

# CLINICAL SCIENCE

# Identification of two tofacitinib subpopulations with different relative risk versus TNF inhibitors: an analysis of the open label, randomised controlled study ORAL Surveillance

Lars Erik Kristensen,<sup>1</sup> Silvio Danese,<sup>2</sup> Arne Yndestad,<sup>3</sup> Cunshan Wang,<sup>4</sup> Edward Nagy,<sup>5</sup> Irene Modesto,<sup>6</sup> Jose Rivas,<sup>6</sup> Birgitta Benda<sup>7</sup>

# ABSTRACT

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 <sup>1</sup>The Parker Institute, Bispebjerg and Frederiksberg Hospital, University of Copenhagen, Copenhagen, Denmark
 <sup>2</sup>Gastroenterology and Endoscopy, IRCCS San Raffaele Hospital and Vita-Salute San Raffaele University, Milan, Italy
 <sup>3</sup>Pfizer Inc, Oslo, Norway
 <sup>4</sup>Pfizer Inc, Groton, Connecticut, USA
 <sup>5</sup>Pfizer Ltd, Tadworth, UK
 <sup>6</sup>Pfizer SLU, Madrid, Spain
 <sup>7</sup>Pfizer Inc, New York, New York, USA

#### Correspondence to

Dr Jose Rivas, Pfizer SLU, Madrid 28108, Spain; joseluis.rivas@pfizer.com

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**To cite:** Kristensen LE, Danese S, Yndestad A, *et al. Ann Rheum Dis* 2023;**82**:901–910. **Objectives** Based on primary results from ORAL Surveillance, an event-driven clinical trial of risk-enriched patients, identify subpopulations with different relative risk (ie, 'high-risk' and 'low-risk') with tofacitinib versus tumour necrosis factor inhibitors (TNFi).

**Methods** Patients with rheumatoid arthritis aged ≥50 years with ≥1 additional cardiovascular risk factor received tofacitinib 5 or 10 mg two times a day or TNFi. Prior analyses had identified age and smoking as risk factors of particular interest across safety outcomes. Hazard ratios (HRs) and incidence rates were evaluated by age and smoking individually and in combination. Results were validated across tofacitinib development programmes.

**Results** 'Age ≥65 years or ever smoker' defined a group ('high-risk') with increased risk of malignancies (excluding non-melanoma skin cancer), major adverse cardiovascular events, myocardial infarction, venous thromboembolism and all-cause death with tofacitinib (combined doses) versus TNFi (HRs 1.41–5.19). In patients 'aged <65 years and never smokers' ('low-risk'), there was no detectable risk increase with tofacitinib versus TNFi (HRs ≈1.0) up to 6 years of follow-up, and absolute risk remained low and was corroborated across tofacitinib rheumatoid arthritis, psoriatic arthritis and ulcerative colitis programmes with up to 10 years of observation.

**Conclusions** This posthoc analysis of ORAL Surveillance identified two tofacitinib subpopulations with different relative risk versus TNFi. High risk was confined to patients defined by distinct risk factors age  $\geq$ 65 years or smoking, and these differentiating risk factors accounted for the excess risk observed with tofacitinib versus TNFi. These findings can guide individualised benefit/risk assessment and clinical decision-making on treatment with tofacitinib.

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# WHAT IS ALREADY KNOWN ON THIS TOPIC

- ⇒ Primary findings from ORAL Surveillance indicated that patients with rheumatoid arthritis aged ≥50 years with ≥1 additional cardiovascular risk factor have an increased risk of major adverse cardiovascular events (MACE) and malignancies (excluding non-melanoma skin cancer) with tofacitinib compared with tumour necrosis factor inhibitors (TNFi).
- ⇒ Increased risk of MACE with tofacitinib versus TNFi was primarily observed in patients with a history of atherosclerotic cardiovascular disease.
- ⇒ Previous analyses from ORAL Surveillance identified age and smoking as independent (ie, across treatment groups) risk factors of interest across safety outcomes.

## WHAT THIS STUDY ADDS

- ⇒ This posthoc analysis of ORAL Surveillance identified high-risk and low-risk populations with different relative risk with tofacitinib versus TNFi.
- ⇒ Higher risk versus TNFi was confined to a subgroup of patients defined by distinct, readily identifiable risk factors, age 65 years or older and long-time smoking (current or past).
- ⇒ In 'low-risk' patients who were younger than 65 years and had never smoked, there was no detectable risk increase versus TNFi with up to 6 years of follow-up in ORAL Surveillance, and absolute risk remained low and was corroborated across tofacitinib rheumatoid arthritis, psoriatic arthritis and ulcerative colitis development programmes with up to 10 years of observations.

## HOW THIS STUDY MIGHT AFFECT RESEARCH, PRACTICE OR POLICY

⇒ These easily identifiable and clinically practical subpopulations with different relative risk versus TNFi (ie, 'high-risk' and 'low-risk') can better guide individualised benefit/risk assessment and clinical decision-making on treatment with tofacitinib.

## INTRODUCTION

ORAL Surveillance was a large, randomised, open-label, event-driven clinical trial in patients



with rheumatoid arthritis (RA) designed to demonstrate noninferiority of tofacitinib versus TNF inhibitors (TNFi) for the coprimary endpoints of adjudicated major adverse cardiovascular events (MACE) and adjudicated malignancies (excluding non-melanoma skin cancer (NMSC)).<sup>1</sup> The U.S. FDA required the study to be of sufficient size and duration to evaluate longlatency and rare events.<sup>2</sup> For the study to be declared complete,  $\geq 1500$  patients had to be followed for  $\geq 3$  years, and  $\geq 103$ MACE, and  $\geq 138$  malignancies (excluding NMSC), had to accrue.<sup>1</sup> The study started in 2014, enrolled 4362 patients and completed 6 years later.

