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Cross-Species Convergence of Brain Transcriptomic and Epigenomic Findings in Posttraumatic Stress Disorder: A Systematic Review

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Keywords

Posttraumatic stress disorder · DNA methylation · Gene expression · Brain · Central nervous system

Abstract

Introduction: Posttraumatic stress disorder (PTSD) is a complex multifactorial disorder influenced by the interaction of genetic and environmental factors. Analyses of epigenomic and transcriptomic modifications may help to dissect the biological factors underlying the geneenvironment interplay in PTSD. To date, most human PTSD epigenetics studies have used peripheral tissue, and these findings have complex and poorly understood relationships to brain alterations. Studies examining brain tissue may help characterize the brain-specific transcriptomic and epigenomic profiles of PTSD. In this review, we compiled and integrated brain-specific molecular findings of PTSD from humans and animals. Methods: A systematic literature search according to the PRISMA criteria was performed to identify transcriptomic and epigenomic studies of PTSD, focusing on brain tissue from human postmortem samples or animal-stress paradigms. **Results:** Gene- and pathwaylevel convergence analyses revealed PTSD-dysregulated genes and biological pathways across brain regions and species. A total of 243 genes converged across species, with 17 of them significantly enriched for PTSD. Chemical synaptic transmission and signaling by G-protein-coupled receptors were consistently enriched across omics and species. **Discussion:** Our findings point out dysregulated genes highly replicated across PTSD studies in humans and animal models and suggest a potential role for the corticotropin-releasing hormone/orexin pathway in PTSD's pathophysiology. Further, we highlight current knowledge gaps and limitations and recommend future directions to address them.

Introduction

Posttraumatic stress disorder (PTSD) is a mental illness that develops after exposure to an extremely stressful and often life-threatening event. According to the

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Diagnostic and Statistical Manual of Mental Disorders, Fifth Edition (DSM-5) definition, PTSD is characterized by heterogeneous symptom clusters such as reexperiencing, avoidance, numbing, and hyperarousal [1]. Predominant PTSD typologies include anxious reexperiencing, dysphoria, and high symptoms [2, 3]. Among trauma-exposed individuals, only a small percentage develop PTSD [4–8], with a reported lifetime prevalence of 6–7% [9–13]. This suggests the presence of biological factors underlying individual differences in PTSD risk [14–18].

Genetic factors may confer susceptibility to PTSD. Twin studies have reported a heritability rate of approximately 49% [19]. Further, genome-wide association studies (GWAS) estimated a 6-20% variance explained by common genetic variations (h²SNP 0.06–0.2) [19–23]. Though recent large-scale GWAS have successfully identified genetic risk variants associated with PTSD [21, 23], the function of most of these remains unknown, making their biological interpretation difficult. Transcriptomic profiling can shed light on the potential function of these risk variants and may identify risk biomarkers. For example, PTSD risk variants identified in GWAS are mapped to genes that themselves can influence gene regulation, such as METTL15, which is involved in RNA methylation, and AUTS2 or ZNF813, which regulate transcriptional processes [21, 23]. By integrating GWAS and transcriptomic data in transcriptome-wide association studies (TWAS), there are now reports of genotype-dependent effects of PTSD risk variants on differential gene expression. For example, a previous TWAS comparing resilient versus chronic symptom trajectories in blood samples showed an association of GRIN3B with PTSD, showing lower mRNA expression levels. These effects were dependent on genotype variation at rs10401454 and were associated with differential expression levels of GRIN3B in brain tissue [24].

Epigenetic modifications also participate in the interplay of genetics and environmental factors in PTSD, influencing gene regulation without altering the DNA sequence. DNA methylation (DNAm) is an epigenetic modification that results from the addition of a methyl group in the 5' position of a cytosine ring (5-methylcytosine [5mC]). It occurs mainly in CpG islands and is commonly associated with transcriptional repression at the promoter region. Whole-genome DNAm studies, also called epigenome-wide association studies (EWAS), performed on human peripheral samples have identified genes likely involved in PTSD, such as *AKT*, *ANK3*, *BDNF*, *CNR1*, *COMT*, *CREB*, *DRD2*, *DMRTA2*, *DOCK2*, *EFS*, *ELK1*, *ETS-2*, and *GATA3* [25–31]. Studies utilizing genomic, epigenomic, and transcriptomic analyses in peripheral samples of PTSD cases and trauma-exposed controls can help map gene-regulatory processes involved in disease risk and reveal putative molecular phenotypes associated with PTSD.

The degree to which specific epigenomic and transcriptomic changes in peripheral tissues correspond to the diverse profiles of changes in specific cell types in the brain is uncertain [29, 30, 32]. Thus, examining peripheral tissue may be of limited applicability as a strategy for understanding the brain [31, 33]. Considering the importance of assessing human brain samples in PTSD, the US Department of Veterans Affairs has diligently worked to create and curate the National PTSD Brain Bank (NPBB), a biorepository of human postmortem brains from individuals with a PTSD diagnosis and healthy controls. However, the scarcity of curated brain banks housing samples from PTSD individuals is still evident. To investigate brain-specific molecular changes and further characterize the mechanisms involved in PTSD, the evaluation of brain tissue from animal models represents a complementary strategy to human studies. Several animal-stress paradigms have been used for the study of PTSD, including immobilization, electric shock, predator stress, single prolonged stress, and stressenhanced fear learning (SEFL) [34-37]. These models are used as physical stressors to develop conditioned fear memory, a central mechanism in PTSD [34-37].

Studying the molecular convergence in brain tissue across humans and animal models may help to replicate and prioritize molecular findings in PTSD. Since molecular epigenomic and transcriptomic signatures can be tissue or cell-type specific [38, 39], more recent work in both humans and animal models has focused on examining brain tissue. In this review, we summarize brainspecific epigenomics and transcriptomics findings in PTSD across species and report convergent genes and biological pathways likely involved in the pathophysiology of PTSD.

Methods

Search Strategy and Study Selection

A systematic literature search was conducted using the PubMed database between September 2021 and September 2022. We focused on genome-wide studies that investigated the epigenomic or transcriptomic profiles associated with PTSD by examining brain tissue from human postmortem samples or animal models. To reduce bias in the selection of studies, we followed the Preferred Reporting Items for Systematic Reviews and Meta-Analyses

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(PRISMA) criteria (Fig. 1a; online suppl. Table 1; for all online suppl. material, see www.karger.com/doi/10.1159/000529536). Our inclusion criteria were as follows: (1) full-text original research articles written in English; (2) studies evaluating tissue from at least one brain region; (3) studies using genome-wide approaches; and (4) studies using animal-stress paradigms including exposure to electric shock, predator stress, single prolonged stress, and SEFL, all of which have been suggested to model PTSD behaviors.

