ro 43-gil, Songpa-gu, Seoul05505, Republic of Korea

<sup>26</sup>German Center for Neurodegenerative Diseases (DZNE), Munich, Germany; Department of Neurology, Ludwig-Maximilians-Universität München, Munich, Germany; Munich Cluster for Systems Neurology (SyNergy), Munich, Germany

<sup>27</sup>Department of Neurology, Washington University School of Medicine, Saint Louis, MO, USA

<sup>28</sup>Osaka Metropolitan University, Nagaoka Sutoku University, Osaka, Japan

<sup>29</sup>Department of Pathology and Immunology, Washington University School of Medicine, Saint Louis, MO, USA; Dominantly Inherited Alzheimer Network, Washington University School of Medicine, St. Louis, MO, USA; Knight Alzheimer's Disease Research Center, Washington University School of Medicine, St. Louis, MO, USA; Hope Center for Neurological Disorders, Washington University School of Medicine, St. Louis, MO, USA; Department of Neurology, Washington University School of Medicine, Saint Louis, MO, USA

<sup>30</sup>Alzheimer's Disease and other Cognitive Disorders Unit, Neurology Service, Hospital Clinic, Barcelona, Spain

<sup>31</sup>Neuroscience Research Australia, Sydney, New South Wales, Australia; School of Medical Sciences, University of New South Wales, Sydney, New South Wales, Australia

<sup>32</sup>Department of Neurology, Emory University, Atlanta, GA, USA

<sup>33</sup>German Center for Neurodegenerative Diseases (DZNE), Tübingen, Germany. Department of Cellular Neurology, Hertie Institute for Clinical Brain Research, University of Tübingen, Tübingen, Germany

<sup>34</sup>The Florey Institute of Neuroscience and Mental Health, Melbourne, Victoria, Australia; University of Melbourne, Melbourne, Victoria, Australia

<sup>35</sup>Knight Alzheimer's Disease Research Center, Washington University School of Medicine, St. Louis, MO, USA; Hope Center for Neurological Disorders, Washington University School of Medicine, St. Louis, MO, USA; Department of Neurology, Washington University School of Medicine, Saint Louis, MO, USA

<sup>36</sup>Dominantly Inherited Alzheimer Network, Washington University School of Medicine, St. Louis, MO, USA; Department of Neurology, Washington University School of Medicine, Saint Louis, MO, USA

<sup>37</sup>Macquarie Medical School, Macquarie University, North Ryde, NSW 2109, Australia; School of Medical Sciences, Edith Cowan University, Sarich Neuroscience Research Institute, Nedlands, WA 6009, Australia; The Cooperative Research Centre for Mental Health, Carlton South, Australia; KaRa Institute of Neurological Disease, Sydney, Macquarie Park, Australia; Australian Alzheimer's Research Foundation, Nedlands, WA, Australia

<sup>38</sup>Neurochemistry Laboratory, Department of Clinical Chemistry, Amsterdam Neuroscience, programme Neurodegeneration, Amsterdam University Medical Centers, Vrije Universiteit, Amsterdam, The Netherlands

## Abstract

**Background:** Glial fibrillary acidic protein (GFAP) is a promising candidate blood-based biomarker for Alzheimer's disease (AD) diagnosis and prognostication. The timing of its disease-associated changes, its clinical correlates, and biofluid-type dependency will influence its clinical utility.

**Methods:** We evaluated plasma, serum, and CSF GFAP in families with autosomal dominant AD (ADAD), leveraging the predictable age at symptom onset to determine changes by stage of disease.

**Results:** Plasma GFAP elevations appear a decade before expected symptom onset, after  $\beta$ -amyloid accumulation and prior to neurodegeneration and cognitive decline. Plasma GFAP distinguished  $\beta$ -amyloid-positive from  $\beta$ -amyloid-negative ADAD participants and showed a stronger relationship with  $\beta$ -amyloid load in asymptomatic than symptomatic ADAD. Higher plasma GFAP was associated with the degree and rate of neurodegeneration and cognitive impairment. Serum GFAP showed similar relationships, but these were less pronounced for CSF GFAP.

**Conclusion:** Our findings support a role for plasma GFAP as a clinical biomarker of A $\beta$  related astrocyte reactivity that is associated with cognitive decline and neurodegeneration.

## Introduction

The use of cerebrospinal fluid (CSF) biomarkers and positron emission tomography (PET) tracers for  $\beta$ -amyloid (A $\beta$ ) and tau has helped overcome the need for histopathological confirmation of the core Alzheimer's disease (AD) hallmarks, namely A $\beta$  plaques and neurofibrillary tangles, enabling reliable *ante-mortem* diagnosis<sup>1</sup>. However, the invasiveness and cost associated with CSF and PET biomarkers limit their use – especially in resource poor settings. Blood-based biomarkers are less invasive, lower in cost and have wide applicability. Plasma glial fibrillary acidic protein (GFAP) has potential clinical utility for diagnosis and prognostication in AD<sup>2-4</sup>.

GFAP contributes to cell structure maintenance as one of the cytoskeletal proteins in astrocytes and is upregulated in reactive astrocytes<sup>5</sup>. Reactive astrocytes have been observed surrounding A $\beta$  plaques and can drive neurodegeneration in AD<sup>5-8</sup>. GFAP expression in brain tissue is associated with A $\beta$  plaque density<sup>9-11</sup>. In addition, evidence of high monoamine oxidase B (MAO-B) PET signal reflecting activated astrocytes has been reported in asymptomatic autosomal dominant AD (ADAD), and prodromal and early symptomatic sporadic AD<sup>10,12-15</sup>. Astrocyte activity visualised by MAO-B PET is elevated in asymptomatic ADAD but steadily declines approaching symptom onset, and is correlated with cortical thickness in asymptomatic ADAD<sup>13,14</sup>. MAO-B PET signal has also been reported to be significantly higher in the APP<sup>swe</sup> AD transgenic mouse model at 6 months compared with older mice, while GFAP levels in brain, as indicators of astrocyte reactivity, were higher at the later disease stages<sup>16</sup>.

It has been posited that reactive astrocytes release GFAP, either directly or through perivascular glymphatics, into blood via astrocyte end-feet encompassing brain capillaries<sup>17-19</sup>. Indeed, circulating levels of plasma and serum GFAP are elevated in sporadic preclinical AD, prodromal AD and AD dementia<sup>19-24</sup>, wherein higher plasma GFAP

along the AD continuum appear to be driven by brain A $\beta$  load <sup>19,22,24</sup> suggesting that plasma GFAP is a marker of A $\beta$  related reactive astrogliosis. Plasma or serum GFAP levels have a positive association with brain A $\beta$  load, and cerebral atrophy, and a negative association with cognitive function, in different stages along the sporadic AD continuum <sup>19–23</sup>, suggesting that plasma GFAP is a promising biomarker in AD that is consistently associated with AD related pathology and disease progression. To determine the clinical utility of GFAP in AD, the timing of GFAP elevation across the disease trajectory, its clinical correlates, and its matrix-dependency must be understood.

The study of GFAP in ADAD is particularly suited for this purpose. Previous biomarker studies in ADAD have provided important insights into the sequence of biomarker changes and clinical events in AD <sup>25,26</sup>. Due to the heritable age at symptom onset, it is possible to determine an ADAD mutation carrier's estimated time (years) to symptom onset (EYO). Employing EYO will provide insight into the trajectory of GFAP from the earliest stage of the disease and will aid investigate the temporal order of GFAP changes with respect to brain A $\beta$  load, neurodegeneration, and cognitive decline. In addition, the typically young age at onset of ADAD means relatively little confounding age-related co-pathology compared with sporadic AD, providing an assessment of the biomarker changes that are specifically related to AD pathogenesis.

In the current study, we compared GFAP concentrations between ADAD mutation carriers and non-carrier siblings and determined when disease-related changes in GFAP occurred across the disease trajectory utilising EYO. We investigated whether GFAP was also associated with brain A $\beta$  load, neurodegeneration and cognitive and functional performance in ADAD. Lastly, comparisons between plasma, serum and CSF, were investigated.

## Methods

### Participants

Participants were from the Dominantly Inherited Alzheimer Network (DIAN) cohort <sup>25</sup> that comprises biological offspring of individuals carrying an ADAD mutation (in the *amyloid precursor protein (APP)*, *presenilin 1 (PSEN1)* or *presenilin 2 (PSEN2)* genes) thus having 50% chance of inheriting the mutation. The presence or absence of an ADAD mutation was confirmed using PCR-based amplification of the relevant exon, followed by Sanger sequencing. Given the complete penetrance of ADAD mutations and the relatively consistent age at symptom onset for mutation carriers within each family, an estimated time to symptom onset in years (EYO) was calculated for each participant (mutation carriers and their non-carrier siblings) based on the difference between each participant's age and the average age of onset for the specific mutation <sup>27</sup>. EYO for symptomatic participants (Clinical Dementia Rating; CDR>0) was defined as the difference between the age at clinical assessment and reported age of actual symptom onset. Participants underwent comprehensive clinical assessments, neuroimaging, and blood and CSF collection after fasting overnight, however, at each visit, each participant may not have completed all procedures. Participants with the Dutch mutation (APP Glu693Gln mutation) were excluded from the study because they manifest an atypical clinical syndrome <sup>28</sup>. Plasma samples were available from 86 mutation non-carriers and 98 mutation carriers (DIAN data freeze

13, DIAN request T1605), and serum and CSF samples were available from 30 mutation non-carriers and 30 mutation carriers (DIAN data freeze 15, DIAN request T2010). Twelve overlapping samples were available from DIAN request T1605 (plasma) and DIAN request T2010 (serum/CSF). Samples utilised were based on availability from the DIAN biobank. Serum and CSF samples were paired. The study was approved by the Human Research Ethics Committees (HREC) of Macquarie University, Edith Cowan University, and Ramsay Health Care WA|SA HREC in Australia, and Washington University in St. Louis, USA. All participants provided written informed consent.

### Clinical assessments

Participants underwent standardised comprehensive clinical assessments. The Clinical Dementia Rating (CDR®) scale was used to determine the dementia stage wherein CDR=0 was rated as cognitively normal, CDR=0.5 as very mild dementia, CDR=1 as mild dementia and CDR=2 as moderate dementia<sup>29</sup>. The primary measures used to examine global cognitive abilities were the Mini-Mental State Examination (MMSE; range between 0 to 30 indicating severe impairment to no impairment)<sup>30</sup> and CDR-Sum of Boxes (CDR-SOB; range between 0 to 18 indicating no dementia to severe dementia). Participants also underwent a comprehensive battery of neuropsychological tests assessing general cognition, as well as specific cognitive domains such as memory, executive function, language and attention<sup>31</sup>. A global cognitive composite was generated from the average of the z-scores of the Logical Memory delayed recall, word list learning delayed recall, Digit Symbol and MMSE<sup>32</sup>.