To ensure enough cardiovascular (CV) and malignancy events accrued in a reasonable timeframe, ORAL Surveillance enrolled patients with RA with higher-than-average risk; patients had to be at least 50 years old and have at least one additional CV risk factor.<sup>1</sup> Previous studies have shown a relationship and shared risk factors between CV disease and malignancy, for example, data from Dutch and US cohorts found an association between the 10-year atherosclerotic cardiovascular disease (ASCVD) risk scores and risk of future cancer.<sup>3 4</sup> Importantly, a wide range of CV risk factors were applied as eligibility criteria, therefore, a 52-year-old with mild hypertension and a 71-year-old with prior myocardial infarction (MI) would both have been eligible despite very different risk levels. Indeed, enrolled patients were found to be distributed across a continuum of risk.<sup>5</sup> For example, close to one-third of the overall ORAL Surveillance study population had only low-to-borderline predicted 10-year risk of ASCVD.<sup>5</sup>

Guided by initial thematic analyses of clinically meaningful factors in ORAL Surveillance, in which age and smoking were consistently identified as independent (ie, across treatment groups) risk factors of particular interest across safety outcomes, we aimed to find easily identifiable and clinically practical subpopulations with different relative risk vs TNFi (ie, 'high-risk' and 'low-risk') to better guide individualised benefit/risk assessment and clinical decision-making. For risk minimisation and product labelling purposes, it was important to identify the risk factors (individual or combinations thereof) accounting for the increased risk observed with tofacitinib versus TNFi (ie, differentiating risk factors) and, equally important, where the reverse was true, that is, the absence of these risk factors produced risk estimates with no apparent risk difference between treatments (ie, HR at or below 1). Analyses were repeated in the larger and longer tofacitinib RA development programme, and tofacitinib psoriatic arthritis (PsA) and ulcerative colitis (UC) development programmes to assess consistency and validate results.

### **METHODS**

# Study design and patients

**ORAL** Surveillance

ORAL Surveillance (NCT02092467) was a phase IIIb/IV randomised, open-label, non-inferiority, safety endpoint study conducted from March 2014 to July 2020 in patients with active moderate-to-severe RA despite methotrexate treatment who were aged  $\geq$  50 years with  $\geq$  1 additional CV risk factor (current smoking, hypertension, high-density lipoprotein cholesterol <40 mg/dL, diabetes mellitus, family history of premature coronary heart disease, RA-associated extra-articular disease and/or history of coronary artery disease).<sup>1</sup>

Patients were randomised 1:1:1 to receive oral tofacitinib 5 or 10 mg two times a day or subcutaneous TNFi (adalimumab 40 mg every 2 weeks (North America) or etanercept 50 mg once a week (rest of the world)). All patients continued their pre-study stable dose of methotrexate unless modification was

clinically indicated. In February 2019, the tofacitinib 10 mg two times a day dose was reduced to 5 mg two times a day after the Data Safety Monitoring Board noted an increased frequency of pulmonary embolism in patients receiving tofacitinib 10 mg two times a day versus TNFi and an increase in overall mortality with tofacitinib 10 vs 5 mg two times a day and TNFi.

#### RA, PsA and UC development programmes

This exploratory analysis includes data for patients who received  $\geq 1$  dose of tofacitinib in clinical trials and open-label LTE studies across RA (excluding ORAL Surveillance), PsA and UC. Full details of the individual studies can be found in online supplemental table S3.

All cohorts included patients aged  $\geq 18$  years who received tofacitinib as monotherapy (RA and UC) or with background conventional synthetic disease-modifying antirheumatic drugs (RA, PsA). All studies have been completed. Final data for the RA, PsA and UC cohorts are from 18 January 2019, 31 July 2019 and 24 August 2020, respectively.

#### Patient and public involvement

Patients and/or the public were not involved in the design, conduct, reporting or dissemination plans of this research.

#### Outcomes

This analysis focused on events of malignancies (excluding NMSC), MACE, MI (fatal and non-fatal), venous thromboembolism (VTE) and all-cause death. In ORAL Surveillance, these events were adjudicated by an external, independent adjudication committee. MACE was defined as the composite of CV death, non-fatal MI and non-fatal stroke. Similarly, events of malignancies (excluding NMSC), MACE and MI were adjudicated in the tofacitinib development programmes. See online supplemental table S1) for definition of outcomes.

#### Statistical analyses

ORAL Surveillance was powered to assess non-inferiority for risk of MACE and malignancies (excluding NMSC) with combined tofacitinib doses versus TNFi. To maximise statistical precision and power in these posthoc analyses, data for the combined doses of tofacitinib were prioritised. HRs and two-sided 95% CIs comparing tofacitinib and TNFi were estimated using Cox proportional hazard regression models. Incidence rates (IRs) and two-sided 95% CIs for malignancies (excluding NMSC), MACE, MI, VTE and all-cause death were reported as the number of unique patients with events per 100 patient-years. See online supplemental table S1 for definition of censoring times for the different analyses. Exact Poisson, adjusted for exposure, was used to calculate 95% CIs for the crude IR.

Prior analyses had consistently identified age and smoking as independent risk factors of particular interest across safety outcomes and were therefore assessed here, individually and in combination.<sup>167</sup> Informed by the definition of the geriatric population from the ICH Topic E7 (Studies in Support of Special Populations: Geriatrics), a cut-off of 65 years of age was a prespecified analysis in ORAL Surveillance and also applied in these analyses.<sup>18</sup> IRs and HRs with tofacitinib versus TNFi were also determined by 5-year intervals of age.

Further analyses were conducted to identify risk factors accounting for the increased risk observed with tofacitinib versus TNFi (ie, differentiating risk factors) while when absent produced tofacitinib risk estimates with no difference versus TNFi (ie, HR $\approx$ 1.0). HRs (95% CIs) comparing tofacitinib and

TNFi (ORAL Surveillance) and IRs (95% CIs) for each treatment group were calculated for subgroups of patients defined by age ( $\geq$  or <65 years of age), or by smoking status (current, past or never smoking), or by composites thereof.