Exclusion criteria included (1) studies examining genetically modified (knock-down or -out) animal models, (2) studies assessing changes related to therapeutic intervention, (3) studies evaluating a trait other than PTSD (in humans), (4) studies with gene-level data not available, and (5) review articles. Exclusion criteria 1 and 2 were utilized to reduce methodological variability across species.

For human studies, we used ("traumatic" OR "PTSD" OR "post-traumatic stress disorder" OR "posttraumatic stress disorder") AND ("epigenetics" OR "methylation" OR "histone" OR "gene expression" OR "transcriptomic" OR "RNAseq") AND ("postmortem brain" OR "post-mortem brain" OR "postmortem" OR "post mortem") as keywords. Among 57 records identified, 50 were excluded after screening by title or abstract. A full-text review was performed on seven records to confirm that they met inclusion or exclusion criteria. Five records were excluded because they corresponded to studies assessing mitochondrial genome, studies with gene-level data not available, or those assessing features related to PTSD such as body mass index (BMI) or epigenetic age. Only two records were left after applying inclusion and exclusion criteria.

For animal models ("PTSD" OR "PTSD model" OR "PTSDlike") AND ("epigenetics" OR "methylation" OR "histone" OR "gene expression" OR "transcriptomic" OR "RNAseq") AND ("animal model" OR "mouse" OR "rat" OR "mice") AND ("brain" OR "cortex" OR "amygdala" OR "hippocampus") were used as keywords. Among the 195 records identified, after screening by title and abstract, 174 were excluded because they were associated with review articles, targeted gene studies, studies assessing a therapeutic target, studies examining genetically modified animals, and studies assessing traits other than PTSD. A full-text review was performed on 21 records. Fourteen were excluded because they were assessing the mitochondrial genome, using targeted approaches, or examining therapeutic targets. Seven records were left after applying the inclusion and exclusion criteria.

Data Extraction and Convergence Analysis

After an exhaustive full-text review, gene-level data (including fold change and significance value) publicly available in the main text or supplementary information were collected from each of the nine studies identified. Collected data were organized according to brain region, sex, and species and later used as input in convergence analyses. Metascape, a comprehensive web-based tool (https://metascape.org/gp/index.html#/main/step1) useful for analyzing and integrating multiple and orthogonal gene lists [40], was utilized to assess convergence across species. Metascape automatically recognizes different gene identifier types (such as Entrez ID or gene symbol) from various organisms' datasets (including *H. sapiens, M. musculus*, and *R. norvegicus*), which are then mapped to a unique Entrez ID for further annotation analyses [40]. Gene names directly collected from each paper ("as-is") were used as input in Metascape, with the "any species" option selected for the gene-identifier-conversion step [40]. Comparative functional enrichment analyses were carried out across the independent gene lists by species, sex, and brain region [40]. Annotated terms from ontology sources such as GO and KEGG were hierarchically clustered, thereby avoiding enrichment-term redundancy and eliminating confounding data interpretation [40].

To evaluate how each study affects convergent findings and to confirm that the robustness of our convergence findings across species was not driven by one single study, we performed a leaveone-out sensitivity analysis [41]. Genes and biological pathways that remained convergent after leaving one out were defined as "convergent." A protein-protein interaction (PPI) enrichment analysis was also performed using the Molecular Complex Detection (MCODE) algorithm implemented in Metascape [40] to identify the networks associated with the identified convergent genes. Figures and statistical analyses were carried out using the R packages ggpubr [42] and ggplot2 [43] (R version 4.1.0) [44]. A Venn diagram web-based tool (http://bioinformatics.psb.ugent.be/ webtools/Venn/) was used to assess gene-level convergence after leave-one-out sensitivity analysis. An ideogram was built using the Phenogram [45] web-based tool. BioRender was used to design the proposed model of convergent genes in PTSD [46].

Results

For transcriptomics, we identified nine studies, all examining bulk brain tissue without considering potential cell-type specificity (Fig. 1b; online suppl. Table 2). In terms of species and sex, the seven studies conducted on animal models were performed on rodents, five using only males [47-51] and two including both males and females [52, 53]. The two transcriptomic studies identified in humans performed both combined and sexspecific analyses. Among the brain regions analyzed, the amygdala was the most commonly assessed in animal models [48-50, 52, 53] and was also evaluated in one of the two identified human studies [54]. In humans, the cortex was the most commonly assessed brain region, but only one such study was identified in animals [48]. No studies examining epigenetics of PTSD at the genomewide scale in the brain were identified in our review (Fig. 1b; online suppl. Table 2). Below, we provide a brief description of each identified study, followed by a crossspecies convergence analysis to identify common genes and biological pathways in PTSD.

Human Studies

We identified three genome-wide studies assessing human postmortem brain samples: two transcriptomic studies using RNA sequencing (RNAseq) [54–56] and one epigenomic study using the Illumina Infinium Methylation EPIC array. Both transcriptomic studies examined differential expression using combined and



Fig. 1. Study overview. **a** Flow diagram of the PRISMA study selection workflow in our systematic literature review. The identification and screening process of selected reports, focused on brain-specific transcriptomic and epigenomic studies of PTSD in humans and animal models, is shown. **b** Cross-species convergence in PTSD. The number of identified transcriptomic and epigenomic studies in animal models and humans is depicted. A

high variability is observed in the brain regions examined across species. Subgenual prefrontal cortex (sgPFC), orbitofrontal cortex (OFC), dorsolateral prefrontal cortex (dlPFC), dorsolateral anterior cingulate cortex (dACC), ventromedial prefrontal cortex (vmPFC), amygdala (AMY), hippocampus (Hippo), hemibrain (Hemibr), medial prefrontal cortex (mPFC), ventral striatum (VS), septal region (SE), corpus striatum (ST). sex-specific models (Fig. 1b; online suppl. Table 2). The epigenomic study was limited to the evaluation of epigenetic aging.