### Neuroimaging

Cortical A $\beta$  deposition, glucose metabolism and thickness/hippocampal volume were assessed using <sup>11</sup>C Pittsburgh Compound B (PiB)-PET, <sup>18</sup>F FDG-PET, and T1-weighted MRI scans, respectively, and consistency between all DIAN sites was maintained using standard procedures<sup>33</sup>. Briefly, the <sup>11</sup>C PiB-PET imaging was conducted over as a 70 min dynamic scan, following ~13 mCi of PiB intravenous bolus. The <sup>18</sup>F FDG-PET imaging was conducted over 30 min, acquired after 30 min of ~5 mCi of FDG intravenous bolus. The T1 magnetic resonance sequence was acquired on 3T scanners with repetition time=23,000, echo time=2.95 and resolution=1.0×1.0×1.2 mm<sup>3</sup>. The <sup>11</sup>C PiB-PET and <sup>18</sup>F FDG-PET standard uptake value ratios (SUVRs) were obtained using FreeSurfer software (<http://surfer.nmr.mgh.harvard.edu/>). Region of interest data was corrected for partial volume effects using a geometric transfer matrix<sup>34</sup>, and the total cerebellum gray matter was used as the reference region to calculate SUVR. The hippocampal volume was averaged between left and right hemispheres, and normalized utilising intracranial volume.

### Measurement of plasma, serum, and cerebrospinal fluid GFAP

EDTA plasma, serum and CSF GFAP concentrations were measured employing the ultra-sensitive single-molecule array (Simoa) platform utilising the Neurology 4-Plex E kit (QTX-103670, Quanterix, Billerica, MA) wherein calibrators and quality controls were run in duplicates and samples were run in singlicates. All plasma samples had undergone two extra freeze thaw (FT) cycles, and serum and CSF samples underwent one extra FT cycle prior to GFAP measurement. Plasma GFAP was measured at Edith Cowan University,

Australia, and serum and CSF GFAP were measured at Amsterdam University Medical Centres, the Netherlands. The CV% of quality control samples run in duplicate were <5% in both laboratories. The analytical lowest limit of quantification was 11.6 pg/ml for GFAP.

### Statistical analyses

Participant characteristics were presented as mean±SD for continuous variables and n (%) for categorical variables. P values for comparing the differences among non-carriers, asymptomatic mutation carriers and symptomatic mutation carriers were obtained using linear mixed-effects models (LMEMs) for continuous variables and generalized LMEMs with a logistic link for categorical variables. These models included a random intercept for participants from the same family. A cortical <sup>11</sup>C PiB-PET SUVR=1.25 was utilised as the cut-off for presence of aberrant cortical Aβ load (Aβ+) <sup>26</sup>.

Plasma GFAP levels were estimated as a function of EYO using non-linear mixed effect models. Potential non-linear effects were accounted for by modelling EYO as a restricted cubic spline with knots at the 0.10, 0.50, and 0.90 quantiles <sup>33</sup>. The non-linear mixed effect models for the plasma biomarkers included as fixed effects the mutation status and the linear EYO component; the cubic EYO component; the linear EYO by mutation status interaction; the cubic EYO by mutation status interaction; and a random intercept for family effect. All models were estimated using an opensource package for Hamiltonian Markov chain Monte Carlo analyses, using R, as used previously <sup>35,36</sup>. From this approach a distribution of parameter estimates across iterations is achieved that allows for the estimation of the credible intervals of the model fits at every EYO for non-carriers and mutation carriers, and the distribution of the 99% difference between non-carriers and mutation carriers. The first EYO point where the 99% credible intervals of the difference curve between non-carriers and mutation carriers did not include zero was considered to be the EYO at which the non-carriers and mutation carriers differed. Analyses for brain Aβ load, hippocampal volume, precuneus thickness and cognitive measures as well as for serum and cerebrospinal GFAP were carried out utilising the same method.

Kruskal-Wallis tests followed by pairwise comparisons were used to compare plasma GFAP by Aβ PiB-PET quartile and across clinical progression in mutation carriers. Logistic regression using absence or presence of aberrant brain Aβ load as response (Aβ-/+) was used to evaluate classification models, and receiver operating characteristic (ROC) curves were constructed from the logistic scores within mutation carriers.

The cross-sectional relationships of GFAP with brain Aβ load, hippocampal volume, precuneus thickness, precuneus glucose metabolism, global cognitive composite, MMSE and CDR-SOB were evaluated using LMEMs adjusting for sex (and education for cognition) with family as a random effect. For sensitivity analyses, we tested the effect of additional age-adjustment in the models for the cross-model relationships. Age-adjustment is notoriously difficult to interpret in the context of ADAD, because age is highly correlated with disease stage. Therefore age-adjustment can within the mutation carriers be an unintended adjustment for disease stage instead of for general aging effects, due to the correlation of EYO and age in the mutation carriers. Within the mutation carriers, associations between plasma GFAP levels and subsequent neurodegeneration, cerebral

glucose metabolism, cognitive and functional performance (as continuous variables) were evaluated using LMEMs adjusting for age and sex (and education for cognition) with family as a random effect and individual random slopes. For the visualization in Figure 4, two groups within the mutation carriers were created based on the Youden index cut-off for A $\beta$  positivity. Assumptions of LMEMs were checked, and plasma/serum/CSF GFAP was natural log transformed. Spearman's correlation coefficient was used to investigate correlations between serum and CSF GFAP (n=60), plasma and serum GFAP (n=12) and plasma and CSF GFAP (n=12). Analyses were conducted using R (v4.0.4) and IBM SPSS (v27). P<.05 was considered to be statistically significant; all statistical tests were two-tailed.

## Results

We utilised samples from the global multi-site Dominantly Inherited Alzheimer Network (DIAN) cohort comprising adults at risk of, or having, symptomatic AD due to a confirmed ADAD mutation in their family. The participants comprise mutation carriers and non-carriers. In total, 184 plasma GFAP levels were analysed, as well as 60 paired serum and CSF samples (including 12 matched to plasma samples). Participant demographics, imaging measures, clinical assessments, and plasma, serum and CSF GFAP concentrations are presented in Table 1. Mean ( $\pm$ standard deviation) EYO and age of participants were  $-11 (\pm 12)$  and  $37 (\pm 12)$  years, respectively, for plasma samples. Mean EYO and age of participants was  $-7 (\pm 13)$  and  $40 (\pm 12)$  years, respectively, for serum and CSF samples. All characteristics were significantly different among the non-carrier, asymptomatic mutation carrier and symptomatic mutation carrier groups except for the Apolipoprotein E (*APOE*)  $\epsilon 4$  carrier frequency. No differences in plasma GFAP levels among *APP*, *PSEN1* and *PSEN2* mutation carriers were observed (Supplementary Figure 1).

### Plasma GFAP between mutation carriers and non-carriers

Plasma GFAP levels were higher in symptomatic mutation carriers compared with non-carriers (mean difference (95% Confidence Interval (CI)): 125 pg/mL (116 – 134); P<.0001) and asymptomatic mutation carriers (mean difference (95% CI): 107 pg/mL (100 – 114); P<.0001; Supplementary Figure 2). Plasma GFAP levels were higher in asymptomatic mutation carriers compared with non-carriers (mean difference (95% CI): 18 pg/mL (16 – 19); P=.035).

When stratifying the asymptomatic mutation carriers by A $\beta$ -/+ status, plasma GFAP was higher in symptomatic mutation carriers compared with A $\beta$ + asymptomatic mutation carriers (mean difference (95% CI): 80 pg/mL (78 – 83); P=.002) and A $\beta$ - asymptomatic mutation carriers (mean difference (95% CI): 124 pg/mL (123 – 126); p<.0001). Plasma GFAP was higher in A $\beta$ + asymptomatic mutation carriers compared with non-carriers (mean difference (95% CI): 45 pg/mL (33 – 56); P=.0003) and compared with A $\beta$ - asymptomatic mutation carriers (mean difference (95% CI): 44 (40 – 48); P=.002), however, no significant difference was observed between A $\beta$ - asymptomatic mutation carriers and non-carriers (Figure 1a).

An EYO was calculated for each participant based on the difference between each participant's age and the average age of symptom onset for the specific mutation in

that family for both mutation carriers and non-carriers<sup>27</sup>. When investigating plasma GFAP as a function of EYO in mutation carriers versus non-carriers, plasma GFAP was significantly higher in mutation carriers compared with non-carriers at -10.0 EYO (Figure 1b, Supplementary Figure 3). Using the same methods to estimate the sequence of events, we found that the divergence of plasma GFAP between mutation carriers and non-carriers lies between aberrant A $\beta$  accumulation (EYO -18.4) and cognitive decline and structural neurodegeneration (EYO -7.9 to -4.2, Figure 1c).

### **Association of plasma GFAP with A $\beta$ -PET and clinical progression in ADAD**

In the mutation carriers, we observed a significant association between plasma GFAP and brain A $\beta$  load ( $\beta=0.66$ ,  $P<.0001$ ). Upon stratifying mutation carriers by absence/presence of symptoms, the association of plasma GFAP levels with brain A $\beta$  load was highly significant in the asymptomatic mutation carriers ( $\beta=0.57$ ,  $P<.0001$ ) but not in the symptomatic mutation carriers (Supplementary Table 1A, Figure 2). Additional adjustment for age did not have a major effect on these associations (Supplementary Table 1B). When stratifying this progression purely based upon cortical PiB-PET quartiles (Q1 1.072, 1.072<Q2 1.264, 1.264<Q3 2.105) in mutation carriers, GFAP levels in PiB-PET quartiles 3 and 4 were significantly higher than GFAP levels in PiB-PET quartiles 1 and 2 (Figure 3a). This could be attributed to all participants in Q3 and Q4 meeting the A $\beta$ + threshold.

Using ROC curves, plasma GFAP levels classified absence/presence of aberrant brain A $\beta$  load (A $\beta$ -/+ , PiB-PET SUVR 1.25<sup>26</sup>) within the entire mutation carrier group with an area under the curve (AUC)=84% (95% CI:74%-93%) (Figure 3b). In the asymptomatic mutation carrier subset, GFAP was observed to have an AUC=77% (95% CI:63%-91%) for distinguishing between A $\beta$ -/+ status (Figure 3c), similar to sporadic preclinical AD<sup>23</sup>. Sensitivity and specificity along with model diagnostics (including optimal cut-off, accuracy, negative predictive value, and positive predictive value) are provided in Supplementary Table 2.

Additionally, we investigated plasma GFAP levels across clinical progression in mutation carriers, spanning the A $\beta$ - cognitively normal status, A $\beta$ + cognitively normal status and CDR>0 symptomatic stages. Plasma GFAP levels increased with the onset of A $\beta$  pathology in the asymptomatic stage and these levels increased further with disease severity (Figure 3d).