For the subgroups defined by 'age  $\geq 65$  years or ever smoker' ('high-risk') and 'age <65 years and never smoker' ('low-risk'), number needed to harm (NNH) was calculated as the reciprocal of the difference in IRs between tofacitinib and TNFi. Positive NNH was defined as patient-years of tofacitinib exposure needed for one more patient to report an additional event versus TNFi. Negative NNH was defined as the reverse. The differential or interaction effect of treatment group (tofacitinib vs TNFi) and high-risk versus low-risk was assessed using the difference of the incidence rate difference (IRD, comparing tofacitinib and TNFi) between high-risk and low-risk and its SE. The two-sided interaction p value was calculated assuming normal approximation to the difference of IRD. In published criteria for how to evaluate the credibility of subgroup analyses, it is advised to not use a specified p value threshold, but p < 0.1 are generally supportive of the hypothesis.<sup>9</sup> Cumulative probability plots using Kaplan-Meier estimates were generated for analysis of time to events. IRs (95% CI) of all outcomes were determined by high-risk and low-risk in the tofacitinib RA, PsA and UC development programmes.

All analyses were posthoc. Across these exploratory analyses, no multiplicity adjustments were applied.

#### RESULTS

#### Patients

In ORAL Surveillance, 4362 patients were randomised and treated (tofacitinib 5 mg two times a day, n=1455; tofacitinib 10 mg two times a day, n=1456; TNFi, n=1451).<sup>1</sup> In addition, this analysis includes 9904 tofacitinib-exposed patients

from completed studies in the development programmes: 7964 with RA (excluding ORAL Surveillance), 783 with PsA and 1157 with UC.<sup>10</sup> Table 1 (online supplemental table S2 by low-risk/high-risk) summarises demographics and baseline characteristics for patients in ORAL Surveillance and in all patients who received  $\geq 1$  dose of tofacitinib in the RA, PsA and UC development programmes. Compared with the tofacitinib development programmes, patients included in ORAL Surveillance represented a risk-enriched population as reflected in a higher proportion of patients aged  $\geq 65$  years, current smokers and patients with a history of diabetes, hypertension and ASCVD (table 1).

# Tofacitinib relative risk versus TNFi and role of age and smoking as individual risk factors

Age  $\geq 65$  years (figure 1A) and ever (ie, current or past) smoking (figure 1B) were both independent overall risk factors with associations with absolute (IRs) and relative (HRs) risk with tofacitinib versus TNFi of malignancies (excluding NMSC), MACE, MI, VTE and all-cause death in ORAL Surveillance.<sup>1 6 7</sup> Even though less pronounced, an increased risk versus TNFi (HRs >1) was observed in patients who were <65 years as well as in never smokers for certain outcomes.

Analyses of risk with tofacitinib by 5-year age intervals indicated a risk continuum with increasing age where the inflection point seemed to appear at or around  $\geq 65$  years of age (online supplemental figure S1).

An analysis of smoking duration showed that the majority of current and past smokers in ORAL Surveillance were long-time smokers. More than 90% of patients treated with tofacitinib in ORAL Surveillance that had ever smoked (ie, current or past smokers) had a smoking duration of more

 Table 1
 Demographic and baseline disease characteristics of patients in ORAL Surveillance and the tofacitinib RA, PsA and UC development programmes

	ORAL Surveillance	Tofacitinib developme	Tofacitinib development programme				
	N=4362	RA* N=7964	PsA N=783	UC N=1157			
Female, % (n)	78.2% (3410)	81.9% (6522)	54.7% (428)	41.3% (478)			
Duration of disease (years), mean/median	10.4/8.0	8.1/5.6	7.7/5.5	8.2/6.3			
Age, mean (SD)	61.2 (7.1)	52.6 (12.1)	48.7 (12.0)	41.3 (13.9)			
≥65 years of age, % (n)	31.0% (1353)	15.9% (1270)	9.2% (72)	6.7% (77)			
Smoking status†, % (n)							
Current	26.7% (1166)	17.2% (1366)	17.9% (140)	5.1% (59)			
Past	21.5% (937)	17.4% (1388)	20.2% (158)	30.9% (357)			
Never	51.8% (2259)	62.7% (4996)	61.9% (485)	64.0% (740)			
History of other CV risk factors, % (n)							
Diabetes mellitus	17.4% (759)	8.2% (651)	13.7% (107)	4.1% (48)‡			
Hyperlipidaemia	35.2% (1534)	19.3% (1534)	21.3% (107)	NA			
Hypertension	66.0% (2878)	35.4% (2818)	39.1% (306)	13.9% (161)‡			
Coronary artery disease	11.4% (497)	1.6% (126)	5.6% (44)	1.6% (18)			
ASCVD	14.7% (640)	3.4% (274)	6.5% (51)	3.9% (45)			
Treatment history, % (n)							
Prior TNFi	7.6% (330)	15.6% (1245)	48.1% (377)	54.4% (1124)			
Statin at baseline‡	23.4% (1020)	7.8% (620)	12.8% (100)	6.4% (74)			
Aspirin at baseline‡	15.3% (667)	6.9% (551)	6.4% (50)	NA			

\*Excluding ORAL Surveillance.

†In the tofacitinib RA development programme, 2.7% (N=214) of patients had unknown smoking status. Patients <65 years old with unknown smoking status were not included in the low-risk group. 25 patients in the high-risk group had unknown smoking status. ‡Based on day 1 of treatment.

ASCVD, atherosclerotic cardiovascular disease; CV, cardiovascular; n, number of patients with characteristic; NA, not available; RA, rheumatoid arthritis; TNFi, tumour necrosis factor inhibitor; UC, ulcerative colitis.