Transcriptomic Studies

The first transcriptomic study [55] of PTSD examined human postmortem brain samples from the NPBB, including 52 PTSD cases, 46 healthy controls, and 45 individuals with major depressive disorder. This study evaluated four different brain regions: the subgenual prefrontal cortex (sgPFC), the orbitofrontal cortex (OFC), the dorsolateral prefrontal cortex (dlPFC), and the dorsolateral anterior cingulate cortex (dACC) [55]. In the combined-sex model, a total of 635 differentially expressed genes (DEGs) were identified across four brain regions: the sgPFC (n = 1), OFC (n = 170), dlPFC (n = 390), and dACC (n = 74). Specifically, 234 genes were downregulated and mainly involved in gliogenesis; in contrast, 401 were upregulated and mainly implicated in synapse and neuronal development (online suppl. Table 3). Among all the identified DEGs across the four brain regions, ELK1 and ADAMTS2 were found in the dlPFC, OFC, and dACC, and 43 genes were found in at least two of the four evaluated regions (online suppl. Table 3).

A follow-up sex-specific analysis comparing PTSD cases with controls found that the number of DEGs was significantly higher in women than in men in all four brain regions, particularly the OFC and the sgPFC (online suppl. Table 3). Enrichment analysis of DEGs showed decreased expression of GABA-related genes, although this low expression is observed predominantly in women, suggesting sex-specific effects in gene expression. In a TWAS, ELFN1, GJC1, LRRC37A, MAPT, MST1R, RBM6, and ZHX3 were the seven cortical hits associated with PTSD, of which ELFN1 is a GABA-related gene. Of note, ELFN1 encodes a synaptic adhesion protein required for the recruitment of presynaptic GRM7 (metabotropic glutamate receptor 7) and GRIK2 (glutamate receptor kainate 2) [55]. The significant genes identified in the combined and sex-specific models were collected for convergent analyses (online suppl. Table 3).

A more recent transcriptomic study evaluated the basolateral amygdala (BLA), medial amygdala, dlPFC, and dACC of human postmortem brain samples from 107 PTSD cases and 109 controls collected from several US medical examiners' offices in Maryland, the District of Columbia and Virginia [54]. In this study, types of trauma included combat-related, childhood maltreatment, and any assaultive violence. With a false discovery rate (FDR) <0.05 and a combined-sex model, 41 DEGs were identified in the cortex, including *CORT*, *HDAC4*,

and *SPRED1*. *CRHBP* was the only DEG identified in the amygdala. In the sex-specific analysis, authors report potential sex-specific effects, but gene-level statistical data were not provided. A comparison between combatexposed individuals with and without PTSD showed *CHI3L2, GALNT15,* and *TJP2* to be significant DEGs in the amygdala. No significant genes among combatexposed individuals were observed in the cortex [54]. For our convergent analyses, we used the significant genes identified in the combined-sex model (online suppl. Table 3).

Epigenomic Studies

The only epigenetic study of PTSD in the human brain is an epigenetic analysis of the effect of the rs9315202 SNP on the KL gene. This study was also conducted using samples from the NPBB. The rs9315202 SNP interacts with PTSD to predict decreased expression of KL and advanced epigenetic age in the motor cortex of individuals older than 45 years [56]. This is consistent with results obtained in blood samples from PTSD patients, where the minor allele A of this SNP was associated with PTSD symptom severity and advanced epigenetic age [57]. A related study from the same group, not identified in our literature search, evaluated the relationship of advanced epigenetic age with PTSD, alcohol use disorder, and gene expression in the dlPFC, ventromedial prefrontal cortex, and motor cortex [58]. It identified 11 genes with differential expression related to epigenetic age residuals in both disorders, including SNORA73B, COL6A3, ADGRG6, IL1B, NUTM2A-AS1, GCNT1, GPRIN3, CES3, ADAMTS18, LINC00643, and RCOR2. They highlighted IL1B, RCOR2, and GCNT1, which participate in the inflammatory response, and suggested that inflammatory processes may accelerate cellular aging and participate in the development of PTSD and alcohol use disorder [58]. Because differential methylation at the genome-wide scale was not assessed, these studies were not included in our convergent analyses. In summary, we identified two human transcriptomic studies of PTSD examining brain tissue, which were later included in our convergence analyses (online suppl. Table 3).

Animal Models

Seven full-text transcriptomic studies of animal-stress paradigms of PTSD were identified (Fig. 1b; online suppl. Table 2), five using RNA sequencing [49–53] and two using expression arrays [47, 48]. Four studies evaluated acute and chronic consequences of trauma in animalstress paradigms of PTSD [47, 48, 50, 51]. Brain-specific epigenomic changes were also evaluated, but gene-level data on significantly differentially methylated genes were not provided [47]. The animal models of PTSD-related stress paradigms utilized in these studies include fear conditioning, inescapable electric foot shocks, SEFL, aggressor exposure, and immobilization stress [34–37] in mice or rats.

Transcriptomic Studies

Electric foot shock is a physical stressor useful for evaluating learning, memory, and traumatic fear in animals and is often implemented in fear-conditioning paradigms. In this model, re-exposure to shock context or conditioned stimuli may reproduce PTSD symptoms [34–37]. A recent sex-specific transcriptomic study using fear conditioning as a stress paradigm and RNA sequencing examined the central and BLA in mice [53]. A total of 190 upregulated and 401 downregulated genes were reported as significant DEGs in fear-conditioned male mice. Nervous system development, axonogenesis, and neurotransmitter regulation were among the most significant pathways enriched [53]. These 591 significant genes were used for the convergence analyses (online suppl. Table 3).

Long intergenic noncoding RNA (lincRNA) profiles and mRNA differential expression were assessed in the left dorsal hippocampus of male rats exposed to fear conditioning using electric foot shocks (a series of ten single shocks followed by re-exposure to the chamber without shock) [51]. Differential expression was assessed in rodents that received D-cycloserine, a partial N-methyl-D-aspartate receptor agonist that facilitates extinction learning [51]. Based on our inclusion criteria, we focused on DEGs identified among drug-free animals. A total of 392 genes showed differential expression between non-trauma-exposed and trauma-exposed rats, of which 352 were downregulated and 40 upregulated. These DEGs were enriched for several pathways, including central nervous system, inflammation, neurodegeneration, and abnormal blood-brain barrier function [51]. They were used in our convergence analyses (online suppl. Table 3).