### **Cross-sectional association of plasma GFAP with neurodegeneration, cerebral glucose metabolism, cognitive and functional performance in ADAD**

Associations of plasma GFAP with hippocampal volume ( $\beta=-0.47$ ,  $P<.0001$ ), precuneus thickness ( $\beta=-0.47$ ,  $P<.0001$ ), precuneus FDG-PET ( $\beta=-0.22$ ,  $P=.004$ ), a cognitive composite ( $\beta=-0.53$ ,  $P<.0001$ ), MMSE ( $\beta=-0.46$ ,  $P<.0001$ ) and CDR-SOB ( $\beta=0.55$ ,  $P<.0001$ ) were observed after adjusting for sex (and education for cognition; Figure 2, Supplementary Table 1). Within the mutation carriers, similar associations were observed ( $\beta$  between 0.27 and 0.66), while in the non-carriers no significant relationships were observed between GFAP and these markers ( $\beta$  between 0 and 0.12). As a sensitivity analysis, we

adjusted the models for age. When adjusting for age, plasma GFAP levels and FDG-PET SUVR were no longer associated; all other associations persisted (Supplementary Table 1).

### **Association of plasma biomarkers with subsequent neurodegeneration, cerebral glucose hypometabolism, and cognitive and functional decline in ADAD**

Prospective analyses were performed to investigate whether plasma GFAP was associated with subsequent neurodegeneration, cerebral glucose hypometabolism, cognitive and functional decline in mutation carriers with longitudinal MR (n=36), FDG-PET (n=36), cognitive composite (n=36), MMSE (n=38) and CDR-SOB (n=38) data available. We observed that GFAP was predictive of future hippocampal atrophy (Unstandardised beta (B) in all mutation carriers =  $-0.20$ ,  $P=.013$ ), cortical thinning ( $B= -0.04$ ,  $P=.001$ ) and cognitive and functional decline based on performance on the MMSE ( $B= -0.72$ ,  $P=.041$ ) and CDR-SOB ( $B= 0.57$ ,  $P=.013$ ) adjusting for age and sex (and education for cognition) (Supplementary Table 3, Figure 4). Plasma GFAP was not significantly associated with subsequent glucose hypometabolism represented by FDG-PET. Similar analyses were not performed for changes in brain A $\beta$  load due to limited data.

### **Serum and CSF GFAP in ADAD**

Serum and plasma GFAP collected from twelve overlapping participants yielded very similar levels, that were strongly correlated between these blood matrices (Spearman's  $Rho=0.902$ ,  $P<.0001$ ; Figure 5a). CSF GFAP levels showed moderate correlations with the blood matrices (Spearman's  $Rho=0.64-0.65$ ,  $P<.005$ ; Figure 5b-c). In line with the plasma results, serum GFAP was higher in symptomatic (mean difference (95% CI): 81 pg/mL (73 – 88)) and asymptomatic mutation carriers (mean difference (95% CI): 26 pg/mL (25 – 27)) compared with non-carriers (Figure 5d). CSF GFAP was only higher in symptomatic mutation carriers (mean difference (95% CI): 3845 pg/mL (2811 – 4880)) compared with non-carriers (Figure 5e). Serum, but not CSF, GFAP trajectory diverged between mutation carriers and non-carriers at EYO  $-10.2$  (Supplementary Figure 3).

Serum GFAP ( $\beta=0.38$ ,  $P=.002$ ), but not CSF GFAP ( $\beta=0.15$ ,  $P=.27$ ), was associated with brain A $\beta$  load, however, after stratifying for mutation carrier status, did not reach statistical significance thresholds ( $\beta=0.32$ ,  $P=.079$ ). Serum GFAP was more strongly associated with neurodegeneration (hippocampal volume  $\beta=-0.46$ ,  $P=.001$ ; precuneus thickness  $\beta=-0.36$ ,  $P=.009$ ); cerebral glucose metabolism ( $\beta=-0.35$ ,  $P=.008$ ); cognition (cognitive composite  $\beta=-0.59$ ,  $P<.001$ ; MMSE  $\beta=-0.45$ ,  $P<.001$ ) and functional (CDR-SOB  $\beta=0.46$ ,  $P<.001$ ) performance in all participants than CSF GFAP (hippocampal volume  $\beta=-0.39$ ,  $P=.002$ ; precuneus thickness  $\beta=-0.28$ ,  $P=.040$ ; cognitive composite  $\beta=-0.34$ ,  $P=.006$ ; MMSE  $\beta=-0.29$ ,  $P=.019$ ; CDR-SOB  $\beta=0.28$ ,  $P=.021$ , Supplementary Tables 4 and 5). Similar observations were found in the mutation carrier subset. Associations between serum, but not CSF, GFAP and hippocampal volume ( $\beta=-0.50$ ,  $P=.006$ ), cognitive composite ( $\beta=-0.37$ ,  $P=.004$ ), and CDR-SOB ( $\beta=0.35$ ,  $P=.029$ ) persisted after correcting for age in mutation carriers.

## Discussion

The main findings from the current study are that plasma GFAP levels (i) were elevated in ADAD mutation carriers relative to non-carriers 10 years prior to symptom onset, which was after aberrant A $\beta$  accumulation but prior to cognitive decline and structural neurodegeneration; (ii) differentiated cortical A $\beta$  PET+ from A $\beta$  PET- in all mutation carriers and in the asymptomatic mutation carrier subset with AUCs of 84% and 77% respectively; (iii) were associated with brain A $\beta$  load more strongly in the asymptomatic stage than in the symptomatic stage; (iv) were associated with cerebral atrophy and worse cognition in mutation carriers; (v) were associated with subsequent hippocampal atrophy, cortical thinning and cognitive decline in mutation carriers; and (vi) had a similar AD-related elevation pattern to serum GFAP but was much more pronounced compared to CSF GFAP.

Elevated plasma GFAP in mutation carriers compared with non-carriers was observed around 10 years prior to expected symptom onset and is consistent with studies reporting higher plasma GFAP in A $\beta$  PET defined preclinical sporadic AD<sup>21,23,37-39</sup>. Elevation in plasma GFAP relative to other biomarker and clinical events was observed after aberrant A $\beta$  accumulation and before neurodegeneration and cognitive decline. This is in line with studies in transgenic AD mouse models showing that astrocyte reactivity in the presence of A $\beta$  pathology may drive disease progression in AD<sup>8,11,40,41</sup>. In addition, reports on the high discriminative performance of plasma GFAP for A $\beta$  PET +/- individuals with AUCs ranging between 76% to 81% within the sporadic AD continuum<sup>21,23,37-39</sup> corroborate our observations in ADAD (AUCs 77%-84%), highlighting the commonalities between sporadic AD and ADAD, and the utility of ADAD as a model to explore biomarker trajectories in sporadic AD<sup>42</sup>.

It is well established that astrocytes respond dynamically to AD pathology by becoming reactive wherein, reactive astrocytes undergo morphological, molecular and functional changes in response to AD pathology with marked changes in GFAP expression reported in AD patients<sup>40,43</sup>. In line with this, in the current study, we observed higher plasma GFAP levels with increasing brain A $\beta$  load in ADAD mutation carriers and significantly higher A $\beta$ -PET signal prior to significantly higher plasma GFAP in ADAD mutation carriers, compared with non-carriers, suggesting that plasma GFAP is a marker of A $\beta$  related reactive astrogliosis. It could be posited that different markers reflect different states of astrocytes within the different stages of the ADAD pathogenesis trajectory<sup>16</sup>. Therefore, future studies are needed to provide insight into the relationship of different astrocyte markers with astrocyte reaction within the different stages of the ADAD pathogenesis trajectory, in addition to investigating the association between plasma GFAP, brain GFAP and MAO-B PET signal.

In the current study, we also observed higher plasma GFAP levels with advancing clinical progression (plasma GFAP in MC with CDR = 1 > MC with CDR=0.5 > A $\beta$ + MC with CDR=0 > A $\beta$ - MC with CDR=0). This is in line with a previous study on plasma GFAP in the AD continuum, wherein median levels were higher in individuals with a more advanced clinical diagnosis<sup>19</sup>. Similar to plasma/serum GFAP levels, CSF GFAP was also observed to

be elevated in the mutation carriers; however, in line with previous reports, this relationship was specific to the dementia stage of AD, <sup>44,45</sup> or when both A $\beta$  and tau PET biomarkers were positive <sup>19,46</sup>. Taken together, these findings suggest that plasma GFAP (but not CSF GFAP) may serve as an early biomarker of AD associated neuropathological changes. It could therefore be speculated that plasma GFAP is more closely associated with astrocyte reactivity because of A $\beta$  accumulation, whilst CSF GFAP is more reflective of reactive astrogliosis due to advanced neurodegeneration <sup>11</sup>. Further, given that astrocyte end feet encompass brain capillaries, it could be posited that blood matrices are more sensitive to astrocyte reaction in AD than the CSF <sup>40</sup>. Future studies employing stable isotope labelling kinetics, animal and/or cell models are required to explore the release and turnover of GFAP in different matrices in AD.

In line with our observations, plasma GFAP levels have been reported to be positively associated with cognitive dysfunction and cerebral atrophy <sup>21,47,48</sup>. These clinical observations fit well with pathology studies that show a gradual increase of GFAP levels in the brain in relation to AD severity <sup>49,50</sup>. Plasma GFAP levels have also been reported to associate with longitudinal cognitive decline and cerebral atrophy, which is confirmed in our study, <sup>51–54</sup> and higher incident dementia risk <sup>39,47</sup>. Thus, familial, clinical, and population-based studies suggest that increased plasma or serum GFAP levels not only associate with, but also predict, disease progression in AD.