Age ≥65 years Tofacitinib (N=891) vs TNFi (N=462)

O Age <65 years Tofacitinib (N=2020) vs TNFi (N=989)

HR vs	TNFi (95% CI)	n (%)	IR (95% CI)	PY
- <b>•</b> -	1.70 (1.00, 2.90)	55 (6.2%)	1.79 (1.35, 2.33)	3071.9
-0	1.36 (0.85, 2.17)	67 (3.3%)	0.87 (0.67, 1.10)	7731.3
	1.79 (0.99, 3.26)	46 (5.2%)	1.63 (1.20, 2.18)	2817.8
	1.10 (0.67, 1.79)	52 (2.6%)	0.72 (0.54, 0.94)	7220.5
<b>↓ →</b>	3.28 (0.97, 11.14)	18 (2.0%)	0.63 (0.38, 1.00)	2837.7
	1.22 (0.54, 2.76)	20 (1.0%)	0.28 (0.17, 0.43)	7244.1
·→	4.38 (1.32, 14.56)	24 (2.7%)	0.86 (0.55, 1.28)	2788.1
	1.87 (0.81, 4.29)	27 (1.3%)	0.38 (0.25, 0.55)	7186.0
•	2.12 (1.02, 4.41)	35 (3.9%)	1.24 (0.86, 1.72)	2826.6
·	1.81 (0.83, 3.94)	30 (1.5%)	0.42 (0.28, 0.59)	7220.1
0.25 0.5 1 2 4 8				
		1.36 (0.85, 2.17) 1.79 (0.99, 3.26) 1.10 (0.67, 1.79) 3.28 (0.97, 11.14) 1.22 (0.54, 2.76) 4.38 (1.32, 14.56) 1.87 (0.81, 4.29) 2.12 (1.02, 4.41) 1.81 (0.83, 3.94) 0.25 0.5 1 2 4 8 Favors Favors	1.70 (1.00, 2.90)       55 (6.2%)         1.36 (0.85, 2.17)       67 (3.3%)         1.79 (0.99, 3.26)       46 (5.2%)         1.10 (0.67, 1.79)       52 (2.6%)         3.28 (0.97, 11.14)       18 (2.0%)         1.22 (0.54, 2.76)       20 (1.0%)         4.38 (1.32, 14.56)       24 (2.7%)         1.87 (0.81, 4.29)       27 (1.3%)         2.12 (1.02, 4.41)       35 (3.9%)         1.81 (0.83, 3.94)       30 (1.5%)         Favors       Favors	1.70 (1.00, 2.90)       55 (6.2%)       1.79 (1.35, 2.33)         1.36 (0.85, 2.17)       67 (3.3%)       0.87 (0.67, 1.10)         1.79 (0.99, 3.26)       46 (5.2%)       1.63 (1.20, 2.18)         1.10 (0.67, 1.79)       52 (2.6%)       0.72 (0.54, 0.94)         3.28 (0.97, 11.14)       18 (2.0%)       0.63 (0.38, 1.00)         1.22 (0.54, 2.76)       20 (1.0%)       0.28 (0.17, 0.43)         4.38 (1.32, 14.56)       24 (2.7%)       0.86 (0.55, 1.28)         1.87 (0.81, 4.29)       27 (1.3%)       0.38 (0.25, 0.55)         2.12 (1.02, 4.41)       35 (3.9%)       1.24 (0.86, 1.72)         1.81 (0.83, 3.94)       30 (1.5%)       0.42 (0.28, 0.59)

Β

 Current smoker Past smoker Tofacitinib (N=611) vs TNFi (N=326) Tofacitinib (N=813) vs TNFi (N=353)

O Never smoker Tofacitinib (N=1487) vs TNFi (N=772)

	HR vs	TNFi (95% CI)	n (%)	IR (95% CI)	PY
Malignancies	· <b>—</b> •'	1.62 (0.88, 3.00)	48 (5.9%)	1.62 (1.19, 2.15)	2965.8
0		1.90 (1.00, 3.62)	41 (6.7%)	1.84 (1.32, 2.50)	2226.0
(excl NMSC) <sup>†</sup>		1.03 (0.57, 1.84)	33 (2.2%)	0.59 (0.40, 0.83)	5611.4
		1.20 (0.65, 2.21)	39 (4.8%)	1.42 (1.01, 1.94)	2744.0
MACE	<b>↓</b> •	2.17 (0.95, 4.96)	28 (4.6%)	1.37 (0.91, 1.98)	2042.7
	$-\phi$	1.02 (0.56, 1.87)	31 (2.1%)	0.59 (0.40, 0.84)	5251.7
Myocardial	· · · · · · · · · · · · · · · · · · ·	1.55 (0.57, 4.16)	18 (2.2%)	0.65 (0.39, 1.03)	2759.5
infarction	· · · · · · · · · · · · · · · · · · ·	2.68 (0.59, 12.22)	10 (1.6%)	0.49 (0.23, 0.90)	2050.0
Intercuon		1.32 (0.41, 4.20)	10 (0.7%)	0.19 (0.09, 0.35)	5272.4
	→ →	5.08 (0.66, 39.07)	12 (1.5%)	0.44 (0.22, 0.76)	2757.3
VTE	·→	5.13 (1.19, 22.02)	19 (3.1%)	0.94 (0.57, 1.47)	2015.5
	- <u>+</u> o	1.51 (0.64, 3.56)	20 (1.3%)	0.38 (0.23, 0.59)	5201.3
All-cause	· · · · · · · · · · · · · · · · · · ·	1.55 (0.57, 4.17)	18 (2.2%)	0.65 (0.39, 1.03)	2766.2
death	·	3.38 (1.18, 9.71)	25 (4.1%)	1.22 (0.79, 1.81)	2043.8
ueau	-+0'	1.45 (0.65, 3.26)	22 (1.5%)	0.42 (0.26, 0.64)	5236.7
	Favors Favors				

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● Age ≥65 years and Ever smoked Tofacitinib (N=420) vs TNFi (N=215)

С

O Age <65 years or Never smoked Tofacitinib (N=2491) vs TNFi (N=1236)