Another RNA-sequencing study evaluated geneexpression profiles in the amygdala and blood samples of male mice exposed to fear conditioning (electric foot shock) and fear conditioning combined with previous immobilization stress, as well as control mice. A comparison between the control group and mice exposed to fear conditioning showed 607 DEGs in the amygdala, mainly involved in memory formation and consolidation (online suppl. Table 3), while the 352 DEGs found in the blood were related to immune-system processes and homophilic cell adhesion of plasma membranes of adjacent cells [24]. A comparison between mice exposed to fear conditioning versus mice exposed to fear conditioning with previous immobilization stress identified 516 DEGs in the amygdala, which were involved mainly in cell proliferation and cellular response to drugs, and 468 in the blood, which were enriched in the immune response [49]. Some of the DEGs identified in the amygdala had been previously associated with PTSD, such as *DRD2* and *HTR2A*. The lists of 607 and 516 significant genes identified in these records were collected for our convergence analyses (online suppl. Table 3).

SEFL is another stress-paradigm model that recapitulates core PTSD symptoms such as hypervigilance, insomnia, and impaired attention and consists of exposure to unpredictable foot shocks followed by exposure to fearconditioning context [34–37]. Sillivan et al., 2017 [52] aimed to elucidate the transcriptomic differences in the resilient and susceptible behavioral phenotypes of PTSD in the BLA of mice exposed to SEFL using RNA sequencing. Sixty-one DEGs were identified (52 downregulated and 9 upregulated). Genes with the greatest fold change were involved in cognition, memory, and learning [52]. The list of significant genes identified in this study (19 DEGs) was collected for our convergence analyses (online suppl. Table 3).

A transcriptomic study of fear conditioning with electric foot shock in mice focused on identifying brain region- and time-dependent patterns of gene expression in the amygdala and anterior cingulate cortex (ACC). At two and 5 weeks post-stress, the number of DEGs in the ACC was 3,233 and 43, respectively. In the amygdala, the number of DEGs at two and 5 weeks was comparable (1,022 and 1,063, respectively) [50]. The list of significant genes available in this record (352 DEGs in the amygdala) was collected for our convergence analyses (online suppl. Table 3). Gene ontology (GO) analysis in the ACC showed that genes deregulated at 2 weeks participate in hormone responses (including oxytocin, thyroid, gonadotropin, and estrogen signaling) and synapse signaling (particularly cholinergic, dopaminergic, and glutamatergic synapses). For the amygdala, negative regulation of transcription was observed at 2 and 5 weeks; in contrast, positive regulation was observed only at 5 weeks. GO analysis revealed that genes participating in synapse formation and activity were enriched at 2 and 5 weeks, while genes participating in synapse-mediated signaling were only enriched at 5 weeks. Further, excitatory and inhibitory neurotransmission deregulation under stressful conditions was observed in the amygdala at 5 weeks, with a high enrichment of GABAergic- and glutamatergic-related genes [50].

The impaired gene expression in the amygdala reported by Tanaka et al. [50] is consistent with other reports suggesting an increased glutamate release (probably mediated by glucocorticoids) and an extensive neuronal proliferation in the same region, which is involved in fear memory [59, 60]. Further, this supports that an excitatory-inhibitory imbalance in the amygdala after trauma exposure may be implicated in the pathophysiology of PTSD [61, 62].

Social-defeat stress induced by a series of unprotected and protected exposures to an aggressor animal is a model used to evaluate resilience and susceptibility to PTSD [34-37]. Early and long-term responses to social-defeat stress were evaluated in a study that conducted a microarray expression analysis on mice exposed to a resident aggressor versus control (never exposed to aggressor) [47, 48]. This group performed their analysis in two phases. The first evaluated the hippocampus, amygdala, and medial prefrontal cortex (mPFC), ventral striatum (VS), septal region (SE), and corpus striatum (ST) [48]. The second phase evaluated the hemibrain, peripheral blood, and spleen [47]. Comparing peripheral and central tissues, 3,631 DEGs (1,874 upregulated and 1,757 downregulated) overlapped among all evaluated tissues and were mainly associated with the immune response. The list of nominally significant genes (p < 0.05) reported per brain region was collected for our convergence analyses (online suppl. Table 3). Brain regionspecific analysis revealed that inflammatory responses, neurogenesis, and synaptic plasticity were activated during the early response to trauma but inhibited during the long-term response in the amygdala. In the hippocampus and mPFC, inflammatory responses were activated and remained constant in early and long-term responses, while neurogenesis and synaptic plasticity were reduced in the long-term response. The authors concluded that neuroinflammation may have an inhibitory impact on neurogenesis and synaptic plasticity and suggested that blood samples could be a useful source to evaluate the trauma response [47, 48], particularly when assessing early and long-term effects.

While this animal-model study suggests activation of the brain inflammatory system in the early and long-term responses to trauma, a recent study in humans combining neuroimaging and gene expression with postmortem brain data found an opposite effect. By using 11C-PBR28 positron emission tomography brain imaging, low prefrontal-limbic availability of the microglial marker TSPO was observed in PTSD participants [63]. A lower expression of TSPO and microglia-associated genes *TNFRSF14* and *TSPOAP1* was also found in the female PTSD group. In the same study, authors also found that neuroimmune suppression was accompanied by an immune activation in the peripheral system, as indicated by higher C-reactive protein levels. These inconsistent findings across humans and animal models may be due to differences in type, intensity, and duration between the trauma exposure in humans and the stress-paradigm approaches used in animal models. More research is needed to confirm the association between peripheral immune activation and neuroimmune suppression in PTSD.

Epigenomic Studies

The study by Muhie et al. [47] evaluating transcriptomic changes in the hemibrain in an animal model of PTSD also investigated methylomic changes followed by an integrative multi-omic analysis, but gene-level data on significant results were not provided. This study reported increased promoter-associated DNAm and decreased gene expression in genes involved in learning and memory processes and synaptic plasticity. They also identified genes involved in the inflammatory response that showed increased gene expression and DNAm in the promoter region [47]. In summary, seven transcriptomic studies with available information in rodents were used in our convergence analyses, including findings from the amygdala, cortex, hippocampus, and other brain regions, including hemibrain, VS, SE, and ST (online suppl. Table 3).