A limitation of this study involves the inclusion of a modest A $\beta$ -PET imaged sample size subset, particularly among the symptomatic mutation carriers. For CSF samples, due to a modest sample size of mutation carriers (n=30), subtle effects could have been missed. However, the sample size was identical to that of serum, which showed similar relations as plasma. GFAP levels have been shown to be sensitive to freeze-thaw cycles in CSF but not in plasma/serum <sup>55,56</sup>. However, this is unlikely to affect our results, because both, mutation carrier and non-carrier samples, underwent the same freeze-thaw cycles, and stronger blood-matrix than CSF effects with respect to A $\beta$  pathology is supported by earlier work <sup>19</sup>. It is also important to note that the analysis of plasma biomarker changes as a function of EYO may be influenced by sample size. Therefore, interpretation of the EYO at which plasma GFAP changes were observed in this study should be considered relative to other markers in the sequence of events of ADAD. Additionally, GFAP is a putative marker of astrocyte reactivity, and it has been reported to be associated with various pathological conditions such as traumatic brain injury<sup>57,58</sup>, major depressive disorder<sup>59,60</sup> and thyroid dysfunction<sup>61</sup>, although further confirmatory studies are required. Recent studies also show that other putative blood-based biomarkers for AD, such as p-tau181 and p-tau217, or for neurodegeneration, such as NFL, are associated with other comorbidities <sup>62,63</sup>. Therefore, it is possible to find abnormal plasma GFAP levels in some participants irrespective of mutation status at an earlier EYO. It is also important to note that while GFAP is a putative astrocyte reactivity marker in the literature <sup>64</sup>, not all astrocytes produce GFAP <sup>65–70</sup>. Further, given that *PSEN1* mutations before or after codon 200 position are known to affect the balance of parenchymal versus vascular A $\beta$  burden <sup>71,72</sup>, pilot findings on stratifying *PSEN1* mutation carriers based on mutation position before or after codon 200 or the mutation type (*PSEN1* or *APP*) showed that plasma GFAP levels are significantly elevated in the following order: *PSEN1* MC before codon 200 followed by *PSEN1* MC after

codon 200 followed by *APPMC*, compared with non-carriers (Supplementary Figure 4), however, given the modest sample size of the subgroups, further confirmatory studies are required. Future studies with longitudinal GFAP measures are required to (i) calculate the rate of change of GFAP and how early its levels begin to differ between mutation carriers and non-carriers, (ii) investigate whether the rate of change of GFAP improves the predictive value for future decline and, (iii) investigate true longitudinal changes within individuals.

To conclude, findings from the current study indicate that plasma, serum and CSF GFAP are elevated in ADAD and, suggest that plasma GFAP is a marker of A $\beta$  related astrocyte reactivity that is associated with cognitive decline and neurodegeneration.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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**Highlights**

1. Plasma GFAP elevations appear a decade before expected symptom onset in ADAD
2. Plasma GFAP was associated to amyloid positivity in asymptomatic ADAD
3. Plasma GFAP increased with clinical severity and predicted disease progression
4. Plasma and serum GFAP carried similar information in ADAD, while CSF GFAP did not

### Research in context

**Systematic review:**

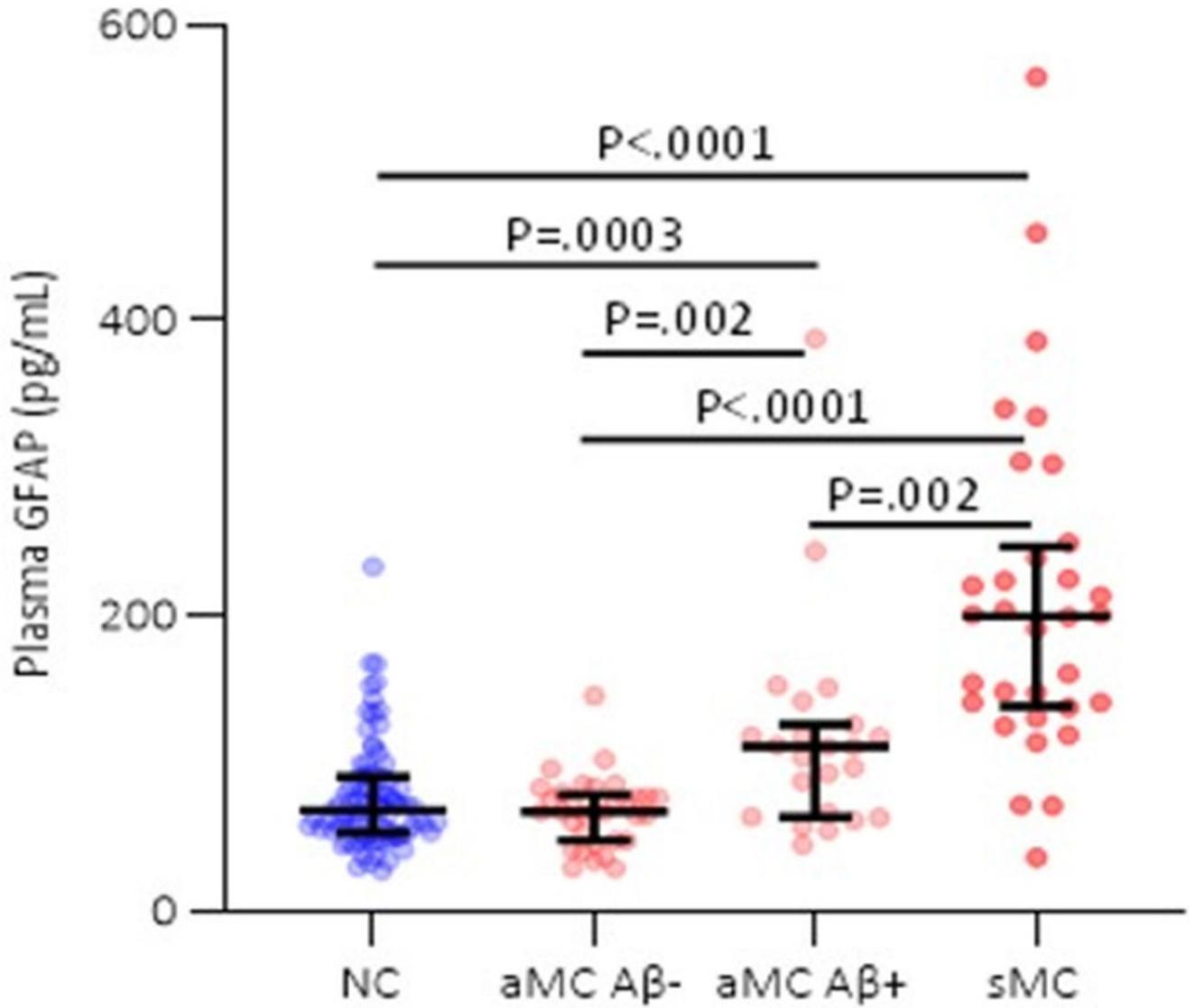
The authors reviewed the literature using PubMed. Studies on plasma glial fibrillary acidic protein (GFAP), a putative plasma biomarker of Alzheimer's disease (AD), in autosomal dominant AD (ADAD) are lacking.