	HR vs	TNFi (95% CI)	n (%)	IR (95% CI)	PY
Malignancies	·•	2.42 (1.21, 4.82)	42 (10.0%)	3.05 (2.20, 4.12)	1377.5
(excl NMSC)	-0	1.24 (0.83, 1.88)	80 (3.2%)	0.85 (0.67, 1.06)	9425.7
MACE	·•	2.74 (1.14, 6.58)	30 (7.1%)	2.37 (1.60, 3.39)	1263.6
MAGE		1.09 (0.71, 1.66)	68 (2.7%)	0.77 (0.60, 0.98)	8774.7
Myocardial	·•	5.96 (0.77, 46.16)	11 (2.6%)	0.86 (0.43, 1.54)	1277.3
infarction		1.35 (0.65, 2.78)	27 (1.1%)	0.31 (0.20, 0.45)	8804.5
VTE	·	3.52 (0.79, 15.61)	13 (3.1%)	1.04 (0.55, 1.77)	1253.5
VIE		2.36 (1.10, 5.06)	38 (1.5%)	0.44 (0.31, 0.60)	8720.6
All-cause	<b>⊢</b> ●	2.09 (0.85, 5.13)	23 (5.5%)	1.81 (1.15, 2.71)	1272.4
death		1.88 (0.97, 3.65)	42 (1.7%)	0.48 (0.34, 0.65)	8774.3
	0.25 0.5 1 2 4 8				
	Favors Favors				

tofacitinib TNFi

Figure 1 Risk of malignancies (excluding NMSC), MACE, MI, VTE and all-cause death with tofacitinib versus TNFi in ORAL Surveillance by (A) age (≥ or <65 years) or (B) history of smoking (current, past or never) or (C) composite of age and smoking ('Age ≥65 years and Ever smoked' or 'Age <65 years or Never smoked'). HRs (95% CIs), shown on a logarithmic scale, are based on a simple Cox proportional hazard model comparing combined tofacitinib doses versus TNFi. Arrow heads indicate that CI extends beyond the graph axis. IRs express the number of patients with first events per 100 PY. IRs, n and PY are for combined tofacitinib doses. \*Results previously reported in Ytterberg et al.<sup>1</sup> †Results reported in Curtis et al.<sup>7</sup> IR, incidence rate; MACE, major adverse cardiovascular events; n, number of evaluable patients; N, number of patients with events; NMSC, non-melanoma skin cancer; PY, patient-years; TNFi, tumour necrosis factor inhibitor; VTE, venous thromboembolism.

Table 2	Smoking duration in current and p	Smoking duration in current and past smokers and time since smoking cessation in past smokers in ORAL Surveillance					
		Current smokers		Past smokers			
		Tofacitinib N=811	TNFi N=352	Tofacitinib N=605	TNFi N=322		

	N=811	N=352	N=605	N=322
Smoking Duration (years)				
Mean (SD)	32.7 (13.1)	31.9 (13.2)	37.2 (13.0)	39.9 (12.2)
Median (Range)	35.0 (0.02, 67.00)	34.2 (0.02, 69.00)	39.0 (0.00, 69.00)	41.0 (1.00, 70.00)
Smoking duration levels*, % (n)				
>10 years	91.4% (741)	91.2% (321)	96.2% (582)	98.4% (317)
>5-10 years	4.4% (36)	3.7% (13)	2.3% (14)	0.9% (3)
>0–5 years	4.2% (34)	5.1% (18)	1.5% (9)	0.6% (2)
Time since smoking cessation levels, % (n)				
≥10 years	_	-	61.5% (372)	71.7% (231)
<10 years	-	-	38.5% (233)	28.3% (91)
<5 years	-	-	21.8% (132)	15.2% (49)
<1 year	_	_	5.6% (34)	3.1% (10)

\*Information on smoking duration was missing on eight patients (two current and six past smokers) treated with tofacitinib and five patients (one current and four past smokers) treated with TNFi. n, number of patients with characteristic; TNFi, tumour necrosis factor inhibitor.

than 10 years. Median duration of smoking in current and past smokers was 35.0 and 39.0 years, respectively. Majority of past smokers had been smoke-free for 10 years or longer (table 2).

### Tofacitinib relative risk versus TNFi and composites of age and smoking as differentiating risk factors

Figures 1C and 2 show the relative risk (HRs) for malignancies (excluding NMSC), MACE, MI, VTE and all-cause death with tofacitinib versus TNFi in subgroups defined by composites of age and smoking. In patients with *both risk factors*, that is, 'age  $\geq$ 65 years *and* ever smoker' (figure 1C), or *one risk factor*, that is, 'age  $\geq$ 65 years or ever smoker'

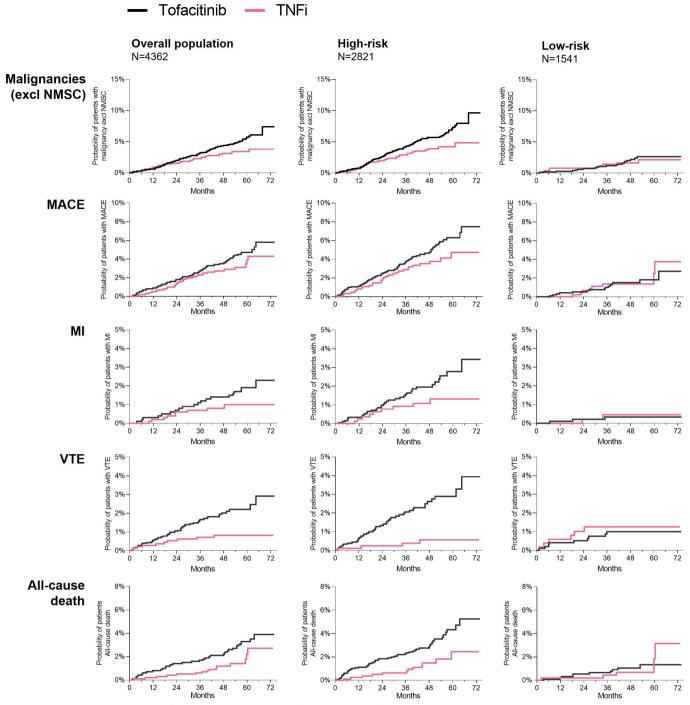
Age >65 years or Ever smoked (High-rick)

(figure 2; online supplemental figure S2 by tofacitinib dose), the risk was higher with tofacitinib versus TNFi, and for some endpoints, the 95% CI for the HR excluded 1. It was only in patients with *neither risk factor*, that is, 'age <65 years and never smoker' group that we could not detect a higher risk with tofacitinib vs TNFi (ie, HRs≈1.0) for any of these events (figure 2). Based on IRD, the p values for the treatment-by-risk high/low interaction for these events with combined doses of tofacitinib versus TNFi ranged from 0.002 to 0.173 (figure 2), supporting that this composite of age ≥65 years or ever smoker represents a differentiating risk factor for these events.<sup>9</sup>