Cross-Species Brain-Specific Convergence in PTSD

While there has been evident progress in recent years in studying transcriptomic and epigenomic profiles at the genome-wide scale, there are still many challenges and limitations in terms of sample size, study design (e.g., sexspecific vs. combined-sex), brain tissues examined, and heterogeneity in methodological approaches (e.g., different platforms used) (Fig. 1b; online suppl. Table 2). In terms of brain regions analyzed, the amygdala was the main region assessed in rodents, with hundreds of significant DEGs [27, 48-50, 52, 53], while the human amygdala was assessed in only one study, with only one significant DEG [54]. The cortex was the main brain region evaluated in human postmortem brain studies, with hundreds of DEGs reported [54, 55], while in rodents, only one study assessed the cortex, reporting only nominal findings [48]. In terms of sex, five of the seven identified animal studies evaluated only males [47-51]. The two studies evaluating both sexes in animal models only reported male-specific DEGs [52, 53]. Two studies using human postmortem brain samples carried out both

sex-specific and combined-sex analyses, but the list of significant DEGs was available in only one of these [55]. In terms of study design, four animal-model studies examined fear response at different time points [47–50], while PTSD studies in humans are postmortem [54, 55], meaning that the tissue is collected at the time of death and data on the time of trauma exposure are not available. Cross-species convergence analysis was performed by combining all datasets, five from animal models (amygdala, cortex, hippocampus, hemibrain, and other regions) and three from humans (female and male specific, as well as combined-sex analyses in the cortex). We additionally explored cortex-specific and malespecific convergence.

At the pathway level, cross-species convergence analysis identified enrichment for parental pathways (FDR <0.05) such as developmental process (GO:0032502), including cell morphogenesis; signaling (GO:0023052), including chemical synaptic transmission; and multicellular organismal process (GO:0032501), including behavior (Fig. 2; online suppl. Table 4). To demonstrate the robustness of our convergence findings, we conducted a leave-one-out analysis by performing four rounds of convergence analysis with each round missing one study in the following order: (1) [47, 48] (online suppl. Table 5), (2) [49] (online suppl. Table 6), (3) [50] (online suppl. Table 7), and (4) [53] (online suppl. Table 8). After the leave-oneout analysis, the top enriched biological processes convergent across all studies remained significant (Table 1), including developmental process (GO:0032502), multicellular organismal process (GO:0032501), and regulation of biological process (GO:0050789).

At the gene level, a total of 656 DEGs converged across species. Figure 3 depicts the PPI network and shows the subset of proteins with physical interactions from the convergent genes identified across all studies. After the leave-one-out analysis, 243 genes remained convergent across species (online suppl. Fig. 1; Table 9). Proteoglycans in cancer (hsa05205), calcium signaling pathway (hsa04020), signaling by G-protein-coupled receptor (GPCR) (hsa372790), and oxytocin-signaling pathway (hsa04921) were among the top pathways enriched by these 243 convergent genes (Table 2; online suppl. Table 10).

Among the 243 convergent genes identified, using the DisGeNET database in Metascape, CALM1, CRH, DRD1, TSC22D3, EGR3, FGFR3, FKBP5, GRM2, HSPA5, HTR2A, MBP, NOTCH1, PNOC, MAPK1, PTPN4, RGS2, and HDAC4 were found to be significantly enriched in PTSD (FDR <0.05) (Fig. 4a, b; online suppl. Table 11). GO analyses of these 17 convergent genes enriched for PTSD showed enrichment for synaptic signaling, GPCR

signaling, brain development, and the orexin-receptor pathway (Fig. 4c; online suppl. Table 12).

We explored male-specific convergence by evaluating the DEGs reported in the seven identified animal-model studies [47–53] as well as in the male-specific findings reported in the human study [55]. Regulation of biological process (GO:0050789) and response to stimulus (GO:0050896) were the top parental convergent pathways across species (online suppl. Table 13). At the gene level, we identified 26 male-specific convergent genes across species, such as CFH, ADAMTS2, PER2, EDNRA, IGF2, RND2, CDKN1A, TGM2, ADAMTS1, THBD, PENK, FOXC2, NFIL3, CCL5, SYNDIG1L, ARNTL, DSP, ELK1, FRZB, HEYL, FMOD, COL1A2, KDR, DNMT1, SLC13A4, and COL1A1.

Cross-species convergence was also examined for cortex-specific transcriptomic dysregulation. At the gene level, we found that 15, 50, and three DEGs reported in the rodent mPFC were also identified in at least one of the four human cortex subregions (dlPFC, OFC, dACC, and sgPFC) of the combined-sex, female-specific, and malespecific analyses, respectively (online suppl. Table 14; Fig. 2–4). Correlation of convergent genes in the cortex was explored across cortex subregions and sexes. A significant negative correlation was observed between the mPFC of male rodents and the female human OFC (R =-0.41, p = 0.0014) (online suppl. Fig. 5). No additional significant correlation was observed across the datasets. In terms of direction effect, we observed the same expression pattern in several genes enriched for PTSD identified in the combined-sex analysis in humans (online suppl. Fig. 6). HDAC4 was upregulated in human dACC and rodent mPFC (online suppl. Fig. 6). FKBP5 was upregulated in rodent mPFC and human dlPFC (online suppl. Fig. 6). GRM2 was downregulated in rodent mPFC and human dlPFC (online suppl. Fig. 6). Comparing the rodent mPFC with the human-female-specific datasets, downregulation of FOXO4 and upregulation of CHRNA7 were observed in the rodent mPFC and female OFC (online suppl. Fig. 7). Lastly, upregulation of EDNRA and CFH is reported in the mPFC of rodents and dlPFC of human males (online suppl. Fig. 8). In summary, the cortex-specific analyses showed convergence at the gene level, as well as a same-direction effect of some convergent genes across different cortex subregions.

Discussion

Most of the growing research studying the transcriptomics and epigenomics of PTSD in human samples has focused on peripheral tissues such as blood and saliva. In contrast, studies examining brain tissues have mainly been conducted in rodents by using animal-stress paradigms to replicate PTSD-like behaviors. Previous work has discussed the ability of animal models to mimic PTSD features in certain animal-stress paradigms [34-37]. A recent review reported gene-level transcriptomic convergence across studies assessing different stress paradigms in mice [64]. Here, we conducted a systematic review on brain-specific transcriptomic and epigenomic studies of PTSD and assessed gene- and pathway-level convergence across species. A total of 243 DEGs identified in a human cohort were also found in animal models mimicking PTSD behaviors. Fear conditioning, either using electric foot shock or aggressor exposure, was the main stress paradigm implemented across the identified studies in this systematic review. Among these 243 genes, TSC22D3, FKBP5, and DRD1 were also enriched in PTSD. Our results showing cross-species convergence help to prioritize genes or pathways involved in PTSD but also provide evidence on how animal models can inform human work (and vice versa) in the context of PTSD.