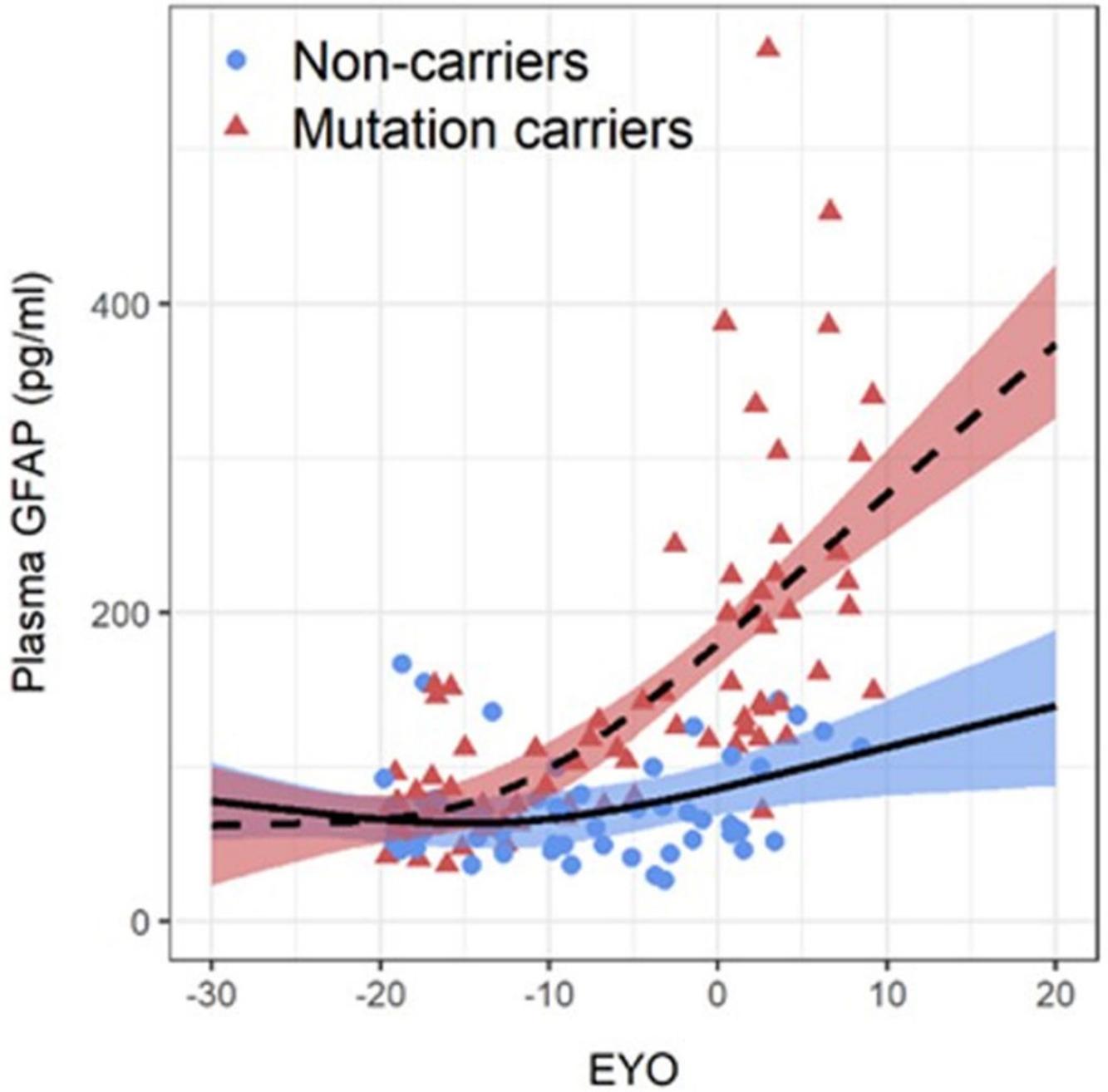
**Interpretation:**

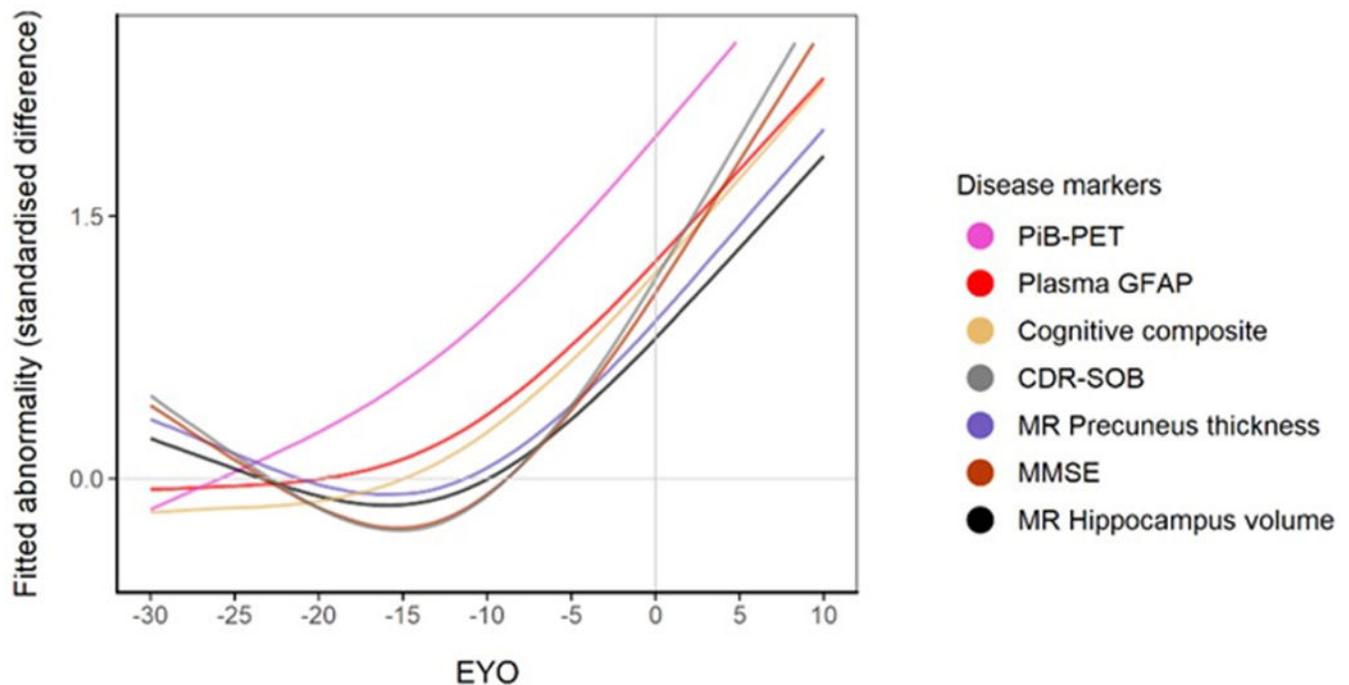
In ADAD, plasma GFAP elevations appear prior to symptom onset, after  $\beta$ -amyloid accumulation and prior to neurodegeneration and cognitive decline. Plasma GFAP levels were related to disease progression. Serum GFAP showed similar relationships, but these were less pronounced for CSF GFAP. Our findings aid the interpretation of plasma GFAP levels in sporadic AD, and support its role as clinical biomarker in AD.

**Future directions:**

Longitudinal GFAP measures are required to (i) calculate the rate of change of GFAP and how early its levels begin to differ between mutation carriers and non-carriers and (ii) investigate whether the rate of change of GFAP improves the predictive value for future decline.

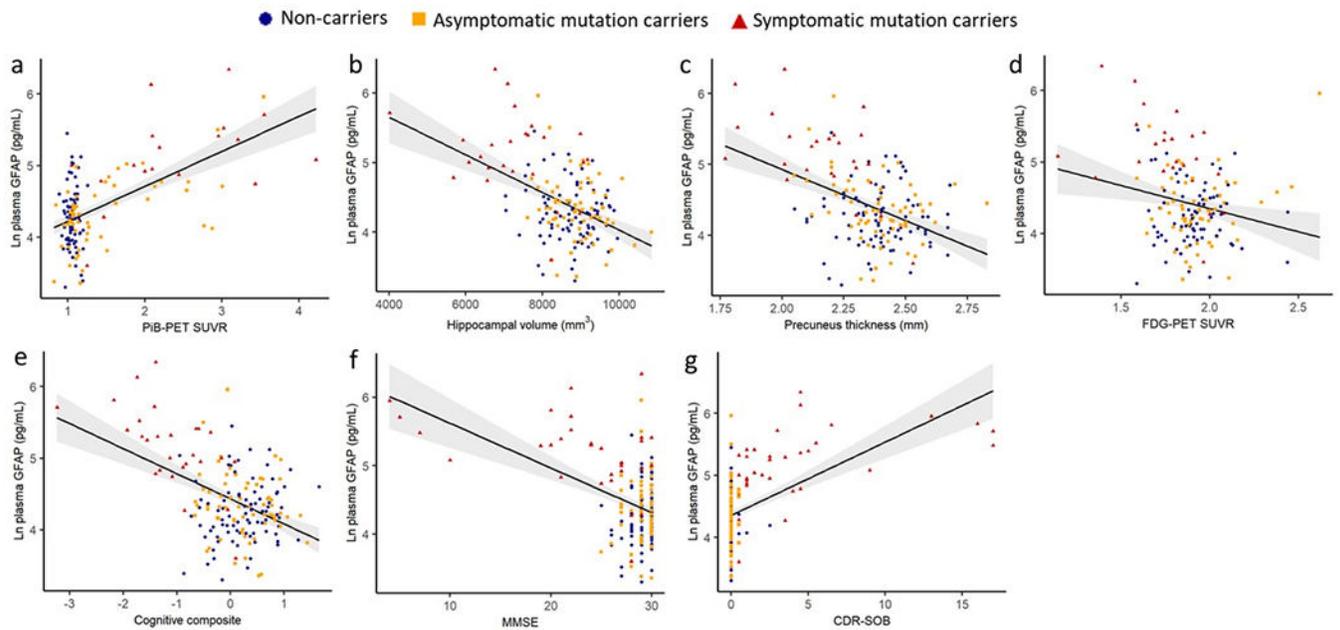






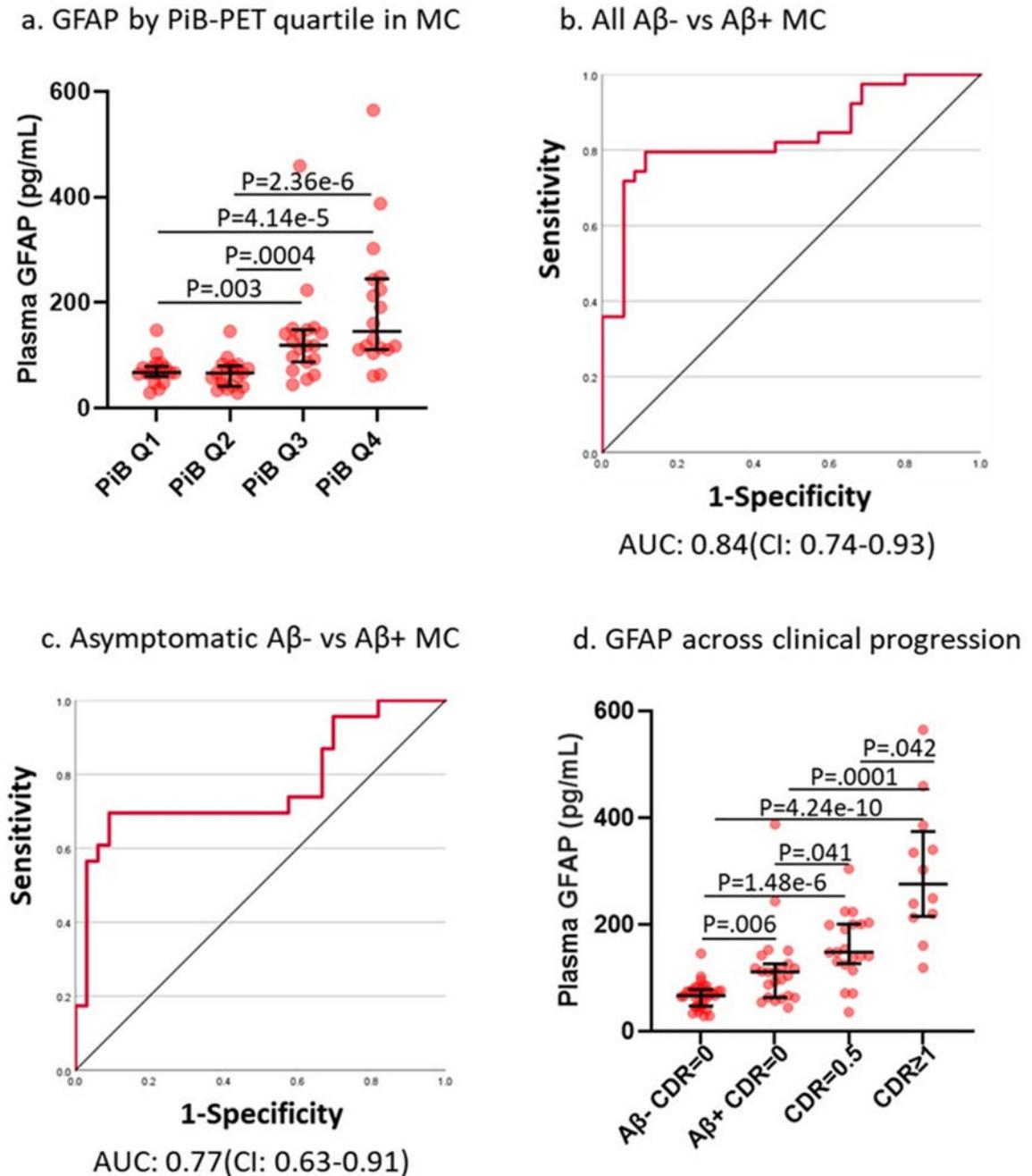
**Figure 1. Plasma GFAP in ADAD mutation non-carriers and carriers.**

(a) Comparison of plasma GFAP levels between non-carriers (NC,  $n=86$ ),  $A\beta$ -PET negative asymptomatic mutation carriers (aMC  $A\beta^-$ ,  $n=33$ ),  $A\beta$ -PET positive asymptomatic mutation carriers (aMC  $A\beta^+$ ,  $n=23$ ) and symptomatic mutation carriers (sMC,  $n=32$ ). The middle line represents the median and the error bars represent the interquartile range. Natural log GFAP values were used to calculate P values from LMEMs adjusting for age and sex with family as a random effect with Tukey's post hoc test for pairwise comparisons.  $P < .05$  was considered statistically significant and all tests were two-tailed. (b) Plasma GFAP levels as a function of expected years to symptom onset (EYO) for mutation carriers and non-carriers. The curves and shaded 95% credible intervals represent the distributions of model fits derived by the Hamiltonian Markov chain Monte Carlo analyses (refer to Methods section). The displayed points on the EYO are jittered and the range limited to  $-20$  to  $+10$  to prevent inadvertent identification of individuals contributing to the study dataset. (c) Divergence curves show the standardised differences between mutation carriers and non-carriers by EYO, which was considered significant when the 99% credible interval did not include 0. The y-axis represents the degree of abnormality of the markers in a comparable way. The temporal EYO order of this divergence was after aberrant amyloid- $\beta$  ( $A\beta$ ) accumulation started and before cognitive decline and neurodegeneration: PiB-PET  $-18.4$ ; Plasma GFAP  $-10.0$ ; Cognitive Composite  $-7.9$ ; CDR-SOB  $-5.3$ ; MR Precuneus Thickness  $-5$ ; MMSE  $-4.7$ ; MR Hippocampal volume  $-4.2$ .