		HR vs 1	ΓNFi (95% CI)	n (%)	IR (95% CI)	PY	IR difference vs TNFi (95% CI)	Interaction p-value	NNH (PY vs TNFi
Malignancies	··		1.55 (1.05, 2.30)	102 (5.4%)	1.48 (1.21, 1.80)	6886.2	0.53 (0.09, 0.96)	0 11 1	190
(excl NMSC)*	·	1	1.16 (0.53, 2.55)	20 (2.0%)	0.51 (0.31, 0.79)	3917.0	0.07 (-0.30, 0.43)	0.114	1485
MACE	-		1.41 (0.93, 2.15)	83 (4.4%)	1.31 (1.04, 1.62)	6340.8	0.38 (-0.06, 0.82)	0.173	262
MAGE			0.98 (0.42, 2.31)	15 (1.5%)	0.41 (0.23, 0.67)	3697.5	-0.01 (-0.37, 0.34)	0.173	-8892
Myocardial	-		1.92 (0.92, 4.00)	35 (1.8%)	0.55 (0.38, 0.76)	6369.8	0.26 (0.00, 0.52)	0.071	379
infarction	← □		0.78 (0.13, 4.65)	3 (0.3%)	0.08 (0.02, 0.24)	3712.0	-0.02 (-0.19, 0.15)		-4369
VTE	-	<b>▲</b> →	5.19 (1.86, 14.46)	42 (2.2%)	0.67 (0.48, 0.90)	6307.4	0.54 (0.30, 0.78)	0.002	186
***			0.77 (0.28, 2.17)	9 (0.9%)	0.25 (0.11, 0.47)	3666.7	-0.07 (-0.37, 0.23)	0.002	-1421
All-cause		i	2.24 (1.20, 4.19)	55 (2.9%)	0.86 (0.65, 1.12)	6364.3	0.48 (0.17, 0.80)	0.030	208
death			1.05 ( 0.36, 3.07)	10 (1.0%)	0.27 (0.13, 0.50)	3682.4	0.01 (-0.27, 0.29)	0.000	9898
	0.25 0.5 1 2 Favors 1 Favor tofacitinib TNF								

Age <65 years and Never smoked (Low-risk)

**Figure 2** Risk of malignancies (excluding NMSC), MACE, MI, VTE and all-cause death with tofacitinib versus TNFi in ORAL Surveillance by subgroups of high-risk and low-risk patients. HRs (95% CIs), shown on a logarithmic scale, are based on a simple Cox proportional hazard model comparing combined tofacitinib doses versus TNFi. Arrow heads indicate that CI extends beyond the graph axis. IRs express the number of patients with first events per 100 PY. Treatment-by-risk interaction p values were calculated based on IR differences (two-sided, normal approximation of difference in IR). NNH (PY) should be interpreted as the number of patient-years of exposure to tofacitinib required to have one additional event versus TNFi. All data are for combined tofacitinib doses. \*Results reported in Curtis *et al.*<sup>7</sup> IR, incidence rate; MACE, major adverse cardiovascular events; N, number of evaluable patients; n, number of patients with events; NMSC, non-melanoma skin cancer; NNH, numbers needed to harm; PY, patient-years; TNFi, tumour necrosis factor inhibitor; VTE, venous thromboembolism.



**Figure 3** Cumulative probability of patients with malignancies (excluding NMSC), MACE, MI, VTE and all-cause death in ORAL Surveillance overall population and by subgroups of high-risk and low-risk patients. Overall population received tofacitinib 5 mg or 10 mg two times a day (N=2911) or TNFi (N=1451). High-risk patients were  $\geq$ 65 years of age or ever smoker (tofacitinib, N=1895; TNFi, N=926). Low-risk patients were <65 years of age and never smoker (tofacitinib, N=1016; TNFi, N=525). Cumulative probabilities of events were calculated based on Kaplan-Meier estimates. Cumulative probability plots for malignancies (excluding NMSC) and MACE in overall population have been reported in Ytterberg *et al*<sup>1</sup> and are included for reference. MACE, major adverse cardiovascular events; MI, myocardial infarction; NMSC, non-melanoma skin cancer; TNFi, tumour necrosis factor inhibitor; VTE, venous thromboembolism.

# Cumulative probability of events with tofacitinib and TNFi in high-risk and low-risk subpopulations

'Age  $\geq 65$  years or ever smoker' and 'age < 65 years and never smoker' are hereafter referred to as 'high-risk' and 'low-risk', respectively. To further assess absolute and relative risk of malignancies (excluding NMSC), MACE, MI, VTE and all-cause death with tofacitinib versus TNFi over time in high-risk and low-risk patients, cumulative probability curves were generated (figure 3). These curves confirmed that there were two tofacitinib subpopulations with different relative risk versus TNFi: one subpopulation ('high-risk') of patients which had higher risk with tofacitinib versus TNFi for all these outcomes and one subpopulation ('low-risk') for which the curves representing treatment with tofacitinib and TNFi overlapped and/or

Table 3	Tofacitinib treatment exposure in high-risk and low-risk	
populatio	ons in ORAL Surveillance and RA, PsA, UC development	
program	nes	

	ORAL	Tofacitinib o	development	programme
	Surveillance (RA)	RA*	PsA	UC
Overall population				
Ν	2911	7964	783	1157
Exposure (PY)	10 922	23 497	2038	2814
Follow-up; mean, max (years)	3.8, 6.1	3.0, 10.5	2.6, 4.8	2.4, 7.8
High-risk: ≥65 years or ever smoke	ed			
Ν	1895	3577	341	444
%	65.1%	44.9%	43.6%	38.4%
Exposure (PY)	6986	9961	837	1113
Low-risk: <65 years and never smo	oked			
Ν	1016	4198	442	713
%	34.9%	52.7%	56.4%	61.6%
Exposure (PY)	3937	13 168	1201	1702

PY was calculated from the first dose of tofacitinib to the last contact date in ORAL Surveillance, and from the first dose of tofacitinib to the last dose of tofacitinib for all other development programmes. \*Excluding ORAL Surveillance.