Dysregulation of the hypothalamic-pituitary-adrenal (HPA) axis has been closely implicated in the development and maintenance of PTSD. Briefly, during stressful events, the hypothalamic paraventricular nucleus (PVN) secretes corticotropin-releasing hormone (CRH), which then activates the anterior pituitary to release adrenocorticotrophic hormone (ACTH). ACTH induces cortisol release (corticosterone in rodents) in the adrenal cortex [65]. Cortisol binds to the glucocorticoid receptor (GR, encoded by NR3C1) and other co-chaperones (such as FKBP5 and HSP90) to mediate the nuclear translocation of GR. Within the nucleus, GR binds to glucocorticoidresponse elements in the promoter region of several genes and regulates their expression [12, 66, 67]. Epigenetic studies have highlighted the role of HPA-axis-related genes NR3C1 and FKBP5 in PTSD [68-72]. Here we found that FKBP5 was upregulated in the human dlPFC (in the combined-sex analysis) as well as in the mPFC, amygdala, and SE of animal models. CRH was downregulated in the human dlPFC in the combined-sex analysis and upregulated in the rodent amygdala. This shows CRH and FKBP5 to be convergent genes across species, reinforcing the important role of the HPA axis in the pathophysiology of PTSD.

CRH and *FKBP5*, key regulators of the HPA axis, were also enriched in the orexin-receptor pathway (WP5094) [73]. Recently, the orexin-signaling pathway has also been involved in the pathophysiology and clinical features of

PTSD [74-79]. Orexin signaling was recently implicated in contextual generalization of social-stress learning in the BLA [79] and in conditioned fear [80] and emotional behaviors [81] in the central amygdala. The orexin A and B neuropeptides and the G-protein-coupled orexin receptors type 1 (OX1R) and type 2 (OX2R) are members of the orexinergic circuit. Orexin A and B are produced by neurons located in the hypothalamus that project widely to multiple brain regions including the cortex, thalamus, brainstem, and spinal cord [82-84]. Orexin A equally binds to OX1R and OX2R, while orexin B preferentially binds to OX2R [85-87]. Orexin receptors exhibit distinctly different distribution patterns in the brain. OX1R has been described in the bed nucleus of the stria terminalis, amygdala, locus coeruleus, laterodorsal tegmental nucleus, and pedunculopontine tegmental nucleus, whereas OX2R is predominantly expressed in the tuberomammillary nucleus and nucleus accumbens. Both OX1R and OX2R are found in the mPFC, hippocampus, hypothalamic PVN, PVN of the thalamus, dorsal raphe, and ventral tegmental area.

An interaction between OX1R/OXR2 and the HPA axis has been suggested based on findings from animal models. In mice, exogenous administration of orexins was related to HPA-axis activation, increasing ACTH and corticosterone release [88]. Further, inhibiting OX2R reduces stress-related ACTH release [89]. Using mouse pituitary tumor cell line AtT20, treatment with orexin A can activate the CRH receptor type 1 (CRHR1)-signaling pathway [90]. Reciprocally, CRH can stimulate orexin release [91]. Increased orexin mRNA levels and activation of the orexin system have been associated with acute and chronic stress [85]. Additional reports have also supported the role of orexin in PTSD, particularly in mediating memory and appetite dysfunction [75] and promoting resilience in the predator-scent stress model [74]. Inhibition of the orexin receptor (using suvorexant as an orexin antagonist) can attenuate PTSD-like symptoms in the stress/re-stress model [76]. Levels of orexin A are reduced in the plasma and cerebrospinal fluid of combatexposed veterans with a history of PTSD [77]. In human postmortem brains, a study reported a dysregulation of orexigenic neuropeptides in PTSD and suggested that changes in gene expression may be impacted by BMI [78]. Our cross-species convergence analyses further support the role of the orexinergic system in the pathophysiology of PTSD and the interrelation between orexin signaling and the HPA axis in the brain, but further analysis is needed to confirm and disentangle this relationship.



Fig. 2. Pathway-level convergence. Heatmap showing biological pathways in which human and rodent datasets converge.

Additional molecular mechanisms pointed out in this review that may play a key role in PTSD are chemical synaptic transmission (GO:0007268) and signaling by GPCR (R-HSA-372790), which are enriched by 243 convergent genes, 17 of which show disease enrichment for PTSD. Changes in synaptic plasticity have been previously described in the BLA [92], PFC, and hippocampus [60] of PTSD patients. A recent in vivo study scanning the PFC with magnetic resonance spectroscopy reported decreased glutamatergic synaptic strength in PTSD [93]. Neurotransmitter and neurohormone receptors coupled to G proteins may modulate several cellular processes in response to stress [94, 95]. Some of the most well-known GPCRs implicated in the stress response and PTSD are the corticotropin-releasing factor (CRF) receptors, which are closely related to behavioral, neuroendocrine, and immune responses to stress [94, 95]. The CRH-signaling pathway (WP2355), including CRH, FOSB, FOSL2, PLCG1, and MAPK1, was significantly

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enriched by the 243 convergent genes identified in this systematic review. Moreover, *CRH*, *DRD1*, *GRM2*, *HTR2A*, *PNOC*, and *MAPK1* were among the 17 convergent genes enriched in chemical synaptic transmission (GO:0007268) and signaling by GPCR pathways. These findings suggest that GPCR signaling, via CRHR1, OX1R, or OX2R, may regulate molecular mechanisms in response to stress, including synaptic processes.