**Figure 2. Association of plasma GFAP with brain A $\beta$  load, hippocampal volume, precuneus thickness, FDG-PET and cognitive and functional performance.**

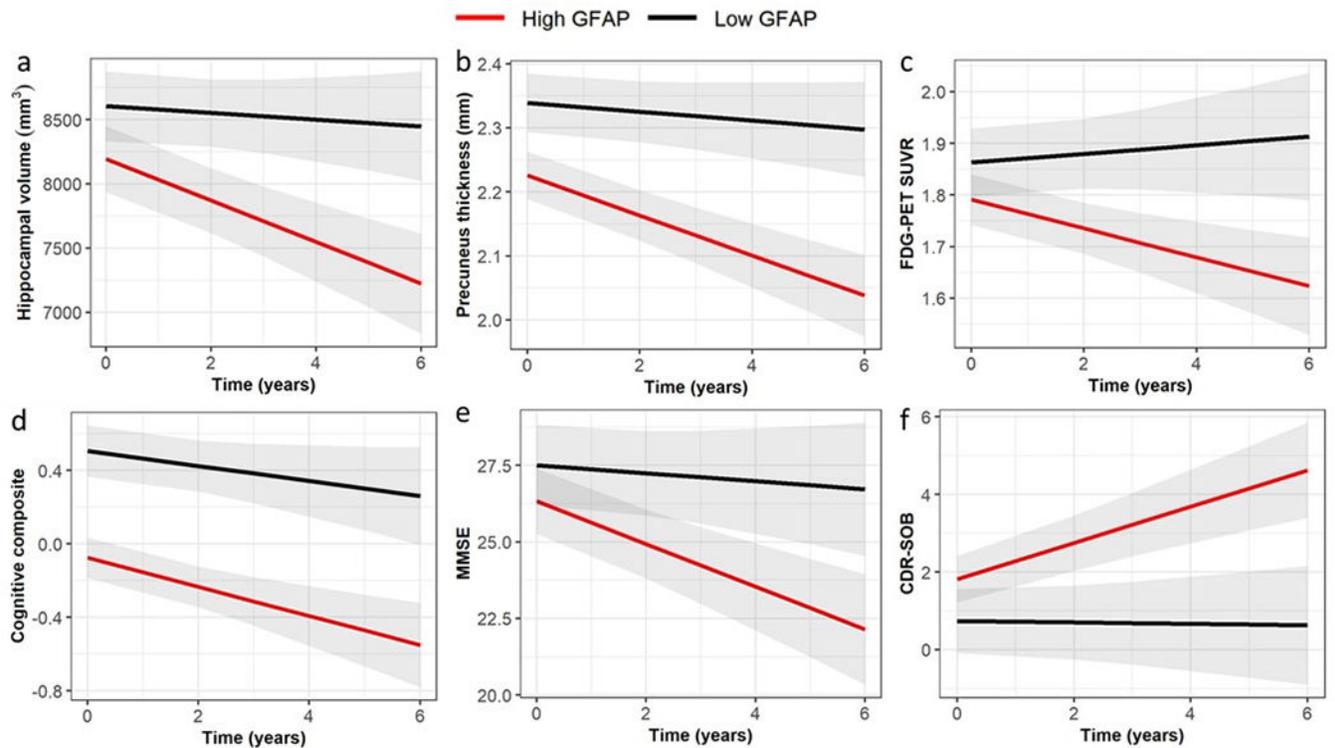
Associations between plasma GFAP and (a) PiB-PET SUVR (N = 147;  $\beta=0.54$ ,  $P<.001$ ) (b) hippocampal volume (N=168;  $\beta=-0.37$ ,  $P<.001$ ), (c) precuneus thickness (N = 168;  $\beta=-0.37$ ,  $P<.001$ ), (d) FDG-PET (N=159;  $\beta=-0.10$ ,  $P=.187$ ), (e) cognitive composite (N = 176;  $\beta=-0.44$ ,  $P<.001$ ), (f) MMSE (N = 181;  $\beta=-0.34$ ,  $P<.001$ ) and (g) CDR-SOB (N = 184;  $\beta=0.45$ ,  $P<.001$ ) were assessed in all participants using LMEMs, with covariates age and sex (and education for cognition) with family as a random effect. Plasma GFAP was significantly associated with FDG-PET in all participants and in the mutation carrier subset before correcting for age (Supplementary Table 1). The shaded areas represent 95% confidence intervals.  $P<.05$  was considered statistically significant and all tests were two-tailed.



**Figure 3. Plasma GFAP levels and A $\beta$ -PET load, A $\beta$ -PET +/- status and clinical progression in ADAD.**

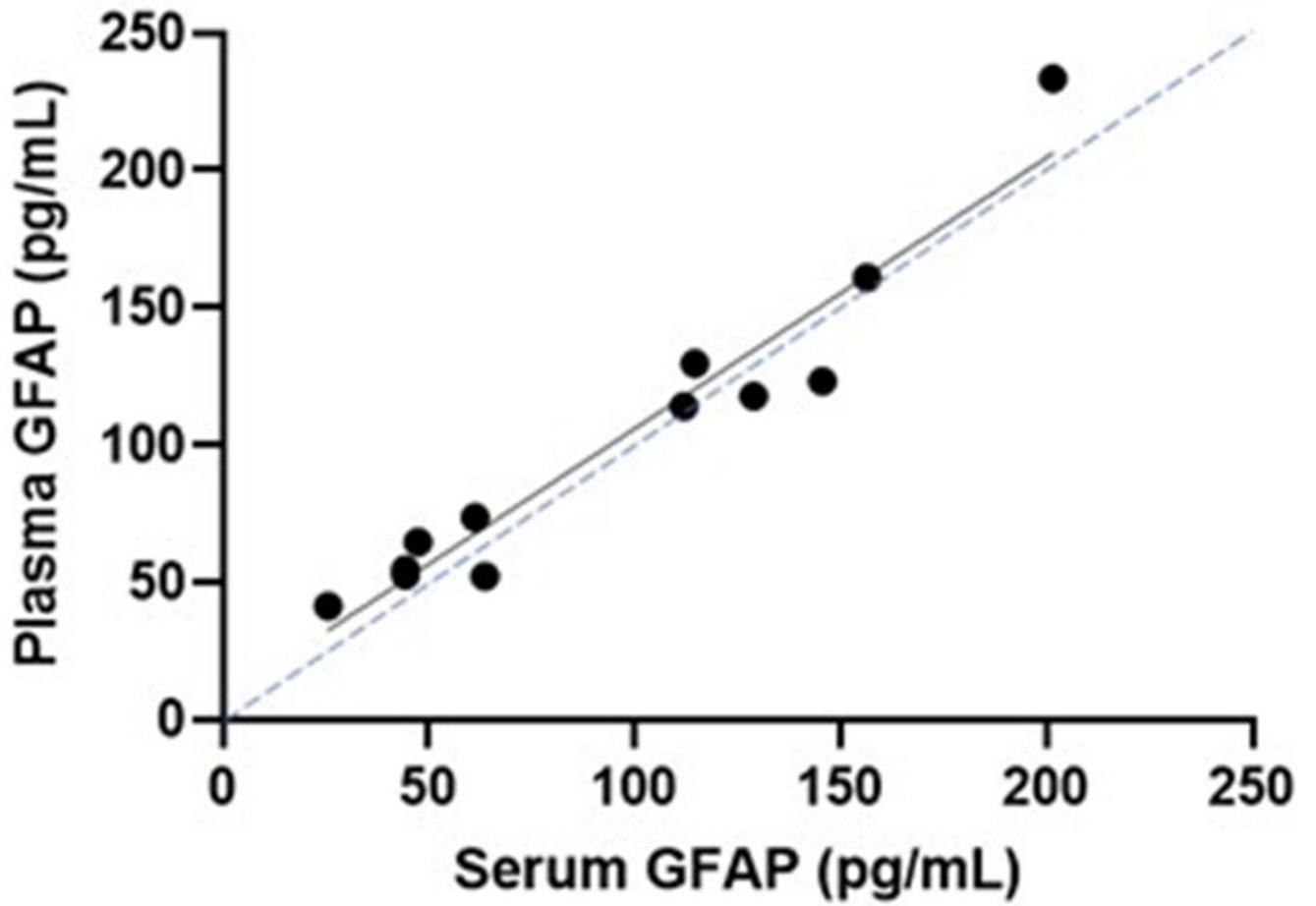
(a) Plasma GFAP by PiB-PET quartile (n(Q1: A $\beta$  SUVR  $< 1.07$ )=18; n(Q2:  $1.07 < A\beta$  SUVR  $< 1.26$ )=19; n(Q3:  $1.26 < A\beta$  SUVR  $< 2.10$ )=19; n(Q4: A $\beta$  SUVR  $> 2.10$ )=18) in mutation carriers suggests higher plasma GFAP levels with increasing PiB-PET uptake (Kruskal-Wallis test followed by pairwise comparisons). Receiver operating characteristic curves using plasma GFAP to distinguish between A $\beta$ -PET negative/positive based on PiB-PET SUVR in (b) all mutation carriers (A $\beta$ - SUVR  $< 1.25$  (n=35); A $\beta$ + SUVR  $\geq 1.25$  (n=39))