N, number of patients treated with tofacitinib; PsA, psoriatic arthritis; PY, patient-years; RA, rheumatoid arthritis; UC, ulcerative colitis.

crossed, and we could not detect a difference between tofacitinib and TNFi up to 6 years of follow-up in ORAL Surveillance.

### Absolute risk in low-risk patients in ORAL Surveillance

Absolute risk of malignancies (excluding NMSC), MACE, MI, VTE and all-cause death in low-risk patients (figure 2) was low as reflected in low event rates and IRs. NNH based on the IR differences with tofacitinib versus TNFi indicated that 1485 and 9898 low-risk patients would need to be treated with tofacitinib for 1 year to have one additional event of, respectively, malignancies (excluding NMSC) and all-cause mortality versus TNFi. For MACE, MI and VTE in low-risk patients, IRD and NNH were negative and in favour of tofacitinib versus TNFi. The relatively large NNH (positive or negative numbers) principally reflect similar absolute risk with tofacitinib versus TNFi in low-risk patients.

# Absolute risk in low-risk patients in tofacitinib RA, PsA and UC clinical development programmes

ORAL Surveillance was a substantial RA study, in terms of number of patients and exposure time. However, it makes up only a third of the overall tofacitinib experience in RA. Specifically, 34.1% (1016/2911) of patients treated with tofacitinib in ORAL Surveillance were <65 years of age and never smokers, and this population had low absolute risk and no detectable excessive risk of malignancies (excluding NMSC), MACE, MI, VTE and all-cause death versus TNFi. To increase the precision of absolute low-risk estimates, we conducted additional analyses in the tofacitinib development programmes of RA, PsA and UC, collectively representing 25 437 patient years of tofacitinib exposure and safety observations extending up to 10.5 years.<sup>10</sup> 52.7% (4198/7964) of patients in the tofacitinib RA development programme were <65 years of age and never smokers, representing more than 4000 patients and 13 497 patient years of tofacitinib exposure in the low-risk group of interest (table 3). In the tofacitinib PsA and UC development programmes, 56.4% and 59.6% of patients were <65 years of age and never smokers.

Figure 4 shows absolute risk (ie, IRs (95% CIs)) of malignancies (excluding NMSC), MACE, MI, VTE and all-cause death in the overall population and in low-risk patients treated with tofacitinib in the overall RA, PsA and UC development programmes compared with absolute risk with TNFi and tofacitinib in ORAL Surveillance (RA controlled phase and high-risk patient data in online supplemental figure S3). Consistently, IRs for malignancies (excluding NMSC), MACE, MI, VTE and allcause death in low-risk patients treated with tofacitinib in the RA development programme were similar to those observed in low-risk patients in ORAL Surveillance; however, the precision of the estimate was higher, that is, the 95% CIs were narrower. Similarly, IRs of malignancies (excluding NMSC), MACE, MI, VTE and all-cause death in the PsA and UC tofacitinib development programmes in overall populations and low-risk patients were similar to those observed in tofacitinib-treated RA lowrisk patients in ORAL Surveillance and the RA development programme (figure 4).

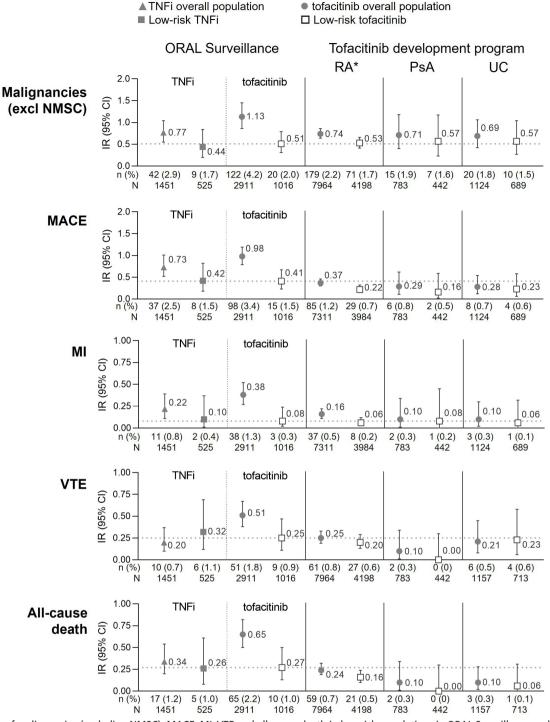
# DISCUSSION

Primary findings in ORAL Surveillance demonstrated a higher incidence of MACE and malignancies with tofacitinib versus TNFi.<sup>15</sup> In this posthoc analysis, two clinically practical and easily identifiable subpopulations were found that demarcated tofacitinib-treated patients with increased risk of these events from patients with similar risk relative to TNFi-treated patients.

Given the design of ORAL Surveillance, enough events accrued to allow for posthoc identification of patients at higher risk with tofacitinib versus TNFi. Initial analyses identified age and smoking as potential independent risk factors, and more than 80% of tofacitinib-treated patients with MACE, MI, malignancies (excluding NMSC), VTE or all-cause death were accounted for by the combination of these two risk factors. Of note, 'having ever smoked' was found to largely correspond to substantial smoking history. Specifically, more than 90% of current or past smokers in ORAL Surveillance were long-time smokers with more than 10 years of smoking and a median smoking history over 30 years on study entry.

We found an exacerbation in risk with the combination of risk factors age 65 years or older and having ever smoked, and patients with at least one of these risk factors had a disproportionately larger risk increase with tofacitinib versus TNFi, while in patients without these two differentiating risk factors, we could not detect a risk difference for malignancies (excluding NMSC), MACE, MI, VTE or all-cause death between tofacitinib and TNFi.