Histone deacetylase 4 (*HDAC4*) and group II metabotropic glutamate receptor (*GRM2*) were among the 17 identified convergent genes enriched for PTSD. *HDAC4* encodes for histone deacetylase 4 enzyme, which is involved in epigenetic gene-regulatory processes by removing acetyl groups in histone proteins [96, 97]. A previous study assessing blood samples of women diagnosed with PTSD found that differential DNAm of *HDAC4* was associated with estradiol levels. The same study confirmed that differential expression of *HDAC4* is observed in the amygdala of female rodents exposed to fear

GO number	Parent_Go	Description	Across all studies Log (q value)	Excluding Muhie study Log(q value)	Excluding Lori study Log(q value)	Excluding Tanaka study Log(q value)	Excluding Reis study Log(q value)
GO: 0000902	19_GO:0032502 developmental process	Cell morphogenesis	-96.56	-82.58	-96.97	-96.97	-96.50
GO: 0000904	19_GO:0032502 developmental process	Cell morphogenesis involved in differentiation	-96.99	-68.96	-96.97	-96.97	-96.97
GO: 0007268	19_GO:0023052 signaling	Chemical synaptic	-96.99	-40.80	-96.97	-96.97	-96.97
GO: 0007409	19_GO:0032502 developmental process	Axonogenesis	-96.99	-55.78	-96.97	-96.97	-96.97
GO: 0007610	19_GO:0032501 multicellular organismal process	Behavior	-96.99	-46.50	-96.97	-96.97	-96.97
GO: 0030155	19_GO:0050789 regulation of biological process	Regulation of cell adhesion	-96.56	-43.12	-96.97	-96.56	-96.97
GO: 0031175	19_GO:0032502 developmental process	Neuron projection development	-96.56	-76.86	-96.97	-96.56	-96.50
GO: 0032989	19_GO:0032502 developmental process	Cellular component morphogenesis	-96.99	-68.96	-96.97	-96.97	-96.97
GO: 0032990	19_GO:0032502 developmental process	Cell part morphogenesis	-96.99	-63.66	-96.97	-96.97	-96.97
GO: 0048667	19_GO:0032502 developmental process	Cell morphogenesis involved in neuron differentiation	-96.99	-59.76	-96.97	-96.97	-96.97

 Table 1. Top 10 convergent pathways dysregulated in animal-stress paradigms and humans with PTSD after publication bias analyses

conditioning, as well as those with low estrogen levels [96]. In our systematic review, *HDAC4* did not show significant gene-expression differences in the human-female-specific analysis, but this gene was found to be upregulated in the male rodent mPFC and the human dACC in the combined-sex analysis. *GRM2* was downregulated in the rodent mPFC and human dlPFC (combined-sex analysis). A study using both knockout Grm2–/– mice or pharmacological antagonists for this receptor found that decreased GRM2 activity may regulate stress-induced behaviors and promote resilience [98].

ELK1 and *ADAMTS2* were the only two genes in the human combined-sex analysis differentially expressed in three different human brain regions: dlPFC, OFC, and dACC [55]. *ADAMTS2* was upregulated in the combined-sex, male-specific, and female-specific analyses [55]. Upregulation of *ADAMTS2* was also observed in a recent

human transcriptomic study in males and females [54]. In animal models, ADAMTS2 was upregulated in the amygdala, ST, and VS but downregulated in the hippocampus. In the cortex, ADAMTS2 was upregulated in the early response to trauma and downregulated in the longterm trauma response [47-53]. ADAMTS2, a disintegrin and metalloproteinase with thrombospondin motifs 2, encodes for a protein that cleaves Reelin, a glycoprotein involved in synaptic plasticity [99, 100]. A previous study reported an upregulation of ADAMTS2 in peripheral blood mononuclear cells of patients with schizophrenia, as well as downregulation in clinical responders to antipsychotic treatments [99]. The same study showed that ADAMTS2 expression was modulated by dopaminergic signaling, including DRD1 gene, another identified convergent gene enriched in PTSD, cAMP/CREB, and MAP/ERK signaling [99]. The identified convergence of



Fig. 3. Protein-protein interaction (PPI) enrichment analysis. PPI analysis was performed using the Molecular Complex Detection (MCODE) algorithm implemented in Metascape. This PPI contains the subset of proteins that interact in common pathways colored by counts identified in each study.

the 243 convergent genes across	Biological_process	Counts	Log(q value)
species in animal-stress paradigms and humans with PTSD	Proteoglycans in cancer	20	-11.10
	Calcium signaling pathway	21	-11.10
	Signaling by GPCR	31	-9.79
	GPCR downstream signaling	29	-9.54
	head development	32	-9.54
	brain development	31	-9.52
	Intracellular signaling by second messengers	21	-9.47
	Signaling by receptor tyrosine kinases	26	-9.35
	Focal adhesion: PI3K-Akt-mTOR-signaling pathway	20	-8.59
	PI3K-Akt signaling pathway	21	-8.48

Counts correspond to the number of convergent genes enriched in that pathway.

ADAMTS2 across brain regions, sex, and species, as well as its functional role in synaptic plasticity, strongly supports a critical role in the development of PTSD and represents a potential candidate for future treatment strategies. The sex-specific transcriptional landscape, mainly in the cortex, has been recently reviewed in the context of PTSD [101]. The methodological heterogeneity across the studies selected in this review limited our ability to conduct well-powered region-specific and sex-specific



(Figure continued on next page.)

meta-analyses in PTSD across species. Exploratory cortex-specific, male-specific convergence analyses of PTSD across species identified *EDNRA* and *CFH* as upregulated genes in the cortex of males, but this should be confirmed in additional studies.

We show that identified convergent genes across brain regions and species exhibit shared functional processes including synaptic plasticity, response to stimulus, and behavior. At the gene level, we also show that genes reported as dysregulated in the human



Fig. 4. Convergent PTSD genes across species. **a** This ideogram illustrates the 17 convergent genes identified in our cross-species analysis that were significantly enriched for PTSD. **b** Cleveland plot showing fold chance (FC) direction of the 17 convergent PTSD genes across species. **c** GO enrichment analysis for the 17 convergent PTSD genes across species.

cortex have also been reported, in animal models, in the mPFC, amygdala, and hippocampus. Considering the well-established interconnection at the circuit level among the cerebral cortex, amygdala, and hippocampus in PTSD and thread learning [9], the convergence observed in this review [9] suggests that generegulatory mechanisms in response to trauma may impact key biological processes in the amygdala-hippocampus-medial prefrontal circuit that are involved in the pathophysiology of PTSD.