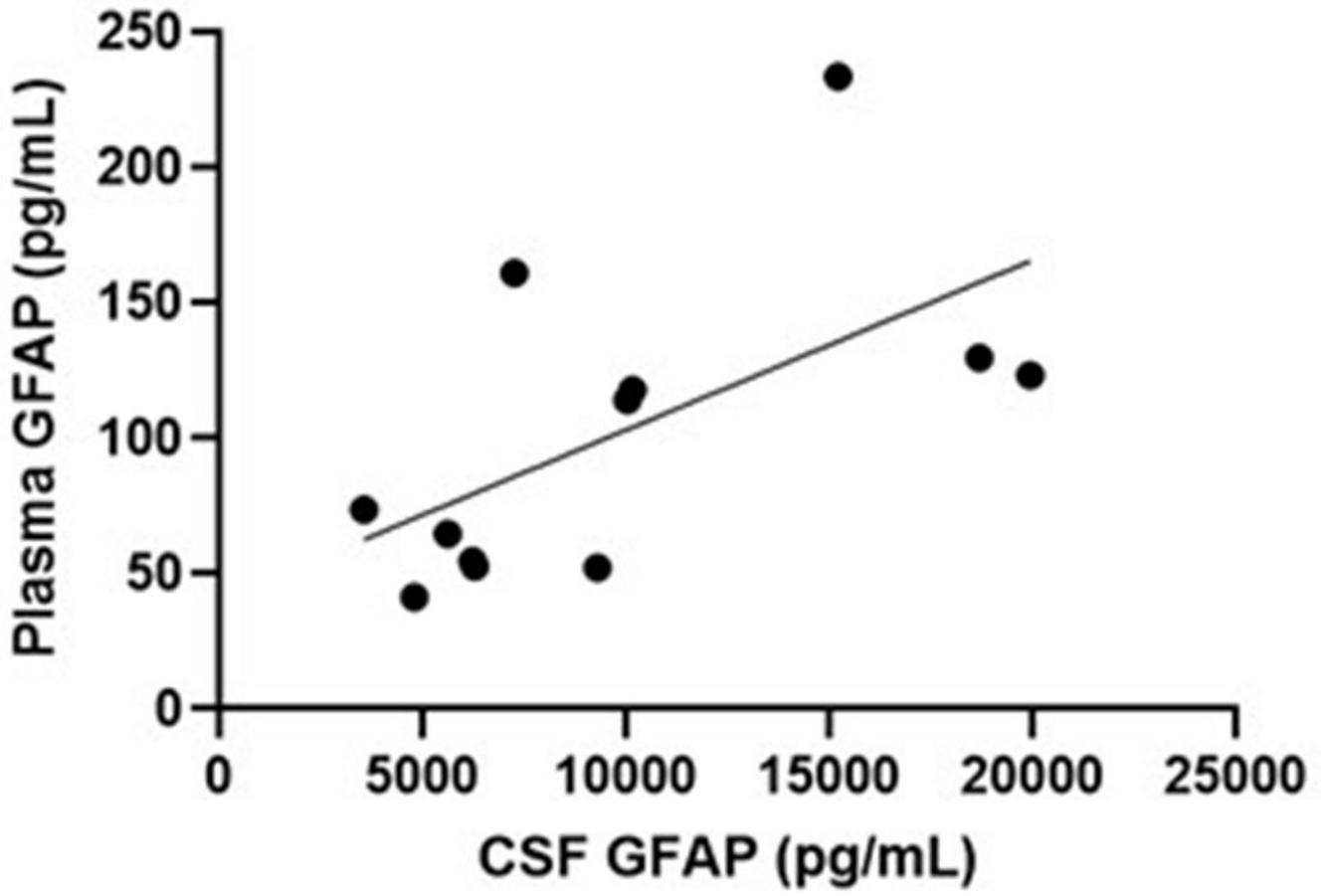
and (c) in asymptomatic mutation carriers ( $A\beta^-$  SUVR $<1.25$  ( $n=33$ );  $A\beta^+$  SUVR  $\geq 1.25$  ( $n=23$ )). (d) Higher plasma GFAP levels in mutation carriers ( $n(A\beta^-$  CDR=0)=33,  $n(A\beta^+$  CDR=0)=23,  $n(CDR=0.5)=20$ ,  $n(CDR \geq 1)=12$ ) with clinical progression. GFAP increases with the onset of amyloid- $\beta$  pathology and continues to increase with clinical severity in mutation carriers (Kruskal-Wallis test followed by pairwise comparisons). For plots in a and d, the middle line represents the median and the error bars represent the interquartile range.  $P<.05$  was considered statistically significant and all tests were two-tailed.

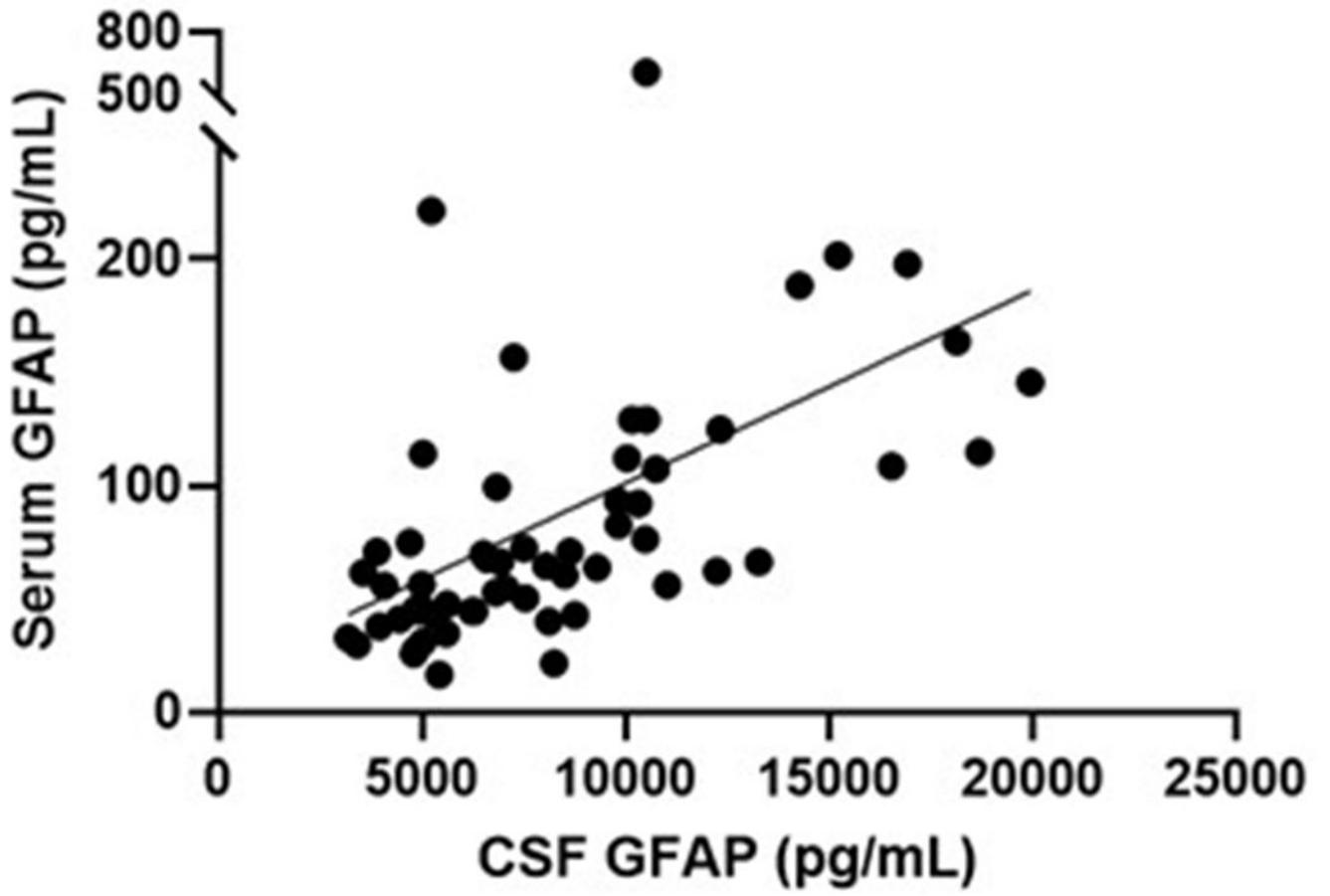


**Figure 4. Association of plasma GFAP with prospective neurodegeneration, cerebral glucose hypometabolism and cognitive and functional decline in mutation carriers.**

Relationships between plasma GFAP and change in neurodegeneration (represented by hippocampal volume ( $B=-0.202$ ,  $P=.013$ ) and precuneus thickness ( $B=-0.039$ ,  $P=.001$ ), cerebral glucose metabolism (FDG-PET,  $B=-0.031$ ,  $P=.150$ ) and cognition (represented by Mini-Mental State Examination (MMSE,  $B=-0.722$ ,  $P=.041$ ) and Clinical Dementia Rating – Sum of Boxes (CDR-SOB,  $B=0.570$ ,  $P=.013$ ) were assessed using LMEMs, with covariates age and sex (and education for cognition) with family as a random effect. Unstandardised B and P-values were calculated using natural log GFAP. For this visualization, the cut-off for low/high GFAP was based on the optimal cut-point at Youden's index (low ( $<87.5$  pg/mL, black) and high ( $\geq 87.5$  pg/mL, red)).  $P<.05$  was considered as statistically significant.