The risk difference for long-latency events between tofacitinib and TNFi observed at the overall study population level emerged after approximately two years of follow-up in ORAL Surveillance. Since JAK inhibitors are used chronically, patients without risk factors could potentially be exposed for prolonged periods of time during which a small risk increase could become clinically relevant. Analyses of absolute and relative risk over time found that the excess risk with tofacitinib versus TNFi was confined to patients defined by the composite of age  $\geq 65$ years or ever smoking ('high-risk'), and these differentiating risk factors accounted for the excess risk of malignancies (excluding NMSC), MACE, MI, VTE or all-cause death observed with tofacitinib versus TNFi. On the other hand, in patients who were younger than 65 and had never smoked ('low-risk'), but who all had prevalent other CV risk factors per ORAL Surveillance eligibility criteria, we could not detect a difference between tofacitinib and TNFi even up to 6 years of follow-up which is longer than the median drug survival in RA.<sup>11</sup> This observation was consistent across outcomes including malignancies (excluding NMSC), MACE, MI, VTE and all-cause death, and



**Figure 4** Risk of malignancies (excluding NMSC), MACE, MI, VTE and all-cause death in low-risk populations in ORAL Surveillance and tofacitinib clinical development programmes. Low-risk patients were <65 years of age and never smoker. Horizontal dotted line represents IR in low-risk patients treated with tofacitinib in ORAL Surveillance. IRs express the number of patients with first events per 100 PY. All data are for combined tofacitinib doses. \*Excluding ORAL Surveillance. Data from ORAL Surveillance overall populations have previously been published and are included for reference; malignancies (excluding NMSC) and MACE (Ytterberg *et al*<sup>1</sup>), MI (Charles-Schoeman *et al*<sup>5</sup>). Also, previously published are data from the tofacitinib RA and PsA development programmes on MACE (Burmester *et al*<sup>25</sup>) and VTE (Mease *et al*<sup>26</sup>). IR, incidence rate; MACE, major adverse cardiovascular events; MI, myocardial infarction; N, number of evaluable patients; n, number of patients with events; NMSC, non-melanoma skin cancer; PsA, psoriatic arthritis; PY, patient-years; RA, rheumatoid arthritis; TNFi, tumour necrosis factor inhibitor; UC, ulcerative colitis; VTE, venous thromboembolism.

the magnitude of absolute risk in the low-risk group remained low over time and was similar to TNFi with large NNH.

The composite of age  $\geq 65$  years or ever smoker was strongly associated with absolute risk of malignancies (excluding NMSC),

MACE, MI, VTE and all-cause death in ORAL Surveillance, and, as mentioned above, with treatment with tofacitinib, most events (>82%) occurred in the 65% of patients in this high-risk subgroup. There were accordingly few events in the low-risk

group, patients <65 years of age that had never smoked, which made up 35% of the overall study population. Consequently, it can be argued that there is not sufficient precision in the absolute and relative risk estimates in this low-risk population in ORAL Surveillance. Even though ORAL Surveillance was a large and long study, it makes up only a third of the overall tofacitinib experience (excluding ORAL Surveillance) in RA and therefore, it was important to validate the absolute risk estimate in ORAL Surveillance within the larger tofacitinib RA clinical programme which extended up to 10 years and included more than 4000 patients in the low-risk group of interest. Importantly, we corroborated the absolute risk estimates from ORAL Surveillance and with higher precision, with data from the overall population and the low-risk population of the more extensive tofacitinib RA development programme. Moreover, the magnitude of the absolute risk in the low-risk group was low also in relation to published rates in randomised controlled trials and their LTEs in RA populations treated with TNFi and other biologics,<sup>12–18</sup> and this finding was confirmed also in the other tofacitinib development programmes of PsA and UC. A limitation of these analyses is that the average follow-up time in the tofacitinib clinical development programmes (3.0, 2.6 and 2.4 years in the RA, PsA and UC programmes, respectively) was shorter than in ORAL Surveillance (3.8 years).

Recognising limitations associated with the posthoc nature of these results, multiple aspects were considered to improve robustness and confirm validity of findings. First, only data for the combined doses of tofacitinib (with larger number of patients and events vs per individual dose) were used for increased precision. In ORAL Surveillance, p values (0.002-0.173 for the outcomes) for the interactions between treatment groups and subgroups of high-risk/low-risk patients lent support to the presence of a differential treatment effect for the two subgroups of patients. Second, the analyses are based on risk factors (ie, older age and smoking) already identified as major risk factors of malignancies, MACE and VTE in the general and RA populations.<sup>3 19–24</sup> Finally, we cannot, based on these posthoc analyses, rule out that there is an increased relative risk of safety outcomes with tofacitinib versus TNFi in the low-risk group. However, if such an increased risk is present, we show that the absolute risk is low, as indicated by low or no difference in IRs over time and high NNH. Moreover, we have recently reported on history of ASCVD as another differentiating risk factor.<sup>5</sup> However, whereas the combination of age and smoking is capable of differentiating risk across major outcomes, history of ASCVD is specific for MACE. These are all factors that need to be considered in an individualised benefit/risk assessment.

The analyses presented herein had a particular focus on the identification of a high-risk and a low-risk population. Future investigations should aim at assessing whether the high-risk population can be further segmented into different relative risk levels.

In summary, ORAL Surveillance identified a high-risk and low-risk tofacitinib population with different relative risk vs TNFi. Higher risk versus TNFi was confined to a subgroup of patients defined by distinct, readily identifiable risk factors, age 65 years or older and long-time smoking (current or past), and these differentiating risk factors accounted for the excess risk observed with tofacitinib versus TNFi. In 'low-risk' patients who were younger than 65 and had never smoked, but who all had prevalent other CV risk factors per ORAL Surveillance inclusion criteria, there was no detectable risk increase vs TNFi with up to 6 years of follow-up in ORAL Surveillance and the magnitude of absolute risk remained low and was corroborated across tofacitinib programmes with up to 10 years of observations. It is acknowledged that the findings are posthoc, nevertheless, the results are clinically important and appear generalizable. These findings can guide individualised benefit/risk assessment and clinical decision-making on treatment with tofacitinib.

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**Data availability statement** Data are available on reasonable request. On request, and subject to review, Pfizer will provide the data that support the findings of this study. Subject to certain criteria, conditions and exceptions, Pfizer may also provide access to the related individual deidentified participant data. See https://www.pfizer.com/science/clinical-trials/trial-data-and-results for more information.

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