Figure 5 shows a proposed model of the gene and pathway cross-species convergence in PTSD identified

in this systematic review. Briefly, the orexin system may interact with the HPA axis by regulating the release of CRH in the brain. CRH binding to GPCRs such as CRHR1 can orchestrate downstream regulatory mechanisms including regulation of gene expression mediated by pathways such as Ras/MAP kinases. FKBP5, a co-chaperone that modulates GR activity in the stress response, may stimulate gene transcription through nuclear GR translocation. Inflammatory response and synaptic plasticity, both closely implicated in PTSD, were among the CRH-related pathways that converged across species. Lastly, *ADAMTS2*, a

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Fig. 5. A schematic diagram of the proposed model of convergent genes in PTSD. In this diagram, we show a proposed model of how identified convergent genes may interact in the context of PTSD. The orexin pathway may mediate CRH release in the hypothalamus, activating the CRH pathway. CRH binding to GPCRs may modulate downstream regulatory mechanisms including gene expression and chemical synaptic signaling.

metalloproteinase, was consistently convergent across brain regions and species and may act as a key regulator of synaptic plasticity in response to trauma. Future studies are warranted to confirm the proposed model and disentangle the relationship between these identified pathways in the pathophysiology of PTSD.

Conclusion

Here we conducted a systematic review of the current evidence for brain-specific transcriptomics and

epigenomics of PTSD in both humans and animal models. Our convergence analysis identified 243 dysregulated genes across species. They included genes wellknown in the PTSD literature such as *CRH*, *FKBP5*, *HDAC4*, *TSC22D3*, *EGR3*, *GRM2*, *MBP*, and *PNOC*. *ADAMTS2* was consistently reported across brain regions, sex, and species. Enrichment analysis revealed the orexin-receptor system and the CRH pathway, as well as GPCR signaling and synaptic signaling, to be the top convergent pathways. Based on the convergent findings reported here and the current literature, we propose that the interaction between the orexin system and the CRH pathway may play a key role in the pathophysiology of PTSD. Additional studies are needed, however, to support and disentangle the potential bidirectional orexin-CRH relationship in the pathophysiology of PTSD.

Gaps, Limitations, and Future Directions

Our review unmasks some important knowledge gaps and limitations when evaluating brain-specific convergence of transcriptomic and epigenomic studies across species in PTSD. These include a) the limited number of PTSD studies examining brain tissue, particularly in humans, which is mainly driven by the scarcity of curated brain banks housing samples from individuals with PTSD, with NPBB as the only biorepository focused on this disorder; b) the lack of epigenomic studies of PTSD in brain samples; c) the insufficient number of well-powered studies, which impedes the evaluation of sex- and population-specific differences in PTSD; d) the lack of information about stress effects at different PTSD phases in terms of type and severity; e) the limited overlap in the brain regions examined; f) the inability to conduct brain-specific meta-analyses because of limited summary- and gene-level data availability; and g) the high variability in physical stressors used to model PTSD behaviors in animal-stress paradigms, as well as the limited capacity of these models to fully recapitulate PTSD symptoms (including comorbidities), which can make potential translation of findings challenging. Moreover, as epigenomic and transcriptomic markers are cell-type specific [38, 39], this review also revealed the lack of studies assessing cell-type specificity in PTSD.

Future work should consider the following recommendations to help move the field forward. In humans, research should focus on conducting transcriptomic and epigenomic studies in well-powered samples, considering both sex- and population-specific effects. Transcriptomic and epigenomic modifications are not only tissue specific but cell-type specific. Because the brain is a complex interconnected circuit that is highly heterogeneous in terms of cell types [102, 103], studies applying single-cell approaches are warranted to unravel cell-type-specific disease markers that may be undetectable in bulk-tissue analyses. Considering the inherent limitations of animal models and human studies, research utilizing organoids or induced pluripotent stem cells is also needed. In recent years, these approaches have been successfully applied to model various neuropsychiatric disorders [104-108]. As the number of PTSD studies evaluating different omics increases, integrative multi-omics analyses can help confirm and

prioritize genes, gene networks, and molecular pathways. Further, exploration of additional gene-regulatory mechanisms such as histone modifications, microRNAs, and DNA hydroxymethylation may provide a better understanding of the epigenomic landscape of PTSD [109–111]. Taken together, brain- and cell-type-specific multi-omic investigation may help uncover the biological mechanisms and molecular phenotypes underlying the etiology of PTSD and identify novel treatments. Finally, detailed phenotypic information about individuals with PTSD and behavioral studies on animalstress paradigms may help to confirm the accuracy of animal models to evaluate PTSD pathophysiology.

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Statement of Ethics

An ethics statement is not applicable because this study is based exclusively on published literature.

Conflict of Interest Statement

John H. Krystal, MD participated as a consultant in Aptinyx, Inc., Biogen, Idec, MA, Bionomics, Limited (Australia), Boehringer Ingelheim International, Epiodyne, Inc., EpiVario, Inc., Janssen Research & Development, Jazz Pharmaceuticals, Inc., Otsuka America Pharmaceutical, Inc., Spring Care, Inc., Sunovion Pharmaceuticals, Inc., Freedom Biosciences, Inc., Biohaven Pharmaceuticals, BioXcel Therapeutics, Inc. (Clinical Advisory Board), Cerevel Therapeutics, LLC, Delix Therapeutics, Inc., Eisai, Inc., EpiVario, Inc., Jazz Pharmaceuticals, Inc., Neumora Therapeutics, Inc., Neurocrine Biosciences, Inc., Novartis Pharmaceuticals Corporation, PsychoGenics, Inc., Takeda Pharmaceuticals, Tempero Bio, Inc., Terran Biosciences, Inc., Biohaven Pharmaceuticals, Freedom Biosciences, Spring Health, Inc., Biohaven Pharmaceuticals Medical Sciences, Cartego Therapeutics, Damona Pharmaceuticals, Delix Therapeutics, EpiVario, Inc., Neumora Therapeutics, Inc., Rest Therapeutics, Tempero Bio, Inc., Terran Biosciences, Inc., Tetricus, Inc. Other authors have no conflicts of interest to declare.

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Author Contributions

Diana L Núñez-Rios: conceptualization, investigation, methodology, and writing (original draft preparation). José Jaime Martinez-Magaña, Sheila T. Nagamatsu, John H. Krystal, Karen G. Martínez-González, and Paola Giusti-Rodríguez: review and editing. Janitza L. Montalvo-Ortiz: conceptualization, writing, review, and editing.

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Data Availability Statement

All data generated or analyzed during this study are included in this article and its online supplementary materials. Further inquiries may be directed to the corresponding author.

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