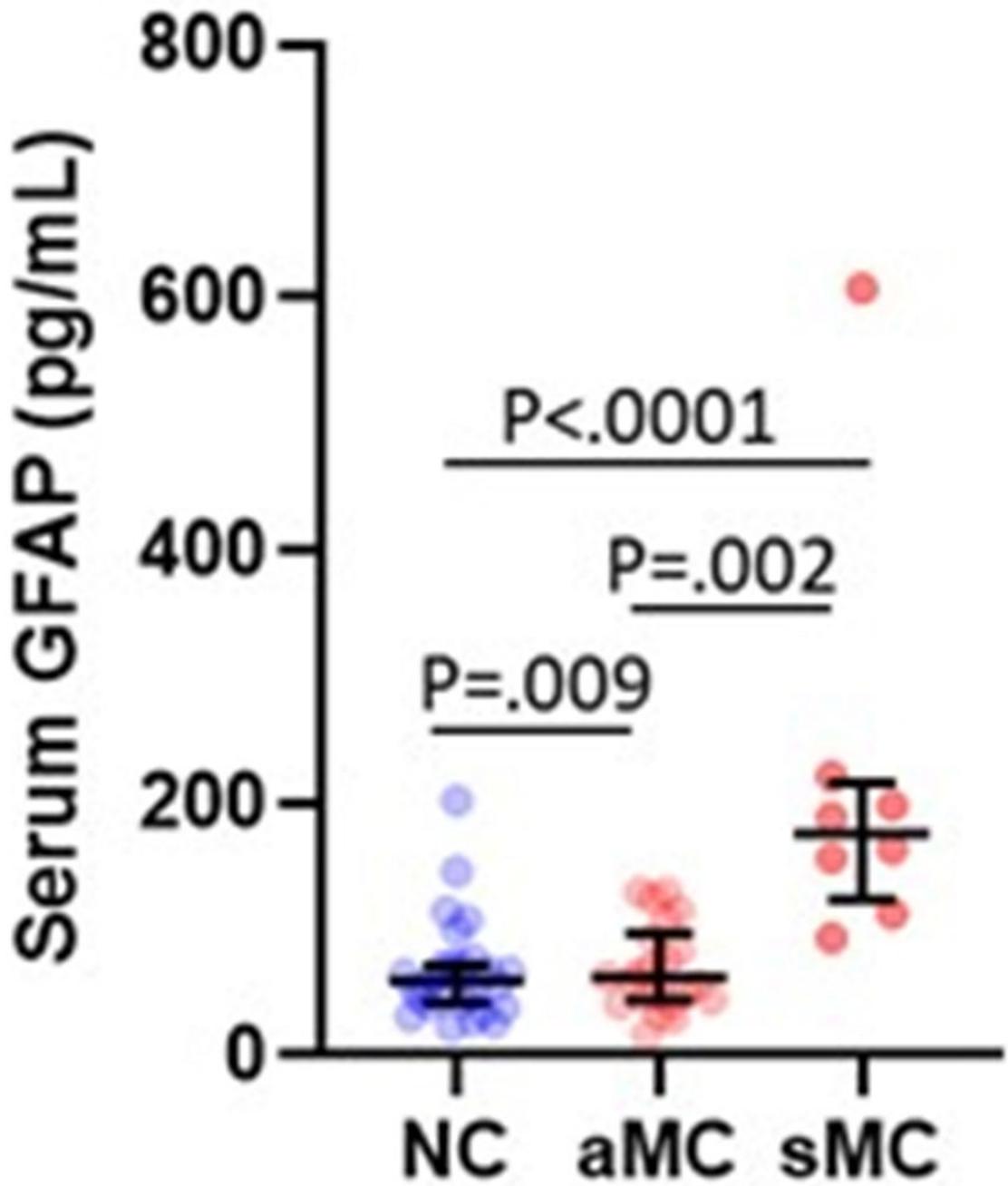


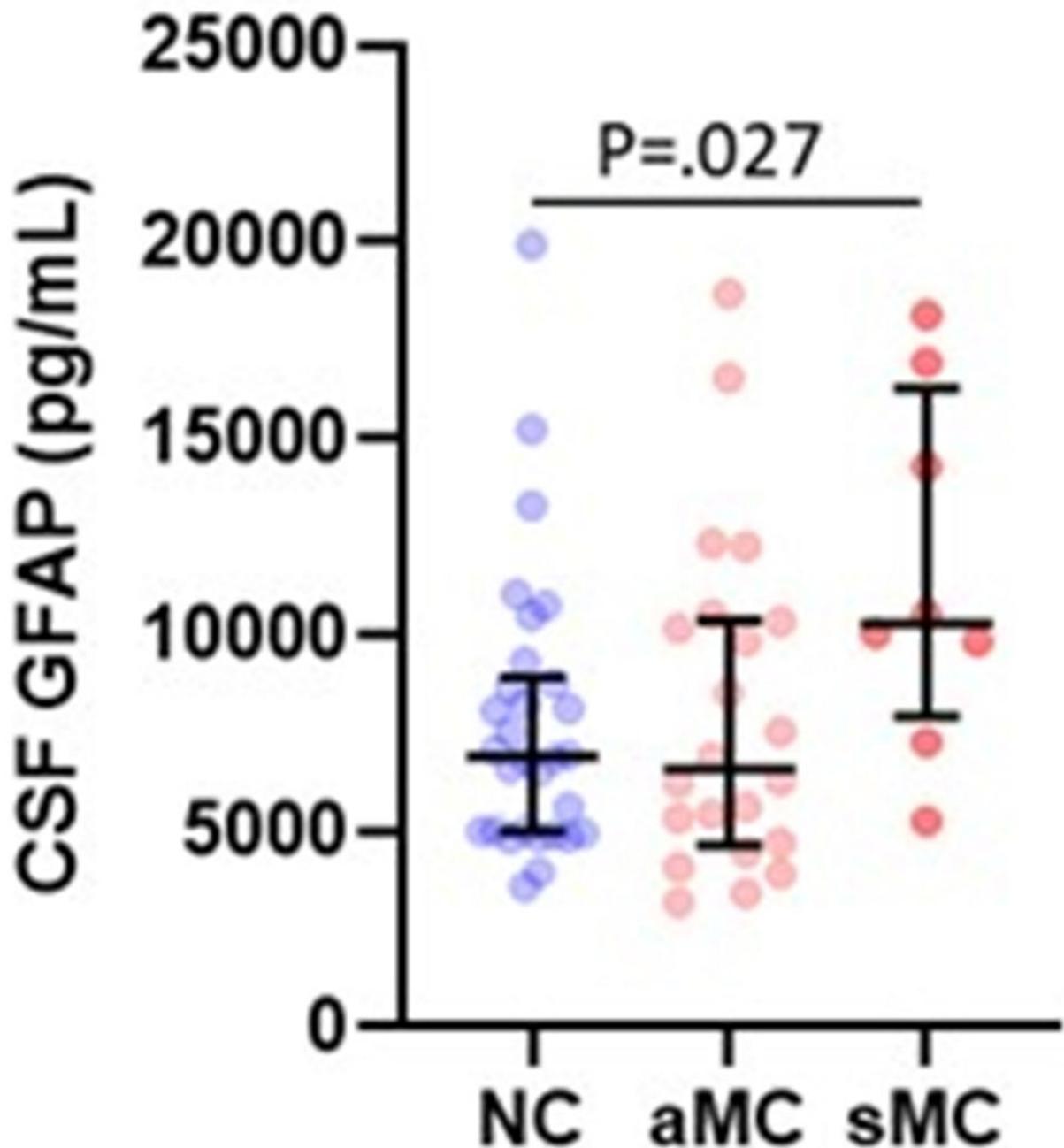
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**Figure 5. GFAP in serum and CSF in ADAD mutation non-carriers and carriers.**  
 (a) Association between serum GFAP (N=12) and plasma GFAP (Spearman's  $Rho=0.902$ ,  $P= P<.0001$ ). Association between CSF GFAP and blood matrices (b) plasma GFAP (Spearman's  $Rho=0.650$ ,  $P=.022$ ), (c) serum GFAP (N=60, Spearman's  $Rho=0.640$ ,  $P=3.78e-8$ ). Comparison of (d) serum and (e) CSF GFAP levels are presented between non-carriers (NC, n=30), asymptomatic mutation carriers (aMC, n=22) and symptomatic mutation carriers (sMC, n=8). For plots in d and e, the middle line represents the median and the error bars represent the interquartile range. Natural log GFAP values were used to

calculate P values from LMEMs adjusting for age and sex with family as a random effect. Tukey's post hoc test was used for pairwise comparisons.  $P < .05$  was considered statistically significant and tests were two-tailed.

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**Table 1.**

Demographic, neuroimaging, cognition parameters and GFAP levels for A.) plasma and B.) matched serum and cerebrospinal fluid samples from ADAD mutation carriers and non-carriers.

A.	N	Mutation non-carriers	Mutation carriers		P
		(n=86)	Asymptomatic (n=66)	Symptomatic (n=32)	
Age (years, median (IQR))	184	34 (17)	32 (11)	49 (19)	<.0001
Female (n (%))	184	58 (67)	36 (54)	14 (44)	.046
Education (years, median (IQR))	184	15 (4)	16 (4)	14 (3)	.020
Apolipoprotein-E ε4 (n (%))	184	28 (33)	19 (29)	13 (41)	.55
EYO (years, median (IQR))	184	-15 (19)	-16 (10)	3 (3)	<.0001
Cortical PiB-PET SUVR (median (IQR))	147 (73, 56, 18)	1.05 (0.09)	1.14 (0.56)	2.10 (1.40)	<.0001
PiB+ (cut-off=1.25; n (%))	147 (73, 56, 18)	1 (1)	23 (41)	16 (89)	<.0001
Hippocampal volume (cm <sup>3</sup> , median (IQR))	168 (81, 62, 25)	8.80 (0.99)	8.97 (0.90)	7.09 (1.55)	<.0001
Precuneus thickness (mm, median (IQR))	168 (81, 62, 25)	2.40 (0.18)	2.39 (0.17)	2.19 (0.30)	<.0001
Precuneus FDG-PET SUVR (median (IQR))	159 (75, 60, 24)	1.69 (0.17)	1.70±0.18	1.67±0.38	<.0001
Cognitive composite score (median (IQR))	176 (84, 65, 27)	0.30 (0.69)	0.23 (0.84)	-0.85 (0.96)	<.0001
MMSE score (median (IQR))	182 (84, 66, 31)	29 (2)	30 (1)	27 (4)	<.0001
CDR-SOB score (median (IQR))	184	0 (0)	0 (0)	2.75 (3.88)	<.0001
Plasma GFAP (pg/mL, median (IQR))	184	71 (39)	75 (43)	149 (112)	<.0001
B.	N	Mutation non-carriers	Mutation carriers		P
		(n=30)	Asymptomatic (n=22)	Symptomatic (n=8)	
Age (years, median (IQR))	60	43 (23)	32 (13)	37 (14)	<.0001
Female (n (%))	60	18 (60)	6 (27)	5 (62)	.042
Education (years, median (IQR))	60	14 (3)	16 (4.5)	12 (4)	.005
Apolipoprotein-E ε4 (n (%))	60	14 (47)	5 (23)	4 (50)	.153
EYO (years, median (IQR))	60	-4 (23.64)	-18 (14)	0 (7.46)	.0001
Cortical PiB-PET SUVR (median (IQR))	52 (26, 21,5)	1.03 (0.08)	1.43 (0.71)	2.54 (1.35)	<.0001
PiB+ (cut-off=1.25; n (%))	52 (26, 21,5)	0 (0)	11 (52)	5 (100)	-
Hippocampal volume (cm <sup>3</sup> , median (IQR))	53 (27, 21,5)	8.76 (1.09)	9.16 (1.04)	6.69 (0.70)	.0008
Precuneus thickness (mm, median (IQR))	53 (27, 21,5)	2.34 (0.24)	2.38 (0.13)	2.29 (0.55)	.023
Precuneus FDG-PET SUVR (median (IQR))	53 (27, 21,5)	1.93 (0.23)	1.87 (0.22)	1.73 (0.58)	.005
Cognitive composite score (median (IQR))	60	0.36 (0.75)	0.28 (0.51)	-1.66 (1.35)	<.0001

A.	N	Mutation non-carriers	Mutation carriers		P
		(n=86)	Asymptomatic (n=66)	Symptomatic (n=32)	
MMSE score (median (IQR))	60	29 (2)	30 (1)	21 (12)	<.0001
CDR-SOB score (median (IQR))	60	0 (0)	0 (0)	4 (7)	<.0001
Serum GFAP (pg/mL, median (IQR))	60	61 (32)	61 (44)	156 (312)	.0001
CSF GFAP (pg/mL, median (IQR))	60	6926±4344	6289±5670	9816±4029	.057

Among non-carriers, asymptomatic mutation carriers and symptomatic mutation carriers, the significance of the characteristic difference was calculated using linear mixed-effects models (for continuous outcomes) and generalized linear mixed-effects models with a logistic link (for categorical outcomes). All mixed models included a random family effect to account for the associations on the outcome measures between participants within the same family. Continuous measures are presented as median (IQR). N: total number of participants (with numbers of mutation non-carriers, asymptomatic mutation carriers and symptomatic mutation carriers, respectively). EYO: estimated years to symptom onset; PiB: <sup>11</sup>C-Pittsburgh compound B; PET: Positron Emission Tomography; FDG: <sup>18</sup>F-fluorodeoxyglucose; SUVR: Standard Uptake Value Ratio; MMSE: Mini-Mental State Examination; CDR-SOB: Clinical Dementia Rating – Sum of Boxes; GFAP: glial fibrillary acidic protein.

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